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ALGEBRAIC EQUATIONS FOR NONSMOOTHABLE 8-MANIFOLDS

by Nicolaas H. KUIPER (1)

SUMMARY

The singularities of Brieskorn and Hirzebruch are used in order to obtain examples of algebraic varieties of complex dimension four in $P^5(\mathbf{C})$, which are homeomorphic to closed combinatorial 8-manifolds, but not homeomorphic to any differentiable manifold. Analogous nonorientable real algebraic varieties of dimension 8 in $P^{10}(\mathbf{R})$ are also given. The main theorem states that every closed combinatorial 8-manifold is homeomorphic to a Nash-component with at most one singularity of some real algebraic variety. This generalizes the theorem of Nash for differentiable manifolds.

§ 1. Introduction. The theorem of Wall.

From the smoothing theory of Thom [1], Munkres [2] and others and the knowledge of the groups of differential structures on spheres due to Kervaire, Milnor [3], Smale and Cerf [4] follows a.o. that closed combinatorial n-manifolds for $n \le 7$ are smoothable. That is, they admit a combinatorially compatible differential structure. This structure is unique up to equivalence for $n \le 6$. By a manifold we mean a connected closed combinatorial manifold. We will consider manifolds of dimension eight. In § 1, 2, and 3 all manifolds will be oriented. Let X be an oriented 8-manifold and X^k the k-skeleton of some triangulation of X. If the number of vertices is N, then let X^0 be the set of end-points of N orthonormal unitvectors in euclidean vector N-space E^N . The simplices of X^k are then fixed and X lies embedded in E^N . For any $W \subset X \subset E^N$ and $\delta > 0$ we define the neighbourhood $U(W, \delta) = \{x \in X | \text{distance } (x, W) < \delta\}$ of W in X.

For small δ , say $\delta < N^{-1}$, $U(X^6, \delta)$ can be given a differential structure \mathscr{D} and this is unique up to equivalence. Next we construct a differential structure on $U(X^7, \delta^2)$ which equals the first structure \mathscr{D} on $U(X^6, \delta^2)$. For that we have to define for every 7-simplex Δ_7 of X^7 some differential structure on $U(\Delta_7, \delta^2)$ which agrees with \mathscr{D} on $U(X^6, \delta^2) \cap U(\Delta_7, \delta^2) = U(\partial \Delta_7, \delta^2)$. This is possible in essentially 28 different ways, because the difference between two such smoothings corresponds with a smoothing

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of $S^7 \times (0, 1)$ or of S^7 , hence with an element of the group $\Gamma_7 \simeq \mathbf{Z}_{28}$ of differential structures on S^7 modulo those that can be extended to the 8-ball.

For each oriented 8-simplex Δ_8 of X^8 we have now smoothed some neighbourhood of the boundary $U(\partial \Delta_8, \delta^2) \cap \Delta_8$. If this smoothing, restricted to $U(X^7, \delta^3) \cap \Delta_8$, can be extended over Δ_8 , then we assign to Δ_8 the element $o \in \Gamma_7$. More generally, following M. Hirsch [5], we observe that any smooth oriented 7-manifold in $U(\partial \Delta_8, \delta^2) \cap \Delta_8$, which is combinatorially isotopic to $\partial \Delta_8$, will have the same structure of an exotic 7-sphere, and so it determines an element $\gamma(\Delta_8) \in \Gamma_7$. Indeed any two such manifolds are h-cobordant with some third that bounds a combinatorial 8-disc containing both, and hence all three are diffeomorphic.

In the top dimensional case the sheaf of coefficients (local coefficients of Munkres) is constant and it can be identified with $\Gamma_{n-1} = \Gamma_7$. This is the case for X orientable as well as X nonorientable.

The function γ on oriented simplices in X^8 :

$$\gamma: \Delta_8^{(i)} \to \gamma(\Delta_8^{(i)}) \in \Gamma_7$$

is a cochain, which is a cocycle as there are no 9-simplices in X. So the value of γ on the fundamental cycle of the oriented X is

$$\gamma([X]) = \sum_{i} \gamma(\Delta_8^{(i)}) \in \Gamma_7.$$

If we change our choice of differential structure at one of the 7-simplices by $\xi \in \Gamma_7$, then the cochain value in the two adjacent 8-simplices alters by ξ and $-\xi$ respectively, and we obtain a cohomologous cochain with unaltered value $\gamma([X]) \in \Gamma_7$. This element represents a cohomology-class $\overline{\gamma}(X) \in H^8(X, \Gamma_7) = \Gamma_7$ which is an invariant of the combinatorial 8-manifold.

As X is connected, there is one choice of differential structures in the 7-simplices such that $\gamma(\Delta_8^{(i)}) = 0$ for all except at most one of the 8-simplices. We transport all obstruction to smoothing to one 8-simplex. Then on that 8-simplex the value of the cochain is $\overline{\gamma}(X)$. We see that the nonsmoothability of an 8-manifold can be concentrated in an arbitrarily small neighbourhood N(p) of any point p. Any subdivision of the given triangulation, for which N(p) is interior to an 8-simplex therefore gives the same value for $\overline{\gamma}(X)$, which is then an invariant not only of the triangulation but of the combinatorial structure of X. From the above procedure follows:

Lemma 1. — The 8-manifold X has a compatible smoothing if and only if the combinatorial invariant $\overline{\gamma}(X) \in \Gamma_7 \simeq \mathbf{Z}_{28}$ vanishes.

If X and Y are 8-manifolds, then the connected sum X # Y is the oriented 8-manifold obtained by deleting from X and Y each one 8-simplex and identifying the boundaries, say linear on each 7-simplex of this boundary, so that a connected manifold is obtained and the injections of the remaining parts of X and Y are imbedded in X # Y with preservation of orientation. The negative of X is the same non-oriented manifold with the other orientation.

Lemma 2. — For any 8-manifold X, X # (-X) is smoothable.

Proof. — Let Δ and Δ' be two 8-simplices of a triangulation of X, p an interior point of Δ' with neighbourhood $U(p, \delta) \subset \Delta'$. Take a smoothing of $X - U(p, \delta)$, in which $\partial \Delta$ is a smooth usual 7-sphere. Take the smooth connected sum of X and -X along $\partial \Delta \subset X$ and $-\partial \Delta \subset X$. The combinatorial manifold $X \neq (-X)$ has then a natural smoothing, except in $U(p, \delta) \subset X - (\Delta)$ and in the corresponding neighbourhood in $(-X) - (-\Delta)$.

