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Multiple solutions of a semilinear elliptic equation in \mathbb{R}^N

by

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ABSTRACT. — In this paper, we are concerned with the existence of multiple solutions of

$$-\Delta u + u = \lambda b(x) |u|^{p-1} u + c(x) |u|^{q-1} u$$

where $1 < p, q < \frac{N+2}{N-2}$ if $N \geq 3$, $1 < p, q < +\infty$ if $N = 2$, $\lambda > 0$.

We obtain the existence of multiple solutions by using concentrations-compactness method and dual variational principle to establish the corresponding existence of critical points.

Key words : Semilinear elliptic equations, variation, critical point, concentration-compactness.

RÉSUMÉ. — Nous obtenons dans cet article un résultat d'existence et de multiplicité de solutions de

$$-\Delta u + u = \lambda b(x) |u|^{p-1} u + c(x) |u|^{q-1} u$$

où $1 < p, q < \frac{N+2}{N-2}$, $N \geq 3$, $1 < p, q < +\infty$ si $N = 2$, $\lambda > 0$.

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Ces résultats sont prouvés à l'aide de la méthode de concentration-compacité et de principes variationnels duaux pour obtenir l'existence des points critiques correspondants.

1. INTRODUCTION

We consider the existence of multiple solutions of the following semi-linear elliptic equation

$$(1.1) \quad \begin{cases} -\Delta u + u = \lambda b(x) |u|^{p-1} u + c(x) |u|^{q-1} u & \text{in } \mathbb{R}^N \\ u \in H^1(\mathbb{R}^N) \end{cases}$$

where $1 < p, q < \frac{N+2}{N-2}$ if $N \geq 3$, $1 < p, q < +\infty$ if $N = 2$, $\lambda > 0$ is a real number, $b(x)$ and $c(x)$ satisfy

$$(1.2) \quad \begin{cases} b(x) \in C(\mathbb{R}^N), & b(x) \geq 0 \text{ in } \mathbb{R}^N, \\ b(x) \xrightarrow{|x| \rightarrow \infty} b_\infty > 0, \end{cases}$$

$$(1.3) \quad \begin{cases} c(x) \in C(\mathbb{R}^N), & c(x) \geq 0 \text{ in } \mathbb{R}^N, \\ c(x) \xrightarrow{|x| \rightarrow \infty} 0. \end{cases}$$

Existence of nontrivial solutions (positive solutions, for example) concerning (1.1) has been extensively studied even for more general nonlinearity—see, for instance, W. Strauss [12], H. Berestycki and P. L. Lions [4], W. Y. Ding and W. M. Ni [5], P. L. Lions [9], [10], A. Bahri and P. L. Lions [2] and the references therein. For the multiplicity of solutions we refer to H. Berestycki and P. L. Lions [4], X. P. Zhu [13] and Y. Y. Li [8].

It is known to some extent that the equation

$$(1.4) \quad -\Delta u + u = c(x) |u|^{q-1} u \text{ in } \mathbb{R}^N$$

may have infinitely many solutions because (1.3) ensures that the corresponding variational functional

$$(1.5) \quad I^*(u) = \frac{1}{2} \int |\nabla u|^2 + u^2 - \frac{1}{q+1} \int c(x) |u|^{q+1}$$

satisfies the (PS) (Palais-Smale) condition and the dual variational principle of A. Ambrosetti and P. Rabinowitz [1] may be applied. When λ is small, (1.1) can be taken as a small perturbation of (1.4) and thus it seems reasonable to hope that (1.1) has more and more solutions as λ tends to 0.

As mentioned in P. L. Lions ([9], [10]) that the variational functional corresponding to (1.1) defined by

$$(1.6) \quad I_\lambda(u) = \frac{1}{2} \int |\nabla u|^2 + u^2 - \frac{\lambda}{p+1} \int b(x) |u|^{p+1} - \frac{1}{q+1} \int c(x) |u|^{q+1}$$

fails to satisfy the (PS) condition because of the lack of compactness of the Sobolev embedding $H^1(\mathbb{R}^N) \hookrightarrow L^2(\mathbb{R}^N)$.

