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## THE ZEROS OF CERTAIN POINCARÉ SERIES

R.A. Rankin

### 1. Introduction

K. Wohlfahrt [6] showed in 1964 that the only zeros of the Eisenstein series  $E_k$  for the modular group lie on transforms of the unit circle when  $4 \leq k \leq 26$ , and conjectured that this holds for all  $k \geq 4$ . The range of  $k$  was extended to  $k \leq 34$  and  $k = 38$  in [4], but in [2] F.K.C. Rankin and H.P.F. Swinnerton-Dyer proved Wohlfahrt's conjecture for all  $k$  by a simple argument. The purpose of the present paper is to show that similar properties hold for a wide class of meromorphic modular forms belonging to the modular group. In particular, it is shown that, if  $G_k(z, m)$  ( $m \in \mathbb{Z}$ ) is the  $m$ th Poincaré series of weight  $k$ , then for  $m \leq 1$  all its finite zeros in the standard fundamental region lie on the lower arc  $A$ , while for  $m > 1$  at most  $m - 1$  of these zeros do not lie on  $A$ ; for  $m = 0$  this reproduces the result of [2].

Throughout the paper I shall be concerned with meromorphic modular forms of even positive weight  $k \geq 4$  on the upper half-plane  $H = \{z: \text{Im } z > 0\}$  for the modular group

$$\Gamma(1) = \text{SL}(2, \mathbb{Z}).$$

The vector space of all such forms is denoted by  $M_k$ . Thus, if  $f \in M_k$ ,  $f$  has a Fourier series expansion of the form

$$f(z) = \sum_{n=-N}^{\infty} a_n e^{2\pi i n z}, \quad (1.1)$$

which is convergent when  $\text{Im } z$  is sufficiently large. The subspace of

$M_k$  consisting of forms  $f \in M_k$  that are holomorphic on  $\mathbb{H}$  is denoted by  $H_k$ ; for such forms the series (1.1) converges for all  $z \in \mathbb{H}$ . The subspace of  $H_k$  consisting of cusp forms, for which we can take  $N = -1$ , is denoted by  $C_k$ .

If  $f \in M_k$ , then  $f$  has at most a finite number of poles in any fundamental region. From the work of Petersson [1] it follows that  $f(z)$  can be represented as the sum of Poincaré series

$$f(z) = \frac{1}{2} \sum_{c,d} \frac{R(e^{2\pi iTz})}{(cz+d)^k} =: G_k(z; R). \quad (1.2)$$

Here

$$Tz = \frac{az+b}{cz+d},$$

where

$$T = \begin{bmatrix} a & b \\ c & d \end{bmatrix} \in \Gamma(1),$$

and the summation is over all pairs of coprime integers  $c, d$ ; for each such pair we choose a single  $T \in \Gamma(1)$  with  $[c, d]$  as bottom row. Here  $R(t)$  is a suitably chosen rational function of  $t$ . The series is absolutely and uniformly convergent on every compact subset of  $\mathbb{H}$  free of poles of  $f$ .

To illustrate this result we take two special cases. In the first place, take

$$R(t) = t^m \quad (m \in \mathbb{Z})$$

and put

$$G_k(z; R) = G_k(z, m) \quad (1.3)$$

in this case. For  $m > 0$ ,  $G_k(z, m) \in C_k$  and  $C_k$  is spanned by those  $G_k(z, m)$  for which

$$0 < m \leq \frac{k}{12};$$

see [5], Theorem 6.2.1. For  $m = 0$ ,  $G_k(z, 0)$  is an Eisenstein series,

being usually denoted by  $E_k(z)$ . For  $m < 0$ ,  $G_k(z, m) \in H_k$  and has a pole of order  $m$  at  $\infty$ .

As a second example take

$$R(t) = (t - q)^{-n} \quad (n \in \mathbb{N}), \quad (1.4)$$

where  $0 < |q| < 1$  and

$$q = e^{2\pi iw} \quad (w \in \mathbb{H}).$$

Then  $G_k(z; R)$  has poles of order  $n$  at those points of  $H$  congruent to  $w$  modulo  $\Gamma(1)$ .

By taking  $R$  to be an appropriate linear combination of the rational functions described in the previous two paragraphs we see that any function  $f \in M_k$  can be expressed in the form (1.2).

