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PIERRETTE CASSOU-NOGUÈS

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***p*-ADIC *L*-FUNCTIONS FOR ELLIPTIC CURVES
WITH COMPLEX MULTIPLICATION I**

Pierrette Cassou-Noguès*

1. Introduction

Let K be an imaginary quadratic field with class number one, lying inside the complex field \mathbb{C} , and \mathcal{O} the ring of integers of K . Let E be an elliptic curve defined over K , whose ring of endomorphisms is isomorphic to \mathcal{O} . Since K has class number 1, we can choose a Weierstraß model for E

$$(1) \quad y^2 = 4x^3 - g_2x - g_3$$

where g_2 and g_3 belong to \mathcal{O} , and where the discriminant of (1) is divisible only by the primes of K where E has a bad reduction, and possibly by the primes of K above 2 and 3. Let $\wp(z)$ be the associated Weierstraß function and L its period lattice. As K has class number one, we can choose $\Omega \in L$ such that $L = \Omega\mathcal{O}$. We fix, once and for all, an algebraic closure \bar{K} of K , which we suppose lies inside the complex field \mathbb{C} .

Let S be the set of rational primes consisting of 2, 3, and all q such that E has a bad reduction at at least one prime of K above q . For the rest of the paper, we shall assume that p is a rational prime, not in S , which splits in K , say $(p) = \mathfrak{p}\bar{\mathfrak{p}}$. We write $K_{\mathfrak{p}}$ for the completion of K at \mathfrak{p} , $\mathcal{O}_{\mathfrak{p}}$ the ring of integers of $K_{\mathfrak{p}}$, and $\mathbb{C}_{\mathfrak{p}}$ for the completion of an algebraic closure

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of $K_{\mathfrak{p}}$. We assume that we are given a fixed prime \mathfrak{p} of \bar{K} lying above \mathfrak{p} , or, what amounts to the same thing, an embedding τ of \bar{K} into $\mathbb{C}_{\mathfrak{p}}$.

The aim of the present paper is to prove the existence of \mathfrak{p} -adic L -functions attached to E and certain abelian extensions of K , and to give several arithmetic applications of these. Functions of this type have already been constructed by Katz [9], [10], Manin–Vishik [15], and Lichtenbaum [12]. In fact, much of our construction has been based on an earlier version of Lichtenbaum’s paper [12], and we wish to make quite clear our indebtedness to his work. We do, however, go further than [12] both in defining \mathfrak{p} -adic L -functions for a wider class of abelian extensions of K , and in the arithmetic applications we give. Also, we shall treat the case in which the class number of K is greater than 1 by similar methods in a later paper. The present paper should be viewed as an introduction to our later work.

Finally, I wish to thank J. Coates for helpful suggestions on this work.

1. Results used from elsewhere

In this section we summarize, without proofs, a number of results from related papers, which will be used in our construction of the \mathfrak{p} -adic L -functions. We use the notation in the introduction.

Let \hat{E} be the formal group giving the kernel of reduction modulo \mathfrak{p} on the curve E ; for a detailed discussion of this, see [19], p. 42. A local parameter for \hat{E} is given by $t = -2x/y$, where x and y are the coordinates of the model (1) of E . Since p splits in K , it is easy to see that \hat{E} has height one. Let T be the completion of the maximal unramified extension of $K_{\mathfrak{p}}$, and \mathcal{O}_T the ring of integers of T . It is shown in [13] that every formal group of height 1 defined over \mathcal{O}_T is isomorphic over \mathcal{O}_T to the formal multiplicative group G_m . From this fact, it is easy to deduce the following lemma. Let z be given by $t = -2\mathfrak{p}(z)/\mathfrak{p}'(z)$. Thus we can view z as the parameter of the formal additive group G_a .

LEMMA 1: *There exists $g(X) \in \mathcal{O}_T[[X]]$, and $\gamma \in \mathcal{O}_T^*$, such that $t = g(e^{\gamma z} - 1)$.*

Here $\mathcal{O}_T[[X]]$ denotes the ring of formal power series in X with coefficients in \mathcal{O}_T .

If \mathcal{L} is any lattice in the complex plane, we define, as usual

$$\sigma(z, \mathcal{L}) = z \prod_{\substack{\omega \in \mathcal{L} \\ \omega \neq 0}} \left(1 - \frac{z}{\omega}\right) e^{(z/\omega) + (1/2)(z/\omega)^2}$$

and put

$$\theta(z, \mathcal{L}) = \Delta(\mathcal{L}) e^{-6s_2(\mathcal{L})z^2} \sigma(z, \mathcal{L})^{12}$$

where $\Delta(\mathcal{L})$ is the discriminant function of \mathcal{L} , and

$$s_2(\mathcal{L}) = \lim_{\substack{s \rightarrow 0 \\ s > 0}} \sum_{\substack{\omega \in \mathcal{L} \\ \omega \neq 0}} \omega^{-2} |\omega|^{-2s}.$$

If \mathfrak{a} is any integral ideal of K , we define

$$(2) \quad \Theta(z, \mathfrak{a}) = \theta(z, L)^{N\mathfrak{a}} / \theta(z, \mathfrak{a}^{-1}L)$$

where $N\mathfrak{a}$ is the absolute norm of \mathfrak{a} . In fact, as is shown in Robert [16], $\Theta(z, \mathfrak{a})$ is an elliptic function for the lattice L .

Assume now that H is an arbitrary finite abelian extension of K . Let ψ be the Grössencharacter of E over K . We define \mathfrak{h} to be the least common multiple of the conductor of ψ and the conductor of H/K . Let h be a generator of the ideal \mathfrak{h} and define $\rho = \Omega/h$. Let $E_{\mathfrak{b}}$ be the group of \mathfrak{b} -division-points on E . By Lemma 2 of [1], $K(E_{\mathfrak{b}})$ is the ray class field of K modulo \mathfrak{h} . We now choose and fix a set B of integral ideals of K , which are prime to \mathfrak{h} , and which are such that $\{(b, K(E_{\mathfrak{b}})/K); b \in B\}$ is precisely the Galois group of $K(E_{\mathfrak{b}})/H$; here $(b, K(E_{\mathfrak{b}})/K)$ denotes the Artin symbol of b for $K(E_{\mathfrak{b}})/K$. If \mathfrak{a} is an integral ideal of K , we define

$$\Lambda(z, \mathfrak{a}) = \prod_{\mathfrak{b} \in B} \Theta(z + \psi(\mathfrak{b})\rho, \mathfrak{a})$$

It is shown in [1] (cf. Lemma 7) that $\Lambda(z, \mathfrak{a})$ is a rational function of $\wp(z)$ and $\wp'(z)$ with coefficients in H . If σ is an element of the Galois group of H over K , we write $\Lambda_{\sigma}(z, \mathfrak{a})$ for the rational function of $\wp(z)$ and $\wp'(z)$, which is obtained by letting σ act on the coefficients of $\Lambda(z, \mathfrak{a})$.

If c is an integral ideal of K , prime to the conductor of H/K , we write σ_c for the Artin symbol $(c, H/K)$. Let k be an integer ≥ 1 . We introduce the partial Hecke L -function, for each σ in the Galois group of H over K ,

$$\zeta_H(\sigma, k; s) = \sum_{\substack{(\mathfrak{a}, \mathfrak{b})=1 \\ \sigma_{\mathfrak{a}}=\sigma}} \frac{\bar{\psi}^k(\mathfrak{a})}{(N\mathfrak{a})^s},$$

where the summation is over all integral ideals \mathfrak{a} of K , prime to \mathfrak{h} , such that $\sigma_{\mathfrak{a}} = \sigma$. It can be shown that $\zeta_H(\sigma, k; s)$ can be analytically continued over the whole complex plane, and we write $\zeta_H(\sigma, k)$ for its value at $s = k$. The following lemma is proven in [1]:

LEMMA 2: *For each $\sigma \in G(H/K)$, we have*

$$z \frac{d}{dz} \log \Lambda_{\sigma}(z, \mathfrak{a}) = \sum_{k=1}^{\infty} c_k(\mathfrak{a}, \sigma) z^k$$

where, for $k \geq 1$

$$c_k(\mathfrak{a}, \sigma) = 12(-1)^{k-1} \rho^{-k} (N\mathfrak{a} \zeta_H(\sigma, k) - \psi^k(\mathfrak{a}) \zeta_H(\sigma \sigma_{\mathfrak{a}}, k)).$$

Here \mathfrak{a} is any integral ideal of K , prime to \mathfrak{h} .