Such a failure creates difficulties for the application of standard variational techniques. In section 2, arguing as P. L. Lions [10], we show by using the concentration-compactness principle that $I_\lambda(u)$ satisfies $(PS)_c$ condition if c belongs to an interval depending on λ which becomes large as λ tends to 0. In section 3, using a variant of the dual variational principle (dealing with unbounded even functionals) of A. Ambrosetti and P. Rabinowitz [1] we obtain the existence of multiple solutions by establishing the corresponding existence of critical points of $I_\lambda(u)$ with critical values in the interval in which $I_\lambda(u)$ satisfies $(PS)_c$ condition.

We conclude this introduction by remarking that some more general nonlinearities can be considered and similar existence results can be obtained by the arguments in this paper.

2. EXISTENCE OF A POSITIVE SOLUTION

In this section, we are concerned with the existence of a positive solution of (1.1). As preparations and for the discussion of next section, we first give some notations, definitions and auxiliary results.

Define

$$(2.1) \quad M_\lambda = \{ u \in H^1(\mathbb{R}^N) \mid u \neq 0, I'_\lambda(u)u = 0 \}$$

$$(2.2) \quad M_\lambda^\infty = \{ u \in H^1(\mathbb{R}^N) \mid u \neq 0, I_\lambda^\infty(u)u = 0 \}$$

where $I_\lambda(u)$ is defined by (1.6), $I_\lambda^\infty(u)$ is defined by

$$(2.3) \quad I_\lambda^\infty(u) = \frac{1}{2} \int |\nabla u|^2 + u^2 - \frac{\lambda}{p+1} \int b_\infty |u|^{p+1}$$

Let

$$(2.4) \quad I_\lambda = \inf \{ I_\lambda(u) \mid u \in M_\lambda \}$$

$$(2.5) \quad I_\lambda^\infty = \inf \{ I_\lambda^\infty(u) \mid u \in M_\lambda^\infty \}$$

$$(2.6) \quad I^* = \begin{cases} +\infty & \text{if } c(x) \equiv 0 \text{ in } \mathbb{R}^N \\ \inf \{ I^*(u) \mid u \in H^1(\mathbb{R}^N) \setminus \{0\}, I^{*'}(u)u = 0 \} & \text{if } c(x) \not\equiv 0 \end{cases}$$

$$(2.7) \quad S = \inf_{u \in H^1(\mathbb{R}^N) \setminus \{0\}} \frac{\int |\nabla u|^2 + u^2}{\left(\int |u|^{p+1} \right)^{2/(p+1)}}.$$

We have

PROPOSITION 2.1. — For each $\lambda > 0$, $I_\lambda \leq I^*$.

Proof. — If $c(x) \equiv 0$, then $I^* = +\infty$, thus $I_\lambda \leq I^*$. In what follows, we assume $c(x) \not\equiv 0$.

Suppose $u \in H^1(\mathbb{R}^N)$, $u \neq 0$ such that

$$(2.8) \quad \int |\nabla u|^2 + u^2 = \int c(x) |u|^{q+1}.$$

Let $v = \bar{\sigma} u$ such that $v \in M_\lambda$, i. e.,

$$(2.9) \quad \int |\nabla v|^2 + v^2 = \bar{\sigma}^{p-1} \int \lambda b(x) |u|^{p+1} + \bar{\sigma}^{q-1} \int c(x) |u|^{q+1}$$

Comparing (2.8) and (2.9) we deduce that such $\bar{\sigma}$ exists and $\bar{\sigma} \in (0, 1)$.

Letting $h(\sigma) = \frac{\sigma^2}{2} \int |\nabla u|^2 + u^2 - \frac{\sigma^{q+1}}{q+1} \int c(x) |u|^{q+1}$, we have

$$h'(\sigma) = \sigma \left(\int |\nabla u|^2 + u^2 - \sigma^{q-1} \int c(x) |u|^{q+1} \right) > 0 \quad \text{for } \sigma \in (0, 1).$$

$$(2.10) \quad \begin{aligned} I_\lambda(v) &= \frac{\bar{\sigma}^2}{2} \int |\nabla u|^2 + u^2 - \frac{\bar{\sigma}^{p+1}}{p+1} \int \lambda b(x) |u|^{p+1} \\ &\quad - \frac{\bar{\sigma}^{q+1}}{q+1} \int c(x) |u|^{q+1} \\ &< \frac{\bar{\sigma}^2}{2} \int |\nabla u|^2 + u^2 - \frac{\bar{\sigma}^{q+1}}{q+1} \int c(x) |u|^{q+1} \\ &< \frac{1}{2} \int |\nabla u|^2 + u^2 - \frac{1}{q+1} \int c(x) |u|^{q+1} = I^*(u). \end{aligned}$$

Thus $I_\lambda \leq I^*$ and we have proved Proposition 2.1.

