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## A HIERARCHY THAT DOES NOT COLLAPSE: ALTERNATIONS IN LOW LEVEL SPACE (\*)

by Viliam GEFFERT <sup>(1)</sup>

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*Abstract. – The alternation hierarchy of  $s(n)$  space bounded machines does not collapse for  $s(n)$  below  $\log(n)$ . That is, for each  $s(n)$  between  $\log \log(n)$  and  $\log(n)$  and each  $k \geq 2$ ,  $\Sigma_{k-1}$ -SPACE( $s(n)$ ) and  $\Pi_{k-1}$ -SPACE( $s(n)$ ) are proper subsets of  $\Sigma_k$ -SPACE( $s(n)$ ) and also of  $\Pi_k$ -SPACE( $s(n)$ ). Moreover,  $\Sigma_k$ -SPACE( $s(n)$ ) is not closed under complement and intersection, similarly,  $\Pi_k$ -SPACE( $s(n)$ ) is not closed under complement and union.*

*Résumé. – La hiérarchie de machines bornées en espace par  $s(n)$  ne s'écroule pas en dessous de  $\log(n)$ . Plus précisément, pour tout  $s(n)$  compris entre  $\log \log(n)$  et  $\log(n)$  et pour tout  $k \geq 2$ ,  $\Sigma_{k-1}$ -SPACE( $s(n)$ ) et  $\Pi_{k-1}$ -SPACE( $s(n)$ ) sont des sous-ensembles propres de  $\Sigma_k$ -SPACE( $s(n)$ ) et  $\Pi_k$ -SPACE( $s(n)$ ). De plus,  $\Sigma_k$ -SPACE( $s(n)$ ) n'est pas fermé par complément ni par intersection, et de façon similaire,  $\Pi_k$ -SPACE( $s(n)$ ) n'est pas fermé par complément ni par union.*

### 1. INTRODUCTION

In the structural complexity theory, many hierarchies have been studied and various relations between them have been established. However, direct proofs showing collapsing or noncollapsing hierarchies are very rare.

For example, the strong exponential time hierarchy is finite, as has been shown in [12], and so is the hierarchy of interactive proof systems [1]. Infinite hierarchies are even more rare. Most of the known results concern classes relativized by oracles ([11, 2, 25]), giving both finite and infinite hierarchies.

During the last few years, very important results have been achieved for the alternation hierarchy of space-bounded computations. First, some space bounded hierarchies were shown to be finite ([15, 24, 19]). These results were then superseded by the result of Immerman and Szelepcsényi showing that the

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nondeterministic space is closed under complement ([13, 22]). This implies that the alternation hierarchy of  $s(n)$  space-bounded machines collapses to  $\text{NSPACE}(s(n)) = \Sigma_1\text{-SPACE}(s(n))$ , i.e.,

$$\Sigma_k\text{-SPACE}(s(n)) = \Pi_k\text{-SPACE}(s(n)) = \Sigma_1\text{-SPACE}(s(n)),$$

for each  $k \geq 1$  and each  $s(n) \geq \log(n)$ . Taking this fact into consideration, the question of whether there is an infinite hierarchy for sublogarithmic space bounds naturally arises.

The first sign indicating that the alternation hierarchy behaves radically different for space below  $\log(n)$  was the proof [6] that  $\Sigma_1\text{-SPACE}(s(n)) \not\subseteq \Pi_2\text{-SPACE}(s(n))$ , for each  $s(n)$  between  $\log \log(n)$  and  $\log(n)$ . This result was then slightly improved in [23] by showing that  $s(n)$  can be bounded from below by any unbounded fully space constructible function  $l(n)$ . There exist sublogarithmic, unbounded, and fully space constructible functions, but they are necessarily nonmonotone and hence the corresponding space complexity classes do not contain  $\text{DSPACE}(\log \log(n))$  ([7, 20, 8]).

The next step was the separation of the first three levels of this hierarchy [9], i.e.,  $\Sigma_1\text{-SPACE}(s(n)) \not\subseteq \Sigma_2\text{-SPACE}(s(n)) \not\subseteq \Sigma_3\text{-SPACE}(s(n))$ , symmetrically,  $\Pi_1\text{-SPACE}(s(n)) \not\subseteq \Pi_2\text{-SPACE}(s(n)) \not\subseteq \Pi_3\text{-SPACE}(s(n))$ , for space bounds between  $\log \log(n)$  and  $\log(n)$ . Then the third and fourth levels were separated [16], i.e.,  $\Sigma_3\text{-SPACE}(s(n)) \not\subseteq \Sigma_4\text{-SPACE}(s(n))$  and  $\Pi_3\text{-SPACE}(s(n)) \not\subseteq \Pi_4\text{-SPACE}(s(n))$ . Finally, it has been shown that the hierarchy does not collapse below the level five [3];  $\Sigma_4\text{-SPACE}(s(n)) \not\subseteq \Sigma_5\text{-SPACE}(s(n))$ . Figure 1 summarizes the known results, arrows indicate the proper inclusions.

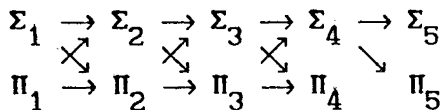


Figure 1

We shall show that the alternation hierarchy of space bounded machines is infinite, namely, that for each  $s(n) \geq \log \log(n)$  with  $\sup_{n \rightarrow \infty} s(n)/\log(n) = 0$ , and each  $k \geq 2$ , we have

$$\Sigma_{k-1}\text{-SPACE}(s(n)) \not\subseteq \Sigma_k\text{-SPACE}(s(n)),$$

$$\Pi_{k-1}\text{-SPACE}(s(n)) \not\subseteq \Pi_k\text{-SPACE}(s(n)),$$

$$\begin{aligned} \Sigma_{k-1}\text{-SPACE}(s(n)) &\not\subseteq \Pi_k\text{-SPACE}(s(n)), \\ \Pi_{k-1}\text{-SPACE}(s(n)) &\not\subseteq \Sigma_k\text{-SPACE}(s(n)). \end{aligned}$$

Moreover,  $\Sigma_k\text{-SPACE}(s(n))$  and  $\Pi_k\text{-SPACE}(s(n))$  are incomparable, *i.e.*,

$$\begin{aligned} \Sigma_k\text{-SPACE}(s(n)) - \Pi_k\text{-SPACE}(s(n)) &\neq \emptyset, \\ \Pi_k\text{-SPACE}(s(n)) - \Sigma_k\text{-SPACE}(s(n)) &\neq \emptyset. \end{aligned}$$

Finally, we show that  $\Sigma_k\text{-SPACE}(s(n))$  is not closed under complement and intersection, and that  $\Pi_k\text{-SPACE}(s(n))$  is not closed under complement and union. Since machines using less than  $\log \log(n)$  space can recognize the regular languages only ([21, 14]), this settles the alternation space hierarchy problem;

- the hierarchy collapses to  $\Sigma_1\text{-SPACE}(s(n))$  for the superlogarithmic case,
- the hierarchy is infinite for space bounds between  $\log \log(n)$  and  $\log(n)$  [this paper],
- the hierarchy collapses to the deterministic constant space for space bounds below  $\log \log(n)$ .

The open problems of this hierarchy are the exact relations among  $\Sigma_0\text{-SPACE}(s(n)) = \text{DSPACE}(s(n))$ ,  $\Sigma_1\text{-SPACE}(s(n)) = \text{NSPACE}(s(n))$ , and  $\Pi_1\text{-SPACE}(s(n))$ .

The paper is organized as follows: we begin in Section 2 by giving some basic definitions and lemmas that will be used later.

Section 3 discusses the so-called  $n \rightarrow n + n!$  method which was used first in [21] to show that the deterministic machines using less than  $\log(n)$  space cannot distinguish between inputs  $1^n$  and  $1^{n+n!}$ . This method has been extended to the nondeterministic case [8]. We shall now generalize this method simultaneously in two directions: first, it can be applied not only to the tally inputs, but also to some binary inputs having a periodic structure. Second, it can be used, in a modified form, for the  $\Sigma_k/\Pi_k$ -alternating machines as well.

The key observation of the Section 4 is the fact that the computation trees of the alternating machines can be viewed as if they were the trees describing an evaluation order of operators in the ordinary boolean formulas, and hence put into the conjunctive/disjunctive normal forms.

Section 5 brings another new proof technique – the notion of  $\Sigma_k/\Pi_k\text{-SPACE}(s(n))$  resistant strings and languages. Roughly speaking,

a pair of strings  $w_1, w_2$  is  $\Sigma_k/\Pi_k$ -SPACE( $s(n)$ ) resistant if no machine can use any  $\Sigma_k/\Pi_k$ -alternating  $s(n)$  space bounded machine as its oracle to distinguish between the substrings  $w_1$  and  $w_2$  on the input tape. We shall show that having given languages with  $\Sigma_k/\Pi_k$ -SPACE( $s(n)$ ) resistant words, we can design languages that are  $\Sigma_{k+1}/\Pi_{k+1}$ -SPACE( $s(n)$ ) resistant.

Section 6 gives an induction base for this anti-oracle mechanism by exhibiting some  $\Sigma_2/\Pi_2$ -SPACE( $s(n)$ ) resistant languages, for each  $s(n)$  between  $\log \log(n)$  and  $\log(n)$ . Then the infinite space hierarchy is established and some closure properties under boolean operations are shown.

### Update

The existence of the infinite hierarchy have been proved independently by two other groups of authors, namely, by M. Liškiewicz and R. Reischuk [17], and also by B. von Braunmühl, R. Gengler, and R. Rettinger [4], so now there exist three independent solutions. The proof in [17] is also based on the  $n \rightarrow n + n!$  method, using a different argument, but the witness languages are very similar. The proof in [4] is completely different, its argument holds for the weakly space bounded machines as well, but requires witness languages having a much higher information content.

## 2. PRELIMINARIES

We shall consider the standard Turing machine having a finite control, a two-way read-only input tape with the input enclosed in two endmarkers, and a separate semi-infinite two-way read-write worktape, initially empty.

The reader is assumed to be familiar with the notion of alternating Turing machine, which is at the same time a generalization of nondeterminism and parallelism. See [5] for a more exact definition and properties of alternating machines. We shall now introduce this notion in a slightly different way.

**DEFINITION 1:** A memory state of a Turing machine is an ordered triple  $q = \langle r, u, j \rangle$ , where  $r$  is a state of the machine's finite control,  $u$  is a string of worktape symbols written on the worktape (not including the left endmarker or blank symbols), and  $j$  is a position of the worktape head.

A configuration is an ordered pair  $p = \langle q, i \rangle$ , where  $q$  is a memory state and  $i$  is a position of the input tape head.

The size of a memory state  $q = \langle r, u, j \rangle$  is the length of the worktape space used, i.e.,  $|u|$ . We shall denote it by  $/q/$ . The size of a configuration  $p = \langle q, i \rangle$  is, by definition,  $/p/ = /q/$ . The size of the initial configuration  $p_I = \langle q_I, 0 \rangle = \langle \langle r_I, \varepsilon, 0 \rangle, 0 \rangle$  is zero.

We may assume, without loss of generality, that the machine is not allowed to write the blank symbol on the worktape or reduce the size of its memory state. Therefore, if a configuration  $p_2$  can be reached from  $p_1$  by some computation path, then  $/p_2/ \geq /p_1/$ .

We also assume that the machine making a constant number of alternations has its set of finite control states divided into pairwise disjoint sets  $\Sigma_k, \Pi_{k-1}, \Sigma_{k-2}, \Pi_{k-3}, \dots$  (for  $\Sigma_k$ -alternating machines,  $k \in \mathbb{N}$ ) or  $\Pi_k, \Sigma_{k-1}, \Pi_{k-2}, \Sigma_{k-3}, \dots$  (for  $\Pi_k$ -alternating machines) such that if the machine can get, by a single computation step, from a finite control state  $r \in \Sigma_l$  to  $r'$ , then  $r' \in \Sigma_l$  or  $r' \in \Pi_{l-1}$ . Similarly, for  $r \in \Pi_l$  we have  $r' \in \Pi_l$  or  $r' \in \Sigma_{l-1}$ .

The finite control states in  $\Sigma = \bigcup_l \Sigma_l$  are called existential, those in  $\Pi = \bigcup_l \Pi_l$  are universal. Each memory state or configuration inherits the type of the finite control state included.

An alternation is a computation step changing the finite control state  $r \in \Sigma_l$  to  $r' \in \Pi_{l-1}$ , or  $r \in \Pi_l$  to  $r' \in \Sigma_{l-1}$ . Clearly, a computation path beginning in any  $\Sigma_k/\Pi_k$ -configuration can make at most  $k - 1$  alternations, for each  $k \geq 1$ .

**DEFINITION 2:** a) A configuration  $p$  is  $\Sigma_l$ -accepting, if it is of type  $\Sigma_l$  and there exists an alternation-free computation path from  $p$  to  $p'$  such that

- (i) either  $p'$  is a halting configuration that accepts the input,
- (ii) or the machine enters a  $\Pi_{l-1}$ -accepting configuration in the next computation step from  $p'$ .

b) A configuration  $p$  is  $\Pi_l$ -accepting, if it is of type  $\Pi_l$  and each alternation-free path from  $p$

- (i) either halts and accepts the input,
- (ii) or enters a  $\Sigma_{l-1}$ -accepting configuration.

The rejection is a little more complicated, since infinite cycles must also be considered:

c)  $p$  is  $\Sigma_l$ -*rejecting*, if it is a  $\Sigma_l$ -configuration and all alternation-free paths from  $p$  are

- (i) either halted in configurations that reject the input,
- (ii) entering  $\Pi_{l-1}$ -rejecting configurations,
- (iii) or executing infinite cycles.

d)  $p$  is  $\Pi_l$ -*rejecting*, if it is a  $\Pi_l$ -configuration having an alternation-free path from  $p$  that

- (i) either halts and rejects the input,
- (ii) enters a  $\Sigma_{l-1}$ -rejecting configuration,
- (iii) or executes an infinite cycle.

By definition, a  $\Sigma_k/\Pi_k$ -machine accepts the input if the initial configuration is determined to be  $\Sigma_k/\Pi_k$ -accepting, respectively.

DEFINITION 3: Let  $A$  be an alternating Turing machine and  $w$  be its input. We define  $\text{Space}_A(w)$  as the size of the maximal configuration that is reachable by  $A$  from the initial configuration  $p_I = \langle q_I, 0 \rangle$  on the input  $w$  (enclosed in the endmarkers “»” and “«”). The machine  $A$  is  $s(n)$  *space bounded*, if for each input  $w$

$$\text{Space}_A(w) \leq s(|w|). \quad (1)$$

The classes of languages recognizable by alternating  $O(s(n))$  space bounded machines making at most  $k-1$  alternations, with the initial finite control state existential or universal, will be denoted by  $\Sigma_k$ -SPACE( $s(n)$ ) or  $\Pi_k$ -SPACE( $s(n)$ ), respectively.

It is not too difficult to show that, for each machine  $A$ , there exists a constant  $c$  such that the number of different memory states not using more than  $S$  space on the worktape can be bounded by

$$\begin{aligned} \text{number of memory states} \\ \text{of size at most } S &\leq c^S, \\ c &\geq 6, \end{aligned} \quad (2)$$

for each  $S \geq 1$ . The condition  $c \geq 6$  is technical, it will be used later. It is easy to bound  $c$  by any fixed constant from below. (This condition is used to bound some polynomials of  $c^S$  by a fixed power of  $c^S$ , e.g., we shall need  $((c^S)^2 + 1) + (c^S + 1) + (c^S)^2 < (c^S)^3$ , for each  $S \geq 1$ .)

Before passing further, we shall put the machine  $A$  into the following normal form:

LEMMA 1: For each  $s(n)$  space bounded  $\Sigma_k/\Pi_k$ -alternating Turing machine  $A$ , there exists an equivalent  $\Sigma_k/\Pi_k$ -SPACE( $s(n)$ ) machine  $A'$  such that for each input  $w$ , each  $i = 0, \dots, |w| + 1$ , and each  $h = 0, \dots, \text{Space}_A(w)$ , there exists a configuration  $p$  having used exactly  $h$  space on the worktape with the input head position equal to  $i$  that is reachable from the initial configuration on  $w$ .

*Proof:* We can replace the original machine  $A$  by a new machine  $A'$  that simulates  $A$  but that, each time  $A$  is going to extend the worktape space (by rewriting the leftmost blank on the worktape by a nonblank symbol),  $A'$  performs the following actions:

a) If  $A$  is in an existential configuration, then  $A'$ , branching existentially, decides whether

a1) to carry on the simulation of  $A$ ,

a2) or to move the input head to the left endmarker. Each time the input head is moved one position to the left,  $A'$  branches existentially again and

a2.1) either moves more to the left (go to a2),

a2.2) or extends the worktape space, and then halts and rejects the input.

a3) The third computation branch does the same as the second (a2), but the input head is moved to the right endmarker.

b) The same actions are taken if  $A$  is in universal configuration, but all branches are universal, and the space extension in a2.2 (cf. a2.2) is terminated by accepting the input.

It is easy to see that for each  $i = 0, \dots, |w| + 1$  and each  $h = 0, \dots, \text{Space}_A(w)$  there exists a configuration  $p = \langle q, i \rangle$  of size  $|p| = h$  that is reachable from the initial  $p_I$ . The machine  $A'$  has more computation paths than does the original machine  $A$ , but “new” computation paths have been added so that they cannot affect the accept/reject status of the computation tree, and hence both  $A$  and  $A'$  recognize the same language. Note that neither the number of alternations nor the space used have been changed.  $\square$

DEFINITION 4: Let  $S \geq 0$  and let  $p$  be a configuration with the input head positioned on a substring  $w$  of input  $\alpha w \beta$ , or going to enter  $w$  in the next computation step.  $p$  is  $S$ -bounded on  $w$ , if no computation path beginning in  $p$  uses more than  $S$  worktape space before it leaves  $w$  by crossing its left/right margin for the first time. (But the space used can exceed  $S$  once the left/right margin of  $w$  has been crossed.)

Clearly, if a configuration  $p'$  is reachable from  $p$  by a path never leaving  $w$  and  $p$  is  $S$ -bounded on  $w$ , then  $p'$  is also  $S$ -bounded on  $w$ .

