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THE INFINITESIMAL M. NOETHER THEOREM FOR SINGULARITIES

Hubert Flenner

Introduction

In 1882 M. Noether [25] has shown that for a general surface of degree $d \geq 4$ in $\mathbb{P}^3 = P_{\mathbb{C}}^3$ each curve in S is the intersection of S with some hypersurface S' in $\mathbb{P}^3$. Recently Carlson-Green-Griffiths-Harris [7] have given an infinitesimal version of this result: If S is a smooth hypersurface of degree $d \geq 4$ in $\mathbb{P}^3$ and C is a curve on S such that for each first order deformation $\tilde{S}$ of S the curve C can be lifted to a first order deformation $\tilde{C} \subseteq \tilde{S}$ then $C = S \cap S'$ with some hypersurface $S' \subseteq \mathbb{P}^3$.

The purpose of this paper is to derive a similar result for singularities. Moreover we obtain with our methods, that isolated Gorenstein-singularities $(X, 0)$ of dimension $d \geq 3$ with vanishing tangent functor $T_{X,0}^{d-2}$ are almost factorial, i.e. each divisor $D \subseteq X$ is set theoretically given by one equation, or – equivalently – the divisor class group $\text{Cl}(\mathcal{O}_{X,0})$ is a torsion group, see [27], [10]. By a result of Huneke [20] and Buchweitz [6] the assumption on the vanishing of $T_{X,0}^{d-2}$ is always satisfied for isolated Gorenstein singularities which are linked to complete intersections.

As an application we generalize results of Griffiths-Harris [11] and Harris-Hulek [16] on the splitting of normal bundle sequences.

We remark that throughout this paper we work in characteristic 0.

§1. The Main Lemma

Let k be a field of characteristic 0 and $A = k[[T]]_n/\alpha$ a normal complete k -algebra with an isolated singularity of dimension $d \geq 3$. We set $X = \text{Spec}(A)$, $U := X \setminus \{m_A\}$. By Ω_X^1 resp. Ω_U^1 we denote the sheaf associated to the module of differentials $\Omega_A^1 = \coprod_{1 \leq i \leq n} A \cdot dT_i/A \cdot d(\alpha)$.

The logarithmic derivativion $d \log: \mathcal{O}_U^x \rightarrow \Omega_U^1$ induces a map $\text{Pic}(U) = H^1(U, \mathcal{O}_U^x) \rightarrow H^1(U, \Omega_U^1)$. Since A has isolated singularity we have $\text{Cl}(A) \cong \text{Pic}(U)$, see [10], (18.10) (b), and we obtain a map

$$\xi: \text{Cl}(A) \rightarrow H^1(U, \Omega_U^1).$$

In this section we will show:

MAIN LEMMA 1.1: *If $\text{depth } A \geq 3$ then $\text{Ker}(\xi)$ is the torsion of $\text{Cl}(A)$. In particular, if $H^1(U, \Omega_U^1)$ vanishes then $\text{Cl}(A)$ is a torsion group and A is almost factorial.*

If $k \subseteq K$ is a subfield and if $A_K := A \widehat{\otimes}_k K$, $X_K := \text{Spec}(A_K)$, $U_K := X_K \setminus \{m_{A_K}\}$, then $\text{Cl}(A) \subseteq \text{Cl}(A_K)$ and $\text{Cl}(A)_k = \text{Cl}(A_K)$ by [24] if k and K are algebraically closed. Therefore by standard arguments we can easily reduce our assertion to the case $k = \mathbb{C}$, which we shall henceforth assume. Before proving (1.1) in this case we need three lemmata:

LEMMA 1.2: *Let E be a complete algebraic $\mathbb{C}$ -scheme. Then the canonical mapping induced by the logarithmic derivation*

$$(\text{Pic}(E)/\text{Pic}^\tau(E)) \otimes_{\mathbb{Z}} \mathbb{C} \rightarrow H^1(E, \Omega_E^1)$$

is injective.