PROPOSITION 2.2. — We have

$$(2.11) \quad I_\lambda^\infty = \frac{p-1}{2(p+1)} S^{(p+1)/(p-1)} (\lambda b_\infty)^{-2/(p-1)}.$$

Proof. – We can easily find that

$$(2.12) \quad S = \inf \left\{ \int |\nabla u|^2 + u^2 \mid u \in H^1(\mathbb{R}^N), \int |u|^{p+1} = 1 \right\}$$

which has a positive minimum $\bar{u} \in H^1(\mathbb{R}^N) \cap C^2(\mathbb{R}^N)$ satisfying

$$(2.13) \quad -\Delta u + u = S |u|^{p-1} u \quad \text{in } \mathbb{R}^N$$

(see W. Strauss [12], P. L. Lions ([9], [10]) for examples). By Gidas, Ni and Nirenberg [7] we may assume \bar{u} is radial.

On the other hand, there exists a positive radial function $\tilde{u} \in H^1(\mathbb{R}^N) \cap C^2(\mathbb{R}^N)$ achieving I_λ^∞ such that \tilde{u} satisfying

$$(2.14) \quad -\Delta u + u = \lambda b_\infty |u|^{p-1} u \quad \text{in } \mathbb{R}^N$$

(see also W. Strauss [12], P. L. Lions ([9], [10]) for examples).

Let $\tilde{u} = \left(\frac{S}{\lambda b_\infty}\right)^{1/(p-1)} v$, then $v > 0$ in \mathbb{R}^N and solves (2.13). By the uniqueness of radial positive solution due to M. K. Kwong [11] we deduce $v \equiv \bar{u}$ and thus

$$I_\lambda^\infty = I_\lambda^\infty(\tilde{u}) = \frac{p-1}{2(p+1)} \int |\nabla \tilde{u}|^2 + \tilde{u}^2 = \frac{p-1}{2(p+1)} S^{(p+1)/(p-1)} (\lambda b_\infty)^{-(2/(p-1))}$$

proving Proposition 2.2.

LEMMA 2.3. – $I_\lambda(u)$ satisfies $(PS)_c$ condition if

$$(2.15) \quad c \in (-\infty, I_\lambda^\infty).$$

Proof. – Suppose $\{u_n\} \subset H^1(\mathbb{R}^N)$ such that

$$(2.16) \quad I_\lambda(u_n) \rightarrow c \in (-\infty, I_\lambda^\infty)$$

$$(2.17) \quad I'_\lambda(u_n) \xrightarrow{n} 0 \quad \text{in } H^1(\mathbb{R}^N)$$

It is easy to deduce from (2.16) and (2.17) that $\{u_n\}$ is bounded in $H^1(\mathbb{R}^N)$. By choosing subsequence if necessary we assume

$$(2.18) \quad u_n \rightharpoonup u_0 \quad \text{weakly in } H^1(\mathbb{R}^N).$$

By the method of concentration-compactness, as in A. Bahri and P. L. Lions [2], P. L. Lions [10], V. Benci and G. Cerami [3] we deduce that there exist a nonnegative integer k , $\{x_n^i\} (1 \leq i \leq k)$ in \mathbb{R}^N , solutions $\bar{u}_i \in H^1(\mathbb{R}^N) (1 \leq i \leq k)$ of (2.14) such that (extracting subsequence if necessary)

$$(2.19) \quad \left\| u_n - u_0 - \sum_{i=1}^k \bar{u}_i(x - x_n^i) \right\|_n \rightarrow 0$$

$$(2.20) \quad c = I_\lambda(u_0) + \sum_{i=1}^n I_\lambda^\infty(\bar{u}_i).$$

Since $I_\lambda^\infty(\bar{u}_i) = \frac{p-1}{2(p+1)} \int |\nabla \bar{u}_i|^2 + \bar{u}_i^2 \geq 0$ for $i=1, \dots, k$ if for some i , $\bar{u}_i \neq 0$, then $I_\lambda^\infty(\bar{u}_i) \geq I_\lambda^\infty$ which implies $c \geq I_\lambda^\infty$ because $I_\lambda(u_0) \geq 0$. Thus $\bar{u}_i \equiv 0$ for $1 \leq i \leq k$. Hence u_n converges to u_0 strongly and therefore Lemma 2.3 has been proved.