Finally we recall some basic facts about Leopoldt's Γ -transform (see [12]). Let M be any complete subfield of \mathbb{C}_p . Let Q_M be the set of power series $\sum_{n=0}^{\infty} a_n x^n$ in $M[[x]]$ such that $\lim_{n \rightarrow \infty} |a_n|_n = 0$, where $| \cdot |_n$ denotes the valuation of \mathbb{C}_p . Let C_M be the set of continuous functions from \mathbb{Z}_p to M . Then both Q_M and C_M are Banach algebras with the norms $\sup_n |n! a_n|$ and $\max_{z \in \mathbb{Z}_p} |f(z)|$, respectively. Let α be a residue class mod $(p-1)$. Following Leopoldt [11], Lichtenbaum has shown in [12] that one can define the Γ^{α} -transform. For the precise definition, see [12]. We simply note that Γ^{α} is a bounded linear map from Q_M to C_M . The following is a key lemma about Γ^{α} .

LEMMA 3: *Given $A(X) \in Q_M$, define*

$$\tilde{A}(X) = A(X) - \frac{1}{p} \sum_{\zeta} A(\zeta(X+1) - 1)$$

where ζ ranges over all p -th roots of unity. If k is an integer ≥ 0 with $k \equiv \alpha \pmod{p-1}$, then

$$\Gamma^{\alpha}(A)(k) = \frac{d^k}{dz^k} \tilde{A}(e^z - 1) \Big|_{z=0}.$$

Let \mathcal{O}_M be the ring of integers of M . Given a power series $f(X) \in \mathcal{O}_M[[X]]$, we can obtain a function $f^* \in C_M$ by $f^*(s) = f((1+p)^s - 1)$. We call f^* an *Iwasawa function* in C_M . Another basic result about Γ^α is the following (see [12]). If $A(X) \in \mathcal{O}_M[[X]]$, then $\Gamma^\alpha(A)(s)$ is an Iwasawa function.

II. \mathfrak{p} -adic L -functions

As before, let M denote a complete subfield of \mathbb{C}_p , and \mathcal{O}_M the ring of integers of M . We suppose, for simplicity, that M contains the field T , which is the completion of the maximal unramified extension of K_p . By Lemma 1, there exists a power series $g(X) \in \mathcal{O}_T[[X]]$, and $\gamma \in \mathcal{O}_T^\times$, such that $t = g(e^{\gamma z} - 1)$. In fact, $g(X)$ defines an isomorphism from G_m to \hat{E} . Let \hat{E}_π , where $\pi = \psi(\mathfrak{p})$ be the kernel of the endomorphism $[\pi]$ of \hat{E} . Given $A(t) \in \mathcal{O}_M[[t]]$, we define, as before,

$$\tilde{A}(t) = A(t) - \frac{1}{p} \sum_{\zeta} A(\zeta(t+1) - 1),$$

where ζ runs over all p -th roots of unity in \mathbb{C}_p .

LEMMA 4: *Let $B(t) \in \mathcal{O}_M[[t]]$, and define $A(X) = B(g(X))$. Then, for each integer $k \geq 0$, we have*

$$\left(\frac{d}{dz}\right)^k \tilde{A}(e^z - 1) \Big|_{z=0} = \gamma^{-k} \left(\frac{d}{dz}\right)^k \left\{ (B(t) - \frac{1}{p} \sum_{\eta \in \hat{E}_\pi} B(t * \eta)) \right\}_{t=0}$$

here $t * \eta$ denotes the sum of t and η on \hat{E} .

PROOF: Since $t = g(e^{\gamma z} - 1)$ and $\eta = g(\zeta - 1)$, it follows from the fact that g is an isomorphism from G_m to \hat{E} that $t * \eta = g(\zeta e^{\gamma z} - 1)$ (note that $\zeta e^{\gamma z} - 1$ is the product of $\zeta - 1$ and $e^{\gamma z} - 1$ on G_m). Hence

$$\begin{aligned} \left(\frac{d}{dz}\right)^k B(g(\zeta e^z - 1)) &= \gamma^{-k} \left(\frac{d}{dz}\right)^k B(g(\zeta e^{\gamma z} - 1)) \\ &= \gamma^{-k} \left(\frac{d}{dz}\right)^k B(t * \eta). \end{aligned}$$

It is clear that η ranges over \hat{E}_π as ζ runs over the p -th roots of unity. Then the assertion of the lemma is clear.

As in §.1, let H be an arbitrary finite abelian extension of K and

write $G = G(H/K)$. We assume now that p is prime to 2, 3 and \mathfrak{h} , where \mathfrak{h} is the least common multiple of the conductor of H/K and the conductor of ψ . Let \mathfrak{a} be an integral ideal of K , which is prime to \mathfrak{h} , and let $\Lambda(z, \mathfrak{a})$ be as defined in §.1. The prime \mathfrak{p} of \bar{K} determines a prime \mathfrak{P} of H lying above \mathfrak{p} .

LEMMA 5: *Let $\sigma \in G$. In terms of the parameter $t = -2\wp(z)/\wp'(z)$, the function*

$$\frac{d}{dz} \log \Lambda_\sigma(z, \mathfrak{a})$$

has an expansion whose coefficients all belong to $\mathcal{O}_{\mathfrak{P}}$, the ring of integers of the completion of H at \mathfrak{P} .

PROOF: By Lemma 11 of [1], $\Lambda_\sigma(z, \mathfrak{a})$ has a power series expansion $\sum_{k=0}^{\infty} h_k(\mathfrak{a}, \sigma) t^k$, where the $h_k(\mathfrak{a}, \sigma)$ belong to $\mathcal{O}_{\mathfrak{P}}$, and $h_0(\mathfrak{a}, \sigma)$ is a unit in $\mathcal{O}_{\mathfrak{P}}$. It follows that the logarithmic derivative, with respect to t , of this power series also belongs to $\mathcal{O}_{\mathfrak{P}}[[t]]$. Now we can write $z = \lambda(t)$, where λ is the logarithm map of \hat{E} . It is well known that $\lambda'(t)$ is a power series with coefficients in \mathbb{Z}_p and leading coefficient 1. Thus $1/(\lambda'(t))$ also belongs to $\mathbb{Z}_p[[t]]$, and the assertion of Lemma 5 follows by the chain rule for differentiation.

LEMMA 6: *Let n be an integer ≥ 0 . There exists $c \in \mathbb{C}$ such that*

$$(3) \quad \prod_q \Theta(z + q, \mathfrak{a}) = c \Theta(\pi^n z, \mathfrak{a}),$$

where the product on the left is taken over a set of representatives modulo L of the π^n -division points of L .

PROOF: Both sides of (3) are elliptic functions for the lattice L , and so it suffices to verify that the two sides have the same zeros and poles. The zeros of $\Theta(z, \mathfrak{a})$ occur precisely at the elements of L each with the multiplicity $12(N\mathfrak{a} - 1)$. Similarly, the poles of $\Theta(z, \mathfrak{a})$ are each of order 12, and occur precisely at the elements of $\mathfrak{a}^{-1}L$ which are not in L . Using these remarks, one immediately concludes that the right and left sides of (3) have the same zeros and poles, as required.

LEMMA 7: *Let $\sigma \in G$, and let n be an integer ≥ 0 . There exists $C \in \mathbb{C}$ such that*

$$(4) \quad \prod_q \Lambda_\sigma(z + q, \mathfrak{a}) = C \Lambda_{\sigma\mathfrak{p}^n}(\pi^n z, \mathfrak{a})$$

where the product on the left is taken over a set of representatives modulo L of the π^n -division points of L .

PROOF: Let $\sigma = \sigma_c$, where c is an integral ideal of K prime to \mathfrak{h} . Then it is shown in the proof of Lemma 8 of [1] that

$$\Lambda_\sigma(z, \mathfrak{a}) = \prod_{b \in B} \Theta(z + \psi(bc)\rho, \mathfrak{a}).$$

On the other hand, recalling that $\pi = \psi(\mathfrak{p})$, it follows from (3) that

$$\prod_q \Theta(z + q + \psi(bc)\rho, \mathfrak{a}) = \Theta(\pi^n z + \psi(b\mathfrak{p}^n c)\rho, \mathfrak{a}).$$

Taking the product of both sides of this equation over the $b \in B$, and using (5) with c replaced by $c\mathfrak{p}^n$, the assertion of Lemma 7 follows.

