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## TRANSFER MAPS FOR FIBRATIONS AND DUALITY

J. C. Becker and D. H. Gottlieb

### 1. Introduction

In this paper we will describe a transfer construction for (Hurewicz) fibrations which is a generalization of that for fiber bundles studied in [4, 5]. We suppose given a commutative triangle

$$\begin{array}{ccc} E & \xrightarrow{f} & E \\ & \searrow p & \swarrow p \\ & B & \end{array}$$

where  $p : E \rightarrow B$  is a fibration having fiber  $F$  a finite complex and base  $B$  a connected finite dimensional complex. With this data we show that there is an  $S$ -map, which we call a *transfer* map,

$$\tau(f) : B^+ \rightarrow E^+$$

having the property that

$$\tilde{H}^*(B^+) \xrightarrow{p^*} \tilde{H}^*(E^+) \xrightarrow{\tau(f)^*} \tilde{H}^*(B^+)$$

is multiplication by the Lefschetz number  $\Lambda$  of  $f' : F \rightarrow F$ , the restriction of  $f$  to the fiber. (Although  $f'$  is not unique we allow this abuse of language since  $\Lambda$  is independent of the choice of  $f'$ .)

The existence of  $\tau(f)$  severely restricts the projection map of the fibration. For example

$$p_* : \{X; E^+\}_q \otimes Z[\Lambda^{-1}] \rightarrow \{X; B^+\}_q \otimes Z[\Lambda^{-1}]$$

is a split epimorphism for any (pointed) finite dimensional complex  $X$ .

We will show that the boundary map  $\omega : \Omega B \rightarrow F$  arising from the Puppe sequence of the fibration  $p : E \rightarrow B$  is also restricted by the transfer. Precisely, we have

(1.1) THEOREM: *Assume that  $F$  is connected. Then*

$$\Lambda \omega_* : \{X; \Omega B\}_q \rightarrow \{X; F\}_q$$

*is trivial for any finite dimensional complex  $X$ .*

An independent method of extending the notion of transfer from fiber bundles to fibrations is given in [7]. The method which we describe here is intrinsic and has the advantage that many basic properties of the transfer are easily derived. A. Dold [9] has also independently defined the transfer, placing somewhat different restrictions on the projection  $p$  and fiber preserving map  $f$ .

The outline of the paper is as follows. In section 2 we give a homotopy characterization of the Lefschetz number of a map. Although an elementary fact it is the key point in defining the transfer. In section 3 we deal with some homotopy properties of ex-spaces and in section 4 with the duality theory of ex-spaces. This generalization of Spanier-Whitehead duality is purely formal except for the question of the existence of dual ex-spaces (theorem 4.2). In sections 5 thru 7 we define the transfer and establish its basic properties. In section 8 we prove theorem (1.1) mentioned above and describe some consequences of the theorem. In section 9 we consider smooth fiber bundles and in this case we give a more geometric description of the transfer.

## 2. The Lefschetz number

Suppose that  $F$  is a finite complex with base point and  $f : F \rightarrow F$  is a base point preserving map. By the reduced Lefschetz number of  $f$  we mean

$$\tilde{L}_f = \sum (-1)^i \operatorname{tr} [f_* : \tilde{H}_i(F) \rightarrow \tilde{H}_i(F)].$$

Let  $\mu : S^s \rightarrow F \wedge \hat{F}$  be a duality map in the sense of Spanier [15]. Then  $F \wedge \hat{F}$  is  $2s$ -self dual via the map

$$S^{2s} \xrightarrow{\mu \wedge \mu} (F \wedge \hat{F}) \wedge (F \wedge \hat{F}) \xrightarrow{\alpha} (F \wedge \hat{F}) \wedge (F \wedge \hat{F})$$

where  $\alpha(x \wedge y \wedge x' \wedge y') = x' \wedge y \wedge x \wedge y'$ . Denote this composite by  $\nu$  and let  $\hat{\mu} : F \wedge \hat{F} \rightarrow S^s$  be dual to  $\mu$  relative to  $\nu$ . The following lemma provides a homotopy description of the reduced Lefschetz number of  $f$ . It is the analogue for base point preserving maps of the Lefschetz fixed point theorem given by Dold [8, theorem (4.1)].

(2.1) LEMMA: *The composite  $S^s \xrightarrow{\mu} F \wedge \hat{F} \xrightarrow{f \wedge 1} F \wedge \hat{F} \xrightarrow{\hat{\mu}} S^s$  has degree  $\tilde{A}_f$ .*

PROOF: We have the following homotopy commutative diagram

$$(2.2) \quad \begin{array}{ccc} & & (F \wedge \hat{F}) \wedge (F \wedge \hat{F}) \\ & \nearrow \nu & \downarrow \hat{\mu} \wedge 1 \\ S^{2s} & & S^s \wedge (F \wedge \hat{F}) \\ & \searrow 1 \wedge \mu & \end{array}$$

Let  $Q$  denote the rational numbers and choose a generator  $\gamma \in \tilde{H}_s(S^s; Q)$ . Let  $\{u_p\}$  be a basis for  $\tilde{H}_*(F; Q)$  and  $\{v_p\}$  a basis for  $\tilde{H}_*(\hat{F}; Q)$ . Let  $d(u_p)$  and  $d(v_p)$  denote respectively the dimension of  $u_p$  and  $v_p$ . Write

$$\mu_*(\gamma) = \sum_{i,j} a_{ij} u_i \wedge v_j$$

and

$$\hat{\mu}_*(u_i \wedge v_j) = b_{ij} \gamma$$

Let  $A = |a_{ij}|$ ,  $B = |b_{ij}|$ , and  $D = |(-1)^{d(u_i)} \delta_{ij}|$  where  $\delta_{ij}$  is the Kronecker symbol. By (2.2) we have

$$(1 \wedge \mu)_*(\gamma \wedge \gamma) = (\hat{\mu} \wedge 1)_* \nu_*(\gamma \wedge \gamma).$$

By expressing each side in terms of the basis elements  $\gamma \wedge u_i \wedge v_j$  and equating coefficients, we obtain the relation  $A = DAB^T A$ . Since  $A$  is non-singular  $AB^T = D$ .

Now suppose that  $f_*(u_i) = \sum_k c_{ik} u_k$ . We have

$$\begin{aligned} \hat{\mu}_*(f \wedge 1)_* \mu_*(\gamma) &= \hat{\mu}_*(f \wedge 1)_* \left( \sum_{i,j} a_{ij} u_i \wedge v_j \right) \\ &= \hat{\mu}_* \left( \sum_{i,j,k} a_{ij} c_{ik} u_k \wedge v_j \right) \\ &= \sum_{i,j,k} a_{ij} b_{kj} c_{ik} \gamma \\ &= \sum_{i,k} (-1)^{d(u_i)} \delta_{ik} c_{ii} \gamma \\ &= \sum_i (-1)^{d(u_i)} c_{ii} \gamma = \tilde{A}_f \gamma \end{aligned}$$

This completes the proof.

### 3. Ex-spaces

Consider a trivial fibration  $p : F \rightarrow *$  and a map  $f : F \rightarrow F$ , where  $F$  is a finite complex. In this case the transfer map we seek is to be of the form  $\tau(f) : S^s \rightarrow S^s \wedge F^+$ , for large  $s$ , and is to have the property that

$$S^s \xrightarrow{\tau(f)} S^s \wedge F^+ \xrightarrow{1 \wedge p^+} S^s \text{ have degree } \Lambda_f.$$

To construct  $\tau(f)$  let  $\mu : S^s \rightarrow F^+ \wedge \hat{F}$  be a duality map and take  $\tau(f)$  to be composite

$$\begin{aligned} S^s &\xrightarrow{\mu} F^+ \wedge \hat{F} \xrightarrow{(1, f^+) \wedge 1} F^+ \wedge F^+ \wedge \hat{F} \xrightarrow{1 \wedge \hat{\mu}} \\ &F^+ \wedge S^s \longrightarrow S^s \wedge F^+. \end{aligned}$$

Then it is immediate from the preceding lemma that  $p\tau(f)$  has degree  $\Lambda_f$ .

In order to define  $\tau(f)$  in general we intend to carry out the above construction “fiberwise”. This leads naturally to the consideration of ex-spaces and duality for ex-spaces. In this section we discuss some aspects of the homotopy theory of ex-spaces and in the following section we deal with duality proper.

