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## A Torelli theorem for osculating cones to the theta divisor

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Let  $C$  be a smooth complete curve of genus  $g \geq 5$  over an algebraically closed field  $k$  of characteristic  $\neq 2$ . Let  $\Theta$  be the theta divisor on the Jacobian  $J$ . Let  $x$  be a double point of  $\Theta$ . Then we may expand a local equation  $\theta = 0$  of  $\Theta$  near  $x$  as

$$\theta = \theta_2 + \theta_3 + \text{higher order terms}$$

where  $\theta_i$  is homogenous of degree  $i$  in the canonical flat structure [K3] on  $J$ . It is well-known that the tangent cone  $R = \{\theta_2 = 0\}$  is a quadric of rank  $\leq 4$  in the canonical space  $\mathbb{P}^{g-1}$  which contains the canonical curve.

If  $C$  is not hyperelliptic, trigonal or a plane quintic then for a general double point  $x$  the quadric  $\theta_2$  has rank 4 and the two rulings of  $R$  cut out on  $C$  a pair of residual base-point-free distinct  $g_{g-1}^1$ 's,  $|D|$  and  $|K - D|$ , (apply Mumford's refinement of Martens' theorem [M]. In the bi-elliptic case  $x$  should be a general point of the component of the singular locus of  $\Theta$  which does not arise from  $g_4^1$ 's by adding base points or its residual component). Moreover the morphism  $\varphi_{|D|} \times \varphi_{|K-D|}: C \rightarrow \mathbb{P}^1 \times \mathbb{P}^1$  maps  $C$  birationally onto its image  $C'$ . Taking the composition with the Segre embedding  $\mathbb{P}^1 \times \mathbb{P}^1 \hookrightarrow \mathbb{P}^3$  we may regard  $\varphi_{|D|} \times \varphi_{|K-D|}$  as the projection of  $C$  from the  $(g - 5)$ -dimensional vertex  $V \subseteq \mathbb{P}^{g-1}$  of  $R$ .

In this paper we study the geometry of the osculating cone  $S = \{\theta_2 = \theta_3 = 0\}$ . It is well-known and easy to prove that  $C \subseteq S$ . Thus if  $\sim$  denote the strict transform after blowing up  $V$  we have a diagram

$$\begin{array}{ccccccc} C & \hookrightarrow & \tilde{S} & \hookrightarrow & \tilde{R} & \hookrightarrow & \tilde{\mathbb{P}}^{g-1} \rightarrow \mathbb{P}^{g-1} \\ \downarrow & & \downarrow \alpha & & \downarrow \pi & & \downarrow \\ C' \subseteq \mathbb{P}^1 \times \mathbb{P}^1 = \mathbb{P}^1 \times \mathbb{P}^1 & \subseteq & \mathbb{P}^1 \times \mathbb{P}^1 & \subseteq & \mathbb{P}^3 & & \end{array}$$

\* Supported by the DFG.

where the vertical maps are induced by projecting from  $V$ . An important but simple observation is that  $S$  contains  $V$  and hence that  $\alpha$  is a quadric bundle contained in the  $\mathbb{P}^{g-4}$  bundle  $\pi$ . We will prove

**THEOREM 1.** *Suppose that  $x \in \Theta \subseteq J$  corresponds to a pair of base-point free residual  $g_{g-1}$ 's,  $|D|$  and  $|K - D|$ , such that  $\varphi_D \times \varphi_{K-D}: C \rightarrow C' \subseteq \mathbb{P}^1 \times \mathbb{P}^1$  is birational onto its image. Then the fibers of  $\alpha$  over  $\mathbb{P}^1 \times \mathbb{P}^1 - C'$  are smooth and for a smooth point  $c'$  of  $C'$  the corresponding point  $c$  of  $C$  is the only singular point of the fiber of  $\alpha$  over  $c$ .*

Thus we have a straightforward way to recover the canonical curve from  $S \subseteq R \subseteq \mathbb{P}^{g-1}$ . Explicitly  $C$  is the component of the singular locus of the fibers which projects non-trivially into  $\mathbb{P}^1 \times \mathbb{P}^1$ .