We now apply Lemma 4 with $B_\sigma(t)$ given by the expansion in t of $\frac{d}{dz} \log \Lambda_\sigma(z, \mathfrak{a})$. By Lemma 5, this expansion does, in fact, belong to $\mathcal{O}_T[[t]]$. Taking the logarithm derivative with respect to z of both sides of (4), we conclude that

$$\left(\frac{d}{dz}\right)^k \left(\sum_{\eta \in \hat{E}_\pi} B_\sigma(t * \eta)\right)_{z=0} = \left(\frac{d}{dz}\right)^{k+1} \log \Lambda_{\sigma\mathfrak{p}^n}(\pi z, \mathfrak{a}) \Big|_{z=0}$$

Hence, if $A_\sigma(X) = B_\sigma(g(X))$, Lemma 4 implies that

$$\left(\frac{d}{dz}\right)^k \tilde{A}_\sigma(e^z - 1)_{z=0} = \gamma^{-k} \left(\frac{d}{dz}\right)^{k+1} \left\{ (\log \Lambda_\sigma(z, \mathfrak{a}) - \frac{1}{p} \log \Lambda_{\sigma\mathfrak{p}^n}(\pi z, \mathfrak{a})) \right\}_{z=0}.$$

Thus, in view of Lemma 2 and 3, we have established the following result. Write $\lambda_k = 12(-1)^{k-1} p^{-k}(k-1)!$. Let α fixed be a residue class mod $(p-1)$. We define

$$(6) \quad \zeta_{H,\mathfrak{p}}(\sigma, k) = \zeta_H(\sigma, k) - \frac{\psi^k(\mathfrak{p})}{N\mathfrak{p}} \zeta_H(\sigma\sigma_{\mathfrak{p}}, k).$$

THEOREM 8: Let $B_\sigma(t) = B(t, \sigma, \mathfrak{a})$ be the expansion in t of $\frac{d}{dz} \log \Lambda_\sigma(z, \mathfrak{a})$. Put $A_\sigma(t) = B_\sigma(g(t))$. Then for all integers $k \geq 0$ with $k \equiv \alpha \pmod{p-1}$, we have

$$\Gamma^\alpha(A_\sigma)(k) = \gamma^{-k} \lambda_{k+1}(N\mathfrak{a} \zeta_{H,\mathfrak{p}}(\sigma, k+1) - \psi^{k+1}(\mathfrak{a}) \zeta_{H,\mathfrak{p}}(\sigma\sigma_\mathfrak{a}, k+1)).$$

We now use Theorem 8 to construct \mathfrak{p} -adic L -functions. Suppose χ is a homomorphism of G into \bar{K} . Replacing H by the fixed field of the kernel of χ if necessary, we can assume that the kernel of χ is trivial.

Let us denote also by χ the homomorphism of G into $\mathbb{C}_\mathfrak{p}^\times$ given by $\tau \circ \chi$. For each integer $k \geq 1$, we define the number $\Omega^{-k} L(\bar{\psi}^{-k} \chi^{-1}, k)$ in $\mathbb{C}_\mathfrak{p}$ by

$$(7) \quad \Omega^{-k} L(\bar{\psi}^k \chi^{-1}, k) = \sum_{\sigma \in G} \chi^{-1}(\sigma) \zeta_H(\sigma, k) \Omega^{-k}$$

Let $\mathcal{O}_{T,\chi}$ be the ring of integers of the field obtained by adjoining the values of χ to T , and write $A_\chi = \mathcal{O}_{T,\chi}[[X]]$.

Now take \mathfrak{a} an integral ideal in K , prime to \mathfrak{h} and \mathfrak{p} , and let $A_\sigma(t) = A_\sigma(t, \mathfrak{a})$ be the power series in t , which is defined in Theorem 8. Let α be an arbitrary residue class modulo $(p-1)$. It follows from Lemma 5 that there is a power series $r_\alpha(X; \chi, \mathfrak{a})$ in A_χ such that

$$(8) \quad r_\alpha((1+p)^s - 1; \chi, \mathfrak{a}) = \sum_{\sigma \in G} \chi^{-1}(\sigma) \Gamma^{\alpha-1}(A_\sigma)(-s)$$

for all s in \mathbb{Z}_p .

LEMMA 9: *For all integers $k \geq 0$, with $k \equiv \alpha - 1 \pmod{p-1}$, we have*

$$r_\alpha((1+p)^{-k} - 1; \chi, \mathfrak{a}) = \gamma^{-k} \lambda_{k+1}(N\mathfrak{a} - \psi^{k+1}(\mathfrak{a}) \chi(\mathfrak{a})) \\ \times \left(1 - \frac{\chi(\mathfrak{p}) \psi^{k+1}(\mathfrak{p})}{N\mathfrak{p}} \right) L(\chi^{-1} \psi^{-k+1}, k+1).$$

PROOF: This is immediate from Theorem 8 and the definitions (7) and (8).

If x is a unit in $K_\mathfrak{p}$, we write as usual $x = \omega(x)\langle x \rangle$, where $\omega(x)$ is a $(p-1)$ -th root of unity, and $\langle x \rangle \equiv 1 \pmod{\mathfrak{p}}$. Since $\psi(\mathfrak{a})$ generates the ideal \mathfrak{a} , and \mathfrak{a} is prime to \mathfrak{p} by hypothesis, the number $\psi(\mathfrak{a})$ is a unit in $K_\mathfrak{p}$ when viewed under the canonical inclusion of K in $K_\mathfrak{p}$. Define $\beta(\mathfrak{a})$ in \mathbb{Z}_p by the equation

$$\langle \psi(\mathfrak{a}) \rangle = (1+p)^{\beta(\mathfrak{a})}$$

and $a_\alpha(X; \chi, \mathfrak{a})$ by

$$(9) \quad a_\alpha(X; \chi, \mathfrak{a}) = N\mathfrak{a} - \psi(\mathfrak{a})\chi(\mathfrak{a})\omega(\psi(\mathfrak{a}))^{\alpha-1}(1+X)^{-\beta(\mathfrak{a})}.$$

It is clear that for all integers $k \geq 0$ with $k \equiv \alpha - 1 \pmod{p-1}$, we have

$$a_\alpha((1+p)^{-k} - 1; \chi, \mathfrak{a}) = N\mathfrak{a} - \psi^{k+1}(\mathfrak{a})\chi(\mathfrak{a}).$$

Since $\mathfrak{a} \neq 1$ and $\psi(\mathfrak{a})$ generates \mathfrak{a} , it is easy to see that $a_\alpha(X; \chi, \mathfrak{a})$ is not identically zero.

Define

$$(10) \quad f_\alpha(X; \chi, \mathfrak{a}) = \frac{r_\alpha(X; \chi, \mathfrak{a})}{a_\alpha(X; \chi, \mathfrak{a})}.$$

For $\lambda \in K$, let $S(\lambda)$ denote the trace, from K to \mathbb{Q} , of α . Let \mathcal{D} be the different of K and d its discriminant. Let \mathfrak{h}_0 be the conductor of χ and $\mathfrak{h}_0^{-1}\mathcal{D}^{-1} = (\delta_0)$. We choose once for all δ_0 so that $\delta_0\sqrt{d}$ has exact denominator \mathfrak{h}_0 . Put, [18], when χ is a proper character

$$T(\bar{\chi}) = \sum_{\lambda \pmod{\mathfrak{h}_0}} \bar{\chi}(\lambda) e^{2\pi i S(\lambda \delta_0)}$$

where λ runs through a full system of representatives of residue classes mod \mathfrak{h}_0 . $T(\bar{\chi})$ is different from zero.

Let $w_{\mathfrak{h}}$ be the number of roots of unity in K congruent to 1 mod \mathfrak{h} .

Let θ be the canonical character giving the action of $G(H(E_{\mathfrak{p}})/K)$ on the group $E_{\mathfrak{p}}$ of \mathfrak{p} -division points on E . We define the \mathfrak{p} -adic L -functions $L_{\mathfrak{p}}(\chi\theta^\alpha, s)$ by

$$(11) \quad L_{\mathfrak{p}}(\chi\theta^\alpha, s) = \frac{1}{T(\bar{\chi})\sqrt{d}w_{\mathfrak{q}}} f_\alpha((1+p)^s - 1; \chi, \mathfrak{a}).$$

(Here \mathfrak{q} is the least common multiple of the conductor of $\chi\theta^\alpha$ and \mathfrak{f} .) Now if $H = K$, $\chi = \chi_0$ is the trivial character with conductor (1). We take $T(\chi_0) = 1$ we consider as before $r_\alpha(X; \chi_0, \mathfrak{a})$, $a_\alpha(X; \chi_0, \mathfrak{a})$, $f_\alpha(X; \chi_0, \mathfrak{a})$ and we define

$$(12) \quad L_{\mathfrak{p}}(\theta^\alpha, s) = \frac{1}{\sqrt{d}w_{\mathfrak{p}\mathfrak{f}}} f_\alpha((1+p)^s - 1; \chi_0, \mathfrak{a}).$$

THEOREM 10: *For all integers $k \geq 0$, $k \equiv \alpha - 1 \pmod{p-1}$ we have*

$$(13) \quad L_{\mathfrak{p}}(\chi\theta^\alpha, -k) = \frac{\gamma^{-k}\lambda_{k+1}}{T(\bar{\chi})w_{\mathfrak{a}}\sqrt{d}} \left(1 - \frac{\chi(\mathfrak{p})\psi^{k+1}(\mathfrak{p})}{N\mathfrak{p}}\right) L(\bar{\chi}^{-1}\bar{\psi}^{k+1}, k+1).$$

and

$$(14) \quad L_{\mathfrak{p}}(\theta^\alpha, -k) = \frac{\gamma^{-k}\lambda_{k+1}}{w_{\mathfrak{a}}\sqrt{d}} \left(1 - \frac{\psi^{k+1}(\mathfrak{p})}{N\mathfrak{p}}\right) L(\bar{\psi}^{k+1}, k+1).$$

