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## RELATIONSHIPS AMONG PL, #L, AND THE DETERMINANT (\*)

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*Abstract.* – Recent results by Toda, Vinay, Damm, and Valiant have shown that the complexity of the determinant is characterized by the complexity of counting the number of accepting computations of a nondeterministic logspace-bounded machine. (This class of functions is known as #L.) By using that characterization and by establishing a few elementary closure properties, we give a very simple proof of a theorem of Jung, showing that probabilistic logspace-bounded (PL) machines lose none of their computational power if they are restricted to run in polynomial time.

We also present new results comparing and contrasting the classes of functions reducible to PL, #L, and the determinant, using various notions of reducibility.

*Résumé.* – Nous donnons une épreuve très simple d'un résultat de Jung; ceci montre que la classe PL ne change pas, même si l'on impose la condition que les machines n'utilisent que de temps polynomial. Nous recherchons aussi les relations entre PL, #L, et le déterminant, sous les notions de réducibilité diverses.

### 1. INTRODUCTION

One of the most important and influential early results of complexity theory is the theorem of [47] showing that the complexity of computing the permanent of an integer matrix is characterized by the complexity class #P of functions that count the number of accepting computation paths of a nondeterministic polynomial-time machine. It is perhaps surprising that

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well over a decade passed before it was discovered that an equally-close connection exists between the complexity of computing the determinant of a matrix and the class  $\#L$  (defined in [5]) of functions that count the number of accepting computation paths of a nondeterministic logspace-bounded machine. In order to state this connection more precisely, let us define  $\text{GapL}$  to be  $\{f : f(x) = g(x) - h(x) \text{ for some } g \text{ and } h \text{ in } \#L\}$ . (This definition is by analogy to the class  $\text{GapP}$ , consisting of the difference of  $\#P$  functions, which is defined and studied in [17], [36], [22].)

Although stated in different ways, the results of [49, Theorem 6.5], [44, Theorem 2.1], [16], and [48, Theorem 2] show the following fact:

**THEOREM 1** [44], [16], [49], [48]: *A function  $f$  is in  $\text{GapL}$  iff  $f$  is logspace many-one reducible to the determinant.*

(A function  $f$  is logspace many-one reducible to the determinant if there is a function  $g$  computable in logspace such that  $f(x)$  (viewed as a number written in binary) is equal to the determinant of matrix  $g(x)$ .)<sup>(1)</sup> The proof given in [44] is particularly clear and direct: Toda shows that the determinant (of integer matrices) is reducible to iterated matrix multiplication over the integers (using [7]), which in turn is reducible to iterated matrix multiplication over  $\{0, 1, -1\}$ , which in turn is reducible to a canonical  $\text{GapL}$ -complete problem, which in turn is reducible to the determinant. We remark that the logspace many-one reductions presented in [44], can in fact be computed by uniform  $\text{AC}^0$  circuits; we will use this fact later.

In this paper we use Theorem 1 to give a very simple proof of a theorem of Jung [27], concerning the complexity class  $\text{PL}$ .  $\text{PL}$  is defined to be the class of languages  $A$  for which there exists a probabilistic Turing machine (in the sense of [19]; that is, a Turing machine with access to a source of unbiased random bits), such that on input  $x$  the machine never uses more than  $\log|x|$  space, and  $x \in A$  if and only if the probability that the machine reaches an accepting configuration is greater than one half. Although the definition of  $\text{PL}$  is straightforward, it turns out that the complexity of  $\text{PL}$  is

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<sup>(1)</sup> This definition of “functional many-one reducibility” is from [5]. It should be noted that the notion of one function being “many-one reducible” to another is sometimes defined in a much less restrictive way. For example, it is shown in [53], [11] that the permanent of zero-one matrices is “many-one complete” for  $\#P$ , using a less restrictive version of “many-one reductions.” On the other hand, it follows from [47] that the permanent of integer matrices cannot be complete for  $\#P$  or  $\text{GapP}$  using our definition of “many-one reduction” unless  $P = \oplus P$  (since the permanent and the determinant are equal mod 2). That is, the relationship between the determinant and  $\#L$  is even *closer* than the relationship that is known to exist between the permanent and  $\#P$ .

rather difficult to analyze, in part because probabilistic logspace machines can perform useful work after exponentially many computation steps. An easy way to see this is to note that the  $s$ - $t$  connectivity problem (a standard NL-complete set) can be accepted with *zero error* by a PL machine that follows a randomly-chosen path from vertex  $s$ , accepting if vertex  $t$  is reached, and otherwise trying another randomly-chosen path, and so on; if a path exists, it will eventually be found (with probability one).

Gill showed in [19] that PL is contained in PSPACE. This was improved to DSPACE( $\log^6 n$ ) in [41], but it was not until the appearance of [8] that it was even known that PL is contained in P; [8] shows that PL is contained in NC<sup>2</sup>, and this can be improved slightly to TC<sup>1</sup> (the class computed by logarithmic-depth threshold circuits).<sup>(2)</sup> Similarly, PL was not known to be closed under complementation until a complicated proof was given in [42]; a much simpler proof subsequently appeared in [39]. The source of all this difficulty was the fact, already alluded to, that probabilistic logspace machines cannot obviously be restricted to run in polynomial time without loss of computational power. However, Jung showed in [27] that, at least in the *unbounded error* model (which defines the class PL), the polynomial-time restriction causes no loss of power. The proof presented in [27] is complicated; we present an easy proof in Section 3.

Note that Theorem 1, combined with our easy proof of Jung's theorem, give an alternative proof of the result of [8] concerning the complexity of PL, as well as making closure of PL under complement completely obvious.

Note that it would be remarkable if a theorem analogous to Jung's theorem could also be proved in the *bounded error* case. We have already seen that NL can be accepted with bounded (in fact zero) error; thus this would in some sense provide a non-uniform version of  $L=NL$  (i.e.,  $L/poly = NL/poly$ ). Furthermore, Nisan [33] has shown that bounded-error, polynomial-time logspace can be simulated in polynomial time and space  $\log^2 n$ ; hence extending Jung's theorem to bounded error would provide a small-space polynomial-time algorithm for transitive closure. All of these consequences would be surprising. We will not discuss bounded-error probabilistic logspace in the remainder of the paper; for more information on the bounded-error classes, see [9].

