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JOÃO-PAULO DIAS

MARIO FIGUEIRA

JEFFREY RAUCH

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## **Scattering for a one-sided Klein-Gordon equation in quantum gravity**

by

**João-Paulo DIAS, Mario FIGUEIRA**

CMAF, University of Lisbon, Av. Prof. Gama Pinto,  
1699 Lisboa Codex, Portugal

and

**Jeffrey RAUCH**

Department of Mathematics, University of Michigan,  
Ann Arbor, MI 48109-1003, U.S.A.

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**ABSTRACT.** – In this paper we consider a Klein-Gordon equation in one space dimension with a class of smooth positive increasing potentials  $V$  motivated by a model in quantum gravity. For large times the wave propagate freely to the left. We prove decay in time estimates and asymptotic completeness justifying this phrase.

**RÉSUMÉ.** – Dans cet article nous considérons une équation de Klein-Gordon dans une dimension d'espace avec une classe de potentiels réguliers positifs et croissants  $V$  motivée par un modèle en gravité quantique. Pour des grandes valeurs du temps l'onde se propage librement à gauche. Nous donnons des estimations de décroissance temporelle et prouvons la complétude asymptotique en justifiant cette phrase.

## 1. INTRODUCTION AND MAIN RESULTS

This paper is motivated by the results obtained in [2] on the decay of the solutions of the following equation

$$\frac{\partial^2 u}{\partial y^2} - \frac{\partial^2 u}{\partial z^2} + \frac{1}{4}(p-1)^2 u + e^{4z} u = 0,$$

where  $p \in \mathbb{R}$  is a constant and  $(z, y) \in \mathbb{R}^2$ .

This equation is obtained, by the transformation  $u(z, y) = x^{\frac{p-1}{2}} \psi(x, y)$ ,  $z = \log x$ ,  $x > 0$ , from the simplified Wheeler-DeWitt equation with a massless single scalar field  $y$  (cf. [4])

$$\frac{\partial^2 \psi}{\partial y^2} - x^2 \frac{\partial^2 \psi}{\partial x^2} - px \frac{\partial \psi}{\partial x} + x^4 \psi = 0 \quad (1.1)$$

where  $x \in \mathbb{R}_+$  is a scale factor (radius of the Universe),  $p \in \mathbb{R}$  is a constant which reflects the factor-ordering ambiguity and  $\psi : \mathbb{R}_+ \times \mathbb{R} \rightarrow \mathbb{C}$  is the wave function of the Universe for the minisuperspace model.

As a consequence of the results in this paper we conclude that, for large values of  $y$ , the wave function  $\psi$  propagates freely near the origin, that is the  $x^4 \psi$  term is negligible, and is very small for large values of  $x$ .

We study the asymptotic behaviour and scattering properties of the solutions of the Klein-Gordon equation

$$\frac{\partial^2 u}{\partial t^2} - \frac{\partial^2 u}{\partial x^2} + u + V u = 0, \quad (x, t) \in \mathbb{R}^2 \quad (1.2)$$

where the potential  $V$  satisfies

$$\left. \begin{aligned} V &\in C^3(\mathbb{R}), \quad \dot{V} \geq 0, \\ 0 < \frac{dV}{dx} &\leq c(1+V), \quad \left| \frac{d^n V}{dx^n} \right| \leq c_1 \frac{dV}{dx}, \\ n &= 2, 3, \quad c, c_1 \text{ positive constants,} \\ V &= 0(|x|^{-1-\varepsilon}), \quad \varepsilon > 0, \quad \text{when } x \rightarrow -\infty. \end{aligned} \right\} \quad (1.3)$$

It is well known that the operator  $A_0 : D(A_0) \rightarrow L^2(\mathbb{R})$  defined by

$$A_0 u = -\frac{d^2 u}{dx^2} + u + V u \quad (1.4)$$

with domain

$$D(A_0) = \left\{ u \in H^1(\mathbb{R}) \mid V^{\frac{1}{2}} u \in L^2(\mathbb{R}) \text{ and } -\frac{d^2 u}{dx^2} + V u \in L^2(\mathbb{R}) \right\},$$

is self-adjoint in  $L^2(\mathbb{R})$ .

