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## Multiple solutions of a semilinear elliptic equation in $\mathbb{R}^N$

by

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**ABSTRACT.** — In this paper, we are concerned with the existence of multiple solutions of

$$-\Delta u + u = \lambda b(x) |u|^{p-1} u + c(x) |u|^{q-1} u$$

where  $1 < p, q < \frac{N+2}{N-2}$  if  $N \geq 3$ ,  $1 < p, q < +\infty$  if  $N=2$ ,  $\lambda > 0$ .

We obtain the existence of multiple solutions by using concentrations-compactness method and dual variational principle to establish the corresponding existence of critical points.

*Key words* : Semilinear elliptic equations, variation, critical point, concentration-compactness.

**RÉSUMÉ.** — Nous obtenons dans cet article un résultat d'existence et de multiplicité de solutions de

$$-\Delta u + u = \lambda b(x) |u|^{p-1} u + c(x) |u|^{q-1} u$$

où  $1 < p, q < \frac{N+2}{N-2}$ ,  $N \geq 3$ ,  $1 < p, q < +\infty$  si  $N=2$ ,  $\lambda > 0$ .

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Ces résultats sont prouvés à l'aide de la méthode de concentration-compacité et de principes variationnels duaux pour obtenir l'existence des points critiques correspondants.

## 1. INTRODUCTION

We consider the existence of multiple solutions of the following semi-linear elliptic equation

$$(1.1) \quad \begin{cases} -\Delta u + u = \lambda b(x) |u|^{p-1} u + c(x) |u|^{q-1} u & \text{in } \mathbb{R}^N \\ u \in H^1(\mathbb{R}^N) \end{cases}$$

where  $1 < p, q < \frac{N+2}{N-2}$  if  $N \geq 3$ ,  $1 < p, q < +\infty$  if  $N=2$ ,  $\lambda > 0$  is a real number,  $b(x)$  and  $c(x)$  satisfy

$$(1.2) \quad \begin{cases} b(x) \in C(\mathbb{R}^N), & b(x) \geq 0 & \text{in } \mathbb{R}^N, \\ b(x) \xrightarrow{|x| \rightarrow \infty} b_\infty > 0, \end{cases}$$

$$(1.3) \quad \begin{cases} c(x) \in C(\mathbb{R}^N), & c(x) \geq 0 & \text{in } \mathbb{R}^N, \\ c(x) \xrightarrow{|x| \rightarrow \infty} 0. \end{cases}$$

Existence of nontrivial solutions (positive solutions, for example) concerning (1.1) has been extensively studied even for more general nonlinearity—see, for instance, W. Strauss [12], H. Berestycki and P. L. Lions [4], W. Y. Ding and W. M. Ni [5], P. L. Lions [9], [10], A. Bahri and P. L. Lions [2] and the references therein. For the multiplicity of solutions we refer to H. Berestycki and P. L. Lions [4], X. P. Zhu [13] and Y. Y. Li [8].

It is known to some extent that the equation

$$(1.4) \quad -\Delta u + u = c(x) |u|^{q-1} u \quad \text{in } \mathbb{R}^N$$

may have infinitely many solutions because (1.3) ensures that the corresponding variational functional

$$(1.5) \quad I^*(u) = \frac{1}{2} \int |\nabla u|^2 + u^2 - \frac{1}{q+1} \int c(x) |u|^{q+1}$$

satisfies the (PS) (Palais-Smale) condition and the dual variational principle of A. Ambrosetti and P. Rabinowitz [1] may be applied. When  $\lambda$  is small, (1.1) can be taken as a small perturbation of (1.4) and thus it seems reasonable to hope that (1.1) has more and more solutions as  $\lambda$  tends to 0.

