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UNIFORM ESTIMATES FOR THE CAUCHY-RIEMANN EQUATION ON q -CONCAVE WEDGES

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0. Introduction

This article is the continuation of [L-T/Le]. Both papers are preliminary works for a systematic study of the tangential Cauchy-Riemann equation on real submanifolds from the viewpoint of uniform estimates and by means of integral formulas. For this study we have to solve the Cauchy-Riemann equation with uniform estimates on q -convex and q -concave wedges in $\mathbb{C}^n$ (for historical remarks, see the introduction to [L-T/Le]). Whereas [L-T/Le] is devoted to q -convex wedges, here we study q -concave wedges.

The main result of the present paper can be formulated as follows. Let $G \subseteq \mathbb{C}^n$ be a domain, q an integer with $1 \leq q \leq n-1$, and $\varphi_1, \dots, \varphi_N$ a collection of real C^2 functions on G satisfying the following three conditions :

- (i) $E := \{ z \in G : \varphi_1(z) = \dots = \varphi_N(z) = 0 \} \neq \emptyset$;
- (ii) $d\varphi_1(z) \wedge \dots \wedge d\varphi_N(z) \neq 0$ for all $z \in G$;
- (iii) If $\lambda = (\lambda_1, \dots, \lambda_N)$ is a collection of non-negative real numbers with $\lambda_1 + \dots + \lambda_N = 1$, then, at all points in G , the Levi form of the function

$$\lambda_1 \varphi_1 + \dots + \lambda_N \varphi_N$$

has at least $q+1$ positive eigenvalues.

Set

$$D = \bigcap_{j=1}^N \{z \in G: \varphi_j(z) > 0\} \quad (0.1)$$

and

$$\Omega = \bigcup_{j=1}^N \{z \in G: \varphi_j(z) > 0\} . \quad (0.2)$$

Further, for $\xi \in \mathbb{C}^n$ and $R > 0$, we denote by $B_R(\xi)$ the open ball of radius R in $\mathbb{C}^n$ centered at ξ . Then Theorems 5.6, 5.7 and 6.6 of the present work imply the following

0.1. THEOREM. — For each point $\xi \in E$ there exists a radius $R > 0$ such that :

- (a) If $q - N \geq 0$, then each holomorphic function on D extends holomorphically to $D \cup B_R(\xi)$;
- (b) If $q - N \geq 1$ and f is a continuous $\bar{\partial}$ -closed (n, r) -form with $1 \leq r \leq q - N$ on D , then there exists a continuous $(n, r-1)$ -form u on $D \cap B_R(\xi)$ with

$$\bar{\partial}u = f \text{ on } D \cap B_R(\xi) . \quad (0.3)$$

Moreover if, for some β with $0 \leq \beta < 1$, f satisfies the estimate

$$\|f(\zeta)\| \leq [\text{dist}(\zeta, \partial D)]^{-\beta}, \quad \zeta \in D, \quad (0.4)$$

then the solution u of (0.3) can be given by an explicit integral operator and, for all $\varepsilon > 0$, there is a constant $C_\varepsilon > 0$ (independent of f) such that :

If $0 \leq \beta < 1/2$, then u is Hölder continuous with exponent $1/2 - \beta - \varepsilon$ on $\overline{D \cap B_R(\xi)}$ and

$$\|u\|_{1/2-\beta-\varepsilon, \overline{D \cap B_R(\xi)}} \leq C_\varepsilon \sup_{\zeta \in D} \|f(\zeta)\| [\text{dist}(\zeta, \partial D)]^\beta, \quad (0.5)$$

where $\|\cdot\|_{1/2-\beta-\varepsilon, \overline{D \cap B_R(\xi)}}$ is the Hölder norm with exponent $1/2 - \beta - \varepsilon$ on $\overline{D \cap B_R(\xi)}$.

If $1/2 \leq \beta < 1$, then

$$\sup_{z \in D} \|u(z)\| [\text{dist}(z, \partial D)]^{\beta-1/2+\varepsilon} \leq C_\varepsilon \sup_{\zeta \in D} \|f(\zeta)\| [\text{dist}(\zeta, \partial D)]^\beta . \quad (0.6)$$

Note that the radius R and the constant C_ε in Theorem 0.1 depend continuously on $\varphi_1, \dots, \varphi_N$ with respect to the C^2 topology.

Theorem 0.1 implies the following corollary for the domain Ω defined by (0.2) :

0.2. COROLLARY. — For each point $\xi \in E$ there exists a radius $R > 0$ such that :

- (i) If $q \geq 1$, then each holomorphic function on Ω extends holomorphically to $\Omega \cup B_R(\xi)$;
- (ii) If $q \geq 2$ and f is a continuous $\bar{\partial}$ -closed (n, r) -form with $1 \leq r \leq q-1$ on Ω , then there is a continuous $(n, r-1)$ -form u on $\Omega \cap B_r(\xi)$ with

$$\bar{\partial}u = f \text{ on } \Omega \cap B_r(\xi) . \quad (0.7)$$

It is easy to see that, for $r = 1$, estimates (0.5) and (0.6) (with Ω instead of D) hold also in this corollary. We do not know whether this is true for $r \geq 2$.

For the smooth case ($N = 1$) Theorem 0.1 was obtained by Lieb [Li]. We prove Theorem 0.1 by means of integral formulas which are obtained combining the construction of Lieb [Li] with the construction of Range and Siu [R/S]. The main problem then consists in the proof of the estimates. Fortunately, in large parts, this proof is parallel to the corresponding proof in the q -convex case which is carried out in [L-T/Le]. Note that, in both proofs, an idea of Henkin plays a very important role (see the introduction to [L-T/Le]). Note also that in the survey article [He] of Henkin a global result, corresponding to the important special case $\beta = 0$, $\varepsilon = \frac{1}{2}$ of Theorem 0.1 is formulated (see [He] th. 8-12 d)).

Finally we want to compare our results with the work [G] of Grauert. He studied domains of type Ω defined by (0.2), where instead of condition (iii) the following stronger hypothesis is used :

(iii)' There is a fixed $(q+1)$ -dimensional subspace T of $\mathbb{C}^n$ such that, for all $j = 1, \dots, N$ and $z \in G$, the Levi form φ_j is positive definite on T .

Under this hypothesis, Corollary 0.2 follows from Satz 1 in [G]. Note that the conclusion of Satz 1 in [G] is essentially stronger than the conclusion of our Corollary 0.2 : we can solve $\bar{\partial}u = f$ only on the smaller set $\Omega \cap B_r(\xi)$ if f is given on Ω , whereas Grauert proves the existence of a basis of Stein neighborhoods U of ξ such that, if f is given on $\Omega \cap U$, the equation $\bar{\partial}u = f$ can be solved on the same set $\Omega \cap U$. In the smooth case ($N = 1$) such a solution without shrinking of the domain is possible also with estimates as in Theorem 0.1 (see Theorem 14.1 in [He/Le 2]). On the other hand, it is not clear whether one can solve (even without estimates) the $\bar{\partial}$ -equation without shrinking of the domain in the situation of Theorem 0.1 if $N \geq 2$. Note also that the statement of Theorem 0.1 under the stronger condition (iii)' and without estimates and with shrinking of the domain can be obtained also from Satz 1 in [G].

1. Preliminaries

1.1. — For $z \in \mathbb{C}^n$ we denote by $z_1, \dots, z_n$ the canonical complex coordinates of z . We write $\langle z, w \rangle = z_1 w_1 + \dots + z_n w_n$ and $|z| = \langle z, z \rangle^{1/2}$ for $z, w \in \mathbb{C}^n$.

1.2. — Let M be a closed real C^1 submanifold of a domain $\Omega \subseteq \mathbb{C}^n$, and let $\zeta \in M$. Then we denote by $T_\zeta^{\mathbb{C}}(M)$ the *complex*, and by $T_\zeta^{\mathbb{R}}(M)$ the *real* tangent space of M at ζ . We identify these spaces with subspaces of $\mathbb{C}^n$ as follows : if $\rho_1, \dots, \rho_N$ are real C^1 functions in a neighborhood U_ζ of ζ such that $M \cap U = \{\rho_1 = \dots = \rho_N = 0\}$ and

$d\rho_1(\zeta) \wedge \cdots \wedge d\rho_N(\zeta) \neq 0$, then

$$T_{\zeta}^{\mathbb{C}}(M) = \left\{ t \in \mathbb{C}^n : \sum_{\nu=1}^n \frac{\partial \rho_j(\zeta)}{\partial \zeta_{\nu}} t_{\nu} = 0 \text{ for } j = 1, \dots, n \right\}$$

and

$$T_{\zeta}^{\mathbb{R}}(M) = \left\{ t \in \mathbb{C}^n : \sum_{\nu=1}^{2n} \frac{\partial \rho_j(\zeta)}{\partial x_{\nu}} x_{\nu}(t) = 0 \text{ for } j = 1, \dots, n \right\},$$

where $x_1, \dots, x_{2n}$ are the real coordinates on $\mathbb{C}^n$ with $t_{\nu} = x_{\nu}(t) + ix_{\nu+n}(t)$ for $t \in \mathbb{C}^n$ and $\nu = 1, \dots, n$.

1.3. — Let $\Omega \subseteq \mathbb{C}^n$ be a domain and ρ a real C^2 function on Ω . Then we denote by $L_{\rho}(\zeta)$ the Levi form of ρ at $\zeta \in \Omega$, and by $F_{\rho}(\cdot, \zeta)$ the Levi polynomial of ρ at $\zeta \in \Omega$, i.e.

$$L_{\rho}(\zeta)t = \sum_{j,k=1}^n \frac{\partial^2 \rho(\zeta)}{\partial \bar{\zeta}_j \partial \zeta_k} \bar{t}_j t_k$$

$\zeta \in \Omega$, $t \in \mathbb{C}^n$, and

$$F_{\rho}(z, \zeta) = 2 \sum_{j=1}^n \frac{\partial \rho(\zeta)}{\partial \zeta_j} (\zeta_j - z_j) - \sum_{j,k=1}^n \frac{\partial^2 \rho(\zeta)}{\partial \bar{\zeta}_j \partial \zeta_k} (\zeta_j - z_j)(\zeta_k - z_k)$$

$\zeta \in \Omega$, $z \in \mathbb{C}^n$. Recall that by Taylor's theorem (see, e.g., Lemma 1.4.13 in [He/Le 1])

$$\operatorname{Re} F_{\rho}(z, \zeta) = \rho(\zeta) - \rho(z) + L_{\rho}(\zeta)(\zeta - z) + o(|\zeta - z|^2). \quad (1.1)$$

1.4. — Let $J = (j_1, \dots, j_{\ell})$, $1 \leq \ell < \infty$, be an ordered collection of elements in $\mathbb{N} \cup \{*\}$. Then we write $|J| = \ell$, $J(\nu) = (j_1, \dots, j_{\nu-1}, j_{\nu+1}, \dots, j_{\ell})$ for $\nu = 1, \dots, \ell$, and $j \in J$ if $j \in \{j_1, \dots, j_{\ell}\}$.

1.5. — Let $N \geq 1$ be an integer. Then we denote by $P(N)$ the set of all ordered collections $K = (k_1, \dots, k_{\ell})$, $\ell \geq 1$, of integers with $1 \leq k_1, \dots, k_{\ell} \leq N$, and by $P(N, *)$ the set of all ordered collections $K = (k_1, \dots, k_{\ell})$, $\ell \geq 1$ such that either $K \in P(N)$ or for a $\nu \in \{1, \dots, \ell\}$, $k_{\nu} = *$ and $K(\hat{\nu}) \in P(N)$ as well as $K = (*)$. We call $P'(N)$ the subset of all $K = (k_1, \dots, k_{\ell}) \in P(N)$ with $k_1 < \dots < k_{\ell}$ and $P'(N, *)$ the subset of all $K = (k_1, \dots, k_{\ell})$ where either $K \in P'(N)$ or $1 \leq k_1 < \dots < k_{\ell-1} \leq N$ and $k_{\ell} = *$, i.e. $K(\hat{\ell}) \in P'(N)$ and $K = K(\hat{\ell})*$, as well as $K = (*)$.

1.6. — Let $J = (j_1, \dots, j_{\ell})$, $1 \leq \ell < \infty$, be an ordered collection of integers with $0 \leq j_1 < \dots < j_{\ell}$. Then we denote by Δ_J (or $\Delta_{j_1, \dots, j_{\ell}}$) the simplex of all sequences $\{\lambda_j\}_{j=0}^{\infty}$ of numbers $0 \leq \lambda_j \leq 1$ such that $\lambda_j = 0$ if $j \notin J$ and $\sum \lambda_j = 1$. We orient Δ_J by the form $d\lambda_{j_2} \wedge \dots \wedge d\lambda_{j_{\ell}}$ if $\ell \geq 2$, and by $+1$ if $\ell = 1$.

Further Δ_{J*} (or $\Delta_{j_1, \dots, j_{\ell}*}$) will be the simplex of all sequences $\{\lambda_j\}_{j=0}^{\infty} \cup \{\lambda_*\}$ of numbers $0 \leq \lambda_j \leq 1$, $0 \leq \lambda_* \leq 1$ such that $\lambda_j = 0$ if $j \notin J$ and $\sum_{j=0}^{\infty} \lambda_j + \lambda_* = 1$. We orient Δ_{J*} by the form $d\lambda_{j_2} \wedge \dots \wedge d\lambda_{j_{\ell}} \wedge d\lambda_*$.

We set also $\Delta_\emptyset = \emptyset$.

1.7. — We denote by $\overset{\circ}{\chi}$ a fixed C^∞ function

$$\overset{\circ}{\chi}: [0, 1] \longrightarrow [0, 1]$$

with $\overset{\circ}{\chi}(\lambda) = 0$ if $0 \leq \lambda \leq 1/4$ and $\overset{\circ}{\chi}(\lambda) = 1$ if $1/2 \leq \lambda \leq 1$.

1.8. — Let $N \geq 1$ be an integer and $K = (k_1, \dots, k_\ell) \in P'(N, *)$. Then, for $\lambda \in \Delta_{OK}$ with $\lambda_0 \neq 1$, we denote by $\overset{\circ}{\lambda}$ the point in Δ_K defined by

$$\overset{\circ}{\lambda}_{k_\nu} = \frac{\lambda_{k_\nu}}{1 - \lambda_0} \quad (\nu = 1, \dots, \ell)$$

and for $\lambda \in \Delta_{K*}$ with $\lambda_* \neq 1$, we set $\overset{*}{\lambda}$ the point in Δ_K defined by

$$\overset{*}{\lambda}_{k_\nu} = \frac{\lambda_{k_\nu}}{1 - \lambda_*} \quad (\nu = 1, \dots, \ell) .$$

If $\lambda \in \Delta_{OK*}$ with $\lambda_0 \neq 1$ we set $\overset{\circ}{\lambda}_* = \frac{\lambda_*}{1 - \lambda_0}$ and if moreover $\lambda_* \neq 1$ we define $\overset{\circ*}{\lambda} \in \Delta_K$ by

$$\overset{\circ*}{\lambda}_{k_\nu} = \frac{\overset{*}{\lambda}_{k_\nu}}{1 - \lambda_0} .$$

1.9. — Let $D \subset \subset \mathbb{C}^n$ be a domain. D will be called a C^k intersection, $k = 1, 2, \dots, \infty$, if there exist a neighborhood $U_{\overline{D}}$ of $\overline{D}$ and a finite number of real C^k functions $\rho_1, \dots, \rho_N, \rho_*$ in a neighborhood of $\overline{U_{\overline{D}}}$ such that

$$D = \{z \in U_{\overline{D}}: \rho_j(z) < 0 \text{ for } j = 1, \dots, N, *\}$$

and

$$d\rho_{k_1}(z) \wedge \dots \wedge d\rho_{k_\ell}(z) \neq 0$$

for all $(k_1, \dots, k_\ell) \in P'(N, *)$ and $z \in \partial D$ with $\rho_{k_1}(z) = \dots = \rho_{k_\ell}(z) = 0$. In this case, the collection $(U_{\overline{D}}, \rho_1, \dots, \rho_N, \rho_*)$ will be called a C^k frame for D .