If  $f \in M_k$ , then  $f^K \in M_k$ , where

$$f^K(z) = \overline{f(-\bar{z})};$$

see §8.6 of [5]. Moreover,  $f^K = f$  if and only if  $f$  has real Fourier coefficients. Such a form we call a *real modular form* and denote by  $M_k^*$  the subset of  $M_k$  consisting of such forms; similarly for  $H_k^*$  and  $C_k^*$ . These are clearly vector spaces over the real field  $\mathbb{R}$ . Note that, if  $f \in M_k$ , then both

$$f + f^K \text{ and } i(f - f^K)$$

are in  $M_k^*$ , so that there is, in a sense, no loss of generality in confining attention to real modular forms.

If  $f \in M_k^*$  and if  $f$  has a zero or pole at a point  $z \in \mathbb{H}$ , then it has another of the same order at  $-\bar{z}$ . Further, if the rational function  $R$  has real coefficients, then clearly  $G_k(z; R) \in M_k^*$ ; we call such a function  $R$  a *real rational function*. When representing real modular forms as Poincaré series  $G_k(z; R)$  we shall restrict our attention to real rational functions  $R$ . Such a function has the property that

$$R(\bar{t}) = \overline{R(t)} \quad (1.5)$$

for all  $t \in \mathbb{C}$ .

An arbitrary modular form may have a zero at any point of  $\mathbb{H}$ . However, we shall show that there is a wide class of real forms that have all their zeros on transforms of the arc

$$S = \left\{ z = e^{i\theta} : \frac{\pi}{3} \leq \theta \leq \frac{2\pi}{3} \right\}$$

This is already known to be true for the Eisenstein series  $E_k$ ; see [2].

## 2. General results

We denote by  $F$  the standard fundamental region for  $\Gamma(1)$ . This is the subset of  $\mathbb{C}$  consisting of all points  $z \in \mathbb{H}$  for which either

$$|z| > 1, -\frac{1}{2} < \operatorname{Re} z < 0$$

or

$$|z| \geq 1, 0 \leq \operatorname{Re} z \leq \frac{1}{2},$$

and we regard  $\infty$  as belonging to  $F$ .  $F$  is bounded on its lower side by the arc  $S$ , but only half this arc, namely

$$A = \left\{ z = e^{i\theta} : \frac{\pi}{3} \leq \theta \leq \frac{\pi}{2} \right\} \quad (2.1)$$

is contained in  $F$ .

If  $f \in M_k$  and has  $N$  zeros and  $P$  poles in  $F$ , counted with appropriate multiplicities, then

$$N - P = \frac{k}{12}; \quad (2.2)$$

see [5], Theorem 4.1.4. Here zeros or poles at  $i$  are counted with weight  $\frac{1}{2}$ , while those at  $\rho = e^{\pi i/3}$  are counted with weight  $\frac{1}{3}$ .

Let

$$L_i = \{z \in \mathbb{H} : z = iy, y > 1\}, \quad (2.3)$$

and

$$L_\rho = \{z \in \mathbb{H} : z = \frac{1}{2} + iy, y > \frac{1}{2}\sqrt{3}\}, \quad (2.4)$$

so that  $L_i$ ,  $A$  and  $L_\rho$  form the boundary in  $\mathbb{H}$  of the right-hand half of  $F$ .

For  $k \geq 4$  we express  $k$  in the form

$$k = 12l + s, \quad (2.5)$$

where

$$l = \dim C_k \geq 0 \quad (2.6)$$

and

$$s = 4, 6, 8, 10, 0 \text{ or } 14. \quad (2.7)$$

If  $f$  is holomorphic at  $i$  and  $\rho$ , then, since

$$\frac{k}{12} = l + \frac{s}{12},$$

we see that we must have

$$\frac{s}{12} = \frac{1}{3}, \frac{1}{2}, \frac{2}{3}, \frac{1}{3} + \frac{1}{2}, 0 \text{ and } \frac{2}{3} + \frac{1}{2} \quad (2.8)$$

in the six cases, respectively. Accordingly the total weighted order of the zeros of  $f$  at  $i$  and  $\rho$  is at least  $s/12$  in each case.

Let  $G_k(z; R)$  be defined as in (1.2) and suppose that this function is holomorphic on the arc  $A$ . We wish to count the number of its zeros on  $A$ . For this purpose it is convenient to consider points on the larger arc  $S$  and put

$$F_k(\theta, R) = e^{ki\theta/2} G_k(e^{i\theta}, R), \quad (2.9)$$

where  $\theta \in [(\pi/3), (2\pi/3)] = I$ , say. If we pair the terms of the Poincaré series corresponding to  $c, d$  and  $d, c$ , and use (1.5), we see that  $F_k(\theta, R)$  is real for  $\theta \in I$ .