**DEFINITION 5:** Let  $S \geq 0$ . Strings  $w_1$  and  $w_2$  are  $S$ -equivalent for a machine  $A$ , if  $A$  has a computation path from the configuration  $\langle q_A, i_A \rangle$  entering  $w_1$  to  $\langle q_B, i_B \rangle$  leaving  $w_1$  on the input  $\alpha w_1 \beta$ , for  $i_A, i_B \in \{|\alpha|, |\alpha| + |w_1| + 1\}$ , if and only if  $A$  has a path from  $\langle q_A, i'_A \rangle$  entering  $w_2$  to  $\langle q_B, i'_B \rangle$  leaving  $w_2$  on  $\alpha w_2 \beta$ , for  $i'_A, i'_B \in \{|\alpha|, |\alpha| + |w_2| + 1\}$ , respectively. (The margins of  $w_1$  and  $w_2$  are crossed only in the first and last computation steps). This holds for any  $q_A, q_B$  such that  $|q_A| \leq |q_B| \leq S$ , and each  $\alpha, \beta$ .

**LEMMA 2:** Let  $\alpha w_1 \beta$  and  $\alpha w_2 \beta$  be some inputs for a machine  $A$  such that  $w_1, w_2$  are  $S$ -equivalent for some  $S \geq 0$ . Then  $A$  can get from a configuration  $p$  to  $p'$  on the input  $\alpha w_1 \beta$  if and only if  $A$  can get from  $p$  to  $p'$  on  $\alpha w_2 \beta$ , for any  $p, p'$  satisfying  $|p| \leq |p'| \leq S$ , with the input head positioned on  $\alpha$  or  $\beta$ . (Since  $w_1, w_2$  may be of different lengths, the input head positions of  $p$  and  $p'$  are relative here, to the left margins of  $\alpha$  or  $\beta$ .)

*Proof:* The argument is a straightforward induction on the number of times the input head crosses the margins of  $w_1$  and  $w_2$  on inputs  $\alpha w_1 \beta$  and  $\alpha w_2 \beta$ , respectively, using the fact that no configuration can use more than  $S$  space along the path from  $p$  to  $p'$ . Paths from  $p$  to  $p'$  may be different inside  $w_1, w_2$ , but they are equal outside  $w_1, w_2$ . (See fig. 2.)  $\square$

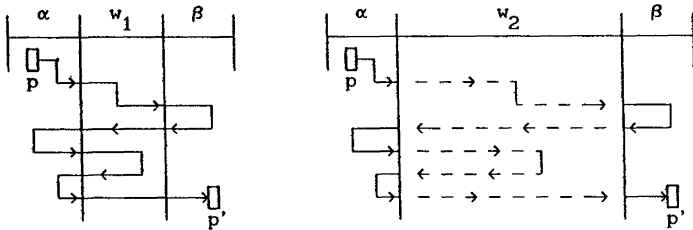


Figure 2

**LEMMA 3:** If  $w_1, w_2$  are  $S$ -equivalent, then  $\alpha_0 w_1 \alpha_1 w_1 \alpha_2 \dots \alpha_{n-1} w_1 \alpha_n$  and  $\alpha_0 w_2 \alpha_1 w_2 \alpha_2 \dots \alpha_{n-1} w_2 \alpha_n$  are  $S$ -equivalent, for any  $\alpha_0, \alpha_1, \dots, \alpha_n$ .

*Proof:* As a special case of Lemma 2, for  $p$  entering  $\alpha w_1 \beta / \alpha w_2 \beta$  and  $p'$  leaving  $\alpha w_1 \beta / \alpha w_2 \beta$ , we get that if  $w_1, w_2$  are  $S$ -equivalent, then so are  $\alpha w_1 \beta$  and  $\alpha w_2 \beta$ . The rest of the argument is a straightforward induction on  $n$ .  $\square$

Individual computation paths not using more than  $S$  space cannot distinguish  $S$ -equivalent  $w_1, w_2$  for inputs  $\alpha w_1 \beta$  and  $\alpha w_2 \beta$ . But beware; even within  $S$  space, an alternating machine may reject  $\alpha w_1 \beta$  but accept  $\alpha w_2 \beta$ . This can be achieved by a “cooperation” of several computation paths. Consider the situation shown by Figure 3. Symbols “&” and “ $\vee$ ” represent universal and existential decisions, respectively. The sets of configurations reachable on the margins of  $w_1$  and  $w_2$  are the same. But if  $p_1, p_2$  are  $\Pi_l$ -rejecting and  $p_3, p_4$   $\Pi_l$ -accepting configurations, then  $p$  is  $\Pi_{l+2}$ -rejecting on  $\alpha w_1 \beta$  but  $\Pi_{l+2}$ -accepting on  $\alpha w_2 \beta$ .

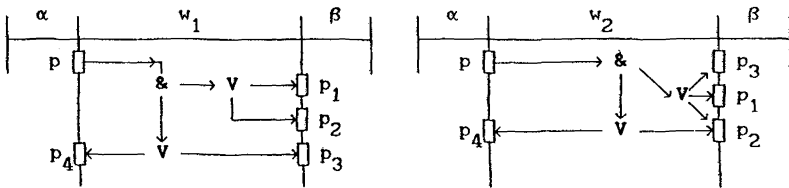


Figure 3

Therefore, the  $S$ -equivalence is “weak”, it does not guarantee the equal acceptance. However, it does guarantee the equal amount of worktape space used.

LEMMA 4: Let  $w_1, w_2$  be  $S$ -equivalent for some  $S \geq 0$ . Then

- a) a configuration  $p$  is  $S$ -bounded on  $\alpha w_1 \beta$  if and only if it is  $S$ -bounded on  $\alpha w_2 \beta$ , for any  $\alpha, \beta$ , and each  $p$  with the input head positioned on  $\alpha$  or  $\beta$ . (The input head positions are relative to the left margins of  $\alpha$  or  $\beta$ .)
- b)  $p$  is  $S$ -bounded on  $w_1$  if and only if it is  $S$ -bounded on  $w_2$ , for each  $p$  that is going to enter  $w_1, w_2$  by crossing their left/right margins in the next computation step.

*Proof:* a) We shall show that if the configuration  $p$  is  $S$ -bounded on  $\alpha w_1 \beta$  then it is  $S$ -bounded on  $\alpha w_2 \beta$ . The converse is also true, by a very similar argument.

Suppose that  $p$  is  $S$ -bounded on  $\alpha w_1 \beta$ , but not  $S$ -bounded on  $\alpha w_2 \beta$ . Then the machine must enter a configuration using more than  $S$  space on  $w_2$ , since the segments of computation paths taking place on  $\alpha$  or  $\beta$  are exactly the same for  $\alpha w_1 \beta$  and  $\alpha w_2 \beta$ , unless the space used exceeds  $S$ . Therefore, there exists a configuration  $p'$  on  $w_2$ , reachable from  $p$  by a path never leaving  $\alpha w_2 \beta$ , such that the machine is going to extend the worktape space from  $S$  to  $S + 1$  in the next step.

Before doing so, by Lemma 1, our machine in the normal form decides whether to carry on or to move the input head to the left/right endmarker. That is, we have computation paths that move the input head outside  $w_2$  and then extend the worktape space, *i.e.*, we have a configuration  $p''$  of size  $S$ , with the input head positioned on  $\alpha$  (or  $\beta$ ), reachable from  $p$  on  $\alpha w_2 \beta$ , that is going to use space  $S + 1$  in the next step. By Lemma 2,  $p''$  is also reachable from  $p$  on  $\alpha w_1 \beta$ . But this is a contradiction, since  $p$  is  $S$ -bounded on  $\alpha w_1 \beta$ .

b) The argument for (b) is a special case of (a), with  $\alpha = \beta = \varepsilon$ , for paths that enter  $w_1, w_2$  by the first computation steps. Here we analyze configurations reachable from  $p$  that are leaving  $w_1, w_2$  by crossing their margins.  $\square$

DEFINITION 6: Let  $p$  be a configuration with the input head positioned on a substring  $w$  of input  $\alpha w \beta$ , or going to enter  $w$  in the next step. We define  $Ex_{p,w}$ , the exit set of  $w$  for  $p$ , as the set of all configurations reachable from  $p$  on  $w$  that are leaving  $w$  by crossing its margins.

LEMMA 5: a) If a configuration  $p'$  is reachable from  $p$  by a path never leaving  $w$ , then  $Ex_{p',w} \subseteq Ex_{p,w}$ .

b) If a configuration  $p$  is  $S$ -bounded on  $w$ , then  $|p''| \leq S$  for each  $p'' \in Ex_{p,w}$ .

c) If a configuration  $p$  is  $S$ -bounded on  $S$ -equivalent strings  $w_1$  and  $w_2$ , then  $Ex_{p,w_1} = Ex_{p,w_2}$ , for each  $p$  going to enter  $w_1, w_2$  in the next step. (By Lemma 4b, it is sufficient to suppose that  $p$  is  $S$ -bounded on  $w_1$  or  $w_2$ .)

The following technical lemma shows an important property of sublogarithmic functions. This lemma will be used later.

LEMMA 6: For each function  $s(n)$  satisfying  $\lim_{n \rightarrow \infty} s(n)/\log(n) = 0$ , each  $c \geq 6$ , and each  $H \geq 1$ , there exists  $\check{n} \geq 2$  such that

$$\left(c^{s(n^H)}\right)^6 < \sqrt{n} \leq \lceil \sqrt{n} \rceil < \frac{n}{2} < n - 1 < n, \quad \text{for each } n \geq \check{n}.$$

*Proof:* If  $\lim_{n \rightarrow \infty} s(n)/\log(n) = 0$ , then for each  $\varepsilon > 0$  there exists  $\check{n} \geq 2$  such that  $s(n)/\log(n) < \varepsilon$ , for each  $n \geq \check{n}$ . Among others,  $n^H \geq n \geq \check{n}$ , if  $H \geq 1$  and  $n \geq \check{n}$ . Hence, for each  $H \geq 1$  and each  $\varepsilon > 0$ , we have  $\check{n} \geq 2$  such that  $s(n^H)/\log(n^H) < \varepsilon$ , for each  $n \geq \check{n}$ . But

$\varepsilon = 1/2.H.6. \log(c) > 0$ , for  $H \geq 1$  and  $c \geq 6$ . Thus, for each  $c \geq 6$  and each  $H \geq 1$ , we have  $\check{n} \geq 2$  such that

$$\frac{s(n^H)}{\log(n^H)} < \frac{1}{2.H.6. \log(c)}, \quad \text{and hence also}$$

$$\left(c^s(n^H)\right)^6 < \sqrt{n},$$

for each  $n \geq \check{n}$ . Since  $\sqrt{n} \leq \lceil \sqrt{n} \rceil < \frac{n}{2} < n - 1 < n$ , for each  $n \geq 7$ , this completes the proof of the lemma.  $\square$

The condition  $\lim_{n \rightarrow \infty} s(n)/\log(n) = 0$  is equal to  $\sup_{n \rightarrow \infty} s(n)/\log(n) = 0$ , for each  $s(n) : \mathbb{N} \rightarrow \mathbb{N}$ .

### 3. THE $N \rightarrow N + N!$ METHOD

It was shown in [8] that a nondeterministic machine using less than  $\log(n)$  space cannot distinguish between inputs  $1^n$  and  $1^{n+in!}$ , for each  $i \geq 0$ . In this section, we shall extend this result from the tally inputs to binary inputs with a periodic structure. Namely, Lemma 3, Lemma 4, and Theorems 1/2 in [8] are actually special cases of Lemma 7, Lemma 8, and Theorem 1 of this section, respectively. Simultaneously, the “ $n \rightarrow n + n!$ ” method will be generalized to the alternating machines with a constant number of alterations.

**LEMMA 7:** *Let  $S \geq 1$  and  $d \geq 1$ . Then, for each input of the form  $w^m$  such that  $|w| = d$  and  $m > (c^S)^6 = M^6$ , we have that if there exists a computation path from a configuration  $p_1 = \langle q_1, i \rangle$  to  $p_2 = \langle q_2, i \rangle$ ,  $|p_1| \leq |p_2| \leq S$ , such that the input head never visits the right (left) margin of  $w^m$ , then the shortest computation path from  $p_1$  to  $p_2$  never moves the input head farther than  $M^2 \cdot d = (c^S)^2 \cdot d$  positions to the right (left, respectively) of  $i$ .*

That is, each  $S$  space bounded computation path beginning and ending at the same input position has a “short-cut” not wider than  $M^2$  blocks of  $w$ .

*Proof:* The argument is very similar to the proof of Lemma 3 in [8] but, instead of all input tape positions on a tally input, we shall rather consider memory states at block boundaries between adjacent  $w^j$ ’s.

Suppose that the furthest configuration along the computation path from  $p_1$  to  $p_2$  is  $p_F = \langle q_F, h \rangle$ , with  $h - i > M^2 \cdot d$ . Let  $q_j$  be the last memory state along the path from  $p_1$  to  $p_F$  such that the input head was at the left margin of the  $j$ -th block  $w$  to the left of the position  $i$ , for  $j = 1, \dots, M^2 + 1$ , and let  $t_j$  be the first memory state along the path from  $p_F$  to  $p_2$  with the input



provided that it does not consume more than  $S$  space and begins/ends at least  $M^2 + 1 = (c^S)^2 + 1$   $w$ -blocks away from either margin.

LEMMA 8: Let  $S \geq 1$ ,  $d \geq 1$ , and let  $w^m$  be an input for the machine  $A$  such that  $|w| = d$  and  $m > (c^S)^6 = M^6$ . Then, if there exists a computation path from a configuration  $\langle q_1, i \rangle$  to  $\langle q_2, i + h \rangle$ ,  $|q_1| \leq |q_2| \leq S$ , such that the input head never visits either of the margins, there exists a path from  $\langle q_1, j \rangle$  to  $\langle q_2, j + h \rangle$ , for each  $j$  satisfying

$$\begin{aligned} (M^2 + 1).d &\leq j \leq (m - (M^2 + 1)).d + 1, \\ (M^2 + 1).d &\leq j + h \leq (m - (M^2 + 1)).d + 1, \\ j \bmod d &= i \bmod d. \end{aligned}$$

*Proof:* The argument is obvious; since, by Lemma 7 (see fig. 5), the shortest path from  $\langle q_1, i \rangle$  to  $\langle q_2, i + h \rangle$  never moves the input head more than  $M^2w$ -blocks to the left of  $i$ , nor  $M^2w$ -blocks to the right of  $i + h$ . Such computation paths can be moved along the input tape by integer multiples of  $d = |w|$ .  $\square$

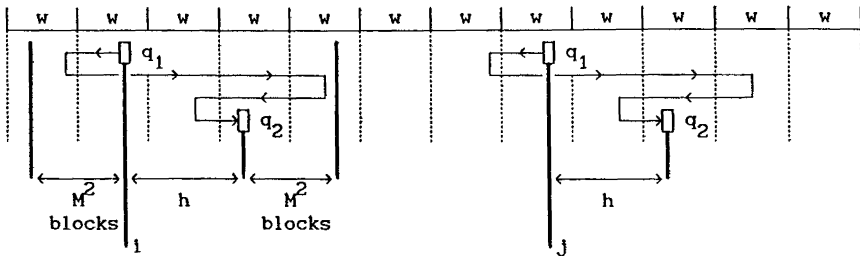


Figure 5

In the same spirit, we can generalize Theorems 1 and 2 in [8] from tally inputs to the periodic binary inputs, *i.e.*, traversals on the strings  $w^m$  and  $w^{m+im!}$  begin and end in the same memory states, for each sufficiently large  $m$  and each  $i \geq 0$ .

THEOREM 1: Let  $S \geq 1$ ,  $d \geq 1$ ,  $i \geq 0$ , and let  $w^m, w^{m+im!}$  be inputs for the machine  $A$  such that  $|w| = d$  and  $m > (c^S)^6 = M^6$ . Then, for any memory states  $q_1, q_2$  satisfying  $|q_1| \leq |q_2| \leq S$ , the machine  $A$  has a computation path from the configuration  $\langle q_1, 0 \rangle$  to  $\langle q_2, m.d + 1 \rangle$  on the input  $w^m$  if and only if  $A$  has a path from  $\langle q_1, 0 \rangle$  to  $\langle q_2, (m + im!).d + 1 \rangle$

on the input  $w^{m+im!}$ . (The margins of  $w^m$  and  $w^{m+im!}$  are crossed only in the first and last computation steps.) A similar statement can be formulated for traversals from right to left.

*Proof:* ( $m \rightarrow m + im!$ ) By (2), the number of different memory states using at most  $S$  space is bounded by  $c^S = M \leq M^6 < m$ , and hence our machine  $A$ , traversing the input  $w^m$  from left to right, must enter some memory state twice crossing the boundaries between adjacent  $w$ -blocks. That is,  $A$  executes a loop that traverses  $h$   $w$ -blocks, i.e., of length  $h.d$ , for some  $h \leq M < m$ . This loop can be iterated  $F = i \cdot \prod_{\substack{j=1 \\ j \neq h}}^m j$  more times, which gives a valid path traversing the input  $w^{m+im!}$ , since  $h.d.F = i.m!.d$ .

( $m + im! \rightarrow m$ ) The converse is not so simple since  $A$  is far from repeating regularly any loop it gets in. Still, using the Lemma 8, one can show that, for each computation path traversing the input  $w^{m+im!}$ , with  $m > M^6$ ,  $A$  has a path that begins and ends in the same configurations at the margins of  $w^{m+im!}$  and that iterates regularly a "short" loop, of length  $h \leq M < m$   $w$ -blocks, such that the portions of the input tape traversed before and after this iteration are also "short", of lengths at most  $M^4$   $w$ -blocks. But then this loop is iterated at least  $F = i \cdot \prod_{\substack{j=1 \\ j \neq h}}^m j$  times on the input  $w^{m+im!}$ , if  $m > M^6 = (c^S)^6$  and  $c \geq 6$ . Cutting the first  $F$  iterations of this loop out of the computation path, we shall get a valid computation traversing the input  $w^m$ .

For a more detailed proof, the reader is referred to Theorems 1 and 2 in [8]. The only difference is that here we do not consider all input tape positions on tally inputs, but rather positions at block boundaries on the periodic binary inputs. The fact that our machine is not nondeterministic but alternating does not play an important role in the above considerations, we simply ignore the acceptance status of the whole computation tree and concentrate on reachability along a single computation path only.  $\square$

As a direct consequence of Lemma 7 and Theorem 1, we obtain:

LEMMA 9: *Let  $S \geq 1$ ,  $d \geq 1$ , and  $i \geq 0$ . Then the words  $w^m$  and  $w^{m+im!}$  are  $S$ -equivalent, for each  $m > (c^S)^6 = M^6$  and  $|w| = d$ .*

*Proof:* First, by Theorem 1, a configuration  $p_2$  leaving  $w^m$  to the right is reachable from  $p_1$  entering  $w^m$  from the left,  $|p_1| \leq |p_2| \leq S$ , if and

only if the corresponding traversal is possible on the input  $w^{m+im!}$ , for each  $i \geq 0$  and each  $m > M^6$ . The same holds, by symmetry, for traversals from right to left.