The cochain on the triangulation of X#(-X) has values $\gamma([X])$ and $-\gamma([X])$ on the two exceptional 8-simplices and zero elsewhere. Hence $\overline{\gamma}(X\#(-X))=0$ and lemma 2 follows from lemma 1.

The 8-manifolds X and Y are called equi-smoothable or equal modulo smooth manifolds, $X \sim Y$, if X # (-Y) is smoothable.

Lemma 3. — Equi-smoothability is an equivalence relation.

Proof. — Applying the above procedure of concentrating the essential contribution of the cochain γ into one 8-simplex, to the 8-manifolds X and Y, it follows immediately from lemma 1 that

$$X \sim Y \Leftrightarrow \overline{\gamma}(X) = \overline{\gamma}(Y)$$
.

The Theorem of C. T. C. Wall [6]. — The equi-smoothability classes of oriented 8-manifolds (also called the combinatorial modulo smoothable 8-manifold classes) form a group isomorphic with $\Gamma_7 \simeq (\mathbf{Z}_{28}, +)$ under connected sum #.

Proof. — Again by the choice of special cochains for X and Y one sees:

$$\overline{\gamma}(X \# Y) = \overline{\gamma}(X) + \overline{\gamma}(Y)$$
.

Then $\overline{\gamma}$ defines a homomorphism of the associative semi-group of oriented 8-manifolds with connected sum, onto $\Gamma_7 \simeq \mathbf{Z}_{28}$. By the proof of lemma 3 the equivalence classes are the 28 fibres of this map.

\S 2. Topological invariance of $\overline{\gamma}$.

- D. Sullivan [20] proved that any two combinatorial structures on a simply connected closed topological manifold of dimension ≥ 6 without 2-torsion in $H^3(-, \mathbf{Z})$, are combinatorially equivalent (Hauptvermutung). Hence $\overline{\gamma}$ is a topological invariant for such manifolds.
- C. T. C. Wall kindly brought to my attention that the topological invariance of the rational Pontrjagin classes, obtained by Novikov [12], implies that $\overline{\gamma}$ is a topological invariant for an even larger class of 8-manifolds. This can be seen as follows.

Borel and Hirzebruch proved in [7], p. 494, that for a smooth closed oriented manifold X

$$\hat{ ext{A}}(ext{X},\,d/2) = e^{d/2} \sum_{j=0}^{\infty} \hat{ ext{A}}_{j}(extbf{\emph{p}}_{1},\,\ldots,\, extbf{\emph{p}}_{j}) \, [ext{X}]$$

is an integer. Here $d \in H^2(X, \mathbf{Z})$ is any element which reduces in $H^2(X, \mathbf{Z}_2)$ to the

second Whitney-class $w_2(X)$. So we have to assume the existence of d. For complex manifolds d exists and can be taken to be the first Chern class c_1 .

One finds, with

$$\hat{A}_1 = \frac{1}{24}p_1, \qquad \hat{A}_2 = \frac{2^{-7}}{45}(-4p_2 + 7p_1^2),$$

and with the formula for the signature:

$$\sigma(X) = \frac{1}{45} (7p_2 - p_1^2)[X],$$

that

$$\hat{A}\left(X, \frac{d}{2}\right) = \left(\frac{p_1^2 - 4\sigma}{896} - \frac{d^2p_1}{192} + \frac{d^4}{384}\right)[X].$$

We now prove the formula

(*)
$$\overline{\gamma}(X) \equiv -28 \hat{A}\left(X, \frac{d}{2}\right) \mod 28.$$

Proof. — Let W be Milnor's example of a parallelisable 8-manifold with as boundary the exotic 7-sphere ∂W that represents the generator of Γ_7 . M is the closed combinatorial manifold obtained by closing W with an 8-ball. Then $\sigma(M) = 8$. $Y = X \# M \# \dots \# M$ is the connected sum of X and m copies of M.

One obtains, because X and Y have p_1^2 and d in common,

$$\hat{A}\left(Y, \frac{d}{2}\right) = \hat{A}\left(X, \frac{d}{2}\right) - \frac{4m}{896}\sigma(M) = \hat{A}\left(X, \frac{d}{2}\right) - \frac{m}{28}.$$

By \S 1, Y has a smoothing compatible with the given combinatorial structure for exactly one value of $m \mod 28$. This value is given by

$$o = \overline{\gamma}(Y) = \overline{\gamma}(X) + m \mod 28$$
.

For that value of m we also have

$$o = \hat{A}\left(Y, \frac{d}{2}\right) = \hat{A}\left(X, \frac{d}{2}\right) - \frac{m}{28} = o \mod 1,$$

and the formula follows.

Consequently the right hand side of (*) is mod 28 independent of the choice of d, as long as d reduces to $w_2(X)$. Then it depends only on the rational Pontrjagin class p_1 , on the signature σ and on $w_2(X)$, which are all topological invariants.

Finally $\overline{\gamma}(X)$, the left hand side of (*), is therefore also a topological invariant. We summarize:

Theorem 1. — If the oriented closed 8-manifold X is simply connected and has no 2-torsion in $H^3(X, \mathbb{Z})$, or if $w_2(X)$ is the reduction of a **Z**-cohomology class d, and $\overline{\gamma}(X) \neq 0$, then X has no smoothing. $\overline{\gamma}$ is a topological invariant for such spaces X.

§ 3. Complex algebraic varieties as examples.

Brieskorn [8], Milnor [9] and Hirzebruch [10], using Pham [11], have studied isolated singularities of complex algebraic varieties, for which some neighbourhood of the singular point has the natural topological and combinatorial structure of a cone over a smooth possibly exotic 7-sphere which bounds it. In particular this is the case for the singularity at $o \in \mathbb{C}^5$ of the affine variety ([10])

(1)
$$f_1(z_1, \ldots, z_5) = z_1^{n-1} + z_2^3 + z_3^2 + z_4^2 + z_5^2 = 0 \\ n = 6k > 0$$

The intersection of (1) with $|z| = \sqrt{\sum_{i=1}^5 z_i \overline{z_i}} \le gc$, is for small c > 0 homeomorphic with an 8-ball, and its boundary, obtained as intersection of (1) and

$$|z| = c$$

is the exotic sphere with value

$$(3) k. \mathbf{1} \in \mathbf{Z}_{28} \simeq \Gamma_7.$$

For k=1 the generator with value $1 \in \mathbb{Z}_{28} \simeq \Gamma_7$ is found.

