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On the Ring of Quotients of a Noetherian Commutative Ring with Respect to the Dickson Topology.

ALBERTO FACCHINI

The aim of this paper is to investigate the structure of the ring of quotients $R_{\mathfrak{D}}$ of a commutative Noetherian ring R with respect to the Dickson topology \mathfrak{D} . In particular we study under which conditions $R = R_{\mathfrak{D}}$ or $R_{\mathfrak{D}}$ is the total ring of fractions of R (§ 2), the structure of $R_{\mathfrak{D}}$ when R is a GCD-domain and when R is local and satisfies condition S_2 (§ 3), and the endomorphism ring of the R -module $R_{\mathfrak{D}}/R$ (§ 4).

1. Preliminaries.

The symbol R will be used consistently to denote a commutative Noetherian ring with an identity element.

Let \mathfrak{D} be the Dickson topology on R , that is the Gabriel topology on R consisting of the ideals I of R such that R/I is an Artinian ring, *i.e.* the ideals I of R which contain the product of a finite number of maximal ideals of R (see [12], Chap. VIII, § 2). For every R -module M we put $X(M) = \{x \in M \mid Ix = 0 \text{ for some } I \in \mathfrak{D}\}$. $X(M)$ is a submodule of M said the \mathfrak{D} -torsion submodule of M . The functor X has been studied by E. Matlis ([6]). Let us define

$$M_{\mathfrak{D}} = \varinjlim_{I \in \mathfrak{D}} \text{Hom}_R(I, M/X(M)),$$

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where the direct limit is taken over the downwards directed family \mathcal{D} . $M_{\mathcal{D}}$ is called the module of quotients of M with respect to the topology \mathcal{D} . It is known that $R_{\mathcal{D}}$ becomes a ring in a natural way and that $M_{\mathcal{D}}$ becomes an $R_{\mathcal{D}}$ -module.

We shall always suppose that R has no \mathcal{D} -torsion. This is equivalent to request that every maximal ideal of R be dense, that is to request that (since R is Noetherian) every maximal ideal of R contain a regular element. Under such a hypothesis R is a subring of $R_{\mathcal{D}}$ and $R_{\mathcal{D}}$ is a subring of Q , the total ring of fractions of R . A more convenient description of $R_{\mathcal{D}}$ is that $R_{\mathcal{D}} = \{x \in Q \mid xI \subseteq R \text{ for some } I \in \mathcal{D}\}$. More precisely we have that

1.1. LEMMA. *If R possesses maximal ideals of grade 1, then $R_{\mathcal{D}} = \{x \in Q \mid \text{there exist maximal ideals } \mathcal{M}_1, \dots, \mathcal{M}_n \text{ in } R \text{ of grade 1 such that } x\mathcal{M}_1 \dots \mathcal{M}_n \subseteq R\}$. Otherwise $R_{\mathcal{D}} = R$.*

PROOF. It is clear that the products of a finite number of maximal ideals of R form a basis for \mathcal{D} . Therefore $R_{\mathcal{D}} = \{x \in Q \mid \text{there exist maximal ideals } \mathcal{M}_1, \dots, \mathcal{M}_n \text{ in } R \text{ such that } x\mathcal{M}_1 \dots \mathcal{M}_n \subseteq R\}$. Hence it is sufficient to prove that if $x \in Q$, $\mathcal{M}_1, \dots, \mathcal{M}_n$ are maximal ideals of R , $x\mathcal{M}_1 \dots \mathcal{M}_n \subseteq R$ and $\text{gr}(\mathcal{M}_n) \neq 1$, then $x\mathcal{M}_1 \dots \mathcal{M}_{n-1} \subseteq R$. For this it is enough to show that if $y \in Q$, \mathcal{M} is a maximal ideal of R , $\text{gr}(\mathcal{M}) \neq 1$ and $y\mathcal{M} \subseteq R$, then $y \in R$. Now $y = s^{-1}r$ for some $r, s \in R$, s regular. Hence from $y\mathcal{M} \subseteq R$ it follows that $r\mathcal{M} \subseteq Rs$, that is $\mathcal{M} \subseteq (Rs:r)$. By the maximality of \mathcal{M} , $\mathcal{M} = (Rs:r)$ or $(Rs:r) = R$. In the first case $\text{gr}(\mathcal{M}) = 1$. Therefore $R = (Rs:r)$, i.e. $y = s^{-1}r \in R$.