Second, for each computation path from  $p_1$  to  $p_2$  not using more than  $S$  space, beginning and ending at the left margin of  $w^{m+im!}$ , and never crossing its right margin, there exists, by Lemma 7, a path from  $p_1$  to  $p_2$  that never moves the input head farther than  $M^2$   $w$ -blocks to the right from the left margin. Since  $M^2 \leq M^6 < m$ , we have enough room to run this computation on both  $w^{m+im!}$  and  $w^m$ . The same holds for computations that begin and end at the right margins of  $w^m$  and  $w^{m+im!}$ .  $\square$

The strings  $w^m$  and  $w^{m+im!}$ , for  $m > (c^S)^6$ , have some important properties. By Lemma 3,  $\alpha w^m \beta$  and  $\alpha w^{m+im!} \beta$  are also  $S$ -equivalent, for any  $\alpha$  and  $\beta$ . Moreover, by Lemma 4, no machine tries to use more than  $S$  space on  $\alpha w^{m+im!} \beta$  unless it tries to do so on  $\alpha w^m \beta$ . The next theorem shows that configurations having their input head positions exactly  $m!$   $w$ -blocks apart and sufficiently far from either margin must have an equal acceptance status on the input  $w^{m+im!}$ .

**THEOREM 2:** *Let  $S \geq 1$ ,  $d \geq 1$ ,  $i \geq 1$ , and let  $w^{m+im!}$  be an input for the machine  $A$  such that  $|w| = d$  and  $m > (c^S)^6 = M^6$ . The alternating machine  $A$  has an accepting computation tree with the root in a configuration  $p_l = \langle q_l, j \rangle$  if and only if  $A$  has an accepting tree with the root in  $p'_l = \langle q_l, j + m!.d \rangle$ , for any  $\Sigma_l/\Pi_l$ -configurations  $p_l, p'_l$  that are  $S$ -bounded on  $w^{m+im!}$ , and each  $j$  satisfying*

$$(m+l.(m+m!)).d \leq j \leq j+m!.d \leq (m+i.m!-(m+l.(m+m!))).d+1.$$

(I. e.,  $p_l, p'_l$  of alternating level  $\Sigma_l/\Pi_l$  are at least  $m+l.(m+m!)$   $w$ -blocks away from either margin. This is possible, for example, if  $i \geq 4l+3$ .)

*Proof:* The argument uses induction on the alternating level  $l$ . Because no computation path beginning in  $p_l$  or  $p'_l$  uses more than  $S$  space before reaching the left/right margin of  $w^{m+im!}$ , we can use Theorem 1 and Lemmas 7, 8, and 9.

First, suppose that the configuration  $p_l = \langle q_l, j \rangle$  is  $\Pi_l$ -rejecting. We shall show that then so is  $p'_l = \langle q_l, j + m!.d \rangle$ . If  $p_l$  is  $\Pi_l$ -rejecting, then at least one computation path beginning in  $p_l$  must reject the input. We have the following cases to consider:

1) The rejecting computation path alternates, i.e., it enters a  $\Sigma_{l-1}$ -rejecting configuration  $p_{l-1} = \langle q_{l-1}, h \rangle$ . There are now the following subcases:

1a) The rejecting path alternates not moving the input head farther than  $m + m!$   $w$ -blocks away from the position  $j$ , and hence  $|h - j| \leq (m + m!).d$ . Since both  $p_l = \langle q_l, j \rangle$  and  $p'_l = \langle q_l, j + m!.d \rangle$  are at least  $m + l.(m + m!)$   $w$ -blocks away from either margin of  $w^{m+im!}$ , configurations  $p_{l-1} = \langle q_{l-1}, h \rangle$  and  $p'_{l-1} = \langle q_{l-1}, h + m!.d \rangle$  are at least  $m + (l - 1).(m + m!)$   $w$ -blocks away from the margins. (See fig. 6.)

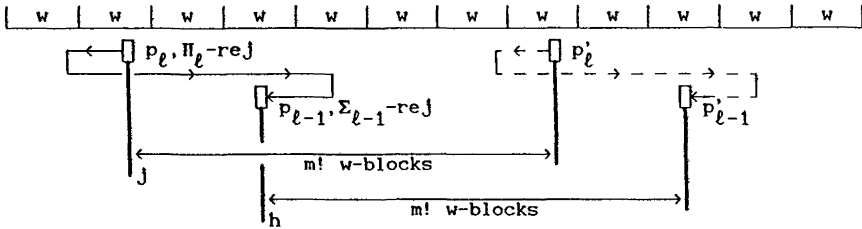


Figure 6

Further, by Lemma 8, if  $p_{l-1}$  is reachable from  $p_l$  then  $p'_{l-1}$  is reachable from  $p'_l$ , because positions  $j, j + m!.d, h,$  and  $h + m!.d$  are all at least  $m > M^2 + 1$   $w$ -blocks away from either margin, for each  $l \geq 1$ . Now, using the induction hypothesis for  $l' = l - 1$ , we have that if the configuration  $p_{l-1}$  is  $\Sigma_{l-1}$ -rejecting, then  $p'_{l-1}$  is also  $\Sigma_{l-1}$ -rejecting. But then  $p'_l$  must be  $\Pi_l$ -rejecting, because it has a computation path that enters a  $\Sigma_{l-1}$ -rejecting configuration.

1b) The rejecting computation path moves the input head farther than  $m + m!$   $w$ -blocks away from  $j$ .

(i) Suppose that the rejecting path gets too far to the left. Let  $p_B = \langle q_B, j - m.d \rangle$  be the first configuration with the input head positioned  $m$   $w$ -blocks to the left of  $j$ , and let  $p_A = \langle q_A, j \rangle$  be the last configuration along the path from  $p_l$  to  $p_B$  with the input head position equal to  $j$ . (See fig. 7.)

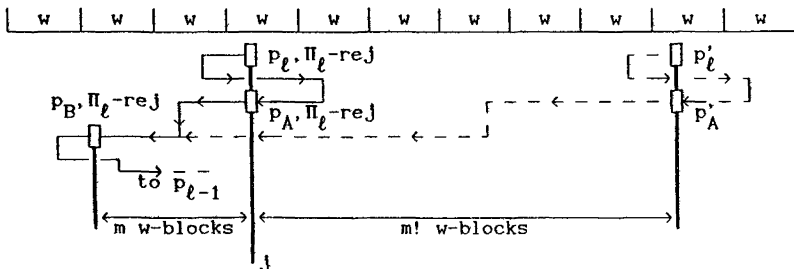


Figure 7

Clearly,  $p_A$  and  $p_B$  are  $\Pi_l$ -rejecting. By Lemma 8,  $p'_A = \langle q_A, j + m! \cdot d \rangle$  is reachable from  $p'_l$ , since both  $j$  and  $j + m! \cdot d$  are at least  $m > M^2 + 1$   $w$ -blocks away from either margin. Moreover,  $p_B$  is reachable from  $p'_A$  because, by Theorem 1 and Lemma 9, the machine has a path traversing the tape segment  $w^m$  if and only if it has a corresponding path traversing  $w^{m+m!}$ . But then  $p'_l$  is also  $\Pi_l$ -rejecting, since some paths from  $p_l$  and  $p'_l$  enter the same  $\Sigma_{l-1}$ -rejecting configuration  $p_{l-1}$ .

(ii) The same holds if the rejecting path from  $p_l$  gets too far to the right; now some paths from  $p_l$  and  $p'_l$  share a common configuration  $p_B$  lying  $m + m!$   $w$ -blocks to the right of  $j$ .

2) Suppose that  $p_l$  is  $\Pi_l$ -rejecting because some computation path enters an infinite cycle, making no alternation at all. By a reasoning very similar to Case 1, we can show that (a) either the entire cycle is executed between the positions  $j - (m + m!) \cdot d$  and  $j + (m + m!) \cdot d$  and then  $p'_l$  has a parallel path with the same infinite cycle at the distance  $m!$   $w$ -blocks apart; (b) or at least a part of the infinite cycle lies farther than  $m + m!$   $w$ -blocks away from  $j$ . But then  $p_l$  and  $p'_l$  share the common cycle. In both cases,  $p'_l$  is  $\Pi_l$ -rejecting.

3) Finally, some alternation-free path beginning in  $p_l$  may halt in a configuration that rejects the input. Again, either this path does not move the head "too far" and then we have a parallel path for  $p'_l$ , or else some paths from  $p_l$  and  $p'_l$  share the same halting and rejecting configuration.

Thus, we have shown that if  $p_l = \langle q_l, j \rangle$  is  $\Pi_l$ -rejecting then so is  $p'_l = \langle q_l, j + m! \cdot d \rangle$ . It is not too hard to see that if  $p'_l$  is  $\Pi_l$ -rejecting then, by symmetry,  $p_l$  must also be  $\Pi_l$ -rejecting. Therefore,  $p_l$  is  $\Pi_l$ -accepting if and only if  $p'_l$  is  $\Pi_l$ -accepting.

By a very similar reasoning, we can show that  $p_l$  is  $\Sigma_l$ -accepting if and only if  $p'_l$  is  $\Sigma_l$ -accepting. The main difference is that, instead of rejecting computation paths beginning in  $\Pi_l$ -rejecting configurations, we analyze *accepting* paths beginning in  $\Sigma_l$ -accepting configurations. Further, Case 2 need not be considered, since no accepting path beginning in the  $\Sigma_l$ -accepting  $p_l$  or  $p'_l$  can be an alternation-free infinite cycle.

To complete the proof, we have to show that the induction hypothesis holds for  $l = 1$ , *i.e.*, for  $\Sigma_1/\Pi_1$ -configurations. However, the structure of the proof for  $l = 1$  is exactly the same as for  $l > 1$ , with Case 1 eliminated (no more alternations ahead). Note that Case 1a was the only place where the induction hypothesis was required.  $\square$

#### 4. LOGIC BEHIND ALTERNATION

In this section we introduce the notion of a characteristic boolean function  $f_{p,w}$  for a configuration  $p$  positioned on a substring  $w$  of input  $\alpha w \beta$ , which allows us to investigate the machine's behavior inside  $w$  and outside  $w$  separately. This notion is based on the fact that the computation trees of alternating machines can be viewed as if they were the trees representing ordinary boolean formulas composed of AND and OR operators only. Then we shall present some properties of such functions.

**DEFINITION 7:** Let  $p$  be a configuration with the input head positioned on a substring  $w$  of input  $\alpha w \beta$ , or going to enter  $w$  in the next computation step. A *characteristic function*  $f_{p,w}$  is a boolean function that is obtained as follows: Take the computation tree the branches of which represent all possible computations beginning in the configuration  $p$ . (All input head positions are relative to the left margin of  $w$ .)

(i) Then each branch of the tree is pruned as soon as it reaches a configuration  $t$  that is leaving  $w$  by crossing its left/right margin. The leaf node now corresponding to  $t$  is then assigned a boolean variable  $x_t$ .

(ii) Each branch that represents an infinite cycle never leaving  $w$  is pruned as soon as it enters the same configuration for the second time. The resulting leaf node is then assigned a boolean constant 0 (FALSE).

(iii) Each leaf node that represents a halting configuration reachable from the root  $p$  by a path never leaving  $w$  is assigned a boolean constant 0 or 1 (FALSE or TRUE), depending on whether it rejects or accepts the input, respectively.

(iv) Each internal node representing an existential/universal configuration is assigned a boolean operator “ $\vee$ ”/“ $\&$ ” (OR/AND), respectively. An internal node having exactly one son is ignored, *i.e.*, it is assigned a unary operator of identity.

(v) If  $p$  is  $S$ -bounded on  $w$ , for some  $S \geq 1$ , then the resulting tree is finite and represents the evaluation order of operators for the boolean function  $f_{p,w}(x_{t_1}, \dots, x_{t_h})$ , with the formal parameter list  $x_{t_1}, \dots, x_{t_h}$  corresponding to  $\text{EX}_{p,w} = \{t_1, \dots, t_h\}$ , the set of all configurations reachable from  $p$  on  $w$  that are leaving  $w$  by crossing its margins (“exits” of  $w$  for  $p$ ).

Figure 8 presents an example of the tree-to-function transformation that is described above:

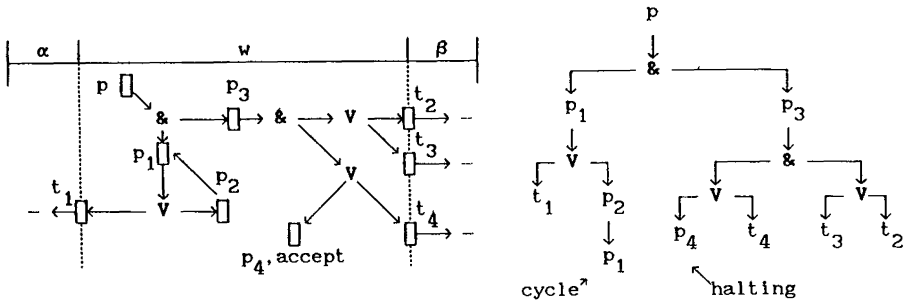


Figure 8

For the configurations  $p$ , we obtain

$$f_{p,w}(x_{t_1}, x_{t_2}, x_{t_3}, x_{t_4}) = (x_{t_1} \vee 0) \& ((1 \vee x_{t_4}) \& (x_{t_3} \vee x_{t_2})) \\ = x_{t_1} \& (x_{t_2} \vee x_{t_3}),$$

which reflects the fact that  $p$  is an accepting configuration (i.e.,  $p$  has an accepting tree on  $\alpha w \beta$ ) if (i)  $t_1$  is accepting and (ii) at least one of  $t_2, t_3$  is accepting. The acceptance status of  $p$  on  $w$  depends on the set of exit configurations, i.e., on  $Ex_{p,w} = \{t_1, t_2, t_3, t_4\}$ . Note that the result is actually independent from  $t_4 \in Ex_{p,w}$  because the accept/reject status of  $t_4$  is overridden by another computation path.

If  $f_{p,w}(x_{t_1}, \dots, x_{t_h})$  is independent from each configuration  $t_j \in Ex_{p,w}$  then it is a constant function returning always the same boolean value. Similarly, if no computation path beginning in  $p$  leaves  $w$ , then  $f_{p,w}$  is a constant function with the empty parameter list, i.e., with  $h = 0$ . Such functions will be denoted by  $f_{p,w}(\ )$ .

It is easy to see that boolean functions composed by OR/AND operators only (no NOT's) can be put into the conjunctive/disjunctive normal forms so that no clause contains a negated variable, i.e., for the conjunctive normal form we have either  $f(x_1, \dots, x_h) = \text{constant } 0/1$ , or

$$f(x_1, \dots, x_h) = K_1 \& K_2 \& \dots \& K_f,$$

such that each of the clauses  $K_1, \dots, K_f$  is of the form

$$K_j = (x_{e_1} \vee x_{e_2} \vee \dots \vee x_{e_n}),$$

where  $A_j = \{x_{e_1}, \dots, x_{e_n}\} \subseteq \{x_1, \dots, x_h\}$ . Similarly, for the disjunctive normal form, we get either a constant or

$$f(x_1, \dots, x_h) = K_1 \vee K_2 \vee \dots \vee K_f,$$

where

$$K_j = (x_{e_1} \& x_{e_2} \& \dots \& x_{e_n}),$$

for each  $j$ . These normal forms are obtained by the use of the distributive rules and some other simple transformations (like, for example,  $1 \& \alpha \Rightarrow \alpha$ ,  $0 \& \alpha \Rightarrow 0$ , ...).

DEFINITION 8: Let  $C' = (C'_1, \dots, C'_h)$ ,  $C'' = (C''_1, \dots, C''_h)$ ,  $h \geq 0$ , be boolean vectors. We write  $C' \leq C''$ , if  $C'_j \leq C''_j$  for each  $j \in \{1, \dots, h\}$ ,  $C' \neg \leq C''$ , if  $C'_j > C''_j$  for some  $j \in \{1, \dots, h\}$ . (As is usual,  $0 < 1$ .) A boolean function  $f(x_1, \dots, x_h)$  is *monotone*, if  $f(C') \leq f(C'')$  for each  $C' \leq C''$ . We write  $f' \leq f''$  for two boolean functions  $f'(x_1, \dots, x_h)$  and  $f''(x_1, \dots, x_h)$ , if  $f'(C) \leq f''(C)$  for each  $C$ .

It is easy to see that each characteristic boolean function  $f_{p,w}$  is monotone, since the operators AND, OR are monotone and the monotone compositions of monotone functions must also be monotone. We shall now present some properties of monotone functions that will be used later.

LEMMA 10: Let  $f'(x_1, \dots, x_h)$  and  $f''(x_1, \dots, x_h)$  be monotone functions.

a) If  $f' \leq f''$  and  $f'(C') > f''(C'')$ , for some  $C', C''$ , then  $C' \neg \leq C''$ .

b) If for each  $C', C''$  we have that  $f'(C') > f''(C'')$  implies  $C' \neg \leq C''$ , then  $f' \leq f''$ .

The next two lemmas show that the conjunctive/disjunctive normal forms of the monotone functions  $f'$  and  $f''$  are closely related, if  $f' \leq f''$ .

LEMMA 11: Let  $f'(x_1, \dots, x_h)$  and  $f''(x_1, \dots, x_h)$  be monotone functions,  $f' \leq f''$ . If

$$\begin{aligned} f''(x_1, \dots, x_h) &= K''_1 \& \dots \& K''_{f''} \\ f'(x_1, \dots, x_h) &= K'_1 \& \dots \& K'_{f'} \end{aligned}$$

are the conjunctive normal forms for  $f''$ ,  $f'$ , then for each clause of  $f''$  there exists a clause of  $f'$  composed of a subset of its variables only, i.e., for each  $j'' \in \{1, \dots, f''\}$  there exists  $j' \in \{1, \dots, f'\}$  such that

$$\begin{aligned} K''_{j''} &= (x_{e''_1} \vee \dots \vee x_{e''_{g''}}), \\ K'_{j'} &= (x_{e'_1} \vee \dots \vee x_{e'_{g'}}), \end{aligned}$$

with

$$A'_{j'} = \{x_{e'_1}, \dots, x_{e'_{g'}}\} \subseteq A''_{j''} = \{x_{e''_1}, \dots, x_{e''_{g''}}\}.$$

The proof is a straightforward contradiction. Supposing that  $f''$  has a clause  $K''_{j''}$  such that each clause of  $f'$  contains a variable outside  $A''_{j''}$ , we can easily find  $\check{C}$  satisfying  $1 = f'(\check{C}) > f''(\check{C}) = 0$ . By a very similar argument, we can show a corresponding property for the disjunctive normal forms.