1.10. — Let $D \subset \subset \mathbb{C}^n$ be a C^1 intersection and $(U_{\overline{D}}, \rho_1, \dots, \rho_N, \rho_*)$ a frame for D . Then, for $K = (k_1, \dots, k_\ell) \in P(N, *)$, we set

$$S_K = \{z \in \partial D: \rho_{k_1}(z) = \dots = \rho_{k_\ell}(z) = 0\}$$

if $k_1, \dots, k_\ell$ are different in pairs, and

$$S_K = \emptyset$$

otherwise. We orient the manifolds S_K so that the orientation is skew symmetric in $k_1, \dots, k_\ell$, and

$$\partial D = \sum_{j=1}^N S_j + S_* \tag{1.2}$$

and

$$\partial S_K = \sum_{j=1}^N S_{Kj} + S_{K*} \quad (1.3)$$

for all $K \in P(N, *)$.

1.11. — Let f be a differential form on a domain $D \subseteq \mathbb{C}^N$. Then we denote by $\|f(z)\|, z \in D$, the Riemannian norm of f at z (see, e.g., Sect. 0.4 in [He/Le 2]).

1.12. — If M is an oriented real C^1 manifold and f is a differential form of maximal degree, then we denote by $|f|$ the absolute value of f (see, e.g., Sect. 0.3 in [He/Le 2]).

1.13. — Let $D \subset\subset \mathbb{C}^n$ be a domain. Then we shall use the following spaces and norms of differential forms :

$C_*^0(D)$ is the set of continuous forms on D . Set

$$\|f\|_0 = \|f\|_{0,D} = \sup_{z \in D} \|f(z)\| \quad (1.4)$$

for $f \in C_*^0(D)$.

$C_*^\alpha(\overline{D})$, $0 \leq \alpha \leq 1$, is the set of forms $f \in C_*^0(D)$ whose coefficients admit a continuous extension to $\overline{D}$ which are, if $\alpha > 0$, even Hölder continuous with exponent α on $\overline{D}$. Set

$$\|f\|_\alpha = \|f\|_{\alpha,D} = \|f\|_{0,D} + \sup_{\substack{z, \zeta \in D \\ z \neq \zeta}} \frac{\|f(z) - f(\zeta)\|}{|\zeta - z|^\alpha} \quad (1.5)$$

for $0 < \alpha \leq 1$ and $f \in C_*^\alpha(\overline{D})$.

$B_*^\beta(D)$, $\beta \geq 0$, is the set of forms $f \in C_*^0(D)$ such that, for some constant $C > 0$,

$$\|f(z)\| \leq C[\text{dist}(z, \partial D)]^{-\beta}, \quad z \in D,$$

where $\text{dist}(z, \partial D)$ is the Euclidean distance between z and ∂D . Set

$$\|f\|_{-\beta} = \|f\|_{-\beta,D} = \sup_{z \in D} \|f(z)\| [\text{dist}(z, \partial D)]^\beta \quad (1.6)$$

for $\beta \geq 0$ and $f \in B_*^\beta(D)$.

If $\Lambda_{p,r}(D)$ is the space of forms of bidegree (p, r) on D , then we set

$$C_{p,r}^0(D) = C_*^0(D) \cap \Lambda_{p,r}(D),$$

$$C_{p,r}^\alpha(\overline{D}) = C_*^\alpha(\overline{D}) \cap \Lambda_{p,r}(D),$$

$$B_{p,r}^\beta(D) = B_*^\beta(D) \cap \Lambda_{p,r}(D),$$

and

$$C_{p,*}^0(D) = \cup_{0 \leq r \leq n} C_{p,r}^0(D),$$

$$C_{p,*}^\alpha(\overline{D}) = \cup_{0 \leq r \leq n} C_{p,r}^\alpha(\overline{D}),$$

$$B_{p,*}^\beta(D) = \cup_{0 \leq r \leq n} B_{p,r}^\beta(D).$$

2. Local q -concave wedges

In this section n and q are fixed integers with $0 \leq q \leq n-1$. Denote by $MO(n, q)$ the complex manifold of all complex $n \times n$ -matrices which define an orthogonal projection from $\mathbb{C}^n$ onto some q -dimensional subspace of $\mathbb{C}^n$.

2.1. DEFINITION. — A collection $(U, \rho_1, \dots, \rho_N)$ will be called a q -configuration in $\mathbb{C}^n$ if $U \subseteq \mathbb{C}^n$ is a convex domain, and $\rho_1, \dots, \rho_N$ are real C^3 functions on U satisfying the following conditions :

- (i) $\{z \in U : \rho_1(z) = \dots = \rho_N(z) = 0\} \neq \emptyset$;
- (ii) $d\rho_1(z) \wedge \dots \wedge d\rho_N(z) \neq 0$ for all $z \in U$;
- (iii) If $\lambda \in \Delta_{1\dots N}$ (see Sect. 1.6) and

$$\rho_\lambda := \lambda_1 \rho_1 + \dots + \lambda_N \rho_N ,$$

then the Levi form $L_{\rho_\lambda}(z)$ (see Sect. 1.3) has at least $q+1$ positive eigenvalues.

2.2. DEFINITION. — A local q -concave wedge (E, D) , $0 \leq q \leq n-1$, is a C^3 intersection D such that one can find a frame $(U_{\overline{D}}, \rho_1, \dots, \rho_N, \rho_*)$ (see Sect. 1.9) with $E = \{z \in U_{\overline{D}} : \rho_1(z) = \dots = \rho_N(z) = 0, \rho_*(z) < 0\}$ satisfying

- (i) if $K = (k_1, \dots, k_\ell) \in P'(N)$ and $U_{\overline{D}}^K = \{z \in U_{\overline{D}} : \rho_{k_1}(z) = \dots = \rho_{k_\ell}(z)\}$ then $d\rho_{k_1}(z) \wedge \dots \wedge d\rho_{k_\ell}(z) \neq 0$ for all $z \in U_{\overline{D}}^K$;
- (ii) ρ_* is convex and if $U_{\overline{D}}^{K*} = \{z \in U_{\overline{D}} : \rho_{k_1}(z) = \dots = \rho_{k_\ell}(z) = \rho_*(z)\}$ then $d\rho_{k_1}(z) \wedge \dots \wedge d\rho_{k_\ell}(z) \wedge d\rho_*(z) \neq 0$ for all $z \in U_{\overline{D}}^{K*}$;
- (iii) there exist a C^∞ map $Q : \Delta_{1\dots N} \rightarrow MO(n, n-q-1)$ and constants $\alpha, A > 0$ such that

$$-\operatorname{Re} F_{\rho_\lambda}(z, \zeta) \geq \rho_\lambda(z) - \rho_\lambda(\zeta) + \alpha|\zeta - z|^2 - A|Q(\lambda)(\zeta - z)|^2$$

for all $\lambda \in \Delta_{1\dots N}$ and $z, \zeta \in U_{\overline{D}}$.

2.3. LEMMA. — Let $(U, \varphi_1, \dots, \varphi_N)$ be a q -configuration in $\mathbb{C}^n$, $0 \leq q \leq n-1$. Then for each $\xi \in U$ with $\varphi_1(\xi) = \dots = \varphi_N(\xi) = 0$, there exists a number $R_\xi > 0$ such that for all R with $0 < R < R_\xi$, if

$$\begin{aligned} D &= \{z \in U : \varphi_j(z) > 0, j = 1, \dots, N\} \cap \{z \in \mathbb{C}^n : |z - \xi| < R\} \\ \text{and} \\ E &= \{z \in U : \varphi_1(z) = \dots = \varphi_N(z) = 0\} \cap \{z \in \mathbb{C}^n : |z - \xi| < R\} \end{aligned}$$

then (E, D) is a local q -concave wedge.

If $U_{\overline{D}} = \{z \in \mathbb{C}^n : |z - \xi| < R_\xi\}$, $\rho_j = -\varphi_j$ for $j = 1, \dots, N$, $\rho_*(z) = |z - \xi|^2 - R^2$ then $(U_{\overline{D}}, \rho_1, \dots, \rho_N, \rho_*)$ is a frame for D .

Proof. — It is sufficient to repeat the proof of Lemma 2.4 in [L-T/Le] using $-\rho_\lambda = -(\lambda_1 \rho_1 + \dots + \lambda_N \rho_N) = \lambda_1 \varphi_1 + \dots + \lambda_N \varphi_N$ at the place of ρ_λ^R . ■

2.4. DEFINITION. — We shall say that a local q -concave wedge (E, D) is defined by a q -configuration if there exists a frame $(U_{\overline{D}}, \rho_1, \dots, \rho_N, \rho_*)$ for (E, D) such that $(U_{\overline{D}}, -\rho_1, \dots, -\rho_N)$ is a q -configuration.

2.5. Remark. — It is easy to see, using Lemma 2.3 and Lemma 2.2 in [L-T/Le], that if $\xi \in \mathbb{C}^n$ is a fixed point and $\varphi_1, \dots, \varphi_N$ are real C^3 functions in a neighborhood V of ξ such that the following conditions are fulfilled

- (i) $d\varphi_1(\xi) \wedge \dots \wedge d\varphi_N(\xi) \neq 0$;
- (ii) $\varphi_1(\xi) = \dots = \varphi_N(\xi) = 0$;
- (iii) set $Y_j = \{z \in V : \varphi_j(z) = 0\}$ for $j = 1, \dots, N$ and $\varphi_\lambda = \lambda_1\varphi_1 + \dots + \lambda_N\varphi_N$ for $\lambda \in \Delta_{1\dots N}$, then for all $K = (k_1, \dots, k_\ell) \in P'(N)$ and $\lambda \in \Delta_K$ (see sects 1.5 and 1.6), the Levi form $L_{\rho_\lambda}(\xi)$ restricted to $T_\xi^{\mathbb{C}}(Y_{k_1} \cap \dots \cap Y_{k_\ell})$ (see Sect. 1.2) has at least

$$\dim_{\mathbb{C}} T_\xi^{\mathbb{C}}(Y_{k_1} \cap \dots \cap Y_{k_\ell}) - n + q + 1$$

negative eigenvalues ;

then there exists a number $R_\xi > 0$ such that, for all R with $0 < R \leq R_\xi$, (E, D) , where $E = Y_1 \cap \dots \cap Y_N \cap \{z \in \mathbb{C}^n : |z - \xi| < R\}$ and $D = \{z \in V : \varphi_j(z) < 0\} \cap \{z \in \mathbb{C}^n : |z - \xi| < R\}$, is a local q -concave wedge defined by a q configuration.

2.6. Remark. — It is clear that in the case of a local q -concave wedge defined by a q -configuration we can choose the constant α of Definition 2.2 (iii) such that for each $\lambda \in \Delta_{1\dots N}$, $z \in U_{\overline{D}}$, the Levi form $L_{\tilde{\rho}_\lambda}(\zeta)$ of $\tilde{\rho}_\lambda(\zeta) = \rho_\lambda(\zeta) - \rho_\lambda(z) + \frac{\alpha}{2}|\zeta - z|^2$ has at least $(q+1)$ negative eigenvalues on $U_{\overline{D}}$.

3. A Leray map for local q -concave wedges

Let $D \subset \subset \mathbb{C}^n$ be a C^3 intersection, $(U_{\overline{D}}, \rho_1, \dots, \rho_N, \rho_*)$ a frame for D , and let S_K be the corresponding manifolds introduced in Sect. 1.10.

3.1. DEFINITION. — A *Leray map* for D or, more precisely, for the frame $(U_{\overline{D}}, \rho_1, \dots, \rho_N, \rho_*)$ is a map ψ which attaches to each $K \in P'(N, *)$ a $\mathbb{C}^n$ -valued map

$$\psi_K(z, \zeta, \lambda) = (\psi_K^1(z, \zeta, \lambda), \dots, \psi_K^n(z, \zeta, \lambda))$$

defined for $(z, \zeta, \lambda) \in D \times S_K \times \Delta_K$ such that $\langle \psi_K(z, \zeta, \lambda), \zeta - z \rangle = 1$.

Now let (E, D) be a local q -concave wedge and $(U_{\overline{D}}, \rho_1, \dots, \rho_N, \rho_*)$ the associated frame.

Since ρ_* is a convex function, if we set

$$w^*(\zeta) := 2 \left(\frac{\partial \rho_*}{\partial \zeta_1}(\zeta), \dots, \frac{\partial \rho_*}{\partial \zeta_n}(\zeta) \right)$$

for $\zeta \in U_{\overline{D}}$ and

$$\psi^*(z, \zeta) = \langle w^*(\zeta), \zeta - z \rangle$$

for $(z, \zeta) \in \mathbb{C}^n \times U_{\overline{D}}$, then there exists $\varepsilon, \gamma > 0$ such that

$$\operatorname{Re} \psi^*(z, \zeta) \geq \rho_*(\zeta) - \rho_*(z) + \gamma |\zeta - z|^2 \quad (3.1)$$

for all $(z, \zeta) \in \mathbb{C}^n \times U_{\overline{D}}$ with $|\zeta - z| \leq \varepsilon$.

It follows that $\psi^*(z, \zeta) \neq 0$ for all $(z, \zeta) \in D \times S_*$.

Since $\rho_1, \dots, \rho_N$ are defined and of class C^3 in a neighborhood of $\overline{U_{\overline{D}}}$, we can find C^∞ functions a_ν^{kj} ($\nu = 1, \dots, N$; $k, j = 1, \dots, n$) on $U_{\overline{D}}$ such that

$$\left| a_\nu^{kj}(\zeta) - \frac{\partial^2 \rho_\nu(\zeta)}{\partial \zeta_k \partial \zeta_j} \right| < \frac{\alpha}{2n^2}$$

for all $\zeta \in U_{\overline{D}}$, where α is as in Definition 2.2.