Let  $H_V^1 = \{u \in H^1(\mathbb{R}) \mid V^{\frac{1}{2}} u \in L^2(\mathbb{R})\}$  with its natural norm and put  $\mathcal{H} = H_V^1 \times L^2$ ,  $D(A) = D(A_0) \times H_V^1$ ,

$$A \begin{pmatrix} u_1 \\ u_2 \end{pmatrix} = i \begin{pmatrix} 0 & 1 \\ -A_0 & 0 \end{pmatrix} \begin{pmatrix} u_1 \\ u_2 \end{pmatrix} \quad (1.5)$$

It is easy to see that the operator  $A$ , with domain  $D(A)$ , is self-adjoint in  $\mathcal{H}$ .

Denote by  $e^{-itA}$  the unitary group in  $\mathcal{H}$  generated by  $-iA$ .

For  $\varphi = (u_0, u_1) \in D(A)$ , denote by  $u(t) = e^{-itA} \varphi$  the corresponding solution of the Cauchy problem  $\left( u(0) = u_0, \frac{\partial u}{\partial t}(0) = u_1 \right)$  for the equation (1.2).

Let  $\mathcal{H}_0 = H^1(\mathbb{R}) \times L^2(\mathbb{R})$ ,  $B : D(B) \rightarrow \mathcal{H}_0$ ,  $D(B) = H^2 \times H^1$ , where  $B$  is the self-adjoint operator in  $\mathcal{H}_0$  defined by

$$B \begin{pmatrix} u_1 \\ u_2 \end{pmatrix} = i \begin{pmatrix} 0 & 1 \\ \frac{d^2}{dx^2} - 1 & 0 \end{pmatrix} \begin{pmatrix} u_1 \\ u_2 \end{pmatrix} \quad (1.6)$$

Denote by  $e^{-itB}$  the (free) unitary group in  $\mathcal{H}_0$  generated by  $-iB$ . The operator  $B$  has constant coefficients,  $D(A) \subset D(B)$  and, on  $D(A)$ ,

$$A - B = i \begin{pmatrix} 0 & 0 \\ -V & 0 \end{pmatrix}.$$

The estimates of [2] show that the solutions of (1.2) are small in  $x > 0$  for  $t \rightarrow \infty$ . Our main result asserts that the solutions are asymptotically equal to solutions of the free Klein-Gordon equation which travel to the left. For  $t \rightarrow \infty$ , it is free waves travelling to the right (for time increasing) with intervenue. In a sense this is a three space scattering theory.

Write the finite energy free solutions as

$$\sum_{+, -} \int a_{\pm}(\xi) e^{i(x\xi \pm t\langle \xi \rangle \operatorname{sgn}(\xi))} d\xi, \quad \text{where } \langle \xi \rangle = (1 + |\xi|^2)^{\frac{1}{2}}.$$

The  $\pm$  term has group velocity equal to  $\mp |\xi| / \langle \xi \rangle$ . The waves with plus sign travel to the left and those with a minus to the right. For example, if  $a_+ \in C_0^\infty(\mathbb{R} \setminus 0)$  then the plus term has  $L^2(]0, +\infty[)$  norm which tends

to zero faster than any power of  $1/t$  as  $t$  tends to  $+\infty$ . The same is true for all derivatives (apply the results in app. 1 to XI.3 in [6]).

The energy in  $\mathcal{H}_0$  is equal to

$$\sum_{\pm} \int |\langle \xi \rangle a_{\pm}(\xi)|^2 d\xi.$$

Thus  $\mathcal{H}_0 = \mathcal{H}_+ \oplus_{\perp} \mathcal{H}_-$  where  $\mathcal{H}_{\pm}$  denotes the space of solutions with  $a_{\mp} \equiv 0$ . One has

$$\begin{aligned} \hat{u}(0, \xi) &= (2\pi)^{-\frac{1}{2}} (a_+(\xi) + a_-(\xi)), \\ \hat{u}_t(0, \xi) &= (2\pi)^{-\frac{1}{2}} \langle \xi \rangle (\operatorname{sgn}(\xi) a_+(\xi) - \operatorname{sgn}(\xi) a_-(\xi))/i. \end{aligned}$$

Thus  $(u_0, u_1) \in \mathcal{H}_+$  iff  $u_1 = -i \langle D \rangle \operatorname{sgn}(D) u_0$ . Note the familiar Hilbert transform  $\operatorname{sgn}(D)$ .