LEMMA 12: Let  $f'(x_1, \dots, x_h)$  and  $f''(x_1, \dots, x_h)$  be monotone functions,  $f' \leq f''$ . If

$$f''(x_1, \dots, x_h) = K''_1 \vee \dots \vee K''_{f''}$$

$$f'(x_1, \dots, x_h) = K'_1 \vee \dots \vee K'_{f'}$$

are the disjunctive normal forms for  $f''$ ,  $f'$ , then for each clause of  $f'$  there exists a clause of  $f''$  composed of a subset of its variables only, i.e., for each  $j' \in \{1, \dots, f'\}$  there exists  $j'' \in \{1, \dots, f''\}$  such that

$$K''_{j''} = (x_{e''_1} \& \dots \& x_{e''_{j''}}),$$

$$K'_{j'} = (x_{e'_1} \& \dots \& x_{e'_{j'}}),$$

with

$$A''_{j''} = \{x_{e''_1}, \dots, x_{e''_{j''}}\} \subseteq A'_{j'} = \{x_{e'_1}, \dots, x_{e'_{j'}}\}.$$

The next two theorems state that even partial decompositions into conjunctions/disjunctions are closely related for  $f' \leq f''$ .

THEOREM 3: Let  $f'(x_1, \dots, x_h)$  and  $f''(x_1, \dots, x_h)$  be monotone,  $f' \leq f''$ . If  $1 = f'(C') > f''(C'') = 0$  for some  $C', C''$ , and  $f''$  can be partitioned into  $f''(x_1, \dots, x_h) = f_A(x_{e_1}, \dots, x_{e_a}) \& f_B(x_1, \dots, x_h)$ , for some monotone  $f_A, f_B$ , with  $A = \{x_{e_1}, \dots, x_{e_a}\} \subseteq B = \{x_1, \dots, x_h\}$ , such that  $f_A(C''_{e_1}, \dots, C''_{e_a}) = 0$ , then  $C'$  must differ from  $C''$  in a formal parameter of  $f_A$ , i.e., there exists  $x_e \in A$  such that  $1 = C'_e > C''_e = 0$ .

Proof: Since  $f_A(C''_{e_1}, \dots, C''_{e_a}) = 0$  and  $f_A(C'_{e_1}, \dots, C'_{e_a}) \geq f''(C') \geq f'(C') = 1$ , we have that  $f_A$  is not a constant function, and hence its transformation into the conjunctive normal form does not degenerate into a single constant.

Thus  $f_A(C''_{e_1}, \dots, C''_{e_a}) = 0$  implies that  $f_A$  has a clause not satisfied for  $C''$ , i. e., we have  $K''_A = (x_{a''_1} \vee \dots \vee x_{a''_b})$  with  $\{x_{a''_1}, \dots, x_{a''_b}\} \subseteq A$  and  $C''_{a''_1} = C''_{a''_2} = \dots = C''_{a''_b} = 0$ . But we can find a conjunctive normal form for  $f'' = f_A \& f_B$  containing all clauses for  $f_A$ , and hence, by Lemma 11,  $f'$  has a clause  $K'_A$  composed of a subset of  $\{x_{a''_1}, \dots, x_{a''_b}\}$ . Since  $f'(C') = 1$ ,

$K'_A$  is satisfied for  $C'$ , hence, there exists  $x_e \in \{x_{a'_1}, \dots, x_{a'_g}\} \subseteq A$  such that  $C'_e = 1$  and  $C''_e = 0$ .  $\square$

A similar theorem holds for decompositions of  $f'$  into disjunctions. The corresponding proof mirrors Theorem 3, using the disjunctive normal forms and Lemma 12, instead of Lemma 11.

**THEOREM 4:** *Let  $f'(x_1, \dots, x_h)$  and  $f''(x_1, \dots, x_h)$  be monotone,  $f' \leq f''$ . If  $1 = f'(C') > f''(C'') = 0$  for some  $C', C''$ , and  $f'$  can be partitioned into  $f'(x_1, \dots, x_h) = f_A(x_{e_1}, \dots, x_{e_g}) \vee f_B(x_1, \dots, x_h)$ , for some monotone  $f_A, f_B$ , with  $A = \{x_{e_1}, \dots, x_{e_g}\} \subseteq B = \{x_1, \dots, x_h\}$ , such that  $f_A(C'_{e_1}, \dots, C'_{e_g}) = 1$ , then  $C'$  must differ from  $C''$  in a formal parameter of  $f_A$ , i. e., there exists  $x_e \in A$  such that  $1 = C'_e > C''_e = 0$ .*

## 5. ALTERNATION RESISTANCE

We are now ready to state and prove main theorems. First, we shall introduce the notion of  $\Sigma_k/\Pi_k$ ,  $S$ -resistant words, which compensates us for the defects of  $S$ -equivalence mentioned in Section 2.

**DEFINITION 9:** An ordered pair of words  $(w', w'')$  is  $\Sigma_k$ ,  $S$ -resistant ( $\Pi_k$ ,  $S$ -resistant) for a machine  $A$ , if

- a)  $w'$  and  $w''$  are  $S$ -equivalent,
- b) for each configuration- $p$ ,
  - b1) going to enter  $w'$  and  $w''$  by crossing their left/right margins in the next computation step,
  - b2) that is  $S$ -bounded on  $w'$  and on  $w''$ ,
  - b3) of alternating level  $\Sigma_k$  or less ( $\Pi_k$  or less, respectively)
 we have  $f_{p, w'} \leq f_{p, w''}$ .

By (a), individual computation paths not using more than  $S$  space cannot distinguish  $w'$  from  $w''$  on inputs  $\alpha w' \beta$  and  $\alpha w'' \beta$ . By Lemma 4b, it is then sufficient to suppose that  $p$  is  $S$ -bounded on one of them only. Further, by Lemma 5c, we have  $\text{Ex}_{p, w'} = \text{Ex}_{p, w''}$ , i. e., the functions  $f_{p, w'}$  and  $f_{p, w''}$  have the same formal parameter list and the accept/reject statuses of  $p$  on the inputs  $\alpha w' \beta$  and  $\alpha w'' \beta$  depend on the accept/reject statuses of the same configurations leaving  $w'$  and  $w''$  for the first time. The condition  $f_{p, w'} \leq f_{p, w''}$  indicates that these statuses are closely related, unless the machine  $A$  uses more space or a higher level of alternation. The next theorem clarify the above idea.

**THEOREM 5:** *Let  $(w', w'')$  be a  $\Pi_k$ ,  $S$ -resistant pair. Then, for each  $\alpha$  and  $\beta$ ,*

*a)  $\alpha w' \beta$  and  $\alpha w'' \beta$  are  $S$ -equivalent,*

*b) for each configuration  $p$ ,*

*b1) with the input head positioned outside  $w'$  and  $w''$ , i. e., on  $\alpha$  or on  $\beta$  (the input head positions are relative to the left margins of  $\alpha$  or  $\beta$ ),*

*b2) that is  $S$ -bounded on  $\alpha w' \beta$  and on  $\alpha w'' \beta$ ,*

*b3) of alternating level  $\Pi_k$  or less*

*we have  $f_{p, \alpha w' \beta} \leq f_{p, \alpha w'' \beta}$ .*

*The same holds for the  $\Sigma_k$ ,  $S$ -resistant  $(w', w'')$  and each  $p$  of alternating level  $\Sigma_k$  or less.*

As a special case, for  $p$  entering  $\alpha w' \beta$  and  $\alpha w'' \beta$  in the next computation step, we get immediately that  $(\alpha w' \beta, \alpha w'' \beta)$  is again a  $\Pi_k/\Sigma$ ,  $S$ -resistant pair, respectively.

*Proof:* (a) follows easily from Lemma 3. Now, let  $p$  be any configuration satisfying (b1), (b2), and (b3). Because  $p$  is  $S$ -bounded on  $\alpha w' \beta$  and on  $\alpha w'' \beta$ , and  $w', w''$  are  $S$ -equivalent,  $\alpha w' \beta$  and  $\alpha w'' \beta$  have the same set of exit configurations for  $p$ , i. e.,  $\text{Ex}_{p, \alpha w' \beta} = \text{Ex}_{p, \alpha w'' \beta} = \{p_1, \dots, p_h\}$  for some  $p_1, \dots, p_h$  leaving  $\alpha w' \beta$  and  $\alpha w'' \beta$ . Thus,  $f_{p, \alpha w' \beta}$  and  $f_{p, \alpha w'' \beta}$  have the same formal parameter list  $x_{p_1}, \dots, x_{p_h}$ .

We have to show that  $f_{p, \alpha w' \beta} \leq f_{p, \alpha w'' \beta}$ . By Lemma 10b, it is sufficient to show, for each  $C' = (C'_{p_1}, \dots, C'_{p_h})$  and  $C'' = (C''_{p_1}, \dots, C''_{p_h})$ , that if  $1 = f_{p, \alpha w' \beta}(C') > f_{p, \alpha w'' \beta}(C'') = 0$ , then  $C' \neg \leq C''$ , i. e., there exists  $p_e \in \{p_1, \dots, p_h\}$  with  $1 = C'_{p_e} > C''_{p_e} = 0$ . In other words, we shall interrupt all computation paths beginning in  $p$  on  $\alpha w' \beta$  and  $\alpha w'' \beta$  as soon as they are leaving  $\alpha w' \beta$  and  $\alpha w'' \beta$  ( $\alpha w' \beta$  and  $\alpha w'' \beta$  may be substrings of some longer inputs) and assign some accept/reject statuses  $C' = (C'_{p_1}, \dots, C'_{p_h})$  and  $C'' = (C''_{p_1}, \dots, C''_{p_h})$  to the configurations  $p_1, \dots, p_h$  leaving  $\alpha w' \beta$  and  $\alpha w'' \beta$ , respectively. We show that if this assignment causes that  $p$  is accepting on  $\alpha w' \beta$  but rejecting on  $\alpha w'' \beta$ , then, for some  $p_e$  leaving  $\alpha w' \beta$  and  $\alpha w'' \beta$ , we had to assign the accept status on  $\alpha w' \beta$ , but reject status on  $\alpha w'' \beta$ .

Before proving this, we shall show two slightly weaker claims for configurations reachable from  $p$  on  $\alpha w' \beta$  and  $\alpha w'' \beta$ .

**CLAIM 1:** Let  $r$  be a configuration

– with the input head positioned outside  $w'$  and  $w''$ ,

- reachable from  $p$  by a path never leaving  $\alpha w' \beta$  or  $\alpha w'' \beta$ , (hence,  $S$ -bounded on  $\alpha w' \beta$  and  $\alpha w'' \beta$ , and of alternating level  $\Pi_k$  or less),
- $\Pi_l$ -accepting on  $\alpha w' \beta$ , but  $\Pi_l$ -rejecting on  $\alpha w'' \beta$ , for some  $l \leq k$ , then
  - (i) either  $1 = C'_{p_e} > C''_{p_e} = 0$ , for some  $p_e \in \text{Ex}_{p, \alpha w' \beta} = \text{Ex}_{p, \alpha w'' \beta}$ ,
  - (ii) or there exists a configuration  $r'$ 
    - with the input head positioned outside  $w'$  and  $w''$ ,
    - reachable from  $r$  by a path never leaving  $\alpha w' \beta$  or  $\alpha w'' \beta$ ,
    - $\Sigma_{l'}/\Pi_{l'}$ , -accepting on  $\alpha w' \beta$ , but  $\Sigma_{l'}/\Pi_{l'}$ , -rejecting on  $\alpha w'' \beta$ , for some  $l' < l \leq k$  (i. e., of alternating level at most  $\Sigma_{l-1}$ ).

*Proof of Claim 1:* Because  $r$  is  $\Pi_l$ -rejecting for  $\alpha w'' \beta$ , there must be a rejecting computation path beginning in  $r$  on  $\alpha w'' \beta$ . We now have the following cases:

0) The rejecting path reaches the margin making no alternation and leaves  $\alpha w'' \beta$  in a configuration  $p_e$  that is  $\Pi_l$ -rejecting. (See fig. 9).

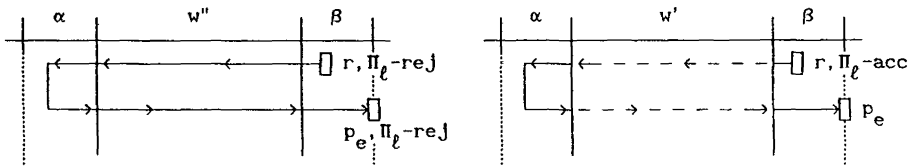


Figure 9

Because  $w', w''$  are  $S$ -equivalent and  $r$  is  $S$ -bounded on  $\alpha w' \beta$  and  $\alpha w'' \beta$ ,  $p_e$  is also reachable from  $r$  at the corresponding margin of  $\alpha w' \beta$ . Since  $r$  is  $\Pi_l$ -accepting on  $\alpha w' \beta$ , all alternation-free paths from  $r$  must be accepting. Therefore,  $p_e$  is  $\Pi_l$ -accepting on  $\alpha w' \beta$ . Thus we have  $p_e \in \text{Ex}_{p, \alpha w' \beta} = \text{Ex}_{p, \alpha w'' \beta}$  with  $C'_{p_e} = 1$  and  $C''_{p_e} = 0$ .

1a) The rejecting path from the  $\Pi_l$ -rejecting  $r$  alternates outside  $w''$  on  $\alpha w'' \beta$ , i. e., it enters a  $\Sigma_{l-1}$ -rejecting configuration  $r'$  with the input head positioned on  $\alpha$  or  $\beta$ . (See fig. 10)

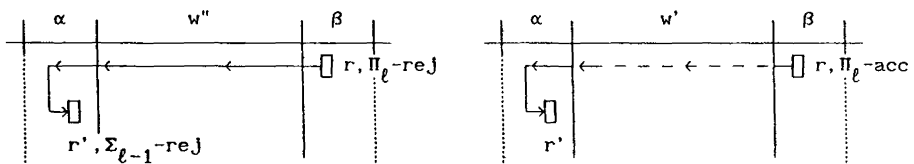


Figure 10



level  $\Pi_l$ , with  $l \leq k$ .) Further,  $(w', w'')$  is a  $\Pi_k$ ,  $S$ -resistant pair and hence

$$f_{r', w'} \leq f_{r', w''}.$$

$f_{r', w'}$  has the same formal parameter list, corresponding to  $Ex_{r', w'} = Ex_{r', w''} = \{r_1, \dots, r_f\}$ . Since all alternation-free paths from  $r$  on  $\alpha w' \beta$  must be successful,  $r'$  must be  $\Pi_l$ -accepting on  $\alpha w' \beta$  and hence the accept/reject statuses  $\check{C}' = (\check{C}'_{r_1}, \dots, \check{C}'_{r_f})$  of exists on  $\alpha w' \beta$  must satisfy

$$\check{C}'_{r'} = f_{r', w'}(\check{C}'_{r_1}, \dots, \check{C}'_{r_f}) = 1.$$

But then, by Theorem 3,  $\check{C}'$  must differ from  $\check{C}''$  in a formal parameter of  $f_{r'', w''}$ , i. e.,  $1 = \check{C}'_{t_j} > \check{C}''_{t_j} = 0$ , for some  $t_j \in Ex_{r'', w''} \subseteq Ex_{r', w'} = Ex_{r', w''} = \{r_1, \dots, r_f\}$ . In other words, there exists a configuration  $t_j$  reachable from  $r'$  on both  $\alpha w' \beta$  and  $\alpha w'' \beta$ , having just left  $w'$  and  $w''$  by crossing their margins, that is accepting on  $\alpha w' \beta$ , but rejecting on  $\alpha w'' \beta$ . Moreover,  $t_j$  is reachable from the  $\Sigma_{l-1}$ -rejecting  $r''$  and therefore it is of alternating level  $\Sigma_{l-1}$  or less.

All cases above were confirming the hypothesis of the Claim 1. We shall now show that all cases that remain to consider lead to contradictions and hence cannot happen.

2a) Suppose that the  $\Pi_l$ -rejecting  $r$  on  $\alpha w'' \beta$  has an infinite cycle, making no alternation at all, and that at least a part of this cycle lies outside  $w''$ . (See fig. 12.)

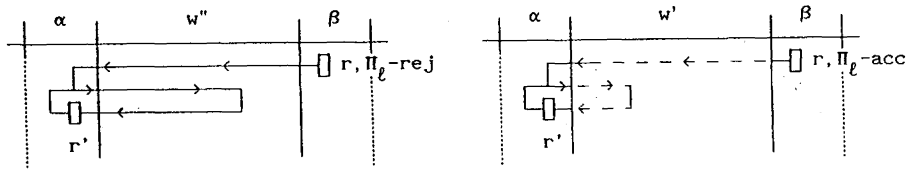


Figure 12

Thus, we can find a configuration  $r'$  positioned outside  $w''$  such that (a)  $r'$  is reachable from  $r$  on  $\alpha w'' \beta$ , (b)  $r'$  is reachable from  $r'$  on  $\alpha w'' \beta$ . But then  $r'$  is also reachable from  $r$  on  $\alpha w' \beta$ , similarly,  $r'$  is reachable from  $r'$  on  $\alpha w' \beta$ . This gives an alternation-free cycle reachable from the  $\Pi_l$ -acceptating  $r$  on  $\alpha w' \beta$ , which is a contradiction.

2b) Suppose that the entire cycle is executed within  $w''$ . (See fig. 13.)