As mentioned in P. L. Lions ([9], [10]) that the variational functional corresponding to (1.1) defined by

$$(1.6) \quad I_\lambda(u) = \frac{1}{2} \int |\nabla u|^2 + u^2 - \frac{\lambda}{p+1} \int b(x) |u|^{p+1} - \frac{1}{q+1} \int c(x) |u|^{q+1}$$

fails to satisfy the (PS) condition because of the lack of compactness of the Sobolev embedding  $H^1(\mathbb{R}^N) \hookrightarrow L^2(\mathbb{R}^N)$ .

Such a failure creates difficulties for the application of standard variational techniques. In section 2, arguing as P. L. Lions [10], we show by using the concentration-compactness principle that  $I_\lambda(u)$  satisfies  $(PS)_c$  condition if  $c$  belongs to an interval depending on  $\lambda$  which becomes large as  $\lambda$  tends to 0. In section 3, using a variant of the dual variational principle (dealing with unbounded even functionals) of A. Ambrosetti and P. Rabinowitz [1] we obtain the existence of multiple solutions by establishing the corresponding existence of critical points of  $I_\lambda(u)$  with critical values in the interval in which  $I_\lambda(u)$  satisfies  $(PS)_c$  condition.

We conclude this introduction by remarking that some more general nonlinearities can be considered and similar existence results can be obtained by the arguments in this paper.

## 2. EXISTENCE OF A POSITIVE SOLUTION

In this section, we are concerned with the existence of a positive solution of (1.1). As preparations and for the discussion of next section, we first give some notations, definitions and auxiliary results.

Define

$$(2.1) \quad M_\lambda = \{ u \in H^1(\mathbb{R}^N) \mid u \neq 0, I'_\lambda(u)u = 0 \}$$

$$(2.2) \quad M_\lambda^\infty = \{ u \in H^1(\mathbb{R}^N) \mid u \neq 0, I_\lambda^\infty(u)u = 0 \}$$

where  $I_\lambda(u)$  is defined by (1.6),  $I_\lambda^\infty(u)$  is defined by

$$(2.3) \quad I_\lambda^\infty(u) = \frac{1}{2} \int |\nabla u|^2 + u^2 - \frac{\lambda}{p+1} \int b_\infty |u|^{p+1}$$

Let

$$(2.4) \quad I_\lambda = \inf \{ I_\lambda(u) \mid u \in M_\lambda \}$$

$$(2.5) \quad I_\lambda^\infty = \inf \{ I_\lambda^\infty(u) \mid u \in M_\lambda^\infty \}$$

$$(2.6) \quad I^* = \begin{cases} +\infty & \text{if } c(x) \equiv 0 \text{ in } \mathbb{R}^N \\ \inf \{ I^*(u) \mid u \in H^1(\mathbb{R}^N) \setminus \{0\}, I^*(u)u = 0 \} & \text{if } c(x) \not\equiv 0 \end{cases}$$

$$(2.7) \quad S = \inf_{u \in H^1(\mathbb{R}^N) \setminus \{0\}} \frac{\int |\nabla u|^2 + u^2}{\left( \int |u|^{p+1} \right)^{2/(p+1)}}.$$

We have

PROPOSITION 2.1. — For each  $\lambda > 0$ ,  $I_\lambda \leq I^*$ .

*Proof.* — If  $c(x) \equiv 0$ , then  $I^* = +\infty$ , thus  $I_\lambda \leq I^*$ . In what follows, we assume  $c(x) \not\equiv 0$ .

Suppose  $u \in H^1(\mathbb{R}^N)$ ,  $u \not\equiv 0$  such that

$$(2.8) \quad \int |\nabla u|^2 + u^2 = \int c(x) |u|^{q+1}.$$

Let  $v = \bar{\sigma} u$  such that  $v \in M_\lambda$ , i. e.,

$$(2.9) \quad \int |\nabla v|^2 + v^2 = \bar{\sigma}^{p-1} \int \lambda b(x) |u|^{p+1} + \bar{\sigma}^{q-1} \int c(x) |u|^{q+1}$$

Comparing (2.8) and (2.9) we deduce that such  $\bar{\sigma}$  exists and  $\bar{\sigma} \in (0, 1)$ .