The following result has a proof similar to the proof of theorem 2 in [2].

**THEOREM 1.** - Assume  $\varphi = (u_0, u_1) \in D(A^2)$ . Then

$$\lim_{t \rightarrow \infty} \left\| \left( \frac{dV}{dx} \right)^{\frac{1}{2}} \left( |u(\cdot, t)| + \left| \frac{\partial u}{\partial t}(\cdot, t) \right| \right) \right\|_{L^2(\mathbb{R})} = 0.$$

By an adaptation of the proof of the theorem 3 in [2] we will prove the following:

**THEOREM 2.** - Assume  $\varphi = (u_0, u_1) \in (\mathcal{D}(\mathbb{R}))^2$ . Then  $u \in C^4(\mathbb{R}^2)$ ,  $u(\cdot, t) \in C_0^4(\mathbb{R})$ ,  $\frac{\partial u}{\partial t}(\cdot, t) \in C_0^3(\mathbb{R})$  and

$$\lim_{t \rightarrow \infty} \left\| \left( \frac{dV}{dx} \right)^{\frac{1}{2}} \frac{\partial u}{\partial x}(\cdot, t) \right\|_{L^2(\mathbb{R})} = 0.$$

In addition,

$$\int_{-\infty}^{+\infty} \int_{\mathbb{R}} \frac{dV}{dx} \left( |u|^2 + \left| \frac{\partial u}{\partial t} \right|^2 + \left| \frac{\partial u}{\partial x} \right|^2 \right) dx dt < +\infty \quad (1.7)$$

**COROLLARY.** - (i) If  $\varphi \in (\mathcal{D}(\mathbb{R}))^2$  and, for  $a \in \mathbb{R}$ ,  $H_a = H^1([a, +\infty[) \times L^2([a, +\infty[)$ , then  $\lim_{t \rightarrow \infty} \|e^{-itA} \varphi\|_{H_a} = 0$ . (ii) If  $P_{ac} = P_{ac}(A)$  denote the orthogonal projection of  $\mathcal{H}$  onto the subspace of absolute continuity for  $A$ , then  $P_{ac} = \operatorname{Id}$ .

The first part of the corollary is an immediate consequence of theorems 1 and 2, since  $\frac{dV}{dx} \geq a_1 > 0$  in  $[a, +\infty[$  [by (1.3)]. The second part will be provided as a consequence of (1.7).

Similar results can be proved for the corresponding Schrödinger equation  $i \frac{\partial v}{\partial t} + \frac{\partial^2 v}{\partial x^2} - V v = 0$  (cf. [3] for the special case  $V(x) = e^{4x}$ ).  
Choose

$$\left. \begin{aligned} &\chi \in C^\infty(\mathbb{R}), \quad 0 \leq \chi \leq 1, \\ &\left\{ \begin{array}{ll} \chi = 1 & \text{in } ]-\infty, b], \\ \chi = 0 & \text{in } [b_1, +\infty[, \end{array} \right. \quad \left. \begin{array}{l} \\ b_1 > b \end{array} \right\} \end{aligned} \right\} \quad (1.8)$$

It is easy to see that

$$\begin{aligned} \mathcal{H}_\mp &= \left\{ \varphi \in \mathcal{H}_0 \mid \|(1 - \chi) e^{-itB} \varphi\|_{\mathcal{H}_0} \xrightarrow[t \rightarrow \mp\infty]{} 0 \right\} \\ &= \left\{ \varphi \in \mathcal{H}_0 \mid \varphi = \lim_{t \rightarrow \mp\infty} e^{itB} \chi e^{-itB} \varphi \text{ in } \mathcal{H}_0 \right\}. \end{aligned}$$

Our main results are

**THEOREM 3 (Existence of the wave operators).** – For each  $\varphi \in \mathcal{H}_\mp$  there exists a unique  $\varphi_-$  (resp.  $\varphi_+$ ) in  $\mathcal{H}$  such that

$$\lim_{t \rightarrow \mp\infty} \|\chi e^{-iBt} \varphi - e^{-iAt} \varphi_\mp\|_{\mathcal{H}} = 0 \quad (1.9)$$

We have  $\varphi_\mp = M^\mp \varphi = \lim_{t \rightarrow \mp\infty} e^{iAt} \chi e^{-iBt} \varphi$  in  $\mathcal{H}$  and the maps  $M^\mp : \mathcal{H}_\mp \rightarrow \mathcal{H}$  are bounded.