Let  $r'$  be the last configuration along the path from  $r$  to the cycle crossing the border of  $w''$ . By a reasoning very similar to Case 1b,  $r'$  is  $\Pi_l$ -rejecting

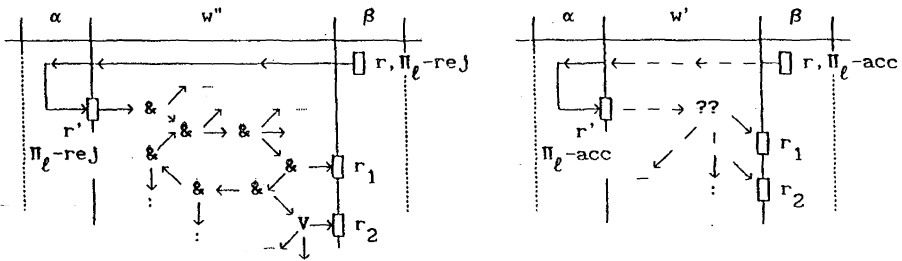


Figure 13

on  $\alpha w'' \beta$  but  $\Pi_l$ -accepting on  $\alpha w' \beta$ . Because we have an alternation-free path from the universal  $r'$  into the cycle on  $w''$ ,  $f_{r', w''}$  can be expressed in the form

$$f_{r', w''}(x_{r_1}, \dots, x_{r_f}) = 0 \ \& \ \bar{f}(x_{r_1}, \dots, x_{r_f}),$$

branch for cycle     $\uparrow$      $\uparrow$     other branches

i. e.,  $f_{r', w''}$  is a constant function returning always zero and overriding the accept/reject statuses  $\check{C}'' = (\check{C}''_{r_1}, \dots, \check{C}''_{r_f})$  of exit configurations. Because  $r'$  is  $S$ -bounded on  $w'$  and  $w''$ , of alternating level  $\Pi_l$ ,  $l \leq k$ , and  $(w', w'')$  is a  $\Pi_k$ ,  $S$ -resistant pair, we have  $f_{r', w'} \leq f_{r', w''}$  and therefore  $f_{r', w'}$  is also a constant function returning always zero.

On the other hand,  $r'$  is  $\Pi_l$ -accepting on  $\alpha w' \beta$  and hence  $\check{C}'_{r'} = f_{r', w'}(\check{C}'_{r_1}, \dots, \check{C}'_{r_f}) = 1$  for some  $\check{C}' = (\check{C}'_{r_1}, \dots, \check{C}'_{r_f})$ , which is a contradiction.

3) Finally, suppose that the  $\Pi_l$ -rejecting  $r$  has an alternation-free path that halts in a rejecting configuration on  $\alpha w'' \beta$ . There are two subcases again, corresponding to Case 2a and 2b: Either the machine halts outside  $w''$  on  $\alpha w'' \beta$ , and then the same halting and rejecting configuration is also reachable from the  $\Pi_l$ -accepting  $r$  on  $\alpha w' \beta$ , or it halts inside  $w''$ . But then we can find a configuration  $r'$  crossing the border of  $w'/w''$  such that  $f_{r', w''}(\dots) = 0 \ \& \ \bar{f}(\dots)$  due to a halting path that rejects,  $f_{r', w'} \leq f_{r', w''}$ , but  $f_{r', w'}(\check{C}') = 1$  for some  $\check{C}'$ . In either case, this is a contradiction.

This completes the proof of the Claim 1. A very similar claim can be formulated for existential configurations.

CLAIM 2: Let  $r$  be a configuration

- with the input head positioned outside  $w'$  and  $w''$ ,
- reachable from  $p$  by a path never leaving  $\alpha w' \beta$  or  $\alpha w'' \beta$ , (hence,  $S$ -bounded on  $\alpha w' \beta$  and  $\alpha w'' \beta$ , and of alternating level  $\Pi_k$  or less),

- $\Sigma_l$ -accepting on  $\alpha w' \beta$ , but  $\Sigma_l$ -rejecting on  $\alpha w'' \beta$ , for some  $l < k$ , then
  - (i) either  $1 = C'_{p_e} > C''_{p_e} = 0$ , for some  $p_e \in \text{Exp}_{\alpha w' \beta} = \text{Exp}_{\alpha w'' \beta}$ ,
  - (ii) or there exists a configuration  $r'$ 
    - with the input head positioned outside  $w'$  and  $w''$ ,
    - reachable from  $r$  by a path never leaving  $\alpha w' \beta$  or  $\alpha w'' \beta$ ,
    - $\Sigma_{l'}/\Pi_{l'}$ -accepting on  $\alpha w' \beta$ , but  $\Sigma_{l'}/\Pi_{l'}$ -rejecting on  $\alpha w'' \beta$ , for some  $l' < l < k$  (i. e., of alternating level at most  $\Pi_{l-1}$ ).

*Proof of Claim 2:* The argument mirrors the proof of Claim 1 but, instead of the rejecting paths beginning in the  $\Pi_l$ -rejecting  $r$  on  $\alpha w'' \beta$ , we analyze *accepting* paths beginning in the  $\Sigma_l$ -accepting  $r$  on  $\alpha w' \beta$ . The only exceptions are Cases 2a and 2b that correspond to nothing in Claim 2, because no accepting path can be an infinite cycle. To illustrate what Alice can see through the looking glass, we shall review Case 1b (alternation inside).

Suppose that the existential configuration  $r$  is  $\Sigma_l$ -rejecting on  $\alpha w'' \beta$ , but  $\Sigma_l$ -accepting on  $\alpha w' \beta$ , because it has a successful computation path that enters a  $\Pi_{l-1}$ -accepting  $r''$  positioned inside  $w'$ .

Then  $r'$ , the last configuration crossing the border of  $w'$  along the path from  $r$  to  $r''$  is  $\Sigma_l$ -accepting on  $\alpha w' \beta$ . All branches are existential along the path from  $r'$  to  $r''$ , and hence

$$f_{r', w'}(x_{r_1}, \dots, x_{r_f}) = f_{r'', w'}(x_{t_1}, \dots, x_{t_g}) \vee \bar{f}(x_{r_1}, \dots, x_{r_f}),$$

$\uparrow$  branch to  $r''$

$\uparrow$  other branches

with  $\text{Exp}_{r'', w'} = \{t_1, \dots, t_g\} \subseteq \text{Exp}_{r', w'} = \{r_1, \dots, r_f\}$ . For the accept/reject statuses of exit configurations on  $\alpha w' \beta$  we then get

$$\check{C}'_{r'} = f_{r', w'}(\check{C}'_{r_1}, \dots, \check{C}'_{r_f}) = f_{r'', w'}(\dots) \vee \bar{f}(\dots) = 1,$$

with

$$\check{C}'_{r''} = f_{r'', w'}(\check{C}'_{t_1}, \dots, \check{C}'_{t_g}) = 1.$$

On the other hand,  $r'$  is reachable from the  $\Sigma_l$ -rejecting  $r$  on  $\alpha w'' \beta$ . No path beginning in the  $\Sigma_l$ -rejecting  $r$  can be successful and therefore  $r'$  is  $\Sigma_l$ -rejecting on  $\alpha w'' \beta$ . For the exits on  $\alpha w'' \beta$  this gives

$$\check{C}''_{r'} = f_{r', w''}(\check{C}''_{r_1}, \dots, \check{C}''_{r_f}) = 0.$$

Since  $\text{Exp}_{r', w'} = \text{Exp}_{r', w''}$  and  $f_{r', w'} \leq f_{r', w''}$  (by the same argument as in Case 1b of Claim 1), using Theorem 4 instead of Theorem 3, we get

$1 = \check{C}'_{t_j} > \check{C}''_{t_j} = 0$ , for some  $t_j \in \text{Ex}_{r'', w'}$ , i. e., there is a configuration that is accepting on  $\alpha w' \beta$  but rejecting on  $\alpha w'' \beta$ , positioned outside  $w', w''$ , and of alternating level at most  $\Pi_{l-1}$ , because it is reachable from the  $\Pi_{l-1}$ -configuration  $r''$ . This proves the Claim 2.

*Proof of Theorem 5, continued:* Recall that if the configuration  $p$  satisfies (b1), (b2), and (b3), then  $\text{Ex}_{p, \alpha w' \beta} = \text{Ex}_{p, \alpha w'' \beta} = \{p_1, \dots, p_h\}$ . It remains to show that if  $1 = f_{p, \alpha w' \beta}(C') > f_{p, \alpha w'' \beta}(C'') = 0$ , for some  $C' = (C'_{p_1}, \dots, C'_{p_h})$  and  $C'' = (C''_{p_1}, \dots, C''_{p_h})$  representing the accept/reject statuses of exit configurations  $p_1, \dots, p_h$  on the margins of  $\alpha w' \beta$  and  $\alpha w'' \beta$ , respectively, then  $1 = C'_{p_e} > C''_{p_e} = 0$ , for some  $p_e \in \{p_1, \dots, p_h\}$ .

Suppose that  $p$  is  $\Pi_k$ -accepting on  $\alpha w' \beta$  but  $\Pi_k$ -rejecting on  $\alpha w'' \beta$ , for some  $C'$  and  $C''$ . Then, by Claim 1, for  $r = p$ , we get

- (i) either  $1 = C'_{p_e} > C''_{p_e} = 0$  for some  $p_e$  and we are done,
- (ii) or there must exist  $r^{(1)}$  with the input head positioned outside  $w'$  and  $w''$ , reachable from  $p$  by a path never leaving  $\alpha w' \beta$  or  $\alpha w'' \beta$ , of alternating level  $l' < k$ , that is  $\Sigma_{l'}/\Pi_{l'}$ -accepting on  $\alpha w' \beta$  but  $\Sigma_{l'}/\Pi_{l'}$ -rejecting on  $\alpha w'' \beta$ .

If, for example,  $r^{(1)}$  is an existential configuration, then we can use Claim 2 and get

- (i) either  $1 = C'_{p_e} > C''_{p_e} = 0$  for some  $p_e$  and we are done,
- (ii) or there must exist  $r^{(2)}$  with the input head positioned outside  $w'$  and  $w''$ , reachable from  $r^{(1)}$  by a path never leaving  $\alpha w' \beta$  or  $\alpha w'' \beta$  (hence, reachable from  $p$ ), of alternating level  $l'' < l' < k$ ,  $\Sigma_{l''}/\Pi_{l''}$ -accepting on  $\alpha w' \beta$  but  $\Sigma_{l''}/\Pi_{l''}$ -rejecting on  $\alpha w'' \beta$ . (If  $r^{(1)}$  is universal, we use Claim 1 again.) . . . .

This process cannot be repeated more than  $k$  times and hence, sooner or later, we must get  $1 = C'_{p_e} > C''_{p_e} = 0$ .

This completes the proof of the theorem. The argument for the  $\Sigma_k, S$ -resistant  $(w', w'')$  is the same, but the starting alternation level is existential.  $\square$

Before passing further, we shall review the problems that we are going to tackle on the way from the resistant words to resistant languages. Suppose that, for some language  $L'$ , we have  $w'_+ \in L'$  and  $w'_- \notin L'$  such that a  $\Sigma_k/\Pi_k - \text{SPACE}(s(n))$  machine  $A'$  cannot distinguish  $w'_+$  from  $w'_-$ . But  $w'_+$  and  $w'_-$  are quite long and the space of size  $s(|w'_+|)$  or  $s(|w'_-|)$  might be sufficient for  $A'$  to distinguish them. Therefore, we provide also

a third example  $w'_0$  (we do not care whether  $w'_0 \in L'$ ), that restrains  $A'$  from using too much space, *i. e.*,  $A'$  cannot use more space on the inputs  $w'_+$  or  $w'_-$  than on  $w'_0$ . (Still,  $A'$  can use substantially more space on other inputs of equal lengths.)

In addition, for each  $G \geq 0$ , we claim that no  $\Sigma_{k+G}/\Pi_{k+G}$ -SPACE( $s(n)$ ) machine  $A$  can use any  $\Sigma_k/\Pi_k$ -SPACE( $s(n)$ ) machine  $A'$  as its subprogram (roughly speaking, as its oracle) to distinguish  $w'_+$  from  $w'_-$ . (Now they can be some substrings of longer inputs.) We shall call such languages  $\Sigma_k/\Pi_k$ -SPACE( $s(n)$ ) resistant. Having given a  $\Sigma_k$ -SPACE( $s(n)$ ) resistant language  $L'$ , we shall design a  $\Pi_{k+1}$ -SPACE( $s(n)$ ) resistant language  $L$  with counterexamples  $w_+$ ,  $w_-$ , and  $w_0$ , that are composed of  $w'_+$ ,  $w'_-$ , and  $w'_0$ . But two problems arise here: First,  $A$  can use more alternations than  $k$ , second, the worktape space limit has been increased from  $s(|w'_+|)$  or  $s(|w'_-|)$  to  $s(|\alpha w'_+ \beta|)$  or  $s(|\alpha w'_- \beta|)$ , respectively.

Thus, to design counterexamples  $w'_+$ ,  $w'_-$ , and  $w'_0$ , we need some *a priori* information about the environment in which these counterexamples will be used, among others, about  $w_+$ ,  $w_-$ , and  $w_0$ . This “*a priori* information” allows us to fool any  $\Sigma_{k+G}/\Pi_{k+G}$ -SPACE( $s(n)$ ) machine, for arbitrarily large  $G \geq 0$ .

Languages separating  $\Sigma_k$ -SPACE( $s(n)$ ) from  $\Pi_k$ -SPACE( $s(n)$ ), for  $k \geq 2$ , have a simple block structure. The structure of the blocks can be described by a sequence of regular languages  $R_2, R_3, R_4, \dots$  defined as follows:

DEFINITION 10: Let  $\{a, b\}$  denote a two-letter alphabet. Then

$$R_2 = a^+,$$

$$R_k = b(R_{k-1}b)^+, \quad \text{for each } k \geq 3.$$

It is easy to show, by induction on  $k$ , that  $w \in R_k$  begins with  $b^{k-2}a\dots$ , ends by  $\dots ab^{k-2}$ , and does not contain more than  $2k - 5$  consecutive  $b$ 's. This implies that it can be partitioned unambiguously into  $w = bu_1bu_2b\dots bu_f b$ , for some  $u_1, \dots, u_f \in R_{k-1}$ . That is, if  $w = bu'_1bu'_2b\dots bu'_g b$ , for some  $u'_1, \dots, u'_g \in R_{k-1}$ , then  $g = f$  and  $u_1 = u'_1, \dots, u_f = u'_f$ . This partition is determined by the positions of substrings  $ab^{k-3}bb^{k-3}a = ab^{2k-5}a$  in  $w$ .

The next definition will be used to generate the counterexamples “ $w_0$ ” that restrains  $\Sigma_{k+G}/\Pi_{k+G}$ -SPACE( $s(n)$ ) machines from using too much space, provided that we are given  $w'_0$ , restraining  $\Sigma_k/\Pi_k$ -SPACE( $s(n)$ ) machines, and  $G \geq 0$ , the rank of environment.

DEFINITION 11: Let  $G \geq 0$  and  $w \in \{a, b\}^*$ ,  $|w| \geq 2$ . We define  $E(G, w)$ , the environment of rank  $G$  for  $w$ , by

$$E(0, w) = w,$$

$$E(G + 1, w) = E(G, b(wb)^{|w|-1}), \quad \text{for each } G \geq 0.$$

For example,  $E(1, aaa) = E(0, b(aaab)^{3-1}) = baaabaaaab$ .  $E(G, w)$  is also a string composed of  $|w| - 1$  consecutive  $E(G - 1, w)$  blocks, enclosed in  $b$ 's. It is easy to see, by induction on  $G$ , for each  $G \geq 0$  and each  $w$ , that

$$|E(G, w)| = |w|^{2^G}. \tag{3}$$

Note also that if  $w \in R_k$ , then  $E(G, w) \in R_{k+G}$ . We are now ready to present a formal definition of the  $\Sigma_k/\Pi_k$ -SPACE( $s(n)$ ) resistant language.

DEFINITION 12: A language  $L$  is  $\Sigma_k$ -SPACE( $s(n)$ ) resistant ( $\Pi_k$ -SPACE( $s(n)$ ) resistant), if, for each  $s(n)$  space bounded alternating machine  $A$ , each  $G \geq 0$ , and each  $\check{n} \geq 0$ ,

- a) there exist  $w_+ \in R_k \cap L$ ,  $w_- \in R_k - L$ , and  $w_0 \in R_k$ , such that
- b)  $|w_0| \geq \check{n}$ ,
- c)  $w_+$ ,  $w_-$ , and  $w_0$  are  $\text{Space}_A(E(G, w_0))$ -equivalent,
- d)  $(w_+, w_-)$  is a  $\Sigma_k$ ,  $\text{Space}_A(E(G, w_0))$ -resistant pair.  
 ( $\Pi_k$ ,  $\text{Space}_A(E(G, w_0))$ -resistant pair, respectively.)

Thus, we must fool each  $s(n)$  space bounded machine  $A$  making an arbitrary number of alternations, however, (d) concerns configurations of alternating level at most  $\Sigma_k/\Pi_k$  only. Such configurations may be viewed as "oracle entry points" giving answers to some partial questions as the computation demands. We claim that such entry points cannot be used to distinguish  $w_+ \in L$  from  $w_- \notin L$ .

Second, the worktape space limit for such entry points is as much as  $\text{Space}_A(E(G, w_0))$ , i. e., the worktape space used by  $A$  on the input  $E(G, w_0)$ . (See also Def. 3 and Def. 11.) Note that  $A$  may potentially use  $s(|w_0|^{2^G})$  space on the input  $E(G, w_0)$ , by (3). Thus, for arbitrarily large  $G$ , we should find  $w_+$ ,  $w_-$ , and  $w_0$  so that  $w_+$  and  $w_-$  cannot be distinguished if they are inserted into inputs of length  $|w_0|^{2^G}$ . However, the condition (c) ensures that  $A$  does not try to use too much space on inputs  $\alpha w_+ \beta$  or  $\alpha w_- \beta$  unless it tries to do so on  $\alpha w_0 \beta$ , by Lemma 4a. The condition (b) orders a lower bound on the length of  $w_0$  and, indirectly, on the lengths of  $w_+$  and  $w_-$ .

**THEOREM 6:** *Let  $L'$  be a  $\Sigma_{k-1}$ -SPACE  $(s(n))$  resistant language, for some  $k \geq 3$ , with  $\sup_{n \rightarrow \infty} s(n)/\log(n) = 0$ . Then the language*

$$L = \{w \in R_k; w = bw_1bw_2b \dots bw_f b, \\ \exists j \in \{1, \dots, f\} : w_j \in L', w_1, \dots, w_f \in R_{k-1}\}$$

is  $\Pi_k$ -SPACE  $(s(n))$  resistant.