PROOF: If E is in addition smooth, then (1.2) is well known and follows from the Lefschetz-theorem on $(1, 1)$ sections, see [12], p. 163. In the general case, let $f: E' \rightarrow E$ be a resolution of singularities of E and consider the following diagram:

$$\begin{array}{ccc} (\text{Pic}(E)/\text{Pic}^\tau(E)) \otimes_{\mathbb{Z}} \mathbb{C} & \rightarrow & H^1(E, \Omega_E^1) \\ \downarrow \varphi & & \downarrow \\ \text{Pic}(E')/\text{Pic}^\tau(E') \otimes_{\mathbb{Z}} \mathbb{C} & \rightarrow & H^1(E', \Omega_{E'}^1). \end{array}$$

By [13], Exp. XII, Théorème 1.1 the map $\text{Pic}(E) \rightarrow \text{Pic}(E')$ is of finite type. It follows that $f^*: \text{Pic}(E)/\text{Pic}^\tau(E) \rightarrow \text{Pic}(E')/\text{Pic}^\tau(E')$ is injective, since $\ker(f^*)$ is a torsion free discrete group scheme of finite type and so vanishes. Hence in the diagram φ is injective, from which the general case follows.

LEMMA 1.3: *Let $A = \mathbb{C}\{X\}_n/\mathfrak{A}$ be a normal (convergent) analytic algebra of dimension $d \geq 3$ with isolated singularity and set $X = \text{Spec}(A)$, $U = X \setminus \{m_A\}$. Let $X' \xrightarrow{\pi} X$ be a resolution of singularities of X such that $E = \pi^{-1}(m_A) = E_1 \cup \dots \cup E_k$ is a divisor with normal crossings. Then $H_E^1(X', \Omega_{X'}^1)$ is a $\mathbb{C}$ -vectorspace of rank k .*

PROOF: The groups $H_E^1(X', \Omega_{X'}^1)$, $H^{d-1}(X', \Omega_{X'}^{d-1})$ are finite dimensional and dual to each other as the reasoning in the proof of prop. (2.2) in [18] shows. Let $\pi^{an}: (X'^{an}, E^{an}) \rightarrow (X^{an}, 0)$ be the corresponding analytic map. Then $H^{d-1}(X', \Omega_{X'}^{d-1}) \cong H^{d-1}(E^{an}, \Omega_{X'^{an}}^{d-1})$, since $(X'_{(n)})$ indicates the n th infinitesimal neighbourhood of E in X'

$$H^{d-1}(X'_{(n)}, \Omega_{X'_{(n)}}^{d-1}) \cong H^{d-1}(E^{an}, \Omega_{X'^{an}}^{d-1})$$

by the GAGA-theorems and since in the algebraic as well as in the analytic situation the comparison theorem holds. By Oshawa [26]

$$H^{2d-2}(E^{an}, \mathbb{C}) \cong \coprod_{p+q=2d-2} H^{pq}$$

where $H^{pq} = H^q(E^{an}, \Omega_{X^{an}}^p)$ and $H^{pq} = \overline{H^{q,p}}$. Since E^{an} is real $(2d-2)$ -dimensional with components $E_1, \dots, E_k$ the group $H^{2d-2}(E^{an}, \mathbb{C})$ is a k -dimensional $\mathbb{C}$ -vectorspace. Since $\overline{H}^{d,d-2} \cong H^{d-2,d} \cong H^d(E^{an}, \Omega_{X^{an}}^{d-2}) = 0$ we get $H^{d-1,d-1} \cong H^{2d-2}(E^{an}, \mathbb{C}) \cong \mathbb{C}^k$ as desired.

LEMMA 1.4: *Situation as in (1.3). Assume moreover that $H^1(X', \mathcal{O}_{X'}) = 0$. Then the canonical map induced by the logarithmic derivation*

$$\text{Pic}(X') \otimes_{\mathbb{Z}} \mathbb{C} \rightarrow H^1(X', \Omega_{X'}^1)$$

is injective.

PROOF: From $H^1(X', \mathcal{O}_{X'}) = 0$ we get that $\text{Pic}(X') \rightarrow \text{Pic}(E)/\text{Pic}^0(E)$ is injective, see e.g. the arguments in [3], Appendix or in [24]. From this fact together with (1.2) the assertion easily follows.