**THEOREM 4 (Completeness of the wave operators).** – For each  $\psi \in \mathcal{H}$  there exists a unique  $\psi_-$  (resp.  $\psi_+$ ) in  $\mathcal{H}_\mp$  such that

$$\lim_{t \rightarrow \mp\infty} \|e^{-iAt} \psi - \chi e^{-iBt} \psi_\mp\|_{\mathcal{H}_0} = 0 \quad (1.10)$$

We have  $\psi_\mp = \lim_{t \rightarrow \mp\infty} e^{iBt} e^{-iAt} \psi$  in  $\mathcal{H}_0$ , and the maps  $\psi \rightarrow \psi_\mp$  are bounded inverses of the maps  $M^\mp$  defined in theorem 3. In addition, if  $V(x) = e^{\lambda x}$ ,  $\lambda > 0$ , then the map  $S = (M^+)^{-1} M^- : \mathcal{H}_- \rightarrow \mathcal{H}_+$  is an isometry.

For the proof of theorems 3, 4, we use the asymmetric Birman-Kato theory, more precisely the theorem in [5], and (for theorem 4) the corollary of theorems 1 and 2. Note that the asymmetry of the problem forces us to use the Birman-Kato theory two times, once for existence and, in a different way, for completeness. Analogous results can be proved for the Schrödinger equation

$$i \frac{\partial v}{\partial t} + \frac{\partial^2 v}{\partial x^2} - V v = 0$$

using decay estimates extending those of [3] proved for the special case  $V(x) = e^{4x}$ .

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## 2. PROOF OF THEOREM 2

Assume  $\varphi = (u_0, u_1) = \left( u(0), \frac{\partial u}{\partial t}(0) \right) \in (\mathcal{D}(\mathbb{R}))^2 \subset D(A^4)$ . Since  $V \in C^3$  it is easy to see that the corresponding solution of the Cauchy problem  $u$  belongs to  $C^4(\mathbb{R}^2)$  and that for each  $t$ ,  $u(\cdot, t) \in C_0^4(\mathbb{R})$ ,  $\frac{\partial u}{\partial t}(\cdot, t) \in C_0^3(\mathbb{R})$ . We have, for  $v = \frac{\partial u}{\partial x}$ ,

$$\frac{\partial^2 v}{\partial t^2} - \frac{\partial^2 v}{\partial x^2} + v + Vv + \frac{dV}{dx}u = 0 \quad (2.1)$$

Multiply the equation (2.1) by  $\frac{\partial \bar{v}}{\partial t}$ , then integrate in  $x \in \mathbb{R}$  and take the real part. This yields, with

$$\begin{aligned} E(v(t)) &= \frac{1}{2} \int_{\mathbb{R}} \left[ \left| \frac{\partial v}{\partial t} \right|^2 + \left| \frac{\partial v}{\partial x} \right|^2 + |v|^2 + V|v|^2 \right] (x, t) dx, \\ \frac{\partial}{\partial t} E(v(t)) + \operatorname{Re} \int_{\mathbb{R}} \frac{dV}{dx} u \frac{\partial \bar{v}}{\partial t} dx &= 0, \\ \frac{\partial}{\partial t} \left[ E(v(t)) - \frac{1}{2} \int_{\mathbb{R}} \frac{d^2 V}{dx^2} |u|^2 dx \right] &= \operatorname{Re} \int_{\mathbb{R}} \frac{dV}{dx} \frac{\partial u}{\partial t} \frac{\partial \bar{u}}{\partial x} dx. \end{aligned}$$

Thus, for  $t \geq 0$ ,  $\delta > 0$ ,

$$\begin{aligned} E(v(t)) &\leq E(v(0)) + c_2 E(u_0) + \delta \int_0^t \int_{\mathbb{R}} \frac{dV}{dx} |v|^2 dx ds \\ &\quad + c(\delta) \int_0^t \int_{\mathbb{R}} \frac{dV}{dx} \left| \frac{\partial u}{\partial t} \right|^2 dx ds \end{aligned} \quad (2.2)$$