*Proof:* Let  $A$  be an  $s(n)$  space bounded machine and let  $c$  be a constant for  $A$  satisfying (2), i. e., the number of reachable memory states for each input  $w$  is bounded by  $c^s(|w|)$ . Let  $G \geq 0$  and  $\check{n} \geq 0$ . Define  $G' = G + 1$  and take  $\check{n}'$  so that

$$\check{n}' \geq \max\{\check{n}, 2\}, \tag{4}$$

and

$$(c^{s(n^{2^{G+1}})})^6 < n - 1, \text{ for each } n \geq \check{n}'. \tag{5}$$

By Lemma 6, using  $H = 2^{G+1}$ , such  $\check{n}'$  does exist. Because the language  $L'$  is  $\Sigma_{k-1}$ -SPACE  $(s(n))$  resistant, we have, for any given  $A$ ,  $G'$ , and  $\check{n}'$ , that

- $a')$  there exist  $w'_+ \in R_{k-1} \cap L'$ ,  $w'_- \in R_{k-1} - L'$ , and  $w'_0 \in R_{k-1}$ , such that
- $b')$   $|w'_0| \geq \check{n}'$ ,
- $c')$   $w'_+$ ,  $w'_-$ , and  $w'_0$  are  $\text{Space}_A(E(G', w'_0))$ -equivalent,
- $d')$   $(w'_+, w'_-)$  is a  $\Sigma_{k-1}$ ,  $\text{Space}_A(E(G', w'_0))$ -resistant pair.

We have to find  $w_+$ ,  $w_-$ , and  $w_0$  with the corresponding properties for the language  $L$ . Define

where 
$$w_0 = b(w'_0 b)^m, \\ m = |w'_0| - 1. \tag{6}$$

Clearly,  $w_0 \in R_k$ , since  $w'_0 \in R_{k-1}$  and  $m \geq 1$ , by (a'), (b'), and (4). Further, by (b') and (4),  $|w_0| = |w'_0|^2 \geq \check{n}$ . Because

$$E(G, w_0) = E(G, b(w'_0 b)^{|w'_0|-1}) = E(G + 1, w'_0) = E(G', w'_0), \tag{7}$$

by Definition 11, we can modify (c') and (d') as follows:

- $c'')$   $w'_+$ ,  $w'_-$ , and  $w'_0$  are  $\text{Space}_A(E(G, w_0))$ -equivalent,
- $d'')$   $(w'_+, w'_-)$  is a  $\Sigma_{k-1}$ ,  $\text{Space}_A(E(G, w_0))$ -resistant pair.

Now, define an extended version of  $w_0$  by

$$w_E = b(w'_0 b)^{m+(4k+3) \cdot m!}.$$

Note that the length of  $w_E$  depends on the alternating level  $k$ . First, we shall prove that  $w_0$  and  $w_E$  are  $\text{Space}_A(E(G, w_0))$ -equivalent: It is easy to show that

$$|E(G, w_0)| = |w'_0|^{2^{G+1}}, \tag{8}$$

using (7), (3), and  $G' = G + 1$ . Because  $|w'_0| \geq \check{n}'$ , by (b'), we can use (5) and get

$$(c_S(|w'_0|^{2^{G+1}}))^6 < |w'_0| - 1. \tag{9}$$

But then

$$(c_{\text{Space}_A(E(G, w_0))})^6 < m, \tag{10}$$

using (1), (8), (9), and (6). This implies, by Lemma 9 and 3, that  $w_0 = b(w'_0 b)^m$  and  $w_E = b(w'_0 b)^{m+i m!}$  (with  $i = 4k + 3$ ) are  $\text{Space}_A(E(G, w_0))$ -equivalent, because the number of  $(w'_0 b)$ -blocks in  $w_0$  is large enough, compared to the worktape space limit for the input  $E(G, w_0) = E(G', w'_0)$ . The design of inputs satisfying (9) and (6) plays a dominant role here. Finally, define

$$w_- = b(w'_- b)^{m+(4k+3) \cdot m!},$$

$$w_+ = b(w'_- b)^{m+2k \cdot m!} w'_+ b(w'_- b)^{(2 \cdot m! - 1) + 2k \cdot m! + m!}.$$

Clearly,  $w_+, w_- \in R_k$ , since  $w'_+, w'_- \in R_{k-1}$ , by (a'). The strings  $w_+$  and  $w_-$  consist of the same number of blocks as  $w_E$ , since replacing all  $w'_0$ -blocks by the  $w'_-$ -blocks transforms  $w_E$  into  $w_-$ . The string  $w_+$  differs from  $w_-$  in the block on the position  $m + 2k \cdot m! + 1$  only, where it has  $w'_+$  instead of  $w'_-$ . (See fig. 14 for the structure of  $w_+$  and  $w_-$ .)

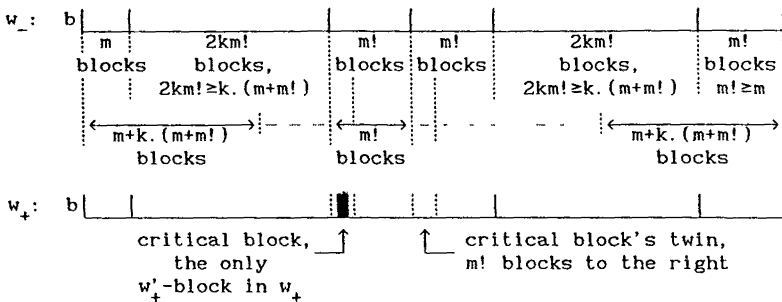


Figure 14

It is obvious that  $w_+ \in L$  and  $w_- \notin L$ , because  $w_+$  contains one  $w'_+ \in L'$  while  $w_-$  is composed of the  $w'_- \notin L'$  blocks only. (The partition of  $w_+$  and  $w_-$  into the strings in  $R_{k-1}$  is unambiguous, hence, for example, we cannot get  $w_- = bu_1 bu_2 b \dots bu_f b$  for some  $u_1, \dots, u_f \in R_{k-1}$  so that  $\exists u_j \in L'$ . See also the remark below Def. 10.)

Because  $w'_+, w'_-,$  and  $w'_0$  are  $\text{Space}_A(E(G, w_0))$ -equivalent by (c''), we have, by Lemma 3, that  $w_+$  and  $w_-$  are  $\text{Space}_A(E(G, w_0))$ -equivalent to  $w_E$ . Since  $w_E$  is  $\text{Space}_A(E(G, w_0))$ -equivalent to  $w_0$ , by (10) and Lemma 9, we get that  $w_+, w_-,$  and  $w_0$  are  $\text{Space}_A(E(G, w_0))$ -equivalent.

It only remains to prove that  $(w_+, w_-)$  is a  $\Pi_k, \text{Space}_A(E(G, w_0))$ -resistant pair, i. e., that  $f_{p, w_+} \leq f_{p, w_-}$  for each configuration  $p$  that is (i) going to enter  $w_+$  and  $w_-$  by crossing their boundaries, (ii)  $\text{Space}_A(E(G_1, w_0))$ -bounded on  $w_+$  and  $w_-$ , (iii) of alternating level  $\Pi_k$  or less.

Because  $w_+$  differs from  $w_-$  in the single  $w'_+$ -block only and  $w'_+, w'_-$  are  $\text{Space}_A(E(G, w_0))$ -equivalent by (c''), we have, for each  $p$  satisfying (i), (ii), and (iii), that  $\text{Ex}_{p, w_+} = \text{Ex}_{p, w_-} = \{p_1, \dots, p_h\}$ , for some configurations  $p_1, \dots, p_h$  leaving  $w_+$  and  $w_-$ . By Lemma 10b, it is sufficient to show that if  $1 = f_{p, w_+}(C') > f_{p, w_-}(C'') = 0$ , for some  $C' = (C'_{p_1}, \dots, C'_{p_h})$  and  $C'' = (C''_{p_1}, \dots, C''_{p_h})$  representing the accept/reject statuses of exit configurations, then  $1 = C'_{p_e} > C''_{p_e} = 0$  for some exit configuration  $p_e$ .

Suppose that  $p$  is  $\Pi_k$ -accepting on  $w_+$  but  $\Pi_k$ -rejecting on  $w_-$ . Because there must exist a rejecting computation path beginning in  $p$  on  $w_-$ , we have the following cases to consider:

0) The rejecting path leaves  $w_-$  making no alternation. Because  $w'_+, w'_-$  are  $\text{Space}_A(E(G, w_0))$ -equivalent and  $p$  is  $\text{Space}_A(E(G, w_0))$ -bounded on  $w_+$  and  $w_-$ , we get, by the same reasoning as in Case 0 of Theorem 5, that  $1 = C'_{p_e} > C''_{p_e} = 0$  for some exit configuration  $p_e \in \text{Ex}_{p, w_+} = \text{Ex}_{p, w_-}$ .

1a) The rejecting path from the  $\Pi_k$ -rejecting  $p$  alternates outside the critical block on  $w_-$ , entering a  $\Sigma_{k-1}$ -rejecting  $p'$ . (See fig. 15.)

Since  $p'$  is also reachable from the  $\Pi_k$ -accepting  $p$  on  $w_+$ , we have that  $p'$  is (i) positioned outside the critical block, (ii)  $\text{Space}_A(E(G, w_0))$ -bounded on  $w_+$  and  $w_-$  (because it is reachable from  $p$ ), (iii)  $\Sigma_{k-1}$ -accepting on  $w_+$  but  $\Sigma_{k-1}$ -rejecting on  $w_-$ .

Because  $(w'_+, w'_-)$  is a  $\Sigma_{k-1}, \text{Space}_A(E(G, w_0))$ -resistant pair, by (d''), we obtain that  $f_{p', w_+} \leq f_{p', w_-}$ , using Theorem 5.

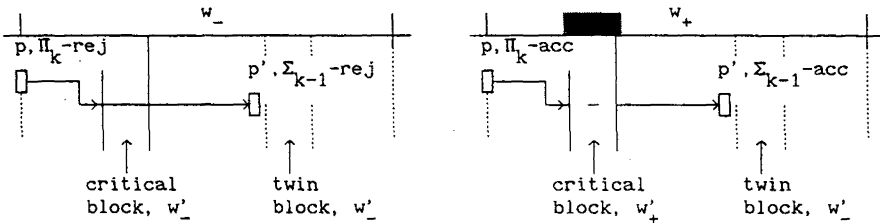


Figure 15

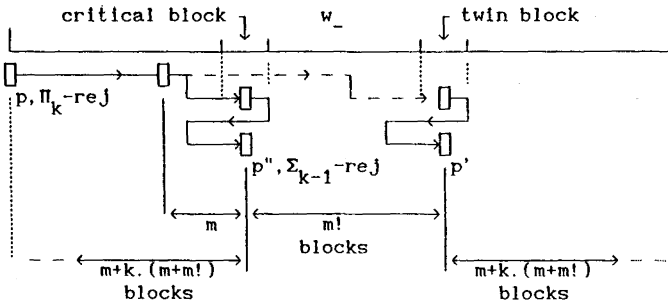


Figure 16

On the other hand, we also have  $1 = f_{p', w_+}(C'_{r_1}, \dots, C'_{r_f}) > f_{p', w_-}(C''_{r_1}, \dots, C''_{r_f}) = 0$ , where  $\text{Exp}_{p', w_+} = \text{Exp}_{p', w_-} = \{r_1, \dots, r_f\} \subseteq \text{Exp}_p = \text{Exp}_{p'} = \{p_1, \dots, p_h\}$  denote the sets of exits of  $w_+$  and  $w_-$  for  $p'$  and  $p$ , respectively.

By Lemma 10a, this is possible only if  $1 = C'_{r_e} > C''_{r_e} = 0$ , for some  $r_e \in \{r_1, \dots, r_f\} \subseteq \{p_1, \dots, p_h\}$ , *i. e.*, we have a configuration  $r_e$  leaving  $w_+$  and  $w_-$ , reachable from  $p$  via  $p'$ , that is accepting for  $w_+$  but rejecting for  $w_-$ .

1b) The rejecting path from  $p$  alternates inside the critical block on  $w_-$ , where it enters a  $\Sigma_{k-1}$ -rejecting  $p'' = \langle q, j \rangle$ . (See fig. 16.)

Note that both the critical block and its twin, lying  $m!$  blocks to the right, are at least  $m + k \cdot (m + m!)$  blocks away from either margin of  $w_-$ . (See also fig. 14.) Among others, this implies that the computation path had to traverse at least  $m$  blocks, for  $p$  at the left margin, or at least  $m + m!$  blocks, for  $p$  placed at the right, along the way from  $p$  to  $p''$ .

Let  $p'$  be the configuration having the same memory state as  $p''$ , with the input head positioned exactly  $m!$  blocks more to the right, *i. e.*,  $p' = \langle q, j + (|w'_0| - 1) \cdot (|w'_-| + 1) \rangle$ . Since  $m > (c^{\text{Space}_A(E(G, w_0))})^6$ , by (10), and  $p$  is  $\text{Space}_A(E(G, w_0))$ -bounded on  $w_-$ , we have that  $p'$  is also

reachable from  $p$ , by the use of Theorem 1 and Lemma 8. Moreover, if  $p''$  is  $\Sigma_{k-1}$ -rejecting, then  $p'$  must also be  $\Sigma_{k-1}$ -rejecting on  $w_-$ , by Theorem 2, because both  $p''$  and  $p'$  are  $\text{Space}_A(E(G, w_0))$ -bounded on  $w_-$  (they are reachable from  $p$ ) and sufficiently far from either margin.

Therefore, for each rejecting path from  $p$  that alternates inside the critical block on  $w_-$ , there exists another rejecting path that alternates outside the critical block. This reduces Case 1b to Case 1a.

All remaining cases lead to contradictions and hence cannot happen:

2a) If an alternation-free path from  $p$  enters an infinite cycle and at least a part of this cycle lies outside the critical block on  $w_-$ , then we can find a corresponding infinite cycle that is reachable from the  $\Pi_k$ -accepting  $p$  on  $w_+$ , by the same argument as in Case 2a of Theorem 5, which is a contradiction.

2b) If the entire cycle is executed inside the critical block on  $w_-$ , then there exists at least one more infinite cycle, reachable from  $p$  inside the twin block, by a reasoning very similar to Case 1b, using Theorem 1 and Lemma 8. This reduces Case 2b to Case 2a.

3) The argument for an alternation-free path beginning in the  $\Pi_k$ -rejecting  $p$  on  $w_-$  that halts and rejects the input is almost the same as for the infinite cycle, giving a contradiction.

This shows  $f_{p, w_+} \leq f_{p, w_-}$  for each  $p$  of alternating level  $\Pi_k$  and also of  $\Pi_{k-1}$ . For the levels  $\Sigma_{k-1}$  or less, we obtain  $f_{p, w_+} \leq f_{p, w_-}$  directly, by Theorem 5 and ( $d'$ ). This completes the proof of the theorem, since we have just shown that  $(w_+, w_-)$  is a  $\Pi_k, \text{Space}_A(E(G, w_0))$ -resistant pair.  $\square$

The above theorem has its counterpart describing the relationship between  $\Pi_{k-1}$ - and  $\Sigma_k$ -SPACE( $s(n)$ ) resistant languages.

**THEOREM 7:** *Let  $L'$  be a  $\Pi_{k-1}$ -SPACE( $s(n)$ ) resistant language, for some  $k \geq 3$ , with  $\sup_{n \rightarrow \infty} s(n)/\log(n) = 0$ . Then the language*

$$L = \{w \in R_k; w = bw_1 bw_2 b \dots bw_f b, \\ \forall j \in \{1, \dots, f\} : w_j \in L', w_1, \dots, w_f \in R_{k-1}\}$$

is  $\Sigma_k$ -SPACE( $s(n)$ ) resistant.

*Proof:* The argument is very similar to the proof of Theorem 6, so we point out the main differences only. First,  $w_+$  and  $w_-$  are defined by

$$w_+ = b(w'_+ b)^{m+(4k+3) \cdot m!}, \\ w_- = b(w'_+ b)^{m+2k \cdot m!} w'_- b(w'_+ b)^{(2 \cdot m! - 1) + 2k \cdot m! + m!},$$

so here  $w_+ \in L$  is homogeneous while  $w_- \notin L$  contains a single block  $w'_- \notin L'$ .

Second, we prove that  $(w_+, w_-)$  is a  $\Sigma_k$ ,  $\text{Space}_A(E(G, w_0))$ -resistant pair and therefore we consider a configuration  $p$  crossing the boundaries of  $w_+$  and  $w_-$  that is existential, i. e.,  $\Sigma_k$ -accepting on  $w_+$  but  $\Sigma_k$ -rejecting on  $w_-$ . Our analysis begins with a successful path starting in the  $\Sigma_k$ -accepting  $p$  on the homogeneous  $w_+$ , using the fact that the machine cannot distinguish the critical block from its twin, and that all paths starting in the  $\Sigma_k$ -rejecting  $p$  on  $w_-$  must be rejecting. (In Theorem 6, we considered a rejecting path starting in the  $\Pi_k$ -rejecting  $p$  on the homogeneous  $w_-$ . Compare, for example, Case 1b for Claim 1 and Claim 2 in Theorem 5.)  $\square$

It is easy to show that no  $\Sigma_k/\Pi_k$ -SPACE( $s(n)$ ) machine is able to recognize a  $\Sigma_k/\Pi_k$ -SPACE( $s(n)$ ) resistant language.

**THEOREM 8:** a) If  $L$  is a  $\Pi_k$ -SPACE( $s(n)$ ) resistant language then  $L \notin \Pi_k$ -SPACE( $s(n)$ ).

b) If  $L$  is a  $\Sigma_k$ -SPACE( $s(n)$ ) resistant language then  $L \notin \Sigma_k$ -SPACE( $s(n)$ ).

*Proof:* Let  $L$  be a  $\Pi_k$ -SPACE( $s(n)$ ) resistant language. Then, for each  $\Pi_k$ -SPACE( $s(n)$ ) machine  $A$ ,  $G = 0$ , and  $\check{n} = 2$ ,

a) there exist  $w_+ \in R_k \cap L$ ,  $w_- \in R_k - L$ , and  $w_0 \in R_k$ , such that

b)  $|w_0| \geq 2$ ,

c)  $w_+$ ,  $w_-$ , and  $w_0$  are  $\text{Space}_A(w_0)$ -equivalent (since  $E(0, w_0) = w_0$ , by Definition 11),

d)  $(w_+, w_-)$  is a  $\Pi_k$ ,  $\text{Space}_A(w_0)$ -resistant pair.