Set $\rho_\lambda = \lambda_1 \rho_1 + \dots + \lambda_N \rho_N$ and $a_\lambda^{kj} = \lambda_1 a_1^{kj} + \dots + \lambda_N a_N^{kj}$ for $\lambda \in \Delta_{1\dots N}$. Then

$$\left| \sum_{k,j=1}^n (a_\lambda^{kj}(\zeta) - \frac{\partial^2 \rho_\lambda}{\partial \zeta_k \partial \zeta_j}(\zeta)) t_k t_j \right| \leq \frac{\alpha}{2} |t|^2 \quad (3.2)$$

for all $\zeta \in U_{\overline{D}}$, $t \in \mathbb{C}^n$ and $\lambda \in \Delta_{1\dots N}$. Set

$$\tilde{F}_{\rho_\lambda}(z, \zeta) = 2 \sum_{j=1}^n \frac{\partial \rho_\lambda}{\partial \zeta_j}(\zeta_j - z_j) - \sum_{k,j=1}^n a_\lambda^{kj}(\zeta)(\zeta_k - z_k)(\zeta_j - z_j)$$

for $(z, \zeta, \lambda) \in \mathbb{C}^n \times U_{\overline{D}} \times \Delta_{1\dots N}$. Then it follows from (3.2) and condition (iii) in Definition 2.2 that

$$-\operatorname{Re} \tilde{F}_{\rho_\lambda}(z, \zeta) \geq \rho_\lambda(z) - \rho_\lambda(\zeta) + \frac{\alpha}{2} |\zeta - z|^2 - A |Q(\lambda)(\zeta - z)|^2 \quad (3.3)$$

for all $(z, \zeta, \lambda) \in U_{\overline{D}} \times U_{\overline{D}} \times \Delta_{1\dots N}$.

Denote by $Q_{kj}(\lambda)$ the entires of the matrix $Q(\lambda)$, i.e.

$$Q(\lambda) = (Q_{kj}(\lambda))_{k,j=1}^n \quad (k = \text{column index}).$$

If $(z, \zeta, \lambda) \in \mathbb{C}^n \times U_{\overline{D}} \times \Delta_{1\dots N}$, then we set

$$\begin{cases} v^j(z, \zeta, \lambda) = 2 \frac{\partial \rho_\lambda}{\partial \zeta_j}(\zeta) - \sum_{k=1}^n a_\lambda^{kj}(\zeta)(\zeta_k - z_k) - A \sum_{k=1}^n \overline{Q_{kj}(\lambda)}(\zeta_k - z_k) \\ v = (v^1, \dots, v^n) \\ \varphi = \langle v(z, \zeta, \lambda), \zeta - z \rangle \end{cases} \quad (3.4)$$

Since $Q(\lambda)$ is an orthogonal projection, we have

$$\varphi(z, \zeta, \lambda) = \tilde{F}_{\rho_\lambda}(z, \zeta) - A |Q(\lambda)(\zeta - z)|^2 \quad (3.5)$$

for all $(z, \zeta, \lambda) \in \mathbb{C}^n \times U_{\overline{D}} \times \Delta_{1\dots N}$ and it follows from estimates (3.3) that

$$-\operatorname{Re} \varphi(z, \zeta, \lambda) \geq \rho_\lambda(z) - \rho_\lambda(\zeta) + \frac{\alpha}{2} |\zeta - z|^2 \quad (3.6)$$

for all $(z, \zeta, \lambda) \in U_{\overline{D}} \times U_{\overline{D}} \times \Delta_{1 \dots N}$.

Now we set for $(z, \zeta, \lambda) \in U_{\overline{D}} \times \mathbb{C}^n \times \Delta_{1 \dots N}$.

$$\left. \begin{aligned} w^j(z, \zeta, \lambda) &= v^j(\zeta, z, \lambda) \\ \psi(z, \zeta, \lambda) &= \varphi(\zeta, z, \lambda) \end{aligned} \right\} \quad (3.7)$$

It follows from estimate (3.6) that $\psi(z, \zeta, \lambda) \neq 0$ if $(z, \zeta, \lambda) \in D \times S_K \times \Delta_K$ for some $K \in P'(N)$.

Therefore, by setting

$$\psi_K(z, \zeta, \lambda) = \frac{w(z, \zeta, \lambda)}{\psi(z, \zeta, \lambda)} \quad (3.8)$$

for $(z, \zeta, \lambda) \in D \times S_K \times \Delta_K$, $K \in P'(N)$ and

$$\psi_{K*}(z, \zeta, \lambda) = \overset{\circ}{\chi}(\lambda_*) \frac{w^*(\zeta)}{\psi^*(z, \zeta)} + (1 - \overset{\circ}{\chi}(\lambda_*)) \frac{w(z, \zeta, \lambda)}{\psi(z, \zeta, \lambda)} \quad (3.9)$$

for $(z, \zeta, \lambda) \in D \times S_{K*} \times \Delta_{K*}$, $K \in P'(N)$, we obtain a family $\psi = \{\psi_K, \psi_{K*}\}_{K \in P'(N)}$ of $\mathbb{C}^n$ -valued C^1 maps. Obviously, ψ is a Leray map for the frame $(U_{\overline{D}}, \rho_1, \dots, \rho_N, \rho_*)$.

3.2. DEFINITION. — A map f defined on some complex manifold X will be called *k-holomorphic* if, for each point $\xi \in X$, there exist holomorphic coordinates $h_1, \dots, h_n$ in a neighborhood of ξ such that f is holomorphic with respect to $h_1, \dots, h_k$.

We deduce immediately from (3.4), (3.7) and Lemma 3.3 in [L-T/Le] that :

3.3. LEMMA. — For every fixed $(z, \lambda) \in U_{\overline{D}} \times \Delta_{1 \dots N}$ the map $w(z, \zeta, \lambda)$ and the function $\psi(z, \zeta, \lambda)$ are $(q+1)$ -holomorphic in $\zeta \in \mathbb{C}^n$.

4. An integral formula in local q -concave wedges

We denote by $\widehat{B}(z, \zeta)$ the Martinelli-Bochner kernel for (n, r) -forms, i.e.

$$\widehat{B}(z, \zeta) = \frac{1}{(2\pi i)^n} \det \left(\overbrace{\frac{\bar{\zeta} - \bar{z}}{|\zeta - z|^2}}^1, d \overbrace{\frac{\bar{\zeta} - \bar{z}}{|\zeta - z|^2}}^{n-1} \right) \wedge dz_1 \wedge \dots \wedge dz_n$$

for all $z, \zeta \in \mathbb{C}^n$ with $z \neq \zeta$ (for the definition of determinants of matrices of differential forms, see, e.g., Sect. 0.7 in [He/Le 2]). If $D \subset \subset \mathbb{C}^n$ is a domain and f is a continuous differential form with integrable coefficients on D , then we set

$$B_D f(z) = \int_{\zeta \in D} f(\zeta) \wedge \widehat{B}(z, \zeta), \quad z \in D$$

(for the definition of integration with respect to a part of the variables, see, e.g., Sect. 0.2 in [He/Le 2]).

Let $D \subset \subset \mathbb{C}^n$ be a C^3 intersection, $(U_{\overline{D}}, \rho_1, \dots, \rho_N, \rho_*)$ a frame for D , and let S_K be the corresponding manifolds introduced in Sect. 1.10.

Further, let ψ be a Leray map for the frame $(U_{\overline{D}}, \rho_1, \dots, \rho_N, \rho_*)$. Then we set

$$\psi_{OK}(z, \zeta, \lambda) = \overset{\circ}{\chi}(\lambda_0) \frac{\overline{\zeta} - \overline{z}}{|\zeta - z|^2} + (1 - \overset{\circ}{\chi}(\lambda_0)) \psi_K(z, \zeta, \lambda) \quad (4.1)$$

for $K \in P'(N, *)$ and $(z, \zeta, \lambda) \in D \times S_K \times \Delta_{OK}$. Note that $1 - \overset{\circ}{\chi}(\lambda_0) = 0$ for λ in the neighborhood $\Delta_{OK} \setminus \overset{\circ}{\Delta}_{OK}$ of Δ_0 and therefore ψ_{OK} is of class C^2 . For $K \in P'(N, *)$ we introduce the differential form

$$\widehat{R}_K^\psi(z, \zeta, \lambda) = \frac{(-1)^{|K|}}{(2\pi i)^n} \det \left(\overbrace{\psi_{OK}(z, \zeta, \lambda)}^1, \overbrace{d\psi_{OK}(z, \zeta, \lambda)}^{n-1} \right) \wedge dz_1 \wedge \dots \wedge dz_n$$

defined for $(z, \zeta, \lambda) \in D \times S_K \times \Delta_{OK}$, and the differential form

$$\widehat{L}_K^\psi(z, \zeta, \lambda) = \frac{1}{(2\pi i)^n} \det \left(\overbrace{\psi_K(z, \zeta, \lambda)}^1, \overbrace{d\psi_K(z, \zeta, \lambda)}^{n-1} \right) \wedge dz_1 \wedge \dots \wedge dz_n$$

defined for $(z, \zeta, \lambda) \in D \times S_K \times \Delta_K$ (here d denotes the exterior differential operator with respect to all variables z, ζ, λ). If f is a continuous differential form on $\overline{D}$, then, for all $K \in P'(N, *)$, we set

$$R_K^\psi f(z) = \int_{(\zeta, \lambda) \in S_K \times \Delta_{OK}} f(\zeta) \wedge \widehat{R}_K^\psi(z, \zeta, \lambda), \quad z \in D,$$

and

$$L_K^\psi f(z) = \int_{(\zeta, \lambda) \in S_K \times \Delta_K} f(\zeta) \wedge \widehat{L}_K^\psi(z, \zeta, \lambda), \quad z \in D.$$

Then, for each continuous (n, r) -form f on $\overline{D}$, $0 \leq r \leq n$, such that df is also continuous on $\overline{D}$, one has the representation

$$\begin{aligned} (-1)^{n+r} f &= dB_D f - B_D df + \sum_{K \in P'(N)} \left(L_K^\psi f + dR_K^\psi f - R_K^\psi df \right) \\ &+ \sum_{K \in P'(N) \cup \emptyset} \left(L_{K*}^\psi f + dR_{K*}^\psi f - R_{K*}^\psi df \right) \quad \text{on } D. \end{aligned} \quad (4.2)$$

This formula is basic for the present paper. It has different names and a long history (see Proposition 1.3.1 in [Ai/He], Sect. 3.12 in [He/Le 2] and the notes at the end of ch. 4 in [He/Le 1], we call it *Cauchy-Fantappie formula*.

4.1. Cauchy-Fantappie formula for a local q -concave wedge. — Let (E, D) be a local q -concave wedge, $0 \leq q \leq n-1$, $(U_{\overline{D}}, \rho_1, \dots, \rho_N, \rho_*)$ the associated frame satisfying conditions (i), (ii) and (iii) in Definition 2.2 and ψ the Leray map constructed in Section 3 for the frame $(U_{\overline{D}}, \rho_1, \dots, \rho_N, \rho_*)$.

We set

$$T^\psi = B_D + \sum_{K \in P'(N)} R_K^\psi + \sum_{K \in P'(N) \cup \emptyset} R_{K*}^\psi$$

and

$$L^\psi = \sum_{K \in P'(N)} L_K^\psi + \sum_{K \in P'(N) \cup \emptyset} L_{K*}^\psi$$

$$L_*^\psi = \sum_{K \in P'(N) \cup \emptyset} L_{K*}^\psi.$$

With this notation, for each continuous (n, r) -form f on $\overline{D}$, $0 \leq r \leq n$, such that df is also continuous on $\overline{D}$, (4.2) can be written

$$(-1)^{n+r} f = dT^\psi f - T^\psi df + L^\psi f \quad \text{on } D. \quad (4.3)$$

4.1.1. THEOREM. — If $0 \leq r \leq q-N$, for each continuous (n, r) -form f on $\overline{D}$ such that df is also continuous on $\overline{D}$

$$(-1)^{n+r} f = dT^\psi f - T^\psi df + L_*^\psi f \quad \text{on } D.$$

Proof. — In view of the Cauchy-Fantappie formula (4.3) it is sufficient to prove that for $0 \leq r \leq q-N$, $K \in P'(N)$, $L_K^\psi f = 0$.

Let us denote by $[\widehat{L}_K^\psi]_{\deg \zeta = k}$ the part of the form $\widehat{L}_K^\psi$ which is of type $(0, k)$ in ζ . Then, by Lemma 3.3, $[\widehat{L}_K^\psi]_{\deg \zeta = k} = 0$ for $K \in P'(N)$ and $k \geq n-q$.

Since f is of type (n, r) , $\dim \Delta_K = |K|-1$, $\dim S_K = 2n-|K|$ and $|K| \leq N$ we obtain, by definition of $L_K^\psi f$, that $L_K^\psi f = 0$ for $0 \leq r \leq q-N$ and $K \in P'(N)$. ■

4.1.2. Remark. — In fact we can prove that, for $K \in P'(N)$, $L_K^\psi f = 0$ if $r \leq q-|K|$.

4.2. The manifolds Γ_K . — As we want to obtain an integral formula for forms which are not necessarily defined on ∂D , we are going to replace the integrals over the manifolds S_K in (4.2) by integrals over certain submanifolds Γ_K of D .

For $K = (k_1, \dots, k_\ell) \in P(N, *)$ we set

$$U_D^K = \{ \zeta \in U_{\overline{D}} : \rho_{k_1}(\zeta) = \dots = \rho_{k_\ell}(\zeta) \}$$

if $k_1, \dots, k_\ell$ are different in pairs, and $U_D^K = \emptyset$ otherwise. By conditions (i) and (ii) in Definition 2.2 each U_D^K is a closed C^3 submanifold of $U_{\overline{D}}$. We denote by $\rho_K, K \in P(N, *)$, the function on U_D^K which is defined by

$$\rho_K(\zeta) = \rho_{k_\nu}(\zeta) \quad (\zeta \in U_D^K; \nu = 1, \dots, \ell).$$

Now, for all $K \in P(N, *)$, we define

$$\Gamma_K = \{ \zeta \in U_D^K : \rho_j(\zeta) \leq \rho_K(\zeta) \leq 0 \text{ for } j = 1, \dots, N, * \}.$$

Then it is easy to see that all Γ_K are C^3 submanifolds of $\overline{D}$ with piecewise C^3 boundary, and that

$$\overline{D} = \Gamma_1 \cup \dots \cup \Gamma_N \cup \Gamma_*$$

and

$$\partial\Gamma_K = S_K \cup \Gamma_{K1} \cup \dots \cup \Gamma_{KN} \cup \Gamma_{K*}, \quad K \in P(N).$$

We choose the orientation on Γ_K such that the orientation is skew symmetric in the components of K , and the following conditions hold :

$$\left. \begin{array}{l} \Gamma_1, \dots, \Gamma_N, \Gamma_* \text{ carry the orientation of } \mathbb{C}^n, \text{ and if} \\ K \in P(N, *) \text{ and } 1 \leq j \leq N \text{ with } * \notin K, \text{ resp. } j \notin K, \text{ then} \\ \Gamma_{K*}, \text{ resp. } \Gamma_{Kj} \text{ are oriented just as } -\partial\Gamma_K \end{array} \right\}$$

As in [L-T/Le], we obtain the following lemmas :

4.2.1. LEMMA. — If Γ_K are the above manifolds, then

$$\partial\Gamma_K = S_K - \sum_{j=1}^N \Gamma_{Kj} - \Gamma_{K*}$$

for all $K \in P(N, *)$.