Now, multiply the equation (2.1) by  $\frac{\partial \bar{v}}{\partial x}$ , integrate in  $x \in \mathbb{R}$  and take the real part to find

$$\operatorname{Re} \frac{\partial}{\partial t} \int_{\mathbb{R}} \frac{\partial v}{\partial t} \frac{\partial \bar{v}}{\partial x} dx - \frac{1}{2} \int_{\mathbb{R}} \frac{dV}{dx} \left| \frac{\partial u}{\partial x} \right|^2 + \operatorname{Re} \int_{\mathbb{R}} \frac{dV}{dx} u \frac{\partial^2 \bar{u}}{\partial x^2} dx = 0$$

and

$$\operatorname{Re} \int_{\mathbb{R}} \frac{dV}{dx} u \frac{\partial^2 \bar{u}}{\partial x^2} dx = - \int_{\mathbb{R}} \frac{dV}{dx} \left| \frac{\partial u}{\partial x} \right|^2 dx + \frac{1}{2} \int_{\mathbb{R}} \frac{d^3 V}{dx^3} |u|^2 dx.$$

Hence,

$$\operatorname{Re} \frac{\partial}{\partial t} \int_{\mathbb{R}} \frac{\partial v}{\partial t} \frac{\partial \bar{v}}{\partial x} dx = \frac{3}{2} \int_{\mathbb{R}} \frac{dV}{dx} |v|^2 dx - \frac{1}{2} \int_{\mathbb{R}} \frac{d^3 V}{dx^3} |u|^2 dx$$

and so, by (1.3),

$$\int_0^t \int_{\mathbb{R}} \frac{dV}{dx} |v|^2 dx ds \leq c_3 \int_0^t \int_{\mathbb{R}} \frac{dV}{dx} |u|^2 dx + c_4 E(v(0)) + c_4 E(v(t)) \quad (2.3)$$

Now, (2.2) and (2.3) yield, with a suitable  $\delta > 0$ ,

$$E(v(t)) \leq c_5 + c_6 \int_0^t \int_{\mathbb{R}} \frac{dV}{dx} \left[ |u|^2 + \left| \frac{\partial u}{\partial t} \right|^2 \right] dx ds \quad (2.4)$$

On the other hand, it is easy to see that the proof of proposition 3.1 in [2] yields

$$\begin{aligned} & \int_0^{+\infty} \int_{\mathbb{R}} \frac{dV}{dx} \left( |u|^2 + \left| \frac{\partial u}{\partial t} \right|^2 + \left| \frac{\partial^2 u}{\partial t^2} \right|^2 \right) dx ds \\ & \leq 4 \left( E(u_0) + E(u_1) + E\left(\frac{\partial^2 u}{\partial t^2}(0)\right) \right) \end{aligned} \quad (2.5)$$

Hence, using (2.3), (2.4) and (2.5) we obtain

$$\int_0^{\infty} \int_{\mathbb{R}} \frac{dV}{dx} |v|^2 dx ds < +\infty \quad (2.6)$$



Taking the  $t$  derivative in equation (2.1), replacing  $v$  by  $\frac{\partial v}{\partial t} = \frac{\partial}{\partial x} \left( \frac{\partial u}{\partial t} \right)$ , and imitating the proof using (2.5), yields

$$\int_0^\infty \int_{\mathbb{R}} \frac{dV}{dx} \left| \frac{\partial v}{\partial t} \right|^2 dx ds < +\infty \quad (2.7)$$

The two inequalities (2.6), (2.7) with a classical argument employed for example in the proof of the theorem 2 in [2], imply

$$\lim_{t \rightarrow +\infty} \left\| \left( \frac{dV}{dx} \right)^{\frac{1}{2}} \frac{\partial u}{\partial x} (\cdot, t) \right\|_{L^2(\mathbb{R})} = 0.$$

Time reversibility completes the proof of theorem 2. ■

PROOF OF PART (ii) OF THE COROLLARY. – For  $\varphi \in (\mathcal{D}(\mathbb{R}))^2$  a dense subset of  $\mathcal{H}$ , we have

$$(\varphi, e^{-itA} \varphi)_{\mathcal{H}} = \int_{\mathbb{R}} e^{-it\lambda} d(E_\lambda \varphi, \varphi).$$