We shall work entirely in the category of compactly generated spaces [17]. Recall that an ex-space [13]  $E = (E, B, p, \Delta)$  consists of maps  $p : E \rightarrow B$  and  $\Delta : B \rightarrow E$  such that  $p\Delta = 1$ . We assume throughout that  $B$  is a  $CW$ -complex and  $E$  has the homotopy type of a  $CW$ -complex. An *ex-map*  $f : E \rightarrow E'$  is one which is both fiber and cross-section preserving, i.e.  $p'f = p$  and  $f\Delta = \Delta'$ . The set of ex-homotopy classes of ex-maps from  $E$  to  $E'$  is denoted by  $[E; E']$ .

An ex-space  $E$  is an *ex-fibration* if there is a lifting function

$$\Gamma : E \times_B B^I \rightarrow E^I$$

with the property that  $\Gamma(\Delta(b), \sigma) = \Delta\sigma$ , when  $\sigma$  is a path in  $B$  beginning at  $b$ . We will also need the notion of a *well based* ex-space as in [13].  $E$  is well based if there is a vertical retraction map  $E \times I \rightarrow E \times \{0\} \cup \Delta(B) \times I$ .

If  $p : E \rightarrow B$  is a map we have an associated ex-space  $\bar{E} = (\bar{E}, B, \bar{p}, \bar{\Delta})$  where  $\bar{E}$  is the disjoint union of  $E$  and  $B$  and  $\bar{p}$  and  $\bar{\Delta}$  are the obvious maps. Observe that  $\bar{E}$  is well based, and if  $p : E \rightarrow B$  is a fibration,  $\bar{E}$  is an ex-fibration.

If  $X$  is a pointed space we will also use  $X$  to denote the ex-space

$(X \times B, B, p, \Delta)$  where  $p$  is projection on the second factor and  $\Delta$  is the cross section determined by the base point.

The fiberwise reduced product of ex-spaces  $E$  and  $E'$  is denoted by  $E \wedge_B E'$ . Let  $r: E \times_B E' \rightarrow E \wedge_B E'$  denote the identification map. Because of the exponential law in the category of compactly generated spaces,  $r \times 1: (E \times_B E') \times Y \rightarrow (E \wedge_B E') \times Y$  is an identification for any space  $Y$ . From this it is easy to see that  $r \times_B 1: (E \times_B E') \times_B Y \rightarrow (E \wedge_B E') \times_B Y$  is an identification for any space  $Y$  over  $B$ . With this last observation it is easy to prove the following.

(3.1) LEMMA: *If  $E$  and  $E'$  are well based so is  $E \wedge_B E'$ . If  $E$  and  $E'$  are ex-fibrations so is  $E \wedge_B E'$ .*

(3.2) THEOREM: (Comparison theorem): *Let  $E$  and  $E'$  be ex-fibrations and suppose  $g: E \rightarrow E'$  is such that its restriction to the fiber over  $b$ ,  $g_b: F_b \rightarrow F'_b$  is an  $n$ -equivalence,  $b \in B$ . Let  $X$  be a well based ex-space. Then  $g_*: [X; E] \rightarrow [X; E']$  is injective if  $X$  is  $n$ -coconnected and surjective if  $X$  is  $(n+1)$ -coconnected.*

The proof is the same as the proof given for bundles in [1; theorem 3.3]. For other versions of the comparison theorem, see Eggar [11; Theorem 3.9] and James [14; Theorem 3.2].

(3.3) COROLLARY: *Suppose that  $E$  and  $E'$  are well based ex-fibrations and  $g: E \rightarrow E'$  is such that  $g_b: F_b \rightarrow F'_b$  is a homotopy equivalence,  $b \in B$ . Then  $g$  is an ex-homotopy equivalence.*

Given  $E = (E, B, p, \Delta)$  let  $\Omega_B(E)$  denote the space of loops  $\sigma: I \rightarrow E$  such that  $\sigma(I) \subset F_b$  for some  $b \in B$ , and  $\sigma(0) = \sigma(1) = \Delta(b)$ . We have

$$\Omega(p): \Omega_B(E) \rightarrow B \quad \text{and} \quad \Omega(\Delta): B \rightarrow \Omega_B(E)$$

by  $\Omega(p)(\sigma) = p(\sigma(0))$  and  $\Omega(\Delta)(b) = \Delta(b) = \Delta(b)^*$  – the constant loop at  $\Delta(b)$ . If  $E$  is an ex-fibration so is  $\Omega_B(E)$  as is easily checked.

There is the suspension map

$$(3.4) \quad \sigma: [E, E'] \rightarrow [S^1 \wedge_B E; S^1 \wedge_B E']$$

by  $f \rightarrow 1 \wedge f$ . By a standard argument involving the comparison theorem and the loop space  $\Omega_B(S^1 \wedge E')$ , we obtain the following suspension theorem (c.f. [1; Theorem 3.14] or [14; Theorem 4.3]).

(3.5) THEOREM: Suppose that  $E'$  is an ex-fibration such that each fiber  $F_{b'}$  is  $(n-1)$ -connected. Let  $E$  be well based. Then  $\sigma$  is injective if  $E$  is  $(2n-1)$ -coconnected and surjective if  $E$  is  $2n$ -coconnected.

Let

$$(3.6) \quad \{E; E'\}_q = \vec{\text{LIM}}_k[S^{k+q} \wedge E; S^k \wedge E']$$

with the natural abelian group structure. The cone over  $E$  is  $C(E) = I \wedge_B E$  with 0 the base point of  $I$ .

Suppose that  $A$  is a subcomplex of  $B$ . Let  $E_A = p^{-1}(A) \cup \Delta(B)$  regarded as an ex-space of  $B$ . Then, as in [13], we have an exact sequence

$$\cdots \rightarrow \{E \cup C(E_A); E'\}_q \rightarrow \{E; E'\}_q \rightarrow \{E_A; E'\}_q \rightarrow \cdots$$

Let  $E/E_A$  be the quotient of  $E$  obtained by identifying each fiber of  $E_A$  to its base point and let  $c: E \cup C(E_A) \rightarrow E/E_A$  denote the natural map. Note that if  $E$  is well based so are  $E_A$ ,  $E/E_A$  and  $E \cup C(E_A)$ .

(3.7) LEMMA: If  $E$  and  $E'$  are ex-fibrations and  $E$  is well based then  $c^\# : [E/E_A; E'] \rightarrow [E \cup C(E_A); E']$  is bijective.

A proof is given in section 10. Now if  $E$  and  $E'$  meet the requirements of the lemma we may replace  $\{E \cup C(E_A); E'\}$  in the above sequence by  $\{E/E_A; E'\}$  via  $c^\#$  and so obtain an exact sequence

$$(3.8) \quad \cdots \rightarrow \{E/E_A; E'\}_q \rightarrow \{E; E'\}_q \rightarrow \{E_A; E'\}_q \rightarrow \cdots$$

#### 4. Duality

In this section we will outline Spanier-Whitehead duality theory in the category of ex-spaces. Some aspects of this theory have been dealt with by K. Tsuchida [18]. We restrict ourselves to ex-spaces which are well based ex-fibrations having base  $B$  a finite dimensional complex and each fiber homotopy equivalent to a finite complex. Briefly, we will refer to such ex-spaces as ex-fibrations.

An ex-map  $\mu: S^s \times B \rightarrow E \wedge_B \hat{E}$  is a *duality map* if for each  $b \in B$  the restricted map  $\mu_b: S^s \rightarrow F_b \wedge \hat{F}_b$  is a duality map in the usual sense.

Given such a duality map and ex-fibrations  $X$  and  $Y$  we have

$$(4.1) \quad D_\mu : \{X \wedge E; Y\}_q \rightarrow \{X; Y \wedge \hat{E}\}_{q+s}$$

defined by sending  $f: S^{k+q} \wedge X \wedge E \rightarrow S^k \wedge Y$  to

$$\begin{aligned} S^{k+q+s} \wedge X &\longrightarrow S^{k+q} \wedge X \wedge S^s \xrightarrow{1 \wedge \mu} \\ &S^{k+q} \wedge X \wedge E \wedge \hat{E} \xrightarrow{f \wedge 1} S^k \wedge Y \wedge \hat{E} \end{aligned}$$

and

$$(4.2) \quad D^\mu : \{\hat{E} \wedge X; Y\}_q \rightarrow \{X; E \wedge Y\}_{q+s}$$

by sending  $f: S^{k+q} \wedge \hat{E} \wedge X \rightarrow S^k \wedge Y$  to

$$\begin{aligned} S^{k+q+s} \wedge X &\xrightarrow{1 \wedge \mu \wedge 1} S^{k+q} \wedge E \wedge \hat{E} \wedge X \longrightarrow \\ &E \wedge S^{k+q} \wedge \hat{E} \wedge X \xrightarrow{1 \wedge f} E \wedge S^k \wedge Y \longrightarrow S^k \wedge E \wedge Y. \end{aligned}$$

(4.3) LEMMA:  $D_\mu$  and  $D^\mu$  are isomorphisms.