We are now going to use the preceding result to obtain the existence of a positive solution.

THEOREM 2.4. — *Suppose $I_\lambda < I_\lambda^\infty$. Then (1.1) has a positive solution.*

Proof. — By Ekeland’s variational principle [6] and the definition of I_λ , there exists a minimizing sequence $\{u_n\}$ such that $\{u_n\} \subset M_\lambda$

$$(2.21) \quad I_\lambda(u_n) \xrightarrow{n} I_\lambda$$

$$(2.22) \quad I'_\lambda|_{M_\lambda}(u_n) \xrightarrow{n} 0 \text{ in } H^{-1}(\mathbb{R}^N).$$

$$(2.23) \quad I'_\lambda(u_n) \xrightarrow{n} 0 \text{ in } H^{-1}(\mathbb{R}^N).$$

Indeed, from (2.21), $u_n \in M_\lambda$, using Sobolev inequality we can find $C_1, C_2 > 0$ such that

$$(2.24) \quad C_1 < \int |\nabla u_n|^2 + u_n^2 < C_2 \text{ for all } n=1, 2, \dots$$

Letting $J_\lambda(u) = \int |\nabla u|^2 + u^2 - \int \lambda b(x)|u|^{p+1} - \int c(x)|u|^{q+1}$, we have

$$(2.25) \quad M_\lambda = \{u \in H^1(\mathbb{R}^N) \setminus \{0\} \mid J_\lambda(u) = 0\}.$$

Thus

$$(2.26) \quad I'_\lambda(u_n) = I'_\lambda|_{M_\lambda}(u_n) - \theta_n J'_\lambda(u_n)$$

for some $\theta_n \in \mathbb{R}$.

Since $u_n \in M_\lambda$, we have from (2.26)

$$(2.27) \quad I'_\lambda|_{M_\lambda}(u_n)u_n - \theta_n J'_\lambda(u_n)u_n = I'_\lambda(u_n)u_n = 0$$

$$(2.28) \quad J'_\lambda(u_n)u_n = 2 \int |\nabla u_n|^2 + u_n^2 - (p+1) \int \lambda b(x)|u_n|^{p+1} \\ - (q+1) \int c(x)|u_n|^{q+1} \\ = -(p-1) \int \lambda b(x)|u_n|^{p+1} - (q-1) \int c(x)|u_n|^{q+1}.$$

Thus from (2.24), (2.28) and $u_n \in M_\lambda$ we have

$$(2.29) \quad -C_3 < J'_\lambda(u_n)u_n < -C_4$$

for some constants $C_3, C_4 > 0$ independent of n .

From $I'_\lambda|_{M_\lambda}(u_n) \rightarrow 0$, we obtain by (2.27) and (2.29) that $\theta_n \rightarrow 0$ which combined with (2.26) deduces $I'_\lambda(u_n) \rightarrow 0$ in $H^{-1}(\mathbb{R}^N)$. Thus (2.23) holds.

Following Lemma 2.3, we can assume (by choosing subsequence if necessary)

$$u_n \rightarrow u_0 \quad \text{strongly in } H^1(\mathbb{R}^N).$$

By Sobolev inequality, we have $I_\lambda > 0$. Thus u_0 is a nontrivial solution of (1.1). Letting $u_0 = u_0^+ + u_0^-$, where $u_0^+ = \max\{u_0, 0\}$, $u_0^- = u_0 - u_0^+$, we have $I_\lambda(u_0) = I_\lambda(u_0^+) + I_\lambda(u_0^-)$. Since $I'_\lambda(u_0^\pm)u_0^\pm = 0$, i.e., $u_0^\pm \in M_\lambda$ if $u_0^\pm \neq 0$ we have $I_\lambda(u_0^\pm) \geq I_\lambda$ if $u_0^\pm \neq 0$. Therefore $u_0^+ \equiv 0$ or $u_0^- \equiv 0$. Without loss of generality, assume $u_0^- \equiv 0$. Thus $u_0 \geq 0$ in \mathbb{R}^N . It follows from standard regularity method and maximum principle that $u_0 \in C^2(\mathbb{R}^N)$, $u_0 > 0$ in \mathbb{R}^N . Thus, we conclude the proof of Theorem 2.4.