Letting  $h(\sigma) = \frac{\sigma^2}{2} \int |\nabla u|^2 + u^2 - \frac{\sigma^{q+1}}{q+1} \int c(x) |u|^{q+1}$ , we have

$$h'(\sigma) = \sigma \left( \int |\nabla u|^2 + u^2 - \sigma^{q-1} \int c(x) |u|^{q+1} \right) > 0 \quad \text{for } \sigma \in (0, 1).$$

$$(2.10) \quad \begin{aligned} I_\lambda(v) &= \frac{\bar{\sigma}^2}{2} \int |\nabla u|^2 + u^2 - \frac{\bar{\sigma}^{p+1}}{p+1} \int \lambda b(x) |u|^{p+1} \\ &\quad - \frac{\bar{\sigma}^{q+1}}{q+1} \int c(x) |u|^{q+1} \\ &< \frac{\bar{\sigma}^2}{2} \int |\nabla u|^2 + u^2 - \frac{\bar{\sigma}^{q+1}}{q+1} \int c(x) |u|^{q+1} \\ &< \frac{1}{2} \int |\nabla u|^2 + u^2 - \frac{1}{q+1} \int c(x) |u|^{q+1} = I^*(u). \end{aligned}$$

Thus  $I_\lambda \leq I^*$  and we have proved Proposition 2.1.

PROPOSITION 2.2. — We have

$$(2.11) \quad I_\lambda^\infty = \frac{p-1}{2(p+1)} S^{(p+1)/(p-1)} (\lambda b_\infty)^{-2/(p-1)}.$$

*Proof.* – We can easily find that

$$(2.12) \quad S = \inf \left\{ \int |\nabla u|^2 + u^2 \mid u \in H^1(\mathbb{R}^N), \int |u|^{p+1} = 1 \right\}$$

which has a positive minimum  $\bar{u} \in H^1(\mathbb{R}^N) \cap C^2(\mathbb{R}^N)$  satisfying

$$(2.13) \quad -\Delta u + u = S |u|^{p-1} u \text{ in } \mathbb{R}^N$$

(see W. Strauss [12], P. L. Lions ([9], [10]) for examples). By Gidas, Ni and Nirenberg [7] we may assume  $\bar{u}$  is radial.

On the other hand, there exists a positive radial function  $\tilde{u} \in H^1(\mathbb{R}^N) \cap C^2(\mathbb{R}^N)$  achieving  $I_\lambda^\infty$  such that  $\tilde{u}$  satisfying

$$(2.14) \quad -\Delta u + u = \lambda b_\infty |u|^{p-1} u \text{ in } \mathbb{R}^N$$

(see also W. Strauss [12], P. L. Lions ([9], [10]) for examples).

Let  $\tilde{u} = \left(\frac{S}{\lambda b_\infty}\right)^{1/(p-1)} v$ , then  $v > 0$  in  $\mathbb{R}^N$  and solves (2.13). By the uniqueness of radial positive solution due to M. K. Kwong [11] we deduce  $v \equiv \bar{u}$  and thus

$$I_\lambda^\infty = I_\lambda^\infty(\tilde{u}) = \frac{p-1}{2(p+1)} \int |\nabla \tilde{u}|^2 + \tilde{u}^2 = \frac{p-1}{2(p+1)} S^{(p+1)/(p-1)} (\lambda b_\infty)^{-(2/(p-1))}$$

proving Proposition 2.2.