If  $\text{char}(k) \neq 2, 3$  then  $\{\theta_2 = \theta_3 = \theta_4 = 0\}$  is defined. We note

**PROPOSITION 2.**  *$C$  is not contained in  $\{\theta_2 = \theta_3 = \theta_4 = 0\}$ .*

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### **§1. Infinitesimal calculations on the Jacobian via cohomological obstructions**

Intrinsically  $x$  corresponds to a point of the  $g - 1^{\text{st}}$  Picard variety  $\text{Pic}_{g-1}(C) \cong J$ . The point is the isomorphism class of the invertible sheaf  $\mathcal{L} = \mathcal{O}_C(D)$ . In our case  $\Gamma(C, \mathcal{L})$  is two-dimensional. By the general procedure of [K2] we may locally around  $x$  find a  $2 \times 2$  matrix  $(f_{ij})$  of regular functions vanishing at  $x$  such that

$$\theta = \det(f_{ij}).$$

The equation of the tangent cone  $R$  is

$$\theta_2 = \det(df_{ij}|_x) = 0.$$

The equations of the vertex  $V$  are  $df_{ij}|_x = 0$  for  $1 \leq i, j \leq 2$ .

Using the flat structure on  $J$  we may expand

$$f_{ij} = x_{ij} + q_{ij} + \text{higher order terms}$$

where  $x_{ij} = df_{ij}|_x$  and  $q_{ij}$  are thought of as linear and quadratic functions on the tangent space  $J$  at  $x$ . Expanding  $\theta$  as a determinant we have

$$\begin{aligned} \theta_2 &= x_{11}x_{22} - x_{12}x_{21} \text{ and} \\ \theta_3 &= x_{11}q_{22} + q_{11}x_{22} - x_{12}q_{21} - q_{12}x_{21}. \end{aligned}$$

Thus we get:

**PROPOSITION 3.** *The vertex  $V$  is contained in  $S = \{\theta_2 = \theta_3 = 0\}$ .*

*Proof.*  $V = \{x_{11} = x_{22} = x_{12} = x_{21} = 0\}$ . So  $\theta_3$  vanishes on  $V$ . □

To get deeper results we will have to use the obstruction theory from [K3]. First we will give a cohomological interpretation of the previous material.

The tangent space to  $J$  is canonically isomorphic to  $H^1(C, \mathcal{O}_C)$ . The matrix  $(x_{ij})$  describes the cup product action

$$\cup: H^1(C, \mathcal{O}_C) \rightarrow \text{Hom}(\Gamma(C, \mathcal{L}), H^1(C, \mathcal{L})), \alpha \mapsto \cup \alpha$$

where  $H^1(C, \mathcal{L}) \cong \Gamma(C, \Omega_C \otimes \mathcal{L}^{-1})^*$  is also two dimensional. The cone over  $V$  is the kernel of  $\cup$  and the cone over  $R$  corresponds to cohomology classes  $\alpha \in H^1(C, \mathcal{O}_C)$  such that  $\cup \alpha: \Gamma(C, \mathcal{L}) \rightarrow H^1(C, \mathcal{L})$  has rank  $\leq 1$ .

The punctured line over a point  $c$  in the canonical curve  $C$  consists of the cohomology classes  $[\pi_c]$  of the principal part  $\pi_c$  of a rational function with a simple pole at  $c$  and otherwise zero. For practice (because these ideas are needed later in a more complicated situation) let us see cohomologically why  $C$  is contained in  $R$  and let us compute  $C \cap V$ . Let  $\eta_c \in \Gamma(C, \mathcal{L})$  be a section which vanishes at  $c$ . Then the principal part  $\eta_c \pi_c$  is zero, so  $\eta_c \cup [\pi_c] = 0$  and  $\cup[\pi_c]: \Gamma(C, \mathcal{L}) \rightarrow H^1(C, \mathcal{L})$  has rank  $\leq 1$ . Thus  $C \subseteq R$ . Also if  $c$  is a base-point of  $\Gamma(C, \mathcal{L})$  then  $[\pi_c] \in \ker(\cup)$  and so  $\{\text{base-points of } \mathcal{L}\} \subseteq C \cap V$ . If  $c$  is not a base-point then there is a section  $\gamma_c \in \Gamma(C, \mathcal{L})$  which does not vanish at  $c$ . The principal part  $\gamma_c \pi_c$  of  $\mathcal{L}$  has a pole of order 1 at  $c$  and is otherwise zero. Its cohomology class is zero if and only if  $\mathcal{L}$  has a rational section  $\sigma$  with a single simple pole at  $c$ . By duality  $\sigma$  exists iff  $c$  is a base-point of  $\Gamma(C, \Omega_C \otimes \mathcal{L}^{-1})$ . Thus

$$C \cap V = \{\text{base-points of } \mathcal{L}\} \cup \{\text{base-points of } \Omega_C \otimes \mathcal{L}^{-1}\}.$$