Further,

$$F_k(\theta; R) = 2 \operatorname{Re} g_k(\theta; R) + F_k^*(\theta; R), \quad (2.10)$$

where

$$g_k(\theta; R) = e^{(1/2)ki\theta} R(e^{2\pi i e^{i\theta}}) \quad (2.11)$$

and  $F_k^*(\theta; R)$  consists of those terms of the series defining  $F_k$  for which  $c^2 + d^2 \geq 2$ . Note that  $g_k(\theta; R)$  arises from the terms with  $c, d = \pm 1, 0$  and  $0, \pm 1$ .

As  $\theta$  increases from  $\pi/3$  to  $2\pi/3$  the point

$$t = e^{2\pi i e^{i\theta}} = e^{-2\pi \sin \theta + 2\pi i \cos \theta}$$

describes in a clockwise direction a curve  $\gamma$  beginning at

$$-r_0 = -e^{-\pi\sqrt{3}},$$

which encircles the origin, passing through the point

$$r_1 = e^{-2\pi}$$

and returning to  $-r_0$ . The curve  $\gamma$  is pear-shaped and symmetric about the real axis. It has a cusp at  $-r_0$ , the two tangents there making angles of  $\pm \pi/3$  with the positive real axis. The curve  $\gamma$  and its interior  $D_\gamma$  are entirely contained in the unit disc

$$D = \{t \in \mathbb{C} : |t| < 1\}.$$

Moreover there is a one-to-one correspondence between points  $t = e^{2\pi iz}$  in  $D_\gamma$  and points  $z$  of  $F$  for which  $|z| > 1$ .

We now assume that  $R$  has no zero or pole on  $\gamma$  and that it has  $N_\gamma$  zeros and  $P_\gamma$  poles in  $D_\gamma$ , counted with the appropriate multiplicities. Then the variation in the argument of  $e^{ik\theta/2}R(t)$  as  $t$  describes  $S$ , i.e. as  $\theta$  goes from  $\pi/3$  to  $2\pi/3$  is clearly

$$2\pi \left\{ P_\gamma - N_\gamma + \frac{k}{12} \right\},$$

by the Argument Principle.

Because of the symmetry of  $\gamma$  about the real axis, the variation in the argument of  $e^{ik\theta/2}R(t)$  as  $t$  describes  $A$ , i.e. as  $\theta$  goes from  $\pi/3$  to  $\pi/2$ , is half this amount, namely

$$\pi \left( P_\gamma - N_\gamma + \frac{k}{12} \right)$$

Now

$$g_k(\pi/3; R) = e^{ik\pi/6}R(-r_0)$$

and

$$g_k(\pi/2; R) = e^{ik\pi/4}R(r_1).$$

Thus we may take

$$\arg g_k(\pi/3; R) = \pi \left( n_0 + \frac{k}{6} \right), \arg g_k(\pi/2; R) = \pi \left( n_1 + \frac{k}{4} \right),$$

where  $n_0$  and  $n_1$  are integers and

$$n_1 - n_0 = P_\gamma - N_\gamma. \quad (2.12)$$

Now suppose that  $G_k(z; R)$  has  $N_R$  zeros and  $P_R$  poles in  $F$ , counted with appropriate multiplicities and weights. Then

$$N_R - P_R = \frac{k}{12} = l + \frac{s}{12}. \quad (2.13)$$

We are now ready to prove our main theorems. These apply to rational functions  $R$  with certain properties. We shall say that  $R$  has property  $P_k$  if (i)  $R$  is a real rational function, (ii) all the poles of  $R$  lie in  $D_\gamma$ ,  $R$  has no zeros on  $\gamma$ , (iii)  $l \geq N_\gamma - P_\gamma$ , and (iv)

$$|F_k^*(\theta; R)| < 2|R(e^{2\pi i \theta})| \quad (2.14)$$

for  $\theta \in I_0 = [\pi/3, \pi/2]$ . Note that (2.14) ensures that  $G_k(z; R)$  does not vanish identically.

**THEOREM 1:** *Suppose that  $R$  has property  $P_k$ . Then the Poincaré series  $G_k(z; R)$  has at least  $N_R - N_\gamma$  zeros at points of  $A$ .*

**PROOF:** Note that  $N_\gamma$  is an integer, but  $N_R$  need not be. Further, by our assumptions,  $P_R = P_\gamma$ . It can be checked in each of the six cases that the interval  $[n_0 + k/6, n_1 + k/4]$  contains exactly

$$n_1 - n_0 + k + 1$$

integers  $N$ . Note that  $n_1 - n_0 + l \geq 0$  by (2.12) and condition (iii).