From lemma 1.1 we immediately have a complete description of the grade of all ideals in a local ring R such that $R \neq R_{\mathcal{D}}$. For such a ring it is easy to prove that $\text{gr}(I) = 1$ for every regular ideal I of R , and $\text{gr}(J) = 0$ for every non-regular ideal J . From lemma 1.1 it is also clear that the study of $R_{\mathcal{D}}$ is equivalent to the study of the maximal ideals of grade 1 in R .

It is also easy to describe the elements of $R_{\mathcal{D}}$ in relation to the primary decomposition in R . In fact let $x \in Q$. Then $x \in R_{\mathcal{D}}$ if and only if x is of the form $s^{-1}r$, where s is a regular element of R , and if $Rs = Q_1 \cap \dots \cap Q_n$ is a normal primary decomposition of the ideal Rs , where Q_i is associated to a prime non-maximal ideal for $i = 1, \dots, t$ and to a maximal ideal for $i = t + 1, \dots, n$, then $r \in Q_1 \cap \dots \cap Q_t$.

Now let us «count» the number of the generators of the ideals in the localization $R_{\mathcal{D}}$. We have always supposed that the ring R is Noetherian. Of course we cannot hope that this implies the ring

of quotients $R_{\mathcal{D}}$ is Noetherian. There exist rings R such that $R_{\mathcal{D}}$ possesses ideals which cannot be generated by a finite number of elements. Nevertheless there exists an upper bound for the number of elements needed to generate any ideal of $R_{\mathcal{D}}$.

1.2. PROPOSITION. *Let R be a ring, $\text{Max}^{(1)}(R)$ the set of all maximal ideals in R of grade 1, ξ the cardinality of $\text{Max}^{(1)}(R)$. Then $R_{\mathcal{D}}$ is the union of a directed family, of cardinality $\leq \aleph_0 \cdot \xi + 1$, of Noetherian subrings of Q . Every ideal of $R_{\mathcal{D}}$ can be generated by at most $\aleph_0(\xi + 1)$ elements. In particular if R is local, every ideal of $R_{\mathcal{D}}$ is countably generated.*

PROOF. If $\text{Max}^{(1)}(R) = \emptyset$, by lemma 1.1 $\{R\}$ is the requested family. Hence let us suppose $\text{Max}^{(1)}(R) \neq \emptyset$.

Let I be a regular ideal of R and set $R_{(I)} = R[\{x \in Q \mid xI \subseteq R\}]$. $R_{(I)}$ is a subring of Q . Let $s \in I$ be a regular element. Then if $x \in Q$, we have that $xI \subseteq R$ if and only if $xs \in R$ and $xs \in (Rs : I)$. Let r_1, \dots, r_n be a set of generators of the ideal $(Rs : I)$ in R . It follows that $xI \subseteq R$ if and only if x is a linear combination of $s^{-1}r_1, \dots, s^{-1}r_n$ with coefficients in R . Therefore $R_{(I)} = R[s^{-1}r_1, \dots, s^{-1}r_n]$ is a Noetherian ring. Now let us consider the family \mathcal{F} of the rings $R_{(I)}$ where I ranges over the set of all products of a finite number of elements of $\text{Max}^{(1)}(R)$. The cardinality of \mathcal{F} is $\leq \aleph_0 \cdot \xi$. \mathcal{F} is directed because $R_{(I)} \cup R_{(J)} \subseteq R_{(IJ)}$ and by lemma 1.1 its union is $R_{\mathcal{D}}$. Finally if \mathcal{A} is an ideal of $R_{\mathcal{D}}$, $\mathcal{A} = \bigcup_{R_{(I)} \in \mathcal{F}} (R_{(I)} \cap \mathcal{A})$ and hence there exists a set of generators of \mathcal{A} of cardinality $\leq \aleph_0 \cdot |\mathcal{F}| \leq \aleph_0(\xi + 1)$.

If R and S are Noetherian rings and $R \subseteq S \subseteq R_{\mathcal{D}}$, it may happen that $S_{\mathcal{D}} \neq R_{\mathcal{D}}$. This is not the case if S is integral over R .