The idea behind the above calculations is the relationship between the matrix  $(f_{ij})$  and the vanishing of cup product. The matrix  $(f_{ij})$  controls

the cohomology of all local deformations of  $\mathcal{L}$ . The above calculation involves a deformation over the infinitesimal scheme  $D_1 = \text{Spec}(k[\varepsilon]/(\varepsilon^2))$ . Such a deformation is given by

$$0 \rightarrow \varepsilon\mathcal{L} \rightarrow \mathcal{L}_2 \rightarrow \mathcal{L} \rightarrow 0$$

where  $\mathcal{L}_2$  is an invertible sheaf on  $C \times D_1$ . As it is well-known the isomorphism classes of such extensions correspond to cohomology classes  $\alpha \in H^1(C, \mathcal{O}_C)$  and a section  $\eta$  of  $\mathcal{L}$  lifts to a section of  $\mathcal{L}_2$  if and only if  $\eta \cup \alpha$  is zero. We will consider similar lifting problems to higher order deformations of  $\mathcal{L}$ .

Let  $D_i = \text{Spec}(A_i)$  with  $A_i = k[\varepsilon]/(\varepsilon^{i+1})$ . We want to describe the deformation of  $\mathcal{L}$  corresponding to a flat curve  $D_i \hookrightarrow J$  with support  $(D) = x$  [K3]. Such a deformation of  $\mathcal{L}$  is determined by its velocity which is a tangent vector of  $J$ ; i.e., an element in  $H^1(C, \mathcal{O}_C)$ . Let  $\beta = (\beta_c)$  be a  $k(C)$ -valued function on  $C$  such that  $\beta_c$  is regular at  $c$  except for finitely many  $c$ 's. Then  $\beta$  determines a cohomology class  $[\beta]$  in  $H^1(C, \mathcal{O}_C)$ . We want to write the deformation of  $\mathcal{L}$  in terms of  $\beta$ . Let  $\mathcal{L}_{i+1}(\beta)$  be the sheaf whose stalk at  $c \in C \times D_i (= C \text{ as sets})$  is given by rational sections  $f = f_0 + f_1\varepsilon + \dots + f_i\varepsilon^i$  of  $\mathcal{L} \otimes A_i$  such that  $f \exp(\varepsilon\beta_c)$  is regular at  $c$ . If  $\text{char}(k) \nmid i!$  this expression makes sense as

$$\exp(\varepsilon\beta_c) = \sum_{0 \leq j \leq i} \beta_c^j \varepsilon^j / j!$$

Thus if  $i = 2$  for  $f = f_0 + f_1\varepsilon + f_2\varepsilon^2$  to be a global section of  $\mathcal{L}_3(\beta)$  we need (0)  $f_0$  is a regular section of  $\mathcal{L}$ , (1)  $f_1 + f_0\beta_c$  is regular at  $c$  for every  $c \in C$  and (2)  $f_2 + f_1\beta_c + f_0\beta_c^2/2$  is regular at  $c$  for every  $c \in C$ .

Our main tool to study the osculating cone  $S = \{\theta_2 = \theta_3 = 0\}$  is the following:

**LEMMA 4.** *A cohomology class  $[\beta] \in H^1(C, \mathcal{O}_C)$  is contained in the cone cover  $\{\theta_2 = \theta_3 = \dots = \theta_{i+1} = 0\} \subseteq \mathbb{P}^{g-1}$  if and only if*

$$\text{length}_{A_i}(\Gamma(C \times D_i, \mathcal{L}_{i+1}(\beta))) \geq i + 2.$$

*In particular if  $k[\beta] \in \mathbb{P}^{g-1}$  is a point which does not lie in  $V$  then  $k[\beta] \in \{\theta_2 = \theta_3 = \dots = \theta_{i+1} = 0\}$  if and only if there exists a section  $f$  of  $\mathcal{L}_{i+1}(\beta)$  such that  $f_0 \neq 0$ .*

*Proof.* The cohomology of  $\mathcal{L}_{i+1}(\beta)$  is controlled by the pullback  $\varphi$  of the matrix  $(f_{ij})$  via  $D_i \rightarrow J \cong \text{Pic}_{g-1}(C)$ ,  $\varepsilon \mapsto \exp([\beta]\varepsilon)$ . Since  $D_i$  is just a fat

point we have an exact sequence

$$0 \rightarrow \Gamma(C \times D_i, \mathcal{L}_{i+1}(\beta)) \rightarrow A_i^{\oplus 2} \xrightarrow{\varphi} A_i^{\oplus 2} \rightarrow H^1(C \times D_i, \mathcal{L}_{i+1}(\beta)) \rightarrow 0$$