At the corresponding points  $N\pi$ ,  $g_k(\theta; R)$  takes alternately the values  $\pm |g_k(\theta; R)|$ , so that it follows by continuity from (2.10; 14) that  $F_k^*(\theta; R)$  vanishes at least once in each of the  $n_1 - n_0 + k$  subintervals between these points. Hence  $G_k(z; R)$  has at least

$$n_1 - n_0 + k = P_\gamma - N_\gamma + l$$

zeros at interior points of  $A$  and therefore by (2.13), at least



$$P_\gamma - N_\gamma + l + \frac{s}{12} = N_R - N_\gamma$$

zeros on  $A$ .

As an immediate corollary we have

**THEOREM 2.** *Suppose that  $R$  has property  $P_k$  and that it does not vanish in  $D_\gamma$ . Then all the zeros of  $G_k(z; R)$  in  $F$  lie on  $A$ . They are all simple zeros except that, when  $k \equiv 2 \pmod{6}$ , there are of necessity double zeros at  $\rho = e^{\pi i/3}$ .*

**PROOF:** For  $N_\gamma = 0$  and we see that in (2.8),  $\frac{2}{3}$  occurs only for  $k \equiv 2 \pmod{6}$ .

**THEOREM 3:** *Suppose that  $R$  has property  $P_k$  and that it has exactly one zero in  $D_\gamma$ , which is at the origin and is simple. Suppose also that  $R$  is bounded on  $D - D_\gamma$ . Then  $G_k(z; R)$  has a simple zero at  $\infty$ . All its other zeros in  $F$  lie on  $A$  and are simple except that, when  $k \equiv 2 \pmod{6}$ , there are double zeros at  $\rho$ .*

For it is easy to see that  $G_k(z; R)$  has a zero at  $\infty$  whenever  $R(0) = 0$ .

### 3. Applications

Before the theorems of the previous section can be applied, it is necessary to put condition (2.14) of property  $P_k$  into a more usable form. For our present purposes fairly crude estimates suffice, although we shall require more refined approximations in §4.

For  $c^2 + d^2 \geq 2$  and  $z = e^{i\theta} \in A$ ,

$$\text{Im } Tz = \frac{\sin \theta}{c^2 + d^2 + 2cd \cos \theta} = \psi_T(\theta), \tag{3.1}$$

say. Now it is easily checked, since  $|cd| \geq 1$ , that

$$c^2 + d^2 + 2cd \cos \theta \geq \frac{2}{\sqrt{3}} \sin \theta \quad (\pi/3 \leq \theta \leq \pi/2) \tag{3.2}$$

and hence

$$\psi_T(\theta) \leq \frac{\sqrt{3}}{2}. \tag{3.3}$$

Accordingly,

$$|e^{2\pi i Tz}| \geq e^{-\pi\sqrt{3}} = r_0 \quad (c^2 + d^2 \geq 2).$$

Define

$$M_R = \sup\{|R(t)|: r_0 \leq |t| \leq 1\}.$$

Note that  $M$  is finite by condition (ii) of  $P_k$  since, at any pole  $t$  of  $R$ ,  $|t| < r_0$ .

Accordingly we have

$$|F_k^*(\theta; R)| \leq M_R \sum |c e^{i\theta} + d|^{-k}, \quad (3.4)$$

where, in the summation we take

$$c > 0, c^2 + d^2 \geq 2, (c, d) = 1. \quad (3.5)$$

Now

$$(c^2 + d^2 + 2cd \cos \theta)^{-k/2} + (c^2 + d^2 - 2cd \cos \theta)^{-k/2}$$

has, for  $\theta \in I_0$ , a maximum value when  $\theta = \pi/3$  of

$$(c^2 + cd + d^2)^{-k/2} + (c^2 - cd + d^2)^{-k/2}$$

and accordingly

$$|F_k^*(\theta; R)| \leq M_R \sum (c^2 + cd + d^2)^{-k/2}, \quad (3.6)$$

subject to the same conditions (3.5). The series on the right is, apart from the omission of the terms with  $c^2 + d^2 = 1$ , a well-known Epstein zeta-function and we therefore have

$$|F_k^*(\theta; R)| \leq 2M_R \alpha_k, \quad (3.7)$$

where

$$\alpha_k = \frac{3Z_3(k/2)\zeta(k/2)}{2\zeta(k)} - 1. \quad (3.8)$$

Here  $\zeta$  is the Riemann zeta-function and, for  $s > 1$ ,  $Z_3(s)$  is the Dirichlet  $L$ -series