1.3. PROPOSITION. *Let R, S be Noetherian rings, $R \subseteq S \subseteq R_{\mathcal{D}}$, S integral over R . Then $R_{\mathcal{D}} = S_{\mathcal{D}}$.*

PROOF. First of all note that R and S have the same total ring of fractions Q . Furthermore if \mathcal{N} is any maximal ideal in S , $\mathcal{N} \cap R$ is a maximal ideal in R and therefore it contains a regular element of R . Hence \mathcal{N} contains a regular element (of S).

Let us show that $R_{\mathcal{D}} \subseteq S_{\mathcal{D}}$. Let $x \in R_{\mathcal{D}}$. Then $x \in Q$ and $x\mathcal{M}_1 \dots \mathcal{M}_n \subseteq R$ for suitable maximal ideals \mathcal{M}_i of R . Hence $x\mathcal{M}_1 \dots \mathcal{M}_n S \subseteq S$. To show that $x \in S_{\mathcal{D}}$ it is then sufficient to show that $\mathcal{M}_1 \dots \mathcal{M}_n S = (\mathcal{M}_1 S) \dots (\mathcal{M}_n S)$ belongs to the Dickson topology of S . Hence it is

enough to prove that $\mathcal{M}_i S$ belongs to the Dickson topology of S , and this is obvious because S is integral over R and hence every minimal prime ideal of $\mathcal{M}S$ is a maximal ideal of S .

Vice versa let us show that $S_{\mathfrak{D}} \subseteq R_{\mathfrak{D}}$. Let $x \in S_{\mathfrak{D}}$. Then $x\mathcal{N}_1 \dots \mathcal{N}_n \subseteq S$ for suitable maximal ideals \mathcal{N}_i of S . It follows that $x(\mathcal{N}_1 \cap R) \dots (\mathcal{N}_n \cap R) \subseteq S \subseteq R_{\mathfrak{D}}$. Now every $\mathcal{N}_i \cap R$ is maximal in R because S is integral over R ; let r_1, \dots, r_t be a set of generators of the ideal $(\mathcal{N}_1 \cap R) \dots (\mathcal{N}_n \cap R)$ of R . Then $xr_j \in R_{\mathfrak{D}}$, so that there exists an ideal \mathcal{A}_j belonging to the Dickson topology of R such that $xr_j \mathcal{A}_j \subseteq R$. From this we have that $x(\mathcal{N}_1 \cap R) \dots (\mathcal{N}_n \cap R) \mathcal{A}_1 \dots \mathcal{A}_t \subseteq R$ and the ideal $(\mathcal{N}_1 \cap R) \dots (\mathcal{N}_n \cap R) \mathcal{A}_1 \dots \mathcal{A}_t$ belongs to the Dickson topology of R . Hence $x \in R_{\mathfrak{D}}$.

The preceding proposition may seem somewhat heavy due to the many hypotheses on R and S . However after proposition 2.2 we shall be able to prove that

1.4. PROPOSITION. *Let R be a local ring. If $R_{\mathfrak{D}} \neq R$ and $R_{\mathfrak{D}} \neq Q$, then there always exists a Noetherian ring $S \subseteq R_{\mathfrak{D}}$, properly containing R and integral over R*

2. The two cases $R_{\mathfrak{D}} = R$ and $R_{\mathfrak{D}} = Q$.

Under our hypotheses (R is a Noetherian ring in which every maximal ideal contains a regular element) we know that $R \subseteq R_{\mathfrak{D}} \subseteq Q$. The first problem which naturally arises is studying under what conditions on R $R_{\mathfrak{D}}$ coincides with R and Q respectively. The case $R_{\mathfrak{D}} = Q$ is handled in theorem 2.1 and the case $R_{\mathfrak{D}} = R$ in theorem 2.2.

2.1. THEOREM. *The following statements are equivalent:*

- i) $R_{\mathfrak{D}} = Q$;
- ii) every maximal ideal of R has height 1;
- iii) no proper ideal of $R_{\mathfrak{D}}$ is dense in $R_{\mathfrak{D}}$;
- iv) R satisfies Stenström's \mathfrak{D} -inv condition (see [11]);
- v) \mathfrak{D} is a 1-topology (see [12], Chap. VI, § 6, 1).

Furthermore if R is a reduced ring the preceding statements are also equivalent to:

- vi) $R_{\mathfrak{D}}$ is a (Von Neumann-) regular ring;
- vii) $R_{\mathfrak{D}}$ is a semisimple ring.