of  $A_i$ -modules. The matrix  $\varphi$  is equivalent to a matrix

$$\begin{pmatrix} \varepsilon^a & 0 \\ 0 & \varepsilon^b \end{pmatrix}$$

with  $1 \leq a \leq b \leq i + 1$ . So  $\text{length } \Gamma(C \times D_i, \mathcal{L}_{i+1}(\beta)) = a + b$ . On the other hand  $D_i \hookrightarrow J$  is a flat curve for a non-trivial class  $[\beta]$ . Hence  $k[\beta]$  is contained in  $\{\theta_2 = \theta_3 = \dots = \theta_{i+1} = 0\}$  if and only if  $a + b \geq i + 2$ . If  $k[\beta] \notin V$  then  $a = 1$  and hence  $k[\beta] \in \{\theta_2 = \theta_3 = \dots = \theta_{i+1} = 0\}$  if and only if  $b = i + 1$ . But  $\varepsilon^{i+1} = 0$  and so there exists a section  $f$  of  $\mathcal{L}_{i+1}(\beta)$  with  $f_0 \neq 0$ . □

Thus  $k[\beta]$  is contained in  $R$  if and only if there is a section  $f_0 + f_1\varepsilon$  of  $\mathcal{L}_2(\beta)$  with  $f_0 \neq 0$ . Moreover if  $k[\beta] \in R - V$  then  $f_0$  is uniquely determined up to a scalar factor. Also  $k[\beta] \in S - V$  if and only if  $f_0 + (f_1 + \eta)\varepsilon$  lifts to  $\mathcal{L}_3(\beta)$  for a suitable choice of  $\eta \in \Gamma(C, \mathcal{L})$ . One works out that  $[f_0\beta]$  is zero in  $H^1(C, \mathcal{L})$  if and only if  $f_0$  lifts to the first order. A second order lifting is possible if and only if  $[f_1\beta + f_0\beta^2/2]$  is zero in  $H^1(C, \mathcal{L})/\Gamma(C, \mathcal{L}) \cup [\beta]$ . (The last division is required because we have to consider  $\eta$ ).

Similarly one can compute tangent vectors to a point  $k[\beta] \in S$  by computing the sections of a deformation obtained via  $\exp(([\beta] + t[\gamma])\varepsilon)$  over  $k[\varepsilon, t]/(\varepsilon^3, t^2)$ .

Using this machinery we will prove:

**PROPOSITION 5.** (a) *The canonical curve  $C$  is contained in  $S$ .* (b) *If the rational maps  $\varphi_L$  and  $\varphi_{\Omega_C \otimes \mathcal{L}^{-1}} : C \rightarrow \mathbb{P}^1$  are distinct, then  $S$  is smooth of dimension  $g - 3$  at a general point of  $C$ .*

*Proof.* For (a) if  $c$  is not a base-point of  $\mathcal{L}$  then with the previous notation,  $\pi_c$  the principal part of a rational function with a simple pole at  $c$  and  $\eta_c$  a section of  $\mathcal{L}$  which vanishes at  $c$ , we have that  $\eta_c + 0\varepsilon$  is a section of  $\mathcal{L}_2(\pi_c)$  and  $[\eta_c\pi_c^2/2] \in \Gamma(C, \mathcal{L}) \cup [\pi_c]$ . Thus the second obstruction vanishes. So  $C - C \cap V \subseteq S$ . Hence  $C \subseteq S$ .

For (b) we will find a tangent vector to  $R$  at a general point  $c$  which is not tangent to  $S$ . Let  $T = k[t]/(t^2)$  and  $d$  another point of  $C - C \cap V$ , so

$$c \text{ and } d \text{ are not base-points of } \mathcal{L} \text{ or } \Omega_C \otimes \mathcal{L}^{-1}. \tag{1}$$

$[\pi_c + \pi_d t]$  represents a tangent vector to  $k[\pi_c] = c \in \mathbb{P}^{g-1}$ . For it to be tangent to  $R$  we need  $[(\eta_c + rt)(\pi_c + \pi_d t)] = 0$  in  $H^1(C, \mathcal{L}) \otimes_k T$  for some regular section  $r$  of  $\mathcal{L}$ ; i.e.,  $[r\pi_c + \eta_c \pi_d] = 0$  in  $H^1(C, \mathcal{L})$ . If we assume that

$$\varphi_{\mathcal{L}}(c) = \varphi_{\mathcal{L}}(d) \tag{2}$$

then  $\eta_c(d) = 0$  and we can take  $r$  to be an arbitrary multiple of  $\eta_c$ . Thus we have found a tangent vector to  $R$ .