LEMMA 2.3. –  $I_\lambda(u)$  satisfies (PS)<sub>c</sub> condition if

$$(2.15) \quad c \in (-\infty, I_\lambda^\infty).$$

*Proof.* – Suppose  $\{u_n\} \subset H^1(\mathbb{R}^N)$  such that

$$(2.16) \quad I_\lambda(u_n) \rightarrow c \in (-\infty, I_\lambda^\infty)$$

$$(2.17) \quad I'_\lambda(u_n) \xrightarrow{n} 0 \text{ in } H^1(\mathbb{R}^N)$$

It is easy to deduce from (2.16) and (2.17) that  $\{u_n\}$  is bounded in  $H^1(\mathbb{R}^N)$ . By choosing subsequence if necessary we assume

$$(2.18) \quad u_n \rightharpoonup u_0 \text{ weakly in } H^1(\mathbb{R}^N).$$

By the method of concentration-compactness, as in A. Bahri and P. L. Lions [2], P. L. Lions [10], V. Benci and G. Cerami [3] we deduce that there exist a nonnegative integer  $k$ ,  $\{x_n^i\} (1 \leq i \leq k)$  in  $\mathbb{R}^N$ , solutions  $\bar{u}_i \in H^1(\mathbb{R}^N) (1 \leq i \leq k)$  of (2.14) such that (extracting subsequence if necessary)

$$(2.19) \quad \left\| u_n - u_0 - \sum_{i=1}^k \bar{u}_i(x - x_n^i) \right\| \xrightarrow{n} 0$$

$$(2.20) \quad c = I_\lambda(u_0) + \sum_{i=1}^n I_\lambda^\infty(\bar{u}_i).$$

Since  $I_\lambda^\infty(\bar{u}_i) = \frac{p-1}{2(p+1)} \int |\nabla \bar{u}_i|^2 + \bar{u}_i^2 \geq 0$  for  $i = 1, \dots, k$  if for some  $i$ ,  $\bar{u}_i \neq 0$ , then  $I_\lambda^\infty(\bar{u}_i) \geq I_\lambda^\infty$  which implies  $c \geq I_\lambda^\infty$  because  $I_\lambda(u_0) \geq 0$ . Thus  $\bar{u}_i \equiv 0$  for  $1 \leq i \leq k$ . Hence  $u_n$  converges to  $u_0$  strongly and therefore Lemma 2.3 has been proved.

We are now going to use the preceding result to obtain the existence of a positive solution.

**THEOREM 2.4.** — *Suppose  $I_\lambda < I_\lambda^\infty$ . Then (1.1) has a positive solution.*

*Proof.* — By Ekeland’s variational principle [6] and the definition of  $I_\lambda$ , there exists a minimizing sequence  $\{u_n\}$  such that  $\{u_n\} \subset M_\lambda$

$$(2.21) \quad I_\lambda(u_n) \xrightarrow{n} I_\lambda$$

$$(2.22) \quad I'_{\lambda|M_\lambda}(u_n) \xrightarrow{n} 0 \quad \text{in } H^{-1}(\mathbb{R}^N).$$

$$(2.23) \quad I'_\lambda(u_n) \xrightarrow{n} 0 \quad \text{in } H^{-1}(\mathbb{R}^N).$$

Indeed, from (2.21),  $u_n \in M_\lambda$ , using Sobolev inequality we can find  $C_1, C_2 > 0$  such that

$$(2.24) \quad C_1 < \int |\nabla u_n|^2 + u_n^2 < C_2 \quad \text{for all } n = 1, 2, \dots$$

Letting  $J_\lambda(u) = \int |\nabla u|^2 + u^2 - \int \lambda b(x)|u|^{p+1} - \int c(x)|u|^{q+1}$ , we have

$$(2.25) \quad M_\lambda = \{u \in H^1(\mathbb{R}^N) \setminus \{0\} \mid J_\lambda(u) = 0\}.$$

Thus

$$(2.26) \quad I'_\lambda(u_n) = I'_{\lambda|M_\lambda}(u_n) - \theta_n J'_\lambda(u_n)$$

for some  $\theta_n \in \mathbb{R}$ .

