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## 2-Cohomology of semi-simple simply connected group-schemes over curves defined over $p$ -adic fields

par JEAN-CLAUDE DOUAI

RÉSUMÉ. Soit  $X$  une courbe propre, lisse, géométriquement connexe, définie sur un corps  $p$ -adique  $k$ . Lichtenbaum a prouvé l'existence d'une dualité parfaite :

$$\mathrm{Br}(X) \times \mathrm{Pic}(X) \longrightarrow \mathbb{Q}/\mathbb{Z}$$

entre le groupe de Brauer et le groupe de Picard de  $X$  et en a déduit l'existence d'une injection de  $\mathrm{Br}(X)$  dans le produit des  $\mathrm{Br}(k_P)$  où  $P$  décrit les points fermés de  $X$  et  $k_P$  désigne le corps résiduel du point  $P$ . Le but de cet article est de montrer que si,  $G = \tilde{G}$  est un  $X_{et}$ -schéma en groupes semi-simples simplement connexes (groupes s.s.s.c), alors le résultat de Lichtenbaum implique la neutralité de chaque  $X_{et}$ -gerbe qui est localement liée par  $\tilde{G}$ . En particulier, si  $\mathfrak{X}$  est un modèle de  $X$  sur l'anneau  $\mathcal{O}$  des entiers de  $k$ , i.e  $X = \mathfrak{X} \times_{\mathcal{O}} k$ , alors chaque  $\mathfrak{X}_{et}$ -gerbe localement liée par un  $\mathfrak{X}$ -groupe s.s.s.c est neutre (ceci étant une application du théorème de changement propre).

Plus généralement, reprenant un procédé dû à Colliot-Thélène et Saito, nous pouvons montrer que si  $X$  est une  $k$ -variété propre, lisse, de dimension strictement plus grande que 1, alors chaque classe du quotient  $H^2(X_{et}, \mathcal{L})/H^2(\mathfrak{X}_{et}, \mathcal{L})$  est neutre où  $\mathfrak{X}$  est un  $\mathcal{O}$ -modèle de  $X$  et  $\mathcal{L}$  un  $\mathfrak{X}$ -lien localement représentable par un schéma en groupes s.s.s.c sous la condition mineure que le cardinal de son centre soit premier à  $p$ . Nous donnerons ensuite des applications.

ABSTRACT. Let  $X$  be a proper, smooth, geometrically connected curve over a  $p$ -adic field  $k$ . Lichtenbaum proved that there exists a perfect duality:

$$\mathrm{Br}(X) \times \mathrm{Pic}(X) \longrightarrow \mathbb{Q}/\mathbb{Z}$$

between the Brauer and the Picard group of  $X$ , from which he deduced the existence of an injection of  $\mathrm{Br}(X)$  in  $\prod_{P \in X} \mathrm{Br}(k_P)$

where  $P \in X$  and  $k_P$  denotes the residual field of the point  $P$ . The aim of this paper is to prove that if  $G = \tilde{G}$  is an  $X_{et}$ -scheme of semi-simple simply connected groups (s.s.s.c groups), then we

can deduce from Lichtenbaum's results the neutrality of every  $X_{et}$ -gerb which is locally tied by  $\tilde{G}$ . In particular, if  $\mathfrak{X}$  is a model of  $X$  over the ring of integers  $\mathcal{O}$  in  $k$ , i.e  $X = \mathfrak{X} \times_{\mathcal{O}} k$ , then every  $\mathfrak{X}_{et}$ -gerb which is locally tied by a s.s.s.c  $\mathfrak{X}$ -group is neutral (this being a variant of the proper base change theorem).

More generally, using a technique of Colliot-Thélène and Saito, we can prove that, if  $X$  is a proper smooth  $k$ -variety of dimension greater than 1, then every class of  $H^2(X_{et}, \mathcal{L})/H^2(\mathfrak{X}_{et}, \mathcal{L})$  is neutral whenever  $\mathcal{L}$  is a  $\mathfrak{X}$ -band that is locally represented by a s.s.s.c group under the condition that the cardinality of its center is coprime to  $p$ . We will then give some applications.