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<sup>(2)</sup> The complete history of the complexity of PL is even more complicated. The interested reader may wish to consult [8, p. 115], [25], [43, p. 111], and [20]. The related notion of probabilistic finite automata has also been studied in depth; for recent results and references, see [31], [32].

Let DET denote the determinant function. (Do not confuse this with the definition of [15], where DET is used to denote the class of functions NC<sup>1</sup>-reducible to the determinant.) Theorem 1 allows one to show that  $L^{\text{DET}} = L^{\#L}$ , as well as  $\text{AC}^0(\text{DET}) = \text{AC}^0(\#L)$  and  $\text{NC}^1(\text{DET}) = \text{NC}^1(\#L)$ , etc. However, no logspace-analog of the theorem  $\text{P}^{\text{PP}} = \text{P}^{\#P}$  is known, and we suspect that no such analog exists. For example, although we show that any of the high-order  $O(\log n)$  bits of a #L function can be computed in  $L^{\text{PL}}$ , we know of no reason to suspect that the middle or low-order bit of a #L function can be computed in this way.

For most natural problems  $A$ , the class of things reducible to  $A$  remains the same regardless of the notion of reducibility that is used. For instance, NL is the class of languages reducible to  $s$ - $t$ -connectivity under logspace many-one or Turing reductions, or under 1-L reductions or quantifier-free projections or NC<sup>1</sup> or AC<sup>0</sup> reductions or under any of the many other low-level reductions that have been studied in the literature. However, DET does not seem to have this property. In Section 6 we present characterizations of the classes reducible to PL and #L under various notions of reducibility. For example,  $\text{AC}^0(\text{PL})$  is equal to the hierarchy  $\text{PL}^{\text{PL} \dots \text{PL}}$ .

## 2. BASIC FACTS ABOUT GapL

The class #L was defined and studied in [5]; #L is the class of functions  $f$  such that, for some nondeterministic logspace-bounded machine  $M$ ,  $f(x)$  is the number of accepting computation paths of  $M$  on  $x$ . As in [5] we restrict our definition to those machines  $M$  that halt on all computation paths on all inputs; clearly the running time is polynomial. (Otherwise, the number of accepting computation paths can be infinite.) Let FL denote the class of functions computed in (deterministic) logspace. It is noted in [5] that  $\text{FL} \subseteq \#L$ .

The following definitions are adapted from [17]. Given a nondeterministic Turing machine  $M$  that halts on all computation paths on all inputs, let  $\text{gap}_M(x)$  be [number of accepting paths of  $M$  on input  $x$ ] - [number of rejecting paths of  $M$  on input  $x$ ]. Let GapL be  $\{\text{gap}_M : M \text{ is a nondeterministic logspace-bounded Turing machine}\}$ .

We have defined #L and GapL functions to be functions mapping  $\Sigma^*$  to the integers; however, it is convenient to assume certain conventions concerning how these values are encoded. (For instance, if leading zeros

were *not* written, then it would be pointless to talk about the “high-order bit” of a #L function.) Thus we assume that there is some constant  $k$  such that a #L function is always represented in binary, using exactly  $n^k$  bits. One could devise other conventions, but they would not affect the theorems in any interesting way. GapL functions will be encoded similarly, where the high-order bit records the sign.

Let PL(poly) denote the class of sets accepted by PL machines with the restriction that the machines run in polynomial time. In the next section, we will show that this class is equal to PL.

Given function classes  $\mathcal{C}$  and  $\mathcal{D}$ , let  $\mathcal{C} - \mathcal{D}$  be the class of functions  $\{f - g : f \in \mathcal{C} \text{ and } g \in \mathcal{D}\}$ .

The following elementary results relating #L, GapL, and PL(poly) are easy to establish via trivial modifications to the proofs of the analogous results in [17]

PROPOSITION 2:  $\text{GapL} = \#L - \#L = \#L - \text{FL} = \text{FL} - \#L$ .

PROPOSITION 3: *The following are equivalent:*

1.  $A \in \text{PL}(\text{poly})$ .
2.  $\exists f \in \#L$  such that  $x \in A$  iff the high order bit of  $f(x)$  is 1.
3.  $\exists f \in \text{GapL}$  such that  $x \in A$  iff  $f(x) > 0$ .

COROLLARY 4:  $\text{Pos.DET} \in \text{PL}(\text{poly})$ , where Pos.DET is the set of all integer matrices with determinant  $> 0$ .

*Proof:* Immediate from Theorem 1 and Proposition 3. ■

It is clear that PL(poly) is closed under complement; the usual proof that PP is closed under complement carries over to this case. Thus Corollary 4 and Theorem 1 show immediately that the following sets are complete for PL(poly) under  $\leq_m^{\log}$  reductions [26]:

- The set of integer matrices with determinant  $> 0$ .
- The set of integer matrices with determinant  $\geq 0$ .
- $\{(A, m) : \text{DET}(A) > m\}$
- $\{(A, m) : \text{DET}(A) \geq m\}$
- $\{(A, B) : \text{DET}(A) > \text{DET}(B)\}$
- $\{(A, B) : \text{DET}(A) \geq \text{DET}(B)\}$

### 3. A SIMPLE PROOF OF JUNG'S THEOREM

In this section we define nondeterministic logspace many-one reducibility  $\leq_m^{\text{FNL}}$ . We show that  $\text{PL}(\text{poly})$  is closed under  $\leq_m^{\text{FNL}}$ , and we show that every set in  $\text{PL}$  is  $\leq_m^{\text{FNL}}$ -reducible to a set in  $\text{PL}(\text{poly})$ , and hence that  $\text{PL} = \text{PL}(\text{poly})$ .