$$Z_3(s) = 1 - 2^{-s} + 4^{-s} - 5^{-s} + 7^{-s} - 8^{-s} + \dots$$

$\alpha_k$  is a decreasing function of  $k$ . We have

$$\alpha_4 \leq 0.795, \alpha_6 \leq 0.568, \alpha_8 \leq 0.520, \alpha_{10} \leq 0.507, \alpha_{12} \leq 0.503,$$

while

$$\alpha_{24} \leq 0.500003$$

and for large  $k$

$$\alpha_k = \frac{1}{2} + \frac{1}{2}3^{1-k/2} + O(7^{-k/2}).$$

Accordingly, condition (2.14) will be satisfied if

$$M_R \alpha_k < |R(e^{2\pi i e^{\theta}})| \quad (\theta \in I_0). \quad (3.9)$$

We now make a number of applications of these results.

CASE 1: Take

$$R(t) = t^{-m}, \text{ where } m \in \mathbb{Z}, m \geq 0,$$

so that  $M_R = e^{\pi m \sqrt{3}}$ , while

$$|R(e^{2\pi i e^{\theta}})| = e^{2\pi m \sin \theta} \geq e^{\pi m \sqrt{3}},$$

so that (3.9) is satisfied because  $\alpha_k < 1$ .

Since  $P_\gamma = m$  and  $N_\gamma = 0$  it is clear that property  $P_k$  holds. We deduce that the Poincaré series  $G_k(z, m)$  has all its zeros in  $F$  on  $A$  and that they are all simple except as specified in Theorem 2. This includes the case  $m = 0$  considered in [2].

CASE 2: Let

$$R(t) = \frac{g_n(t)}{f_m(t)},$$

where  $f_m$  and  $g_n$  are real polynomials with leading coefficients 1 and

of degrees  $m$  and  $n$ , respectively, where  $m \geq n$ . They therefore possess a total of  $m + n$  non-leading coefficients all of which are real. We assume that the zeros of  $f_m$  and  $g_n$  lie in  $D_\gamma$ . Property  $P_k$  then holds.

We deduce from Theorem 1 that, provided that

$$\inf\{|R(t)|: t \in \gamma\} > \alpha_k \sup\{|R(t)|: r_0 \leq |t| \leq 1\}, \tag{3.10}$$

the Poincaré series  $G_k(z; R)$  has at least  $N_R - N_\gamma$  zeros on  $A$ . Now (3.10) is satisfied when  $f_m(t) = t^m, g_n(t) = t^n$  by Case 1. Because of continuity and the compactness of the sets involved, there exists a neighbourhood  $U$  of the origin in  $\mathbb{R}^{m+n}$  such that, if the non-leading coefficients of  $f_m$  and  $g_n$  lie in  $U$ , then  $G_k(z; R)$  has at least  $N_R - N_\gamma$  zeros on  $A$ .

In particular, if  $n = 1, g_n(t) = t$  and  $m \geq 1$ , it follows from Theorem 3 that, on some neighbourhood  $V$  of the origin in  $\mathbb{R}^m$  containing the non-leading coefficients of  $f_m, G_k(z; R)$  has the properties stated in that theorem, provided that  $f_n(0) \neq 0$ .

CASE 3: We examine in greater detail the special case when

$$R(t) = (t - q)^{-m},$$

where  $m \in \mathbb{N}$  and  $q \in \mathbb{R} \cap D_\gamma$ . Accordingly

$$-r_0 < q < r_1.$$

Note that

$$r_0 = 4.3334 \times 10^{-3}, r_1 = 1.8674 \times 10^{-3}.$$

Then, for  $t \in \gamma$ ,

$$|t - q| \leq \max\{q + r_0, r_1 - q\} = r_3 + |q + r_2|,$$

where

$$r_2 = \frac{1}{2}(r_0 - r_1), r_3 = \frac{1}{2}(r_0 + r_1).$$

Also, for  $|t| \geq r_0$

$$|t - q| \geq r_0 - |q|.$$

Accordingly (3.10) is satisfied whenever

$$\frac{r_0 - |q|}{r_3 + |q + r_2|} > \beta(k, m) = \alpha_k^{1/m}, \quad (3.11)$$

and we have

$$\frac{1}{2} < \beta = \beta(k, m) < 1.$$

Condition (3.11) is easily seen to be equivalent to

$$-\frac{r_0 - \beta r_1}{1 + \beta} < q < \frac{1 - \beta}{1 + \beta} r_0.$$

Thus, when  $q$  lies in this interval, all the zeros of  $G_k(z; R)$  lie on  $A$ , for all  $k \geq 4$ .