If we embed C^5 as the complement of a hyperplane, the so called "hyperplane at infinity" in $P^5(C)$, then (1) can be considered as the affine equation of an algebraic variety in $P^5(C)$.

In homogeneous coordinates z_1, \ldots, z_5, w , it has the equation

$$z_1^{n-1} + z_2^3 w^{n-4} + (z_0^2 + z_4^2 + z_5^2) w^{n-3} = 0.$$

This algebraic variety has, apart from the old singularity, many more singularities namely at infinity (w=0). In order to avoid new extra singularities we modify our function f_1 and choose the new function f as follows:

(4)
$$f = z_1^{n-1} + z_2^3 + \sum_{i=3}^5 z_i^2 + \sum_{j=1}^5 \lambda^{j-1} z_j^n$$

$$\lambda \in \mathbf{R} \subset \mathbf{C}, n = 6k$$

This function is locally near $o \in \mathbb{C}^5$ equivalent to f_1 by a holomorphic change of coordinates of the kind

$$z_i' = \Phi_i(z_i)$$
 $(j = 1, \ldots, 5)$

with

$$(\Phi_1(u))^{n-1} = u^{n-1} + u^n$$

 $(\Phi_2(u))^3 = u^3 + \lambda u^n$
 $(\Phi_i(u))^2 = u^2 + \lambda^{i-1} u^n$ $(i=3, 4, 5)$.

Therefore the affine variety f=0 has near $o \in \mathbb{C}^5$ a singularity with the same local properties as mentioned for $f_1=0$ (take c small). We now search for the singularities on the variety f=0. They obey the equations:

$$\partial_1 f = \partial_2 f = \partial_3 f = \partial_4 f = \partial_5 f = 0$$

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and

$$f = 0.$$

Solution of z_1, \ldots, z_5 from (5) and substitution in (6) yields for $(z_1, \ldots, z_5) \neq (0, \ldots, 0) = 0 \in \mathbb{C}^5$

and for different choices of the solutions, rational algebraic equations, which can be combined into one rational algebraic equation. It expresses a necessary condition on λ , for having at least one more singular point on (6). So for only a finite number of values of λ there are other singularities. In particular for $\lambda = e$, an arbitrary transcendental number, the only singularity on the affine variety is $o \in \mathbb{C}^5$.

We imbed \mathbf{C}^5 as the complement of the hyperplane w=0 in the complex projective 5-space $\mathbf{P}^5(\mathbf{C})$ and close the image of the affine variety. Then we obtain the algebraic variety $V_k \subset \mathbf{P}^5(\mathbf{C})$ with equation in homogeneous coordinates (z_1, \ldots, z_5, w) :

(7)
$$V_k: z_1^{n-1}w + z_2^3w^{n-3} + \sum_{i=3}^5 z_i^2w^{n-2} + \sum_{j=1}^5 e^{j-1}z_j^n = 0$$

 V_k has clearly no singularities at infinity (w=0). It has exactly one singular point p=(0,0,0,0,0,1), and $V_k-\{p\}$ is a smooth 8-manifold. Then by the result of Brieskorn V_k is a topological manifold at p, as well as all over. However, it also has its natural triangulation as an algebraic real 8-dimensional variety, where near p the triangulation is obtained by triangulating the cone on the (possibly) exotic 7-sphere described above. We compute the invariant $\overline{\gamma}(V_k)$ as follows. Take a triangulation such that p is interior point of some 8-simplex. Take in $V_k-\{p\}$ the differential structure from the (there!) differential manifold V_k . Then the cochain γ so obtained has value zero on all simplices outside p. At p the value is therefore $\overline{\gamma}(V_k)=k$. $1 \in \mathbb{Z}_{28}$.

Theorem 2. — Every class of combinatorial modulo smoothable 8-manifolds can be represented by a complex algebraic hypersurface $V_k \subset P^5(\mathbf{C})$, $k=1,2,\ldots,28$. Among these, only V_{28} is homeomorphic to a smooth manifold. In particular the algebraic variety $V_1 \in P^5(\mathbf{C})$ with affine equation

(8)
$$z_1^5 + z_2^3 + z_3^2 + z_4^2 + z_5^2 + \sum_{j=1}^5 e^{j-1} z_j^6 = 0$$

is a topological 8-manifold without any smoothing.

Proof. — By theorem 1 it is sufficient to prove the existence of $d \in H^2(V_k, \mathbb{Z})$ reducing to $w_2(V_k) \in H^2(V_k, \mathbb{Z}_2)$. For a sufficiently close approximation of equation (8) we can obtain a complex manifold without singularity Y with the following properties.

There exists a manifold with boundary V_k^0 obtained from V_k by deleting a small disc containing the singularity. $i: V_k^0 \to V_k$ is the inclusion. There is an embedding $j: V_k^0 \to Y$, because outside some neighbourhood of the singularity, V_k and Y are near to each other with first derivatives included and hence diffeomorphic. Now the first Chern class $c_1(Y) \in H^2(Y, \mathbf{Z})$ reduces to $w_1(Y) \in H^2(Y, \mathbf{Z}_2)$. Hence $d = (i^*)^{-1} j^* c_1(Y) \in H^2(V_k, \mathbf{Z})$ reduces to $w_1(V_k) = (i^*)^{-1} j^* w_1(Y) \in H^2(V_k, \mathbf{Z}_2)$.

§ 4. Nonorientable 8-manifolds

We first recall the nonorientable version of Wall's theorem.

Theorem. — The connected nonorientable closed combinatorial 8-manifolds modulo smooth manifolds form a group of two elements.