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### 1. Moduli field, definition field

Let  $k$  be a  $p$ -adic field (= finite extension of  $\mathbb{Q}_p$ ),  $\bar{k}/k$  a separable closure of  $k$ ,  $X$  a projective, smooth, geometrically irreducible curve over  $k$  and  $\bar{X} = X \otimes_k \bar{k}$ .

**Theorem 1.1.** *Let  $\tilde{G}$  be a scheme of semi-simple, simply connected (or s.s.s.c) groups over  $X/k$ ,  $\tilde{\bar{G}} = \tilde{G} \otimes_k \bar{k}$ . Then, there are the isomorphisms:*

$$H^1(X, \tilde{G}) \simeq H^0(k, H^1(\bar{X}_{et}, \tilde{\bar{G}})) \simeq H^0(k, H^1(\bar{X}_{Zar}, \tilde{\bar{G}}))$$

where  $H^1(X, G)$  means  $H^1_{et}(X, G)$ , i.e each  $X$ -torsor under  $\tilde{G}$  of moduli field  $k$  is defined over  $k$  and the descent from  $\bar{k}$  is unique.

**Remark.** The second isomorphism comes from Nisnevich's isomorphism (**Corollaire 4.4** de [9]):

$$H^1(\bar{X}_{et}, \tilde{\bar{G}}) \simeq H^1(\bar{X}_{Zar}, \tilde{\bar{G}})$$

*Proof.* Leray's spectral sequence

$$H^p(k, H^q(\bar{X}, \tilde{\bar{G}})) \Rightarrow H^{p+q}(X, \tilde{G})$$

does not exist but we get the exact sequence (**Prop. 3.1.3**-Chap.V, p.323 of Giraud [4]):

$$1 \rightarrow H^1(k, \tilde{G}) \longrightarrow H^1(X, \tilde{G}) \longrightarrow H^0(k, H^1(\bar{X}, \tilde{\bar{G}})) .$$

To each class  $[Z]$  of  $H^0(k, H^1(\bar{X}, \tilde{\bar{G}}))$ , we associate the  $k$ -gerb  $\mathcal{G}_Z$  whose objects over the open set

$$U = (\text{Spec } K \rightarrow \text{Spec } k) \in \text{Ob}(\text{Spec}(k)_{et})$$

are the  $X \otimes_k K$ -torsors under  $\tilde{G}$  which are isomorphic to  $Z/X \otimes_k K$ . The  $k$ -gerb  $\mathcal{G}_Z$  belongs to  $Z^2(k, \mathcal{L})$ , where the  $k$ -band  $\mathcal{L}$  is locally (for the étale topology) represented by  $\tilde{G}$ . □

Because  $k$  is a  $p$ -adic field and  $\tilde{G}$  semi-simple,  $\mathcal{G}_Z$  is neutral (cf. [3],[3'],[1]) and by the **Prop.3.1.6 (i)** of Chap.V, p.325 of Giraud [4],  $[Z]$  belongs to the image of  $H^1(X, \tilde{G})$ .

Because  $\tilde{G}$  is s.s.s.c,  $H^1(k, \tilde{G}) = 0$  by Kneser's theorem 1 of [5]. From this, it results that  $H^1(X, \tilde{G}) \simeq H^0(k, H^1(\bar{X}, \tilde{G}))$ .

**Remark.** If  $G$  is only semi-simple (s.s), then we get the exact sequence:

$$1 \longrightarrow H^1(k, G) \longrightarrow H^1(X, G) \longrightarrow H^0(k, H^1(\bar{X}_{Zar}, \bar{G})) \longrightarrow *$$

i.e the descent is no longer unique.

