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On a question of Josef Novák about convergence spaces.

MARIA CONTESSA and FABIO ZANOLIN (*)

SUMMARY: In this paper we construct an example which answers to a question posed by Josef Novák about the validity of a statement in a convergence space.

SOMMARIO: Viene costruito un esempio che risponde ad una domanda di Josef Novák relativamente alla validità di una proposizione per spazi di convergenza.

1. Introduction.

In a convergence (sequential) space (L, λ) , Novák (see [5]) considered the following statement:

(+) If $A_n \subseteq L$ and $z \in L - \cup \lambda A_n$ is a point each neighbourhood of which contains points of A_n for nearly all n , then there is a sequence of $x_n \in A_n$ converging to z .

He asked if there exists a convergence space such that its convergence is the star convergence and that (+) is not true. (Problem 1.b).

In this paper we give an example which solves the above question in the affirmative and we add some considerations about cross properties in convergence spaces.

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This work is selfcontained. The notations and terms are summarized in § 2, there we give the tools necessary to the understanding of the text.

2. Preliminary.

Convergence structure, according Mario Dolcher, (see [2]), is a pair (L, λ) , where L is a non void set and λ is a law which associates to each point x of L , a set \mathfrak{J}_x of sequences of points of L , λ satisfying to suitable axioms. If $S = (s_n)_n \in \mathfrak{J}_x$, we will write $S \rightarrow x$ and read : « S converges to x ».

The axioms required by Dolcher for λ are the following :

- 1) $(x) \rightarrow x$, for every x in L (where (x) is the constant sequence x, x, \dots, x, \dots).
 - 2) If a sequence S converges to x , then every subsequence S' of S , converges to x .
 - 3) If a sequence S does not converge to x , then there exists a subsequence S' of S , no subsequences of which converge to x .
- (Novák call such a structure, a multivalued convergence space, in [4]). Moreover, if the convergence is onevalued, (that is with uniqueness of limit) so that axiom

$$0) \quad S \rightarrow x, S \rightarrow y \Rightarrow x = y,$$

holds, λ turns be a *star convergence* on L , in the sense of Novák.

If λ is a convergence in a some sense and A is a subset of L , by λA (or \hat{A} , according to Dolcher [2]), we will denote the set of all the limit points of sequences in A . If λ satisfies axioms 1) and 2), then λ can be thought like a closure operator (in the sense of Čech [1]), then a subset U of L is said to be a neighbourhood (*λ -neighbourhood*) of a point x , if $x \in L - \lambda(L - U)$. In other words, ([5]) U is a λ -neighbourhood of x if and only if $(x_n)_n \rightarrow x$ implies that $x_n \in U$ for nearly all n . The pair (L, λ) is also said to be a *convergence space* (see [4], [5]).

We remark that a convergence λ on L , given by the system $(\mathfrak{J}_x)_{x \in L}$ satisfying the axioms from 1) to 3), can be determinated

by a smaller system $(\mathfrak{B}_x)_{x \in L}$, where $\mathfrak{B}_x \subseteq \mathfrak{I}_x \forall x$; $(\mathfrak{B}_x)_x$ is called by Dolcher *convergence base for λ* .

Precisely $(\mathfrak{B}_x)_x$ must be a system such that $(\mathfrak{I}_x)_x$ is the smallest system which contains $(\mathfrak{B}_x)_x$ and which satisfies the prescribed axioms. If we introduce the following operations δ and ξ acting on sets of sequences :

- (δ) $S \in \delta\mathfrak{C}$ iff $\exists R \in \mathfrak{C}$ and S is subsequence of R .
- (ξ) $S \in \xi\mathfrak{C}$ iff for every subsequence S' of S , exists a subsequence S'' of S' such that $S'' \in \mathfrak{C}$,

then we immediately observe that δ and ξ are idempotent and $\xi(\delta\mathfrak{C})$ is the smallest set of sequences which contains \mathfrak{C} and which is closed with respect to δ and ξ . Since δ and ξ replace axioms 2) and 3), we have that $\mathfrak{I}_x = \xi(\delta\mathfrak{B}_x)$, provided that $(x) \in \mathfrak{B}_x$ for every x . So, when we will give a convergence (in the sense of Dolcher) structure on a set L , it will suffice to assign to each point x , a set \mathfrak{B}_x to which belong (x) and the other sequences that we would like to converge to x , and then will consider the convergence that is generated (through δ and ξ) by the system $(\mathfrak{B}_x)_x$. Notice that convergence is onevalued iff for $x \neq y$, is $\delta\mathfrak{B}_x \cap \delta\mathfrak{B}_y = \emptyset$.