#### 4. Application to cusp forms

In what follows we take

$$R(t) = t^m \quad (m \in \mathbb{N})$$

so that, by (1.3),

$$G_k(z; R) = G_k(z, m).$$

We assume that

$$k = 24 \text{ or } k \geq 28. \quad (4.1)$$

For  $G_k(z, m)$  vanishes identically for  $k = 4, 6, 8, 10, 14$ , while, for  $k = 12, 16, 18, 20, 22, 26$ , the location of its zeros is known, since

$$G_k(z, m) = B_{k,m} \Delta(z) E_{k-12}(z),$$

where  $E_{k-12}$  is an Eisenstein series ( $E_0 = 1$ ) and  $B_{k,m}$  is a constant. It is known that the functions  $G_k(z, m)$  ( $0 < m \leq l$ ) span  $C_k$  and therefore do not vanish identically.

It is necessary to assume in what follows that

$$0 < m \leq l - 1. \quad (4.2)$$

By (2.12) and (4.2),

$$n_1 - n_0 + l = l - m \geq 1,$$

so that the interval  $[n_0 + k/6, n_1 + k/4]$  contains  $l - m + 1 \geq 2$  integers and condition (iii) of property  $P_k$  holds.

To obtain the results we wish to prove we must examine the function  $F_k^*(\theta; R)$  in greater detail than previously. We consider first the terms with

$$\pm (c, d) = (-1, 1) \text{ and } (1, 1).$$

These give contributions

$$\frac{(-1)^{m+(1/2)k} e^{-\pi m \cot (1/2)\theta}}{(2 \sin \frac{1}{2}\theta)^k} \text{ and } \frac{(-1)^m e^{-\pi m \tan (1/2)\theta}}{(2 \cos \frac{1}{2}\theta)^k}.$$

Write

$$g_1(\theta) = 2 \sin \theta - \cot \frac{1}{2}\theta, \quad g_2(\theta) = 2 \sin \theta - \tan \frac{1}{2}\theta$$

and put

$$G_1(\theta) = \frac{\exp\{\pi m g_1(\theta)\}}{(2 \sin \frac{1}{2}\theta)^k}, \quad G_2(\theta) = \frac{\exp\{\pi m g_2(\theta)\}}{(2 \cos \frac{1}{2}\theta)^k}$$

for  $\pi/3 \leq \theta \leq \pi/2$ . Then

$$2G_1'(\theta) \sin^2 \frac{1}{2}\theta = G_1(\theta)[\pi m\{1 + 2 \cos \theta(1 - \cos \theta)\} - \frac{1}{2}k \sin \theta].$$

The expression in square brackets decreases as  $\theta$  increases taking its maximum value of  $\frac{3}{4}(2\pi m - k\sqrt{3})$  at  $\theta = \frac{1}{3}\pi$ . This value is negative, so that  $G_1'(\theta) \leq 0$  and therefore

$$G_1(\theta) \leq G_1(\frac{1}{3}\pi) = 1 \quad (\theta \in I_0). \tag{4.3}$$

Also

$$G_2'(\theta) \cos^2 \frac{1}{2}\theta = G_2(\theta)[\pi m\{\cos \theta(1 + \cos \theta) - \frac{1}{2}\} + \frac{1}{4}k \sin \theta],$$

which is positive since  $k \geq 12m$ . Hence

$$G_2(\theta) \leq G_2(\frac{1}{2}\pi) = \frac{e^{\pi m}}{2^{k/2}}. \tag{4.4}$$

We have  $c^2 + d^2 \geq 5$  for the remaining values of  $c, d$  summed over in  $F_k(\theta; R)$ , and  $\psi_T(\theta) \geq 0$ ; see (3.1). Hence, as in §3, an upper bound for the remaining terms is given by

$$\begin{aligned} \delta_k &= \frac{1}{2} \sum_{c^2+d^2>5} (c^2 + d^2 + cd)^{-k/2} \\ &= 3 \left\{ \frac{Z_3(\frac{1}{2}k)\zeta(\frac{1}{2}k)}{\zeta(k)} - 1 - 3^{-1-k/2} \right\}. \end{aligned} \quad (4.5)$$

