

Astérisque

A. BALOG

On the distribution of integers having no large prime factor

Astérisque, tome 147-148 (1987), p. 27-31

http://www.numdam.org/item?id=AST_1987__147-148_27_0

© Société mathématique de France, 1987, tous droits réservés.

L'accès aux archives de la collection « Astérisque » (<http://smf4.emath.fr/Publications/Asterisque/>) implique l'accord avec les conditions générales d'utilisation (<http://www.numdam.org/conditions>). Toute utilisation commerciale ou impression systématique est constitutive d'une infraction pénale. Toute copie ou impression de ce fichier doit contenir la présente mention de copyright.

NUMDAM

Article numérisé dans le cadre du programme
Numérisation de documents anciens mathématiques
<http://www.numdam.org/>

On the distribution of integers having no
 large prime factor

A. Balog (Budapest)

1. Friedlander and Lagarias [2] considered the problem of estimating the number $\psi(X, Z, Y)$ of integers in the interval $(X-Y, X]$ having no prime factor $> Z$. Especially they defined $f(\alpha)$ as the infimum of the values of θ for which for all $\alpha' > \alpha$ one had $\psi(X, X^{\alpha'}, X^{\theta}) > 0$ for sufficiently large X and they proved for $0 < \alpha \leq \frac{1}{2}$

$$(1) \quad f(\alpha) \leq 1 - 2(1 - 2^{-[\frac{1}{\alpha}]})\alpha.$$

The proof was based on a simple combinatorial construction, a special case of it was discovered independently by Balog and Sárközy [1]. Our aim is to develop an alternative method. Instead of $\psi(X, Z, Y)$ itself we investigate a weighted sum by analytic arguments originated from Heath-Brown and Iwaniec [3]. We have

THEOREM: For $0 < \alpha \leq 1$

$$(2) \quad f(\alpha) \leq \frac{1}{2}.$$

The theorem is a simple consequence of our main lemma

LEMMA: Let $k \geq 1$ be an integer, $\frac{1}{8k} \geq \delta > 0$, $X > X_0$ be real numbers, $|a_m| \leq 1$ be arbitrary complex coefficients and we define $M = X^{1/2-1/4k}$, $Y = X^{1/2+1/8k+\delta}$, finally

$$(3) \quad d_n = \sum_{\substack{m_1, m_2 | n \\ M < m_i \leq 2M}} a_{m_1} a_{m_2}.$$

For any $A > 0$ we have

$$(4) \quad \sum_{X-Y < n \leq X} d_n = Y \left(\sum_{M < m \leq 2M} \frac{a_m}{m} \right)^2 + O\left(\frac{Y}{\log^A X}\right).$$

2. For a given $\varepsilon > 0$ and $0 < \alpha \leq 1$ we can choose a $k > \max(\frac{1}{2\alpha}, \frac{1}{8\varepsilon})$ and $a_m = \begin{cases} 1 & \text{if } m \text{ has no prime factor } > X^\alpha \\ 0 & \text{otherwise} \end{cases}$. Our lemma guarantees that the interval $(X - X^{1/2+\varepsilon}, X]$ contains numbers n in the form $n = \ell m_1 m_2$ where m_i has no prime factor $> X^\alpha$ and $\ell < \frac{X}{M^2} = X^{1/2k} < X^\alpha$. This gives the theorem.

3. At first we reduce the proof of (4) to estimating a certain integral. Our basic tool is the Perron integral formula (Lemma 3.12 of [6]). We define

$$M(s) = \sum_{M < m \leq 2M} a_m m^{-s}, \quad L(s) = \sum_{L_1 < \ell \leq L_2} \ell^{-s},$$

where $L_1 = \frac{1}{5} X^{\frac{1}{2k}}$ and $L_2 = 2X^{\frac{1}{2k}}$. By Perron formula we can express the left hand side of (4) as an integral taken on a vertical line of the complex plane. We have

$$(5) \quad \sum_{X-Y < n \leq X} d_n = \frac{1}{2\pi i} \int_{\frac{1}{2}-iT}^{\frac{1}{2}+iT} L(s) M^2(s) \frac{X^s - (X-Y)^s}{s} ds + O\left(\frac{X \log^3 X}{T}\right).$$