We will now prove (1.1): As remarked above we may assume $k = \mathbb{C}$. By Artin [1] A is the completion of a convergent analytic $\mathbb{C}$ -algebra and by Bingener [2] the divisor class group of a normal analytic algebra with isolated singularity does not change under completion. Hence we may as well assume that A is a convergent analytic $\mathbb{C}$ -algebra. With the notation of (1.3) we consider the following diagram with exact rows:

$$\begin{array}{ccccccc} 0 & \rightarrow & H_E^1(X', \mathcal{O}_{X'}^x) \otimes_{\mathbb{Z}} \mathbb{C} & \rightarrow & \text{Pic}(X') \otimes_{\mathbb{Z}} \mathbb{C} & \rightarrow & \text{Pic}(U) \otimes_{\mathbb{Z}} \mathbb{C} \rightarrow 0 \\ & & \downarrow \alpha & & \downarrow \beta & & \downarrow \gamma \\ \dots & & H_E^1(\Omega_{X'}^1) & & \rightarrow & H^1(X', \Omega_{X'}^1) & \rightarrow H^1(U, \Omega_U^1) \rightarrow \dots \end{array}$$

Here α, β, γ are induced by the logarithmic derivation, and $H_E^1(X', \mathcal{O}_{X'}^x)$ is easily seen to be the free subgroup of $\text{Pic}(X')$ generated by $E_1, \dots, E_k$. By (1.4) β is injective, hence α is injective, and since by (1.3) $H_E^1(\Omega_{X'}^1)$ is of rank k the map α is even bijective. Hence we obtain by a simple diagram chasing that γ is injective as desired.

REMARK 1.5: For a normal isolated singularity of dimension $d \geq 3$ $\text{Cl}(A)$ has a natural structure of a Lie-group, see [4], [5]. More generally as in (1.1) the proof given above shows that

$$\text{Cl}(A)/\text{Cl}^r(A) \rightarrow H^1(U, \Omega_U^1)$$

is injective (without the assumption $\text{depth } A \geq 3$).

COROLLARY 1.6: *Let $A = k[[X]]_n/\alpha$ be a Cohen-Macaulay ring of dimension d such that A is regular in codimension ≤ 2 (i.e. A satisfies R_2). Set $X = \text{Spec } A$, $U = \text{Reg } X$ and let $\xi: \text{Cl}(A) \rightarrow H^1(U, \Omega_U^1)$ be the mapping induced by the logarithmic derivation. Then $\text{Ker } \xi$ is a torsion group.*

PROOF: If $d = 3$ then (1.6) is contained in (1.1). If $d > 3$ let $t \in A$ be a nonzero divisor such that $B = A/tA$ has property R_2 too; set $V := V(t) \cap U \subseteq U$. In the diagram

$$\begin{array}{ccc} \text{Pic}(U) & \xrightarrow{\xi} & H^1(U, \Omega_U^1) \\ \rho \downarrow & & \downarrow \\ \text{Pic}(V) & \xrightarrow{\xi} & H^1(V, \Omega_V^1) \end{array}$$

the restriction map ρ is injective by [23] or [15], Exp. XI. Now the result follows by induction on d .

REMARK 1.7: If $A = \coprod_{i \geq 0} A_i$ is quasihomogeneous, $A_0 = \mathbb{C}$, then the results above can be shown under much weaker assumptions: Set $X := \text{Spec}(A)$, $U := X \setminus \{m_A\}$, m_A denoting the maximal homogeneous ideal. By $\text{Pic}_h(U)$ we denote the subgroup of $\text{Pic}(U)$ generated by those invertible $\mathcal{O}_U$ -modules $\mathcal{L}$ such that $\Gamma(U, \mathcal{L})$ has a grading. Then

$$\text{Pic}_h(U)/\text{Pic}_h^\tau(U) \xrightarrow{\xi} H^1(U, \Omega_U^1)$$

is injective, if $\text{depth } A \geq 3$. Here we do not assume that A has isolated singularity or even that A is reduced. If A is in addition normal then the same holds also for the completion of A since in this case $\text{Pic}_h(U) = \text{Pic}(U) = \text{Pic}(\hat{U})$ by [9], (1.5) and its proof, where $\hat{U} := \text{Spec}(\hat{A}) \setminus \{m_{\hat{A}}\}$. We shortly sketch the proof in the homogeneous case: $H^1(U, \Omega_U^1)$ has a natural grading and $\xi(\text{Pic}_h(U))$ is easily seen to be contained in $H^1(U, \Omega_U^1)_0$. If $Y = \text{Proj}(A)$ the natural mapping $\text{Pic}(Y)/\mathbb{Z} \cdot [\mathcal{O}_Y(1)] \rightarrow \text{Pic}_h(U)$ given by $\mathcal{L} \mapsto \coprod_{i \geq 0} H^0(Y, \mathcal{L}(i))$ is bijective. In the diagram