Proof. — Let X be a nonorientable connected 8-manifold with k-skeleton X^k . We smooth some neighbourhood of X^7 as before, such that the non-smoothability of X is concentrated in one 8-simplex Δ . On this oriented 8-simplex let it be given by $x \in \Gamma_7$. As X is nonorientable, there exists (assuming the triangulation of X fine enough) a sequence of 8-simplices $\Delta^{(i)}$, $i=1,\ldots,N+1$ with $\Delta^{(1)}=\Delta^{(N+1)}=\Delta$, $\Delta_7^{(i)}=\Delta^{(i)}\cap\Delta^{(i+1)}$ is a common face, such that the union $\bigcup_{i=1}^N \Delta^{(i)}$ is a nonorientable neighbourhood of a closed curve in X. Any element $y \in \Gamma_7$ can be represented by a change of smoothing in the oriented face $\Delta_7^{(1)}$ of Δ , which can be neutralized with respect to smoothability of $\Delta^{(2)}$ by a suitable change of smoothing in $\Delta_7^{(2)}$. Etc. After coming back to $\Delta^{(N+1)}=\Delta$ the non-smoothability is again completely concentrated in the oriented 8-simplex Δ , but represented with value $x-y+(-y)=x-2y\in\Gamma_7$.

In the nonorientable case the 8-simplices of $X^{(8)}$ have no preferred orientation. Then reducing the constant local coefficient sheaf $\Gamma_7 \simeq \mathbf{Z}_{28}$, modulo 2, there remains from the theory in § 1, a \mathbf{Z}_2 -cocycle $\gamma(X, \mathbf{Z}_2)$ in $H^8(X, \mathbf{Z}_2)$ which is an invariant of the nonorientable manifold X. In order to be able to smooth X, it is necessary that $\gamma(X, \mathbf{Z}_2)$ vanishes. But above we have seen that it is also sufficient: Take y such that $2y = x \in \Gamma_7$. From the construction as in § 1 it is seen that $\gamma(X \# Y, \mathbf{Z}_2) = \gamma(X, \mathbf{Z}_2) + \gamma(Y, \mathbf{Z}_2) \in \mathbf{Z}_2$, for X and Y orientable or not. Then the theorem follows. Formally the obstruction to smoothing lies in $H^8(X, \Gamma_7) = H_0(X, \text{ orientation} \otimes \Gamma_7) = H_0(X, \mathbf{Z}_2) = \mathbf{Z}_2$.

Theorem 2. — The real algebraic 8-variety $W_1 \in P^{10}(\mathbf{R})$ in real projective 10-space with affine equations in $x_1, y_1, \ldots, x_5, y_5$:

(9)
$$z_1^5 + z_2^3 + z_3^2 + z_4^2 + z_5^2 + \sum_{j=1}^5 e^{j-1} z_j^6 = 0$$
$$z_j = x_j + iy_j$$

is a closed nonorientable combinatorial 8-manifold without compatible smoothing. It represents the nonsmoothable class.

N.B. — In this nonorientable case we cannot decide that the manifold is not homeomorphic to any smooth manifold (with a different combinatorial structure).

Proof. — W_1 is an algebraic real variety with exactly one singularity of type $\pm i \in \Gamma_7$. At this singularity W_1 is a combinatorial manifold and not smooth. There remains to prove that W_1 is nonorientable.

Take a suitable diffeomorphism of $\mathbf{C}^5 = \mathbf{R}^{10}$ onto the open ball |z| < 1, which

commutes with rotations, leaves each real half ray from 0 invariant, and is the identity near $0 \in \mathbb{C}^5$. Let \mathring{W} be the image of $W \cap \mathbb{R}^{10}$. \mathring{W} can be closed by the 7-manifold $\partial \mathring{W}$:

$$(\sum_{j}e^{j-1}z_{j}^{6}=0)\cap(\sum_{j}z_{j}\overline{z}_{j})=1.$$

The diametrical map $\delta: (z_1, \ldots, z_5) \to (-z_1, \ldots, -z_5)$ leaves \mathring{W} invariant and preserves orientation in \mathring{W} as well as in \mathbf{R}^{10} . Now W is essentially obtained from $\mathring{W} \cup \partial \mathring{W}$ by identifying diametrical points in $\partial \mathring{W}$. [This is analogous to obtaining $P^{10}(\mathbf{R})$ from $\sum_j z_j \overline{z}_j \le 1$ by identifying diametrical points on $\sum_j z_j \overline{z}_j = 1$.] Hence W is nonorientable.

Remark. — If a manifold with one singularity is "exotic" at that singularity, then it still may globally admit some smoothing. For example this is the case with the variety $W_2 \subset P^{10}(\mathbf{R})$ with real affine equations

$$z_1^{11} + z_2^3 + z_3^2 + z_4^2 + z_5^2 + \sum_{j=1}^{5} e^{j-1} z_j^{12} = 0$$

$$z_j = x_j + iy_j$$

It has the same exotic singularity at o as V_2 . The same holds for any nonorientable 8-manifold with one singularity, in case that singularity is like that of V_k for some even $k \neq 0 \mod 28$.

Exercise. — If the oriented 8-manifold X admits an orientation reversing combinatorial involution without fixed point, then it has a smoothing.

\S 5. Formulation of the main theorem. A lemma on polynomial approximation.

A closed connected, C^{∞} -manifold X, C^{∞} -embedded in \mathbf{R}^n , is called a *Nash manifold*, if there exists a polynomial map $g: \mathbf{R}^n \to \mathbf{R}^q$ for some q, and $X \subset g^{-1}(0) \subset \mathbf{R}^n$ with dim $X = \dim g^{-1}(0)$. A C^{∞} -map $f: X \to Y$ between Nash manifolds $X \subset \mathbf{R}^m$ and $Y \subset \mathbf{R}^n$ is called a *morphism*, if its graph $\{(x, f(x)) : x \in X\} \subset \mathbf{R}^m \times \mathbf{R}^n = \mathbf{R}^{m+n}$ is a Nash manifold. We now recall the classical

Theorem of Nash [13, 14]. — Every closed C^{∞} -manifold X admits the structure of a Nash manifold and this structure is unique up to isomorphism.

As every closed combinatorial manifold of dimension $k \le 7$ has a compatible C^{∞} -manifold structure (unique for $k \le 6$), it also has a Nash-manifold structure (unique for $k \le 6$). On the 7-sphere S^7 there are 28 Nash-manifold structures as there are 28 differential structures.