Since  $u_n \in M_\lambda$ , we have from (2.26)

$$(2.27) \quad I'_{\lambda|M_\lambda}(u_n)u_n - \theta_n J'_\lambda(u_n)u_n = I'_\lambda(u_n)u_n = 0$$

$$(2.28) \quad J'_\lambda(u_n)u_n = 2 \int |\nabla u_n|^2 + u_n^2 - (p+1) \int \lambda b(x)|u_n|^{p+1} \\ - (q+1) \int c(x)|u_n|^{q+1} \\ = -(p-1) \int \lambda b(x)|u_n|^{p+1} - (q-1) \int c(x)|u_n|^{q+1}.$$

Thus from (2.24), (2.28) and  $u_n \in M_\lambda$  we have

$$(2.29) \quad -C_3 < J'_\lambda(u_n) u_n < -C_4$$

for some constants  $C_3, C_4 > 0$  independent of  $n$ .

From  $I'_\lambda|_{M_\lambda}(u_n) \rightarrow 0$ , we obtain by (2.27) and (2.29) that  $\theta_n \rightarrow 0$  which combined with (2.26) deduces  $I'_\lambda(u_n) \rightarrow 0$  in  $H^{-1}(\mathbb{R}^N)$ . Thus (2.23) holds.

Following Lemma 2.3, we can assume (by choosing subsequence if necessary)

$$u_n \rightarrow u_0 \text{ strongly in } H^1(\mathbb{R}^N).$$

By Sobolev inequality, we have  $I_\lambda > 0$ . Thus  $u_0$  is a nontrivial solution of (1.1). Letting  $u_0 = u_0^+ + u_0^-$ , where  $u_0^+ = \max\{u_0, 0\}$ ,  $u_0^- = u_0 - u_0^+$ , we have  $I_\lambda(u_0) = I_\lambda(u_0^+) + I_\lambda(u_0^-)$ . Since  $I'_\lambda(u_0^\pm) u_0^\pm = 0$ , i.e.,  $u_0^\pm \in M_\lambda$  if  $u_0^\pm \neq 0$  we have  $I_\lambda(u_0^\pm) \geq I_\lambda$  if  $u_0^\pm \neq 0$ . Therefore  $u_0^+ \equiv 0$  or  $u_0^- \equiv 0$ . Without loss of generality, assume  $u_0^- \equiv 0$ . Thus  $u_0 \geq 0$  in  $\mathbb{R}^N$ . It follows from standard regularity method and maximum principle that  $u_0 \in C^2(\mathbb{R}^N)$ ,  $u_0 > 0$  in  $\mathbb{R}^N$ . Thus, we conclude the proof of Theorem 2.4.

COROLLARY 2.5. — Suppose (1.2) holds,  $c(x)$  satisfies

$$(2.30) \quad \begin{cases} c(x) \in C(\mathbb{R}^N), & c(x) \geq 0 \text{ in } \mathbb{R}^N, \\ c(x) \rightarrow 0, & c(x) \neq 0 \text{ in } \mathbb{R}^N. \end{cases} \quad |x| \rightarrow \infty$$

Then (1.1) has a positive solution provided

$$(2.31) \quad \lambda \in \left( 0, \left[ \frac{p-1}{2(p+1)I^*} \right]^{(p-1)/2} S^{(p+1)/2} b_\infty^{-1} \right).$$

Proof. — From (2.31) we have

$$(2.32) \quad I^* < \frac{p-1}{2(p+1)} S^{(p+1)/(p-1)} (\lambda b_\infty)^{-2/(p-1)} = I_\lambda^\infty$$

which combined with Proposition 2.1 implies

$$(2.33) \quad I_\lambda < I_\lambda^\infty.$$

Thus, by Theorem 2.4 we know (1.1) has a positive solution.

We end this section by a few remarks.

Remark 2.6. — The fact that if  $I_\lambda < I_\lambda^\infty$  then  $I_\lambda$  has a minimum has been proved in P. L. Lions ([9], [10]). We reprove this fact for the sake of completeness.