$$\begin{array}{ccc} \text{Pic}(Y) \otimes_{\mathbb{Z}} \mathbb{C} & \rightarrow & \text{Pic}_h(U) \otimes_{\mathbb{Z}} \mathbb{C} \\ \beta \downarrow & & \downarrow \xi \\ \mathbb{C} = H^0(Y, \mathcal{O}_Y) \xrightarrow{\alpha} H^1(Y, \Omega_Y^1) & \rightarrow & H^1(U, \Omega_U^1)_0 \end{array}$$

where the last exact sequence is induced by the Euler-sequence, $\alpha(\mathbb{C}) = \mathbb{C} \cdot \beta([\mathcal{O}_Y(1)])$. Since β is injective by (1.2) this implies the injectivity of ξ . We remark that these arguments can be carried over to the quasihomogeneous case.

homogeneous case. One may ask if ξ is also injective under these weaker assumptions if A is not quasihomogeneous.

§2. Applications

Let k be always a field of characteristic 0. In the following we will formulate our results for complete local k -algebras $A = k[[X]]_n/\mathfrak{a}$. We remark that they are also valid in the corresponding analytic or algebraic situation.

THEOREM 2.1: *Let $A = k[[X]]_n/\mathfrak{a}$ be an isolated Gorenstein singularity of dimension $d \geq 3$ satisfying $T_A^{d-2}(A) = 0$. Then A is almost factorial.*

PROOF: It is well known and follows easily from the spectral sequence

$$E_2^{pq} = \text{Ext}_A^p(T_p^A(A), A) \Rightarrow T_A^{p+q}(A),$$

that $T_A^{d-2}(A) = \text{Ext}_A^{d-2}(\Omega_A^1, A)$ in this case. By Grothendieck-duality $\text{Ext}_A^{d-2}(\Omega_A^1, A)$ is dual to $H_m^2(\Omega_A^1) \cong H^1(U, \Omega_U^1)$, where $U = \text{Spec}(A) \setminus \{m_A\}$ as usual. By (1.1) our result follows.

In particular (2.1) implies, that a 3-dimensional rigid isolated Gorenstein singularity is almost factorial. We remark that the condition $T_A^{d-2}(A) = 0$ in (2.1) is necessary: If A is the completion of the local ring at the vertex of the affine cone over $\mathbb{P}^1 \times \mathbb{P}^1 \times \mathbb{P}^1$ with respect to $\mathcal{O}_{\mathbb{P}^1}(2) \otimes \mathcal{O}_{\mathbb{P}^1}(2) \otimes \mathcal{O}_{\mathbb{P}^1}(2)$, then A is an isolated Gorenstein singularity, which is even rigid, but $\text{Cl}(A) \cong \mathbb{Z}^2 \oplus \mathbb{Z}/(2)$.

From (2.1) it is easily to deduce a similar result for non isolated singularities.

COROLLARY 2.2: *Let $A = k[[X]]_n/\mathfrak{a}$ be a d -dimensional Gorenstein singularity which is regular in codimension $\leq k$, where $3 \leq k < d$. Suppose $T_A^{d-2}(A) = \dots = T_A^{k-1}(A) = 0$. Then A is almost factorial.*

PROOF: In the case $d = k + 1$ this is just (2.1). In the case $d > k + 1$ choose $t \in A$ such that $B = A/tA$ is also regular in codimension $\leq k$. By the Lefschetz theorem of [23] or [15], Exp. XI, $\text{Cl}(A) \rightarrow \text{Cl}(B)$ is injective. From the exact cohomology sequence of tangent functors

$$\dots \rightarrow T_A^i(A) \xrightarrow{t} T_A^i(A) \rightarrow T_A^i(B) \rightarrow T_A^{i+1}(A) \rightarrow \dots$$

$$\dots \rightarrow T_{A/B}^i(B) \rightarrow T_B^i(B) \rightarrow T_A^i(B) \rightarrow T_{A/B}^{i+1}(B) \rightarrow \dots$$

and the vanishing of $T_{A/B}^i(B)$, $i \geq 2$, we obtain $T_B^{d-3}(B) = \dots = T_B^{k-1}(B) = 0$. Now the assertion follows by induction on d .