To see that this vector is not tangent to  $S$  we consider the obstruction to the second order lifting  $[(\eta_c + rt)(\pi_c + \pi_d t)^2/2]$  in  $H^1(C, \mathcal{L}) \otimes T/(\Gamma(C, \mathcal{L}) \otimes T) \cup [\pi_c + \pi_d t]$ . The question is whether there are sections  $f_2 + g_2 t \in \Gamma(C, \mathcal{L}(c + d)) \otimes T$  and  $s + s' t \in \Gamma(C, \mathcal{L}) \otimes T$  such that  $(\eta_c + rt)\pi_c^2/2 - (s + s' t)(\pi_c + \pi_d t) - (f_2 + g_2 t)$  is regular at  $c$  and  $d$ . If we assume that

$$\varphi_{\Omega_C \otimes \mathcal{L}^{-1}}(c) \neq \varphi_{\Omega_C \otimes \mathcal{L}^{-1}}(d) \tag{3}$$

then  $\Gamma(C, \mathcal{L}) = \Gamma(C, \mathcal{L}(c + d))$  by duality and the last term is regular in any case. If we assume further that

$$c \text{ is not a ramification point of } \varphi_{\mathcal{L}} \tag{4}$$

then  $\eta_c \pi_c^2/2$  has a simple pole at  $c$  and one needs  $s(c) \neq 0$  to make the expression regular at  $c$ . But then  $s(d) \neq 0$  by (2) and consequently the term  $(r\pi_c^2/2 - s\pi_d - s'\pi_c)t$  has a simple pole at  $d$ . So a second lifting is impossible. For a general point  $c \in C$  the conditions (1), . . . , (4) are satisfied for every point  $d \in \varphi_{\mathcal{L}}^{-1}(\varphi_{\mathcal{L}}(c)) - \{c\}$ . □

Next we need to check a simpler fact. Let  $c + V$  denotes the linear span of  $c$  and  $V$  in  $\mathbb{P}^{g-1}$ .

**PROPOSITION 6.** *If  $c \in C - C \cap V$  then  $c$  is a singular point of  $S \cap (c + V)$ .*

*Proof.* As  $c + V \subseteq R$  we need to compute the derivative of  $\theta_3$  along  $c + V$  at  $c$ . We want to show that any tangent vector in  $c + V$  at  $c$  is contained in  $S$ . Let  $[\beta_1], \dots, [\beta_{g-4}]$  be a basis of  $\ker(\cup)$  where the  $\beta_{i,c}$  are regular at  $c$ . This is possible because each cohomology class is equivalent to one supported off any given point as  $C - \{\text{point}\}$  is affine. Let  $\beta = \pi_c + \sum t_i \beta_i$  where the  $t_i$  are indeterminates,  $T = k[t_1, \dots, t_{g-4}]/(t_1, \dots, t_{g-4})^2$ . Clearly  $\eta_c$  lifts to the first order as  $\eta_c \cup [\beta] = 0$  in  $H^1(C, \mathcal{L}) \otimes T$ . Let  $\eta_c + \gamma \varepsilon$  with  $\gamma = 0 + \sum \gamma_i t_i$  be a lifting to a section of  $\mathcal{L}_2(\beta)$ . So the  $\gamma_i$  are

regular at  $c$ . The obstruction to the second order lifting is  $[\eta_c \beta^2/2 + \gamma \beta]$  in  $H^1(C, \mathcal{L}) \otimes T/(\Gamma(C, \mathcal{L}) \otimes T) \cup [\beta]$ . This has the form  $[\eta_c \pi_c^2/2 + \sum t_i(\eta_x \pi_c \beta_i + \gamma_i \pi_c)]$ . We already saw that  $\eta_c \pi_c^2/2 = \varrho \pi_c$  for some  $\varrho \in \Gamma(C, \mathcal{L})$ .  $\pi_c \beta_i = 0$  as they have different support.  $\gamma_i \pi_c$  has at most a simple pole at  $c$ , so it does not give an obstruction since there is a section which does not vanish at  $c$ . Consequently

$$[\eta_c \pi_c^2/2 + \sum t_i(\eta_c \pi_c \beta_i + \gamma_i \pi_c)] = [-\sum t_i \varrho \beta_i]$$

in  $H^1(C, \mathcal{L}) \otimes T/(\Gamma(C, \mathcal{L}) \otimes T) \cup [\beta]$ . Since  $\beta_i \in \ker(\cup)$  we have  $\varrho \cup [\beta_i] = 0$  in  $H^1(C, \mathcal{L})$  and the obstruction vanishes for all tangent directions in  $c + V$ . □