By (d) and Theorem 5, for  $\alpha = \gg$  and  $\beta = \ll$  (where “ $\gg$ ” and “ $\ll$ ” denote the left and right endmarker, respectively), we obtain that  $f_{p, \gg w_+ \ll} \leq f_{p, \gg w_- \ll}$  for each configuration  $p$  that is (i) positioned outside  $w_+$  and  $w_-$ , i. e., on the left or right endmarker, (ii)  $\text{Space}_A(w_0)$ -bounded on  $\gg w_+ \ll$  and on  $\gg w_- \ll$ , (iii) of alternating level  $\Pi_k$  or less.

The initial configuration  $p_I$  of our  $\Pi_k$ -SPACE( $s(n)$ ) machine satisfies (i) and (iii) automatically. It is not very hard to show that it also satisfies (ii). By Definition 3 and 4,  $p_I$  is  $\text{Space}_A(w_0)$ -bounded on  $\gg w_0 \ll$ , since  $\text{Space}_A(w_0)$  is defined as the maximal amount of space used by any configuration that is reachable from the initial  $p_I$  on the string  $\gg w_0 \ll$ . By (c),  $w_+$ ,  $w_-$ , and  $w_0$  are  $\text{Space}_A(w_0)$ -equivalent and hence, by Lemma 4a,  $p_I$  is  $\text{Space}_A(w_0)$ -bounded on  $\gg w_+ \ll$  and on  $\gg w_- \ll$ .

Thus,  $f_{p_I, \gg w_+ \ll} \leq f_{p_I, \gg w_- \ll}$ . We may assume, without loss of generality, that our machine has been programmed correctly and never tries to move its input head to the left/right from the left/right endmarker, respectively. This implies that  $f_{p_I, \gg w_+ \ll}$  and  $f_{p_I, \gg w_- \ll}$  are constant functions with the empty formal parameter lists, *i. e.*, we have  $f_{p_I, \gg w_+ \ll}(\ ) \leq f_{p_I, \gg w_- \ll}(\ )$ . But for  $w_+ \in L$  and  $w_- \notin L$  we need  $f_{p_I, \gg w_+ \ll}(\ ) = 1$  and  $f_{p_I, \gg w_- \ll}(\ ) = 0$ . Hence, the machine  $A$  does not recognize  $L$ .

The same argument holds also for  $\Sigma_k$ -SPACE( $s(n)$ ).  $\square$

**6. THE HIERARCHY**

In this section, we shall give an induction base for the mechanism described in Section 5 by showing some  $\Sigma_2/\Pi_2$ -SPACE( $s(n)$ ) resistant languages, which allows us to present languages separating  $\Sigma_k$ -SPACE( $s(n)$ ) from  $\Pi_k$ -SPACE( $s(n)$ ), for each  $s(n)$  below  $\log(n)$  and  $k \geq 2$ . This yields the infinite hierarchy. Finally, we shall show that  $\Sigma_k$ -SPACE( $s(n)$ ) is not closed under complement and intersection, similarly,  $\Pi_k$ -SPACE( $s(n)$ ) is not closed under complement and union. Before doing this, we need to present some  $\Sigma_1/\Pi_1$  and  $\Sigma_2/\Pi_2$  resistant pairs of strings over a single letter alphabet.

**THEOREM 9:** *For each  $s(n)$  space bounded alternating machine  $A$ , each  $G \geq 0$ , and each  $\check{n} \geq 0$ , there exists  $\check{n}' \geq \check{n}$  such that, for each  $n \geq \check{n}'$ ,*

- a)  $a^{\lceil \sqrt{n} \rceil}$  and  $a^{\lceil \sqrt{n} \rceil + n!}$  are  $\text{Space}_A(E(G, a^n))$ -equivalent,  
 $a^n$  and  $a^{n+n!}$  are  $\text{Space}_A(E(G, a^n))$ -equivalent,
- b)  $(a^{\lceil \sqrt{n} \rceil}, a^{\lceil \sqrt{n} \rceil + n!})$  and  $(a^{\lceil \sqrt{n} \rceil + n!}, a^{\lceil \sqrt{n} \rceil})$   
are  $\Sigma_1, \text{Space}_A(E(G, a^n))$ -resistant as well as  $\Pi_1,$   
 $\text{Space}_A(E(G, a^n))$ -resistant pairs,
- c)  $(a^{n+n!}, a^n)$  is a  $\Pi_2, \text{Space}_A(E(G, a^n))$ -resistant pair,
- d)  $(a^n, a^{n+n!})$  is a  $\Sigma_2, \text{Space}_A(E(G, a^n))$ -resistant pair.

*Proof:* (a) Define  $\mathcal{R} = \lceil \sqrt{n} \rceil$ . Using Lemma 6 for  $H = 2^G$ , find  $\check{n}' \geq \check{n}$  so that

$$(c^{s(n^{2^G})})^6 < \mathcal{R} < \frac{n}{2} < n, \tag{11}$$

for each  $n \geq \check{n}'$ , where  $c$  is a machine dependent constant satisfying (2). But then, for each  $n \geq \check{n}'$ ,

$$(c^{\text{Space}_A(E(G, a^n))})^6 \leq (c^{s(n^{2^G})})^6 < \mathcal{R} < \frac{n}{2} < n, \tag{12}$$

using (1), (3),  $|a^n| = n$ , and (11). By Lemma 9, this implies that  $a^n, a^{n+n!}$  are  $\text{Space}_A(E(G, a^n))$ -equivalent, and that  $a^{\mathcal{R}}, a^{\mathcal{R}+i \cdot \mathcal{R}!}$  are  $\text{Space}_A(E(G, a^n))$ -equivalent, for each  $i \geq 0$ . Because  $n!$  is an integer multiple of  $\mathcal{R}!$ , we have that  $a^{\mathcal{R}}, a^{\mathcal{R}+n!}$  are  $\text{Space}_A(E(G, a^n))$ -equivalent.

(b) Let  $w' = a^{\mathcal{R}}$  and  $w'' = a^{\mathcal{R}+n!}$ . We shall show that  $(w', w'')$  is a  $\Pi_1$ ,  $\text{Space}_A(E(G, a^n))$ -resistant pair. (All other cases are almost identical, interchanging  $w'$  with  $w''$  and/or analyzing existential paths instead of universal.)

Because  $w', w''$  are  $\text{Space}_A(E(G, a^n))$ -equivalent by (a), we have  $\text{Ex}_{p,w'} = \text{Ex}_{p,w''}$ , for each configuration  $p$  that is (i) going to enter  $w'$  and  $w''$ , (ii)  $\text{Space}_A(E(G, a^n))$ -bounded on  $w'$  and  $w''$ , (iii) of alternating level  $\Pi_1$ . It is not too hard to prove that  $f_{p,w'} \leq f_{p,w''}$ . Again, it is sufficient to show that if  $p$  is  $\Pi_1$ -accepting on  $w'$ , but  $\Pi_1$ -rejecting on  $w''$ , then some configuration  $p_e \in \text{Ex}_{p,w'} = \text{Ex}_{p,w''}$  must be  $\Pi_1$ -accepting on  $w'$ , but  $\Pi_1$ -rejecting on  $w''$ .

b0) If  $p$  is  $\Pi_1$ -rejecting on  $w''$  because of a rejecting path that leaves  $w''$  in a  $\Pi_1$ -rejecting configuration  $p_e \in \text{Ex}_{p,w''}$ , then we are done.

b1) The rejecting path started in the  $\Pi_1$ -configuration has no alternations.

b2) Suppose that some path from  $p$  enters an infinite cycle on  $w'' = a^{\mathcal{R}+n!}$ , where  $\mathcal{R} = \lceil \sqrt{n} \rceil$ . Using  $M^6 = (c^{\text{Space}_A(E(G, a^n))})^6 < \mathcal{R} < \mathcal{R} + n!$ , by (12), we shall find another cycle that never moves the input head farther than  $M^3 = (c^{\text{Space}_A(E(G, a^n))})^3$  positions away from  $p$  placed at the left/right margin of  $w''$ . Since  $M^3 \leq M^6 < \mathcal{R}$ , we have enough room to enter this cycle from the  $\Pi_1$ -accepting  $p$  on  $w' = a^{\mathcal{R}}$ , which is a contradiction.

The proof is based on the observation that each cycle beginning and ending in the same configuration  $p_C$  can be, by Lemma 7, replaced by a cycle from  $p_C$  to  $p_C$  never moving the head farther than  $M^2$  positions away from  $p_C$ . Second, we may then assume that  $p_C$  (reachable from  $p$ ) is at most  $j \leq (M^2 + 1) + (M + 1) + M^2$  positions away from  $p$ , for, if the computation path from  $p$  to  $p_C$  gets too far, then we can find two configurations  $p_1 = \langle q, j_1 \rangle$  and  $p_2 = \langle q, j_2 \rangle$ , having the same memory state  $q$ , such that both  $j_1$  and  $j_2$  are at least  $M^2 + 1$  positions away from  $p$ . Using Lemma 8, we can then cut the path from  $p_1$  to  $p_2$  out and shift the cycle from  $p_C$  to  $p_C$  closer to  $p$ . This process can be repeated until we obtain a cycle never moving the head farther than  $(M^2 + 1) + (M + 1) + M^2 \leq M^3 \leq M^6 < \mathcal{R}$  positions away from  $p$ . (For a more detailed proof, the reader is referred to [9], Theorem 2.)

b3) The argument for a path beginning in  $p$  on  $w'' = a^{\mathcal{R}+n!}$  that halts and rejects the input is very similar to Case b2. Again, we can find another path that halts never moving the input head farther than  $M^3 = (c^{\text{Space}_A(E(G, a^n))})^3 \leq M^6 < \mathcal{R}$  positions away from  $p$ , so we have enough room to run this rejecting path from the  $\Pi_1$ -accepting  $p$  on  $w' = a^{\mathcal{R}}$ , which is a contradiction.

This completes the proof of (b).

(c) We shall show that  $(a^{n+n!}, a^n)$  is a  $\Pi_2$ ,  $\text{Space}_A(E(G, a^n))$ -resistant pair. Cases c0, c2, and c3, *i. e.*, moving out, cycle, and halting parallel Cases b0, b2, and b3, respectively. Therefore, they are omitted. We shall now concentrate on Case c1, *i. e.*, on alternation.

c1a) Suppose that  $p$  is  $\Pi_2$ -accepting on  $a^{n+n!}$ . Further, suppose that  $p$  is  $\Pi_2$ -rejecting on  $a^n$  because some path enters a  $\Sigma_1$ -rejecting  $p'$ , positioned at least  $\mathcal{R} = \lceil \sqrt{n} \rceil$  positions away from the left margin of  $a^n$ .

Then  $a^{n+n!}$  and  $a^n$  can be expressed in the form  $a^{n+n!} = \alpha a^{\mathcal{R}+n!} \beta$ ,  $a^n = \alpha a^{\mathcal{R}} \beta$ , where  $\alpha = \varepsilon$  and  $\beta = a^{n-\mathcal{R}}$ . (See fig. 17.)

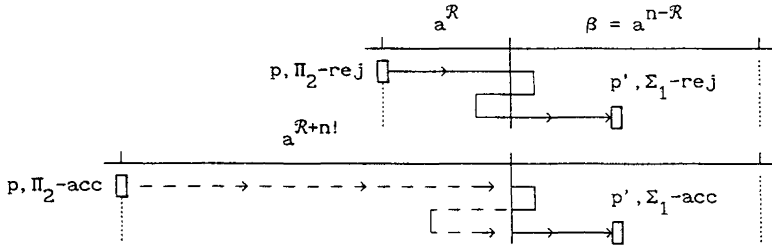


Figure 17

But then  $p'$  is (i) positioned on  $\beta$ , *i. e.*, outside  $a^{\mathcal{R}+n!}$  and  $a^{\mathcal{R}}$  on  $\alpha a^{\mathcal{R}+n!} \beta$  and  $\alpha a^{\mathcal{R}} \beta$ , respectively, (ii)  $\text{Space}_A(E(G, a^n))$ -bounded on  $\alpha a^{\mathcal{R}+n!} \beta$  and  $\alpha a^{\mathcal{R}} \beta$  (because it is reachable from  $p$ ), (iii)  $\Sigma_1$ -accepting on  $\alpha a^{\mathcal{R}+n!} \beta$  but  $\Sigma_1$ -rejecting on  $\alpha a^{\mathcal{R}} \beta$ . (The head positions are relative to the left margin of  $\beta$ .)

Because  $(a^{\mathcal{R}+n!}, a^{\mathcal{R}})$  is a  $\Sigma_1$ ,  $\text{Space}_A(E(G, a^n))$ -resistant pair, by (b), this is possible only if there exists  $p_e$  leaving  $\alpha a^{\mathcal{R}+n!} \beta$  and  $\alpha a^{\mathcal{R}} \beta$  that is  $\Sigma_1$ -accepting for  $\alpha a^{\mathcal{R}+n!} \beta$  but  $\Sigma_1$ -rejecting for  $\alpha a^{\mathcal{R}} \beta$ , by the use of Theorem 5 and Lemma 10a. (*Cf.* also Case 1a in Theorem 6.)

c1b) If the rejecting path from the  $\Pi_2$ -rejecting  $p$  on  $a^n$  alternates closer than  $\mathcal{R} = \lceil \sqrt{n} \rceil$  positions to the left margin, then it alternates farther than  $\mathcal{R}$  positions away from the right margin, since  $\mathcal{R} < n/2$ , by (12). Then the same

argument can be used for  $a^{n+n!}$  and  $a^n$  partitioned into  $a^{n+n!} = \alpha a^{\mathcal{R}+n!} \beta$ ,  $a^n = \alpha a^{\mathcal{R}} \beta$ , with  $\alpha = a^{n-\mathcal{R}}$ ,  $\beta = \varepsilon$ , and  $p'$  positioned on  $\alpha$ .

This completes the proof of (c). The converse does not hold, *i. e.*,  $(a^n, a^{n+n!})$  is not necessarily a  $\Pi_2$ ,  $\text{Space}_A(E(G, a^n))$ -resistant pair, since a rejecting path from the  $\Pi_2$ -rejecting  $p$  on  $a^{n+n!}$  may alternate in  $p'$  positioned in the middle of  $a^{n+n!}$  so the segment of length  $n!$  is neither to the left, nor the right of  $p'$ . However, the converse does hold for  $\Sigma_2$ -resistance:

(d) The proof that  $(a^n, a^{n+n!})$  is a  $\Sigma_2$ ,  $\text{Space}_A(E(G, a^n))$ -resistant pair is very similar to (c). Here we suppose that  $p$  is  $\Sigma_2$ -accepting on  $a^n$  but  $\Sigma_2$ -rejecting on  $a^{n+n!}$ . Hence, the analysis begins from the accepting computation path started in  $p$  on  $a^n$ , *i. e.*, on the shorter string again.  $\square$

We are now ready to present the languages that separate  $\Sigma_k$ -SPACE( $s(n)$ ) from  $\Pi_k$ -SPACE( $s(n)$ ).

DEFINITION 13: Let

$$f(n) = \text{the first number that does not divide } n.$$

Then

$$S_2 = \{a^n; f(n) \leq \max\{f(1), \dots, f(n-1)\}, n \geq 1\},$$

$$P_2 = \{a^n; f(n) > \max\{f(1), \dots, f(n-1)\}, n \geq 1\},$$

$$S_k = \{w \in R_k; w = bw_1 bw_2 b \dots bw_f b, \\ \exists j \in \{1, \dots, f\} : w_j \in P_{k-1}, w_1, \dots, w_f \in R_{k-1}\},$$

$$P_k = \{w \in R_k; w = bw_1 bw_2 b \dots bw_f b, \\ \forall j \in \{1, \dots, f\} : w_j \in S_{k-1}, w_1, \dots, w_f \in R_{k-1}\},$$

for each  $k \geq 3$ .

LEMMA 13:  $f(n)$  is unbounded, *i. e.*, for each  $h \geq 0$  there exists  $n \geq 0$  such that  $f(n) > h$ , and  $f(n) = f(n+n!)$ , for each  $n \geq 2$ .

*Proof:* Since  $h!$  is divisible by each  $j \leq h$ , we have  $f(h!) > h$ . Clearly,  $f(2) = f(2+2!)$ . For each  $n \geq 3$ ,  $n-1$  does not divide  $n$ . Thus, the first "nondivisor" of  $n$  is at most  $n-1$ , *i. e.*,  $f(n) \in \{1, \dots, n-1\}$ . Therefore, it is sufficient to show that  $j \in \{1, \dots, n-1\}$  divides  $n$  if and only if it divides  $n+n!$

(i) If  $j$  divides  $n$ , then it divides also  $n + n!$ , since  $n + n!$  is an integer multiple of  $n$ .

(ii) Suppose that  $j$  divides  $n + n!$ , *i. e.*,  $n + n! = j \cdot l_1$ , for some integer  $l_1 \geq 1$ . But  $j \leq n - 1$  must also divide  $n!$ , *i. e.*,  $n! = j \cdot l_2$ , for some  $l_2 < l_1$ . This gives  $n = (n + n!) - n! = j \cdot (l_1 - l_2)$ , *i. e.*,  $j$  divides  $n$ .  $\square$

$S_2$  and  $P_2$  are simplified versions of the languages that were used to separate  $\Sigma_2$ -SPACE( $s(n)$ ) from  $\Pi_2$ -SPACE( $s(n)$ ) in [9]. We shall now prove a stronger statement, namely, their space resistance.

**THEOREM 10:** *For each  $k \geq 2$  and each  $s(n)$  with  $\sup_{n \rightarrow \infty} s(n)/\log(n) = 0$ ,  $P_k$  is  $\Sigma_k$ -SPACE( $s(n)$ ) resistant and  $S_k$  is  $\Pi_k$ -SPACE( $s(n)$ ) resistant.*

*Proof:* First, we shall show that  $P_2$  is  $\Sigma_2$ -SPACE( $s(n)$ ) resistant. Let  $A$  be an  $s(n)$  space bounded alternating machine,  $G \geq 0$ , and  $\check{n} \geq 0$ . By Theorem 9 and Lemma 13, we can find  $\check{n}' \geq \max\{\check{n}, 2\}$  so that, for each  $n \geq \check{n}'$ ,

- a)  $a^n$  and  $a^{n+n!}$  are  $\text{Space}_A(E(G, a^n))$ -equivalent,
- b)  $(a^n, a^{n+n!})$  is a  $\Sigma_2$ ,  $\text{Space}_A(E(G, a^n))$ -resistant pair,
- c)  $(a^{n+n!}, a^n)$  is a  $\Pi_2$ ,  $\text{Space}_A(E(G, a^n))$ -resistant pair,
- d)  $f(n) = f(n + n!)$ .