### 2. The main Theorem

Let  $k$  be a  $p$ -adic field,  $X$  a projective, smooth, geometrically irreducible curve over  $k$ . Lichtenbaum [6] proved that there exists a perfect duality:

$$\text{Br}(X) \times \text{Pic}(X) \longrightarrow \mathbb{Q}/\mathbb{Z}$$

between the Brauer and the Picard group of  $X$ , from which he deduced the existence of an injection

$$\text{Br}(X) \hookrightarrow \prod_{P \in X} \text{Br}(k_P)$$

where  $k_P$  denotes the residual field of the point  $P$ .

**Theorem 2.1.** *Let  $k$  be a  $p$ -adic field,  $X$  a projective, smooth, geometrically irreducible curve over  $k$ ,  $\tilde{G}$  being a s.s.s.c  $X$ -group,  $\mathcal{L}$  a  $X$ -band that is locally (for the étale topology) representable by  $\tilde{G}$ . Then each class of  $H^2_{\text{ét}}(X, \mathcal{L})$  is neutral.*

First, we shall prove **Theor.2.1**. In the §3, we shall see (**Rem. a**) that **theor.3.1** gives again **theor.2.1** but only modulo the restriction  $(|Z(\mathcal{L})|, p) = 1$ , where  $Z(\mathcal{L})$ = center of  $\mathcal{L}$ .

*Proof of theor. 2.1.* As in [3], we can suppose that  $\mathcal{L}$  has the form  $\text{lien}(\tilde{G})$  and then establish the neutrality of each class of  $H^2(X, \tilde{G})$  with s.s.s.c and quasi-split  $\tilde{G}$ . Let  $(\tilde{B}, \tilde{T})$  be a Killing pair of  $\tilde{G}$ : by the **prop.3-13** exposé XXIV of [8],  $\tilde{T}$  is isomorphic to an induced torus  $\prod_{X'/X} G_{m_{X'}}$ , where  $X'$  is

the  $X$ -scheme of Dynkin of  $\tilde{G}$ . The injectivity of

$$\text{Br}(X') \hookrightarrow \prod_{P' \in X'} \text{Br}(k_{P'})$$

induces the injectivity of

$$H^2(X, \tilde{T}) \hookrightarrow \prod_{P \in X} H^2(k_P, \tilde{T}).$$

Consider the diagram

$$\begin{array}{ccccc}
 & & & & 0 \\
 & & & & \downarrow \\
 & & & & H^2(X, \tilde{T}) \\
 & & & \longrightarrow & \\
 H^1(X, \tilde{T}_{ad}) & \xrightarrow{\delta_1} & H^2(X, Z(\tilde{G})) & \longrightarrow & \\
 \downarrow & & \downarrow & & \downarrow \\
 \prod_{P \in X} H^1(k_P, \tilde{T}_{ad}) & \longrightarrow & \prod_{P \in X} H^2(k_P, Z(\tilde{G})) & \longrightarrow & \prod_{P \in X} H^2(k_P, \tilde{T}) \\
 \parallel * & & & & \\
 0 & & & & 
 \end{array}$$

The quotient of  $H^2(X, Z(\tilde{G}))$  by  $Im.\delta_1$  is injected into  $\prod_{P \in X} H^2(k_P, Z(\tilde{G}))$ .

The set  $H^2(X, \tilde{G})$  is a homogeneous principal space under  $H^2(X, Z(\tilde{G}))$ . By analogy,  $H^2(X, \tilde{G})/Im.\delta_1$  is injected into  $\prod_{P \in X} H^2(k_P, \tilde{G})$  and we obtain

the following diagram:

$$\begin{array}{ccc}
 H^2(X, \tilde{G})/Im.\delta_1 & \hookrightarrow & \prod_{P \in X} H^2(k_P, \tilde{G}) \\
 \uparrow \circ & & \uparrow \circ \\
 H^2(X, Z(\tilde{G}))/Im.\delta_1 & \hookrightarrow & \prod_{P \in X} H^2(k_P, Z(\tilde{G}))
 \end{array}$$

where  $\hookrightarrow \circ$  is the relation of the non abelian 2-cohomology ([4], **Def. 3.1.4** Chap IV p.248).