REMARKS:

- 1) The functions $L_{\mathfrak{p}}(\theta^\alpha, s)$ have been also constructed in [5].
- 2) The factor $\left(1 - \frac{\chi(\mathfrak{p})\psi^{k+1}(\mathfrak{p})}{N\mathfrak{p}}\right)$ is the Euler factor of \mathfrak{p} in the Euler product of $L(\chi\psi^{k+1}, 1)$. In fact $L(\chi^{-1}\psi^{k+1}, k+1)$ and $L(\chi\psi^{k+1}, 1)$ are linked by the functional equation of $L(\chi^{-1}\bar{\psi}^{k+1}, s)$ [7].
- 3) We have chosen this normalisation of $L(\chi\theta^\alpha, s)$ because in §.III, we want to give a formula for $L_{\mathfrak{p}}(\chi\theta^\alpha, 1)$, which will be an analogue of the classical complex formula for $L(\chi\psi^0, 1)$ (see the above remark), arising from Kronecker's limit formula [18].
- 4) We can choose an \mathfrak{a} such that $a_\alpha(X; \chi, \mathfrak{a})$ is a unit in Λ_χ . Let e denote a generator of the ideal $12\mathfrak{h} \cap \mathbb{Z}$. Choose n to be a rational integer, prime to p , such that $(1 + ne\pi)$ is not divisible by \mathfrak{p} and take $\mathfrak{a} = (1 + ne\pi)$. Then $N\mathfrak{a} \not\equiv 1 \pmod{p}$; also $\sigma_{\mathfrak{a}} = 1$ because the conductor of H/K divides e , and $\psi^k(\mathfrak{a}) = (1 + ne\pi)^k$. Then $\psi^k(\mathfrak{a}) \equiv 1 \pmod{\mathfrak{p}}$ because the conductor of ψ divides e . Then $f_\alpha(X; \chi, \mathfrak{a})$ belongs to Λ_χ even when $\chi = \chi_0$ is trivial. Moreover as the right hand side of (13) and (14) is independent of the choice of \mathfrak{a} , and $f_\alpha((1+p)^s - 1; \chi, \mathfrak{a})$ is a continuous function, it follows that $L_{\mathfrak{p}}(\chi\theta^\alpha, s)$ and $L_{\mathfrak{p}}(\theta^\alpha, s)$ are Iwasawa functions independent of \mathfrak{a} .

III. Leopoldt's formula

Now we will compute the value $L_{\mathfrak{p}}(\chi\theta^\alpha, 1)$ to get an analogue of Leopoldt's formula and we will see that it is a \mathfrak{p} -adic analogue of the complex formula for $L(\chi\psi^0\theta^\alpha, 1)$.

An important role here is played by the elliptic units of Robert [16]. Let \mathfrak{h} be an arbitrary integral ideal of K . We denote by \mathcal{P} a pair $(\mathcal{A}, \mathcal{N})$ where $\mathcal{A} = \{\mathfrak{a}_j, j \in J\}$ and $\mathcal{N} = \{n_j, j \in J\}$; here J is an arbitrary finite index set and \mathfrak{a}_j are integral ideals of K , prime to S and $(p)\mathfrak{h}$, and the n_j are rational integers satisfying $\sum_{j \in J} n_j(N\mathfrak{a}_j - 1) = 0$. Given such a pair \mathcal{P} , we put

$$\Theta(z, \mathcal{P}) = \prod_{j \in J} \Theta(z, \mathfrak{a}_j)^{n_j}$$

where $\Theta(z, \mathfrak{a}_i)$ is defined in the first part. Let ρ be a \mathfrak{h} -division point on E . Then Robert has shown that $\Theta(\rho, \mathcal{P})$ is a unit in $K(E_0)$.

1) *Leopoldt's formula*

Recall that we have defined

$$L_p(\chi\theta^\alpha, s) = \frac{1}{T(\bar{\chi})w_{\mathfrak{a}}\sqrt{d}} f_\alpha((1+p)^s - 1; \chi, \mathfrak{a})$$

and

$$L_p(\theta^\alpha, s) = \frac{1}{w_{\mathfrak{a}}\sqrt{d}} f_\alpha((1+p)^s - 1; \chi, \mathfrak{a})$$

where \mathfrak{a} is the least common multiple of the conductor of $\chi\theta^\alpha$ (resp. θ^α) and \mathfrak{f} .

This formula is not convenient for studying the value $L_p(\chi\theta^\alpha, 1)$. We will find another one.

Let \mathcal{P} a pair as before (for the ideal \mathfrak{h} least common multiple of the conductor of χ and \mathfrak{f}). For each $\sigma \in G(H/K)$, let:

$$A_\sigma(z, \mathcal{P}) = \prod_{j \in J} A_\sigma(z, \mathfrak{a}_j)^{n_j}.$$

In terms of the parameter $t = -2\mathfrak{p}(z)/\mathfrak{p}'(z)$ of \hat{E} , $A_\sigma(z, \mathcal{P})$ has an expansion whose coefficients all belong to \mathcal{O}_p . Moreover

$$A_\sigma(0, \mathcal{P}) = N_{K(E_0)/H} \Theta(\rho, \mathcal{P}).$$

Thus $A_\sigma(0, \mathcal{P})$ is a unit in \mathcal{O}_p . Hence $\text{Log} \frac{A_\sigma(z, \mathcal{P})}{A_\sigma(0, \mathcal{P})}$ has an expansion in t , whose coefficients all belong to $H_{\mathfrak{q}}$.

LEMMA 11: *Let $B_\sigma(t, \mathcal{P})$ be given by the expansion in t of $\text{Log} \frac{A_\sigma(z, \mathcal{P})}{A_\sigma(0, \mathcal{P})}$ and $A_\sigma(t, \mathcal{P}) = B_\sigma(g(t), \mathcal{P})$.*

Then for all integers $k \geq 1$, with $k \equiv \alpha \pmod{p-1}$,

$$(15) \quad \Gamma^\alpha(A_\sigma(t, \mathcal{P}))(k) = \gamma^{-k} \lambda_k \sum_{j \in J} n_j (N \mathfrak{a}_j \zeta_{H, \mathfrak{p}}(\sigma, k) - \psi^k(\mathfrak{a}_j) \zeta_{H, \mathfrak{p}}(\sigma \sigma_{\mathfrak{a}_j}, k)).$$

PROOF: Let

$$B_\sigma(t, \mathcal{P}) = \sum_{n=0}^{\infty} a_n t^n$$

Define

$$B'_\sigma(t, \mathcal{P}) = \sum_{n=1}^{\infty} na_n t^n$$

and

$$DB_\sigma(t, \mathcal{P}) = (1+t) \text{Log}(1+t) B'_\sigma(t, \mathcal{P}).$$

It is easy to see that [12], for all $s \in \mathbb{Z}_p$

$$\Gamma^\alpha(DB_\sigma(t, \mathcal{P}))(s) = s\Gamma^\alpha(B_\sigma(t, \mathcal{P}))(s).$$

But

$$DB_\sigma(e^z - 1, \mathcal{P}) = z \frac{d}{dz} B_\sigma(e^z - 1, \mathcal{P}).$$

Thus

$$DB_\sigma(e^z - 1, \mathcal{P}) = z \frac{d}{dz} \text{Log } \Lambda_\sigma(\gamma^{-1}z, \mathcal{P}).$$

As \mathfrak{a}_j has been chosen prime to (p) , we define $\beta(\mathfrak{a}_j)$ by

$$\langle \psi(\mathfrak{a}_j) \rangle = (1+p)^{\beta(\mathfrak{a}_j)}$$

and $a_\alpha(X; \chi, \mathcal{P})$ by

$$(16) \quad a_\alpha(X; \chi, \mathcal{P}) = \sum_{j \in J} n_j [N\mathfrak{a}_j - \chi(\mathfrak{a}_j)\omega(\psi(\mathfrak{a}_j)^\alpha)(1+X)^{-\beta(\mathfrak{a}_j)}].$$

It is clear that for all integers $k \geq 0$, with $k \equiv \alpha \pmod{p-1}$ we have

$$a_\alpha((1+p)^{-k} - 1; \chi, \mathcal{P}) = \sum_{j \in J} n_j [N\mathfrak{a}_j - \chi(\mathfrak{a}_j)\psi^k(\mathfrak{a}_j)].$$

Using (15) and (16), we can prove the following Lemma.