COROLLARY 2.5. — Suppose (1.2) holds, $c(x)$ satisfies

$$(2.30) \quad \begin{cases} c(x) \in C(\mathbb{R}^N), & c(x) \geq 0 \text{ in } \mathbb{R}^N, \\ c(x) \rightarrow 0, & c(x) \neq 0 \text{ in } \mathbb{R}^N. \\ |x| \rightarrow \infty \end{cases}$$

Then (1.1) has a positive solution provided

$$(2.31) \quad \lambda \in \left(0, \left[\frac{p-1}{2(p+1)I^*} \right]^{(p-1)/2} S^{(p+1)/2} b_\infty^{-1} \right).$$

Proof. — From (2.31) we have

$$(2.32) \quad I^* < \frac{p-1}{2(p+1)} S^{(p+1)/(p-1)} (\lambda b_\infty)^{-(2/(p-1))} = I_\lambda^\infty$$

which combined with Proposition 2.1 implies

$$(2.33) \quad I_\lambda < I_\lambda^\infty.$$

Thus, by Theorem 2.4 we know (1.1) has a positive solution.

We end this section by a few remarks.

Remark 2.6. — The fact that if $I_\lambda < I_\lambda^\infty$ then I_λ has a minimum has been proved in P. L. Lions ([9], [10]). We reprove this fact for the sake of completeness.

Remark 2.7. — Consider the following equation

$$(2.35) \quad -\Delta u + u = Q(x)|u|^{p-1}u \text{ in } \mathbb{R}^N$$

where $Q(x) \in C(\mathbb{R}^N)$, $Q(x) \geq 0$ in \mathbb{R}^N , $Q(x) \rightarrow \bar{Q} > 0$ as $|x| \rightarrow \infty$.

(2.35) can be obtained by taking $\lambda = 1$, $Q(x) \equiv b(x)$, $c(x) \equiv 0$ in (1.1). From Theorem 2.4 we can deduce the corresponding results concerning the existence of positive solution of (2.35) in section 3 of W. Y. Ding and W. M. Ni [5] [for the case $Q(x) \rightarrow \bar{Q}$ as $|x| \rightarrow \infty$]. Corollary 2.5 gives a type of precise condition under which $I_\lambda < I_\lambda^\infty$.

Suppose $Q(x) = \lambda b(x) + c(x)$, where $b(x)$ satisfies (1.2) and

$$(2.36) \quad (b_\infty - b(x)) \log(1 + |x|) \rightarrow +\infty \text{ as } |x| \rightarrow \infty$$

$c(x)$ satisfies (2.30) with $\text{supp } c(x)$ bounded.

Corollary 2.5 ensures the existence of positive solution if λ is properly small. It should be pointed out that in this case $Q(x)$ does not satisfy the condition proposed by A. Bahri and P. L. Lions in [2].

3. EXISTENCE OF MULTIPLE SOLUTIONS

First of all, let us state a variant of the dual variational principle of A. Ambrosetti and P. Rabinowitz [1] dealing with unbounded even functionals.

Let E be a Banach space, B_r be the ball in E centered at 0 with radius r , ∂B_r be the boundary of B_r . $A \subset E$ is called symmetric if $u \in A$ implies $-u \in A$. Let

$$(3.1) \quad \Sigma = \{ A \mid A \subset E \setminus \{0\}, A \text{ is closed and symmetric} \}$$

For $A \subset \Sigma$, $v(A)$ denotes the genus of A . We set for $f \in C^1(E, \mathbb{R})$

$$(3.2) \quad E_+ = \{ u \in E \mid f(u) \geq 0 \}$$

$$(3.3) \quad H = \{ h \mid h \in C(E, E), h \text{ is odd homeomorphism } h(B_1) \subset E_+ \}$$

$$(3.4) \quad \Gamma_n = \{ A \subset \Sigma \mid A \text{ is compact, } v(A \cap h(\partial B_1)) \geq n \text{ for any } h \in H \}$$

Replacing (PS) by (PS)_c condition, we have the following lemma proved exactly as in [1].