PROOF. i) \Rightarrow ii). Let \mathcal{M} be a maximal ideal of R . Let $s \in \mathcal{M}$, s regular. Then by i), $s^{-1} \in R_{\mathfrak{D}}$. It follows that $s^{-1} \cdot I \subseteq R$ for some $I \in \mathfrak{D}$, that is $I \subseteq Rs$. But then R/Rs is Artinian and \mathcal{M}/Rs is a prime ideal in R/Rs , hence a minimal prime ideal. Therefore \mathcal{M} is a minimal prime ideal of Rs in R . Since s is regular, \mathcal{M} has height 1.

ii) \Rightarrow i). Let us suppose $R_{\mathfrak{D}} \neq Q$. Then there exists some regular element $s \in R$ non invertible in $R_{\mathfrak{D}}$, *i.e.* such that $s^{-1} \cdot I \not\subseteq R$ for every ideal $I \in \mathfrak{D}$, that is $Rs \notin \mathfrak{D}$. Therefore R/Rs is not Artinian, and hence it has a maximal ideal of height ≥ 1 . It follows that R has a maximal ideal of height ≥ 2 .

i) \Rightarrow iii). Obvious.

iii) \Rightarrow i). Suppose iii) holds and let us show that if $s \in R$ is regular in R then it is invertible in $R_{\mathfrak{D}}$ (this will prove i)).

Now if $s \in R$ is regular in R , s is invertible in Q and hence regular in $R_{\mathfrak{D}}$. Therefore $R_{\mathfrak{D}} \cdot s$ is a dense ideal of $R_{\mathfrak{D}}$. By iii) $R_{\mathfrak{D}}s = R_{\mathfrak{D}}$, that is s is invertible in $R_{\mathfrak{D}}$.

ii) \Rightarrow v). Let s be a regular element of R . Then every minimal prime ideal of Rs has height 1, and hence by ii) it is maximal. Therefore $Rs \in \mathfrak{D}$. It follows that the filter of all regular ideals is contained in \mathfrak{D} . Since every ideal of \mathfrak{D} is regular, \mathfrak{D} is exactly the filter of all regular ideals of R . Hence \mathfrak{D} is a 1-topology.

v) \Rightarrow iv). Trivial.

iv) \Rightarrow ii). Suppose R satisfies Stenstrom's \mathfrak{D} -inv condition. Let \mathcal{M} be a maximal ideal of R . Then $\mathcal{M} \in \mathfrak{D}$ and therefore there exists $I \in \mathfrak{D}$ such that $I \subseteq \mathcal{M}$ and I is a projective ideal. Let $I = Q_1 \cap \dots \cap Q_n$ be a normal primary decomposition of I . Since $I \in \mathfrak{D}$ the minimal prime ideals of I are exactly the maximal ideals of R containing I . Let Q_1 be the \mathcal{M} -primary component of I . Localize with respect to the ideal \mathcal{M} . Then $IR_{\mathcal{M}}$ is a projective ideal (and hence it is principal generated by a regular element of $R_{\mathcal{M}}$), and $IR_{\mathcal{M}} = Q_1R_{\mathcal{M}}$. Hence $IR_{\mathcal{M}}$ is a $\mathcal{M}R_{\mathcal{M}}$ -primary principal ideal. From this it follows that the height of the ideal $\mathcal{M}R_{\mathcal{M}}$ in $R_{\mathcal{M}}$ is 1. Hence the height of \mathcal{M} is 1.

Now suppose R is reduced, *i.e.* without non-zero nilpotent elements. Then

i) \Rightarrow vii). Trivial, because the total ring of fractions of a reduced Noetherian ring is always semisimple.

vii) \Rightarrow vi). Obvious.

vi) \Rightarrow i). Let s be any regular element of R . Then $s = s^2x$ for some element $x \in R_{\mathfrak{D}}$. It follows that $1 = sx$, i.e. $x = s^{-1}$. Therefore $s^{-1} \in R_{\mathfrak{D}}$. Hence $Q = R_{\mathfrak{D}}$.

2.2. THEOREM. *The following statements are equivalent:*

- i) $R = R_{\mathfrak{D}}$;
- ii) $X\left(\bigoplus_{s \in \Sigma} R/Rs\right) = 0$, where Σ is the set of all regular elements of R ;
- iii) No maximal ideal of R is R -reflexive (see [8], § 7);
- iv) No maximal ideal of R is associated to an ideal Rs with s regular element of R ;
- v) No maximal ideal of R has grade 1.