4.2.2. LEMMA. — If Γ_K are the above manifolds and Δ_K, Δ_{OK} are oriented simplices introduced in Sect. 1.6, then

$$\begin{aligned} \sum_{K \in P'(N, *)} (-1)^{|K|} \partial(\Gamma_K \times \Delta_{OK}) = \\ \overline{D} \times \Delta_O + \sum_{K \in P'(N, *)} (-1)^{|K|} S_K \times \Delta_{OK} - \sum_{K \in P'(N, *)} \Gamma_K \times \Delta_K. \end{aligned} \quad (4.4)$$

$$\sum_{K \in P'(N, *)} \partial(\Gamma_K \times \Delta_K) = \sum_{K \in P'(N, *)} S_K \times \Delta_K \quad (4.5)$$

and

$$\sum_{K \in P'(N) \cup \emptyset} \partial(\Gamma_{K*} \times \Delta_{K*}) = \sum_{K \in P'(N) \cup \emptyset} S_{K*} \times \Delta_{K*} + \sum_{K \in P'(N)} \Gamma_{K*} \times \Delta_K. \quad (4.6)$$

4.3. The operators L and M . — Let $w^*(z, \zeta)$, $\psi^*(z, \zeta)$, $w(z, \zeta, \lambda)$ and $\psi(z, \zeta, \lambda)$ be the maps defined in paragraph 3. We set

$$\begin{aligned} \Phi^*(z, \zeta) &= \psi^*(z, \zeta) - 2\rho_*(\zeta) & \text{for } (z, \zeta) \in \mathbb{C}^n \times U_{\overline{D}} \\ \Phi(z, \zeta, \lambda) &= \psi(z, \zeta, \lambda) + 2\rho_\lambda(\zeta) & \text{for } (z, \zeta, \lambda) \in \mathbb{C}^n \times U_{\overline{D}} \times \Delta_{1\dots N}. \end{aligned}$$

Then it follows from (3.1), (3.6) and (3.7) that $\Phi^*(z, \zeta) \neq 0$ for $(z, \zeta) \in D \times \overline{D}$ and $\Phi(z, \zeta, \lambda) \neq 0$ for $(z, \zeta, \lambda) \in D \times \overline{D} \times \Delta_{1\dots N}$.

So we can define the C^2 maps

$$\tilde{\psi}_K(z, \zeta, \lambda) = \overset{\circ}{\chi}(\lambda_*) \frac{w^*(\zeta)}{\Phi^*(z, \zeta)} + (1 - \overset{\circ}{\chi}(\lambda_*)) \frac{w(z, \zeta, \lambda)}{\Phi(z, \zeta, \lambda)}$$

for all $(z, \zeta, \lambda) \in D \times \overline{D} \times \Delta_K$, $K \in P'(N, *)$. Notice that $\tilde{\psi}_K(z, \zeta, \lambda) = \psi_K(z, \zeta, \lambda)$ when $(z, \zeta, \lambda) \in D \times S_K \times \Delta_K$.

We set for $(z, \zeta, \lambda) \in D \times \overline{D} \times \Delta_K$

$$\widehat{L}_K^{\tilde{\psi}}(z, \zeta, \lambda) = \frac{1}{(2i\pi)^n} \det \left(\overbrace{\tilde{\psi}_K(z, \zeta, \lambda)}^1, \overbrace{d\tilde{\psi}_K(z, \zeta, \lambda)}^{n-1} \right) \wedge dz_1 \wedge \cdots \wedge dz_n$$

and one has $\widehat{L}_K^{\tilde{\psi}} = \widehat{L}_K^{\psi}$ on $D \times S_K \times \Delta_K$.

We set also for $(z, \zeta, \lambda) \in D \times \overline{D} \times \Delta_K$

$$\widehat{M}_K^{\tilde{\psi}}(z, \zeta, \lambda) = \frac{1}{(2i\pi)^n} \det \left(\overbrace{d\tilde{\psi}_K(z, \zeta, \lambda)}^n \right) \wedge dz_1 \wedge \cdots \wedge dz_n.$$

4.3.1. *Remark.* — It comes from the properties of determinants that if $K \in P'(N)$

$$\widehat{L}_K^{\tilde{\psi}}(z, \zeta, \lambda) = \frac{1}{(2i\pi)^n \Phi^n(z, \zeta, \lambda)} \det \left(\overbrace{w(z, \zeta, \lambda)}^1, \overbrace{dw(z, \zeta, \lambda)}^{n-1} \right)$$

for $(z, \zeta, \lambda) \in D \times \overline{D} \times \Delta_K$, where $w(z, \zeta, \lambda)$ is $(q+1)$ -holomorphic in ζ .

Now let us define the operators L, L^*, M and M^* on $C_{n,r}^0(D)$, $0 \leq r \leq n$, by

$$\begin{aligned} Lf(z) &= \sum_{K \in P'(N, *)} \int_{\zeta \in \Gamma_K \times \Delta_K} f(\zeta) \wedge \widehat{L}_K^{\tilde{\psi}}(z, \zeta, \lambda), \quad z \in D \\ L^* f(z) &= \sum_{K \in P'(N) \cup \emptyset} \int_{\zeta \in \Gamma_{K*} \times \Delta_{K*}} f(\zeta) \wedge \widehat{L}_{K*}^{\tilde{\psi}}(z, \zeta, \lambda), \quad z \in D \\ Mf(z) &= \sum_{K \in P'(N, *)} \int_{\zeta \in \Gamma_K \times \Delta_K} f(\zeta) \wedge \widehat{M}_K^{\tilde{\psi}}(z, \zeta, \lambda), \quad z \in D \\ M^* f(z) &= \sum_{K \in P'(N) \cup \emptyset} \int_{\zeta \in \Gamma_{K*} \times \Delta_{K*}} f(\zeta) \wedge \widehat{M}_{K*}^{\tilde{\psi}}(z, \zeta, \lambda), \quad z \in D \end{aligned}$$

for $f \in C_{n,r}^0(D)$.

For $f \in C_{n,r}^0(D)$, the forms Lf, L^*f, Mf and M^*f are continuous on D .

4.3.2. *LEMMA.* — Let f be a continuous (n, r) -form on $\overline{D}$. If we set

$$\Lambda f(z) = \sum_{K \in P'(N) \cup \emptyset} \int_{\Gamma_{K*} \times \Delta_{K*}} f(\zeta) \wedge \widehat{L}_K^{\tilde{\psi}}(z, \zeta, \lambda), \quad z \in D,$$

then $\Lambda f \equiv 0$ when $0 \leq r \leq q - N$.

Proof. — By remark 4.3.1, $[\widehat{L}_K^{\tilde{\psi}}]_{\deg \zeta = k} = 0$ for $K \in P'(N)$ and $k \geq n - q$. Using that $\dim \Gamma_{K*} = 2n - |K|$ and $|K| \leq N$, the result follows easily from the definition of Λ . ■

4.3.3. PROPOSITION. — Let f be a continuous (n, r) -form on $\overline{D}$ such that df is also continuous on $\overline{D}$, then

$$L^\psi f = \sum_{K \in P'(N, *)} L_K^\psi f = Ldf - dLf + (-1)^{r+n} Mf$$

and, if $0 \leq r \leq q - N$

$$L_*^\psi f = \sum_{K \in P'(N) \cup \emptyset} L_{K*}^\psi f = L^* df - dL^* f + (-1)^{r+n} M^* f.$$

Proof. — As $\widehat{L}_K^{\tilde{\psi}} = \widehat{L}_K^\psi$ on $D \times S_K \times \Delta_K$, we have for $z \in D$

$$\sum_{K \in P'(N, *)} L_K^\psi f(z) = \sum_{K \in P'(N, *)} \int_{\zeta \in S_K \times \Delta_K} f(\zeta) \wedge \widehat{L}_K^{\tilde{\psi}}(z, \zeta, \lambda).$$

Then using (4.5) in Lemma 4.2.2, we get

$$\begin{aligned} \sum_{K \in P'(N, *)} L_K^\psi f(z) &= \sum_{K \in P'(N, *)} \int_{(\zeta, \lambda) \in \partial(\Gamma_K \times \Delta_K)} f(\zeta) \wedge \widehat{L}_K^{\tilde{\psi}}(z, \zeta, \lambda) \\ &= \sum_{K \in P'(N, *)} \left[\int_{(\zeta, \lambda) \in \Gamma_K \times \Delta_K} df(\zeta) \wedge \widehat{L}_K^{\tilde{\psi}}(z, \zeta, \lambda) \right. \\ &\quad \left. + (-1)^{n+r} \int_{(\zeta, \lambda) \in \Gamma_K \times \Delta_K} f(\zeta) \wedge d_{\zeta, \lambda} \widehat{L}_K^{\tilde{\psi}}(z, \zeta, \lambda) \right] \end{aligned}$$

by Stokes' theorem.

As $d_{\zeta, \lambda} \widehat{L}_K^{\tilde{\psi}}(z, \zeta, \lambda) = -d_z \widehat{L}_K^{\tilde{\psi}}(z, \zeta, \lambda) + \widehat{M}_K^{\tilde{\psi}}(z, \zeta, \lambda)$, then we get

$$\sum_{K \in P'(N, *)} L_K^\psi f(z) = Ldf - dLf + (-1)^{r+n} Mf.$$

In the same way, using (4.6) in Lemma 4.2.2 and Lemma 4.3.2, we obtain the second relation in Proposition 4.3.3. ■

4.4. The operator H . — Using Φ^* and Φ (see Sect. 4.3), we can define the C^1 map

$$\eta(z, \zeta, \lambda) = \overset{\circ}{\chi}(\lambda_0) \frac{\overline{\zeta} - \overline{z}}{|\zeta - z|^2} + (1 - \overset{\circ}{\chi}(\lambda_0)) \left[\overset{\circ}{\chi}(\overset{\circ}{\lambda}_*) \frac{w^*(\zeta)}{\Phi^*(z, \zeta)} + (1 - \overset{\circ}{\chi}(\overset{\circ}{\lambda}_*)) \frac{w(z, \zeta, \overset{\circ}{\lambda})}{\Phi(z, \zeta, \overset{\circ}{\lambda})} \right]$$

for all $(z, \zeta, \lambda) \in D \times \overline{D} \times \Delta_{01 \dots N*}$, with $z \neq \zeta$ (for the definitions of $\overset{\circ}{\chi}$, $\overset{\circ}{\lambda}_*$ and $\overset{\circ}{\lambda}$ see Sect. 1.7 and 1.8). Note that

$$\eta(z, \zeta, \lambda) = \frac{\overline{\zeta} - \overline{z}}{|\zeta - z|^2} \quad \text{if } 1/2 \leq \lambda_0 \leq 1 \quad (4.7)$$

$$\eta(z, \zeta, \lambda) = \overset{\circ}{\chi}(\overset{\circ}{\lambda}_*) \frac{w^*(\zeta)}{\Phi^*(z, \zeta)} + (1 - \overset{\circ}{\chi}(\overset{\circ}{\lambda}_*)) \frac{w(z, \zeta, \overset{\circ}{\lambda})}{\Phi(z, \zeta, \overset{\circ}{\lambda})} \quad \text{if } 0 \leq \lambda_0 \leq 1/4$$

$$\eta(z, \zeta, \lambda) = \overset{\circ}{\chi}(\lambda_*) \frac{w^*(\zeta)}{\Phi^*(z, \zeta)} + (1 - \overset{\circ}{\chi}(\lambda_*)) \frac{w(z, \zeta, \overset{*}{\lambda})}{\Phi(z, \zeta, \overset{*}{\lambda})} \quad \text{if } \lambda_0 = 0.$$

In particular, for all $K \in P'(N, *)$ we have the relations

$$\eta(z, \zeta, \lambda) = \psi_{OK}(z, \zeta, \lambda) \quad \text{if } (\zeta, \lambda) \in S_K \times \Delta_{OK} \quad (4.8)$$

(see (4.1) for the definition of ψ_{OK}) and

$$\eta(z, \zeta, \lambda) = \tilde{\psi}_K(z, \zeta, \lambda) \quad \text{if } (\zeta, \lambda) \in \Gamma_K \times \Delta_K. \quad (4.9)$$

Now for $(z, \zeta, \lambda) \in D \times \overline{D} \times \Delta_{01 \dots N*}$ with $z \neq \zeta$ we introduce the continuous differential forms

$$\begin{aligned} \widehat{G}(z, \zeta, \lambda) &= \frac{1}{(2i\pi)^n} \det \left(\overbrace{\eta(z, \zeta, \lambda)}^1, \overbrace{d\eta(z, \zeta, \lambda)}^{n-1} \right) \wedge dz_1 \wedge \dots \wedge dz_n \\ \widehat{H}(z, \zeta, \lambda) &= \frac{1}{(2i\pi)^n} \det \left(\overbrace{d\eta(z, \zeta, \lambda)}^n \right) \wedge dz_1 \wedge \dots \wedge dz_n \end{aligned}$$

where d is the exterior differential with respect to all variables z, ζ, λ .

Then it is easy to see that

$$d\widehat{G} = \widehat{H} \quad (4.10)$$

It follows from the definitions of the kernels $\widehat{B}$, $\widehat{R}_K^\psi$, $\widehat{L}_K^{\tilde{\psi}}$ and from the relations (4.7), (4.8) and (4.9) that

$$\widehat{G} \big|_{D \times \overline{D} \times \Delta_0} = \widehat{B} \quad (4.11)$$

$$\widehat{G} \big|_{D \times S_K \times \Delta_{0K}} = (-1)^{|K|} \widehat{R}_K^\psi \quad \text{for all } K \in P'(N, *) \quad (4.12)$$

$$\widehat{G} \big|_{D \times \Gamma_K \times \Delta_K} = \widehat{L}_K^{\tilde{\psi}} \quad \text{for all } K \in P'(N, *). \quad (4.13)$$

Like in [L-T/Le] we can describe the singularity of $\widehat{G}$ and $\widehat{H}$ at $z = \zeta$.

4.4.1. LEMMA. — Denote by $[\widehat{G}(z, \zeta, \lambda)]_{\deg \lambda = k}$ and $[\widehat{H}(z, \zeta, \lambda)]_{\deg \lambda = k}$ the parts of the forms $\widehat{G}(z, \zeta, \lambda)$ and $\widehat{H}(z, \zeta, \lambda)$, respectively, which are of degree k in λ . Then the following statements hold :

- (i) The singularity at $z = \zeta$ of the form $[\widehat{G}(z, \zeta, \lambda)]_{\deg \lambda = k}$ is of order $\leq 2n - 2k - 1$;
- (ii) The singularities at $z = \zeta$ of the first-order derivatives with respect to z of the coefficients of $[\widehat{G}(z, \zeta, \lambda)]_{\deg \lambda = k}$ are of order $\leq 2n - 2k$;
- (iii) The singularity at $z = \zeta$ of the form $[\widehat{H}(z, \zeta, \lambda)]_{\deg \lambda = k}$ is of order $\leq 2n - 2k + 1$.