If $g: \mathbf{R}^n \to \mathbf{R}^q$ is a polynomial map and X is a real analytic closed subset of $g^{-1}(0)$ of the same dimension as $g^{-1}(0)$, then X is called a Nash space and also a Nash component of $g^{-1}(0)$. A Nash space X which is a topological manifold, and except at one point x_0 a \mathbf{C}^{∞} -manifold, will be called a Nash manifold with one singularity at x_0 . Examples are described in theorems 2 and 3 above. (In order to meet the definition strictly we have to embed $\mathbf{P}^5(\mathbf{C})$ and $\mathbf{P}^{10}(\mathbf{R})$ as real algebraic varieties in \mathbf{R}^{N} for some N.)

In the remaining part of this paper we prove an analogue of Nash's theorem:

Main theorem 4. — Every closed combinatorial 8-manifold X has the structure of a Nash manifold with one singularity, embedded in \mathbf{R}^{16} . It is a Nash component of the algebraic set $g^{-1}(0)$ for some polynomial map $g: \mathbf{R}^{16} \to \mathbf{R}^q$.

We first prove an important lemma which we need later. For any C^{∞} -function $f: W \to \mathbb{R}^q$, defined on a neighbourhood W of o in \mathbb{R}^n , and for any natural number s, we denote by f_s the polynomial function of degree s, which at $o \in \mathbb{R}^n$ has all derivatives of orders $\leq s$ in common with f. f_s is therefore the Taylor series of f at o, up to and included terms of degree s.

Lemma 4. — Let W, with closure \overline{W} , and W' be bounded open sets in \mathbb{R}^n and $o \in W \subset \overline{W} \subset W'$; $s \ge o$; $\varepsilon > o$; $|x| = \sqrt{\sum_{i=1}^{n} (x_i)^2}$ for $x = (x_1, \ldots, x_n) \in \mathbb{R}^n$.

For any C^{∞} -function $f: W' \to \mathbf{R}^q$, there exists a polynomial function $\psi: W \to \mathbf{R}^q$ with the same Taylor-s-part at o:

$$\psi_{\circ} = f_{\circ}$$

and ϵ -near to f on W in the C^s -metric:

$$|(\partial_{\alpha}\psi)(x)-(\partial_{\alpha}f)(x)|\leq \varepsilon$$
 for $|\alpha|\leq s, x\in W$.

Here, if α is the multiindex $\alpha = i_1, \ldots, i_r$, then

$$\partial_{\alpha} = \partial_{i_1} \dots \partial_{i_r}$$
 and $|\alpha| = r \ge 0$.

Proof. — Because we can C^{∞} -extend the restriction of f to \overline{W} over \mathbb{R}^n , we may just as well assume that W and W' are bounded open balls with centre in $o \in \mathbb{R}^n$. It is well known that given f and $\delta > o$, there exists a polynomial function Φ , for which

(10)
$$|\partial_{\alpha}(f-\Phi)(x)| < \delta$$
 for $|\alpha| \le s, x \in W$.

We refer to Graves [16] and only recall that Φ can be obtained for example for a sufficiently large integer m, and

$$c_m^{-1} = \int_{|u| \le m} (1 - u^2/m^2)^{m^4} du, \qquad u^2 = < u, u > ,$$

as the convolution (an averaging process):

$$\Phi(x) = \int_{\mathbf{R}^m} f(u) \cdot c_m \cdot [\mathbf{I} - (x - u)^2 / m^2]^{m^4} du$$

with f(x) = 0 by definition for $x \notin W'$.

 Φ is then a polynomial function of highest degree $\leq 2m^4$.

From (10) we obtain in particular at $0 \in \mathbb{R}^n$:

$$|\partial_{\alpha}(f-\Phi)(o)| = |\partial_{\alpha}(f_s-\Phi_s)(o)| < \delta$$
 for $|\alpha| \le s$.

By integrating along half rays starting at $o \in \mathbb{R}^m$ we see that a constant C > o exists, such that

$$|\partial_{\alpha}(f_s - \Phi_s)(x)| < C\delta$$
 for $|\alpha| < s, x \in \overline{W}$.

C depends only on \overline{W} , and not on f or Φ .

Let δ be so small that $(1+C)\delta \leq \epsilon$. The required function is then

$$\psi = \Phi + (f_s - \Phi_s).$$

It has the properties:

$$(\partial_{\alpha}\psi)(o) = (\partial_{\alpha}f_s)(o) = (\partial_{\alpha}f)(o)$$
 for $|\alpha| \le s$,

and

$$|(\partial_{\alpha}\psi)(x)-(\partial_{\alpha}f)(x)|\leq |(\partial_{\alpha}(\Phi-f)(x))|+|\partial_{\alpha}(f_s-\Phi_s)(x)|\leq \delta+C\delta\leq\varepsilon \quad \text{for} \quad |\alpha|\leq s, \ x\in W.$$

§ 6. Construction of an embedding of the closed combinatorial 8-manifold X in \mathbb{R}^{16} as a \mathbb{C}^{∞} -manifold with one specific singularity at $0 \in \mathbb{R}^{16}$.

This construction follows completely the proof of Whitney's embedding theorem for C^{∞} -manifolds. We smooth (see § 1) the complement $X-U_0$ of an open 8-ball U_0 in X. The boundary ∂U_0 is an exotic 7-sphere representing $\overline{\gamma}(X) \in \Gamma_7 = \mathbf{Z}_{28}$. Let $k=\overline{\gamma}(X) \mod 28$ and 0 < k < 28. (In the case k=0, X is smoothable and we are done by Nash's theorem.) The closed 8-ball \overline{U}_0 is embedded by a map i_0 onto the standard model with n=6k:

(12)
$$i_0(\overline{\mathbf{U}}_0) = \{z \mid f_1(z) = z_1^{n-1} + z_2^3 + z_3^2 + z_4^2 + z_5^2 = 0 \text{ and } |z| \le 9c\} \subset \mathbf{C}^5 = \mathbf{R}^{10}.$$

For later use we define

(13)
$$U_0(t) = i_0(\{z | |z| \le t\}), \quad 0 \le t \le 9c;$$

 ∂U_0 has by virtue of i_0 an induced differential structure, which represents $\overline{\gamma}(X)$ by the choice of n = 6k.

We can assume the two smoothings of ∂U_0 to be equal, and U_0 and $X-U_0$ can be glued along their common boundary to obtain a C^{∞} -manifold with one singularity (12) at x_0 . From now on we assume this structure in the symbol X. Next we construct an embedding of X in some euclidean space.