We will now prove Proposition 2. We have to consider a third order lifting problem in  $\mathcal{L}_4(\pi_c)$  for a point  $c$  of  $C$ . Assume that  $c$  is not a base-point of  $\mathcal{L}$  and  $\Omega_c \otimes \mathcal{L}^{-1}$  and that  $c$  is not a ramification point of  $\varphi_{\mathcal{L}}$  and  $\varphi_{\Omega_c \otimes \mathcal{L}^{-1}}$ . A first order lifting has the form  $\eta_c + \varrho \varepsilon$  where  $\varrho$  is a regular section of  $\mathcal{L}$ . A second order lifting has the form  $\eta_c + \varrho \varepsilon + \sigma \varepsilon^2$  where  $\sigma$  is regular and  $\eta_c \pi_c^2/2 + \varrho \pi_c$  is regular at  $c$ . The obstruction to lift to the third order is  $[\eta_c \pi_c^3/6 + \varrho \pi_c^2/2 + \sigma \pi_c] \in H^1(C, \mathcal{L})/\Gamma(C, \mathcal{L}) \cup [\pi_c]$ . But this is cohomologous to  $[-\eta_c \pi_c^3/12]$  which has a double pole at  $c$ . Thus the obstruction does not vanish. This proves Proposition 2 and shows that

$$C \cap \{\theta_2 = \theta_3 = \theta_4 = 0\} \subseteq \text{base-points } (\mathcal{L}) \cup \text{base-points } (\Omega_c \otimes \mathcal{L}^{-1}) \\ \cup \text{ramification points } (\mathcal{L}) \cup \text{ramification points } (\Omega_c \otimes \mathcal{L}^{-1})$$

The reversed inclusion is easily seen as the obstruction clearly vanishes. This means that for a  $(C, \mathcal{L})$  general the divisor  $C \cap \{\theta_4 = 0\}$  is the sum of the ramification divisors of  $\mathcal{L}$  and  $\Omega_c \otimes \mathcal{L}^{-1}$  which is in  $|4K|$  as it should be. We leave the determination of the multiplicities in special cases open.

**§2. Global description of the quadric bundle defined by a cubic hypersurface containing a linear subspace**

Let  $\mathbb{P}^{h-d} \subseteq \mathbb{P}^h$  be a linear subspace of codimension  $d$ . Let  $\tilde{\mathbb{P}}$  be  $\mathbb{P}^h$  blown up along  $\mathbb{P}^{h-d}$ . Then we have the projection  $\pi: \tilde{\mathbb{P}} \rightarrow \mathbb{P}^{d-1}$  and an exceptional divisor  $E$  in  $\tilde{\mathbb{P}}$ . Let  $H$  be the inverse image of the hyperplane class in  $\mathbb{P}^h$  to  $\tilde{\mathbb{P}}$  and let  $L$  be the inverse image of a hyperplane in  $\mathbb{P}^{d-1}$  under  $\pi$ . Then by



examining a hyperplane in  $\mathbb{P}^h$  which contains the center  $\mathbb{P}^{h-d}$  we deduce that

$$H \sim E + L. \tag{A}$$

The next well-known fact describes the  $\mathbb{P}^{h-d+1}$ -bundle  $\pi$ .

$$\tilde{\mathbb{P}} \cong \mathbb{P}_{\mathbb{P}^{d-1}}(\mathcal{E}) \tag{B}$$

where

$$\mathcal{E} = \pi_*(\mathcal{O}_{\tilde{\mathbb{P}}}(\mathcal{E})) \cong \mathcal{O}_{\mathbb{P}^{d-1}} \oplus \mathcal{O}_{\mathbb{P}^{d-1}}(-1)^{\oplus h-d+1}.$$

*Proof.* Clearly  $E$  gives a hyperplane in each fiber of  $\pi$ . It remains to compute a basis for  $\mathcal{E}$ . To get a generator  $e_0$  of the first summand we may take  $\pi_*$  of the section 1 of  $\mathcal{O}_{\tilde{\mathbb{P}}}(\mathcal{E})$ . For the other direct summands we may take  $e_i = \pi_*(x_i) \in \Gamma(\mathbb{P}^{d-1}, \mathcal{E}(1))$  where  $x_1, \dots, x_{h-d+1} \in \Gamma(\tilde{\mathbb{P}}, \mathcal{O}(E + L)) \cong \Gamma(\mathbb{P}^h, \mathcal{O}(1))$  are linear forms which induce homogeneous coordinates on  $\mathbb{P}^{d-h}$ . Looking at the fibers of  $\pi$  we see that these sections generate  $\mathcal{E}$  everywhere.  $\square$