LEMMA 12: *For all integers $k \geq 1$, $k \equiv \alpha \pmod{p-1}$ we have*

$$\frac{\sum_{\sigma \in \mathcal{G}(H/K)} \chi^{-1}(\sigma) \Gamma^\alpha(A_\sigma(t, \mathcal{P}))(k)}{a_\alpha((1+p)^{-k} - 1; \chi, \mathcal{P})} = \gamma^{-k} \lambda_k \left(1 - \frac{\chi(\mathfrak{p})\psi^k(\mathfrak{p})}{N\mathfrak{p}}\right) L(\chi^{-1}\bar{\psi}^k, k).$$

LEMMA 13: *If either χ is non trivial or α different from 0, there exists a pair \mathcal{P} such that $a_\alpha(X; \chi, \mathcal{P})$ is a unit in Λ_χ .*

PROOF: If χ is non trivial, there exists σ such that $\chi(\sigma) \neq 1$. Let e denote a generator of the ideal $12\mathfrak{h} \cap \mathbb{Z}$. Choose $\mathfrak{a}_1 = (1 + ne\pi)$ $n_2 = -(N\mathfrak{a}_1 - 1)$; take \mathfrak{a}_2 to be an integral ideal of K , prime to S and p , such that $\sigma_{\mathfrak{a}_2} = \sigma$ and let $n_1 = N\mathfrak{a}_2 - 1$.

Now if χ is trivial and $\alpha \neq 0$, let η be an element of \mathcal{O} , whose image in \mathcal{O}/\mathfrak{p} is a generator of $(\mathcal{O}/\mathfrak{p})^\times$. Take $\mathfrak{a}_1 = (1 + ne\pi)$. Choose $\mathfrak{a}_2 = (\alpha_2)$ where α_2 is an algebraic integer in K , satisfying $\alpha_2 \equiv 1 \pmod{e\bar{\pi}}$ and $\alpha_2 \equiv \eta \pmod{\pi}$. Let $n_1 = N\mathfrak{a}_2 - 1$ and $n_2 = -(N\mathfrak{a}_1 - 1)$. Then n_2 is prime to p and because the conductor of ψ divides e ,

$$\omega(\psi(\mathfrak{a}_1))^\alpha \equiv \psi^\alpha(\mathfrak{a}_1) \equiv 1 \pmod{\mathfrak{p}}$$

and

$$\omega(\psi(\mathfrak{a}_2))^\alpha \equiv \psi^\alpha(\mathfrak{a}_2) \equiv \eta^\alpha \pmod{\mathfrak{p}}.$$

Such a choice is made in [1] Lemma 13.

Now $\sum_{\sigma \in G(H/K)} \frac{\chi^{-1}(\sigma) \Gamma^\alpha(A_\sigma(t, \mathcal{P}))(s)}{a_\alpha((1+p)^s - 1; \chi, \mathcal{P})}$ is a

continuous function on \mathbb{Z}_p , which is such that for all integers $k \geq 1$, $k \equiv \alpha \pmod{p-1}$

$$L_p(\chi\theta^\alpha, 1-k) = \frac{\gamma}{T(\bar{\chi})w_4\sqrt{d}} \frac{\sum_{\sigma \in G(H/K)} \chi^{-1}(\sigma) \Gamma^\alpha(A_\sigma(t, \mathcal{P}))(k)}{a_\alpha((1+p)^{-k} - 1; \chi, \mathcal{P})}$$

if either χ is non trivial or α different from zero.

LEMMA 14: *If either χ is a non trivial character, or α a non zero residue class mod $(p-1)$, for all $s \in \mathbb{Z}_p$,*

$$(17) \quad L_p(\chi\theta^\alpha, 1-s) = \frac{\gamma}{T(\bar{\chi})w_4\sqrt{d}} \frac{\sum_{\sigma \in G(H/K)} \chi^{-1}(\sigma) \Gamma^\alpha(A_\sigma(t, \mathcal{P}))(s)}{a_\alpha((1+p)^{-s} - 1; \chi, \mathcal{P})}.$$

REMARK: If χ is trivial and α is zero

$$a_0(0; \chi_0, \mathcal{P}) = \sum_{j \in J} n_j (N a_j - 1) = 0.$$

But:

$$\Gamma^0(A(t, \mathcal{P}))(0) = \tilde{A}(0, \mathcal{P}) = B(0, \mathcal{P}) - \frac{1}{p} \sum_{n \in \hat{E}_\pi} B(\eta, \mathcal{P})$$

$$B(0, \mathcal{P}) = 0$$

and

$$\frac{1}{p} \sum_{\eta \in \hat{E}_\pi} B(\eta, \mathcal{P}) = \frac{1}{p} \sum_{\alpha} \text{Log}_p \frac{\Lambda(\alpha, \mathcal{P})}{\Lambda(0, \mathcal{P})}$$

where the sum on the right is taken over a set of representatives modulo L of the π -division points of L . Then

$$\frac{1}{p} \sum_{\alpha} \text{Log}_p \frac{\Lambda(\alpha, \mathcal{P})}{\Lambda(0, \mathcal{P})} = \left(\frac{1}{p} - 1 \right) \text{Log}_p \Lambda(0, \mathcal{P}).$$

But

$$\Lambda(0, \mathcal{P}) = N_{K(E_i)/K} \Theta(\rho, \mathcal{P})$$

where ρ is a \mathfrak{f} -division point of $\mathbb{C} \bmod L$. This is a unit in K , then a root of unity and

$$\text{Log}_p N_{K(E_i)/K} \Theta(\rho, \mathcal{P}) = 0.$$

Even when χ is trivial and α is zero

$$\frac{\Gamma^0(A(t, \mathcal{P}))(s)}{a_0((1+p)^s - 1; \chi_0, \mathcal{P})}$$

is a continuous function on \mathbb{Z}_p and we have

$$L_p(\theta^0, 1-s) = \frac{\gamma}{w_q \sqrt{d}} \frac{\Gamma^0(A(t, \mathcal{P}))(s)}{a_0((1+p)^s - 1; \chi_0, \mathcal{P})}.$$

But this formula is not useful for computing $L_p(\theta^0, 1)$.

Now we come back to the case where χ is non trivial, and $\alpha = 0$.

From (17) we have

$$L_p(\chi, 1) = \frac{\gamma}{T(\bar{\chi})w_4\sqrt{d}} \frac{\sum_{\sigma \in G(H/K)} \chi^{-1}(\sigma) \Gamma^0(A_\sigma(t, \mathcal{P}))(0)}{a_0(0; \chi, \mathcal{P})}$$

$$\Gamma^0(A_\sigma(t, \mathcal{P}))(0) = \bar{A}_\sigma(0, \mathcal{P}) = B_\sigma(0, \mathcal{P}) - \frac{1}{p} \sum_{\eta \in \tilde{E}_\pi} B_\sigma(\eta, \mathcal{P})$$

by lemma 4

$$B_\sigma(0, \mathcal{P}) = 0$$

and

$$\frac{1}{p} \sum_{\eta \in \tilde{E}_\pi} B_\sigma(\eta, \mathcal{P}) = \frac{1}{p} \sum_{\alpha} \text{Log} \frac{\Lambda_\sigma(\alpha, \mathcal{P})}{\Lambda_\sigma(0, \mathcal{P})}.$$

where the sum on the right is taken over a set of representatives modulo L of the π -division points of L . Now from Lemma 7, we have

$$\Gamma^0(A_\sigma(t, \mathcal{P}))(0) = \frac{1}{p} \text{Log} \frac{\Lambda_{\sigma\alpha}(0, \mathcal{P})}{\Lambda_\sigma(0, \mathcal{P})^p}.$$

THEOREM 15: *If χ is not trivial*

$$(18) \quad L_p(\chi, 1) = \frac{\gamma}{T(\bar{\chi})w_4\sqrt{d}} \left(\frac{\chi(\mathfrak{p})}{p} - 1 \right) \\ \times \frac{\sum_{\sigma \in G(H/K)} \chi^{-1}(\sigma) \text{Log}_p [N_{K(E_\sigma)/H} \Theta(\rho, \mathcal{P})]^\sigma}{a_0(0; \chi, \mathcal{P})}$$

We now proceed to find a similar formula for $\alpha \neq 0$. As before, define

$$T(\bar{\theta}) = \sum_{\lambda \bmod \mathfrak{p}} \bar{\theta}(\lambda) e^{2\pi i S(\lambda \delta_0)}$$

where δ has been chosen once for all such that $\mathfrak{p}^{-1}\mathcal{D}^{-1} = (\delta)$ and $\delta\sqrt{d}$ has exact denominator \mathfrak{p} , and where λ runs through a full system of representatives of the residue classes mod \mathfrak{p} . Let us denote by ζ the p -th root of unity $e^{2\pi i S(\delta)}$. As p splits in K , \mathcal{O}/\mathfrak{p} is isomorphic to $\mathbb{Z}/p\mathbb{Z}$. Then, we will write

$$T(\bar{\theta}) = \sum_{\lambda \bmod p} \bar{\theta}(\lambda) \zeta^\lambda.$$

LEMMA 16: *For each α , congruence class mod $(p - 1)$ for each rational integer n , prime to p*

$$\sum_{\lambda \bmod p} \bar{\theta}^\alpha(\lambda) \zeta^{\lambda n} = \omega^\alpha(n) T(\theta^\alpha).$$

PROOF: Let $m \in \mathbb{Z}$, such that

$$m \equiv n \pmod{p}$$

and

$$m \equiv 1 \pmod{f} \text{ (where } f = \mathfrak{f} \cap \mathbb{Z})$$

$$\sum_{\lambda \bmod p} \bar{\theta}^\alpha(\lambda) \zeta^{\lambda n} = \sum_{\lambda \bmod p} \bar{\theta}^\alpha(\lambda) \zeta^{\lambda m} = \theta^\alpha(m) \sum_{\lambda \bmod p} \bar{\theta}^\alpha(\lambda) \zeta^\lambda.$$

By definition

$$\theta(m) = \omega(\psi(m)) = \omega(m) = \omega(n).$$

Then Lemma 16 is proved.