It is easy to see that a C[∞]-map

$$\kappa_0': \boldsymbol{C}^5 \to \boldsymbol{C}^5 \! \times \! \boldsymbol{R} \! = \! \boldsymbol{R}^{11}$$

exists with

$$\begin{aligned} \kappa_0'(z) = &(z, o) & \text{for } |z| \leq 8c, \\ \kappa_0'(z) = &(o, 1) & \text{for } |z| \geq 9c, \\ \kappa_0' & \text{is a } \mathbf{C}^{\infty}\text{-embedding} & \text{for } |z| \leq 9c. \end{aligned}$$

The composition $\kappa_0' \circ i_0$, extended by the constant map $\kappa_0(x) = (0, 1)$ for $x \notin U_0(9c)$, determines a map $\kappa_0: X \to \mathbf{R}^{11}$,

which is C^{∞} on $X-\{x_0\}$, C^{∞} -embedding on $U_0(9c)-\{x_0\}$, and "standard" (see (12)) on $U_0(8c)$.

For any point $x \in X - U_0(9e)$ there is an 8-ball neighbourhood $U_x \subset X - U_0(8e)$ and a \mathbb{C}^{∞} -map $\kappa_x : X \to \mathbb{R}^9$

onto the 8-sphere

$$S^8 = \{(u_1, \ldots, u_9) \in \mathbb{R}^9 : \sum_{j=1}^9 u_j^2 = 2u_9\},$$

such that the restriction $\kappa_x | U_x$ is a \mathbb{C}^{∞} -diffeomorphism onto $S^8 - \{o\}$, and $\kappa_x(y) = o \in \mathbb{R}^9$ for $y \notin U_x$.

A finite number of the neighbourhoods U_0 and U_x , say U_0 , U_1 , ..., U_L cover X. Then we obtain a map

$$\kappa: X \rightarrow \mathbf{R}^{11+9L}$$

defined by $\kappa(x) = (\kappa_0(x), \kappa_1(x), \ldots, \kappa_L(x))$. κ is an embedding of X onto a \mathbb{C}^{∞} -manifold with one standard singularity $\kappa(U_0(8c)) \subset \mathbb{R}^{10} \times o \subset \mathbb{R}^{11+9L}$ near $\kappa(x_0) = o$.

Finally we decrease the dimension of the target space in the usual manner as follows. The set of chords and tangents of $\kappa(X-\{x_0\})$ is the C^{∞} -image of a 17-manifold. It is nowhere dense for 11+9L>17 by Sard's theorem. We then can project $\kappa(X)$ from some point into that linear subspace of \mathbf{R}^{11+9L} on which the last coordinate vanishes, and we obtain an analogous embedding. This process can be repeated until we get an embedding in \mathbf{R}^{17} . One more projection yields an immersion with isolated transversal self-intersections in \mathbf{R}^{16} . The self intersections can be removed by Whitney's method [15], to obtain the required embedding. Observe that during this process the embedding of $U_0(3c)$ remains unchanged.

From now on we identify X with $\kappa(X) \subset \mathbf{R}^{16}$, the embedded manifold with standard part $U_0(8c) = \kappa(U_0(8c)) \subset \mathbf{R}^{10} \times o$. So we have a diagram of inclusions:

\S 7. $C^{\infty}\text{-equations for }X \in I\!\!R^{16}$ (1).

In this paragraph we define a diagram of C^{∞} -maps

W an open neighbourhood of $X \subset \mathbb{R}^{16}$, such that

(16)
$$X^8 = (p_2\overline{\alpha})^{-1}(o) \cap W.$$

⁽¹⁾ The constructions in § 7 and § 8 are analogous to those of Thom [14] concerning smooth manifolds.

The map $p_2\overline{\alpha}$ therefore determines a set of 16 equations for X^8 . $\overline{\alpha}$ has a singularity at 0, and it is transversal to $G_8 \subset A_8$ at all other points of W.

We first define $\overline{\alpha}$ on certain parts W(t) of W.

Consider the normal bundle of $X-U_0(c)$ in \mathbf{R}^{16} . The normal exponential map nexp: $(x, \nu) \mapsto x + \nu \in \mathbf{R}^{16}$ is a \mathbf{C}^{∞} -map of its total space into \mathbf{R}^{16} . Here ν is a normal vector at $x \in X-U_0(c)$.

There is a constant ε_1 such that the restriction of nexp to the space of normal vectors of length smaller than ε_1 , is a diffeomorphism, with image the tubular neighbourhood (= total ε_1 -ball bundle space):

$$W(t) = \operatorname{nexp} \{(x, v) | x \in X - U_0(t), |v| < \varepsilon_1 \} \qquad c \le t \le gc.$$

The projection of the ϵ_1 -ball bundle is called

$$\mu: W(t) \to X^8 - U_0(t)$$
.

Let G_8 be the Grassmann manifold of all 8-dimensional vector subspaces of \mathbf{R}^{16} , and A_8 the total space of the corresponding open ε_1 -ball bundle p:

$$egin{aligned} &\mathbf{A}_8\!=\!\{(g,\,\mathbf{v})\!\in\!\mathbf{G}_8\! imes\!\mathbf{R}^{16}|\,\mathbf{v}\!\in\!g,\,|\,\mathbf{v}|\!<\!\epsilon_1\}\!\subset\!\mathbf{G}_8\! imes\!\mathbf{R}^{16}\ &\downarrow^p\ &\mathbf{G}_8\!=\!\mathbf{G}_8\! imes\!o. \end{aligned}$$

The tangent vector spaces at different points of \mathbf{R}^{16} are all identified with \mathbf{R}^{16} . μ is induced from p by the natural bundle map

$$egin{array}{ccc} W(t) & \stackrel{\overline{eta}}{\longrightarrow} & A_8 \ & \downarrow p \ & & \downarrow p \ & & X^8 - U_0(t) & \stackrel{eta}{\longrightarrow} & G_8 \end{array}$$

where $\beta(x)$ is the normal vector space at x in \mathbf{R}^{16} with respect to X^8 , and

$$\overline{\beta}(\text{nexp}(x, y)) = (\beta(x), y).$$

 G_8 is identified with the o-section of p.

We will modify the map β and obtain a map α which is constant near $\partial U_0(5c)$.