Remark 2.7. — Consider the following equation

$$(2.35) \quad -\Delta u + u = Q(x)|u|^{p-1}u \text{ in } \mathbb{R}^N$$

where  $Q(x) \in C(\mathbb{R}^N)$ ,  $Q(x) \geq 0$  in  $\mathbb{R}^N$ ,  $Q(x) \rightarrow \bar{Q} > 0$  as  $|x| \rightarrow \infty$ .

(2.35) can be obtained by taking  $\lambda = 1$ ,  $Q(x) \equiv b(x)$ ,  $c(x) \equiv 0$  in (1.1). From Theorem 2.4 we can deduce the corresponding results concerning the existence of positive solution of (2.35) in section 3 of W. Y. Ding and W. M. Ni [5] [for the case  $Q(x) \rightarrow \bar{Q}$  as  $|x| \rightarrow \infty$ ]. Corollary 2.5 gives a type of precise condition under which  $I_\lambda < I_\lambda^\infty$ .

Suppose  $Q(x) = \lambda b(x) + c(x)$ , where  $b(x)$  satisfies (1.2) and

$$(2.36) \quad (b_\infty - b(x)) \log(1 + |x|) \rightarrow +\infty \quad \text{as } |x| \rightarrow \infty$$

$c(x)$  satisfies (2.30) with  $\text{supp } c(x)$  bounded.

Corollary 2.5 ensures the existence of positive solution if  $\lambda$  is properly small. It should be pointed out that in this case  $Q(x)$  does not satisfy the condition proposed by A. Bahri and P. L. Lions in [2].

### 3. EXISTENCE OF MULTIPLE SOLUTIONS

First of all, let us state a variant of the dual variational principle of A. Ambrosetti and P. Rabinowitz [1] dealing with unbounded even functionals.

Let  $E$  be a Banach space,  $B_r$  be the ball in  $E$  centered at 0 with radius  $r$ ,  $\partial B_r$  be the boundary of  $B_r$ .  $A \subset E$  is called symmetric if  $u \in A$  implies  $-u \in A$ . Let

$$(3.1) \quad \Sigma = \{A \mid A \subset E \setminus \{0\}, A \text{ is closed and symmetric}\}$$

For  $A \subset \Sigma$ ,  $v(A)$  denotes the genus of  $A$ . We set for  $f \in C^1(E, \mathbb{R})$

$$(3.2) \quad E_+ = \{u \in E \mid f(u) \geq 0\}$$

$$(3.3) \quad H = \{h \mid h \in C(E, E), h \text{ is odd homeomorphism } h(B_1) \subset E_+\}$$

$$(3.4) \quad \Gamma_n = \{A \subset \Sigma \mid A \text{ is compact, } v(A \cap h(\partial B_1)) \geq n \text{ for any } h \in H\}$$

Replacing (PS) by (PS)<sub>c</sub> condition, we have the following lemma proved exactly as in [1].

LEMMA 3.1. — *Suppose  $f \in C^1(E, \mathbb{R})$  satisfies*

(C1)  $f(0) = 0$  and there exist  $\rho, \alpha > 0$  such that  $f(u) > 0$  for any  $u \in B_\rho \setminus \{0\}$ ,  $f(u) \geq \alpha$  for all  $u \in \partial B_\rho$ ;

(C2) for any finite dimensional subspace  $E^n \subset E$ ,  $E^n \cap E_+$  is bounded;

(C3)  $f(u) = f(-u)$ .

Set

$$(3.5) \quad b_n = \inf_{A \in \Gamma_n} \sup \{f(u) \mid u \in A\}, \quad n = 1, 2, \dots$$

Then

(i)  $\Gamma_n \neq \emptyset$  for  $n = 1, 2, \dots$ ,  $b_n \geq \alpha$ ;

(ii)  $b_n$  is a critical level if  $f$  satisfies (PS)<sub>c</sub> condition for  $c = b_n$ .

