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ON SOME LOCALIZED ESTIMATES FOR  
PSEUDO-DIFFERENTIAL OPERATORS

by A. MELIN

Let  $P = p^w(x, D)$  (Weyl convention) be a classical ps.d.op. in  $\mathbb{R}^n$  with principal symbol  $p_m$  positively homogeneous of degree  $m$ . Let  $\rho_0$ , say  $\rho_0 = (0, \xi_0)$ , be a point in the cotangent space of  $\mathbb{R}^n$  and consider problems of the following types :

- (A) Determine those  $\mu$  for which there exist a constant  $C$  and a ps.d.op.  $R$  of order strictly less than  $\mu$  near  $\rho_0$  such that
- $$\|u\|_{(\mu)}^2 \leq C \|Pu\|^2 + \|Ru\|^2 ; u \in C_0^\infty(\mathbb{R}^n),$$
- or in case  $p$  is real,  $p_m \geq 0$ .
- (B) Determine those  $\mu$  for which there is a lower bound
- $$(Pu, u) \geq C \|u\|_{(\mu)}^2 + \|Ru\|^2 .$$

Sometimes when  $\mu$  is kept fixed we also look for the possible constants  $C$  that can occur.

In case  $p_m(\rho_0) \neq 0$  the standard calculus for pseudo-differential operators gives us a simple answer. In the other cases one has to localize the estimates near the characteristic variety  $\Sigma$  and get corresponding problems for operators with polynomial coefficients obtained from the Taylor series of  $(x, \xi) \rightarrow p(\rho + \lambda(x, \xi))$  when  $\rho \in \Sigma$ , and  $\lambda$  is a small parameter. Thus for example to have (B) with  $\mu = (m-1)/2$  (the sharp Gårding inequality) and a constant  $C$  implies lower bounds for the eigenvalues of the harmonic oscillator type operators which are obtained from a Taylor expansion up to the second order along  $\Sigma$ . Sharper results in these direction are obtained by Hörmander [2].

In Egorov [1] it is shown that his theorem about the validity of (A) with  $\mu = m - \delta$  under the condition  $(\psi)$  when not all the commutators  $p_I$  of length  $|I|$  of  $\text{Re } p$  and  $\text{Im } p$  vanish when  $|I|(1-\delta) \leq 1$ , essentially relies upon estimates of the following form :

$$(1) \quad M \|u\| + \|u'_t\| \leq C_0 \|u'_t - Q(t)u\| .$$

Here  $Q$  is either multiplication by a polynomial  $q(t)$  or an operator  $v(y) \rightarrow [F(t, y) + G(t) D_y] v(y)$  with polynomial coefficients acting on  $L^2(\mathbb{R}_y)$ . The condition  $(\psi)$  implies that  $(Q(t)v, v)$  can only change sign

from - to + for fixed  $v$  and  $M$  is related to  $\sum_{|I|(1-\delta)\leq 1} |P_I|^{1/|I|}$ . Thus

for example  $M = \sum |q^{(j)}(0)|^{1/(j+1)}$  in the first case. In this case a simple proof for (1) is given if one observes that there is a constant  $C_A$  only depending on  $A$  so that :

$$(2) \quad \|u'_t\| + \|u\| \leq C_A \|u' - hu\|$$

if  $h$  can only change sign from - to + and in addition satisfies the following :

$$(3) \quad \text{measure } \{t; |h(t)| < A^{-1}\} < 1 \quad ,$$

$$(4) \quad \int_{\mathbf{R}} \max(0, -h'(t) - |h(t)|) dt \leq A.$$

One then obtains (1) when  $Q(t) = q(t)$  by a symplectic dilation.

#### References

- [1] Yu. V. Egorov : Subelliptic operators .  
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