The second part of theorem 2 implies that the l.h.s. belongs to  $L^2(\mathbb{R})$ . Therefore, the Fourier transform of the bounded measure  $d(E_\lambda \varphi, \varphi)$  belongs to  $L^2(\mathbb{R})$ . Thus the measure is absolutely continuous so  $P_{ac}(\varphi) = \varphi$ . ■

### 3. PROOF OF THEOREMS 3 AND 4

PROOF OF THEOREM 3. – Let  $\mathcal{H}_1 = H^2(\mathbb{R}) \times H^1(\mathbb{R})$ ,  $\mathcal{H}_2 = \mathcal{H} = H_V^1 \times L^2$ ,  $H_1 = B|_{H^3 \times H^2}$ ,  $H_2 = A$  and  $J : \mathcal{H}_1 \rightarrow \mathcal{H}_2$  the linear continuous operator defined by  $J = \chi H_1^{-1}$ , where  $\chi$  is the function defined in (1.8). We have, for  $\varphi \in H^3 \times H^2$ ,

$$\begin{aligned} e^{iH_2 t} \chi e^{-iH_1 t} \varphi &= e^{iH_2 t} \chi H_1^{-1} e^{-iH_1 t} H_1 \varphi \\ &= e^{iH_2 t} J e^{-iH_1 t} \psi, \end{aligned}$$

with  $\psi = H_1 \varphi \in \mathcal{H}_1$ .

Furthermore,  $JD(H_1) \subset D(H_2)$  and

$$H_2 J - J H_1 = i \begin{pmatrix} 0 & 0 \\ \frac{d^2 \chi}{dx^2} + 2 \frac{d\chi}{dx} \frac{d}{dx} - V \chi & 0 \end{pmatrix} H_1^{-1}$$

[extended to  $\mathcal{H}_1$ , as an element of  $\mathcal{B}(\mathcal{H}_1, \mathcal{H}_2)$ ].

On the other hand, we have

$$H_1^{-1} = \begin{pmatrix} 0 & i \left( -\frac{d^2}{dx^2} + 1 \right)^{-1} \\ -i & 0 \end{pmatrix}.$$

Thus, if  $\psi = (u, v) \in \mathcal{H}_1$ , we get

$$\begin{aligned} (H_2 J - JH_1)\psi = & i \left[ \begin{pmatrix} 0 \\ \left( \frac{d^2}{dx^2} \chi - V \chi \right) \left( \frac{d^2}{dx^2} + 1 \right)^{-1} (iv) \end{pmatrix} \right. \\ & \left. + \begin{pmatrix} 0 \\ 2 \frac{d\chi}{dx} \left( -\frac{d^2}{dx^2} + 1 \right)^{-1} \left( i \frac{dv}{dx} \right) \right]. \end{aligned}$$

Theorem 3.2 in [1] implies that the operator  $H_2 J - JH_1$  is a trace class operator from  $\mathcal{H}_1$  into  $\mathcal{H}_2$ . This and the theorem in [5] imply that the limits

$$\lim_{t \rightarrow \pm\infty} e^{iAt} \chi e^{-iBt} \varphi = \lim_{t \rightarrow \pm\infty} e^{iH_2 t} J e^{-iH_1 t} \psi$$

exist in  $\mathcal{H}_2 = \mathcal{H}$ . By density we can take  $\varphi \in \mathcal{H}_0 = H^1 \times L^2$ , and it follows immediatelly that, if  $\varphi_{\pm} = \lim_{t \rightarrow \pm\infty} e^{iAt} \chi e^{-iBt} \varphi$ , then  $\|\varphi_{\pm}\|_{\mathcal{H}} \leq c \|\varphi\|_{\mathcal{H}_0}$ .

To prove unicity, suppose that  $\varphi \in \mathcal{H}_+$  and  $0 = \varphi_+ = \lim_{t \rightarrow +\infty} e^{iAt} \chi e^{-iBt} \varphi$  in  $\mathcal{H}$ . Then

$$\|\chi e^{-itB} \varphi\|_{\mathcal{H}_0} \leq \|\chi e^{-itB} \varphi\|_{\mathcal{H}} \xrightarrow{t \rightarrow +\infty} 0.$$

Moreover,

$$\|(1 - \chi) e^{-itB} \varphi\|_{\mathcal{H}_0} \xrightarrow{t \rightarrow +\infty} 0.$$