PROOF. i) \Rightarrow ii). Suppose $X\left(\bigoplus_{s \in \Sigma} R/Rs\right) \neq 0$. Then there exists $s \in \Sigma$ such that $X(R/Rs) \neq 0$, that is such that R/Rs has a simple submodule. Let $r + Rs$ be a generator of such a submodule. Then $r \notin Rs$ and $(Rr + Rs)/Rs \cong R/\mathcal{M}$ for some maximal ideal \mathcal{M} of R , and hence $r\mathcal{M} \subseteq Rs$, that is $s^{-1}r\mathcal{M} \subseteq R$. From this it follows that $s^{-1}r \in R_{\mathfrak{D}}$. But $s^{-1}r \notin R$, for otherwise $r \in Rs$. Hence $R \neq R_{\mathfrak{D}}$.

ii) \Rightarrow iii). Let \mathcal{M} be a R -reflexive maximal ideal of R . Then $\mathcal{M} = (Rs:r)$ for some $r, s \in R$, with s regular (see [3], theorem 1.5). Then $(Rr + Rs)/Rs \cong R/(Rs:r) \cong R/\mathcal{M}$ is a simple submodule of R/Rs . It follows that $X\left(\bigoplus_{s \in \Sigma} R/Rs\right) \neq 0$.

iii) \Rightarrow iv). Let \mathcal{M} be a maximal ideal of R associated to the ideal Rs with s a regular element of R . Then $\mathcal{M} = \text{rad}(Rs:r)$ for some $r \in R$ (see [1], theorem 4.5), and hence $\mathcal{M}^n \subseteq (Rs:r)$ for some natural number n . Suppose n is the least for which such relation holds. Then $n \geq 1$. Let $t \in \mathcal{M}^{n-1}$, $t \notin (Rs:r)$. Then from $\mathcal{M}^n \subseteq (Rs:r)$ it follows that $t\mathcal{M} \subseteq (Rs:r)$, i.e. $\mathcal{M} \subseteq (Rs:rt)$, and from $t \notin (Rs:r)$ it follows that $1 \notin (Rs:rt)$. Hence $\mathcal{M} = (Rs:rt)$ and so \mathcal{M} is R -reflexive ([3], theorem 1.5).

iv) \Rightarrow v). Obvious, because a maximal ideal of grade 1 is associated to an ideal Rs , with s a regular element of R .

v) \Rightarrow i). By lemma 1.1.

Now we are ready to prove proposition 1.4:

Let \mathcal{M} be the maximal ideal of R . Since $R_{\mathfrak{D}} \neq Q$, by theorem 2.1 the height of \mathcal{M} is ≥ 2 , and since $R_{\mathfrak{D}} \neq R$ \mathcal{M} is R -reflexive by theorem 2.2.

Therefore there exists $y \in Q$ such that $y\mathcal{M} \subseteq R$, $y \notin R$. From this it follows that $R_{(\mathcal{M})}$, the Noetherian subring of $R_{\mathcal{D}}$ defined in the proof of proposition 1.2, properly contains R . In order to show that $S = R_{(\mathcal{M})}$ satisfies the thesis of the proposition it is sufficient to show that if $x \in Q$ and $x\mathcal{M} \subseteq R$, then x is integral over R . Since the height of \mathcal{M} is ≥ 2 , there exists a regular prime ideal \mathcal{F} in R properly contained in \mathcal{M} . Now if $x \in Q$ and $x\mathcal{M} \subseteq R$, then $x\mathcal{M}\mathcal{F} \subseteq \mathcal{F}$. Let us show that $x\mathcal{F} \subseteq \mathcal{F}$. If $x\mathcal{F} \not\subseteq \mathcal{F}$, there would exist $p \in \mathcal{F}$ such that $xp \notin \mathcal{F}$. But $xp \in R$, because $p \in \mathcal{M}$. Let $m \in \mathcal{M} \setminus \mathcal{F}$. Then $xpm \notin \mathcal{F}$, a contradiction because $x\mathcal{F}\mathcal{M} \subseteq \mathcal{F}$. Therefore $x\mathcal{F} \subseteq \mathcal{F}$. Furthermore \mathcal{F} is a faithful R -module (because \mathcal{F} is regular in R) and finitely generated. From this it follows that x is integral over R .