Let M be any complete subfield of \mathbb{C}_p , and $A \in Q_M$. For each α congruence class mod $(p - 1)$, let

$$A_\alpha(u) = \frac{1}{T(\bar{\theta}^\alpha)} \sum_{\lambda \bmod p} \bar{\theta}^\alpha(\lambda) A(\zeta^\lambda(u + 1) - 1).$$

A_α belongs to Q_M and does not depend on ζ .

LEMMA 17: *For each $s \in \mathbb{Z}_p$*

$$\Gamma^{\alpha-\beta}(A)(s) = \Gamma^{-\beta}(A_\alpha)(s).$$

PROOF: Because of the linearity of $\Gamma^{\alpha-\beta}$ and $\Gamma^{-\beta}$ we have just to prove the equality for $A(u) = (1 + u)^n$. Then

$$A_\alpha(u) = \frac{1}{T(\bar{\theta}^\alpha)} \sum_{\lambda \bmod p} \bar{\theta}^\alpha(\lambda) \zeta^{\lambda n} (1 + u)^n$$

$$A_\alpha(u) = \omega^\alpha(n) (1 + u)^n.$$

By definition [12]:

$$\begin{aligned} \Gamma^{\alpha-\beta}(A)(s) &= \omega^{\alpha-\beta}(n)\langle n \rangle^s && \text{if } p \nmid n \\ &= 0 && \text{if } p \mid n \end{aligned}$$

and

$$\begin{aligned} \Gamma^{-\beta}(A_\alpha)(s) &= \omega^{-\beta}(n)\omega^\alpha(n)\langle n \rangle^s && \text{if } p \nmid n \\ &= 0 && \text{if } p \mid n. \end{aligned}$$

Now let us consider

$$A_{\sigma,\alpha}(t, \mathcal{P}) = \frac{1}{T(\bar{\theta}^\alpha)} \sum_{\lambda \bmod p} \bar{\theta}^\alpha(\lambda) A_\sigma(\zeta^\lambda(t+1) - 1, \mathcal{P}).$$

Then

$$\Gamma^\alpha(A_\sigma(t, \mathcal{P}))(0) = \Gamma^0(A_{\sigma,\alpha}(t, \mathcal{P}))(0).$$

Moreover

$$\Gamma^0(A_{\sigma,\alpha}(t, \mathcal{P}))(0) = A_{\sigma,\alpha}(0, \mathcal{P}) - \frac{1}{p} \sum_{\zeta'} A_{\sigma,\alpha}(\zeta' - 1, \mathcal{P})$$

where ζ' runs over all p -th roots of unity in \mathbb{C}_p . But:

$$\sum_{\zeta'} A_{\sigma,\alpha}(\zeta' - 1, \mathcal{P}) = \frac{1}{T(\bar{\theta}^\alpha)} \sum_{\zeta'} \sum_{\lambda \bmod p} \bar{\theta}^\alpha(\lambda) A_\sigma(\zeta^\lambda \zeta' - 1, \mathcal{P}).$$

Then

$$\sum_{\zeta'} A_{\sigma,\alpha}(\zeta' - 1, \mathcal{P}) = 0$$

and:

$$\Gamma^0(A_{\sigma,\alpha}(t, \mathcal{P}))(0) = \frac{1}{T(\bar{\theta}^\alpha)} \sum_{\lambda \bmod p} \bar{\theta}^\alpha(\lambda) A_\sigma(\zeta^\lambda - 1, \mathcal{P}).$$

Recall that by definition $A_\sigma(t, \mathcal{P}) = B_\sigma(g(t), \mathcal{P})$ where $B_\sigma(t, \mathcal{P})$ is given by the expansion $\text{Log} \frac{\Lambda_\sigma(z, \mathcal{P})}{\Lambda_\sigma(0, \mathcal{P})}$.

Define:

$$A^1(z, \mathfrak{a}_j) = \prod_{\mathfrak{b} \in \mathcal{B}} \Theta(z + \psi(\mathfrak{b})\rho + q, \mathfrak{a}_j)$$

and

$$\Lambda^1(z, \mathcal{P}) = \prod_{j \in J} \Lambda^1(z, \mathfrak{a}_j)^{n_j}$$

where q is an element of \mathbb{C} such that $\xi(q)$ is the \mathfrak{p} -division point on E which corresponds to ζ . Then

$$A_\sigma(\zeta - 1, \mathcal{P}) = \text{Log} \frac{\Lambda_\sigma(q, \mathcal{P})}{\Lambda_\sigma(0, \mathcal{P})} = \text{Log} \frac{\Lambda_\sigma^1(0, \mathcal{P})}{\Lambda_\sigma(0, \mathcal{P})}.$$

By Lubin Tate theory, we know that $G(K(E_{\mathfrak{p}})/K)$ is naturally isomorphic to the group of units of \mathcal{O}/\mathfrak{p} ; moreover $G(H(E_{\mathfrak{p}})/H)$ is isomorphic to $G(K(E_{\mathfrak{p}})/K)$ [14]. Then to each $\lambda \pmod p$ corresponds $\sigma_\lambda \in G(H(E_{\mathfrak{p}})/H)$ and

$$A_\sigma(\zeta^\lambda - 1, \mathcal{P}) = \text{Log} \frac{\Lambda_{\sigma_\lambda}^1(0, \mathcal{P})}{\Lambda_\sigma(0, \mathcal{P})}$$

THEOREM 18: *If α is a non zero residue class mod $p - 1$*

$$(19) \quad L_{\mathfrak{p}}(\chi\theta^\alpha, 1) = \frac{\gamma}{T(\bar{\chi})w_a\sqrt{d}} \frac{\sum_{\sigma \in G(K(E_{\mathfrak{p}})/K)} \chi^{-1}\theta^{-\alpha}(\sigma) \text{Log}_p \Theta(p+q, \mathcal{P})^\alpha}{a_\alpha(0; \chi, \mathcal{P})}.$$

(2) *Analogy with complex formula*

Let H be an arbitrary finite abelian extension of K and let \mathfrak{h} be the least common multiple of the conductor of ψ and \mathfrak{h}_0 , the conductor of H/K . Let χ' be a ray class character mod \mathfrak{h} such that χ , the proper ray-class character associated with χ' has conductor \mathfrak{h}_0 .

We will see that we have complex formula for $L(\chi', 1)$ which is analogue of (17) and (18).

We take the notation of Robert [16]. Let us consider the set $A(\mathfrak{h})$ of pairs $\{t, \mathfrak{b}\}$ where $t \in \mathbb{C}$ and \mathfrak{b} is an ideal of K , such that $\mathfrak{h} = \{\alpha \in \mathcal{O} \mid \alpha t \in \mathfrak{b}\}$. One says that $\{t, \mathfrak{b}\}$ is equivalent to $\{t', \mathfrak{b}'\}$ if and only if, there exists $\theta \in K^*$ such that $t'/\theta t$ is congruent to 1 mod \mathfrak{h} and $\mathfrak{b}' = \theta \mathfrak{b}$. Denote by \sim this equivalence. For each $\{t, \mathfrak{b}\} \in A(\mathfrak{h})$, $t\mathfrak{h}\mathfrak{b}^{-1}$ is an integral ideal, prime to \mathfrak{h} . Denote by $C_{\{t, \mathfrak{b}\}}$ the ideal class of $t\mathfrak{h}\mathfrak{b}^{-1}$. Robert has shown that the map $\{t, \mathfrak{b}\} \mapsto C_{\{t, \mathfrak{b}\}}$ defines an isomorphism between $A(\mathfrak{h})$ and the ray class group mod \mathfrak{h} , $Cl(\mathfrak{h})$. Let $[w_1, w_2]$ be a basis of \mathfrak{b} ; we define

$$\varphi^{12}(t, \mathfrak{b}) = \theta^{12}(t; w_1, w_2) \exp(-\mathcal{K}(t, t)/16)$$

where $\mathcal{K}(t, t) = 12i\pi\bar{t}t/(w_2\bar{w}_1 - w_1\bar{w}_2)$. It can be shown that $\varphi^{12h}(t, b)$ depends only on $C_{\{t, b\}}$ and we set

$$\varphi_b(C) = \varphi^{12h}(t, b).$$

Now if we consider the pair $\{\rho, \mathcal{O}\}$ where $\rho = \frac{\Omega}{h}$. Then $C_{\{\rho, \mathcal{O}\}} = C_0$ the identity in the ray class group mod \mathfrak{h} . So

$$\Theta^{12h}(\rho, \mathfrak{a}_j) = \varphi(C_0)^{N\mathfrak{a}_j} / \varphi(C_0 C_{\mathfrak{a}_j}).$$