3. Exhibition of the example.

The aim of this chapter is to give an example of a convergence space with star convergence where statement (+) does not hold. For this purpose, it is necessary a previous lemma.

LEMMA. Let N be a countable set, then there exists a set \mathfrak{F}^* of countable ⁽¹⁾ subsets of N , such that :

- i) \mathfrak{F}^* has the power of the continuum.
- ii) $F_1, F_2 \in \mathfrak{F}^* \Rightarrow F_1 \cap F_2$ is a finite set ⁽²⁾.
- iii) For each countable subset G of N , there exists an $F \in \mathfrak{F}^*$, such that $F \cap G$ is countable.

⁽¹⁾ In this lemma, a set is said to be countable if it is infinite and countable.

⁽²⁾ For conditions i) and ii), cfr. [3] (Ex. 6Q pag. 97).

PROOF. Let $\mathcal{P} = \{ \mathcal{F} : \mathcal{F} \text{ satisfies property i) and ii) of the Lemma} \}$. $\mathcal{P} \neq \emptyset$, in fact (see Gillmann-Jerison [3], Ex. 6Q p. 97) exists a set ξ which satisfies i) and ii). (ξ is obtained by one to one correspondence with a set of sequences of rational numbers such that each irrational number is the limit of exactly one of these sequences). Now, is easy to prove, using Zorn's Lemma, that \mathcal{P} possesses an element \mathcal{F}^* , which is maximal in \mathcal{P} with respect to the inclusion order.

We have only to show that \mathcal{F}^* satisfies iii). If this does not happen, then there exists a countable subset H of N such that $H \cap F$ is finite for every F which belongs to \mathcal{F}^* ; so, it is $\mathcal{F}^* \cup \{H\} \in \mathcal{P}$.

— A contradiction with the maximality of \mathcal{F}^* in \mathcal{P} .

q.e.d.

Now we can present the preannounced example :

EXAMPLE: of a convergence space with star convergence where statement (+) does not hold.

Let L be the set $\{a_{r,s} : r, s = 1, 2, \dots\} \cup \{z\}$.

It can be thought like an infinite matrix whose horizontal rows are sequences $A_r = (a_{r,s})_s$, together a point z .

Let N be the set of natural numbers, \mathcal{R} a set of subsets of N which, according to the Lemma, fulfils the conditions from i) to iii), \mathcal{S} the set of all sequences of natural numbers.

Let f be a one to one correspondence from \mathcal{S} onto \mathcal{R} and we define a map g from \mathcal{R} into \mathcal{S} which associates to $R = \{r_n\}_n$, the sequence $g(R) = (s_{r_n} + 1)_n$, where $(s_n)_n = f^{-1}(R)$.

Now we can assign (by a convergence base) a convergence on the set L .

Let λ be the following convergence :

- ') The points $a_{r,s}$ are all isolated points (i. e. converge to $a_{r,s}$ only the sequences whose terms are nearly all equal to $a_{r,s}$).
- ") Converge to z , the constant sequence (z) and the sequences $(a_{r_i, s_i})_i$ where $(r_i)_i$ is an increasing sequence of natural numbers (indices

of row) such that $\{r_i\}_i = R \in \mathfrak{R}$ and $(s_i)_i = S$ is a sequence greater or equal than $g(R)$ in the lexicographic order ⁽³⁾.
 Converge to z exactly those sequences which can be deduced by the precedings (by δ and ξ).

It is immediate to verify that convergence λ above defined is onevalued ; so

(L, λ) is a convergence space where λ is a star convergence.

Observe that for each horizontal row A_r , is $\lambda A_r = A_r$ (in fact, no row converges to z and the points of the matrix are all isolated). So, we have that $z \in L - \cup \lambda A_r$.