Hence,

$$\|\varphi\|_{\mathcal{H}_0} = \|e^{-itB} \varphi\|_{\mathcal{H}_0} \xrightarrow{t \rightarrow +\infty} 0. \quad \blacksquare$$

PROOF OF THEOREM 4. - Let  $\mathcal{H}_1 = D(A^2)$ ,  $\mathcal{H}_2 = \mathcal{H}_0 = H^1 \times L^2$ ,  $H_1 = A|_{D(A^2)}$ ,  $H_2 = B$  and  $J : \mathcal{H}_1 \rightarrow \mathcal{H}_2$  defined by  $J = \chi H_1^{-1}$ , where

$$H_1^{-1} = \begin{pmatrix} 0 & i \left( -\frac{d^2}{dx^2} + 1 + V \right)^{-1} \\ -i & 0 \end{pmatrix}.$$

Since the projection (in  $\mathcal{H}_1$ ) onto the subspace of absolute continuity for  $H_1$  is  $P_{\text{ac}|\mathcal{H}_1} = \text{Id}$ , we have, for  $\varphi \in D(A^3)$ ,

$$e^{iH_2 t} \chi e^{-iH_1 t} \varphi = e^{iH_2 t} \chi H_1^{-1} e^{-iH_1 t} H_1 \varphi = e^{iH_2 t} J e^{-iH_1 t} \psi,$$

with  $\psi = H_1 \varphi \in \mathcal{H}_1$ .

Furthermore, for  $\psi_1 = (u, v) \in \mathcal{H}_1$  we obtain (by extending  $H_2 J - JH_1$  to  $\mathcal{H}_1$ ):

$$\begin{aligned} (H_2 J - JH_1) \psi_1 = & i \left[ \begin{pmatrix} 0 \\ \left( \left( \frac{d^2 \chi}{dx^2} - V \chi \right) \left( -\frac{d^2}{dx^2} + 1 + V \right)^{-1} (iv) \right) \\ 0 \end{pmatrix} \right. \\ & \left. + \begin{pmatrix} 0 \\ 2 \frac{d\chi}{dx} \frac{d}{dx} \left( \left( -\frac{d^2}{dx^2} + 1 + V \right)^{-1} (iv) \right) \right]. \end{aligned}$$

To estimate the last term write

$$\begin{aligned} & \frac{d\chi}{dx} \frac{d}{dx} \left( \left( -\frac{d^2}{dx^2} + 1 + V \right)^{-1} (v) \right) \\ &= \frac{d\chi}{dx} \left( -\frac{d^2}{dx^2} + 1 + V \right)^{-1} \frac{dv}{dx} \\ & \quad - \frac{d\chi}{dx} \left( -\frac{d^2}{dx^2} + 1 + V \right)^{-1} \left( \frac{dV}{dx} \left( -\frac{d^2}{dx^2} + 1 + V \right)^{-1} v \right), \end{aligned}$$

where we have used the fact that  $v \in D\left(-\frac{d^2}{dx^2} + 1 + V\right)$  implies that

$\frac{dV}{dx} \left(-\frac{d^2}{dx^2} + 1 + V\right)^{-1} v \in L^2$  and the map from  $D\left(-\frac{d^2}{dx^2} + 1 + V\right)$  to  $L^2$  so defined is continuous (the proof is exactly as for the case  $V(x) = e^{4x}$  which is presented in section 3 of [3]). We conclude, by the theorem 3.2 in [1] that the operator  $H_2 J - JH_1$  is a trace class operator from  $\mathcal{H}_1$  into  $\mathcal{H}_2$ . Hence, by the theorem in [5] we conclude that the limits

$$\lim_{t \rightarrow \pm\infty} e^{iBt} \chi e^{-iAt} \varphi = \lim_{t \rightarrow \pm\infty} e^{iH_2 t} J e^{-iH_1 t} \psi$$

exist in  $\mathcal{H}_2 = \mathcal{H}_0$ . By density, the same limits exist for

$$\varphi \in \mathcal{H} = H_V^1 \times L^2.$$

For such  $\varphi$ , let

$$\varphi_+ = \lim_{t \rightarrow +\infty} e^{iBt} \chi e^{-iAt} \varphi \text{ in } \mathcal{H}_0.$$

The next step is to prove that

$$\varphi_+ = \lim_{t \rightarrow +\infty} e^{iBt} e^{-iAt} \varphi \text{ in } \mathcal{H}_0.$$

This implies that

$$\| e^{-iAt} \varphi - \chi e^{-iBt} \varphi_+ \|_{\mathcal{H}_0} \xrightarrow{t \rightarrow +\infty} 0$$

and so  $\varphi_+ \in \mathcal{H}_+$  and  $\|\varphi_+\|_{\mathcal{H}_0} = \lim_{t \rightarrow +\infty} \|e^{iBt} e^{-iAt} \varphi\|_{\mathcal{H}_0} \leq \|\varphi\|_{\mathcal{H}}$ .