Furthermore, if  $b = b_n = \dots = b_{n+m}$ , then  $v(K_b) \geq m + 1$ , where

$$K_b = \{ u \in E \mid f(u) = b, f'(u) = 0 \}.$$

In what follows, we always take  $E = H^1(\mathbb{R}^N)$  and use the same notations  $\Sigma$ ,  $B_r$ ,  $\partial B_r$  and  $v(A)$ . Let

$$(3.6) \quad E_\lambda = \{ u \in H^1(\mathbb{R}^N) \mid I_\lambda(u) \geq 0 \}$$

$$(3.7) \quad E_* = \{ u \in H^1(\mathbb{R}^N) \mid I^*(u) \geq 0 \}$$

$$(3.8) \quad H_\lambda = \{ h \in C(H^1(\mathbb{R}^N), H^1(\mathbb{R}^N)), h \text{ is odd homeomorphism,} \\ h(B_1) \subset E_\lambda \}$$

$$(3.9) \quad H_* = \{ h \in C(H^1(\mathbb{R}^N), H^1(\mathbb{R}^N)), h \text{ is odd homeomorphism,} \\ h(B_1) \subset E_* \}$$

Obviously  $E_\lambda \subset E_*$ ,  $H_\lambda \subset H_*$ .

PROPOSITION 3.2. — If  $b(x)$  satisfies (1.2),  $c(x)$  satisfies

$$(3.10) \quad \begin{cases} c(x) \in C(\mathbb{R}^N), & c(x) \geq 0 \text{ in } \mathbb{R}^N, \\ \text{meas} \{ x \in \mathbb{R}^N \mid c(x) = 0 \} = 0, \\ c(x) \rightarrow 0 \text{ as } |x| \rightarrow \infty \end{cases}$$

Then  $I_\lambda(u)$  and  $I^*(u)$  satisfy (C1), (C2) and (C3) in the previous lemma.

*Proof.* — The verification of (C1) and (C3) is trivial. We only show that (C2) holds for  $I_\lambda(u)$  [resp.  $I^*(u)$ ]. We argue by way of contradiction. Suppose there exists a  $m$  dimensional subspace  $E^m \subset H^1(\mathbb{R}^N)$ , a sequence  $\{u_n\} \subset E^m \cap E_\lambda$  (resp.  $\{u_n\} \subset E^m \cap E_*$ ) such that  $\|u_n\| \rightarrow +\infty$ . Let

$e_1, e_2, \dots, e_m$  be the basis of  $E^m$ . Then

$$(3.13) \quad u_n = t_1^n e_1 + \dots + t_m^n e_m$$

for some  $t_n = (t_1^n, \dots, t_m^n) \in \mathbb{R}^m$ .

Set  $|t_n| = \max_{1 \leq i \leq m} |t_i^n|$ , we have  $|t_n| \rightarrow +\infty$ .

$$(3.14) \quad \int |\nabla u_n|^2 + u_n^2 = 0 (|t_n|^2)$$

$$(3.15) \quad \int b(x) |u_n|^{p+1} \geq 0$$

$$(3.16) \quad \int c(x) |u_n|^{q+1} \geq C_5 |t_n|^{q+1} \quad \text{for } n \text{ large enough}$$

where  $C_5 > 0$  is some constant.

(3.14), (3.15) and (3.16) deduce  $I_\lambda(u_n) < 0$  for  $n$  larger enough [resp.  $I^*(u_n) < 0$  for  $n$  large enough], which contradicts  $u_n \in E_\lambda$  (resp.  $u_n \in E_*$ ).