We prove now that *each neighbourhood of z contains points of A_r for nearly all indices r .*

PROOF. Let $U(z)$ be a λ -neighbourhood of z such that there is an increasing sequence $(r_i)_i$ of indices of row such that in $U(z)$ there are not points of the row A_{r_i} . From the property iii) of the set \mathfrak{R} (see the Lemma) we know that there exists a sequence of row indices $(\tilde{r}_i)_i = \tilde{R} \in \mathfrak{R}$ which has a subsequence in common with the sequence $(r_i)_i$. From ") in the definition of λ , we can choose a suitable element $a_{\tilde{r}_i, \tilde{s}_i}$ in the row $A_{\tilde{r}_i}$, in such a way to obtain a sequence $(a_{\tilde{r}_i, \tilde{s}_i})_i$ which converges to z . Since every subsequence of the preceding one must converges to z , we conclude that there is a sequence of elements belonging to the rows A_{r_i} , for infinitely many i , which converges to z . Elements of this sequence are nearly all in $U(z)$ and so we contradict the initial assumption.

q.e.d.

At last, we prove that *there is no sequence of $x_r \in A_r$ which converges to z .*

PROOF. If a sequence $(a_{n, s_n})_n$ converges to z , it must converge to z together with every its subsequence $(a_{r_i, s_{r_i}})_i$, while the sequence

⁽³⁾ If $S = (s_n)_n$ and $S' = (s'_n)_n$ are sequences of natural numbers, we pose $S \leq S'$ if and only if for each index n , it is $s_n \leq s'_n$. The order so obtained is called *lexicographic*.

$(a_{\bar{r}_i, s_{\bar{r}_i}})_i$ where $(\bar{r}_i)_i = \bar{R} = f(S)$ and $S = (s_n)_n$, does not converge to z , thanks to the definition of λ . In fact, the sequence of column indices $(s_{\bar{r}_i})_i = S \circ f(S)$ is less (in the lexicographic order) than the sequence $(s_{\bar{r}_i} + l)_i$ which is the least sequence of indices of column such that $(a_{\bar{r}_i, o})_i$ converges to z . Neither the sequence $(a_{\bar{r}_i, \bar{s}_i})_i$ converges to z as a sequence deduced by δ and ξ from suitable other sequence of the base, converging to z . In fact, the assumption ii) on \mathcal{R} and the definition of the convergence λ exclude this eventuality.

q.e.d.

Our aim is so attained.

4. - Cross sequences in convergence spaces.

In a convergence space (L, λ) , we say that a matrix $((x_{r,s}))_{r,s}$ converges to a sequence $(y_n)_n$ iff the r -th row $(x_{r,s})_s$ of the matrix converges to the r -th term y_r of the sequence.

We say that *cross property* (respectively *subcross property*) holds in (L, λ) iff for each matrix $((x_{r,s}))_{r,s}$, for each sequence $(y_r)_r$ and for each point z , such that the matrix converges to the sequence and this converges to the point, there exists a cross sequence $(x_{r,s_r})_r$ (respectively a cross subsequence $(x_{r_i, s_i})_i$) of the matrix, which converges to z .

Weak cross property (resp. *weak subcross property*) are defined in the same way only with the weaker assumption that $(y_r)_r$ is the constant sequence (z) .

If we indicate with C and C' cross and subcross property, and with C_0 and C'_0 the corresponding weaker conditions, we have immediately the following inferences :

$$\begin{array}{ccc} C & \Rightarrow & C_0 \\ \Downarrow & & \Downarrow \\ C' & \Rightarrow & C'_0. \end{array}$$

By the comparison of the above four conditions, we notice that there exists an example (see [2], p. 87) of convergence space where C_0 holds and C' does not hold, while at the present status of our know-

ledges, we do not know whether $C'_0 \Rightarrow C_0$ (respl. $C' \Rightarrow C$) is true or false. As a partial result, by a light modification of structure of the main example in the section 3, (modification only consists in imposing to each row of the matrix, to converge to z), we can present a structure where a matrix exists such that each its row converges to a point z , but no cross sequence converges to z , while every submatrix (that is a matrix obtained by the preceding one, catching infinitely many points from infinitely many rows) possesses a cross subsequence converging to z .

A property related with the preceding is the idempotency of the closure operator λ (see section 2), called by Dolcher in [2], Hedrick's condition. It is easy to prove that a sufficient condition for $\lambda\lambda = \lambda$, is the validity of C' (see [2]), moreover it can be proved (see [6], theorem 2, pag. 74) that C' holds if and only if C'_0 and Hedrick's condition are both satisfied (this result can be proved also if λ is not onevalued). We conclude remarking that in a topological space first countable, with the common notion of convergence of sequences, all four cross properties always are satisfied.

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