LEMMA 3.1. — *Suppose $f \in C^1(E, \mathbb{R})$ satisfies*

(C1) $f(0) = 0$ and there exist $\rho, \alpha > 0$ such that $f(u) > 0$ for any $u \in B_\rho \setminus \{0\}$, $f(u) \geq \alpha$ for all $u \in \partial B_\rho$;

(C2) for any finite dimensional subspace $E^n \subset E$, $E^n \cap E_+$ is bounded;

(C3) $f(u) = f(-u)$.

Set

$$(3.5) \quad b_n = \inf_{A \in \Gamma_n} \sup \{ f(u) \mid u \in A \}, \quad n = 1, 2, \dots$$

Then

(i) $\Gamma_n \neq \emptyset$ for $n = 1, 2, \dots$, $b_n \geq \alpha$;

(ii) b_n is a critical level if f satisfies (PS)_c condition for $c = b_n$.

Furthermore, if $b = b_n = \dots = b_{n+m}$, then $v(K_b) \geq m + 1$, where

$$K_b = \{u \in E \mid f(u) = b, f'(u) = 0\}.$$

In what follows, we always take $E = H^1(\mathbb{R}^N)$ and use the same notations Σ , B_r , ∂B_r and $v(A)$. Let

$$(3.6) \quad E_\lambda = \{u \in H^1(\mathbb{R}^N) \mid I_\lambda(u) \geq 0\}$$

$$(3.7) \quad E_* = \{u \in H^1(\mathbb{R}^N) \mid I^*(u) \geq 0\}$$

$$(3.8) \quad H_\lambda = \{h \in C(H^1(\mathbb{R}^N), H^1(\mathbb{R}^N)), h \text{ is odd homeomorphism, } h(B_1) \subset E_\lambda\}$$

$$(3.9) \quad H_* = \{h \in C(H^1(\mathbb{R}^N), H^1(\mathbb{R}^N)), h \text{ is odd homeomorphism, } h(B_1) \subset E_*\}$$

Obviously $E_\lambda \subset E_*$, $H_\lambda \subset H_*$.

PROPOSITION 3.2. — If $b(x)$ satisfies (1.2), $c(x)$ satisfies

$$(3.10) \quad \begin{cases} c(x) \in C(\mathbb{R}^N), & c(x) \geq 0 \text{ in } \mathbb{R}^N, \\ \text{meas} \{x \in \mathbb{R}^N \mid c(x) = 0\} = 0, \\ c(x) \rightarrow 0 \text{ as } |x| \rightarrow \infty \end{cases}$$

Then $I_\lambda(u)$ and $I^*(u)$ satisfy (C1), (C2) and (C3) in the previous lemma.

Proof. — The verification of (C1) and (C3) is trivial. We only show that (C2) holds for $I_\lambda(u)$ [resp. $I^*(u)$]. We argue by way of contradiction. Suppose there exists a m dimensional subspace $E^m \subset H^1(\mathbb{R}^N)$, a sequence $\{u_n\} \subset E^m \cap E_\lambda$ (resp. $\{u_n\} \subset E_* \cap E^m$) such that $\|u_n\| \xrightarrow{n} +\infty$. Let

e_1, e_2, \dots, e_m be the basis of E^m . Then

$$(3.13) \quad u_n = t_1^n e_1 + \dots + t_m^n e_m$$

for some $t_n = (t_1^n, \dots, t_m^n) \in \mathbb{R}^m$.

Set $|t_n| = \max_{1 \leq i \leq m} |t_i^n|$, we have $|t_n| \xrightarrow{n} +\infty$.

$$(3.14) \quad \int |\nabla u_n|^2 + u_n^2 = 0 (|t_n|^2)$$

$$(3.15) \quad \int b(x) |u_n|^{p+1} \geq 0$$

$$(3.16) \quad \int c(x) |u_n|^{q+1} \geq C_5 |t_n|^{q+1} \quad \text{for } n \text{ large enough}$$

where $C_5 > 0$ is some constant.