We have

$$\delta_{24} = 10^{-6} \times 3.764,$$

and, by using the approximations

$$\begin{aligned} Z_3(x) &\leq 1 - 2^{-x} + 4^{-x}, \\ \zeta(x) &\leq 1 + 2^{-x} + 3^{-x} + \frac{3^{1-x}}{x-1}, \\ \{\zeta(2x)\}^{-1} &\leq 1 - 2^{-2x}, \end{aligned}$$

we find that

$$\delta_k \leq 3^{-k/2} \left( 2 + \frac{18}{k-2} \right). \quad (4.6)$$

The only condition of property  $P_k$  that remains to be checked is (2.14), which now takes the form

$$e^{-2\pi m \sin \theta} \left\{ 1 + \frac{e^{\pi m}}{2^{k/2}} \right\} + \delta_k < 2e^{-2\pi m \sin \theta}.$$

For this to hold we require that

$$\frac{e^{\pi m}}{2^{k/2}} + \delta_k e^{2\pi m} < 1$$

which, by (4.6), since  $12(m+1) \leq k$ , reduces to

$$e^{-\pi} \left( \frac{1}{2} e^{\pi/6} \right)^{k/2} + e^{-2\pi} \left( \frac{1}{3} e^{\pi/3} \right)^{k/2} \left( 2 + \frac{18}{k-2} \right) < 1.$$

For  $k \geq 24$  the left-hand side is less than 0.00849 so that condition (2.11) is satisfied.

**THEOREM 4:** *Suppose that  $l = \dim C_k \geq 1$  and that  $0 < m \leq l$ . Then  $G_k(z, m)$  has at least  $\frac{1}{12}k - m$  zeros on  $A$  and at least one at  $\infty$ . In particular, all the zeros of  $G_k(1, m)$  in  $F$  are simple, except for a double zero at  $\rho = e^{\pi i/3}$ , when  $k \equiv 2 \pmod{6}$ . One of these simple zeros is at  $\infty$  and the others lie on  $A$ .*

In view of the preceding analysis we need only remark that the theorem is trivial when  $m = l$  since in that case there are at least  $s/12$  zeros at  $i$  and  $\rho$ .

**5. Cusp forms of weight 24**

Theorem 4 gives an exact estimate of the number of zeros of  $G_k(z, m)$  on  $A$  only when  $m = 1$ . For  $m > 1$  only a lower bound is given. It would be of interest to have more precise information about the location of zeros when  $m > 1$ . In this section we examine the first such case, which arises when

$$k = 24, l = 2, m \geq 2.$$

The space  $C_{24}$  has a basis consisting of the newforms

$$f_j(z) = \sum_{n=1}^{\infty} \lambda_j(n) e^{2\pi i n z} \quad (z \in H; j = 1, 2), \tag{5.1}$$

where the coefficients  $\lambda_j(n)$  are the eigenvalues corresponding to Hecke's operator  $T_n$ . We have (see [3], (9.7))

$$f_j = \Delta[E_{12} + \{\mu + (-1)^{j-1}\nu\}\Delta] \quad (j = 1, 2), \tag{5.2}$$

where

$$\mu = \frac{324204}{691}, \nu = 12 \sqrt{144169} = 12\eta,$$

and

$$\eta = \sqrt{144169} = 379.69593.$$

It follows from (5.1, 2) that

$$\lambda_j(2) = 540 + (-1)^{j-1}\nu$$



so that

$$\lambda_1 = \lambda_1(2) = 5096.3512, \lambda_2 = \lambda_2(2) = -4016.3512.$$

For later purposes we also require the values

$$\mu_1 = \lambda_1(3) = 169740 - 576\eta = -48964.855,$$

and

$$\mu_2 = \lambda_2(3) = 169740 + 576\eta = 388444.85.$$

In all these and later estimates the last digit may be in doubt.

Write

$$g_k(z, m) = m^{k-1}G_k(z, m) \quad (m \in \mathbb{N}).$$

Then

$$g_k(z, m) = g_k(z, 1)|T_m,$$

where  $T_m$  is Hecke's operator; see [3]. If we write

$$g_{24}(z, 1) = \xi_1 f_1(z) + \xi_2 f_2(z),$$

then

$$g_n(z) := g_{24}(z, n) = \xi_1 \lambda_1(n) f_1(z) + \xi_2 \lambda_2(n) f_2(z). \quad (5.3)$$

We are particularly interested in the location of the zeros of  $g_2$ , and therefore require to evaluate  $\xi_1$  and  $\xi_2$ .