Define

$$(3.17) \quad \Gamma_\lambda^n = \{ A \subset \Sigma \mid A \text{ is compact and } v(A \cap h(\partial B_1)) \geq n \\ \text{for any } h \in H_\lambda \}, \quad n = 1, 2, \dots,$$

$$(3.18) \quad \Gamma_*^n = \{ A \subset \Sigma \mid A \text{ is compact and } v(A \cap h(\partial B_1)) \geq n \\ \text{for any } h \in H_* \}, \quad n = 1, 2, \dots,$$

$$(3.19) \quad c_\lambda^n = \inf_{A \in \Gamma_\lambda^n} \max \{ I_\lambda(u) \mid u \in A \}, \quad n = 1, 2, \dots,$$

$$(3.20) \quad c_*^n = \inf_{A \in \Gamma_*^n} \max \{ I_*(u) \mid u \in A \}, \quad n = 1, 2, \dots,$$

By the definitions we have

$$(3.21) \quad \Gamma_\lambda^n \supset \Gamma_*^n \quad \text{for } n = 1, 2, \dots$$

Suppose (3.10) holds then by Proposition 3.2 and Lemma 3.1,  $\Gamma_*^n \neq \emptyset$  for each  $n = 1, 2, \dots$ , and consequently  $c_*^n < +\infty$ .

Let

$$\lambda_k = \left[ \frac{p-1}{2(p+1)c_*^k} \right]^{(p-1)/2} S^{(p+1)/2} b_\infty^{-1}, \quad k = 1, 2, \dots$$

We have

**THEOREM 3.3.** — *Suppose (1.2) and (3.10) hold. Then for each  $n = 1, 2, \dots$ , (1.1) has  $n$  pair of solutions  $\{-u_i, u_i\}$ ,  $i = 1, \dots, n$  if  $\lambda \in (0, \lambda_n)$ .*

*Proof.* — By the definition of  $c_\lambda^n, c_*^n, n = 1, 2, \dots$  we have

$$\begin{aligned} c_\lambda^n &= \inf_{A \in \Gamma_\lambda^n} \max \{ I_\lambda(u) \mid u \in A \} \\ &\leq \inf_{A \in \Gamma_*^n} \max \{ I_\lambda(u) \mid u \in A \} \\ &\leq \inf_{A \in \Gamma_*^n} \max \{ I^*(u) \mid u \in A \} \\ &= c_*^n. \end{aligned}$$

Thus

$$(3.23) \quad c_\lambda^n \leq c_*^n \quad \text{for } n = 1, 2, \dots$$

Next we claim that for each  $c_\lambda^k, k = 1, \dots, n, I_\lambda(u)$  satisfies (PS)<sub>c</sub> condition.

Indeed,  $\lambda < \lambda_n$  implies

$$\lambda < \left[ \frac{p-1}{2(p+1)c_*^k} \right]^{(p-1)/2} S^{(p+1)/2} b_\infty^{-1}.$$

Thus

$$c_*^n < \frac{p-1}{2(p+1)} S^{(p+1)/(p-1)} (\lambda b_\infty)^{-(2/(p-1))} = I_\lambda^\infty$$

which combining with (3.23) deduces

$$(3.24) \quad c_\lambda^n < I_\lambda^\infty.$$

On the other hand, obviously we have

$$(3.25) \quad c_\lambda^1 \leq \dots \leq c_\lambda^n.$$

Thus, by Lemma 2.3,  $I_\lambda(u)$  satisfies  $(PS)_c$  condition for  $c_\lambda^k$ ,  $k=1, 2, \dots, n$ . Following Lemma 3.1,  $I_\lambda(u)$  has at least  $n$  different critical points  $u_i \in H^1(\mathbb{R}^N)$  ( $1 \leq i \leq n$ ) such that  $I_\lambda(u_i) = c_\lambda^i$  ( $1 \leq i \leq n$ ). Since  $I_\lambda(u)$  is an even functional  $-u_i$  is critical point either ( $1 \leq i \leq n$ ),  $\{-u_i, u_i\}$  are the solutions we are looking for. Hence we have proved Theorem 3.3.

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