This follows from the corresponding fact for pointed spaces if all the ex-spaces involved are products. The proof in general is by induction over the skeleta of  $B$  using the exact sequence (3.8). The argument is standard and will be omitted.

If  $\nu: S^s \times B \rightarrow X \wedge \hat{X}$  is a second duality map we have, as in the case of pointed spaces, an isomorphism

$$(4.4) \quad D(\mu, \nu): \{E, X\}_q \rightarrow \{\hat{X}; \hat{E}\}_q$$

defined so as to make the following diagram commutative

$$(4.5) \quad \begin{array}{ccc} \{E; X\}_q & \xrightarrow{D(\mu, \nu)} & \{\hat{X}; \hat{E}\}_q \\ \downarrow D_\mu & & \swarrow D^\nu \\ & \{S^s \times B, X \wedge \hat{E}\}_{q+s} \end{array}$$

In particular,  $f: E \rightarrow X$  is dual to  $g: \hat{X} \rightarrow \hat{E}$  relative to  $\mu$  and  $\nu$  if and

only if the diagram

$$(4.6) \quad \begin{array}{ccc} S^s \times B & \xrightarrow{\mu} & E \wedge \hat{E} \\ \downarrow \nu & & \downarrow f \wedge 1 \\ X \wedge \hat{X} & \xrightarrow{1 \wedge g} & X \wedge \hat{E} \end{array}$$

is stably homotopy commutative.

(4.7) THEOREM: *If  $E$  is an ex-fibration there is an integer  $s$ , an ex-fibration  $\hat{E}$ , and a duality map  $\mu : S^s \times B \rightarrow E \wedge \hat{E}$ .*

A proof is given in section 11.

## 5. Transfer

Let  $\mathcal{F}$  denote the category of fibrations  $p : E \rightarrow B$  such that  $B$  is a finite dimensional complex and each fiber is homotopy equivalent to a finite complex. We consider commutative triangles

$$\begin{array}{ccc} E & \xrightarrow{f} & E \\ & \searrow p & \swarrow p \\ & B & \end{array}$$

where  $p : E \rightarrow B$  is in  $\mathcal{F}$ . We will construct for such a triangle and for  $A$  a subcomplex of  $B$  a *transfer* map, which is an  $S$ -map

$$(5.1) \quad \tau(f) : B/A \rightarrow E/E_A.$$

Here  $E_A = p^{-1}(A)$ .

Consider the ex-space  $\bar{E}$ , the disjoint union of  $E$  and  $B$ . Since  $\bar{E}$  is an ex-fibration in the sense of section 4, there is an ex-fibration  $\hat{E}$  and a duality map

$$\mu : S^s \times B \rightarrow \bar{E} \wedge \hat{E}.$$

Analogous to the situation for pointed spaces (see section 2),  $\bar{E} \wedge \hat{E}$  is canonically  $2s$ -self dual. Let

$$\hat{\mu} : \bar{E} \wedge \hat{E} \rightarrow S^s \times B$$

be dual to  $\mu$ . We have

$$S^s \times B \xrightarrow{\mu} \bar{E} \wedge \hat{E} \xrightarrow{(1, \bar{f}) \wedge 1} \bar{E} \wedge \bar{E} \wedge \hat{E} \xrightarrow{1 \wedge \hat{\mu}} \bar{E} \wedge S^s \longrightarrow S^s \wedge \bar{E}$$

which takes  $S^s \times A \cup s_0 \times B$  into  $S^s \times E_A \cup s_0 \times B$ .

Identifying these subspaces to a point, the above map yields

$$\tau(f): S^s \wedge B/A \rightarrow S^s \wedge E/E_A.$$

We will show now that the  $S$ -homotopy class of  $\tau(f)$  is well defined. Firstly, if  $\mu$  is replaced by a suspension, this has the effect of replacing  $\tau(f)$  by its suspension. Suppose now that

$$(5.2) \quad \begin{array}{ccc} E_i & \xrightarrow{f_i} & E_i \\ & \searrow \rho_i & \swarrow \rho_i \\ & B & \end{array}$$

$i = 1, 2$ , are given and  $h: E_1 \rightarrow E_2$  is a fiber homotopy equivalence such that  $hf_1 = f_2h$ . Let

$$\mu_i: S^s \times B \rightarrow \bar{E}_i \wedge \hat{E}_i, \quad i = 1, 2,$$

be duality maps and let  $k: \hat{E}_2 \rightarrow \hat{E}_1$  be dual to  $\bar{h}$ . Then  $k$  is an ex-homotopy equivalence and we have commutativity relations

$$\begin{array}{ccc} S^s \times B & \xrightarrow{\mu_1} & \bar{E}_1 \wedge \hat{E}_1 \\ & \searrow \mu_2 & \downarrow \bar{h} \wedge k^{-1} \\ & & \bar{E}_2 \wedge \hat{E}_2 \end{array} \quad , \quad \begin{array}{ccc} \bar{E}_1 \wedge \hat{E}_1 & \xrightarrow{\hat{\mu}_1} & S^s \times B \\ & \searrow \hat{\mu}_2 & \downarrow \bar{h} \wedge k^{-1} \\ \bar{E}_2 \wedge \hat{E}_2 & & \end{array}$$

where the second triangle is obtained by dualizing the first. The following diagram is then commutative.

$$\begin{array}{ccccccc} & & \bar{E}_1 \wedge \hat{E}_1 & \xrightarrow{(1, \bar{f}_1) \wedge 1} & \bar{E}_1 \wedge \bar{E}_1 \wedge \hat{E}_1 & \xrightarrow{1 \wedge \hat{\mu}_1} & \bar{E}_1 \wedge S^s \\ & \nearrow \mu_1 & \downarrow \bar{h} \wedge k^{-1} & & \downarrow \bar{h} \wedge \bar{h} \wedge k^{-1} & & \downarrow \bar{h} \wedge 1 \\ S^s \times B & & & & & & \\ & \searrow \mu_2 & \bar{E}_2 \wedge \hat{E}_2 & \xrightarrow{(1, \bar{f}_2) \wedge 1} & \bar{E}_2 \wedge \bar{E}_2 \wedge \hat{E}_1 & \xrightarrow{1 \wedge \hat{\mu}_2} & \bar{E}_2 \wedge S^s \end{array}$$

Therefore  $\bar{h}\tau(f_1) = \tau(f_2)$ . Taking  $h$  to be the identity we see that  $\tau(f)$  does not depend on the choice of duality map and moreover,  $\tau(f)$  depends only on the fiber homotopy class of  $f$ .

We also established the following functorial property

(5.3) *With the data (5.2) if  $h : E_1 \rightarrow E_2$  is a fiber homotopy equivalence such that  $hf_1$  is fiber homotopic to  $f_2h$  then  $h\tau(f_1) = \tau(f_2)$ .*

Now suppose we are given

$$\begin{array}{ccc} E & \xrightarrow{f} & E \\ & \searrow p & \swarrow p \\ & B & \end{array}$$

and a map  $g : X \rightarrow B$ . There is the pullback diagram

$$\begin{array}{ccc} \tilde{E} & \xrightarrow{g} & E \\ \downarrow \tilde{p} & & \downarrow p \\ X & \xrightarrow{g} & B \end{array} \quad \text{and the induced triangle} \quad \begin{array}{ccc} \tilde{E} & \xrightarrow{\tilde{f}} & \tilde{E} \\ & \searrow \tilde{p} & \swarrow \tilde{p} \\ & X & \end{array}$$

(5.4) *We have  $\tilde{g}\tau(\tilde{f}) = \tau(f)g$ .*

This is easily checked.