The space $\overline{U_0(8c)} - U_0(5c)$ is diffeomorphic with $\Sigma^7 \times I$, the product space of the exotic 7-sphere Σ^7 and a segment. Because $U_0(8c)$ is contained in $\mathbf{R}^{10} \times o \subset \mathbf{R}^{16}$, the normal bundle of this product-space part of X is the direct sum of a trivialized 6-plane bundle and a orientable trivial 2-plane bundle. Then by fibre-bundle theory $[\pi_7(G_{2,8}) = o$ for $G_{2,8}$ the Grassmann space of 2-planes in \mathbf{R}^{10}] there is a \mathbf{C}^{∞} -map α , whose restriction to $\overline{U_0(8c)} - U_0(5c)$ is a homotopy:

$$X^8 - U_0(5c) \stackrel{\alpha}{\to} G_8,$$

$$\alpha(x) = \begin{cases} \beta(x) & \text{for} & x \in \mathbf{X} - \mathbf{U}_0(7c) \\ g_0 & \text{for} & x \in \mathbf{U}_0(6c) - \mathbf{U}_0(5c). \end{cases}$$

 g_0 is the 8-plane $0 \times \mathbb{R}^2 \times \mathbb{R}^6 \subset \mathbb{R}^{16}$;

 $\alpha(x)$ is an 8-plane containing the 6-plane, $0 \times 0 \times \mathbb{R}^6 \subset \mathbb{R}^{16}$ for all $x \in U_0(8c) - U_0(5c)$.

The bundle induced from p by α is equivalent to that induced from p by β . Hence we may identify both induced bundles and we have an orthogonal ϵ_1 -ball bundle map, which is a \mathbb{C}^{∞} -map of pairs,

and

(17')
$$\alpha(\mathbf{U}_0(6c) - \mathbf{U}_0(5c)) = g_0, \quad \overline{\alpha}(w) = \overline{\beta}(w) \text{ for } w \in \mathbf{W}(7c).$$

 A_8 contains the fibre $p^{-1}(g_0)$ which is an ϵ -ball in \mathbf{R}^8 . We will in the sequel extend the fibre-bundle map over the base space $U_0(5c)-U_0(3c)$ by a map $(\overline{\alpha}, \alpha)$ for which α takes the constant value g_0 . We will further extend $\overline{\alpha}$ over some neighbourhood of $U_0(3c)$ in \mathbf{R}^{16} , by a map with all values in the ϵ -ball $p^{-1}(g_0)\mathbf{R}^8$.

In order to define $\overline{\alpha}$ near the singularity, we start from the map

$$f_1: \mathbf{R}^{10} \to \mathbf{R}^2$$

which was defined in terms of complex variables by (1) in § 3. Observe that for $t \le 8c$:

$$f_1^{-1}(0) \cap \{z \mid |z| \le t\} = U_0(t) \subset \mathbf{R}^{10} = \mathbf{R}^{10} \times 0 \subset \mathbf{R}^{16}.$$

Near to the singularity, that is for some small enough neighbourhood of $U_0(c)$ in \mathbf{R}^{16} , we define

(18)
$$\overline{\alpha} = f_1 \times \mathrm{id} : \mathbf{R}^{10} \times \mathbf{R}^6 \to \mathbf{R}^2 \times \mathbf{R}^6.$$

Here id is the identity map of \mathbf{R}^6 .

For small $\varepsilon > 0$ and $B(\varepsilon) = \{ y \in \mathbb{R}^2 | |y| < \varepsilon \}$, f_1 determines a framing and a trivial fibre bundle with fibres diffeomorphic to $B(\varepsilon)$, group the group of diffeomorphisms of $B(\varepsilon)$, base space $U_0(4\varepsilon) - U_0(\varepsilon)$, and fibre over x:

$$F_x = f_1^{-1}(B(\varepsilon)) \cap \{nexp(x, v) | v \text{ normal vector at } x\}.$$

 F_x is contained in a unique linear two-dimensional variety $L_x \subset \mathbf{R}^{10}$. Let the framing map $\pi_x : \mathbf{B}(\varepsilon) \to L_x$ be defined as the inverse of

$$(f_1|\mathbf{F}_x):\mathbf{F}_x\to\mathbf{B}(\varepsilon).$$

We want to modify π_x (hence f_1) to obtain isometries for $x \in U_0(4\ell) - U_0(3\ell)$. Because the oriented differentiable embeddings of $B(\varepsilon)$ in \mathbb{R}^2 with fixed origin, retract

by deformation into the orthogonal group SO(2), there is for each x a homotopy of C^{∞} -embeddings

$$\pi_{x,t}: \mathbf{B}(\varepsilon) \to \mathbf{L}_x, \qquad \pi_{x,t}(o) = x,$$

starting with $\pi_{x,0} = \pi_x$ and ending with an isometry $\pi_{x,1}$. We can choose it such that the mapping $\pi_{x,t}$ depends \mathbf{C}^{∞} on x and t, and $\pi_{x,t}$ is constant with respect to t for $0 < t < \frac{1}{3}$ and for $\frac{2}{3} < t \le 1$.

Now we are ready to replace f_1 by a new map α_0 . For $x \in \overline{U_0(4c)} - U_0(c)$ let t implicitly be given by

$$x \in \partial U_0(c + 3tc)$$
, $0 \le t \le 1$.

Let $y \in \pi_{x,t}(B(\varepsilon)) \subset L_x \subset \mathbf{R}^{10}$.

Now put

$$\alpha_0(y) = (\pi_{x,t})^{-1}(y) \in \mathbf{R}^2.$$

We continue the definition of $\overline{\alpha}$. In some neighbourhood of $\overline{U_0(4c)}-U_0(o)$ in its tubular normal bundle space in \mathbf{R}^{16} , we put

$$\overline{\alpha} = \alpha_0 \times id.$$

Here again id is the identity map of \mathbb{R}^6 . Observe that (19) agrees with (18).

Over the part $\overline{U_0(4c)} - U_0(3c)$ and over the part $\overline{U_0(6c)} - U_0(5c)$ the mapping $\overline{\alpha}$ into $\mathbf{R}^8 = \mathbf{R}^2 \times \mathbf{R}^6 = p^{-1}(g_0)$ determines orthogonal trivializations of the normal tangent bundle, each splitting of the same trivial trivialization in the vector spaces parallel to $0 \times \mathbf{R}^6 \subset \mathbf{R}^{16}$. Recall for this that $\overline{U_0(8c)} \subset \mathbf{R}^{10} \times 0 \subset \mathbf{R}^{16}$. These trivializations therefore reduce to trivializations of 2-plane bundles essentially over seven-spheres. They are homotopic.