We can provide a fairly small error term by choosing $T = \frac{X^{1+\delta/2}}{Y} = X^{1/2-1/8k-\delta/2}$. The major part of the integral is that around $\frac{1}{2}+i0$. Choosing $T_0 = X^{1/4k}$ and using the facts that

$$L(s) = \frac{L_2^{1-s} - L_1^{1-s}}{1-s} + O(L_2^{-1/2}) \quad \text{for } s = \frac{1}{2}+it, \quad |t| \leq T_0,$$

$$\frac{X^s - (X-Y)^s}{s} = YX^{s-1} + O(|s-1|Y^2X^{-\frac{3}{2}}) \quad \text{for } s = \frac{1}{2}+it,$$

$$|M(\frac{1}{2}+it)|^2 \ll M$$

we get again from the Perron formula that

$$\frac{1}{2\pi i} \int_{\frac{1}{2}-iT_0}^{\frac{1}{2}+iT_0} L(s) M^2(s) \frac{X^s - (X-Y)^s}{s} ds =$$

$$\begin{aligned}
 & \frac{1}{2\pi i} \int_{\frac{1}{2}-iT_0}^{\frac{1}{2}+iT_0} \frac{L_2^{1-s} L_1^{1-s}}{1-s} M^2(s) Y X^{s-1} ds + O\left((L_2^{-\frac{1}{2}} Y X^{-\frac{1}{2}} + Y^2 X^{-\frac{3}{2}} L_1^{\frac{1}{2}}) T_0 M\right) = \\
 (6) \quad & \frac{1}{2\pi i} \int_{\frac{1}{2}-iT_0}^{\frac{1}{2}+iT_0} \left(\sum_{M < m \leq 2M} \frac{a_m/m}{m^{s-1}} \right)^2 \frac{(X/L_1)^{s-1} - (X/L_2)^{s-1}}{s-1} ds + O\left(\frac{Y}{\log^A X}\right) = \\
 & = Y \left(\sum_{M < m \leq 2M} \frac{a_m}{m} \right)^2 + O\left(\frac{Y}{\log^A X}\right)
 \end{aligned}$$

for all $A > 0$. Combining (5) and (6) we arrive at

$$(7) \quad \sum_{X-Y < n \leq X} d_n = Y \left(\sum_{M < m \leq 2M} \frac{a_m}{m} \right)^2 + O\left(\frac{Y}{\log^A X} + \frac{Y}{X^{1/2}} R\right)$$

for all $A > 0$, where

$$R = \int_{T_0}^T |L(\frac{1}{2}+it) M^2(\frac{1}{2}+it)| dt.$$

From (7) it is enough to prove that

$$(8) \quad R \ll \frac{X^{1/2}}{\log^A X} \quad \text{for all } A > 0.$$

4. Next we prove (8). To bound R we use three important principles, the mean-value theorem of Dirichlet polynomials (Theorem 6.1 of [5]) which states

$$\int_{-T}^T |M(\frac{1}{2}+it)|^2 dt \ll (M+T) \sum \frac{|a_m|^2}{m},$$

the Halász-Montgomery-Huxley large-value theorem [4] which states

$$|\{t \leq T : V < |M(\frac{1}{2}+it)| \leq 2V\}| \ll \left(\frac{M}{V^2} + \frac{MT}{V^6}\right) \log^2 X,$$

and the fact that for $T_0 < t \leq T$ and for all $A > 0$ we have

$$(9) \quad L(\frac{1}{2}+it) \ll \frac{L_2^{1/2}}{\log^A X},$$

which follows for example from van der Corput's bound for trigonometrical sums (Theorem 5.13 of [6]). Note that the mean-value theorem when is applied to $L(\frac{1}{2}+it)^k$ gives

$$\int_{-T}^T |L(\frac{1}{2}+it)|^{2k} dt \ll (L_1^k + T) \sum_{\substack{n=n_1 \dots n_k \\ L_1 < n_1 \leq L_2}} \frac{1}{n} \ll X^{1/2} \log^k X.$$