In the quasihomogeneous case (2.2) has been shown by Buchweitz (unpublished). By [6], [20], the assumptions on the vanishing of the tangent functors are satisfied, if A is linked to a complete intersection. For other results in this direction see also [21], [28].

In the case $d = 3$ we now show a refined version of (2.1), which is an analogue of the infinitesimal M. Noether theorem in [7]. Let $A = k[[X]]_n/\alpha$ be an isolated Gorenstein singularity of dimension 3 and $U := \text{Spec}(A) \setminus \{m_A\}$. Suppose L is a reflexive A -module of rank 1 and denote by $\mathcal{L}$ the associated invertible sheaf on U . If $k[\epsilon] \rightarrow A'(\epsilon^2 = 0)$ is a first order deformation of A , we set $U' = \text{Spec}(A') \setminus \{m_{A'}\}$.

THEOREM 2.3: *Suppose that for each first order deformation $k[\epsilon] \rightarrow A'$ of A $\mathcal{L}$ can be extended to a locally free sheaf $\mathcal{L}'$ on U' . Then L is a torsion element in $\text{Cl}(A)$.*

PROOF: Let $\xi_L \in H^1(U, \Omega_U^1)$ be the class associated to L under the map $\text{Cl}(A) \rightarrow H^1(U, \Omega_U^1)$. It is well known that the group $\text{Ext}_A^1(\Omega_A^1, A)$ describes the first order deformations of A . Denote by $[A']$ the cohomology class in $\text{Ext}_A^1(\Omega_A^1, A)$ associated to A' . Then it is not difficult to see that in the canonical pairing

$$\text{Ext}_A^1(\Omega_A^1, A) \times H^1(U, \Omega_U^1) \xrightarrow{\langle \cdot, \cdot \rangle} H^2(U, \mathcal{O}_U)$$

$\langle [A'], \xi_L \rangle$ is just the obstruction for extending $\mathcal{L}$ to a $\mathcal{L}'$. But by Grothendieck duality this pairing is nondegenerated, and so by our assumption $\xi_L = 0$, which implies by (1.1) that L is a torsion element in $\text{Cl}(A)$.

For the case of complete intersections it is possible to strengthen (2.3):

PROPOSITION 2.4: *Let A be as in (2.3) and suppose moreover that A is a complete intersection. Then $A' = k[[X]]_n/\alpha^2$ is parafactorial.*

PROOF: Let $\mathcal{L}'$ be a locally free module on $U' := \text{Spec}(A') \setminus \{m_{A'}\}$. If $A = k[[X]]_n/(f_1, \dots, f_{n-3})$, denote by A_i the first order deformation

$$A_i := k[[X]]_n/(f_1, \dots, f_{i-1}, f_i^2, f_{i+1}, \dots, f_{n-3}), \quad \epsilon \mapsto \bar{f}_i,$$

of A and $U_i := \text{Spec}(A_i) \setminus \{m_{A_i}\}$. Moreover let $\mathcal{L}$ resp. $\mathcal{L}_i$ be the sheaf on U resp. U_i induced by $\mathcal{L}'$. By assumption $\mathcal{L}$ can be extended to the locally free sheaf $\mathcal{L}_i$ on U_i , hence with the notations in the proof of the last result

$$\langle [A_i], \xi_{\mathcal{L}} \rangle = 0, \quad i = 1, \dots, n-3.$$

But the $[A_i]$ generate $\text{Ext}_A^1(\Omega_A^1, A)$ as an A -module and so $\xi_{\mathcal{L}} = 0$, and $\mathcal{L} \in \text{Pic}(U)$ is a torsion element. Since $\text{Pic}(U) = \text{Cl}(A)$ is known to have no torsion, see [4] (3.2), we get $\mathcal{L} \cong \mathcal{O}_U$ and hence $\mathcal{L}' \cong \mathcal{O}_{U'}$ as desired.