The class  $\text{FNL}$  of functions computable via  $\text{NC}^1$  circuits with oracles for  $\text{NL}$  was defined and studied in [4], where it was noted that  $\text{FNL}$  admits many alternative characterizations, including the following:

- $f$  is in  $\text{FNL}$  iff  $f$  is computed by a logspace-bounded oracle Turing machine with an oracle for  $\text{NL}$ .
- $f$  is in  $\text{FNL}$  iff  $|f(x)| = |x|^{O(1)}$  and the language  $\{(x, i, b) : b \in \Sigma \cup \{\$\}\}$  and the  $i$ -th symbol of  $f(x)$  is  $b\} \in \text{NL}$ , where the presence of  $(x, i, \$)$  indicates that  $|f(x)| < i$ .

**DEFINITION 1:** *Let  $A$  and  $B$  be languages. We say that  $A \leq_m^{\text{FNL}} B$  if there is a function  $f \in \text{FNL}$  such that for all  $x, x \in A \iff f(x) \in B$ .*

**THEOREM 5:** *If  $A \leq_m^{\text{FNL}} B$  and  $B \in \text{PL}(\text{poly})$ , then  $A \in \text{PL}(\text{poly})$ .*

*Proof:* Let  $M$  be a  $\text{PL}(\text{poly})$  machine accepting  $B$ , and let  $f$  be the  $\text{FNL}$  function reducing  $A$  to  $B$ . Let  $N$  be the  $\text{NL}$  machine (with polynomial run time) deciding membership in the set  $\{(x, i, b) : \text{symbol } i \text{ of } f(x) \text{ is } b\}$ . We can assume without loss of generality that  $M$  reads its input by making exactly  $p(n)$  passes over the input; thus the input bit read at a particular moment does not depend on the sequence of probabilistic coin flips.

Let  $M'$  be a machine that, on input  $x$ , begins simulating the behavior of  $M$  on input  $f(x)$ . Each time the  $i$ -th symbol of  $f(x)$  is needed,  $M'$  will probabilistically choose a symbol  $b \in \Sigma \cup \{\$\}$  and simulate  $N$  on input  $(x, i, b)$ , using coin flips to simulate the nondeterminism of  $N$ . If the simulation of  $N$  accepts, then  $M'$  will continue, using symbol  $b$ . If the simulation of  $N$  rejects, then  $M'$  will flip one more coin and halt, accepting iff the outcome of the coin flip is "1".

It is easy to verify that  $M'$  accepts  $x$  with probability greater than one half iff  $x \in A$ . (Each accepting (rejecting) computation path of  $M$  corresponds to some number  $d(x)$  of accepting (rejecting) paths of  $M'$ , where this is the number of paths that verify the correct guesses of the bits  $b$  of  $f(x)$ .)

There are also an equal number of additional accepting and rejecting paths, corresponding to sequences that either guess the wrong bits  $b$ , or fail to find accepting paths verifying those guesses.) ■

THEOREM 6:  $PL = PL(\text{poly})$ .

*Proof:* Let  $M$  be a PL machine accepting language  $A$ , and let input  $x$  be given. As in the proof of Theorem 6.4 of [19], we will construct a Markov chain (*i.e.*, a probabilistic finite automaton, represented as a matrix) modeling the behavior of  $M$  on  $x$ , where state 1 of the chain is the initial configuration, state  $r$  is the (unique) accepting configuration, state  $r - 1$  is a rejecting, halting configuration, and there is a state of the Markov chain for each configuration of  $M$ , so that each probability  $p_{i,j} \in \{0, \frac{1}{2}, 1\}$  records the probability of going to configuration  $j$  from  $i$ .

Using an oracle from NL, it is easy to modify this Markov chain by removing all states with no path to the accepting configuration. Any transition in the original chain to one of these removed states is replaced by a transition to a unique rejecting state. The unique accepting and rejecting states are made “absorbing” states, by having the chain loop once it reaches either of those states. Call this new chain  $B$ . We have observed that transition matrix  $B$  can be constructed from  $x$  via an FNL computation. Let  $m$  be the number of states in  $B$ , other than the two absorbing states. Let state  $m + 1$  be the unique rejecting state, and state  $m + 2$  the unique accepting state.

Let  $y_i$  be the probability of ending in the accepting state, starting from state  $i$  of  $B$ . Observe that

$$y_i = B_{i,m+2} + \sum_k B_{i,k} y_k.$$

As in [19], note that this can be rewritten as

$$(D - I)Y = -C$$

where  $Y$  is the column vector  $(y_1, \dots, y_m)$ ,  $D$  is the upper left  $m \times m$  submatrix of  $B$ , and  $C$  is the column vector consisting of the top  $m$  elements of column  $m + 2$  of  $B$ . Furthermore, since  $B$  is a Markov chain with two absorbing states,  $D - I$  is invertible (*see, e.g.*, [23, Lemma III.4.1]). Let  $E_1 = 2(D - I)$ , and let  $E_2$  be equal to  $E_1$ , except with column 1 replaced by  $2C$ . Then  $E_1$  and  $E_2$  are integer matrices constructible from  $x$  via an

FNL reduction, and  $(D - I)Y = -C$  if and only if  $E_1Y = -2C$ . By Cramer's rule,  $y_1$  is equal to  $\text{DET}(E_2)/\text{DET}(E_1)$ .

That is,  $x$  is in  $A$  iff  $y_1 > \frac{1}{2}$  iff  $2\text{DET}(E_2) - \text{DET}(E_1) > 0$ .

Since  $\text{DET}$  is in  $\text{GapL}$ , the function  $f$  taking matrices  $M_1, M_2$  as input, such that  $f(M_1, M_2) = 2\text{DET}(M_2) - \text{DET}(M_1)$  is clearly also in  $\text{GapL}$ . Thus the set  $\{(M_1, M_2) : f(M_1, M_2) > 0\}$  is in  $\text{PL}(\text{poly})$ . We have shown that every set in  $\text{PL}$  is  $\leq_m^{\text{FNL}}$ -reducible to this set. The result now follows from Theorem 5. ■

The proof given above makes it clear that the result “ $\text{PL} = \text{PL}(\text{poly})$ ” *relativizes* using the appropriate notion of “relativized  $\text{PL}$ .” (This was not so clear from the proof in [27].) We will need this fact in later sections. First, however, we must define what is meant by “relativized  $\text{PL}$ .”