(3.14), (3.15) and (3.16) deduce $I_\lambda(u_n) < 0$ for n larger enough [resp. $I^*(u_n) < 0$ for n large enough], which contradicts $u_n \in E_\lambda$ (resp. $u_n \in E_*$).

Define

$$(3.17) \quad \Gamma_\lambda^n = \{ A \subset \Sigma \mid A \text{ is compact and } v(A \cap h(\partial B_1)) \geq n \\ \text{for any } h \in H_\lambda \}, \quad n = 1, 2, \dots,$$

$$(3.18) \quad \Gamma_*^n = \{ A \subset \Sigma \mid A \text{ is compact and } v(A \cap h(\partial B_1)) \geq n \\ \text{for any } h \in H_* \}, \quad n = 1, 2, \dots,$$

$$(3.19) \quad c_\lambda^n = \inf_{A \in \Gamma_\lambda^n} \max \{ I_\lambda(u) \mid u \in A \}, \quad n = 1, 2, \dots,$$

$$(3.20) \quad c_*^n = \inf_{A \in \Gamma_*^n} \max \{ I_*(u) \mid u \in A \}, \quad n = 1, 2, \dots,$$

By the definitions we have

$$(3.21) \quad \Gamma_\lambda^n \supset \Gamma_*^n \quad \text{for } n = 1, 2, \dots$$

Suppose (3.10) holds then by Proposition 3.2 and Lemma 3.1, $\Gamma_*^n \neq \emptyset$ for each $n = 1, 2, \dots$, and consequently $c_*^n < +\infty$.

Let

$$\lambda_k = \left[\frac{p-1}{2(p+1)c_*^k} \right]^{(p-1)/2} S^{(p+1)/2} b_\infty^{-1}, \quad k = 1, 2, \dots$$

We have

THEOREM 3.3. — *Suppose (1.2) and (3.10) hold. Then for each $n = 1, 2, \dots$, (1.1) has n pair of solutions $\{-u_i, u_i\}$, $i = 1, \dots, n$ if $\lambda \in (0, \lambda_n)$.*

Proof. — By the definition of $c_\lambda^n, c_*^n, n = 1, 2, \dots$ we have

$$\begin{aligned} c_\lambda^n &= \inf_{A \in \Gamma_\lambda^n} \max \{ I_\lambda(u) \mid u \in A \} \\ &\leq \inf_{A \in \Gamma_*^n} \max \{ I_\lambda(u) \mid u \in A \} \\ &\leq \inf_{A \in \Gamma_*^n} \max \{ I^*(u) \mid u \in A \} \\ &= c_*^n. \end{aligned}$$

Thus

$$(3.23) \quad c_\lambda^n \leq c_*^n \quad \text{for } n = 1, 2, \dots$$

Next we claim that for each $c_\lambda^k, k = 1, \dots, n, I_\lambda(u)$ satisfies $(PS)_c$ condition.

Indeed, $\lambda < \lambda_n$ implies

$$\lambda < \left[\frac{p-1}{2(p+1)c_*^k} \right]^{(p-1)/2} S^{(p+1)/2} b_\infty^{-1}.$$

Thus

$$c_*^n < \frac{p-1}{2(p+1)} S^{(p+1)/(p-1)} (\lambda b_\infty)^{-(2/(p-1))} = I_\lambda^\infty$$

which combining with (3.23) deduces

$$(3.24) \quad c_\lambda^n < I_\lambda^\infty.$$

On the other hand, obviously we have

$$(3.25) \quad c_\lambda^1 \leq \dots \leq c_\lambda^n.$$

Thus, by Lemma 2.3, $I_\lambda(u)$ satisfies $(PS)_c$ condition for $c_\lambda^k, k=1, 2, \dots, n$. Following Lemma 3.1, $I_\lambda(u)$ has at least n different critical points $u_i \in H^1(\mathbb{R}^N) (1 \leq i \leq n)$ such that $I_\lambda(u_i) = c_\lambda^i (1 \leq i \leq n)$. Since $I_\lambda(u)$ is a even functional $-u_i$ is critical point either $(1 \leq i \leq n)$, $\{-u_i, u_i\}$ are the solutions we are looking for. Hence we have proved Theorem 3.3.

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