We need to find  $n \geq \check{n}'$  so that  $a^n \in P_2$  but  $a^{n+n!} \notin P_2$ . By Lemma 13, we can find *minimal*  $N$  satisfying  $f(n) > \max\{f(1), \dots, f(\check{n}')\}$ , *i. e.*,

$$f(N) > \max\{f(1), \dots, f(\check{n}')\}, \quad \text{but} \\ f(n) \leq \max\{f(1), \dots, f(\check{n}')\}, \quad \text{for each } n < N.$$

This gives  $f(N) > f(n)$ , for each  $n < N$ , and therefore  $f(N) > \max\{f(1), \dots, f(N-1)\}$ , *i. e.*,  $a^N \in P_2$ . Note that we have also  $N > \check{n}' \geq \check{n}$ , since  $f(j) \leq \max\{f(1), \dots, f(j), \dots, f(\check{n}')\}$ , for each  $j \leq \check{n}'$ . On the other hand,  $f(N + N!) = f(N)$ , and hence  $f(N + N!) \leq \max\{f(1), \dots, f(N), \dots, f(N + N! - 1)\}$ , *i. e.*,  $a^{N+N!} \notin P_2$ . Now, it is easy to see that  $w_+ = a^N$ ,  $w_- = a^{N+N!}$ , and  $w_0 = a^N$  satisfy

- (i)  $w_+ \in R_2 \cap P_2$ ,  $w_- \in R_2 - P_2$ , and  $w_0 \in R_2$ ,
  - (ii)  $|w_0| \geq \check{n}$ ,
  - (iii)  $w_+$ ,  $w_-$ , and  $w_0$  are  $\text{Space}_A(E(G, w_0))$ -equivalent, by (a),
  - (iv)  $(w_+, w_-)$  is a  $\Sigma_2$ ,  $\text{Space}_A(E(G, w_0))$ -resistant pair, by (b),
- i. e.*, the language  $P_2$  is  $\Sigma_2$ -SPACE( $s(n)$ ) resistant.

We also get that the language  $S_2$  is  $\Pi_2$ -SPACE( $s(n)$ ) resistant, since  $a^{N+N!} \in S_2$ ,  $a^N \notin S_2$ , and  $(a^{N+N!}, a^N)$  is a  $\Pi_2$ , Space $_A(E(G, a^N))$ -resistant pair, by (c), using the same argument for  $\bar{w}_+ = a^{N+N!}$ ,  $\bar{w}_- = a^N$ , and  $\bar{w}_0 = a^N$ .

By a straightforward induction on  $k$ , using Theorems 6 and 7, we obtain that  $P_k$  is  $\Sigma_k$ -SPACE( $s(n)$ ) resistant and  $S_k$  is  $\Pi_k$ -SPACE( $s(n)$ ) resistant, for each  $k \geq 2$ .  $\square$

The above result implies immediately, by Theorem 8, that  $P_k \notin \Sigma_k$ -SPACE( $s(n)$ ) and  $S_k \notin \Pi_k$ -SPACE( $s(n)$ ), for no  $s(n)$  below  $\log(n)$ . Changing the initial alternation level, using a method described by Szepietowski in [23], we can easily design  $O(\log \log(n))$  space bounded machines for  $P_k$  and  $S_k$ .

**THEOREM 11:**  $P_k \in \Pi_k$ -SPACE( $\log \log(n)$ ) and  $S_k \in \Sigma_k$ -SPACE( $\log \log(n)$ ), for each  $k \geq 2$ .

*Proof:* First, we shall show that  $P_2 \in \Pi_2$ -SPACE( $\log \log(n)$ ). Our machine first deterministically computes  $f(n)$ , checking if  $n$  is divisible by  $j$ , for  $j = 2, 3, 4 \dots$  until it finds the first nondivisor of  $n$ . Then, branching universally, the machine moves along the input tape and, at each position  $h < n$ , verifies if  $f(h) < f(n)$ . We do not have to compute the first nondivisor of  $h$  exactly, it is sufficient, branching existentially, to find  $g \in \{1, \dots, f(n) - 1\}$  and verify that this  $g$  does not divide  $h$ .

Note that we store  $j$ ,  $f(n)$ , and  $g$  on the worktape, but not  $h$ . Since  $\log(f(n)) \in O(\log \log(n))$ , this much space is sufficient. (For proof, see e. g. [18].)

The  $\Sigma_2$ -SPACE( $\log \log(n)$ ) machine for  $S_2$  is very similar. Having computed  $f(n)$ , find existentially  $h < n$  with  $f(h) \geq f(n)$  and, branching universally, verify that each  $g \in \{1, \dots, f(n) - 1\}$  divides  $h$ .

Now we can show that  $P_k \in \Pi_k$ -SPACE( $\log \log(n)$ ), for each  $k \geq 2$ . The machine first checks if the input  $w \in R_k$ . If yes, then  $w = bw_1bw_2b \dots bw_f b$ , for some  $w_1, \dots, w_f \in R_{k-1}$ . In addition, this partition is unique and determined by the positions of substrings  $ab^{2k-5}a$  in  $w$ . Thus, branching universally at each  $ab^{2k-5}a$ , verify if  $w_j \in S_{k-1}$ , for each  $j \in \{1, \dots, f\}$ . This is done as follows. Each  $w_j \in R_{k-1}$  can be uniquely partitioned into the strings in  $R_{k-2}$ , their boundaries are determined by the positions of substrings  $ab^{2(k-1)-5}a$ . Thus, branching existentially at each  $ab^{2(k-1)-5}a$ , find a segment that is in  $P_{k-2} \dots$ . Finally, at the lowest

level, check if the tape segment  $u \in R_2 = a^+$ , enclosed in  $b$ 's, is in  $P_2$  (for  $k$  even), or in  $S_2$  (for  $k$  odd), using the algorithm described above.

The initial checking for  $w \in R_k$  as well as searching for the segment boundaries, level by level, can be done in constant space, using the finite state control. The worktape is needed at the lowest level only, to check if some  $u \in P_2/S_2$ . The space used is then bounded by  $O(\log \log(|u|))$ , for some  $|u| \leq n$ , i. e., by  $O(\log \log(n))$ .

Similarly,  $S_k \in \Sigma_k$ -SPACE( $\log \log(n)$ ), for each  $k \geq 2$ . The only difference is that the topmost level branching is existential.  $\square$

COROLLARY 1: For each  $k \geq 2$  and each  $s(n)$  with  $\sup_{n \rightarrow \infty} s(n)/\log(n) = 0$ ,

$$\Sigma_k\text{-SPACE}(\log \log(n)) - \Pi_k\text{-SPACE}(s(n)) \neq \emptyset,$$

and also,

$$\Pi_k\text{-SPACE}(\log \log(n)) - \Sigma_k\text{-SPACE}(s(n)) \neq \emptyset.$$

Moreover, it is obvious that  $\Sigma_i/\Pi_i\text{-SPACE}(s(n)) \subseteq \Sigma_{i+1}/\Pi_{i+1}\text{-SPACE}(s(n))$ , for each  $i \geq 1$ . From this we have:

COROLLARY 2: For each  $k \geq 2$  and each  $s(n)$  with  $\sup_{n \rightarrow \infty} s(n)/\log(n) = 0$ ,

$$\Sigma_k\text{-SPACE}(\log \log(n)) - \Sigma_{k-1}\text{-SPACE}(s(n)) \neq \emptyset,$$

$$\Sigma_k\text{-SPACE}(\log \log(n)) - \Pi_{k-1}\text{-SPACE}(s(n)) \neq \emptyset,$$

$$\Pi_k\text{-SPACE}(\log \log(n)) - \Sigma_{k-1}\text{-SPACE}(s(n)) \neq \emptyset,$$

$$\Pi_k\text{-SPACE}(\log \log(n)) - \Pi_{k-1}\text{-SPACE}(s(n)) \neq \emptyset.$$

That is, the alternating space hierarchy does not collapse between  $\log \log(n)$  and  $\log(n)$ :

COROLLARY 3: For each  $k \geq 2$  and  $s(n) \geq \log \log(n)$  with  $\sup_{n \rightarrow \infty} s(n)/\log(n) = 0$ ,

$$\Sigma_{k-1}\text{-SPACE}(s(n)) \subsetneq \Sigma_k\text{-SPACE}(s(n)),$$

$$\Sigma_{k-1}\text{-SPACE}(s(n)) \subsetneq \Pi_k\text{-SPACE}(s(n)),$$

$$\Pi_{k-1}\text{-SPACE}(s(n)) \subsetneq \Sigma_k\text{-SPACE}(s(n)),$$

$$\Pi_{k-1}\text{-SPACE}(s(n)) \subsetneq \Pi_k\text{-SPACE}(s(n)).$$

COROLLARY 4: For each  $k \geq 2$  and  $s(n) \geq \log \log(n)$  with  $\sup_{n \rightarrow \infty} s(n) / \log(n) = 0$ ,  $\Sigma_k$ -SPACE( $s(n)$ ) and  $\Pi_k$ -SPACE( $s(n)$ ) are not closed under complement.

*Proof:* It is easy to show, by induction on  $k$ , that  $S_k = R_k - P_k$  and  $P_k = R_k - S_k$ , for each  $k \geq 2$ . Should  $\Sigma_k$ -SPACE( $s(n)$ ) be closed under complement for some  $k \geq 2$  and some  $s(n)$  above  $\log \log(n)$ , we have  $S_k^c = R_k^c \cup P_k \in \Sigma_k$ -SPACE( $s(n)$ ). Since  $\Sigma_k$ -SPACE( $s(n)$ ) is closed under intersection with regular sets,  $(R_k^c \cup P_k) \cap R_k = P_k \in \Sigma_k$ -SPACE( $s(n)$ ), using  $P_k \subseteq R_k$ . But this is a contradiction for space bounds below  $\log(n)$ . The argument for  $\Pi_k$ -SPACE( $s(n)$ ) is almost the same.  $\square$

The tools presented above allow us to draw some further consequences:

THEOREM 12: For each  $k \geq 2$  and  $s(n) \geq \log \log(n)$  with  $\sup_{n \rightarrow \infty} s(n) / \log(n) = 0$ ,  $\Sigma_k$ -SPACE( $s(n)$ ) is not closed under intersection and  $\Pi_k$ -SPACE( $s(n)$ ) is not closed under union.

*Proof:* Suppose that  $\Pi_k$ -SPACE( $s(n)$ ) is closed under union, for some  $k \geq 2$  and some  $s(n) \geq \log \log(n)$ . Since  $P_k \in \Pi_k$ -SPACE( $\log \log(n)$ ) and  $R_k$  is regular,  $P_k \$ R_k, R_k \$ P_k \in \Pi_k$ -SPACE( $\log \log(n)$ ), where  $\$$  denotes a new symbol. Using the union hypothesis, we have a  $\Pi_k$ -SPACE( $s(n)$ ) machine  $A$  recognizing  $L = \{w_1 \$ w_2 \in R_k \$ R_k; w_1 \in P_k \text{ or } w_2 \in P_k\}$ . We can now easily replace  $A$  by a new  $\Pi_k$ -SPACE( $s(n)$ ) machine  $A'$  recognizing  $L' = P_k \cup L$ , not using the union hypothesis: First,  $A'$  checks whether the symbol  $\$$  is present on the input tape. If yes, use  $A$  to determine if the input  $w \in L$ . If no, then simulate  $A$  imitating that the input string is  $w \$ w$ . The only thing we have to remember, within the finite state control, is whether the input head is positioned on the first or on the second copy of  $w$ . If  $A$  reaches the right endmarker (on the first copy of  $w$ ), interrupt the simulation, move the head to the left endmarker, and pretend that  $\$$  has been crossed from left to right. Then carry on the second (nonexistent) copy of  $w$ . If  $A$  moves back to the left endmarker, imitate crossing  $\$$  from right to left. Clearly,  $A'$  uses exactly the same amount of space on the inputs  $w$  and  $w \$ w$ , for each  $w \in \{a, b\}^*$ , i. e.,  $\text{Space}_{A'}(w) = \text{Space}_{A'}(w \$ w)$ .

Because  $P_k$  is a  $\Sigma_k$ -SPACE( $s(n)$ ) resistant language for each  $s(n)$  below  $\log(n)$ , we have, using  $A'$ ,  $G = 0$ , and  $\check{n} = 2$ , some strings  $w_+ \in P_k, w_- \notin P_k$ , and  $w_0 \in R_k$  such that  $w_+, w_-,$  and  $w_0$  are  $\text{Space}_{A'}(w_0)$ -equivalent and  $(w_+, w_-)$  is a  $\Sigma_k, \text{Space}_{A'}(w_0)$ -resistant pair. Now, consider the inputs  $w_0 \$ w_0, w_- \$ w_-, w_- \$ w_+,$  and  $w_+ \$ w_-$ . They are all

$\text{Space}_{A'}(w_0)$ -equivalent, by Lemma 3. Since the initial configuration  $p_I$  is trivially  $\text{Space}_{A'}(w_0)$ -bounded on the string  $\gg w_0 \ll$ , we have also that  $p_I$  is  $\text{Space}_{A'}(w_0)$ -bounded on  $\gg w_0 \$ w_0 \ll$ . This follows from  $\text{Space}_{A'}(w_0) = \text{Space}_{A'}(w_0 \$ w_0)$ . By Lemma 4,  $p_I$  is then  $\text{Space}_{A'}(w_0)$ -bounded on  $\gg w_- \$ w_- \ll$ ,  $\gg w_- \$ w_+ \ll$ , and  $\gg w_+ \$ w_- \ll$ .

Since  $w_- \notin P_k$ , the input  $w_- \$ w_-$  must be rejected by the  $\Pi_k$ -SPACE( $s(n)$ ) machine  $A'$  and therefore there must exist a rejecting computation path beginning in  $p_I$  on  $\gg w_- \$ w_- \ll$ . Suppose, for example, that this computation path alternates outside the first  $w_-$ .

Then we have a  $\Sigma_{k-1}$ -rejecting configuration  $p$  that is (i) placed outside the first  $w_-$  on  $\gg w_- \$ w_- \ll$ . But  $p$  is also reachable from  $p_I$  on  $\gg w_+ \$ w_- \ll$ , where it is placed outside  $w_+$ , since  $w_+, w_-$  are  $\text{Space}_{A'}(w_0)$ -equivalent and  $p_I$  is  $\text{Space}_{A'}(w_0)$ -bounded on  $\gg w_- \$ w_- \ll$  and on  $\gg w_+ \$ w_- \ll$ .

Clearly, (ii)  $p$  is  $\text{Space}_{A'}(w_0)$ -bounded on  $\gg w_- \$ w_- \ll$  and on  $\gg w_+ \$ w_- \ll$  (it is reachable from  $p_I$ ), and (iii) it is of alternating level  $\Sigma_{k-1}$ .

Because  $(w_+, w_-)$  is a  $\Sigma_k$ ,  $\text{Space}_{A'}(w_0)$ -resistant pair, we get, using Theorem 5 for  $\alpha = \gg$  and  $\beta = \$ w_- \ll$ , that  $f_{p, \gg w_+ \$ w_- \ll}(\cdot) \leq f_{p, \gg w_- \$ w_- \ll}(\cdot)$ . On the other hand,  $p$  is  $\Sigma_{k-1}$ -rejecting on  $\gg w_- \$ w_- \ll$ , by assumption, but  $\Sigma_{k-1}$ -accepting on  $\gg w_+ \$ w_- \ll$ , since  $w_+ \in P_k$  (hence,  $w_+ \$ w_- \in L'$ ),  $p$  is reachable from  $p_I$  on  $\gg w_+ \$ w_- \ll$ , and all alternation-free paths from the  $\Pi_k$ -accepting  $p_I$  on  $\gg w_+ \$ w_- \ll$  must be successful. This gives  $f_{p, \gg w_+ \$ w_- \ll}(\cdot) = 1$  and  $f_{p, \gg w_- \$ w_- \ll}(\cdot) = 0$ , which is a contradiction.

If the rejecting path beginning in  $p_I$  on  $\gg w_- \$ w_- \ll$  alternates inside the first  $w_-$ , then it alternates outside the second  $w_-$  and we can use almost the same argument for  $\gg w_- \$ w_+ \ll$ . All other cases, *i. e.*, an infinite cycle or halting are also very similar and therefore they are omitted.

The corresponding proof showing that  $\Sigma_k$ -SPACE( $s(n)$ ) is not closed under intersection uses the language  $S_k \cup S_k \$ S_k$ .  $\square$

In general, though  $P_k \in \Pi_k$ -SPACE( $\log \log(n)$ ), we cannot check the input  $\$ w_1 \$ w_2 \$ \dots \$ w_f \$$  for any logical relation other than  $w_1 \in P_k \& \dots \& w_f \in P_k$  not using a different alternation level or at least  $\log(n)$  space. However, if  $f$  is a fixed constant, then  $\Sigma_{k+2}/\Pi_{k+2}$ -SPACE( $\log \log(n)$ ) is sufficient, because any relation can be put into the disjunctive/conjunctive normal form and the complement of  $P_k$  is in  $\Sigma_k$ -SPACE( $\log \log(n)$ ). The same holds for  $S_k \in \Sigma_k$ -SPACE( $\log \log(n)$ ) and the relation  $w_1 \in S_k \vee \dots \vee w_f \in S_k$ .

Some important problems remain open, namely, the relations among  $\text{DSPACE}(s(n)) = \Sigma_0\text{-SPACE}(s(n)) = \Pi_0\text{-SPACE}(s(n))$ ,  $\text{NSPACE}(s(n)) = \Sigma_1\text{-NSPACE}(s(n))$ , and  $\Pi_1\text{-SPACE}(s(n))$ . The partial answer for the tally sets has been achieved, *i. e.*,  $\Sigma_1\text{-SPACE}(s(n)) \cap a^* = \Pi_1\text{-SPACE}(s(n)) \cap a^*$  for each  $s(n)$ , independent of whether  $s(n)$  is above  $\log(n)$  or space constructible [10]. Quite surprisingly, this does not imply that the hierarchy collapses to  $\Sigma_1$  on the tally sets, since  $\Sigma_2\text{-SPACE}(s(n)) \cap a^* \neq \Pi_2\text{-SPACE}(s(n)) \cap a^*$  ([9] or [this paper]). The problem  $\text{DSPACE}(s(n))$  versus  $\text{NSPACE}(s(n))$  is also open for the superlogarithmic case.

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