3. The structure of $R_{\mathcal{D}}$ for some classes of rings R .

One of the classes of rings for which it is possible to give a complete description of the Dickson localization is the class of GCD-domains, that is of integral domains R such that for every $a, b \in R$ there exists a greatest common divisor $[a, b] \in R$ (see [4], page 32).

3.1. THEOREM. *Let R be a GCD-domain. Let S be the multiplicatively closed subset of R generated by all elements $s \in R$ such that Rs is a maximal ideal of R . Then $R_{\mathcal{D}} = S^{-1}R$.*

PROOF. Let us show that in a GCD-domain R every maximal ideal \mathcal{M} of grade 1 is principal. If \mathcal{M} has grade 1, there exists $y \in Q$ such that $y\mathcal{M} \subseteq R$ and $y \notin R$. Let $y = s^{-1}r$ with $r, s \in R$, $r, s \neq 0$, and let m_1, \dots, m_n be a set of generators of \mathcal{M} . Then from $y\mathcal{M} \subseteq R$ it follows that $rm_i \in Rs$ for every $i = 1, \dots, n$. Therefore s divides rm_i for every i , and hence s divides their greatest common divisor $[rm_1, \dots, rm_n] = r[m_1, \dots, m_n]$. Let $d = [m_1, \dots, m_n]$. Then $\mathcal{M} \subseteq Rd$. Now if $Rd = R$, d would be a unit in R and hence from $s|rd$ it would follow $s|r$ in R , *i.e.* $y \in R$, a contradiction. Since \mathcal{M} is maximal, $\mathcal{M} = Rd$, *i.e.* \mathcal{M} is principal.

By lemma 1.1, $R_{\mathcal{D}} = \{x \in Q \mid \text{there exist maximal ideals } \mathcal{M}_1, \dots, \mathcal{M}_r \text{ of grade 1 such that } x\mathcal{M}_1 \dots \mathcal{M}_r \subseteq R\}$, from which $R_{\mathcal{D}} = \{x \in Q \mid \text{there exist principal maximal ideals } Rs_1, \dots, Rs_r \text{ such that } xs_1 \dots s_r \in R\} = \{x \in Q \mid xs \in R \text{ for some } s \in S\} = S^{-1}R$.

3.2. PROPOSITION. *Let R be a local ring and suppose that at least one of the following four conditions holds:*

- i) *there exists a regular non invertible element $s \in R$ such that the ideal Rs is a radical of R ;*
- ii) *R satisfies condition S_2 (see [12], Ch. VII, § 6);*
- iii) *R is a Macaulay ring;*
- iv) *R is an integrally closed domain.*

Then if $\dim R = 1$, $R_{\mathfrak{D}} = Q$, and if $\dim R > 1$, $R_{\mathfrak{D}} = R$.

PROOF. Suppose that in R there exists a regular non-invertible element s such that the ideal Rs is a radical in R . If $R_{\mathfrak{D}} \neq R$, there exists $x \in R_{\mathfrak{D}}$ such that $x \notin R$ and $x\mathcal{M} \subseteq R$, where \mathcal{M} is the maximal ideal of R . Then $xs \in R$, and hence $x = s^{-1}r$ for some $r \in R$. From this we have that $r\mathcal{M} \subseteq Rs$ and $r \notin Rs$. Therefore in the ring R/Rs the maximal ideal \mathcal{M}/Rs contains only zero-divisors, and since the ring R/Rs is reduced, \mathcal{M}/Rs is contained in the union of the minimal prime ideals of 0 in R/Rs , and hence \mathcal{M}/Rs itself is a minimal prime ideal of 0 in R/Rs . Therefore \mathcal{M} is a minimal prime ideal of R and hence it has height 1. Thus we have proved that if $\dim R > 1$, then $R_{\mathfrak{D}} = R$.

If $\dim R = 1$, then $R_{\mathfrak{D}} = Q$ by theorem 2.1.

Next if R satisfies S_2 , the prime ideals of height ≥ 2 have grade ≥ 2 , from which the thesis follows by theorem 2.1 and 2.2.

Finally if R is a Macaulay ring or an integrally closed domain, R satisfies S_2 .