Let  $A$  be a cubic hypersurface in  $\mathbb{P}^h$  which contains  $\mathbb{P}^{h-d}$ . We can write inverse image in  $\tilde{\mathbb{P}}$  as  $E + \tilde{A}$  where  $\tilde{A}$  is an effective divisors. Then

$$\tilde{A} \subseteq \tilde{\mathbb{P}} \xrightarrow{\pi} \mathbb{P}^{d-1} \text{ is a quadric bundle} \tag{C}$$

since  $\tilde{A} \sim 3H - E = 2E + 3L$ . The equation of  $\tilde{A}$  is very simple. Under the identification  $\Gamma(\mathbb{P}^h, \mathcal{O}(1)) \cong \Gamma(\tilde{\mathbb{P}}, \mathcal{O}(H)) \cong \Gamma(\mathbb{P}^{d-1}, \mathcal{E}(1))$

$$x_1 = e_1, \dots, x_{h-d+1} = e_{h-d+1} \text{ and}$$

$$x_{h-d+2} = y_0 e_0, \dots, x_{h+1} = y_{d-1} e_0$$

are homogeneous coordinates on  $\mathbb{P}^h$ , if  $y_0, \dots, y_{d-1} \in \Gamma(\mathbb{P}^{d-1}, \mathcal{O}(1))$  are homogeneous coordinates in  $\mathbb{P}^{d-1}$ . Substituting these expressions into the cubic equation  $f = f(x_1, \dots, x_h)$  of  $A$  we find

$$f = e_0 \tilde{f} \text{ with } \tilde{f} = \sum_{i \leq j} a_{ij} e_i e_j.$$

so  $\tilde{f}$  is a quadratic form in  $e_0, \dots, e_{h-d+1}$  with coefficients

$$a_{00} \in H^0(\mathbb{P}^{d-1}, \mathcal{O}(3)),$$

$$a_{0j} = a_{j0} \in H^0(\mathbb{P}^{d-1}, \mathcal{O}(2)) \text{ if } j \geq 1 \text{ and}$$

$$a_{ij} = a_{ji} \in H^0(\mathbb{P}^{d-1}, \mathcal{O}(1)) \text{ of } i, j \geq 1.$$

Of course the  $(h - d + 2) \times (h - d + 2)$  matrix  $a = (a_{ij})$  is just what we obtain from the equation of  $\tilde{A}$  under the isomorphism  $\Gamma(\tilde{\mathbb{P}}, \mathcal{O}(2E + 3L)) \cong \Gamma(\mathbb{P}^{d-1}, \text{Sym}^2(\mathcal{E})(3)) \subseteq \Gamma(\mathbb{P}^{d-1}, \mathcal{H}om(\mathcal{E}^*(-3), \mathcal{E}))$  using the splitting of  $\mathcal{E}$ . The fact we will use is

For any point  $p \in \mathbb{P}^{d-1}$  the codimension of the singular locus of  $\tilde{A} \cap \pi^{-1}(p)$  in  $\pi^{-1}(p)$  is the rank of the matrix  $(a_{ij})$  at  $p$ . (D)

Thus for a general cubic  $A$  containing  $\mathbb{P}^{h-d}$  we expect the image of all singular fibers is a divisor of degree  $h - d + 4 = \text{deg det}(a)$ .

Let  $B$  be a smooth projective variety together with a morphism  $\tau: B \rightarrow \mathbb{P}^{d-1}$ . By base change we obtain a quadric bundle

$$\tilde{A}_\tau \hookrightarrow \tilde{\mathbb{P}}_\tau \xrightarrow{\pi'} B.$$

The next result gives what we need abstractly about this quadric bundle.

**PROPOSITION 7.** *Let  $X$  be a closed subvariety of  $\tilde{A}_\tau$  such that*

- (a)  $\tilde{A}_\tau \cap \pi'^{-1}(\pi'(p))$  is singular at  $p$  for all points  $p \in X$ ,
- (b)  $\tilde{A}_\tau$  is smooth at a general point of  $X$  and
- (c)  $\pi'(X)$  is a divisor on  $B$  with  $\text{deg}(\pi'(X)) \geq (h - d - 4) \text{deg}(\tau^{-1}(\text{hyperplane}))$

Then (d)  $\tilde{A}_\tau \cap \pi'^{-1}(q)$  is smooth for all  $q \in B - \pi'(X)$  and

- (e)  $p$  is the only singular point of  $\tilde{A}_\tau \cap \pi'^{-1}(\pi'(p))$  for a point  $p$  of  $X$  such that  $\pi'(p)$  is smooth on  $\pi'(X)$ .

*Proof.* Let  $q \in B$  be a point. The codimension of the singular locus of  $\tilde{A}_\tau \cap \pi'^{-1}(q)$  is equal to the rank of  $\tau^*(a_{ij}) \otimes k(q)$ . The singular locus simply is

$$\mathbb{P}(\text{coker}(\tau^*(a_{ij}) \otimes k(q)) \subseteq \mathbb{P}(\tau^*(\mathcal{E}) \otimes k(q))$$

if we regard  $a = (a_{ij})$  as an homomorphism  $\mathcal{E}^*(-3) \rightarrow \mathcal{E}$ . For the generic point of  $B$ , this singular locus has a dense subset of  $k(B)$ -rational points. In each of them  $\tilde{A}_\tau$  is singular. Hence the closure of the singular locus of the generic fiber is contained in the singular locus of  $\tilde{A}_\tau$ . Therefore by (b) the closure does not contain  $X$ .