As (E, D) is a local q -concave wedge, the map w is $(q+1)$ -holomorphic in ζ (Lemma 3.3) and therefore

4.4.2. LEMMA. — If $f \in C_{n,r}^0(\overline{D})$ with $r \leq q - N + 1$, then

$$\int_{(\zeta, \lambda) \in \Gamma_K \times \Delta_K} f(\zeta) \wedge \widehat{G}(z, \zeta, \lambda) = 0$$

for all $K \in P'(N)$ and $z \in D$.

Proof. — Let us remark that for $K \in P'(N)$

$$\widehat{G} \big|_{D \times \Gamma_K \times \Delta_K} = \frac{1}{(2i\pi)^n} \frac{1}{\Phi^n} \det \left(w(z, \zeta, \lambda), \overbrace{dw(z, \zeta, \lambda)}^{n-1} \right) \wedge dz_1 \wedge \cdots \wedge dz_n$$

where w is $(q+1)$ -holomorphic in ζ . Therefore $[\widehat{G}(z, \zeta, \lambda)]_{\deg \zeta = k} = 0$ for $K \in P'(N)$, $(z, \zeta, \lambda) \in D \times \Gamma_K \times \Delta_K$, $k \geq n-q$.

Since f is of type (n, r) , $\dim \Delta_K = |K|-1$, $\dim \Gamma_K = 2n-|K|+1$ and $|K| \leq N$, we get

$$\int_{(\zeta, \lambda) \in \Gamma_K \times \Delta_K} f(\zeta) \wedge \widehat{G}(z, \zeta, \lambda) = 0$$

when $r \leq q-N+1$ and $K \in P'(N)$. ■

Let $f \in B_{n,*}^\beta(D)$, $0 \leq \beta < 1$ (see Sect. 1.13). Then, for all $K \in P'(N, *)$, we define

$$H_K f(z) = \int_{(\zeta, \lambda) \in \Gamma_K \times \Delta_{OK}} f(\zeta) \wedge \widehat{H}(z, \zeta, \lambda), \quad z \in D. \quad (4.14)$$

It follows from Lemma 4.4.1 (iii) that these integrals converge and the so defined differential forms $H_K f$ are continuous on D . We set

$$Hf = \sum_{K \in P'(N, *)} (-1)^{|K|} H_K f$$

for $f \in B_{n,*}^\beta(D)$, $0 \leq \beta < 1$.

Now let $f \in B_{n,r}^\beta(D)$, $0 \leq \beta < 1$, $0 \leq r \leq n$. Since $\widehat{H}(z, \zeta, \lambda)$ is of degree $2n$ and contain the factor $dz_1 \wedge \cdots \wedge dz_n$ and since $\dim_{\mathbb{R}} \Gamma_K \times \Delta_{OK} = 2n+1$, then only such monomials of $\widehat{H}(z, \zeta, \lambda)$ contribute to the integral in (4.14) which are of degree $(n+1-r)$ in (ζ, λ) and hence of bidegree $(n, r-1)$ in z . This implies that $H_K f = 0$ if $r = 0$ or $n+1-r < |K| = \dim_{\mathbb{R}} \Delta_{OK}$.

Hence, for $f \in B_{n,r}^\beta(D)$, $0 \leq \beta < 1$, $0 \leq r \leq n$, we have

$$\left. \begin{aligned} Hf &= \sum_{\substack{K \in P'(N, *) \\ |K| \leq n+1-r}} (-1)^{|K|} H_K f, \\ Hf &= 0 \text{ if } r = 0, \text{ and } Hf \in C_{n,r-1}^0(D) \text{ if } 1 \leq r \leq n. \end{aligned} \right\} \quad (4.15)$$

4.4.3. THEOREM. — Let (E, D) be a local q -concave wedge, $0 \leq q \leq n-1$ and $f \in B_{n,r}^\beta(D)$ an (n, r) -form, $0 \leq r \leq n$, $0 \leq \beta < 1$ such that $df \in B_*^\beta(D)$. Then

$$f = dHf + Hdf + Mf \quad \text{on } D.$$

Let $(U_{\overline{D}}, \rho_1, \dots, \rho_N, \rho_*)$ the frame associated to (E, D) in Definition 2.2, then, if $0 \leq r \leq q-N$,

$$f = dHf + Hdf + M^* f \quad \text{on } D.$$

In particular, if $r = 0$, $f = Hdf + M^* f$ on D .

Proof. — The proof of this theorem is analogous to that of Theorem 4.11 in [L-T/Le]. For the convenience of the lecturer we will repeat it here

First consider a form $g \in C_{n,j}^0(\overline{D})$. Then by (4.10)

$$d_{\zeta,\lambda}(g \wedge \widehat{G}) = dg \wedge \widehat{G} - d_z(g \wedge \widehat{G}) + (-1)^{n+j} g \wedge \widehat{H}$$

and it follows from Stokes' formula (which can be applied in view of Lemma 4.4.1) that

$$\int_{\partial(\Gamma_K \times \Delta_{OK})} g \wedge \widehat{G} = \int_{\Gamma_K \times \Delta_{OK}} dg \wedge \widehat{G} + d \int_{\Gamma_K \times \Delta_{OK}} g \wedge \widehat{G} + (-1)^{n+j} H_K g$$

for all $K \in P'(N, *)$. In view of (4.4) this implies that

$$\begin{aligned} \int_{D \times \Delta_0} g \wedge \widehat{G} + \sum_{K \in P'(N, *)} (-1)^{|K|} \int_{S_K \times \Delta_{OK}} g \wedge \widehat{G} - \sum_{K \in P'(N, *)} \int_{\Gamma_K \times \Delta_K} g \wedge \widehat{G} \\ = \sum_{K \in P'(N, *)} (-1)^{|K|} \left(\int_{\Gamma_K \times \Delta_{OK}} dg \wedge \widehat{G} + d \int_{\Gamma_K \times \Delta_{OK}} g \wedge \widehat{G} + (-1)^{n+j} H_K g \right). \end{aligned}$$

Taking into account (4.11) and (4.12) as well as the definitions of T^ψ and H , this can be written

$$\begin{aligned} T^\psi g - \sum_{K \in P'(N, *)} \int_{\Gamma_K \times \Delta_K} g \wedge \widehat{G} \\ = \sum_{K \in P'(N, *)} (-1)^{|K|} \left(\int_{\Gamma_K \times \Delta_{OK}} dg \wedge \widehat{G} + d \int_{\Gamma_K \times \Delta_{OK}} g \wedge \widehat{G} \right) + (-1)^{j+n} Hg. \end{aligned} \quad (4.16)$$

Now we consider a form $f \in C_{n,r}^0(\overline{D})$ with $0 \leq r \leq n$ such that df is also continuous on $\overline{D}$. Setting $g = df$ in (4.16), we obtain that

$$T^\psi df = \sum_{K \in P'(N, *)} (-1)^{|K|} d \int_{\Gamma_K \times \Delta_{OK}} df \wedge \widehat{G} + (-1)^{r+1+n} H df + \sum_{K \in P'(N, *)} \int_{\Gamma_K \times \Delta_K} df \wedge \widehat{G}.$$

Setting $g = f$ in (4.16), applying d to the resulting relation, we obtain that

$$dT^\psi f = \sum_{K \in P'(N, *)} (-1)^{|K|} d \int_{\Gamma_K \times \Delta_{OK}} df \wedge \widehat{G} + (-1)^{r+n} dHf + \sum_{K \in P'(N, *)} d \left(\int_{\Gamma_K \times \Delta_K} f \wedge \widehat{G} \right).$$

Using (4.13) and Proposition 4.3.3, these two relations imply that

$$dT^\psi f - T^\psi df + L^\psi f = (-1)^{r+n} (dHf + Hdf + Mf)$$

and hence by (4.3)

$$f = dHf + Hdf + Mf. \quad (4.17)$$

If moreover $0 \leq r \leq q - N$, then by Lemma 4.4.2, we obtain

$$dT^\psi f - T^\psi df = (-1)^{r+n} (dHf + Hdf) + \sum_{K \in P'(N)} \left[d \left(\int_{\Gamma_{K*} \times \Delta_{K*}} f \wedge \widehat{G} \right) - \int_{\Gamma_{K*} \times \Delta_{K*}} df \wedge \widehat{G} \right].$$

It follows from Theorem 4.1.1, Proposition 4.3.3 and (4.13) that

$$f = dHf + Hdf + M^* f. \quad (4.18)$$

Now we consider the general case. Let $f \in B_{n,r}^\beta(D)$, $0 \leq \beta < 1$, $0 \leq r \leq n$, such that also $df \in B_*^\beta(D)$. Choose $\varepsilon > 0$ with $\beta + \varepsilon < 1$. Then, by local shifts of f and a partition of unity argument, we can find a sequence of forms $f_\nu \in C_{n,r}^0(\overline{D})$ such that also the forms df_ν are continuous on $\overline{D}$ and

$$f_\nu \longrightarrow f \text{ and } df_\nu \longrightarrow df$$

in the space $B_*^{\beta+\varepsilon}(D)$. By Lemma 4.4.1 (iii), then

$$Hf_\nu \longrightarrow Hf \text{ and } Hdf_\nu \longrightarrow Hdf$$

uniformly on the compact subsets of D . Moreover the kernels $\widehat{M}_K^{\tilde{\psi}}$ are of class C^1 in $D \times \overline{D} \times \Delta_K$ and therefore

$$Mf_\nu \longrightarrow Mf \text{ and } M^*f_\nu \longrightarrow M^*f$$

uniformly on the compact subsets of D . Since, by (4.17) and (4.18),

$$f_\nu = dHf_\nu + Hdf_\nu + Mf_\nu$$

and

$$f_\nu = dHf_\nu + Hdf_\nu + M^*f_\nu, \text{ if } 0 \leq r \leq q - N,$$

this implies that

$$f = dHf + Hdf + Mf$$

$$f = dHf + Hdf + M^*f, \text{ if } 0 \leq r \leq q - N. \blacksquare$$

5. Homotopy formula and solution of the $\bar{\partial}$ -equation

in local q -concave wedges

Let (E, D) be a local q -concave wedge, $0 \leq q \leq n-1$, $(U_{\overline{D}}, \rho_1, \dots, \rho_N, \rho_*)$ the associated frame satisfying conditions (i), (ii) and (iii) in Definition 2.2.

5.1. LEMMA. — Let ξ be a fixed point in E , then there exists a neighborhood W of ξ in $\mathbb{C}^n$ such that for each $f \in B_{n,r}^\beta(D)$, $0 \leq \beta < 1$, $0 \leq r \leq n$, the differential form $M^*f = \sum_{K \in P'(N)} \int_{\Gamma_{K*} \times \Delta_{K*}} f(\zeta) \wedge \widehat{M}_{K*}(\cdot, \zeta, \lambda)$ is of class C^1 in W and $D \subset W$. Moreover M^* is a bounded operator from $B_{n,*}^\beta(D)$ into $C_{n,*}^1(W)$.

Proof. — Recall that $\widehat{M}_{K*}(z, \zeta, \lambda) = \frac{1}{(2i\pi)^n} \det(d\tilde{\psi}_{K*}(z, \zeta, \lambda))$ where

$$\tilde{\psi}_{K*}(z, \zeta, \lambda) = \overset{\circ}{\chi}(\lambda_*) \frac{w^*(\zeta)}{\Phi^*(z, \zeta)} + (1 - \overset{\circ}{\chi}(\lambda_*)) \frac{w(z, \zeta, \lambda)^*}{\Phi(z, \zeta, \lambda)^*},$$

for $(z, \zeta, \lambda) \in D \times \overline{D} \times \Delta_{K*}$.

Moreover, we know from (3.1) and the definition of Φ^* in Section 4.3 that

$$\Phi^*(z, \zeta) \neq 0 \text{ for all } (z, \zeta) \in \{x \in U_{\overline{D}}/\rho_*(x) < 0\} \times \{y \in U_{\overline{D}}/\rho_*(y) \leq 0\}. \quad (5.1)$$

From (3.6), (3.7) and the definition of Φ in Section 4.3 we get

$$\operatorname{Re} \Phi(z, \zeta, \lambda) \leq \rho_\lambda(z) + \rho_\lambda(\zeta) - \frac{\alpha}{2} |\zeta - z|^2 \text{ for all } (z, \zeta, \lambda) \in U_{\overline{D}} \times U_{\overline{D}} \times \Delta_K. \quad (5.2)$$

Set $\delta = \operatorname{dist}(\xi, \Gamma_{1 \dots N*})$, if $z \in B(\xi, \tau\delta)$, $\tau < 1$, and $\zeta \in \Gamma_{K*}$, then $|z - \zeta| > (1 - \tau)\delta$.

Let $W_{\tau, \lambda}^* = \{z \in B(\xi, \tau\delta) \mid \rho_*(z) < \frac{\delta\alpha(1-\tau)}{2}\}$, then $W_\tau = \bigcap_{\lambda \in \Delta_{K*}} W_{\tau, \lambda}^*$ is a neighborhood of ξ , which contains $D \cap B(\xi, \tau\delta)$.

We set $W = \left[\left(\bigcup_{\tau < 1} W_\tau \right) \cup D \right] \cap \{z \in U_{\overline{D}} \mid \rho_*(z) < 0\}$, W is a neighborhood of ξ in $\mathbb{C}^n$, which contains D . We deduce from (5.1) and (5.2) that $\Phi^*(z, \zeta) \neq 0$ and $\Phi(z, \zeta) \neq 0$ for $(z, \zeta, \lambda) \in W \times \Gamma_{K*} \times \Delta_{K*}$.

Consequently $\widehat{M}_{K*}$ is a C^1 differential form on $W \times \Gamma_{K*} \times \Delta_{K*}$, which defines a bounded operator M^* from $B_{n,*}^\beta(D)$ into $C_{n,*}^1(W)$. ■

5.2. LEMMA. — Let $f \in B_{n,r}^\beta(D)$ a (n, r) -differential form, $0 \leq \beta < 1$, such that $df \in B_{n,*}^\beta(D)$. Then if $0 \leq r \leq q - N - 1$, $dM^*f = M^*df$ on W .

Proof. — We consider first the case, where $f \in C_{n,r}^0(\overline{D})$ and df is also continuous on $\overline{D}$. If $z \in W$

$$dM^*f(z) = (-1)^{r+1} \sum_{K \in P'(N) \cup \emptyset} \int_{(\zeta, \lambda) \in \Gamma_{K*} \times \Delta_{K*}} f(\zeta) \wedge d_{\zeta, \lambda} \widehat{M}_{K*}(z, \zeta, \lambda)$$

since $d\widehat{M}_{K*} = 0$ by definition of $\widehat{M}_{K*}$.