We know ([3], [1]) that each class of  $H^2(k_P, \tilde{G})$  is neutral, therefore each class of  $H^2(k_P, \tilde{G})$  is in relation with the class 0 of  $H^2(k_P, Z(\tilde{G}))$  (**Cor. 3.3.7** Chap IV, p.258-259 of [4]).

From the previous diagram, we deduce that each class of  $H^2(X, \tilde{G})/Im.\delta_1$  is in relation with the class 0 of  $H^2(X, Z(\tilde{G}))/Im.\delta_1$ , hence is neutral.

More, it is easy to see that each class of  $H^2(X, \tilde{G})$  which is in relation by  $\hookrightarrow \circ$  with a class of  $Im.\delta_1$  is also neutral (Let  $\alpha \in H^1(X, \tilde{T}_{ad})$ : the

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\*  $\tilde{T}_{ad}$  is also an induced torus

$X$ -gerb  $\delta_1(\alpha) \cdot Tors \tilde{G}$  is  $X$ -equivalent with the gerb  $Tors(\tilde{G}^{\alpha'})$  where  $\alpha'$  is the image of  $\alpha$  in  $H^1(X, \tilde{G}_{ad})$ , then is neutral). The result follows from these facts.  $\square$

**Corollary 2.1** (to theorem 2.1). *Let  $\mathcal{L}$  be a  $X_{et}$ -band which is locally representable by s.s.s.c group. Denote*

$$\text{III}^2(k(X), \mathcal{L}) := \text{Ker} \left\{ H^2(k(X), \mathcal{L}) \longrightarrow \prod_{P \in X} H^2(k(X)_P, \mathcal{L}) \right\}$$

Then, each class of  $\text{III}^2(k(X), \mathcal{L})$  is neutral.

This result is a consequence of the exactness of the localization sequence

$$H^2_{et}(X, \mathcal{L}) \longrightarrow H^2(k(X), \mathcal{L}) \longrightarrow \prod_{P \in X} H^2(k(X)_P, \mathcal{L}),$$

each class of  $H^2_{et}(X, \mathcal{L})$  being neutral ( $H^2(k(X)_P, \mathcal{L})$  is pointed by  $Tors(\tilde{G}_{\mathcal{L}})$ , where  $\tilde{G}_{\mathcal{L}}$  represents the  $k(X)_P$ -band  $\mathcal{L}$ , cf. the analogy with the second line of the diagram  $D_1$  of [3''] p.124 ).

### 3. Higher dimensional varieties

J.L Colliot-Thélène and S.Saito have generalized in [2] Lichtenbaum's duality for higher dimensional varieties : the evaluation map on the closed points together with the corestriction defines a pairing

$$(3.1) \quad \text{Br}(X) \times \text{CH}_0(X) \longrightarrow \text{Br}(k)$$

(cf. the " $\Phi$ -Eigenschaft" property of Pop and Wiesend [7] ).

More generally, if  $X$  is a smooth, projective, geometrically irreducible  $k$ -variety of dimension  $> 1$ ,  $k$  always  $p$ -adic field, they have established ([2],

**Cor. 2.4**) that

$$\text{Br}(X) / \text{Br}(\mathfrak{X}) \hookrightarrow \prod_{P \in X} \text{Br}(k_P) \text{ (modulo } p\text{-primary torsion)}$$

where  $\mathfrak{X}$  is a model of  $X$  over the ring  $\mathcal{O}_k$  of integers of  $k$ .

Adapting their method we get:

**Theorem 3.1.** *Let  $X$  be a projective, smooth, geometrically irreducible scheme of dimension  $> 1$  over a  $p$ -adic field. Then each class of  $H^2_{et}(X, \mathcal{L}) / H^2_{et}(\mathfrak{X}, \mathcal{L})$  is neutral, where  $\mathcal{L}$  is a  $\mathfrak{X}$ -band which is locally representable by a scheme of s.s.s.c groups,  $(|Z(\mathcal{L})|, p) = 1$ .*

*Proof of theor.3.1.* We will continue on the lines of the proof of the **theor.** 2.1.