The trivializations of the tubular neighbourhoods over $\overline{U_0(4c)} - U_0(3c)$ and over $\overline{U_0(6c)} - U_0(5c)$ as orthogonal ε_1 -ball bundles (for ε_1 small enough), correspond one-to-one to the orthogonal trivializations of the normal tangent bundles. Therefore $\overline{\alpha}$ can be extended over the normal tubular bundle over $\overline{U_0(5c)} - U_0(4c)$ in \mathbf{R}^{16} by a map into \mathbf{R}^8 , which is also isometric on each fibre.

Taking the map $\bar{\alpha}$ of differentiability class C^{∞} we have obtained, with (17), (18) and (19), for some neighbourhood W of X in \mathbb{R}^{16} , the map

$$(15) w \stackrel{\bar{\alpha}}{\to} A_8.$$

The restriction to W(3c) is an orthogonal bundle map:

$$\begin{array}{cccc} W(3c) & \stackrel{\overline{\alpha}}{\longrightarrow} & A_8 \\ & & \downarrow & & \downarrow \\ & & \downarrow & & \downarrow \\ X - U_0(3c) & \stackrel{\alpha}{\longrightarrow} & G_8 \end{array}$$

The restriction to W-W(6c) is into $p^{-1}(g_0) \subset \mathbb{R}^8$:

$$(15 b) W-W(6c) \xrightarrow{\bar{\alpha}} p^{-1}(g_0) \subset \mathbf{R}^8.$$

The restriction to W-W(c) is:

$$(15 c) f_1 \times id.$$

Now the diagram (15) and the properties mentioned after (15) follow immediately.

§ 8. Algebraic equations for X.

We consider again diagram (15). Let G_8 be embedded as an algebraic submanifold in some euclidean space \mathbf{R}^M . The normal exponential map defines a tubular neighbourhood Y with radius ϵ (sufficiently small) of G_8 , and with an *algebraic* orthogonal projection (a retraction)

$$\rho: Y \rightarrow G_8 \subset Y^M$$

 $\rho(y)$ is the point in G_8 that is nearest to $y \in Y$. We now extend diagram (15) by natural inclusions

$$(21) \qquad \stackrel{\overline{\alpha}}{\longrightarrow} A_8 \subset G_8 \times \mathbf{R}^{16} \subset \mathbf{Y} \times \mathbf{R}^{16} \subset \mathbf{R}^{M+16}$$

$$\downarrow \qquad \qquad \downarrow \qquad \qquad \qquad \downarrow \qquad \qquad \qquad \downarrow \qquad \qquad \downarrow \qquad \qquad \downarrow \qquad \qquad \downarrow \qquad \qquad \qquad \downarrow \qquad \qquad \qquad \downarrow \qquad \qquad \qquad \downarrow \qquad \qquad \downarrow \qquad$$

The retraction ρ in (20) can be covered by a retraction $\overline{\rho}$, which is also algebraic:

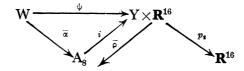
It is defined by the condition that $\overline{\rho}(y, z)$ is the orthogonal projection of the point $(\rho(y), z) \in Y \times \mathbb{R}^{16}$ which lies in the euclidean 16-space $p_1^{-1}(\rho(y))$, into the euclidean sub-8-space $p^{-1}(\rho(y)) \subset A_8$.

We now call W: W', and let $W \subset \overline{W} \subset W'$ be a smaller analogous neighbourhood of $X \subset \mathbb{R}^{16}$. Then we apply lemma 4 to the map

$$W' \xrightarrow{i\overline{\alpha}} Y \times \mathbf{R}^{16} \subset \mathbf{R}^{M} \times \mathbf{R}^{16}.$$

We obtain a polynomial map ψ , arbitrary C^{S} -near to $i\overline{\alpha}$ on W and with the

same s-jet at $0 \in W \in \mathbb{R}^{16}$. The image of this s-jet therefore lies in A_8 ! We now have the noncommutative diagram



Let g be the algebraic map

$$g = p_2 i \overline{\rho} \psi : W \rightarrow \mathbf{R}^{16}$$
.

Then $X_1 = (\overline{\sigma}\psi)^{-1}(G_8) = g^{-1}(o) \subset W$ is the required Nash-manifold with one singularity. It is a real analytic manifold with one singularity, locally defined by algebraic equations. (It is an open problem also for smoothable *n*-manifolds, whether X_1 can be a topological component, or even the whole, of the set of zeros of a set of polynomials. See [13]. If X_1 has a trivial tangent bundle then it can be a topological component.)

The maps $\overline{\alpha}$ and $\overline{\rho}\psi:W\to A_8$ have the same s-jet at o. From Malgrange's preparation theorem [17], as applied to ideals of C^{∞} -functions by Tougeron [18] and Mather [19], it follows that there exists for s large enough a C^{∞} -diffeomorphism $\zeta:U\to \zeta(U)$, defined on some neighborhood U of o in \mathbb{R}^{16} , as C^2 -near as we please to the identity map, such that $\zeta[(p_2\overline{\alpha})^{-1}(o)\cap U]=g^{-1}(o)\cap \zeta(U)$. The singularities of X and X_1 at o are therefore of the same exotic kind. The restriction $\overline{\alpha}|(W-\{o\})$ is transversal to $G_8\subset A_8$. Hence for any choice of neighborhood U' of o in \mathbb{R}^{16} also the restriction $\overline{\rho}\psi|(W-U')$ is transversal to G_8 in case ψ is C^1 -near enough to $i\overline{\alpha}$.

The map which assigns to any point of $X-U_0(c')$ (c' small) the unique nearest point of X_1 , defines a diffeomorphism, C^2 -near to the identity map restricted to $X-U_0(c')$. This diffeomorphism can be extended over $X-\{o\}$ such that it equals ζ near o.

Consequently X and X_1 are combinatorially equivalent, and g is the polynomial map required in theorem 4.

We conclude with the formulation of two problems:

Problem. — Which combinatorial 8-manifolds admit a complex manifold structure with one Hirzebruch singularity?

Problem. — Which combinatorial 8-manifolds can be embedded as Nash manifold with one Hirzebruch singularity in a low dimensional euclidean space?

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