Therefore, using Stokes' theorem and (4.6) we get

$$\begin{aligned} dM^*f(z) &= M^*df(z) - \sum_{K \in P'(N) \cup \emptyset} \int_{(\zeta, \lambda) \in S_{K*} \times \Delta_{K*}} f(\zeta) \wedge \widehat{M}_{K*}(z, \zeta, \lambda) \\ &\quad - \sum_{K \in P'(N)} \int_{(\zeta, \lambda) \in \Gamma_{K*} \times \Delta_K} f(\zeta) \wedge \widehat{M}_{K*}(z, \zeta, \lambda). \end{aligned}$$

But we have $\widehat{M}_{K*} \mid_{S_{K*} \times \Delta_{K*}} = d\widehat{L}_{K*}^{\tilde{\psi}} = 0$, then

$$dM^*f(z) = M^*df(z) - \sum_{K \in P'(N)} \int_{(\zeta, \lambda) \in \Gamma_{K*} \times \Delta_K} f(\zeta) \wedge \widehat{M}_{K*}(z, \zeta, \lambda). \quad (5.3)$$

Since $\widehat{M}_{K*} \mid_{\Gamma_{K*} \times \Delta_K} = d\widehat{L}_K^{\tilde{\psi}} \mid_{\Gamma_{K*} \times \Delta_K}$, we have

$$\begin{aligned} \int_{(\zeta, \lambda) \in \Gamma_{K*} \times \Delta_K} f(\zeta) \wedge \widehat{M}_{K*}(z, \zeta, \lambda) &= \int_{(\zeta, \lambda) \in \Gamma_{K*} \times \Delta_K} f(\zeta) \wedge d_{z, \zeta, \lambda} \widehat{L}_K^{\tilde{\psi}}(z, \zeta, \lambda) \\ &= (-1)^r d_z \left(\int_{(\zeta, \lambda) \in \Gamma_{K*} \times \Delta_K} f(\zeta) \wedge \widehat{L}_K^{\tilde{\psi}}(z, \zeta, \lambda) \right) \\ &\quad + (-1)^{r+1} \int_{(\zeta, \lambda) \in \Gamma_{K*} \times \Delta_K} df(\zeta) \wedge \widehat{L}_K^{\tilde{\psi}}(z, \zeta, \lambda) \\ &\quad + (-1)^r \int_{(\zeta, \lambda) \in \Gamma_{K*} \times \Delta_K} d_{\zeta, \lambda} (f(\zeta) \wedge \widehat{L}_K^{\tilde{\psi}}(z, \zeta, \lambda)) \end{aligned} \quad (5.4)$$

By Lemma 4.3.1 we get that, if $0 \leq r \leq q-N$,

$$\int_{(\zeta, \lambda) \in \Gamma_{K*} \times \Delta_K} f(\zeta) \wedge \widehat{L}_K^{\bar{\psi}}(z, \zeta, \lambda) = 0 \quad (5.5)$$

and if $0 \leq r \leq q-N-1$ or $df = 0$

$$\int_{(\zeta, \lambda) \in \Gamma_{K*} \times \Delta_K} df(\zeta) \wedge \widehat{L}_K^{\bar{\psi}}(z, \zeta, \lambda) = 0. \quad (5.6)$$

One can easily prove that

$$\sum_{K \in P'(N)} \partial(\Gamma_{K*} \times \Delta_K) = \sum_{K \in P'(N)} S_{K*} \times \Delta_K. \quad (5.7)$$

Then, from Stokes' theorem and (5.7) we deduce

$$\begin{aligned} \sum_{K \in P'(N)} \int_{(\zeta, \lambda) \in \Gamma_{K*} \times \Delta_K} d_{\zeta, \lambda} (f(\zeta) \wedge \widehat{L}_K^{\bar{\psi}}(z, \zeta, \lambda)) \\ = \sum_{K \in P'(N)} \int_{(\zeta, \lambda) \in S_{K*} \times \Delta_K} f(\zeta) \wedge \widehat{L}_K^{\bar{\psi}}(z, \zeta, \lambda). \end{aligned} \quad (5.8)$$

Using $[\widehat{L}_K^{\bar{\psi}}]_{\deg \bar{\zeta}=k} = 0$ for $K \in P'(N)$, $k \geq n-q$, and $\dim S_{K*} = 2n-|K|-1$ for $K \in P'(N)$, we obtain that

$$\int_{(\zeta, \lambda) \in S_{K*} \times \Delta_K} f(\zeta) \wedge \widehat{L}_K^{\bar{\psi}}(z, \zeta, \lambda) = 0 \quad \text{if } 0 \leq r \leq q-N-1. \quad (5.9)$$

Therefore using (5.3), (5.4), (5.5), (5.6), (5.8) and (5.9) the lemma is proved for $f \in C_{n,r}^0(\overline{D})$ such that df is continuous on $\overline{D}$.

Now, let $f \in B_{n,r}^{\beta}(D)$, $0 \leq \beta < 1$, $0 \leq r \leq q-N-1$, such that also $df \in B_*^{\beta}(D)$. Choose $\varepsilon > 0$ with $\beta + \varepsilon < 1$. Then as in the proof of Theorem 4.4.3, we can find a sequence of forms $f_{\nu} \in C_{n,r}^0(\overline{D})$ such that the forms df_{ν} are also continuous on $\overline{D}$ and

$$f_{\nu} \longrightarrow f \quad \text{and} \quad df_{\nu} \longrightarrow df$$

in the space $B_*^{\beta+\varepsilon}(D)$.

As the kernels $\widehat{M}_{K*}$ are of class C^1 in $W \times \Gamma_{K*} \times \Delta_{K*}$, $K \in P'(N) \cup \emptyset$, $M^* f_{\nu} \rightarrow M^* f$ and $M^* df_{\nu} \rightarrow M^* df$ for the C^1 topology in the open set W . Since $dM^* f_{\nu} = M^* df_{\nu}$ by the first part of the proof we get that $dM^* f = M^* df$ for $0 \leq r \leq q-N-1$. ■

5.3. THEOREM. — Let (E, D) be a local q -concave wedge, $0 \leq q \leq n-1$, $(U_{\overline{D}}, \rho_1, \dots, \rho_N, \rho_*)$ the frame associated to (E, D) in Definition 2.2 and ξ a fixed point in E . Then there exists a real $R, R > 0$, such that for each $f \in B_{n,r}^{\beta}(D)$, $0 \leq \beta < 1$, $1 \leq r \leq q-N-1$, with $df \in B_*^{\beta}(D)$ we have

$$f = Sdf + dSf \quad \text{on } D \cap B(\xi, R)$$

where $S = H + TM^*$, T being the Henkin operator for solving the $\bar{\partial}$ -equation in $B(\xi, R)$.

Proof. — In Theorem 4.4.3, we have proved that, if $1 \leq r \leq q-N$, we have

$$f = dHf + Hdf + M^*f \quad \text{on } D. \quad (5.10)$$

Let W be the neighborhood of ξ defined in Lemma 5.1. Then, there exists, $R > 0$, such that $\overline{B}(\xi, R) \subset W$ and M^*f is a C^1 differential form on $\overline{B}(\xi, R)$.

Let T be the operator defined by Corollary 1.12.2 in [He/Le 1] with the Leray map associated to $B(\xi, R)$ (see Definition 2.1.2 and Corollary 2.1.4 in [He/Le 1]). Then we have

$$M^*f = dTM^*f + TdM^*f \quad \text{on } B(\xi, R). \quad (5.11)$$

Setting $S = H + TM^*$, (5.10), (5.11) and Lemma 5.2 imply

$$f = dSf + Sdf \quad \text{on } D \cap B(\xi, R). \blacksquare$$

5.4. LEMMA. — *Let us suppose that (E, D) is a local q -concave wedge defined by a q -configuration, ξ a fixed point in E and W the neighborhood of ξ defined in Lemma 5.1 using a constant α satisfying the properties of Remark 2.6. Then for each $(z, \lambda) \in W \times \Delta_{1\dots N}$ there exists a strictly q -convex domain G such that*

- a) $S_{1\dots N*} \subset\subset G$;
- b) $U_{\overline{D}}$ is a q -convex extension of G ;
- c) $[\widehat{L}_{1\dots N}^\psi]_{\deg \bar{\zeta} = n-q-1}$ is a $\bar{\partial}$ -closed form on a neighborhood of $\overline{G}$.

Proof. — Set $\tilde{\rho}_i(\zeta) = \rho_i(\zeta) - \rho_i(z) + \frac{\alpha}{2}|\zeta - z|^2$, $i = 1, \dots, N$ and for $\varepsilon > 0$, sufficiently small

$$\tilde{\varphi} = \max(-\tilde{\rho}_1, \dots, -\tilde{\rho}_N, \rho_* - \varepsilon).$$

By definition of W , if $z \in W$, we have

$$S_{1\dots N*} \subset\subset \{\zeta \in U_{\overline{D}} \mid \tilde{\varphi}(\zeta) < 0\}.$$

Consequently there exists $\beta > 0$ such that

$$S_{1\dots N*} \subset\subset \{\zeta \in U_{\overline{D}} \mid \tilde{\varphi}^\beta(\zeta) < 0\}$$

where $\tilde{\varphi}^\beta = \max_\beta(-\tilde{\rho}_1, \dots, -\tilde{\rho}_N, \rho_* - \varepsilon)$.

Since $\tilde{\rho}_\lambda$ is strictly $(q+1)$ -convex for each $\lambda \in \Delta_{1\dots N}$ and ρ_* is convex, the function $\tilde{\varphi}^\beta$ is strictly $(q+1)$ -convex on $U_{\overline{D}}$. Without loss of generality, we can assume that ρ_* is an unbounded exhausting function for $U_{\overline{D}}$. Then also $\tilde{\varphi}^\beta$ is an unbounded exhausting function for $U_{\overline{D}}$.

Since $-\operatorname{Re} \psi(z, \zeta, \lambda) > \tilde{\rho}_\lambda(\zeta)$ for $(z, \zeta, \lambda) \in U_{\overline{D}} \times U_{\overline{D}} \times \Delta_{1\dots N}$, for each $(z, \lambda) \in W \times \Delta_{1\dots N}$, $\widehat{L}_{1\dots N}^\psi(z, \cdot, \lambda)$ is defined on $\{\zeta \in U_{\overline{D}} \mid \tilde{\varphi}^\beta(\zeta) < 0\}$.

Using the $(q+1)$ -holomorphy of ψ and the definition of $\widehat{L}_{1\dots N}^\psi$ we get

$$[L_{1\dots N}^\psi]_{\deg \bar{\zeta} = n-q} = 0 \quad \text{and} \quad d_{z, \zeta, \lambda} L^\psi = 0,$$

therefore

$$\bar{\partial}_\zeta [L_{1\dots N}^\psi]_{\deg \bar{\zeta}=n-q-1} = -(\partial_\zeta + d_{z,\lambda})[L_{1\dots N}^\psi]_{\deg \bar{\zeta}=n-q} = 0.$$

For $(z, \lambda) \in W \times \Delta_{1\dots N}$, $\widehat{L}_{1\dots N}^\psi(z, \cdot, \lambda)$ is $\bar{\partial}$ -closed on $\{\zeta \in U_{\bar{D}} \mid \bar{\varphi}^\beta(\zeta) < 0\}$ and for sufficiently small $c > 0$, $G = \{\zeta \in U_{\bar{D}} \mid \bar{\varphi}^\beta(\zeta) < -c\}$ has the required properties. ■

5.5. LEMMA. — Under the hypothesis of Lemma 5.4, let $f \in B_{n,q-N}^\beta(D)$ an $(n, q-N)$ differential form, $0 \leq \beta < 1$, such that $df = 0$ then

$$dM^*f = 0 \quad \text{on } W.$$

Proof. — First let us assume that f is continuous on $\bar{D}$. Using (5.3), (5.4), (5.5), (5.6) and (5.8) we get for $z \in W$

$$dM^*f(z) = \sum_{K \in P'(N)} \int_{(\zeta, \lambda) \in S_{K*} \times \Delta_K} f(\zeta) \wedge \widehat{L}_K^{\bar{\psi}}(z, \zeta, \lambda).$$

Since on $W \times S_{K*} \times \Delta_K$, $\widehat{L}_K^{\bar{\psi}} = \widehat{L}_K^\psi$ and $[\widehat{L}_K^{\bar{\psi}}]_{\deg \bar{\zeta}=k} = 0$ for $K \in P'(N)$, $k \geq n-q$, we obtain

$$\begin{aligned} dM^*f(z) &= \int_{(\zeta, \lambda) \in S_{1\dots N*} \times \Delta_{1\dots N}} f(\zeta) \wedge [\widehat{L}_{1\dots N}^\psi]_{\deg \bar{\zeta}=n-q-1}(z, \zeta, \lambda) \\ &= \int_{\lambda \in \Delta_{1\dots N}} \left(\int_{\zeta \in S_{1\dots N*}} f(\zeta) \wedge [\widehat{L}_{1\dots N}^\psi]_{\deg \bar{\zeta}=n-q-1}(z, \zeta, \lambda) \right). \end{aligned} \quad (5.12)$$

We fix $(z, \lambda) \in W \times \Delta_{1\dots N}$, by Lemma 5.4 $[\widehat{L}_{1\dots N}^\psi]_{\deg \bar{\zeta}=n-q-1}$ is a $\bar{\partial}$ -closed form on a neighborhood of a strictly q -convex domain G containing $S_{1\dots N*}$. Moreover U is a q -convex extension of G and by Corollary 12.12 (ii) in [He/Le 2] we can approach $[\widehat{L}_{1\dots N}^\psi]_{\deg \bar{\zeta}=n-q-1}$ uniformly on $\bar{G}$ by a sequence $(F_j)_{j \in \mathbb{N}}$ of $\bar{\partial}$ -closed form on U . Therefore we have

$$\int_{\zeta \in S_{1\dots N*}} f(\zeta) \wedge [\widehat{L}_{1\dots N}^\psi]_{\deg \bar{\zeta}=n-q-1}(z, \zeta, \lambda) = \lim_{j \rightarrow \infty} \int_{\zeta \in S_{1\dots N*}} f(\zeta) \wedge F_j(\zeta).$$

Since $S_{1\dots N*}$ is the boundary of $S_{1\dots N}$ and $f(\zeta) \wedge F_j(\zeta)$ is closed on $S_{1\dots N}$ we obtain

$$\int_{\zeta \in S_{1\dots N*}} f(\zeta) \wedge [\widehat{L}_{1\dots N}^\psi]_{\deg \bar{\zeta}=n-q-1}(z, \zeta, \lambda) = 0$$

and consequently using (5.12) $dM^*f = 0$ on W .

This proves the lemma when f is continuous on $\bar{D}$. The same argument as in the proof of Lemma 5.2, implies this lemma when $f \in B_{n,q-N}^\beta(D)$.

5.6. THEOREM. — Let (E, D) be a local q -concave wedge defined by a q -configuration (see Definition 2.4), $1 \leq q \leq n-1$, ξ a fixed point in E and N the real codimension of E in $\mathbb{C}^n$.

Then there exists a real R , $R > 0$, such that for each $f \in B_{n,q-N}^\beta(D)$, $0 \leq \beta < 1$, $q-N \geq 1$, with $df = 0$ on D we have

$$f = dSf \quad \text{on } D \cap B(\xi, R)$$

where $S = H + TM^*$, T being the Henkin operator for solving the $\bar{\partial}$ -equation in $B(\xi, R)$.

Proof. — From Theorem 4.4.3, we know that

$$f = dHf + M^*f \quad \text{on } D. \quad (5.13)$$

Let W be the neighborhood of ξ defined in Lemma 5.1. Then there exists $R > 0$ such that $\bar{B}(\xi, R) \subset W$ and M^*f is a C^1 differential form on $\bar{B}(\xi, R)$. Moreover by Lemma 5.5, M^*f is $\bar{\partial}$ -closed on $B(\xi, R)$.