Consider the following diagram ( $D_{3.1}$ ) that extends diagram ( $D_{2.1}$ ) in the proof of the theor. 2.1.

(D<sub>3.1</sub>)

$$\begin{array}{ccccc}
 H^1(\mathfrak{X}, \tilde{T}_{ad}) & \longrightarrow & H^2(\mathfrak{X}, Z(\tilde{G})) & \longrightarrow & H^2(\mathfrak{X}, \tilde{T}) = \text{Br}(\mathfrak{X}') \\
 \downarrow & & \downarrow & & \downarrow & \downarrow \\
 H^1(X, \tilde{T}_{ad}) & \xrightarrow{\delta_1} & H^2(X, Z(\tilde{G})) & \longrightarrow & H^2(X, \tilde{T}) = \text{Br}(X') \\
 \downarrow & & \downarrow & & \downarrow & \\
 H^1(X, \tilde{T}_{ad})/H^1(\mathfrak{X}, \tilde{T}_{ad}) & \xrightarrow{\bar{\delta}_1} & H^2(X, Z(\tilde{G}))/H^2(\mathfrak{X}, Z(\tilde{G})) & \longrightarrow & H^2(X, \tilde{T})/H^2(\mathfrak{X}, \tilde{T}) \\
 & & \downarrow & & \downarrow \\
 \prod_{P \in X} H^1(k_P, \tilde{T}_{ad}) & \longrightarrow & \prod_{P \in X} H^2(k_P, Z(\tilde{G})) & \longrightarrow & \prod_{P \in X} H^2(k_P, \tilde{T}) = \prod_{P' \in X'} \text{Br}(k_{P'}) \\
 \parallel & & & & \swarrow \text{modulo } p\text{-primary torsion} \\
 0 & & & & 
 \end{array}$$

where  $X'/k$  is defined by  $\tilde{T} = \prod_{X'/X} G_{m'_X}$ , as in the proof of the theor. 2.1 and  $\mathfrak{X}'$  is an  $\mathcal{O}_k$ -model of  $X'$ . □

From the diagram (D<sub>3.1</sub>), we deduce the following diagram (D<sub>3.2</sub>) corresponding with (D<sub>2.2</sub>):

(D<sub>3.2</sub>)

$$\begin{array}{ccc}
 & \text{modulo } p\text{-primary torsion} & \\
 & \downarrow & \\
 H^2(X, \tilde{G})/H^2(\mathfrak{X}, \tilde{G})/Im.\bar{\delta}_1 & \hookrightarrow & \prod_{P \in X} H^2(k_P, \tilde{G}) \\
 \uparrow \circ & & \uparrow \circ \\
 H^2(X, Z(\tilde{G}))/H^2(\mathfrak{X}, Z(\tilde{G}))/Im.\bar{\delta}_1 & \xrightarrow{\text{modulo } p\text{-primary torsion}} & \prod_{P \in X} H^2(k_P, Z(\tilde{G}))
 \end{array}$$

We conclude as in **theor.2.1**, but modulo the  $p$ -torsion: each class of  $H^2(X, \tilde{G})/H^2(\mathfrak{X}, \tilde{G})/Im.\bar{\delta}_1$  is in relation mod.  $p$ -torsion with the class 0 of  $H^2(X, Z(\tilde{G}))/H^2(\mathfrak{X}, Z(\tilde{G}))/Im.\bar{\delta}_1$  and each class of

$H^2(X, \tilde{G})/H^2(\mathfrak{X}, \tilde{G})/Im.\bar{\delta}_1$  which is in relation by  $\dashrightarrow$  with a class of  $Im.\bar{\delta}_1$  is also neutral.