Next we prove that the singular locus of the generic fiber is empty. Otherwise  $\tau^*(a_{ij})$  would have some rank  $r \leq h - d + 1$ . Let  $x$  be the generic point of  $X$ . Since  $x$  is not contained in the closure of the singular locus of the generic fiber and on the other hand the singular locus of  $\tilde{A}_\tau \cap \pi'^{-1}(\pi'(x))$  is a linear space which contains  $x$  by (a) we have  $\text{rank}(\tau^*(a_{ij}) \otimes k(\pi'(x))) < r$ . Hence all  $r \times r$  minors of  $\tau^*a$  vanish at  $\pi'(x)$ . Since not all of them vanish identically on  $B$  and all of them are pullbacks of polynomials of degree  $< h - d + 4$  on  $\mathbb{P}^{d-1}$  this is impossible by (c).

Thus the singular locus of a general fiber is empty and moreover we get that  $\pi'(X)$  is scheme-theoretically the zeros of  $\det(\tau^*(a_{ij}))$ . This proves (d). For (e) just note that

$$\text{rank}(\tau^*(a_{ij}) \otimes k(p)) = h - d + 1$$

if  $\pi'(p)$  is smooth in  $\pi'(X)$  since otherwise  $\pi'(p)$  would be multiple point of  $\det(\tau^*(a_{ij})) = 0$ . This proves (e). □

*Proof of Theorem 1.*

Let  $\mathbb{P}^h$  be the canonical space  $\mathbb{P}^{g-1}$  and  $\mathbb{P}^{h-d} = V$  the vertex of  $R = \{\theta_2 = 0\}$ . Let  $A = \{\theta_3 = 0\}$ . Thus  $A$  contains  $\mathbb{P}^{h-d}$  by Lemma 3. Next let  $B = \mathbb{P}^1 \times \mathbb{P}^1$  and  $\tau$  the embedding into  $\mathbb{P}^3$ . Then we have that  $\tilde{\mathbb{P}}_\tau$  is  $R$  blown up along  $V$  and  $\tilde{A}_\tau$  is the strict transform  $\tilde{S}$  of  $S = \{\theta_2 = \theta_3 = 0\}$ . Then take  $X = C$  the canonical curve. Proposition 6 gives (a) and Proposition 5 gives (b). For (c) we note that  $\pi'(C) = C'$  has class  $(g - 1, g - 1)$ . Hence Theorem 1 follows now from Proposition 7. □

REMARKS. (1) For singular points  $c' \in C'$  the singular locus of the fiber is higher dimensional. As  $C'$  is the zero divisor of the determinant

$$\dim \text{Sing } \alpha^{-1}(c') \leq \text{mult}(C', c') - 1.$$

So if  $c' \in C'$  is an ordinary double point then  $\text{Sing } \alpha'(c')$  is the line spanned by the two preimage points in  $C$  and equality holds in the formula above. One might guess that equality always holds. We leave this to the reader.

(2) The canonical curve  $C \subseteq \mathbb{P}^{g-1}$  lies in the birational model  $Y$  of  $\mathbb{P}^1 \times \mathbb{P}^1$  obtained by the rational map defined by the linear series of adjoint curves to  $C'$ . Without proving it we mention that  $Y$  is a component of the variety defined by the partial derivatives

$$\frac{\partial \tilde{f}}{\partial e_i} = 0 \quad \text{for } i = 1, \dots, g - 4$$

in  $R$ . It is the component which dominates  $\mathbb{P}^1 \times \mathbb{P}^1$  and the birational map is just the projection.

(3) In case  $g = 5$  Theorem 1 might be slightly misleading.  $S$  is a  $K3$  surface birational to the double cover of  $\mathbb{P}^1 \times \mathbb{P}^1$  branched along  $C'$ .  $C'$  is a divisor of type  $(4, 4)$  with 4 (possibly infinitesimally near) double points which lie on a divisor  $\Delta$  of class  $(1,1)$ . The last property holds because the two  $g_4$ 's are residual. The double cover has rational double point singularities over the double points of  $C'$ . Resolve those. The preimage of  $\Delta$  has two disjoint components. Both are  $(-2)$  curves. In case there are 4 distinct double points  $S$  is obtained by contracting one of them, the singular point will give the vertex  $V$ . If two or more of the double points of  $C'$  are infinitesimally near then  $S$  has a more complicated singularity.

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