Let T be the operator defined by Corollary 1.12.2 in [He/Le 1] with the Leray map associated to $B(\xi, R)$ (see Definition 2.1.2 and Corollary 2.1.4 in [He/Le 1]).

Then we have

$$M^*f = dTM^*f \quad \text{on } B(\xi, R). \quad (5.14)$$

Setting $S = H + TM^*$, (5.13) and (5.14) imply

$$f = dSf \quad \text{on } D \cap B(\xi, R). \blacksquare$$

5.7. THEOREM. — Let (E, D) be a local q -concave wedge, defined by a q -configuration, $1 \leq q \leq n-1$, N the real codimension of E and ξ a fixed point in E . Let us suppose that $q-N \geq 0$, then there exists a neighborhood W of ξ in $\mathbb{C}^n$, $D \subset W$, such that each holomorphic function in D has an holomorphic extension to W .

Proof. — Let f be a holomorphic function in D and $\varepsilon > 0$ a real number. We set $\rho_j^\varepsilon = \rho_j + \varepsilon$, $j = 1 \cdots N, *$. For ε sufficiently small, the frame $(U_{\bar{D}}, \rho_1^\varepsilon, \dots, \rho_N^\varepsilon, \rho_*^\varepsilon)$ defines a new local q -concave wedge, denoted by $(E_\varepsilon, D_\varepsilon)$, which has the same properties than (E, D) . Let $d_\varepsilon = \text{dist}(\xi, E_\varepsilon)$ and $\xi_\varepsilon \in E_\varepsilon$ a point such that $|\xi - \xi_\varepsilon| = d_\varepsilon$.

Set $\tilde{f}(\zeta) = f(\zeta)d\zeta_1 \wedge \cdots \wedge d\zeta_n$, $\tilde{f}$ is a d -closed $(n, 0)$ -form which is continuous in $\bar{D}_\varepsilon$. Since $q \geq N$, Theorem 4.4.3, applied to $\tilde{f}$ and D_ε , implies that

$$\tilde{f} = M_\varepsilon^*f \quad \text{in } D_\varepsilon.$$

As in the proof of Lemma 5.1 we have to consider the functions Φ^* and Φ_ε associated to $(E_\varepsilon, D_\varepsilon)$.

If $\zeta \in I_{K*}^\varepsilon$, then $\Phi^*(z, \zeta) \neq 0$ for all $z \in U_{\bar{D}}$ such that $\rho_*^\varepsilon(z) < 0$, i.e. $\rho^*(z) < -\varepsilon$.

On the other hand, for all $(z, \zeta, \lambda) \in U_{\bar{D}} \times U_{\bar{D}} \times \Delta_K$

$$\text{Re } \Phi_\varepsilon(z, \zeta, \lambda) \leq \rho_\lambda^\varepsilon(z) + \rho_\lambda^\varepsilon(\zeta) - \frac{\alpha}{2} |\zeta - z|^2$$

where the constant α depends only on the second derivatives of ρ_λ^ε and consequently is independent of ε .

Following the proof of Lemma 5.1, if $\delta_\varepsilon = \text{dist}(\xi_\varepsilon, I_{1 \dots N*}^\varepsilon)$ set $W_{\tau, \lambda}^\varepsilon = \{z \in B(\xi_\varepsilon, \tau\delta_\varepsilon) \mid \rho_\lambda^\varepsilon < \frac{\delta_\varepsilon \alpha (1-\tau)}{2}\}$, then $W_\tau^\varepsilon = \bigcap_{\lambda \in \Delta_{K*}} W_{\tau, \lambda}^\varepsilon$ is a neighborhood of ξ_ε .

We shall prove that for some τ and for sufficiently small ε , then W_τ^ε is a neighborhood of ξ .

Since $\Gamma_{1\dots N*}^\varepsilon = \Gamma_{1\dots N*} \cap D_\varepsilon$, we have $\delta_\varepsilon \geq \delta - d_\varepsilon$. Choose $\varepsilon_0 > 0$ such that for all $\varepsilon < \varepsilon_0$, $\delta - d_\varepsilon > \frac{\delta}{2}$ and τ such that $d_{\varepsilon_0} < \frac{\tau\delta}{2}$.

Then if $\varepsilon < \inf(\frac{\alpha}{4}(1-\tau)\delta, \varepsilon_0)$, the point ξ belongs to $\{z \in B(\xi_\varepsilon, \tau\frac{\delta}{2}) \mid \rho_\lambda^\varepsilon < \frac{\delta_\varepsilon\alpha(1-\tau)}{2}\}$ and therefore $\xi \in W_\tau^\varepsilon$ and $\Phi_\varepsilon(z, \zeta, \lambda) \neq 0$ on $W_\tau^\varepsilon \times \Gamma_{K*} \times \Delta_{K*}$.

Choose such an ε , it follows from the definition of M_ε^* that $M_\varepsilon^* \tilde{f}$ is a $C^1, (n, 0)$ -form in W_τ^ε , moreover by Lemma 5.5 $dM_\varepsilon^* \tilde{f} = 0$.

Finally the $(n, 0)$ -form $\tilde{h}$ defined by $\tilde{h} = \tilde{f}$ on D and $\tilde{h} = M_\varepsilon^* \tilde{f}$ on W_τ^ε defined a holomorphic function h on $W = W_\tau^\varepsilon \cup D$ such that $h = f$ on D . ■

6. Estimates

In this section we denote by (E, D) a local q -concave wedge, $0 \leq q \leq n-1$, and by $(U_{\overline{D}}, \rho_1, \dots, \rho_N, \rho_*)$ the associated frame satisfying (i), (ii) and (iii) in Definition 2.2. Let $\Gamma_K, K \in P(N, *)$ be the submanifolds of $\overline{D}$ defined in Section 4.2 and $\Phi(z, \zeta, \lambda)$ the function defined in Section 4.3.

In Section 4.3, we have defined an operator H from $B_{n,*}^\beta(D)$ into $C_{n,*}^0(D)$ by

$$Hf = \sum_{K \in P'(N, *)} (-1)^{|K|} H_K f \quad \text{for } f \in B_{n,*}^\beta(D)$$

where the H_K 's are given by (4.14).

Let us set $H'f = \sum_{K \in P'(N)} (-1)^{|K|} H_K f$ and $H^*f = \sum_{K \in P'(N) \cup \emptyset} (-1)^{|K|+1} H_{K*} f$.

Let us recall some definitions and propositions given in [L-T/Le].

6.1. DEFINITION. — Let $K \in P'(N, *)$ and let s be an integer.

A form of type O_s (or of type $O_s(z, \zeta, \lambda)$) on $D \times \Gamma_K \times \Delta_{OK}$ is, by definition, a continuous differential form $f(z, \zeta, \lambda)$ defined for all $(z, \zeta, \lambda) \in D \times \Gamma_K \times \Delta_{OK}$ with $z \neq \zeta$ such that the following conditions are fulfilled :

- (i) All derivatives of the coefficients of $f(z, \zeta, \lambda)$ which are of order 0 in ζ , of order ≤ 1 in z , and of arbitrary order in λ are continuous for all $(z, \zeta, \lambda) \in D \times \Gamma_K \times \Delta_{OK}$ with $z \neq \zeta$.
- (ii) Let $\nabla_z^\kappa, \kappa = 0, 1$, be a differential operator with constant coefficients which is of order 0 in ζ , of order κ in z , and of arbitrary order in λ . Then there is a constant $C > 0$ such that, for each coefficient $\varphi(z, \zeta, \lambda)$ of the form $f(z, \zeta, \lambda)$,

$$|\nabla_z^\kappa \varphi(z, \zeta, \lambda)| \leq C |\zeta - z|^{s-\kappa}$$

for all $(z, \zeta, \lambda) \in D \times \Gamma_K \times \Delta_{OK}$ with $z \neq \zeta$.

(iii) There exist neighborhood $U_0, U_K \subseteq \Delta_{OK}$ of Δ_0 and Δ_K , respectively, such that $f(z, \zeta, \lambda) = 0$ for all $(z, \zeta, \lambda) \in D \times \Gamma_K \times (U_0 \cup U_K)$.

The symbols $O_s(z, \zeta, \lambda)$ and O_s will be used also to denote forms of this type, also in formulas. For example :

$f = O_s$ means : f is a form of type O .

$O_s \wedge f = O_k \wedge g + O_m$ means : for each form h of type O_s there exist a form u of type O_k and a form v of type O_m such that $h \wedge f = u \wedge g + v$.

The equation

$$Ef(z) = \int_{(\zeta, \lambda) \in S_K \times \Delta_{OK}} O_s(z, \zeta, \lambda) \wedge f(z, \zeta, \lambda)$$

means : there exists a form $\hat{E}$ of type O_s such that

$$Ef(z) = \int_{(\zeta, \lambda) \in S_K \times \Delta_{OK}} \hat{E}(z, \zeta, \lambda) \wedge f(z, \zeta, \lambda)$$

for all f .

6.2. DEFINITION. — Let $m \geq 0$ be an integer. An operator of type m is, by definition, a map

$$E : \cup_{0 \leq \beta < 1} B_{n,*}^\beta(D) \longrightarrow C_{n,*}^0(D)$$

such that there exist

- an integer $k \geq 0$,
- $K \in P'(N)$,
- a form $\hat{E}(z, \zeta, \lambda)$ of type $O_{|K|-2n+2k+m}$ on $D \times \Gamma_K \times \Delta_{OK}$ such that, for all $f \in B_{n,*}^\beta(D)$, $0 \leq \beta < 1$,

$$Ef(z) = \int_{(\zeta, \lambda) \in \Gamma_K \times \Delta_{OK}} \tilde{f}(\zeta) \wedge \frac{\hat{E}(z, \zeta, \lambda) \wedge \Theta(\zeta)}{\Phi^{k+m}(z, \zeta, \lambda)}$$

where $\tilde{f} \in B_{0,*}^\beta(D)$ is the form with

$$f(\zeta) = \tilde{f}(\zeta) \wedge d\zeta_1 \wedge \cdots \wedge d\zeta_n,$$

and for Θ holds the following :

if $m = 0$, then $\Theta = 1$;

if $m \geq 1$, then there exist indices $i_1, \dots, i_m \in K$ such that either

$$\Theta = \partial \rho_{i_1} \wedge \cdots \wedge \partial \rho_{i_m} \quad \text{or} \quad \Theta = \bar{\partial} \rho_{i_1} \wedge \partial \rho_{i_2} \wedge \cdots \wedge \partial \rho_{i_m}$$

(for the definition of $\overset{\circ}{\lambda}$, see Sect. 1.8).

6.3. PROPOSITION. — Let us consider an operator E of type m , $m \geq 0$.

- (i) Let $0 \leq \beta < 1/2$, $0 < \varepsilon \leq 1/2 - \beta$, and $1 \leq r \leq n$. Then

$$E(B_{n,r}^\beta(D)) \subset C_{n,r-1}^{1/2-\beta-\varepsilon}(\overline{D})$$

and the operator E is compact as operator between the Banach spaces $B_{n,r}^\beta(D)$ and $C_{n,r-1}^{1/2-\beta-\varepsilon}(\overline{D})$

- (ii) Let $1/2 \leq \beta < 1$, $0 < \varepsilon \leq 1 - \beta$, and $1 \leq r \leq n$. Then

$$E(B_{n,r}^\beta(D)) \subset B_{n,r-1}^{\beta+\varepsilon-1/2}(\overline{D})$$

and the operator E is compact as operator between the Banach spaces $B_{n,r}^\beta(D)$ and $B_{n,r-1}^{\beta+\varepsilon-1/2}(D)$.

For the proof of this proposition see the proof of Theorem 4.12 in Section 8 of [L-T/Le].

6.4. THEOREM. — The operator H' is a finite sum of operators of type $m, m \geq 0$.

Proof. — It comes from the definition of H' that the calculations are exactly the same than in the proof of Theorem 5.4 in [L-T/Le]. The only change is that we have exchange the roles of z and ζ in the definition of w . But using that, for all $k = 1, \dots, N$, ρ_k is of class C^3 , we get that

$$\begin{aligned} O_0 \wedge W &= O_0 \wedge \sum_{j=1}^n w^j(z, \zeta, \lambda) d\zeta_j = O_0 \wedge \sum_{k \in K} \frac{\partial \rho_k}{\partial z_j}(z) d\zeta_j + O_1 \\ &= O_0 \wedge \partial \rho_j(\zeta) + \sum_{k \in K} \left(\frac{\partial \rho_k}{\partial z_j}(z) - \frac{\partial \rho_k}{\partial z_j}(\zeta) \right) d\zeta_j + O_1 \\ &= O_0 \wedge \partial \rho_j(\zeta) + O_1 \end{aligned}$$

and in the same way $O_0 \wedge d_\lambda W = \sum_{j \in K} O_0 \wedge \partial \rho_j(\zeta) + O_1$ and $O_0 \wedge \bar{\partial}_{z,\zeta} \Phi = \sum_{j \in K} O_0 \wedge \bar{\partial} \rho_j(\zeta) + O_1$ on $D \times \Gamma_K \times \Delta_{OK}$, $K \in P'(N)$, which are exactly the same estimates than in [L-T/Le]. ■

6.5. PROPOSITION. — Let ξ be a fixed point in E and W the neighborhood of ξ defined in Lemma 5.1. Then for each $f \in B_{n,r}^\beta(D)$, $0 \leq \beta < 1$, $0 \leq r \leq n$ the differential form $H^* f$ is of class C^1 in W and the operator H^* is a bounded linear operator from $B_{n,*}^\beta(D)$ into $C_{n,*}^1(W)$.

Proof. — By definition of W , $\Phi^*(z, \zeta) \neq 0$, $\Phi(z, \zeta) \neq 0$ and $|z - \zeta| \neq 0$ for $(z, \zeta, \lambda) \in W \times \Gamma_{K*} \times \Delta_{OK*}$.

Therefore the kernels, which are used to define the operator H^* , are C^1 differential forms on $W \times \Gamma_{K*} \times \Delta_{OK*}$. Then it follows easily from the definition of H^* that H^* is a bounded linear operator from $B_{n,*}^\beta(D)$, $0 \leq \beta < 1$, into $C_{n,*}^1(W)$.

6.6. THEOREM. — Let ξ be a fixed point in E and R be a positive real number such that $\overline{B}(\xi, R) \subset W$, where W is the neighborhood of ξ defined in Lemma 5.1. Then

the operator $S = H + TM^*$, T being the Henkin operator for solving the $\bar{\partial}$ -equation in $B(\xi, R)$ has the following properties :

- i) For $0 \leq \beta < 1/2$, $0 < \varepsilon \leq 1/2 - \beta$ and $1 \leq r \leq n$, S is a compact operator between the Banach spaces $B_{n,r}^\beta(D)$ and $C_{n,r-1}^{1/2-\beta-\varepsilon}(\bar{D} \cap \bar{B}(\xi, R))$.
- ii) For $1/2 \leq \beta < 1$, $0 < \varepsilon \leq 1 - \beta$ and $1 \leq r \leq n$, S is a compact operator, between the Banach spaces $B_{n,r}^\beta(D)$ and $B_{n,r-1}^{\beta+\varepsilon-1/2}(D \cap B(\xi, R))$.