We may form the sum and product of the triangles in (5.2) obtaining

$$\begin{array}{ccc} E_1 + E_2 & \xrightarrow{f_1 + f_2} & E_1 + E_2 \\ & \searrow p_1 + p_2 & \swarrow p_1 + p_2 \\ & B_1 + B_2 & \end{array}, \quad \begin{array}{ccc} E_1 \times E_2 & \xrightarrow{f_1 \times f_2} & E_1 \times E_2 \\ & \searrow p_1 \times p_2 & \swarrow p_1 \times p_2 \\ & B_1 \times B_2 & \end{array}$$

where  $+$  denotes disjoint union.

$$(5.5) \quad \tau(f_1 + f_2) = \tau(f_1) \vee \tau(f_2) : (B_1/A_1) \vee (B_2/A_2) \rightarrow (E_1/E_{A_1}) \vee (E_2/E_{A_2})$$

$$(5.6) \quad \tau(f_1 \times f_2) = \tau(f_1) \wedge \tau(f_2) : (B_1/A_1) \wedge (B_2/A_2) \rightarrow (E_1/E_{A_1}) \wedge (E_2/E_{A_2}).$$

These properties follow from standard properties of duality maps as generalized to ex-spaces.

(5.7) For the triangle

$$\begin{array}{ccc} B & \xrightarrow{1} & B \\ & \searrow 1 & \swarrow 1 \\ & B & \end{array}$$

$\tau(1): B/A \rightarrow B/A$  is the identity map.

## 6. Products

We consider now the multiplicative properties of the cohomology homomorphism induced by the transfer. We have a commutative diagram

$$(6.1) \quad \begin{array}{ccccc} E & \xrightarrow{d} & E \times E & \xrightarrow{p \times 1} & B \times E \\ \downarrow p & & & & \downarrow 1 \times p \\ B & \xrightarrow{d} & B \times B & & \end{array}$$

where  $d$  is the diagonal map. From (5.3), (5.4), (5.6) and (5.7) we obtain, for subcomplexes  $A$  and  $C$  of  $B$ , a commutative diagram

$$(6.2) \quad \begin{array}{ccccc} E/E_{A \cup C} & \xrightarrow{d} & E/E_A \wedge E/E_C & \xrightarrow{p \wedge 1} & B/A \wedge E/E_C \\ \uparrow \tau(f) & & & & \uparrow 1 \wedge \tau(f) \\ B/A \cup C & \xrightarrow{d} & B/A \wedge B/C & & \end{array}$$

Let  $M$  be a ring spectrum and  $N$  an  $M$ -module as in [19]. From the commutativity of the above diagram we obtain the formulas

$$(6.3) \quad \begin{aligned} \tau(f)^*(p^*(x) \cup y) &= x \cup \tau(f)^*(y), \\ x &\in M^s(B/A), y \in N^t(E/E_C). \end{aligned}$$

$$(6.4) \quad \begin{aligned} p_*(\tau(f)_*(x) \cap y) &= x \cap \tau(f)_*(y), \\ x &\in N_s(B/A \cup C), y \in M^t(E/E_C). \end{aligned}$$

Now consider the triangle

$$\begin{array}{ccc} F & \xrightarrow{f} & F \\ & \searrow p & \swarrow p \\ & \text{pt.} & \end{array}$$

In the diagram

$$\begin{array}{ccccc} S^s & \xrightarrow{\mu} & (F^+) \wedge \hat{F} & \xrightarrow{(1, f^+) \wedge 1} & (F^+) \wedge (F^+) \wedge \hat{F} & \xrightarrow{1 \wedge \hat{\mu}} & (F^+) \wedge S^s \\ & & \downarrow f^+ \wedge 1 & & & & \downarrow p \wedge 1 \\ & & (F^+) \wedge \hat{F} & \xrightarrow{\hat{\mu}} & S^s & & \end{array}$$

the composite  $(1 \wedge \hat{\mu})((1, f^+) \wedge 1)\mu$  represents  $\tau(f)$ . Hence, by lemma (2.1) and the commutativity of the diagram we have (identifying  $\text{pt.}^+$  with  $S^0$ ).

(6.5)  $p\tau(f): S^0 \rightarrow S^0$  has degree  $\tilde{\Lambda}(f^+) = \Lambda(f)$  – the Lefschetz number of  $f$ .

We can now establish the fundamental property of the transfer. Consider

$$\begin{array}{ccc} E & \xrightarrow{f} & E \\ & \searrow p & \swarrow p \\ & B & \end{array}$$

with  $p: E \rightarrow B$  in  $\mathcal{F}$ . Let  $f_b: F_b \rightarrow F_b$  denote the restriction of  $f$  to the fiber over  $b \in B$  and let  $\Lambda$  denote the Lefschetz number of  $f_b$ . Let  $H(-; \Gamma)$  denote singular theory with coefficients in the abelian group  $\Gamma$ .

(6.6) THEOREM: If  $B$  is connected the composite

$$\tilde{H}^*(B/A; \Gamma) \xrightarrow{p^*} \tilde{H}^*(E/E_A; \Gamma) \xrightarrow{\tau(f)^*} \tilde{H}^*(B/A; \Gamma)$$

is multiplication by  $\Lambda$ .

PROOF: Consider the inclusion

$$\begin{array}{ccc} F_b & \xrightarrow{i_b} & E \\ \downarrow p_b & & \downarrow p \\ \{b\} & \xrightarrow{i_b} & B \end{array}$$

By (6.5), for  $1 \in \tilde{H}^\circ(B^+; Z)$

$$i_b^* \tau(f)^* p^*(1) = \tau(f_b)^* p_b^*(1) = \Lambda.$$

Since  $i_b^*: \tilde{H}^\circ(B^+; Z) \rightarrow \tilde{H}^\circ(\{b\}^+; Z)$  is an isomorphism,

$$\tau(f)^*(1) = \tau(f)^* p^*(1) = \Lambda$$

Applying (6.3), we have for  $x \in H^*(B/A; \Gamma)$ ,

$$\begin{aligned} \tau(f)^* p^*(x) &= \tau(f)^*(p^*(x) \cup 1) \\ &= x \cup \tau(f)^*(1) = \Lambda x. \end{aligned}$$

## 7. The retraction property

In this section we compare the transfer for a fibration with that of a retract up to homotopy.

Suppose that  $p: E \rightarrow B$  and  $q: D \rightarrow B$  are fibrations in  $\mathcal{F}$  and

$$D \xrightarrow{\lambda} E \xrightarrow{\rho} D$$

are fiber preserving maps such that  $\rho\lambda \simeq 1$  over the identity. Then if  $f: D \rightarrow D$  is a fiber preserving map we have triangles

$$\begin{array}{ccc} D & \xrightarrow{f} & D \\ & \searrow q & \swarrow q \\ & B & \end{array}, \quad \begin{array}{ccc} E & \xrightarrow{\lambda f \rho} & E \\ & \searrow p & \swarrow p \\ & B & \end{array}$$

(7.1) THEOREM:  $\lambda\tau(f) = \tau(\lambda f\rho): B/A \rightarrow E/E_A$ .

PROOF: Let

$$\begin{aligned}\mu_1: S^s \times B &\rightarrow \bar{D} \wedge \hat{D} \\ \mu_2: S^s \times B &\rightarrow \bar{E} \wedge \hat{E}\end{aligned}$$

be duality maps. Let  $\hat{\lambda}: \hat{E} \rightarrow \hat{D}$  be dual to  $\bar{\lambda}: \bar{D} \rightarrow \bar{E}$  relative to  $\mu_1$  and  $\mu_2$ , so that

$$(7.2) \quad \begin{array}{ccc} S^s \times B & \xrightarrow{\mu_1} & \bar{D} \wedge \hat{D} \\ \downarrow \mu_2 & & \downarrow \bar{\lambda} \wedge 1 \\ \bar{E} \wedge \hat{E} & \xrightarrow{1 \wedge \hat{\lambda}} & \bar{E} \wedge \hat{D} \end{array}$$

is commutative. Consider the diagram

$$(7.3) \quad \begin{array}{ccccccc} & & \bar{D} \wedge \hat{D} & \xrightarrow{(1, \bar{f}) \wedge 1} & \bar{D} \wedge \bar{D} \wedge \hat{D} & \xrightarrow{1 \wedge \hat{\mu}_1} & \bar{D} \wedge S^s \\ & \nearrow \mu_1 & \downarrow \bar{\lambda} \wedge 1 & & \downarrow \bar{\lambda} \wedge 1 \wedge 1 & & \downarrow \bar{\lambda} \wedge 1 \\ S^s \times B & & \bar{E} \wedge \hat{D} & \xrightarrow{(1, f\rho) \wedge 1} & \bar{E} \wedge \bar{D} \wedge \hat{D} & & \\ & \searrow \mu_2 & \uparrow 1 \wedge \hat{\lambda} & & \uparrow 1 \wedge \bar{\rho} \wedge \hat{\lambda} & \nearrow 1 \wedge \hat{\mu}_1 & \\ & & \bar{E} \wedge \hat{E} & \xrightarrow{(1, \lambda \bar{f}\rho) \wedge 1} & \bar{E} \wedge \bar{E} \wedge \hat{E} \quad (A) & & \\ & & \downarrow (1, \lambda \bar{f}\rho) \wedge 1 & & \downarrow 1 \wedge \lambda \bar{\rho} \wedge 1 & & \\ & & \bar{E} \wedge \bar{E} \wedge \hat{E} & \xrightarrow{1 \wedge \hat{\mu}_2} & \bar{E} \wedge S^s & & \end{array}$$