The question of what is an appropriate (or even meaningful) way to provide space-bounded machines with an oracle has been the subject of some debate. For a discussion of some of the issues involved and a list of references, see [1]. It turns out that a simple and useful notion of relativization is the so-called Ruzzo-Simon-Tompa relativization [39]; briefly, using this notion of relativization, a set is in  $\text{PL}^A$  if there is a probabilistic logspace machine  $M$  with an oracle tape and query states (as is usual in the definition of oracle Turing machines) along with the restriction that the machine act *deterministically* when it is writing on its oracle tape. Note that this forces the property that each query that can be asked during a computation on input  $x$  is described completely by  $x$  and by  $M$ 's configuration when the query starts to be written. In particular, only a polynomial number of queries can possibly be asked over all of  $M$ 's computations on  $x$ .

**COROLLARY 7:** For any set  $S$ ,  $\text{PL}^S = \text{PL}(\text{poly})^S$ .

*Proof:* In the proof of Theorem 6, the FNL reduction to a set in  $\text{PL}(\text{poly})$  is replaced by a  $\text{FNL}^S$  reduction to the same set; namely, the transitions in the Markov chain denote the transition probabilities in the *relativized* computation. These can clearly be computed using an oracle for  $S$ .

The proof of Theorem 5 also clearly carries over to the relativized setting. That is, if  $A$  is reducible to  $B \in \text{PL}(\text{poly})^S$  via an  $\text{FNL}^S$  reduction, then  $A \in \text{PL}(\text{poly})^S$ . ■

It is worth observing that a proof essentially identical to the proof of Theorem 5 shows the following:

**THEOREM 8:**  $\text{PL}^{\text{NL}} = \text{PL}$ .

#### 4. CLOSURE PROPERTIES OF GapL AND PL

One way of interpreting the results of the previous section is that PL is the class of languages that are reducible to the high-order bit of a #L function. In this section, we will improve this, to show that PL is the class of languages that are reducible to any of the high-order  $O(\log n)$  bits of a #L function. Along the way, we will establish some closure properties of PL and of GapL.

The following theorem and its proof are logspace-analogues of results concerning GapP that were proved in [17].

**THEOREM 9:** *Let  $f$  be any function in GapL, and let  $c$  be any natural number.*

1. *Let  $g$  be a function in FL. Then  $f(g(\cdot))$  is in GapL.*
2.  *$\sum_{i=0}^{|x|^c} f(x, i)$  is in GapL.*
3.  *$\prod_{i=0}^{|x|^c} f(x, i)$  is in GapL.*
4. *Let  $g$  be a function in FL such that  $g(x) = O(1)$ . Then  $\binom{f(x)}{g(x)}$  is in GapL.*

*Proof:* The proofs of the first three closure properties may be taken essentially word-for-word from [17].

For the fourth closure property, observe, as in [17] that if  $f$  is the difference of two #L functions  $h$  and  $h'$ , then

$$\binom{f(x)}{g(x)} = \sum_{i=0}^{g(x)} (-1)^i \binom{h(x) + i}{i} \binom{h'(x) + 1}{g(x) - i}.$$

The result now follows from the first three closure properties and from [14, Lemma 2], where it is shown that if  $a$  is in #L and  $b$  is in FL with  $b(x) = O(1)$ , then  $\binom{a(x)}{b(x)}$  is in GapL. ■

A *logspace conjunctive truth-table reduction* of one set  $A$  to another set  $B$  (denoted  $A \leq_{\text{ctt}}^{\log} B$ ) is a logspace-computable function  $f(x) = (y_1, \dots, y_r)$  for some  $r$  such that  $x$  is in  $A$  if and only if *all* of the  $y_i$  are in  $B$ . Logspace *disjunctive truth-table reductions* ( $\leq_{\text{dtc}}^{\log}$ ) are defined similarly, with “all” replaced by “at least one.” For more formal definitions, see [30].

**COROLLARY 10:** *PL is closed under  $\leq_{\text{ctt}}^{\log}$  and  $\leq_{\text{dtc}}^{\log}$  reductions. (In particular, PL is closed under intersection and union.)*

*Proof:* We present the proof for  $\leq_{\text{ctt}}^{\log}$  reductions. The proof of the other claim is analogous. Let  $C \leq_{\text{ctt}}^{\log} B$  via a reduction  $g$ , so that  $x \in C$  iff  $\forall i \leq n^c \ g(x, i) \in B$ . Let  $h$  be the GapL function such that  $h(y) > 0$  if  $y \in B$ , and  $h(y) < 0$  otherwise. Let  $H(x, i)$  denote  $h(g(x, i))$ .

The definition of the following sequence of functions follows the presentation in Section 2 of [13]. Define

$$\begin{aligned}
P^+(x, i) &= \left[ (H(x, i) - 1) \prod_{j=1}^{n^k} (H(x, i) - 2^j)^2 \right]^{2^{\lceil c \log n \rceil + 1}} \\
P^-(x, i) &= \left[ (-H(x, i) - 1) \prod_{j=1}^{n^k} (-H(x, i) - 2^j)^2 \right]^{2^{\lceil c \log n \rceil + 1}} \\
N(x, i) &= P^-(x, i) - P^+(x, i) \\
D(x, i) &= P^-(x, i) + P^+(x, i) \\
S(x, i) &= \frac{N(x, i)}{D(x, i)} \\
A(x) &= \left( \sum_{i=1}^{n^c} S(x, i) \right) - (n^c - 1) \\
A'(x) &= \left( \prod_{i=1}^{n^c} (D(x, i)) \right) \left( \sum_{i=1}^{n^c} (N(x, i) \prod_{l \neq i} D(x, l)) \right) \\
&\quad - \left( \prod_{i=1}^{n^c} (D(x, i)) \right)^2 (n^c - 1)
\end{aligned}$$

$S(x, i)$  is equivalent to the function that is called  $S_{n^k}^{(n^c)}(H(x, i))$  in [13]; it is proved there that  $A(x)$  is positive if all of the  $H(x, i)$  are positive, and  $A(x)$  is negative otherwise. Observe that  $A'(x)$  is positive if and only if  $A(x)$  is, and note also that  $A'$  may be seen to be in GapL because of the closure properties established above. This shows that  $C \in \text{PL}$ . ■