We will now apply these results to normal bundles of Gorenstein singularities.

THEOREM 2.5: *Let $A = k[[X]]_n/\mathfrak{a}$ be a d -dimensional isolated Gorenstein singularity, $d \geq 3$, $W := \text{Spec}(k[[X]]_n) \setminus \{m\}$, $X := \text{Spec}(A)$, $U := X \setminus \{m_A\}$, $Y \subseteq X$ a divisor and $V := Y \setminus \{m_A\}$. If the sequence of normal bundles*

$$0 \rightarrow \mathcal{N}_{V/U} \rightarrow \mathcal{N}_{V/W} \rightarrow \mathcal{N}_{U/W} \otimes \mathcal{O}_V \rightarrow 0$$

splits on V then Y represents a torsion element in $\text{Cl}(A)$, i.e. Y is given set-theoretically by one equation.

PROOF: First we will assume $d = 3$. Let R denote the ring $k[[X]]_n$ and $\tilde{B} := H^0(V, \mathcal{O}_V)$, which by Grothendieck's finiteness theorem is finite over $B := H^0(Y, \mathcal{O}_Y)$. Then $H^0(V, \mathcal{N}_{V/U}) = T_{A/B}^1(\tilde{B})$, $H^0(V, \mathcal{N}_{V/W}) = T_{R/B}^1(\tilde{B})$, $H^0(V, \mathcal{N}_{U/W} \otimes \mathcal{O}_V) = T_{R/A}^1(\tilde{B})$, and our assumption implies that

$$0 \rightarrow T_{A/B}^1(\tilde{B}) \rightarrow T_{R/B}^1(\tilde{B}) \xrightarrow{\gamma} T_{R/A}^1(\tilde{B}) \rightarrow 0$$

is exact. In particular in the diagram

$$\begin{array}{ccc} T_{R/B}^1(\tilde{B}) & \xrightarrow{\gamma} & T_{R/A}^1(\tilde{B}) \\ \downarrow \alpha & & \downarrow \beta \\ T_B^1(\tilde{B}) & \xrightarrow{\delta} & T_A^1(\tilde{B}) \end{array}$$

γ is onto, and since R is regular, α, β are surjective too, from which we obtain the surjectivity of δ . Consider the diagram

$$\begin{array}{ccc} & T_A^1(A) & \\ & \downarrow & \\ T_B^1(\tilde{B}) & \xrightarrow{\delta} & T_A^1(\tilde{B}) \end{array}$$

That δ is surjective means: If $k[\epsilon] \rightarrow A'$ is a first order deformation of A then there exists an extension $[B'] \in T_B^1(\tilde{B})$ and a commutative diagram

$$\begin{array}{ccccccc} 0 & \rightarrow & A & \rightarrow & A' & \rightarrow & A \rightarrow 0 \\ & & \downarrow & & \downarrow & & \downarrow \\ 0 & \rightarrow & \tilde{B} & \rightarrow & B' & \rightarrow & B \rightarrow 0 \end{array}$$

see [8], §1. In particular $V \subseteq U$ can be extended to a first order deformation $V' := \text{Spec}(B') \setminus \{m_{B'}\} \subseteq U' := \text{Spec}(A') \setminus \{m_{A'}\}$ or, equivalently, $\mathcal{L} = \mathcal{O}_U(V)$ can be extended to a locally free sheaf $\mathcal{L}' = \mathcal{O}_{U'}(V')$. By (2.3) $\mathcal{L}$ is a torsion element in $\text{Cl}(A)$ and so Y can be described set-theoretically by one equation.

Now suppose $d > 3$. Let $t \in R$ be a generic linear combination of $X_1, \dots, X_n$ with coefficients in k . Set $\bar{W} := V(t) \subseteq W$, $\bar{U} := V(t) \cap U$, $\bar{V} := V(t) \cap V$. Then $\bar{W}$, $\bar{U}$ are smooth, and $\bar{A} := A/tA$ is an isolated Gorenstein singularity of dimension $d - 1$. Once more by [15,23] $\text{Cl}(A) \rightarrow \text{Cl}(\bar{A})$ is injective, and moreover the normal bundle sequence

$$0 \rightarrow \mathcal{N}_{\bar{V}/\bar{U}} \rightarrow \mathcal{N}_{\bar{V}/\bar{W}} \rightarrow \mathcal{N}_{\bar{U}/\bar{W}} \otimes \mathcal{O}_{\bar{V}} \rightarrow 0$$

splits, since it is the restriction of our original normal bundle sequence to $\bar{V}$. Now the assertion follows by induction on d .