The commutativity of the triangle (A) follows from the commutativity of the diagram

$$\begin{array}{ccccc} & & \bar{D} \wedge \hat{D} & \xrightarrow{\hat{\mu}_1} & S^s \times B \\ & \nearrow \bar{\rho} \wedge \hat{\lambda} & \uparrow 1 \wedge \hat{\lambda} & & \uparrow \hat{\mu}_2 \\ \bar{E} \wedge \hat{E} & \xrightarrow{\bar{\rho} \wedge 1} & \bar{D} \wedge \hat{E} & \xrightarrow{\bar{\lambda} \wedge 1} & \bar{E} \wedge \hat{E} \end{array}$$

where the square is the dual of (7.2). The remaining commutativity relations in (7.3) are easily checked. The theorem follows by comparing the two outside paths in (7.3) from  $S^s \times B$  to  $\bar{E} \wedge S^s$ .

### 8. Proof of theorem (1.1)

We begin with an observation concerning the transfer map when the base space is a suspension. Suppose that  $X$  is a finite dimensional complex with base point  $x_0$  and we are given

$$\begin{array}{ccc} \tilde{E} & \xrightarrow{\tilde{f}} & \tilde{E} \\ & \searrow \tilde{\rho} & \swarrow \tilde{\rho} \\ & S(X) & \end{array}$$

with  $\tilde{\rho} : \tilde{E} \rightarrow S(X)$  in  $\mathcal{F}$ . Let  $F$  denote the fiber over  $x_0$  and choose a base point  $e_0 \in F$ . Let

$$(8.1) \quad \Delta : S(X) \rightarrow \tilde{E}/F$$

be defined by  $\Delta(e^{2\pi it} \wedge x) = \tilde{f}(e_0, \sigma(t, x))$  (1), where  $\tilde{f}$  is a lifting function and  $\sigma(t, x) : I \rightarrow S(X)$  is the path

$$\sigma(t, x)(\lambda) = e^{2\pi i t \lambda} \wedge x.$$

Let  $\Lambda$  denote the Lefschetz number of  $f' : F \rightarrow F$ , the restriction of  $f$  to  $F$ .

(8.2) LEMMA: Assume that  $F$  is connected. Then  $\Lambda \Delta$  is stably homotopic to  $\tau(\tilde{f}) : S(X) \rightarrow \tilde{E}/F$ .

PROOF: Let  $C(X) = I \wedge X$  denote the reduced cone of  $X$  (with 0 the base point of  $I$ ) and consider

$$\begin{array}{ccc} C(X) \times F & \xrightarrow{\psi} & \tilde{E} \\ \downarrow \pi_1 & & \downarrow \tilde{\rho} \\ C(X) & \xrightarrow{\rho} & S(X) \end{array}$$

where  $\rho$  is the natural identification and

$$\psi(t \wedge x, y) = \tilde{F}(y, \sigma(t, x))(1).$$

Then  $\psi$  is a homotopy equivalence on each fiber and the restriction of  $\psi$  to the fiber over  $x_0$  is the identity. It follows that

$$\begin{array}{ccc} C(X) \times F & \xrightarrow{1 \times f'} & C(X) \times F \\ \downarrow \psi & & \downarrow \psi \\ \tilde{E} & \xrightarrow{\tilde{f}} & \tilde{E} \end{array}$$

is fiber homotopy commutative. Therefore, by (5.3), (5.4) and (5.6)

$\tau(\tilde{f})\rho = \psi\tau(1 \times f') = \psi(1 \wedge \tau(f')): C(X)/X \rightarrow \tilde{E}/F$ , where  $\tau(f'): S^\circ \rightarrow F^+$  is associated with the trivial triangle

$$\begin{array}{ccc} F & \xrightarrow{f'} & F \\ & \searrow & \swarrow \\ & * & \end{array}$$

Let  $i_0: S^\circ \rightarrow F^+$  by  $i_0(+1) = e_0$  and  $i_0(-1) = +$ . Since  $F$  is connected it is clear from the behaviour of the homomorphism in singular homology induced by  $\tau(f')$  that  $\tau(f') = \Lambda i_0$ . Note that

$$(8.3) \quad \begin{array}{ccc} C(X)/X \wedge F^+ & \xrightarrow{\psi} & \tilde{E}/F \\ \uparrow 1 \wedge i_0 = i_0 & & \uparrow \Delta \\ C(X)/X & \xrightarrow{\rho} & S(X) \end{array}$$

is commutative. Therefore

$$\tau(\tilde{f})\rho = \psi(1 \wedge \tau(f')) = \psi(1 \wedge \Lambda i_0) = \Lambda \Delta \rho.$$

Since  $\rho: C(X)/X \rightarrow S(X)$  is a homeomorphism,  $\tau(\tilde{f}) = \Lambda \Delta$  and the proof is complete.

Now let

$$(8.4) \quad \begin{array}{ccc} E & \xrightarrow{f} & E \\ & \searrow \rho & \swarrow \rho \\ & B & \end{array}$$

be given where the fiber  $F$  of  $p : E \rightarrow B$  is a finite complex and  $B$  is a complex (not necessarily finite dimensional). Choose base points  $b_0 \in B$  and  $e_0 \in F = p^{-1}(b_0)$  and let  $\omega : \Omega B \rightarrow F$  denote the boundary map arising from the fibration  $p : E \rightarrow B$ .

If  $X$  is a finite dimensional complex with base point and  $g : X \rightarrow \Omega B$  is a base point preserving map let

$$(8.5) \quad \begin{array}{ccc} \tilde{E} & \xrightarrow{f} & \tilde{E} \\ & \searrow \tilde{p} & \swarrow \tilde{p} \\ & S(X) & \end{array}$$

be induced by  $S(X) \xrightarrow{S(g)} S(\Omega B) \xrightarrow{\epsilon} B$  where  $\epsilon$  is the adjoint of the identity map. We will show now that

$$(8.6) \quad \begin{array}{ccc} \tilde{E}/F & \xrightarrow{k} & S(F) \\ \uparrow \Delta & & \uparrow S(\omega) \\ S(X) & \xrightarrow{S(g)} & S(\Omega B) \end{array}$$

is commutative, where  $\Delta$  is as in (8.1) and  $k$  is from the Puppe sequence of the cofibration  $F \rightarrow \tilde{E}$ .

We have

$$(8.7) \quad \begin{array}{ccccc} & \tilde{E}/F & \xrightarrow{k} & S(F) & \\ & \uparrow \psi & & \uparrow S(\psi) & \\ C(X) \times F/X \times F & \xrightarrow{k} & S(X \times F) & & \\ \uparrow j_0 & & \uparrow S(j_0) & \swarrow S(\omega) & \\ C(X)/X & \xrightarrow{k=\rho} & S(X) & \searrow S(g) & S(\Omega B) \end{array}$$

where  $k$  in each case is from the appropriate Puppe sequence and  $j_0$  is the inclusion  $y \rightarrow (y, e_0)$ . The commutativity of the right hand triangle is by direct calculation. The commutativity of (8.6) now follows from the commutativity of (8.3) and (8.7).

We are now in a position to prove the theorem of the introduction.

(1.1) THEOREM: Assume that  $F$  is connected. Then

$$\Lambda\omega_* : \{X; \Omega B\}_q \rightarrow \{X; F\}_q$$

is trivial for any finite dimensional complex  $X$ .