Then:

$$(20) \quad \frac{\sum_{\sigma \in G(K(E_b)/K)} \chi'(\sigma) \text{Log} |\Theta(\rho, \mathcal{P})^\sigma|}{a_0(0; \chi, \mathcal{P})} = \frac{1}{12h} \sum_{C \in Cl(\mathfrak{h})} \chi'(C) \text{Log} |\varphi_b(C)|.$$

Moreover it can be proved that [16]:

$$(21) \quad \frac{1}{w_b h} \sum_{C \in Cl(\mathfrak{h})} \chi'(C) \text{Log} |\varphi_b(C)| = \frac{X(\chi)}{w_{b_0} h_0} \sum_{C \in Cl(\mathfrak{h}_0)} \chi(C) \text{Log} |\varphi_{b_0}(C)|$$

when

$$X(\chi) = \prod_{\mathfrak{q}|\mathfrak{h}} (1 - \bar{\chi}(\mathfrak{q})).$$

Now Siegel [18] has shown that

$$(22) \quad L(\chi, 1) = \frac{2\pi}{T(\bar{\chi})\sqrt{d}w_{b_0}h_0} \sum_{C \in Cl(\mathfrak{h}_0)} \chi(C) \text{Log} |\varphi_{b_0}(C)|.$$

So, from (20), (21), (22) we have

$$(23) \quad X(\chi)L(\chi, 1) = \frac{\pi}{T(\bar{\chi})w_b\sqrt{d}} \frac{\sum_{\sigma \in G(K(E_b)/K)} \chi'(\sigma) \text{Log} |\Theta(\rho, \mathcal{P})^\sigma|}{a_0(0; \chi, \mathcal{P})}.$$

This formula is the complex analogue of (17) and (18). We will try to explain why this holds. We have

$$L(\bar{\chi}', 0) = X(\chi)L(\bar{\chi}, 0)$$

and

$$L(\bar{\chi}', 0) = L(\psi^0 \bar{\chi}', 0) = L(\psi^0 \bar{\chi}, 0).$$

Moreover, from the functional equation [7], we have

$$L(\bar{\chi}, 0) = L(\chi, 1) \frac{\sqrt{d} T(\bar{\chi})}{2\pi}.$$

Then

$$X(\chi) L(\chi, 1) = \frac{2\pi}{\sqrt{d} T(\bar{\chi})} L(\psi^0 \bar{\chi}, 0)$$

and this is to compare with Lemma 12 and 13, if we could put $k = 0$.

IV. \mathfrak{p} -adic residue formula

Again, we suppose throughout this section that H is an arbitrary finite abelian extension of K . As before, we write \mathfrak{h} for the least common multiple of the conductor of H over K , and the conductor of the Grossencharacter ψ of E over K . Finally, p is a rational prime, with $p \neq 2, 3$ and $(p, \mathfrak{h}) = 1$, which splits in K , say $(p) = \mathfrak{p}\bar{\mathfrak{p}}$. For simplicity, we write

$$F = H(E_{\mathfrak{p}}).$$

By analogy with Leopoldt's work, in the cyclotomic case, our aim is to use the result of §.III to find the residue at $s = 1$ of a \mathfrak{p} -adic function that can be viewed almost as the \mathfrak{p} -adic zeta function of F . Such a formula has been studied independently of us by Vishik [20] and Lichtenbaum. We begin by recalling the complex analogue of this formula. By class field theory, we have

$$\zeta_F(s) = \zeta_K(s) \prod_{\chi \neq 1} L(\chi, s)$$

where the product on the right is taken over the non trivial characters χ of the Galois group of F over K , and $L(\chi, s)$ is the primitive complex L -function attached to χ . Let Δ , W , g denote respectively the discriminant of F over \mathbb{Q} the number of roots of unity in F , and

the degree of F over K . Let d , w denote the discriminant of K over \mathbb{Q} , and the number of roots of unity in K . Finally, let R_∞ denote the regulator of F , and h the class number of F . Multiplying by $s - 1$ in the above formula and letting $s \rightarrow 1$ we obtain

$$(24) \quad \frac{(2\pi)^g h R_\infty}{W\sqrt{|\Delta|}} = \frac{2\pi}{w\sqrt{|d|}} \prod_{\chi \neq 1} L(\chi, 1).$$

Let $R_{\mathfrak{p}}$ be the \mathfrak{p} -adic regulator of F over K , as defined on p. 13 of [4]. Also, we can view $\sqrt{|\Delta|}$ and $\sqrt{|d|}$ as lying inside $\mathbb{C}_{\mathfrak{p}}$ by taking their images under our fixed embedding $\tau: \bar{K} \rightarrow \mathbb{C}_{\mathfrak{p}}$ (for simplicity, we identify these elements with their images under τ).

Let \mathcal{P} be the pair defined in the previous section; $\rho = \frac{\Omega}{h\mathfrak{p}}$, where $(h) = \mathfrak{h}$. Let for $\sigma \in G(F/K)$

$$(25) \quad E(\sigma) = \frac{\prod_{\mathfrak{b} \in B} \Theta(\psi(\mathfrak{b})\rho, \mathcal{P})^\sigma}{\prod_{\mathfrak{b} \in B} \Theta(\psi(\mathfrak{b})\rho, \mathcal{P})}.$$

Let \mathcal{E}_1 be the group generated by the $E(\sigma)^{\sigma'}$, with $\sigma' \in G(F/K)$. It is a group of units in F .

Let us denote by

$$(26) \quad A(\mathcal{P}) = \prod_{\chi \neq 1} a_0(0; \chi, \mathcal{P})$$

by

$$(27) \quad X = \prod_{\chi \neq 1} X(\chi)$$

and

$$(28) \quad w' = \prod_{\chi \neq 1} w_{\mathfrak{q}_\chi}$$

where \mathfrak{q}_χ is the least common multiple of the conductor of χ and ψ , where χ runs over all primitive character of $G(F/K)$.

LEMMA 19: *The index of \mathcal{E}_1 in the group of all units in F is given by*

$$2^{g-1}h \frac{ww'}{W} A(\mathcal{P})X.$$

PROOF: It is well known that the index of \mathcal{E}_1 in the group of all units in F is equal to $\frac{U}{R_\infty}$ where $U = \det(\log|E(\sigma)\sigma'|)$ with $\sigma, \sigma' \in G(F/K)$. From (24) we have

$$(29) \quad \prod_{\chi \neq 1} L(\chi, 1) = (2\pi)^{g-1} \frac{w}{W} \frac{R_\infty \sqrt{|d|}}{\sqrt{|\Delta|}} h.$$

Moreover from (23)

$$(30) \quad \prod_{\chi \neq 1} L(\chi, 1) = \pi^{g-1} \frac{\sqrt{|d|}}{(\sqrt{|d|})^g \prod_{\chi \neq 1} T(\bar{\chi})} \frac{1}{w' A(\mathcal{P})X} \\ \times \prod_{\chi \neq 1} \sum_{\sigma \in G(F/K)} \chi(\sigma) \text{Log} \left| \prod_{b \in B} \Theta(\psi(b)\rho, \mathcal{P})^\sigma \right|.$$

But we know [18] that

$$(31) \quad U = \prod_{\chi \neq 1} \sum_{\sigma \in G(F/K)} \chi(\sigma) \text{Log} \left| \prod_{b \in B} \Theta(\psi(b)\rho, \mathcal{P})^\sigma \right|.$$

Combining (29) and (30), we have the lemma, recalling that $(\sqrt{|d|})^g \prod_{\chi \neq 1} T(\bar{\chi}) = \sqrt{|\Delta|}$.

Let us denote

$$P = \left(1 - \frac{1}{p}\right)^{-1} \prod_{\mathfrak{p}} (1 - N(\mathfrak{p}))^{-1}$$

where the product is taken over all primes of F above p .

THEOREM 20:

$$\prod_{\chi \neq 1} L_\chi(\chi, 1) = (2\gamma)^{g-1} h \frac{w}{W} \frac{R_p \sqrt{|d|}}{\sqrt{|\Delta|}} PX \text{ up to } \pm 1.$$

where the product on the left is taken over all non trivial character of $G(F/K)$.