Choose  $\varphi_n \in (\mathcal{D}(\mathbb{R}))^2, n = 1, 2, \dots$ , such that  $\varphi_n \xrightarrow{n \rightarrow \infty} \varphi$  in  $\mathcal{H}$ . We have

$$\begin{aligned} \| e^{-iAt} \varphi - e^{-iBt} \varphi_+ \|_{\mathcal{H}_0} &\leq \| \chi e^{-iAt} \varphi - e^{-iBt} \varphi_+ \|_{\mathcal{H}_0} \\ &\quad + \| (1 - \chi) e^{-iAt} \varphi \|_{\mathcal{H}_0} \\ &\leq \| \chi e^{-iAt} \varphi - e^{-iBt} \varphi_+ \|_{\mathcal{H}_0} \\ &\quad + \| (1 - \chi) e^{-iAt} \varphi_n \|_{\mathcal{H}_0} + c_2 \|\varphi - \varphi_n\|_{\mathcal{H}}. \end{aligned}$$

Since, for each  $n$ , and by the corollary of theorems 1 and 2, part (i),  $\| (1 - \chi) e^{-iAt} \varphi_n \|_{\mathcal{H}_0} \xrightarrow{t \rightarrow +\infty} 0$ , we conclude, by standard arguments, that  $\| e^{-iAt} \varphi - e^{-iBt} \varphi_+ \|_{\mathcal{H}_0} \xrightarrow{t \rightarrow +\infty} 0$ .

Now, we derive

$$\begin{aligned} \| e^{-iAt} \varphi - e^{-iBt} \varphi_+ \|_{\mathcal{H}_0} &\leq \| \chi (e^{-iAt} \varphi - e^{-iBt} \varphi_+) \|_{\mathcal{H}_0} \\ &\quad + \| (1 - \chi) e^{-iAt} \varphi \|_{\mathcal{H}_0} \\ &\leq c_3 \| e^{-iAt} \varphi - e^{-iBt} \varphi_+ \|_{\mathcal{H}_0} \\ &\quad + \| (1 - \chi) e^{-iAt} \varphi_n \|_{\mathcal{H}_0} + c_2 \|\varphi - \varphi_n\|_{\mathcal{H}}, \end{aligned}$$

are therefore, by similar arguments,

$$\| e^{-iAt} \varphi - e^{-iBt} \varphi_+ \|_{\mathcal{H}_0} \xrightarrow{t \rightarrow +\infty} 0.$$

Finally, let  $S = (M^+)^{-1} M^- : \mathcal{H}_- \rightarrow \mathcal{H}_+$  which is bijective and bicontinuous. Assume  $V(x) = e^{\lambda x}, \lambda > 0$ . Let  $\psi = M^- \varphi_-, \varphi_+ = (M^+)^{-1} \psi$ . Since  $D(A^2)$  is dense in  $\mathcal{H}$  we can assume  $\psi \in D(A^2)$ . Hence, by theorem 1 and since  $\frac{dV}{dx} = \lambda V$ , we get

$$\|\psi\|_{\mathcal{H}} = \lim_{t \rightarrow \infty} \| e^{-itA} \psi \|_{\mathcal{H}} = \lim_{t \rightarrow \infty} \| e^{iBt} \psi \|_{\mathcal{H}_0}.$$

Moreover, we have  $\varphi_{\pm} = \lim_{t \rightarrow +\infty} e^{itB} e^{-itA} \psi$  in  $\mathcal{H}_0$  and so

$$\|\varphi_{\pm}\|_{\mathcal{H}_0} = \lim_{t \rightarrow \pm\infty} \|e^{-itA} \psi\|_{\mathcal{H}_0} = \|\psi\|_{\mathcal{H}}. \quad \blacksquare$$

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