PROOF:  $\Omega B$  has the homotopy type of a CW-complex  $Y$ . If  $\phi : Y \rightarrow \Omega B$  is a homotopy equivalence it is sufficient, to prove the theorem, to show that  $\Lambda\omega_* (\{g\}) = 0$  if  $X$  is a finite dimensional subcomplex of  $Y$  and  $g : X \rightarrow \Omega B$  is the inclusion followed by  $\phi$ . By the commutativity of (8.6) and Lemma (8.2)

$$\Lambda\omega_* (\{g\}) = \Lambda \{k\Delta\} = \{k\tau(f)\}.$$

We have a commutative diagram

$$\begin{array}{ccccc} & & & \tilde{E} & \\ & & \nearrow c'' & \downarrow j & \\ \tilde{E}^+ & \xrightarrow{c'} & \tilde{E}/F & \xrightarrow{k} & S(F) \\ \uparrow \tau(f) & & \uparrow \tau(f) & & \\ S(X)^+ & \xrightarrow{c} & S(X) & & \end{array}$$

where  $c$ ,  $c'$ ,  $c''$ , and  $j$  are quotient maps. Since  $\{kj\} = 0$  we have  $\{k\tau(f)c\} = c^* \{k\tau(f)\} = 0$ . Since  $c^*$  is monomorphic,  $\{k\tau(f)\} = 0$  and the proof is complete.

REMARKS: (1) The map  $\omega$  frequently appears in other forms, hence theorem (1.1) applies for (a) coset maps  $\rho : G \rightarrow G/H$ , or more generally for (b) maps  $\omega : M \rightarrow X$  which factor through the evaluation map  $\mathcal{H}(X) \rightarrow X$  where  $\mathcal{H}(X)$  is the space of homotopy equivalences of  $X$ , or for (c) fibre inclusions of principal bundles. Theorem (1.1) states that  $\Lambda\omega_* = 0$  and  $\Lambda\omega^* = 0$  for all homology and cohomology theories on the category of finite dimensional complexes. This is an extension of two results of [7], wherein theorem (1.1) was proved only for singular cohomology and for homotopy groups in the stable range. See also [5].

(8.8) COROLLARY: Let  $\alpha \in \pi_i(S^{2n})$ . Then  $[\alpha, \iota_{2n}] = 0$  implies that  $2\{\alpha\} = 0$ , where  $\{\alpha\}$  denotes the stable homotopy element represented by

$\alpha$  and  $[\alpha, \iota_{2n}]$  is the Whitehead product of  $\alpha$  with the generator of  $\pi_{2n}(S^{2n})$ .

PROOF: The fact that  $[\alpha, \iota_{2n}] = 0$  implies there is a map  $F: S^i \times S^{2n} \rightarrow S^{2n}$  such that  $F$  restricted to  $* \times S^{2n}$  is the identity and  $F$  restricted to  $S^i \times *$  represents  $\alpha$ . Taking adjoints, we see that  $\alpha$  factors through  $\omega: M \rightarrow S^{2n}$  where  $M$  is the space of degree one maps on  $S^{2n}$ , and  $\omega$  is the evaluation map given by evaluation at the base point. Thus (1.1) may be applied to  $\alpha$  in view of the remark. In this case  $\Lambda = \chi(S^{2n}) = 2$ .

Let  $G$  be a compact connected Lie group,  $H$  a closed subgroup of  $G$ , and  $\rho: G \rightarrow G/H$  the projection.

(8.9) COROLLARY: As an  $S$ -map  $\chi(G/H)\rho: G \rightarrow G/H$  is trivial, where  $\chi(G/H)$  is the Euler characteristic of  $G/H$ .

In particular, if  $N$  is the normalizer of a maximal torus in  $G$  then  $\rho: G \rightarrow G/N$  is stably trivial since  $\chi(G/N) = 1$  [6, 12]. On the other hand, it is interesting to note that  $\rho_*: \pi_k(G) \rightarrow \pi_k(G/N)$  is an isomorphism for  $k > 2$ .

## 9. Smooth fiber bundles

In the case of a smooth fiber bundle  $p: E \rightarrow B$  a more geometric description can be given for the transfer associated with a fiber preserving map. We assume that  $B$  and  $F$  are closed manifolds.

Let  $\tilde{p}: E \rightarrow B \times R^s$  be a fiber preserving embedding. Its normal bundle  $\beta$  is inverse to the bundle  $\alpha$  of tangents along the fiber and we have an isomorphism  $\alpha \oplus \beta \simeq R^s$  associated with the embedding. Let

$$c: B^+ \wedge S^s \rightarrow E$$

denote the Pontryagin-Thom map of this trivialization.

The diagonal inclusion into the fiber square,  $d: E \rightarrow E^2$ , has normal bundle  $\alpha$  so that we have

$$c': (E^2)^{\pi_1^*(\beta)} \longrightarrow E^{\alpha \oplus \beta} = E^+ \wedge S^s$$

where  $\pi_1: E^2 \rightarrow E$  is projection onto the first factor.

If  $f: E \rightarrow E$  is a fiber preserving map let

$$(\widetilde{1}, f): E^\beta \rightarrow (E^2)^{\pi_1^*(\beta)}$$

send  $v_e$  to  $(e, f(e), v_e)$ .

(9.1) PROPOSITION:  $\tau(f): B^+ \rightarrow E^+$  is represented by

$$B^+ \wedge S^s \xrightarrow{c} E^\beta \xrightarrow{(\widetilde{1}, f)} (E^2)^{\pi_1^*(\beta)} \xrightarrow{c'} E.$$

First observe that the  $S$ -map determined by  $c'(\widetilde{1}, f)c$  is independent of the choice of embedding  $\tilde{p}$  and of the tabular neighborhood maps used in constructing  $c$  and  $c'$ .

Now, for  $\tilde{p}: E \rightarrow B \times R^s$ , let  $\beta$  denote the normal bundle, let  $S(\beta)$  denote the total space of the unit sphere bundle, and let  $\hat{E}$  denote the quotient of  $D(\beta)$  obtained by identifying each fiber of  $S(\beta)$  to a point. We regard  $\hat{E}$  as an ex-space of  $B$  by  $\hat{p}: \hat{E} \rightarrow B$  and  $\hat{\Delta}: B \rightarrow \hat{E}$  where  $\hat{p}([v_e]) = p(e)$  and  $\hat{\Delta}(p(e)) = [v'_e]$ , where  $v'_e \in S(\beta)$ . Then  $\hat{p}$  is the projection of a fiber bundle whose fiber over  $b$  is the Thom space  $F_{\nu_b}^{\nu_b}$ , where  $\nu_b$  is the normal bundle of the embedding  $F_b \rightarrow \{b\} \times R^s$ .

Choose a fiber preserving tubular neighborhood  $D(\beta) \subset B \times R^s$  and let  $\theta: B \times S^s \rightarrow \hat{E}$  denote the associated Pontryagin-Thom map. Let

$$(9.2) \quad \mu: B \times S^s \rightarrow \bar{E} \wedge_B \hat{E}$$

be the composite  $B \times S^s \xrightarrow{\theta} \hat{E} \xrightarrow{d} \bar{E} \wedge_B \hat{E}$ , where  $d(v_e) = e \wedge v_e$ . By Atiyah's duality theorem [1]  $\mu$  is a duality map.

The diagonal embedding  $E \rightarrow E \times_B D(\beta)$  has normal bundle  $\alpha \oplus \beta = E \times R^s$ . Choosing a fiber preserving tubular neighborhood  $E \times D^s \subset E \times_B D(\beta)$ , we obtain

$$(9.3) \quad \theta': \bar{E} \wedge_B \hat{E} \rightarrow \bar{E} \wedge_B S^s.$$

Let  $\theta'': \bar{E} \wedge_B \hat{E} \rightarrow B \times S^s$  denote  $\theta'$  followed by the projection  $\bar{E} \wedge_B S^s \rightarrow B \times S^s$ .

(9.4) LEMMA:  $\theta''$  is dual to  $\mu$ .

PROOF: We must show that

$$\begin{array}{ccc} B \times S^s \wedge S^s & \xrightarrow{\nu} & (\bar{E} \wedge_B \hat{E}) \wedge_B (\bar{E} \wedge_B \hat{E}) \\ & \searrow \mu \wedge 1 & \downarrow 1 \wedge \theta'' \\ & & (\bar{E} \wedge_B \hat{E}) \wedge S^s \end{array}$$

is homotopy commutative. Since isotopic embeddings determine homotopic duality maps, the duality map determined by

$$E \xrightarrow{(\bar{\rho}, 0)} B \times R^s \times R^s$$

is homotopic to that determined by

$$E \xrightarrow{(\bar{\rho}, \bar{\rho})} B \times R^s \times R^s.$$

The former duality map is  $\mu \wedge 1$  whereas, using the factorisation

$$E \xrightarrow{d} E^2 \xrightarrow{\bar{\rho} \times \bar{\rho}} B \times R^s \times R^s,$$

the latter is easily seen to be homotopic to  $(1 \wedge \theta'')\nu$ .