PROOF: From (17) and (18), we know that

$$L_p(\chi, 1) = \frac{\gamma}{\sqrt{d}T(\bar{\chi})w_{\mathfrak{p}_x}}$$

$$\frac{\sum_{\sigma \in G(F/K)} \chi(\sigma) \text{Log}_p \left(\prod_{\mathfrak{b} \in B} \Theta(\psi(\mathfrak{b})\rho, \mathcal{P})^\sigma \right)}{a_0(\mathbf{0}; \chi, \mathcal{P})} \left(1 - \frac{\chi(\mathfrak{p})}{p} \right).$$

Then

$$\prod_{\chi \neq 1} L_p(\chi, 1) = \frac{\gamma^{g-1} \sqrt{|d|}}{\sqrt{|\Delta|} w'} \frac{P}{A(\mathcal{P})} \prod_{\chi \neq 1} \sum_{\sigma \in G(F/K)} \chi(\sigma) \text{Log}_p \left(\prod_{\mathfrak{b} \in B} \Theta(\psi(\mathfrak{b})\rho, \mathcal{P})^\sigma \right).$$

Let

$$U_p = \prod_{\chi \neq 1} \sum_{\sigma \in G(F/K)} \chi(\sigma) \text{Log}_p \left(\prod_{\mathfrak{b} \in B} \Theta(\psi(\mathfrak{b})\rho, \mathcal{P})^\sigma \right).$$

Then

$$U_p = \det(\log_p E(\sigma)^{\sigma'}) \sigma, \sigma' \in G(F/K).$$

But U_p/R_p is equal to the index of \mathcal{E}_1 in the group of all units in F , up to ± 1 . Then

$$U_p = R_p 2^{g-1} h \frac{ww'}{W} A(\mathcal{P})X \text{ up to } \pm 1.$$

Then Theorem 20 is proved.

(2) *Kummer's criterion*

Let us recall what is known about Kummer's criterion in the elliptic case. Let $L_0(\psi^k, s)$ be the *primitive* Hecke L -function of ψ^k for each $k \geq 1$. Let $L_{\mathfrak{p}}^*(\psi^k, k) = w(k-1)! L_0(\psi^k, k)$, $k \equiv 0 \pmod w$. If p is a prime number not in the exceptional set S , which splits in K , it is shown in [4] that the numbers

$$(N) \quad L_{\mathfrak{p}}^*(\psi^k, k) (1 \leq k < p-1; k \equiv 0 \pmod w)$$

are all p -integral. Let $(p) = \mathfrak{p}\bar{\mathfrak{p}}$ and $H_{\mathfrak{p}}$ the ray class field of K modulo \mathfrak{p} . It is shown in [4] the Kummer's criterion i.e.

p divides at least one of the numbers (N) if and only if there exists a $\mathbb{Z}/p\mathbb{Z}$ -extension of $H_{\mathfrak{p}}$, which is unramified outside the prime of $H_{\mathfrak{p}}$ above \mathfrak{p} and which is distinct from the ray class field mod \mathfrak{p}^2 .

The proof of this theorem is divided in two parts. In the first part, the authors use class field theory to establish a Galois theoretic p -adic residue formula for F an arbitrary finite extension of K . Denote by K_∞ the unique Z_p -extension of K , which is unramified outside \mathfrak{p} and $F_\infty = K_\infty F$. The notations are those of the previous section.

LEMMA 21: *Let M be the maximal abelian p -extension of F , which is unramified outside the primes of F lying above \mathfrak{p} . Then $G(M/F_\infty)$ is finite if and only if $R_{\mathfrak{p}} \neq 0$. If $R_{\mathfrak{p}} \neq 0$, the order of $G(M/F_\infty)$ is equal to the inverse of the p -adic valuation of*

$$\frac{p^e h}{W} \frac{R_{\mathfrak{p}} \sqrt{|d|}}{\sqrt{|\Delta|}} P$$

where the integer e is defined by $F \cap K_\infty = K_e$.

Then they combine this with a function theoretic p -adic residue formula due to Katz and Lichtenbaum for the p -adic zeta function of H , over K .

Let now H be an arbitrary finite abelian extension of K and $F = H(E_{\mathfrak{p}})$. Let us consider the numbers

$$N' \left\{ \begin{array}{l} \lambda_k \left(1 - \frac{\chi(\mathfrak{p}) \psi^k(\mathfrak{p})}{N_{\mathfrak{p}}} \right) L(\bar{\chi} \bar{\psi}^k, k) (1 \leq k < p-1, k \not\equiv 0 \pmod{w}) \\ \lambda_k \left(1 - \frac{\chi(\mathfrak{p}) \psi^k(\mathfrak{p})}{N_{\mathfrak{p}}} \right) L(\bar{\chi} \bar{\psi}^k, k) \prod_{\substack{q|f \\ q \neq p}} \left(1 - \bar{\chi}(q) \frac{\bar{\psi}^k(q)}{N_{\mathfrak{q}^k}} \right)^{-1} \\ \hspace{15em} (1 \leq k < p-1, k \equiv 0 \pmod{w}) \end{array} \right.$$

for all primitive character χ associated to the characters of the Galois group $G(F/K)$.

Let \mathfrak{P} denote any prime of H above \mathfrak{p} .

THEOREM 22: *\mathfrak{P} divides at least one of the numbers (N') if and only if there exists a Z/p Z -extension of F , which is unramified outside the primes of $H(E_{\mathfrak{p}})$ above \mathfrak{p} and which is distinct from $H(E_{\mathfrak{p}^2})$.*

PROOF: Theorem 20 shows that

$$\left| \prod_{\chi^{\theta^a} \neq 1} L_{\mathfrak{p}}(\chi^{\theta^a}, 1) \right| = \left| \frac{h}{W} \frac{R_{\mathfrak{p}} \sqrt{|d|}}{\sqrt{|\Delta|}} X P \right|_{\mathfrak{p}}$$

For all $\chi\theta^\alpha$, $L_p(\chi\theta^\alpha, s)$ is an Iwasawa function. Then, for all integers $k \geq 0$

$$L_p(\chi\theta^\alpha, 1) \equiv L_p(\chi\theta^\alpha, 1 - k) \pmod{\mathfrak{p}}.$$

But from theorem 10, if $k \equiv \alpha \pmod{p-1}$ $k \geq 1$

$$L_p(\chi\theta^\alpha, 1 - k) = \gamma^{1-k} \lambda_k \left(1 - \frac{\chi(\mathfrak{p})\psi^k(\mathfrak{p})}{N\mathfrak{p}} \right) L(\bar{\chi}\bar{\psi}^k, k).$$

This shows that if $k \equiv \alpha \pmod{p-1}$, $k \geq 1$

$$L_p(\chi\theta^\alpha, 1) \equiv \left(1 - \frac{\chi(\mathfrak{p})\psi^k(\mathfrak{p})}{N\mathfrak{p}} \right) \gamma^{1-k} \lambda_k L(\bar{\chi}\bar{\psi}^k, k) \pmod{\mathfrak{p}}.$$

Moreover, if $k \equiv \alpha \pmod{p-1}$

$$\prod_{\mathfrak{q}|\mathfrak{p}} (1 - \bar{\chi}\theta^{-\alpha}(\mathfrak{q})) = \prod_{\mathfrak{q}|\mathfrak{f}} (1 - \bar{\chi}(\mathfrak{q}) \omega^{-k}(\psi(\mathfrak{q}))).$$

And if $k \equiv 0 \pmod{w}$

$$X(\chi\theta^\alpha) \equiv \prod_{\mathfrak{q}|\mathfrak{f}} (1 - \bar{\chi}(\mathfrak{q})\psi^{-k}(\mathfrak{q})) \pmod{\mathfrak{p}}$$

Or

$$X(\chi\theta^\alpha) \equiv \prod_{\mathfrak{q}|\mathfrak{f}} \left(1 - \bar{\chi}(\mathfrak{q}) \frac{\bar{\psi}^k(\mathfrak{q})}{N\mathfrak{q}^k} \right) \pmod{\mathfrak{p}}.$$

Thus, if $\alpha \equiv k \pmod{p-1}$

$$X(\chi\theta^\alpha)^{-1} L_p(\chi, 1) \equiv \left(1 - \frac{\chi(\mathfrak{p})\psi^k(\mathfrak{p})}{N\mathfrak{p}} \right) \lambda_k \gamma^{1-k} L(\bar{\chi}\bar{\psi}^k, k) \pmod{\mathfrak{p}}$$

if $k \not\equiv 0 \pmod{p-1}$

$$X(\chi\theta^\alpha)^{-1} L_p(\chi, 1) \equiv \left(1 - \frac{\chi(\mathfrak{p})\psi^k(\mathfrak{p})}{N\mathfrak{p}} \right) \lambda_k \gamma^{1-k} L(\bar{\chi}\bar{\psi}^k, k) \prod_{\mathfrak{q}|\mathfrak{f}} \left(1 - \bar{\chi}(\mathfrak{q}) \frac{\bar{\psi}^k(\mathfrak{q})}{N\mathfrak{q}^k} \right) \pmod{\mathfrak{p}}$$

if $k \equiv 0 \pmod{p-1}$.

Now we have just to use Lemma 20.

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Laboratoire associé au
C.N.R.S. n°226
U.E.R. de Mathématiques
et d’Informatique de
l’Université de Bordeaux I
351, cours de la Libération
33405 TALENCE CEDEX