From [3] (p. 205) we know that  $\xi_1$  and  $\xi_2$  are positive and that (see [3], equation (7.4), with  $q = 20$ ,  $r = 4$ ,  $k = 24$ )

$$\xi_j = \frac{\zeta(20)\{\Lambda_{j1}\beta(20, 4; 1) + \Lambda_{j2}\beta(20, 4; 2)\}}{\alpha_4 \phi_{24}^{(j)}(23) \phi_{24}^{(j)}(20)}. \quad (5.4)$$

Here

$$\Lambda_{11} = \lambda_2/(\lambda_2 - \lambda_1), \Lambda_{12} = -1/(\lambda_2 - \lambda_1),$$

$$\Lambda_{21} = -\lambda_1/(\lambda_2 - \lambda_1), \Lambda_{22} = 1/(\lambda_2 - \lambda_1),$$

and  $\alpha_n$  is the coefficient of  $e^{2\pi iz}$  in the Fourier expansion of  $E_n(z)$ , and not the quantity defined in (3.8). Also

$$\begin{aligned} \beta(20, 4, 1) &= \alpha_{20} + \alpha_4 - \alpha_{24} = 240.07005, \\ \beta(20, 4, 2) &= 9\alpha_4 + \alpha_4\alpha_{20} + 524289\alpha_{20} - 8388609\alpha_{24} \\ &= 37161.979. \end{aligned}$$

Finally

$$\phi_{24}^{(j)}(s) = \sum_{n=1}^{\infty} \lambda_j(n)n^{-s} = \prod_p \{1 - \lambda_j(p)p^{-s} + p^{23-2s}\}^{-1},$$

where the product is carried out over all prime numbers  $p$ .

By using the values of  $\lambda_j(2)$  and  $\lambda_j(3)$  and Deligne's bounds

$$|\lambda_j(p)| \leq 2p^{23/2}$$

for  $p > 3$  we find that

$$\begin{aligned} \phi_{24}^{(1)}(20) &= 1.00486, \quad \phi_{24}^{(2)}(20) = 0.99629, \\ \phi_{24}^{(1)}(23) &= 1.000607, \quad \phi_{24}^{(2)}(23) = 0.999525, \end{aligned}$$

which leads to the values

$$\xi_1 = 0.45537, \quad \xi_2 = 0.54471$$

and

$$\xi_1\lambda_1 + \xi_2\lambda_2 = 133. \tag{5.5}$$

From (5.3, 5) we see that  $g_2$  has a simple zero at  $\infty$ . We now show that it does not vanish on  $A$ , but that its remaining zero in  $F$  lies on  $L_\rho$ , the right-hand boundary of  $F$ , and is simple. For this purpose we put

$$g_n(z) = \Delta(z)h_n(z),$$

where  $h_n \in H_{12}$  and

$$h_n = \{\xi_1\lambda_1(n) + \xi_2\lambda_2(n)\}(E_{12} + \mu\Delta) + \{\xi_1\lambda_1(n) - \xi_2\lambda_2(n)\}\nu\Delta.$$

Then  $h_2$  has exactly one zero, which is simple, in  $F$ . Since  $h_2 \in H_{12}^*$  this zero lies either on  $A$  or on  $L_i$  or on  $L_\rho$ . To check this we require the

values of  $E_{12}$  and  $\Delta$  at the points  $i$  and  $\rho$ . We have

$$E_4(i) = e_4 > 0, E_4(\rho) = 0, E_6(i) = 0, E_6(\rho) = e_6 > 0$$

so that

$$\begin{aligned} 1728\Delta(i) &= e_4^3, 1728\Delta(\rho) = -e_6^2, \\ 691E_{12}(i) &= 441e_4^3, 691E_{12}(\rho) = 250e_6^2. \end{aligned}$$

See (6.1.14–16) of [5]; in (6.1.16) the denominator should be 762048. It follows that

$$144e_6^{-2}h_2(\rho) = -(1723008 + 384\eta)\xi_1 - (1723008 - 348\eta)\xi_2 < 0;$$

for  $384\eta < 1723008$ .

Since  $h_2$  is real on  $L_\rho$  and  $h_2(\infty) = \xi_1\lambda_1 + \xi_2\lambda_2 > 0$ , by (5.5), it follows that  $h_2$  has a simple zero at a point of  $L_\rho$ . It can be shown in a similar way that the same is true for  $h_4$ . On the other hand,  $h_3$  has a simple zero on  $L_i$ . Observe that  $L_\rho$  forms part of the set of transforms of the unit circle under  $\Gamma(1)$ , whereas  $L_i$  does not.

Finally, by using the fact that  $f_1$  and  $f_2$  are orthogonal and the asymptotic formula for  $\sum_{n \leq x} \lambda_i^2(n)$  we can show that for every  $N \in \mathbb{N}$  there exists an  $n \geq N$  such that  $g_n$  does not vanish on  $A$ . A similar result holds with  $A$  replaced by  $L_i$  and  $L_\rho$ .

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