Corollary 10 can be strengthened by defining  $\leq_{ctt}^{\text{FNL}}$  and  $\leq_{dt}^{\text{FNL}}$  reductions in the obvious way. It suffices to notice that, by Theorem 5, the function  $H(x, i) = h(g(x, i))$  is in GapL, if  $g \in \text{FNL}$ . This shows:

**COROLLARY 11:** *PL is closed under  $\leq_{ctt}^{\text{FNL}}$  and  $\leq_{dt}^{\text{FNL}}$  reductions.*

**COROLLARY 12:** *Language  $A$  is in PL if and only if there is a logspace-computable function  $b(x) = O(\log |x|)$  and a GapL function  $f$  such that  $[x \in A \text{ if and only if the high-order } b(x)\text{-th bit of } f(x) \text{ is } 1]$ .*

*Proof:* The forward direction is obvious from the foregoing, with  $b(x) = 1$ .

For the converse, note that the language  $B = \{(x, y) : y \leq f(x)\}$  is in PL (since  $(x, y) \in B$  iff the GapL function  $f(x) - y \geq 0$ ). Thus the

language  $C = \{(z, x, y) : z \leq f(x) \leq y\}$  is also in PL, by Corollary 10. Let  $u_1, u_2, \dots, u_r$  be the  $2^{b(x)-1}$  strings of length  $(b(x) - 1)$ , and note that  $x$  is in  $A$  iff  $\bigvee_i u_i 10^{n^k - b(x)} \leq f(x) \leq u_i 11^{n^k - b(x)}$ . By Corollary 10,  $A$  is in PL. (This proof is essentially identical to the proof of the analogous result for time-bounded classes, as presented in [37].) ■

## 5. EXACT COUNTING IN LOGSPACE

In this section, we introduce the class  $C=L$  as the logspace-analog of the class  $C=P$ . We do this in order to characterize the complexity of the class of singular matrices, which is arguably a much more natural problem than the complete sets for PL that have been presented above. The set of integer matrices with determinant zero is a natural complete set for  $C=L$ .

First, we need to present some definitions.

**DEFINITION 2:**  $C=L$  is the class of languages  $A$  for which there is a function  $g \in \text{GapL}$  such that  $x \in A$  iff  $g(x) = 0$ .

As is the case with  $C=P$  [46], there are several equivalent ways of defining  $C=L$ .

**PROPOSITION 13:** *The following are equivalent:*

1.  $A \in C=L$ .
2. There is a function  $f \in FL$  and a function  $g \in \text{GapL}$  such that  $x \in A$  iff  $f(x) = g(x)$ .
3. There is a function  $f \in FL$  and a function  $g \in \#L$  such that  $x \in A$  iff  $f(x) = g(x)$ .
4. There is a probabilistic polynomial-time logspace-bounded machine such that  $x \in A$  iff the probability of accepting is exactly  $\frac{1}{2}$ .

*Proof:* ((1)  $\implies$  (4)) Let  $A \in C=L$ , and let  $g$  be the GapL function such that  $x \in A$  iff  $g(x) = 0$ . Let  $f_1$  and  $f_2$  be #L functions such that  $g(x) = f_1(x) - f_2(x)$ . Let  $p$  be a polynomial, and let  $M_1$  and  $M_2$  be nondeterministic machines realizing  $f_1$  and  $f_2$ , respectively, where each of  $M_1$  and  $M_2$  flip exactly  $p(|x|)$  coins along every computation path. (I.e.,  $M_1$  can be constructed from an arbitrary machine  $M$  realizing  $f_1$  by having  $M_1$  simulate  $M$  until  $M$  halts (using coins to simulate  $M$ 's nondeterministic steps), and then accepting iff all remaining coin flips are heads.)

Let  $M_3$  be the machine that flips  $p(n) + 1$  coins, simulating  $M_1$  if the first coin flip is heads, and if the first coin flip is tails simulating  $M_2$  and accepting iff  $M_2$  rejects.

It is easy to verify that, out of the  $2^{p(|x|)+1}$  probabilistic sequences, exactly  $f_1(x) + (2^{p(|x|)} - f_2(x))$  are accepting. This number is  $\frac{1}{2}$  iff  $g(x) = 0$ .

((4)  $\implies$  (3)) and ((3)  $\implies$  (2)) are obvious.

For ((2)  $\implies$  (1)), let  $f$  and  $g$  be the functions in FL and GapL, respectively, defining language  $A$ . Note that  $g - f$  is in GapL, and  $x \in A$  iff  $g(x) - f(x) = 0$ . ■

**THEOREM 14:** *The set of all singular integer matrices is complete for  $C=L$  under  $\leq_m^{\log}$  reductions.*

*Proof:* The proof is immediate from Theorem 1.

More generally, the set  $\{(A, r) : \text{the determinant of matrix } A \text{ is } r\}$  is complete for  $C=L$ , just as the set  $\{(A, r) : \text{the determinant of matrix } A \text{ is } \geq r\}$  is complete for PL. (For analogous results concerning  $C=P$ , see [40].)

Note that NL is contained in  $C=L$ . (This is because NL is closed under complement, and membership in a coNL set is equivalent to having zero accepting computations.) Furthermore, a proof essentially identical to the proof of Theorem 5 shows:

**THEOREM 15:**  $C=L^{\text{NL}} = C=L$ .

**THEOREM 16:**  $C=L$  is closed under  $\leq_m^{\text{FNL}}$ -reductions.

**PROPOSITION 17:**  $C=L$  is closed under  $\leq_{\text{dtt}}^{\text{FNL}}$  and  $\leq_{\text{ctt}}^{\text{FNL}}$  reductions. (In particular, it is closed under union and intersection.)