4. The endomorphism ring of $R_{\mathfrak{D}}/R$.

We shall now study the R -module $K = R_{\mathfrak{D}}/R$. It is a \mathfrak{D} -torsion R -module (it is the \mathfrak{D} -torsion submodule of Q/R). Therefore (see [6], theorem 1), it splits in its \mathcal{M} -primary components:

$$K = \bigoplus_{\mathcal{M} \in \text{Max}(R)} X_{\mathcal{M}}(K).$$

$X_{\mathcal{M}}(K)$ is the submodule of K consisting of all elements $x \in K$ such that $\text{Ann}_R(x)$ contains a power of \mathcal{M} .

Now let I be a regular ideal. Set $I^{-1} = \{q \in Q | qI \subseteq R\}$ and $I^{-1-1} = \{q \in Q | qI^{-1} \subseteq R\}$. It is known that I^{-1-1} is an ideal of R canonically

isomorphic to $\text{Hom}_R(\text{Hom}_R(I, R), R)$, the R -bidual of I . Let \mathfrak{B} be a basis of the filter of neighborhoods of zero for a ring topology over R consisting of regular ideals of R . Let us define the bidual topology over R as the topology having $\mathfrak{B}^{-1-1} = \{I^{-1-1} | I \in \mathfrak{B}\}$ as basis of the filter of neighborhoods of zero. The original topology is finer than the bidual topology.

4.1. THEOREM (see [5], theorem 3.4). *Let R be a ring, \mathcal{M} a maximal ideal of R . For every natural number n let $A_{(\mathcal{M}),n} = \{x \in K | \mathcal{M}^n x = 0\}$. Then*

- i) $A_{(\mathcal{M}),n}$ is a submodule of $X_{\mathcal{M}}(K)$, $A_{(\mathcal{M}),n} \subset A_{(\mathcal{M}),n+1}$, $X_{\mathcal{M}}(K) = \bigcup_n A_{(\mathcal{M}),n}$ and $A_{(\mathcal{M}),n} \cong \text{Ext}_R^1(R/\mathcal{M}^n, R)$;
- ii) $\text{Ann}_R A_{(\mathcal{M}),n} = (\mathcal{M}^n)^{-1-1} \cong \text{Hom}_R(\text{Hom}_R(\mathcal{M}^n, R), R)$;
- iii) The non-zero elements of $A_{(\mathcal{M}),n+1}/A_{(\mathcal{M}),n}$ are exactly the elements of $K/A_{(\mathcal{M}),n}$ having annihilator \mathcal{M} ;
- iv) $A_{(\mathcal{M}),n+1}/A_{(\mathcal{M}),n}$ is in a natural way a finite dimensional vector space over the field R/\mathcal{M} ;
- v) $X_{\mathcal{M}}(K)$ is countably generated.

PROOF. i) The only non obvious statement is that $A_{(\mathcal{M}),n} \cong \text{Ext}_R^1(R/\mathcal{M}^n, R)$; this is proved by observing that $A_{(\mathcal{M}),n} = (\mathcal{M}^n)^{-1}/R$ and applying the functor $\text{Hom}_R(-, R)$ to the short exact sequence

$$0 \rightarrow \mathcal{M}^n \rightarrow R \rightarrow R/\mathcal{M}^n \rightarrow 0.$$

ii) $\text{Ann}_R A_{(\mathcal{M}),n} = \{r \in R | r(\mathcal{M}^n)^{-1} \subseteq R\} = (\mathcal{M}^n)^{-1-1}$.

iii) Obvious.

iv) and v) From iii) it follows that $A_{(\mathcal{M}),n+1}/A_{(\mathcal{M}),n}$ is a vector space over the field R/\mathcal{M} . In order to show that it is finite dimensional it is enough to prove that $A_{(\mathcal{M}),n}$ is a finitely generated R -module (and this with i) immediately gives v)). To this end we only have to show that the R -submodule $(\mathcal{M}^n)^{-1}$ of Q is finitely generated.

Let $s \in \mathcal{M}^n$, s regular and let $q \in (\mathcal{M}^n)^{-1}$. Then $qs \in R$, whence $q = s^{-1}r$ for some $r \in R$. From this we have that

$$(\mathcal{M}^n)^{-1} = \{s^{-1}r | r \in R, r\mathcal{M}^n \subseteq Rs\} = \{s^{-1}r | r \in (Rs : \mathcal{M}^n)\}.$$

Since the ideal $(Rs : \mathcal{M}^n)$ of R is finitely generated, it follows that $(\mathcal{M}^n)^{-1}$ is finitely generated.