Applying this result to the cone over a projective variety we immediately obtain a generalization of the results [11] Chap. IV, (f), and [16] mentioned in the introduction.

COROLLARY 2.6: *Suppose $X \subseteq \mathbb{P}^n = \mathbb{P}_k^n$ is an arithmetically Cohen-Macaulay submanifold of dimension $d \geq 2$ such that $\omega_X = \mathcal{O}_X(\ell)$ for some ℓ . If $Y \subseteq X$ is a 1-codimensional Cartier-divisor and if the sequence of normal bundles*

$$0 \rightarrow \mathcal{N}_{Y/X} \rightarrow \mathcal{N}_{Y/\mathbb{P}^n} \rightarrow \mathcal{N}_{X/\mathbb{P}^n} \otimes \mathcal{O}_Y \rightarrow 0$$

splits, then there is a hypersurface $H \subseteq \mathbb{P}^n$ such that $Y = H \cap X$ set-theoretically.

REMARKS: (1) In the case $d = 3$ in (2.5) it is obviously sufficient to require that $H^0(V, \mathcal{N}_{V/W}) \rightarrow H^0(V, \mathcal{N}_{U/W} \otimes \mathcal{O}_V)$ is surjective. Similarly in (2.6) it suffices that $H^0(\mathcal{N}_{Y/\mathbb{P}^n}(\ell)) \rightarrow H^0(\mathcal{N}_{X/\mathbb{P}^n} \otimes \mathcal{O}_Y(\ell))$ is surjective if $d = 2$.

(2) If in (2.5) resp. (2.6) $\text{Cl}(A)$ resp. $\text{Pic}(X)$ has no torsion then Y is even scheme-theoretically given by one equation. This is e.g. satisfied if A resp. X is a complete intersection, see [4], (3.2).

(3) I do not know whether these results continue to be true without the assumption $\text{char}(A) = 0$. At least the proofs given here do not apply since we have heavily used the Hodge-decomposition theorem of Oshawa.

(4) If the problem mentioned at the end of section 1 would be true, then (2.3) would be valid in the case of any 3-dimensional Gorenstein singularity (not necessarily isolated) if $\mathcal{L}$ is assumed to be locally free. In order to show this let $\text{Ext}_A^1(\Omega_A^1, A) \xrightarrow{\alpha} T_A^1(A)$ be the map induced by

the canonical projection $L_A^\bullet \rightarrow \Omega_A^1$, where $L_A^\bullet$ is the cotangent complex of A . Then in the diagram

$$\begin{array}{ccc} \mathrm{Ext}_A^1(\Omega_A^1, A) \times H^1(U, \Omega_U^1) & \searrow \langle \cdot, \cdot \rangle & \\ \downarrow \alpha & \uparrow \xi & H^2(U, \Omega_U^1) \\ T_A^1(A) \times \mathrm{Pic}(U) & \nearrow \{ \cdot, \cdot \} & \end{array}$$

is commutative in the sense, that $\{\alpha(x), [\mathcal{L}]\} = \langle x, \xi_{\mathcal{L}} \rangle$. Here $\{[A'], \mathcal{L}\}$ denotes the obstruction of extending $\mathcal{L}$ to A' . Now the proof of (2.3) applies. In a similar way, then it would be possible to generalize (2.4), (2.5). In (2.5) we could replace the condition “isolated singularity” by “ U is locally a complete intersection in W ”. By the last remark in section 1 this is at least true for quasihomogeneous singularities, and so we obtain:

COROLLARY 2.7: (2.6) *remains true if the condition “submanifold” is replaced by “locally a complete intersection”.*

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Note added in proof: By using different arguments, G. Ellingsrud, L. Grusiu, C. Peskine, S.A. Strømme: On the normal bundle of curves on smooth projective surfaces. *Inv. math.* 80 (1985) 181–184, could also give a generalization of the theorem of Griffiths and Harris on the splitting of normal bundles.