*Proof:* Let  $A \in C=L$ , and let  $B \leq_{\text{dtt}}^{\text{FNL}} A$  via reduction  $f$  (so  $x \in B$  iff there is an  $i \leq n^k$  such that  $f(x, i) \in A$ ). Let  $A'$  be the set of all strings  $(x, i)$  such that  $f(x, i) \in A$ . Then  $A' \leq_m^{\text{FNL}} A$ ; let  $g$  be the GapL function such that  $(x, i) \in A'$  iff  $g(x, i) = 0$ . Then  $x \in B$  iff  $\prod_{i=1}^{n^k} g(x, i) = 0$ . Theorem 9 now shows that  $B \in C=L$ .

Similarly, if  $B \leq_{\text{ctt}}^{\text{FNL}} A$  via reduction  $f$ , then  $x \in B$  iff  $\sum_{i=1}^{n^k} g(x, i)^2 = 0$ . ■

It remains unknown if  $C=L$  is closed under complement.

Closure of  $C=L$  under  $\leq_{\text{dtt}}^{\log}$  reductions is also sufficient to show that  $C=L$  contains the class  $\text{LogFew}$  that was defined and studied in [14]. (We refer the reader to [14] for the definition of this class.)

**THEOREM 18:**  $\text{LogFew} \subseteq C=L$ .

*Proof:* The definition of  $\text{LogFew}$  makes it clear that if  $A \in \text{LogFew}$ , then there is a logspace-computable function  $f$  and a  $\#L$  function  $g$  such that  $x \in A$  iff  $\exists i \leq |x|^c \ g(x) = f(x, i)$ . Clearly, then,  $A$  is  $\leq_{\text{dtt}}^{\log}$  reducible to a set in  $C=L$ . ■

We have one more result that makes mention of  $C=L$ . The motivation for this result came not so much from any question about  $C=L$  itself, but rather from a question about the relationship between  $\#L$  and  $\text{GapL}$ . As these classes are defined, it is obvious that  $\#L$  is properly contained in  $\text{GapL}$ , because  $\text{GapL}$  contains negatively-valued functions. It is natural to wonder if these are the *only* functions in  $\text{GapL}$  that are not in  $\#L$ . The following proposition indicates that  $\text{GapL}$  probably differs from  $\#L$  even on the class of non-negative functions.

**PROPOSITION 19:** *If every non-negative function  $f$  in  $\text{GapL}$  is also in  $\#L$ , then  $C=L = \text{NL}$ .*

*Proof:* Let  $A \in C=L$ , and let  $g$  be the  $\text{GapL}$  function that defines  $A$ . Let  $g' = g^2$ . Thus,  $x \in A \implies g'(x) = 0$ , and  $x \notin A \implies g'(x) > 0$ . If  $g'$  were in  $\#L$ , it would imply that  $A$  is in  $\text{coNL}$  (and hence in  $\text{NL}$ ).

## 6. REDUCTIONS TO $\#L$ AND PL

Corollary 12 raises the obvious question of whether the low-order or middle bits of a  $\#L$  function can be computed using the power of PL. At present, we see no reason to believe that this is possible; it seems plausible that  $\oplus L$  (i.e., determining the low-order bit of a  $\#L$  function) is not  $\text{NC}^1$ -reducible to PL. Any attempt to investigate this question must first make precise what it should mean to try to compute something “using the power of PL.”

It is a pleasing fact of complexity theory that most of the “natural” complexity classes can be defined as the class of problems “reducible” to some important problem, where the definition does *not* depend on the particular notion of reduction that is used. For example, nondeterministic logspace can be defined as the class of problems reducible to transitive closure (or  $s - t$ -connectivity) using any of the notions of reducibility that

have been considered (e.g.,  $\leq_m^{\log}$ ,  $AC^0$ ,  $NC^1$ , first-order projections, etc.). It is not known if the class of problems reducible to the determinant also has this property, or if it is an exception to this rule.

When Cook [15] considered the class of problems reducible to the determinant, he framed his definition using  $NC^1$  reducibility. Alternatively, one could consider the class of things  $AC^0$ -reducible to the determinant; we show that this class corresponds to a logspace-analog of the counting hierarchy. (We do not know if this class is equal to the class considered by Cook, but it follows easily from the results of this section that if  $NC^1(\text{DET})$  is equal to  $AC^0(\text{DET})$ , then the #L Hierarchy we define below collapses at some level.)

The counting hierarchy (see [50], [46]) consists of sets in the classes  $PP$ ,  $PP^{PP}$ ,  $PP^{PP^{PP}}$ , etc. Since  $PP$  is contained in  $C=P^{C=P}$  [46], it follows that the same class of sets results if the hierarchy is defined in terms of  $C=P$  instead of  $PP$ . One obtains a computationally-equivalent class of functions if one considers  $\#P$ ,  $\#P^{\#P}$ , etc. Let us now consider the analogous classes defined in terms of #L and PL.

**DEFINITION 3:** *(The #L Hierarchy) Define  $\#LH_1$  to be #L, and let  $\#LH_{i+1}$  be the class of functions  $f$  such that, for some logspace-bounded nondeterministic oracle Turing machine  $M$ , and some function  $g \in \#LH_i$ ,  $f(x)$  is the number of accepting computations of  $M$  on input  $x$  with oracle  $g$ . Let  $\#LH$  denote  $\bigcup_i \#LH_i$ .*

*(The PL Hierarchy) Define  $PLH_1$  to be PL, and let  $PLH_{i+1}$  be the class of languages  $A$  such that, for some logspace-bounded probabilistic oracle Turing machine  $M$ , and some language  $B \in PLH_i$ ,  $A$  is the language accepted by  $M$  with oracle  $B$  (where acceptance is defined using the PL acceptance criterion). Let  $PLH$  denote  $\bigcup_i PLH_i$ .*

*(The  $C=L$  Hierarchy) Define  $C=LH_1$  to be  $C=L$ , and let  $C=LH_{i+1}$  be the class of languages  $A$  such that, for some logspace-bounded probabilistic oracle Turing machine  $M$ , and some language  $B \in C=LH_i$ ,  $x \in A$  iff  $M$  with oracle  $B$  accepts  $x$  with probability exactly  $\frac{1}{2}$ . Let  $C=LH$  denote  $\bigcup_i C=LH_i$ .*

In all of these definitions, the ‘‘Ruzzo-Simon-Tompa’’ relativization method is used (cf. Section 3).