- Remarks.** a) If  $X$  is a projective, smooth, geometrically irreducible curve over a  $p$ -adic field  $k$ ,  $Br(\mathfrak{X}) = Br(\mathfrak{X}') = 0$ : the cohomology of  $\mathfrak{X}$  comes from the cohomology of the special fiber by "proper base change" theorem and each class of  $H^2(\mathfrak{X}, \mathcal{L})$  is neutral (cf. **Theor. 1.3** of [3'']). By Lichtenbaum's theorem,  $Br(X') \hookrightarrow \prod_{P' \in X'} Br(k'_P)$  and the restriction "mod.  $p$ -torsion" is no more necessary.
- b)  $Br(\mathfrak{X})$  is the kernel of the pairing (3.1) and  $H^2(\mathfrak{X}, \tilde{G})$  (resp.  $H^2(\mathfrak{X}, \mathcal{L})$ ) is the kernel of the application

$$H^2(X, \tilde{G}) \times CH_0(X) \longrightarrow H^2(k, \tilde{G})$$

(resp.  $H^2(X, \mathcal{L}) \times CH_0(X) \longrightarrow H^2(k, \mathcal{L})$ ) in the non abelian sense, i.e  $H^2(\mathfrak{X}, \tilde{G})$  (resp.  $H^2(\mathfrak{X}, \mathcal{L})$ ) is sent to the unit class of  $H^2(k, \tilde{G})$  pointed by  $Tors \tilde{G}$  (resp. of  $H^2(k, \mathcal{L})$  pointed by  $Tors \tilde{G}_{\mathcal{L}}$  where  $\tilde{G}_{\mathcal{L}}$  represents  $\mathcal{L}$ ).

#### 4. Application

From **Theorem 1.1** and **Theorem 2.1**, we obtain the following diagram ( $D_{4.1}$ ) where  $X$  is a proper, smooth, geometrically connected curve over a  $p$ -adic field  $k$ , and where  $G$  is a semi-simple group and  $\tilde{G}$  its universal covering,  $\mu : \tilde{G} \longrightarrow G$ :



Here  $Ger(\mathcal{G})$  is the sheaf of maximal subgerbs of the direct image of  $\mathcal{G}$  by  $X \rightarrow Spec(k)$  (cf. [4], p.131 and p.327, Ex 3.1.9.2).

$E$  is calculated by the following diagram  $(D_{4.2})$

$$\begin{array}{ccccccc}
 & 0 & & 0 & & 0 & \\
 & \downarrow & & \downarrow & & \downarrow & \\
 H^2(k, \mu) & \longrightarrow & H^2(X, \mu)^{tr} & \longrightarrow & H^1(k, H^1(\bar{X}, \bar{\mu})) & \longrightarrow & 0 \\
 & \parallel & & \downarrow & & \downarrow & \\
 H^2(k, \mu) & \longrightarrow & H^2(X, \mu) & \longrightarrow & E & \longrightarrow & 0 \\
 & \downarrow & & \downarrow & & \downarrow & \\
 0 & \longrightarrow & H^0(k, H^2(\bar{X}, \bar{\mu})) & = & H^0(k, H^2(\bar{X}, \bar{\mu})) & \longrightarrow & 0 \\
 & \downarrow & & \downarrow & & \downarrow & \\
 H^2(k, \tilde{G}) & \longrightarrow & H^2(X, \tilde{G}) & \longrightarrow & H^1(k, H^1(\bar{X}, \tilde{G})) & = & ? \\
 & \parallel^{[3]} & & \downarrow & & \downarrow & \\
 * & & \parallel & & H^2(X, \tilde{G})^{tr} & \longrightarrow & H^0(k, H^2(\bar{X}, \tilde{G})) = * \\
 & & \parallel & & \downarrow & & \\
 & & * & & & & 
 \end{array}$$

(D<sub>4.2</sub>)

**Note :**  $H^2(X, \cdot)^{tr} := \text{Ker} \left\{ H^2(X, \cdot) \rightarrow H^0(k, H^2(\bar{X}, \cdot)) \right\}$  (cf. chap V, n° 3.1.9.3 de [4]).

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