Let us consider $\text{End}_R(X_{\mathcal{M}}(K))$. For every positive integer n set $H_{(\mathcal{M}),n} = \{f \in \text{End}_R(X_{\mathcal{M}}(K)) \mid f(A_{(\mathcal{M}),n}) = 0\}$. Let $\text{End}_R(X_{\mathcal{M}}(K))$ have the topology defined by the filtration $\{H_{(\mathcal{M}),n}\}_{n \in \mathbb{N}}$ and let $\text{End}_R(K) \cong \prod_{\mathcal{M}} \text{End}_R(X_{\mathcal{M}}(K))$ have the product topology. Let us call this topology the natural topology of $\text{End}_R(K)$.

4.2. PROPOSITION. *$\text{End}_R(K)$ endowed with its natural topology is a Hausdorff complete topological ring.*

PROOF. It is enough to prove that every $\text{End}_R(X_{\mathcal{M}}(K))$ is complete and Hausdorff. Clearly it is Hausdorff. Now if $(f_n)_{n \in \mathbb{N}}$ is a Cauchy sequence in $\text{End}_R(X_{\mathcal{M}}(K))$ let us define $f \in \text{End}_R(X_{\mathcal{M}}(K))$ in the following way: if $x \in A_{(\mathcal{M}),n}$, there exists $r \in \mathbb{N}$ such that for every $r', r'' \in \mathbb{N}$, $r', r'' \geq r$, we have $f_{r'} - f_{r''} \in H_{(\mathcal{M}),n}$; let $f(x) = f_r(x)$. The proof that this f is a well-defined homomorphism and that it is limit of the sequence (f_n) is routine.

Now let R be a local ring, \mathcal{M} its maximal ideal. Consider the canonical homomorphism $\varphi: R \rightarrow \text{End}_R(K)$ which to every element of R associates the multiplication over K by that element. If $\text{End}_R(K)$ has its natural topology and R has the bidual topology of the \mathcal{M} -adic topology, it is easy to prove that φ is a continuous ring homomorphism whose kernel is the closure of 0 in R . Therefore φ induces a continuous monomorphism $\tilde{\varphi}: R' \rightarrow \text{End}_R(K)$, where R' is the « Hausdorffized » of R , that is R modulo the closure of 0 in R with the quotient topology. It is easy to see that $\tilde{\varphi}$ is a topological embedding. Therefore we have proved the following

4.3. PROPOSITION. *Let R be a local ring, \mathcal{M} its maximal ideal and let R have the bidual of the \mathcal{M} -adic topology. Then R modulo the closure of zero with the quotient topology is in a natural way a topological subring of $\text{End}_R(K)$ endowed with its natural topology.*

Therefore $\text{End}_R(K)$ contains the Hausdorff completion of R .

The following theorem gives a sufficient condition for $\text{End}_R(K)$ to be the Hausdorff completion of R (see [7]).

4.4. THEOREM. *Let R be a local ring, \mathcal{M} its maximal ideal. Suppose that \mathcal{M}^{-1} can be generated by two elements. Then $\text{End}_R(K)$ is the Hausdorff completion of R with the bidual topology of the \mathcal{M} -adic topology.*

PROOF: If $\mathcal{M}^{-1} = R$, then $(\mathcal{M}^n)^{-1} = R$ for every n . Hence the closure of 0 in R is R and $K = 0$.

Therefore we may suppose $\mathcal{M}^{-1} \neq R$. Then $A_{(\mathcal{M}),1} = \mathcal{M}^{-1}/R \cong R/\mathcal{M}$ (see [7], lemma 2.3, true also when R is not an integral domain). Thus $E(K) \cong E(A_{(\mathcal{M}),1}) \cong E(R/\mathcal{M})$. It follows that if $f \in \text{End}_R(K)$ and $n \in \mathbb{N}$, then f extends to a endomorphism of $E(R/\mathcal{M})$ and $\mathcal{A}_{(\mathcal{M}),n} \subseteq B_n$, where B_n is the submodule of $E(R/\mathcal{M})$ consisting of all elements of $E(R/\mathcal{M})$ annihilated by \mathcal{M}^n . But f coincides over B_n with the multiplication by an element of R (see [10], lemma 5.11). It follows that $f|_{A_{(\mathcal{M}),n}}$ is the multiplication by an element of R . Hence $\varphi(R)$ is dense in $\text{End}_R(K)$. We conclude by proposition 4.2 and 4.3.

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