We will show that  $PLH$ ,  $C=LH$ , and  $\#LH$  can be defined in terms of  $AC^0$  reductions to  $PL$ ,  $C=L$ , and  $\#L$ , respectively. An  $AC^0$  reduction is a uniform family of constant-depth circuits of unbounded-fan-in AND and OR gates, NOT gates, and ‘‘oracle’’ gates. If the oracle is a function

$f : \{0, 1\}^* \rightarrow \{0, 1\}^*$ , then an oracle gate has some number  $m$  of inputs  $x_1, \dots, x_m$  and some number of outputs, representing  $f(x_1, \dots, x_m)$ . If the function  $f$  does not always take inputs of length  $m$  to outputs of the same length, then it may be necessary to assume some sort of “end-of-string” encoding; our theorems do not depend on these details. If the oracle is a language, then the oracle gate computes the characteristic function of the language. Our results do not depend very much on the particular uniformity condition used. Logspace-uniformity is sufficient, although the theorems also hold if more restrictive uniformity conditions are used; *see* [12], [10] for discussions of uniformity issues involved in low-level circuit complexity.

It will turn out to be useful to consider certain refinements of  $AC^0$  reducibility. Following [4] (*see* also [6]), define  $AC_i^0(f)$  to be the class of languages accepted by  $AC^0$  circuits with oracle gates for  $f$ , where no path from input to output goes through more than  $i$  oracle gates. If  $C$  is a class of functions (languages), then  $AC_i^0(C)$  is equal to the union over all (characteristic) functions  $f$  in  $C$  of  $AC_i^0(f)$ .

**THEOREM 20:** *For all  $i$ ,  $AC_i^0(PL) = PLH_i$ .*

*Proof:* It should be stated at the outset that it has recently been shown by Ogihara that this entire hierarchy collapses to PL [35]. We include the proof of this theorem because it serves as a warm-up for the similar characterizations shown later for the #L and  $C=L$  hierarchies.

( $\supseteq$ ) Any set  $A \in PL = PLH_1$  can be accepted with a single oracle gate. Thus assume that  $PLH_i \subseteq AC_i^0(PL)$ , and let  $A$  be the language accepted by  $M^B$  for some  $B$  in  $PLH_i$  and some probabilistic logspace-bounded oracle Turing machine  $M$ . Since  $M$  makes queries using the Ruzzo-Simon-Tompa restriction, there is a list  $y_1, \dots, y_{n^k}$  computable in logspace from  $x$  such that all queries of  $M$  on input  $x$  come from the set  $\{y_1, \dots, y_{n^k}\}$ . The set  $B' = \{(x, i) : y_i \in B\}$  is also in  $PLH_i \subseteq AC_i^0(PL)$ . (Without loss of generality,  $B' \in AC_i^0(C)$ , where  $C$  is the “canonical complete set” for PL:  $C = \{(Y, z) : Y \text{ is a transition matrix showing, for all configurations of a logspace-bounded machine, the probability of getting from one configuration to another, such that the machine accepts } z\}$ .)

The  $AC_{i+1}^0(C)$  circuit accepting  $A$  consists of  $AC_i^0(C)$  circuits computing membership of  $(x, i)$  in  $B'$ , followed by a layer of AND and OR gates computing the transition matrix  $Y$  of  $M$  on input  $x$  with oracle answers given by the subcircuits for  $B'$ , followed by one more oracle gate, checking if  $(Y, x)$  is in  $C$ .

( $\subseteq$ ) We prove only the induction step ( $AC_i^0(PL) \subseteq PLH_i$  implies  $AC_{i+1}^0(PL) (\subseteq) PLH_{i+1}$ ); the proof for the basis is essentially identical.

Let  $A$  be accepted by an  $AC_{i+1}^0(B)$  circuit family  $\{C_n\}$  for some  $B$  in  $PL$ . We describe the behavior of a probabilistic oracle machine  $M$  accepting  $A$ . On input  $x$ ,  $M$  looks at circuit  $C_n$ . If the output gate of  $C_n$  is an oracle gate, then  $M$  accepts iff  $y$  is in  $B$ , where  $y = y_1 y_2 \dots y_r$  is the word input to the oracle gate. The subcircuit computing each bit  $y_i$  is an  $AC_i^0(B)$  circuit, and by induction hypothesis can be simulated using an oracle from  $PLH_i$ .

On the other hand, if the output gate is an AND, OR, or NOT gate, then the output of the circuit can be computed by a constant number of applications of  $\leq_{ctt}^{\log}$  or  $\leq_{dt}^{\log}$  reductions to a set in  $PLH_{i+1}$ . But this also defines a set in  $PLH_{i+1}$ , by the closure properties established in the preceding section.

(Alternatively, one may observe that  $AC_1^0(PL) = PL$ , and that an  $AC_i^0(PL)$  circuit may be viewed as consisting of  $i$  layers of  $AC_1^0(PL)$  subcircuits.) ■

**THEOREM 21:**  $C=LH_i \subseteq AC_i^0(C=L) \subseteq L^{C=LH_i}$ . <sup>(3)</sup>

*Proof:* The first inclusion has a proof essentially identical to the first inclusion proved in Theorem 20. The second inclusion is also proved by a proof essentially identical to the proof of Theorem 20, but since  $C=L$  is not known to be closed under complement, we cannot “absorb” any NOT gates, and thus we obtain only the inclusion  $L^{C=LH_i}$ . ■

**THEOREM 22:**  $\#LH_i \subseteq AC_i^0(\#L) \subseteq L^{\#LH_i}$ .

(We remark that  $\#LH_i$  is defined as a class of *functions*, while  $AC_i^0(\#L)$  is defined as a class of *languages*. Note however that it is quite natural to view a circuit as computing a function; moreover, it is well-known that the set of functions defined in this way is exactly the same as the set of functions  $f$  such that the language  $\{(x, i, b) : \text{bit } i \text{ of } f(x) \text{ is } b\}$  is in the associated language class. It follows from the proof below that  $\#LH_i$  is actually equal to the class of functions computed by  $AC_i^0(\#L)$  circuits, with the added restriction that the output gate be an oracle gate.)