Proof. — Recall that $S = H' + H^* + TM^*$. It follows from Proposition 6.3 and Theorem 6.4 that H' satisfies the conclusions i) and ii) of the theorem.

By Lemma 5.1 and Theorem 2.2.2 in [He/Le 1], TM^* is a bounded operator from $B_{n,*}^\beta(D)$, $0 \leq \beta < 1$, into $C_{n,*}^{1/2}(\bar{D} \cap \bar{B}(\xi, R))$ and, by Proposition 6.5, H^* is a bounded operator from $B_{n,*}^\beta(D)$, $0 \leq \beta < 1$, into $C_{n,*}^1(\bar{D} \cap \bar{B}(\xi, R))$.

Now let $0 \leq \beta < 1/2$. It follows from Ascoli's theorem that the injection maps from $C_{n,*}^{1/2}(\bar{D} \cap \bar{B}(\xi, R))$ and $C_{n,*}^1(\bar{D} \cap \bar{B}(\xi, R))$ into $C^{1/2-\beta-\varepsilon}(\bar{D} \cap \bar{B}(\xi, R))$ are compact. This ends the proof of the theorem in the first case.

Finally, suppose that $1/2 \leq \beta < 1$. By Ascoli's theorem, $H^* + TM^*$ is a compact operator from $B_{n,*}^\beta(D)$ into $C_{n,*}^0(\bar{D} \cap \bar{B}(\xi, R))$. Moreover the injection map from $C_{n,*}^0(\bar{D} \cap \bar{B}(\xi, R))$ into $B_{n,*}^{\beta+\varepsilon-1/2}(D \cap B(\xi, R))$ is bounded and the second assertion of the theorem is proved. ■

Combining Theorem 5.3, Theorem 5.6 and Theorem 6.6, we obtain the main result of this paper :

6.7. THEOREM. — Let (E, D) be a local q -concave wedge, $0 \leq q \leq n-1$, and ξ be a fixed point in E . Then there exists a real R , $R > 0$, and a linear operator S from $B_{n,r}^\beta(D)$ into $C_{n,r-1}^0(D \cap B(\xi, R))$, $1 \leq r \leq n$, such that :

- i) If $0 \leq \beta < 1/2$ and $0 < \varepsilon \leq 1/2 - \beta$, S is compact from $B_{n,*}^\beta(D)$ into $C_{n,*}^{1/2-\beta-\varepsilon}(\bar{D} \cap \bar{B}(\xi, R))$.
- ii) If $1/2 \leq \beta < 1$ and $0 < \varepsilon \leq 1 - \beta$, S is compact from $B_{n,*}^\beta(D)$ into $B_{n,*}^{\beta+\varepsilon-1/2}(D \cap B(\xi, R))$.
- iii) For each $f \in B_{n,r}^\beta(D)$, $0 \leq \beta < 1$, $1 \leq r \leq q - \text{codim}_{\mathbb{R}} E - 1$ with $df \in B_*^\beta(D)$ we have

$$f = Sdf + dSf \quad \text{on } D \cap B(\xi, R) .$$

- iv) If moreover the local q -concave wedge (E, D) is defined by a q -configuration and $1 \leq r = q - \text{codim}_{\mathbb{R}} E$, then for each d -closed form $f \in B_{n,r}^\beta(D)$, $0 \leq \beta < 1$ we have

$$f = dSf \quad \text{on } D \cap B(\xi, R) .$$

7. Globalization

Let us denote by E a holomorphic vector bundle over an n -dimensional complex manifold X , by Ω and Δ two domains in X such that $\Omega \subset\subset \Delta \subset\subset X$ and by D the domain $\Delta \setminus \Omega$. Further, let $C_{n,r}^\alpha(\overline{D}, E)$, $B_{n,r}^\beta(D, E)$ etc... the Banach spaces of E -valued differential forms on D , which are obtained canonically extending the definitions of Section 1.13.

7.1. DEFINITION. — Let q and q' be two integers, $0 \leq q, q' \leq n-1$. A domain $D \subset\subset X$ will be called a q -concave, q' -convex domain of order N , $1 \leq N \leq 2n$, if there exist two domains $\Omega \subset\subset \Delta \subset\subset X$ such that $D = \Delta \setminus \Omega$ and satisfying the following properties :

- (i) For each point $\xi \in \partial\Omega$, there exists a neighborhood U_ξ of ξ in X contained in a coordinate domain, such that, after identification with its image in $\mathbb{C}^n$, U_ξ contains a local q -concave wedge (E_ξ, D_ξ) with
 - (a) $\xi \in E_\xi$;
 - (b) $\text{codim}_{\mathbb{R}} E_\xi \leq N$;
 - (c) (E_ξ, D_ξ) is defined by a q -configuration ;
 - (d) If $(U_{\overline{D}_\xi}, \rho_1, \dots, \rho_{N_\xi}, \rho_*)$ is a frame for (E_ξ, D_ξ) then $D \cap U_\xi \cap \{z \in U_{\overline{D}_\xi} \mid \rho_*(z) < 0\} = D_\xi$.
- (ii) Δ is a local q' -convex domain.

7.2. Examples. — The simplest example of such domains is given by $D = B(0, R') \setminus B(0, R)$, $0 < R < R'$ in $\mathbb{C}^n$, this is a $(n-1)$ -concave, $(n-1)$ -convex domain of order 1. Another simple example is $D = \Delta \setminus \Omega$ with Δ a C^2 smooth q' -convex domain and Ω a C^3 smooth q -convex domain.

A more interesting example is given by $D = \Delta \setminus \Omega$ where Δ is a strictly pseudoconvex domain with C^2 -smooth boundary and Ω is the union of N strictly pseudoconvex domains with C^3 -smooth boundary, whose boundaries are intersecting transversally. Such a domain is a $(n-1)$ -concave, $(n-1)$ -convex domain of order N .

The case where Δ is a strictly pseudoconvex domain with C^2 -smooth boundary and $\Omega = \Omega_1 \cup \Omega_2$ with Ω_i , $i = 1, 2$, two strictly q -convex domains with C^3 -smooth boundary intersecting themselves transversally defined by $\Omega_i = \{z \in U_{\partial\Omega_i} \mid \varphi_i(z) < 0\}$ and such that for each $\lambda \in [0, 1]$ and $\xi \in \partial\Omega_1 \cap \partial\Omega_2$ the Levi form $L_{\lambda\varphi_1 + (1-\lambda)\varphi_2}(\xi)$ restricted to $T_\xi^{\mathbb{C}}(\partial\Omega_1 \cap \partial\Omega_2)$ has at least $\dim_{\mathbb{C}} T_\xi^{\mathbb{C}}(\partial\Omega_1 \cap \partial\Omega_2) - n + q + 1$ positive eigenvalues, defines a q -concave, $(n-1)$ -convex domain of order 2 (cf. remark 2.5).

7.3. THEOREM. — Let D be a q -concave, q' -convex domain of order N in X . We suppose that $q+q'-N \geq n$. Then there exist linear operators

$$\tilde{T}_r : \bigcup_{0 \leq \beta < 1} B_{n,r}^\beta(D, E) \longrightarrow C_{n,r-1}^0(D, E)$$

and

$$K_r : \bigcup_{0 \leq \beta < 1} B_{n,r}^\beta(D, E) \longrightarrow C_{n,r}^0(D, E)$$

for $n-q' \leq r \leq q-N$ such that the following holds :

(i) if $n-q' \leq r \leq q-N-1$, then

$$f = d\tilde{T}_r f + \tilde{T}_{r+1} df + K_r f$$

for all $f \in B_{n,r}^\beta(D, E)$, $0 \leq \beta < 1$, such that df also belongs to $B_*^\beta(D, E)$;

(ii) if $r = q-N$, then for all d -closed $f \in B_{n,r}^\beta(D, E)$, $0 \leq \beta < 1$,

$$f = d\tilde{T}_r f + K_r f ;$$

(iii) if $0 \leq \beta < 1/2$ and $0 < \varepsilon \leq 1/2 - \beta$, then $\tilde{T}_r$ and K_r , $n-q' \leq r \leq q-N$, are compact operators from $B_{n,r}^\beta(D, E)$ into $C_{n,r-1}^{1/2-\beta-\varepsilon}(\overline{D}, E)$, resp. $C_{n,r}^{1/2-\beta-\varepsilon}(\overline{D}, E)$;

(iv) if $1/2 \leq \beta < 1$ and $\varepsilon > 0$, then $\tilde{T}_r$ and K_r , $n-q' \leq r \leq q-N$, are compact operators from $B_{n,r}^\beta(D, E)$ into $B_{n,r-1}^{\beta+\varepsilon-1/2}(D, E)$, resp. $B_{n,r}^{\beta+\varepsilon-1/2}(D, E)$

Proof. — By Definition 7.1 and Lemma 2.4 in [L-T/Le] there exists a finite number of open sets $U_1, \dots, U_m \subset X$ such that $\overline{D} \subset U_1 \cup \dots \cup U_m$ and each $U_j \cap D$, $1 \leq j \leq m$ is either a local q' -convex domain or a local q -concave wedge defined by a q -configuration. The second case occurs, when $U_j \cap \Omega \neq \emptyset$. Moreover, we may assume that E is trivial over some neighborhood of each $\overline{U_j \cap D}$, $1 \leq j \leq m$.

Let A_j be the operators which are induced in

$$\bigcup_{0 \leq \beta < 1} B_{n,*}^\beta(D, E)$$

by the local operators in the following way : if $U_j \cap D$ is a local q -concave wedge $A_j f = S(f|_{U_j \cap D})$ where S is defined in Theorems 5.3 and 5.6 and if $U_j \cap D$ is a local q' -convex domain $A_j f = H(f|_{U_j \cap D})$ where H is defined in Section 4 of [L-T/Le].

We choose non negative C^∞ functions χ_j with compact support in U_j such that $\chi_1 + \dots + \chi_m = 1$ in a neighborhood of $\overline{D}$ and we set

$$\tilde{T}_r f = \sum_{j=1}^m \chi_j A_j f$$

and

$$K_r f = \sum_{j=1}^m d\chi_j \wedge A_j f$$

for $n-q' \leq r \leq q-N$, $f \in B_{n,r}^\beta(D)$, $0 \leq \beta < 1$. ■

Up to the end of this part we will suppose that $X = \mathbb{C}^n$.

7.4. DEFINITION. — A q -concave, q' -convex domain of order N , $1 \leq N \leq 2n$, D contained in $\mathbb{C}^n$ will be of *special type* if $D = \Delta \setminus \Omega$ where Δ is a local q' -convex domain and Ω is the union of N strictly q -convex domains Ω_i , $1 \leq i \leq N$, with C^3 smooth boundary intersecting themselves transversally, defined by $\Omega_i = \{z \in U_{\partial\Omega_i} \mid \varphi_i(z) < 0\}$ and such that for each multi-index $K \in \mathcal{P}(N)$, each $\lambda \in \Delta_K$ and each $\xi \in \bigcap_{k_\nu \in K} \partial\Omega_{k_\nu}$ the Levi form $L_{\lambda_1 \varphi_{k_1} + \dots + \lambda_\ell \varphi_{k_\ell}}(\xi)$ restricted to $T_\xi^\mathbb{C}(\partial\Omega_{k_1} \cap \dots \cap \partial\Omega_{k_\ell})$ has at least $\dim_{\mathbb{C}} T_\xi^\mathbb{C}(\partial\Omega_{k_1} \cap \dots \cap \partial\Omega_{k_\ell}) - n + q + 1$ positive eigenvalues.

7.5. PROPOSITION. — Let $D \subset\subset \mathbb{C}^n$ be a q -concave, q' -convex domain of order N and of special type and suppose that $q+q'-N \geq n$. If f is a continuous (n, r) -form in some neighborhood $U_{\overline{D}}$ of $\overline{D}$, $n-q' \leq r \leq q-N$, such that $\overline{\partial}f = 0$ in $U_{\overline{D}}$, then there exists a form $u \in \bigcap_{\varepsilon>0} C_{n,r-1}^{1/2-\varepsilon}(\overline{D})$ such that $\overline{\partial}u = f$ in D .

Proof. — This proposition is the analogous in the case of q -concave, q' -convex domains of Lemma 2.3.4 in [He/Le 1]. Using Theorem 7.3 at the place of Lemma 2.3.1 ([He/Le 1]) we can repeat the proof of Lemma 2.3.4 in [He/Le 1]. We have only to remark that there exists a q -concave, q' -convex domain of order N and of special type G such that $D \subset\subset G \subset\subset U_{\overline{D}}$.

Let us consider $\Omega_{i,\alpha} = \{z \in U_{\partial\Omega_i} \mid \varphi_i(z) > \alpha\}$. For $\alpha > 0$, sufficiently small it is easy to verify that $\Omega_\alpha = \bigcup_{i=1}^N \Omega_{i,\alpha}$ has the same properties than Ω . Moreover if $\Delta = \{z \in U_{\overline{\Delta}} \mid \rho_j < 0, j = 1, \dots, N\}$ then $\Delta_\beta = \{z \in U_{\overline{\Delta}} \mid \rho_j < -\beta, j = 1, \dots, N\}$ is also a local q -convex domain for sufficiently small $\beta > 0$. Then it suffices to take $G = \Delta_\beta \setminus \Omega_\alpha$ for some small α and β . ■

Following the same methods than in part 2.3 of [He/Le 1], we get the following theorem on the resolution of the $\overline{\partial}$ -equation in q -concave, q' -convex domains with estimates up to the boundary.

7.6. THEOREM. — Let $D \subset\subset \mathbb{C}^n$ be a q -concave, q' -convex domain of order N and of special type such that $q+q'-N \geq n$ and for $0 \leq \beta < 1$, let $f \in B_{n,r}^\beta(D)$ be a $\overline{\partial}$ -closed form on D , $n-q' \leq r \leq q-N$.

- (i) if $0 \leq \beta < 1/2$, there exists $u \in \bigcap_{\varepsilon>0} C_{n,r-1}^{1/2-\beta-\varepsilon}(\overline{D})$ such that $\overline{\partial}u = f$ and for each $\varepsilon > 0$ there exists also a constant C_ε such that

$$\|u\|_{1/2-\beta-\varepsilon} \leq C_\varepsilon \|f\|_{-\beta};$$

- (ii) if $1/2 \leq \beta < 1$, there exists $u \in \bigcap_{\varepsilon>0} B_{n,r-1}^{\beta+\varepsilon-1/2}(D)$ such that $\overline{\partial}u = f$ and for each $\varepsilon > 0$ there exists also a constant C_ε such that

$$\|u\|_{1/2-\beta-\varepsilon} \leq C_\varepsilon \|f\|_{-\beta}.$$

Proof. — As in the proof of Theorem 2.3.5 in [He/Le 1], we deduce the existence of the solution u from Proposition 7.5 by the bumping method. The estimates are a consequence of the Banach's open mapping theorem and of Theorem 7.3 (cf. [He/Le 1] appendix 2). ■

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