Proposition (9.1) is now a consequence of the following commutative diagram.

$$\begin{array}{ccccccc} B \times S^s & \xrightarrow{\mu} & \bar{E} \wedge_B \hat{E} & \xrightarrow{(1, f) \wedge 1} & \bar{E} \wedge_B \bar{E} \wedge_B \hat{E} & \xrightarrow{1 \wedge \hat{\mu}} & \bar{E} \wedge S^s \\ & \searrow \theta & \uparrow d & & \uparrow d' & \nearrow \theta' & \\ & & \hat{E}^\beta & \xrightarrow{g} & \bar{E} \wedge \hat{E} & & \\ & & \downarrow & & \downarrow & & \\ B^+ \wedge S^s & \xrightarrow{c} & E^\beta & \xrightarrow{(\widetilde{1, f})} & (E^2)^{\pi_1^*(\beta)} & \xrightarrow{c'} & E^+ \wedge S^s. \end{array}$$

Here  $d'(e' \wedge v_e) = e \wedge e' \wedge v_e$ ,  $g(v_e) = f(e) \wedge v_e$ , and  $h(e' \wedge v_e) = (e, e', v_e)$ . The unlabeled arrows denote the natural identification map. The commutativity of the upper right hand triangle follows from the fact that  $\hat{\mu} = \theta''$ .

REMARK It follows from Proposition (9.1) and the retraction property (7.1) that the two methods of constructing the transfer which are outlined in [3], do in fact lead to the same map.

### 10. Proof of (3.7)

Suppose that  $E = (E, B, p, \Delta)$  and  $E' = (E', B', p', \Delta')$  are ex-spaces. If  $h : E \rightarrow E'$  and  $f : B \rightarrow B'$  are such that  $p'h = fp$  and  $h\Delta = \Delta'f$  we will say that  $h$  is a map *over*  $f$ . If  $E'$  is an ex-fibration then we obtain from the special nature of the lifting function for  $E'$  the following covering homotopy property.

(10.1) *Given  $F : B \times I \rightarrow B'$  and a map  $h : E \rightarrow E'$  over  $F_0$  there is  $H : E \times I \rightarrow E'$  such that  $H_0 = h$  and  $H_t$  is a map over  $F_t$ ,  $0 \leq t \leq 1$ .*

Suppose that  $E$  is an ex-space of  $B$  and  $A \subset B$  is a subcomplex. As before, let  $c : E \cup C(E_A) \rightarrow E/E_A$  denote the natural map. Let  $\lambda : B \times I \rightarrow B \times \{1\} \cup A \times I$  be a retraction map and let  $F : B \times I \rightarrow B$  denote  $\lambda$  followed by projection onto  $B$ .

(10.2) LEMMA: *Suppose that  $E$  is an ex-fibration. There is  $q : E/E_A \rightarrow E \cup C(E_A)$  over  $F_0$  and homotopies  $H : E/E_A \times I \rightarrow E/E_A$  and  $K : E \cup C(E_A) \times I \rightarrow E \cup C(E_A)$  such that*

- (a)  $H_0 = cq$ ,  $H_1 = 1$ , and  $H_t$  is over  $F_t$ ,  $0 \leq t \leq 1$ .
- (b)  $K_0 = qc$ ,  $K_1 = 1$ , and  $K_t$  is over  $F_t$ ,  $0 \leq t \leq 1$ .

PROOF: Consider

$$\begin{array}{ccc} E \times \{1\} & \xrightarrow{i_1} & E \times 1 \\ \downarrow p \times 1 & & \downarrow p \times 1 \\ B \times I & \xrightarrow{\lambda} & B \times I. \end{array}$$

Applying (10.1) for the ex-fibration  $E \times I$  there is  $M : E \times I \rightarrow E \times I$  such that  $M_1 = i_1$  and  $M_t$  is over  $\lambda_t$ ,  $0 \leq t \leq 1$ . Then we actually have

$$M : E \times I \rightarrow E \times \{1\} \cup E_A \times I.$$

Let  $q'$  denote

$$E \xrightarrow{M_0} E \times \{1\} \cup E_A \times I \longrightarrow E \cup C(E_A).$$

Since  $M_0(E_A) \subset E_A \times \{0\}$  we have  $q'(E_A) \subset \Delta(B)$ . Let  $q : E/E_A \rightarrow E \cup C(E_A)$  denote the collapse of  $q'$ . Then  $q$  is a map over  $F_0$ .

To construct  $H$ , the map

$$E \times I \xrightarrow{M} E \times \{1\} \cup E_A \times I \longrightarrow E \cup C(E_A) \xrightarrow{c} E/E_A$$

has a quotient  $H : E/E_A \times I \rightarrow E/E_A$  which is the desired map.

To construct  $K$  let  $N : E \times I \rightarrow E \cup C(E_A)$  denote the composite

$$E \times I \xrightarrow{M} E \times \{1\} \cup E_A \times I \longrightarrow E \cup C(E_A).$$

Define  $K : E \cup C(E_A) \times I \rightarrow E \cup C(E_A)$  by  $K(e, t) = N(e, t)$ , if  $e \in E$ , and  $K([e, s], t) = s * N(e, t)$ , if  $e \in E_A$ , where  $s * [e', t] = [e', st]$  for  $[e', t] \in C(E_A)$ . This completes the proof.

We will now prove (3.7) which asserts that

$$c^\# : [E/E_A; E'] \rightarrow [E \cup C(E_A); E']$$

is bijective provided  $E$  and  $E'$  are ex-fibrations.

To show that  $c^\#$  is onto let  $\theta : E \cup C(E_A) \rightarrow E'$  be an ex-map and consider

$$\begin{array}{ccc} E/E_A \times \{0\} & \xrightarrow{\theta q} & E' \\ \downarrow p \times 1 & & \downarrow p' \\ B \times I & \xrightarrow{F} & B. \end{array}$$

There is  $N : E/E_A \times I \rightarrow E'$  over  $F$  such that  $N_0 = \theta q$ . Let  $\psi = N_1 : E/E_A \rightarrow E'$ . Then  $\psi$  is an ex-map and we will show that  $c^\#([\psi]) = [\theta]$ . We have homotopies

$$E \cup C(E_A) \times I \xrightarrow{c \times 1} E/E_A \times I \xrightarrow{N} E'$$

over  $F$ , and

$$E \cup C(E_A) \times I \xrightarrow{K} E \cup C(E_A) \xrightarrow{\theta} E'$$

also over  $F$ . Then

$$(\theta K^{-1}) \circ (N(c \times 1)) : E \cup C(E_A) \times I \rightarrow E'$$

is a homotopy from  $\theta$  to  $\psi c$  over  $F^{-1} \circ F$ . By a standard argument involving the covering homotopy property (10.1) we see that  $\theta$  is ex-homotopic to  $\psi c$ .

To show that  $c^\#$  is one-one let  $\psi_0, \psi_1: E/E_A \rightarrow E'$  be such that  $\psi_0 c$  is ex-homotopic to  $\psi_1 c$  by  $p: E \cup C(E_A) \times I \rightarrow E'$  say. We have

$$\begin{array}{ccc} E/E_A \times (I \times \{0\} \cup \dot{I} \times I) & \xrightarrow{Q} & E' \\ \downarrow p \times 1 \times 1 & & \downarrow p' \\ B \times I \times I & \xrightarrow{\tilde{F}} & B \end{array}$$

where  $\tilde{F}(b, t, \lambda) = F(b, \lambda)$  and  $Q(e, t, 0) = p(q(e), t)$ ,  $Q(e, 0, \lambda) = \psi_0 H(e, \lambda)$ , and  $Q(e, 1, \lambda) = \psi_1 H(e, \lambda)$ . There is then  $R: E/E_A \times I \times I \rightarrow E'$  over  $\tilde{F}$  which extends  $Q$ . Then  $S: E/E_A \times I \rightarrow E'$  by  $S(e, t) = R(e, t, 1)$  is an ex-homotopy from  $\psi_0$  to  $\psi_1$ .