*Proof:* The first inclusion is obvious when  $i = 1$ ; thus assume the induction hypothesis for  $i$  and let  $f$  be in  $\#L^g$  for some  $g \in \#LH_i$ . Thus there is some  $f' \in \#L$  such that  $f(x) = f'(x, g(y_1), \dots, g(y_{n^k}))$ ,

<sup>(3)</sup> Note that this corrects a mis-statement in the version of this paper that appeared in Proc. 9th IEEE Structure in Complexity Theory Conference, 1994. It is also worth noting that it has recently been shown that this entire hierarchy collapses to  $L^{C=L}$  [2].

where (as in the proof of the previous theorem) the list of  $y_i$ 's contains all possible queries that could be asked on input  $x$ , and this list can be generated in logspace from  $x$ . Let  $g'(x, i) = g(y_i)$ , and note that  $g'$  is also in  $\#LH_i$ , and by induction has  $AC_i^0(h)$  circuits for some  $h \in \#L$ . Let  $k(0, z) = h(z)$ , and  $k(1, z) = f'(z)$ . Our  $AC_{i+1}^0(k)$  circuits for  $f$  consist of subcircuits computing  $g(y_i) = k(0, x, i)$ , followed by a gate computing  $f(x) = k(1, x, g(y_1), \dots, g(y_{n^k}))$  at the root.

(We remark that, with a little more effort,  $\#LH_i$  functions can be computed by circuits consisting of exactly  $i$   $\#L$  oracle gates, where the outputs of one gate feed directly into the gate on the next layer.)

The proof of the second inclusion is quite similar to the proof of the forward inclusion in the preceding theorem. ■

COROLLARY 23: •  $\#LH = AC^0(\#L) = AC^0(\text{DET})$

•  $\text{PLH} = AC^0(\text{PL})$

•  $\text{C=LH} = AC^0(\text{C=L})$

(Part 2 of this corollary was previously observed by Carsten Damm and Peter Rossmanith [24], [38].)

The reader should not be alarmed by the fact that  $L^{\text{PL}}$  is contained in  $AC^0(\text{PL})$  (and in fact the containment may even be proper), even though  $AC^0$  is properly contained in  $L$ ; we refer the reader to the discussion in [51], [52].

Some of the motivation for this study came from the question of whether or not  $L^{\#L} = L^{\text{PL}}$ , by analogy to the equalities that are known to hold for the related classes  $\#P$  and  $\text{PP}$ . The reader should be able to see that  $L^{\#L}$  and  $L^{\text{DET}}$  are indeed equal and contain  $L^{\text{PL}}$ , but we do not see how to compute the low-order or middle bits of a  $\#L$  function in  $L^{\text{PL}}$  or even in  $\text{NC}^1(\text{PL})$ .

A related question is whether  $\text{PLH}$  is equal to  $\text{C=LH}$  (equivalently, whether  $\text{PL}$  is  $AC^0$ -reducible to  $\text{C=L}$ ).

We close this section with an observation showing that  $L^{\#L}$  can be defined using very restricted access to the oracle. (For related observations, see [5, Proposition 2 and Section 6].)

PROPOSITION 24: *Let  $C$  be any of the classes  $L$ ,  $\text{NL}$ ,  $\text{PL}$ , or  $\#L$ . Then the class  $C^{\#L}$  remains unchanged even when the oracle Turing machines defining the class are restricted to make at most one query to the oracle, and to read the answer just once from left to right.*

*Proof:* Let an oracle Turing machine  $M$  be given, and let the oracle be the function  $g$ . Let the run time of  $M$  be  $n^k$ , let the possible queries on an input  $x$  of length  $n$  be contained in the set  $\{h(x, 1), \dots, h(x, n^l)\}$  (where  $h$  is logspace-computable), and let the number of bits in  $g(y)$  be  $|y|^{c'}$ . Let  $c$  be greater than  $k$  and  $c'$ .

Then the function  $f$  defined by

$$f(x) = \sum_{j=0}^{n^k} \sum_{i=1}^{n^l} (g(h(x, i)) + 2^{|y_i|^{c'}+1}) 2^{(jn^l+i)n^c}$$

is in #L.

Note that  $f(x)$ , viewed as a binary string, consists of  $n^k$  repetitions of a string containing  $n^l$  fields, where each field is of length  $n^c$ , consisting of leading zeros, followed by a one, followed by the encoding of  $g(y_i)$  for some query  $y_i$  that could be asked on input  $x$ . If a machine asks the query  $f(x)$  at the beginning of its computation, then it can simulate  $M$  on input  $x$ , moving one block to the right for each step of  $M$  that is simulated, and keeping track of which cell of  $M$ 's oracle tape is being scanned at any particular moment. ■

## 7. CONCLUSION

By making use of recent theorems relating #L and the determinant, we have given simplified proofs of some facts concerning PL, and we have proved new results concerning the classes of sets reducible to PL and to the determinant. A number of open questions remain. Among them:

- What closure properties can be established for #L and GapL? In regard to this question, it is appropriate to mention that GapL can be characterized as the class of functions computed by “skew” arithmetic circuits [45]. Related results regarding arithmetic circuits and certain subclasses of  $TC^1$  may be found in [3].

- Can any relationship be established between PL or #L and  $AC^1$  (or logCFL)?

- Can any any relationship be established between PL (or  $C=L$ ) and bounded-error probabilistic logspace? Note in this regard that David Zuckerman and Mauricio Karchmer have recently shown that no “black-box” simulation of PL can yield a bounded-error probabilistic logspace algorithm for PL [54].

• Can anything more be said about the relationship between PLH and  $NC^1(\text{PL})$  (or #LH and  $NC^1(\#L)$ )? Note that for many classes  $\mathcal{C}$  of interest (including  $AC^k$ ,  $NC^k$ , NL, L, NP [4], [34]),  $AC^0(\mathcal{C})$  is equal to  $NC^1(\mathcal{C})$ . In [2] it is shown that this equality also holds for  $\mathcal{C} = C=L$ .

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