We divide the interval $[T_0, T]$ into parts and denote the integral over the set Ω_0 on which $|M(\frac{1}{2}+it)| \leq M^{1/4}$ by R_0 and over the set $\Omega(V)$ on which $V < |M(\frac{1}{2}+it)| \leq 2V$ by $R(V)$. As $|M(\frac{1}{2}+it)| \leq M^{1/2}$ trivially, it is possible to cover the interval $[T_0, T]$ by using $\ll \log X$ sets $\Omega(V)$ together with Ω_0 . From Hölder's inequality and the mean-value theorem

$$\begin{aligned} R_0 &\leq \left(\int_{\Omega_0} |L(\frac{1}{2}+it)|^{2k} dt \right)^{\frac{1}{2k}} \left(\int_{\Omega} |M(\frac{1}{2}+it)|^{\frac{4k}{2k-1}} dt \right)^{1-\frac{1}{2k}} \leq \\ &\leq \left(\int_{T_0}^T |L|^{2k} \right)^{\frac{1}{2k}} \left(\int_{T_0}^T |M|^2 \right)^{1-\frac{1}{2k}} \max_{t \in \Omega_0} |M(\frac{1}{2}+it)|^{\frac{1}{k}} \ll \\ (10) \quad &\ll (X^{1/2+T})^{\frac{1}{2k}} (M+T)^{1-\frac{1}{2k}} M^{1/4k} \log^{1/2} X \ll \\ &\ll X^{\frac{1}{4k} + (\frac{1}{2} - \frac{1}{8k} - \frac{\delta}{2}) (1 - \frac{1}{2k}) + (\frac{1}{2} - \frac{1}{4k}) \frac{1}{4k}} \log^{1/2} X \ll \\ &\ll X^{\frac{1}{2} - \delta (\frac{1}{2} - \frac{1}{4k})} \log^{1/2} X \ll \frac{X^{1/2}}{\log^A X} \end{aligned}$$

for all $A > 0$. From the large-value theorem for $M^{1/4} < V \leq T^{1/4}$

$$\int_{\Omega(V)} 1 dt \ll \frac{MT}{V^6} \log^2 X$$

and

$$\begin{aligned} R(V) &\leq \left(\int_{\Omega(V)} |L(\frac{1}{2}+it)|^{2k} dt \right)^{\frac{1}{2k}} \left(\int_{\Omega(V)} |M(\frac{1}{2}+it)|^{\frac{4k}{2k-1}} dt \right)^{1-\frac{1}{2k}} \ll \\ &\ll \left(\int_{T_0}^T |L|^{2k} \right)^{\frac{1}{2k}} \left(V^{\frac{4k}{2k-1}} \int_{\Omega(V)} 1 dt \right)^{1-\frac{1}{2k}} \ll \\ (11) \quad &\ll \left(X^{1/2+T} \right)^{\frac{1}{2k}} \left(\frac{MTV}{V^{\frac{4k}{2k-1}-6}} \right)^{1-\frac{1}{2k}} \log^3 X \ll \end{aligned}$$

$$\ll x^{\frac{1}{4k_T} - \frac{1}{2k_V} - \frac{3}{4} - \frac{1}{M} - \frac{1}{2k}} \log^3 x \ll$$

$$\ll x^{\frac{1}{4k_T} - \frac{1}{2k} - \frac{1}{4k}} \log^3 x \ll \frac{x^{1/2}}{\log^A x}$$

for all $A > 0$. Finally if $T^{1/4} < V$ then from the large-value theorem

$$\int_{\Omega(V)} 1 \, dt \ll \frac{M}{V^2} \log^2 x$$

and from (9)

$$(12) \quad R(V) \ll \max_{t \in \Omega(V)} |L(\frac{1}{2} + it)| V^2 \int_{\Omega(V)} 1 \, dt \ll \frac{x^{\frac{1}{4k}} M}{\log^A x} \ll \frac{x^{1/2}}{\log^A x}.$$

Now (8) follows from (10), (11) and (12). This completes the proof.

REFERENCES

- [1] A. Balog and A. Sárközy, On sums of integers having small prime factors II, *Studia Sci. Math. Hungar.* 19 (1984), 81-88.
- [2] J. B. Friedlander and J. C. Lagarias, On the distribution in short intervals of integers having no large prime factor, to appear.
- [3] D. R. Heath-Brown and H. Iwaniec, On the difference between consecutive primes, *Inventiones Math.* 55 (1979), 49-69.
- [4] M. N. Huxley, On the difference between consecutive primes, *Inventiones Math.* 15 (1972), 164-170.
- [5] H. L. Montgomery, *Topics in multiplicative number theory*, Lecture notes Math. 227, Berlin-Heidelberg-New-York, Springer 1971.
- [6] E. C. Titchmarsh, *The theory of Riemann zeta-function*, Oxford, Clarendon Press 1951.

BALOG, ANTAL
MATHEMATICAL INSTITUTE OF THE
HUNGARIAN ACADEMY OF SCIENCES
1364 BUDAPEST, P. O. BOX 127.