### 11. Proof of (4.7)

Let  $E = (E, B, p, \Delta)$  be an ex-fibration in the sense of section 4. Let  $\Sigma(E)$  denote the unreduced fiberwise suspension of  $E$  and  $\Sigma(p): \Sigma(E) \rightarrow B$  the projection. There is the "south pole" cross section  $\delta: B \rightarrow \Sigma(E)$  given by  $\delta(b) = [e, 0]$  where  $p(e) = b$  and we let  $\Sigma_0(E) = (\Sigma(E), B, \Sigma(p), \delta)$ . It is easy to check that  $\Sigma_0(E)$  is an ex-fibration as in section 4. The quotient map  $\pi: \Sigma_0(E) \rightarrow S^1 \wedge E$  is an ex-map and is a homotopy equivalence on each fiber. Hence by the comparison theorem (3.2),  $\pi$  is an ex-homotopy equivalence. Note that if  $p: E \rightarrow B$  is a fibration with fiber a finite complex (not necessarily equipped with a cross section) we may still form  $\Sigma_0(E)$  which is an ex-fibration.

Now let  $E$  be an ex-fibration. To construct an ex-fibration  $\hat{E}$  and a duality map

$$\mu: S^s \times B \rightarrow E \wedge \hat{E}$$

we proceed by induction over the skeleta of  $B$ . Let  $B$  have dimension  $n$  and let  $A$  denote the  $(n-1)$ -skeleton of  $B$ . Assume there is an ex-fibration  $D(E|A)$ , with fiber  $\hat{F}$  say, and a duality map

$$(11.1) \quad \omega: S^s \times A \rightarrow E|A \wedge D(E|A).$$

Let  $B$  be obtained from  $A$  by adjoining cells via  $\lambda_j: S^{n-1} \rightarrow A$ ,  $j \in J$ , and let  $\bar{\lambda}_j: D^n \rightarrow B$  denote the characteristic map. Let  $\bar{\psi}_j: F \times D^m \rightarrow \bar{\lambda}_j^*(E)$  be an ex-fiber homotopy equivalence and  $\psi_j: F \times S^{n-1} \rightarrow \lambda_j^*(E)$  its restriction.

We have a duality map

$$(11.2) \quad \eta_j : S^s \times S^{n-1} \rightarrow \lambda_j^*(E) \wedge \lambda_j^*(D(E|A))$$

induced by  $\omega$ . Choose a duality map

$$(11.3) \quad \nu : S^s \times S^{n-1} \rightarrow (F \times S^{n-1}) \wedge (\hat{F} \times S^{n-1})$$

and let  $\phi_j : \lambda_j^*(D(E|A)) \rightarrow \hat{F} \times S^{n-1}$  be dual to  $\psi_j$  relative to  $\eta_j$  and  $\nu$ . Then  $\phi_j$  is an ex-homotopy equivalence and we have a homotopy commutative triangle.

$$(11.4) \quad \begin{array}{ccc} & & \lambda_j^*(E) \wedge \lambda_j^*(D(E|A)) \\ & \nearrow \eta_j & \uparrow \psi_j \wedge \phi_j^{-1} \\ S^s \times S^{n-1} & & \\ & \searrow \nu & \\ & & (F \times S^{n-1}) \wedge (\hat{F} \times S^{n-1}) \end{array}$$

Let  $\theta_j : \hat{F} \times S^{n-1} \rightarrow D(E|A)$  denote the composite

$$\hat{F} \times S^{n-1} \xrightarrow{\phi_j^{-1}} \lambda_j^*(D(E|A)) \xrightarrow{\bar{\lambda}_j} D(E|A),$$

and let  $X'$  be obtained by adjoining, for each  $j \in J$ ,  $\hat{F} \times D^n$  to  $D(E|A)$  via  $\theta_j$ . We have an ex-space  $(X', B, p', \Delta')$  where  $p'$  and  $\Delta'$  are the obvious maps. Moreover, by results of Dold and Thom [10 (2.2) and (2.10)],  $p' : X' \rightarrow B$  is a quasifibration. We replace  $p'$  by a fibration in the usual way obtaining a commutative square

$$(11.5) \quad \begin{array}{ccc} X' & \xrightarrow{\alpha} & X \\ \downarrow p' & \uparrow \Delta' & \downarrow p \\ B & \xrightarrow{1} & B, \end{array} \quad \begin{array}{c} \uparrow \\ \Delta \end{array}$$

where  $X = \{(x, \sigma) \in X' \times B^I \mid p'(x) = \sigma(0)\}$ ,  $p(x, \sigma) = \sigma(1)$ ,  $\Delta(b) = (\Delta'(b), b^*)$  where  $b^*$  denotes the constant path at  $b$ , and  $\alpha(x) = (x, p'(x)^*)$ .

Now  $p : X \rightarrow B$  is a fibration with each fiber  $p^{-1}(b)$  of the weak homotopy type of  $\hat{F}$ . Since  $X$  (being homotopy equivalent to  $X'$ ) has the homotopy type of a CW-complex, it follows from [16 Proposition

0] that  $p^{-1}(b)$  has the homotopy type of a  $CW$ -complex, hence is homotopy equivalent to  $\hat{F}$ .

Let  $\hat{E} = \Sigma_0(X)$ . Then  $\hat{E}$  is an ex-fibration as in section 4. We will show now that there is a duality map

$$\mu : S^{s+1} \times B \rightarrow E \wedge \hat{E}.$$

From (11.4) we obtain the following homotopy commutative diagram.

$$(11.6) \quad \begin{array}{ccc} S^1 \wedge \lambda_j^*(E) \wedge \lambda_j^*(D(E|A)) & \rightarrow & E \wedge S^1 \wedge D(E|A) \xrightarrow{1 \wedge \pi^{-1}} E \wedge \Sigma_0(D(E|A)) \\ \uparrow 1 \wedge \eta_j & & \uparrow \tilde{\lambda}_j \mu_j \wedge 1 \wedge \theta_j \\ S^{s+1} \times S^{n-1} & & \\ \downarrow 1 \wedge \nu & & \downarrow \tilde{\lambda}_j \mu_j \wedge \Sigma(\theta_j) \\ S^1 \wedge (F \times S^{n-1}) \wedge (\hat{F} \times S^{n-1}) & \rightarrow & (F \times S^{n-1}) \wedge ((S^1 \wedge \hat{F}) \times S^{n-1}) \\ & & \xrightarrow{1 \wedge \pi^{-1}} (F \times S^{n-1}) \wedge (\Sigma_0(\hat{F}) \times S^{n-1}) \end{array}$$

Let  $\omega'$  denote the duality map

$$\begin{aligned} S^{s+1} \times A &\xrightarrow{1 \wedge \omega} S^1 \wedge E|A \wedge D(E|A) \rightarrow E|A \wedge S^1 \wedge D(E|A) \\ &\xrightarrow{1 \wedge \pi^{-1}} E|A \wedge \Sigma_0(D(E|A)) \xrightarrow{1 \wedge \Sigma(\alpha)} E|A \wedge \hat{E}|A, \end{aligned}$$

and let  $\nu'$  denote the duality map

$$\begin{aligned} S^{s+1} \times S^{n-1} &\xrightarrow{1 \wedge \nu} S^1 \wedge (F \times S^{n-1}) \wedge (\hat{F} \times S^{n-1}) \\ &\longrightarrow (F \times S^{n-1}) \wedge ((S^1 \wedge \hat{F}) \times S^{n-1}) \xrightarrow{1 \wedge \pi^{-1}} (F \times S^{n-1}) \wedge (\Sigma_0(\hat{F}) \times S^{n-1}) \end{aligned}$$

We have from (11.6) a homotopy commutative diagram

$$\begin{array}{ccc} & A \times S^{s+1} & \\ \nearrow \lambda_j \times 1 & & \searrow \omega' \\ S^{s+1} \times S^{n-1} & & E \wedge \hat{E} \\ \downarrow \nu' & & \nearrow \tilde{\lambda}_j \mu_j \wedge \Sigma(\alpha \theta_j) \\ & (F \times S^{n-1}) \wedge (\Sigma_0(\hat{F}) \times S^{n-1}) & \end{array}$$

It follows now that  $\omega'(\lambda_j \times 1)$  has an extension over  $S^{s+1} \times D^n$ , for each  $j \in J$ , and therefore  $\omega'$  has an extension  $\mu : S^{s+1} \times B \rightarrow E \wedge \hat{E}$ , which is clearly a duality map.

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