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Lower bounds of discrete moments of the derivatives of the Riemann zeta-function on the critical line

par THOMAS CHRIST et JUSTAS KALPOKAS

RÉSUMÉ. Nous établissons des bornes inférieures incondi-
tionnelles pour certains moments discrets de la fonction zêta de Rie-
mann et de ses dérivées dans la bande critique. Nous utilisons ces
moments discrets pour donner des bornes inférieures incondi-
tionnelles pour les moments continus $I_{k,l}(T) = \int_0^T |\zeta^{(l)}(\frac{1}{2} + it)|^{2k} dt$,
où l est un entier positif et $k \geq 1$ un nombre rationnel. En parti-
culier, ces bornes inférieures sont de l'ordre de grandeur attendu
pour $I_{k,l}(T)$.

ABSTRACT. We establish unconditional lower bounds for certain
discrete moments of the Riemann zeta-function and its deriva-
tives on the critical line. We use these discrete moments to give
unconditional lower bounds for the continuous moments $I_{k,l}(T) =$
 $\int_0^T |\zeta^{(l)}(\frac{1}{2} + it)|^{2k} dt$, where l is a non-negative integer and $k \geq 1$
a rational number. In particular, these lower bounds are of the
expected order of magnitude for $I_{k,l}(T)$.

1. Introduction and statement of the main results

In this paper, we investigate the value-distribution of the Riemann zeta-
function $\zeta(s)$ on the critical line $s = \frac{1}{2} + i\mathbb{R}$. Recall the functional equation
of the zeta-function,

$$(1.1) \quad \zeta(s) = \Delta(s)\zeta(1-s), \quad \text{where} \quad \Delta(s) := 2^s \pi^{s-1} \Gamma(1-s) \sin\left(\frac{\pi s}{2}\right).$$

It follows immediately that $\Delta(s)\Delta(1-s) = 1$; hence $\Delta(\frac{1}{2} + it)$ lies on the
unit circle for real t . For a given angle $\phi \in [0, \pi)$, we denote by $t_n(\phi)$, $n \in \mathbb{N}$,
the positive roots of the equation

$$e^{2i\phi} = \Delta\left(\frac{1}{2} + it\right)$$

in ascending order. These roots correspond to intersections of the curve
 $t \mapsto \zeta(\frac{1}{2} + it)$ with straight lines $e^{i\phi}\mathbb{R}$ through the origin (see Kalpokas
and Steuding [10] for more details). In particular, the points $t_n(0)$ that

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are obtained by the special choice of $\phi = 0$ correspond to intersections of $t \mapsto \zeta(\frac{1}{2} + it)$ with the real axis and are called Gram points (named after Gram [5] who observed that the first of these points separate ordinates of consecutive zeros on the critical line).

For fixed $\phi \in [0, \pi)$, the number $N_\phi(T)$ of points $t_n(\phi)$ which lie in the interval $(0, T]$ is asymptotically given by

$$N_\phi(T) = \frac{T}{2\pi e} \log \frac{T}{2\pi e} + O(\log T).$$

For a proof we refer to Kalpokas and Steuding [10].

In the following, we investigate the growth behaviour of discrete moments

$$S_{k,l}(T, \phi) := \sum_{0 < t_n(\phi) \leq T} \left| \zeta^{(l)}\left(\frac{1}{2} + it_n(\phi)\right) \right|^{2k},$$

of the zeta-function $\zeta(s)$, resp. its derivatives $\zeta^{(l)}(s)$, on the critical line, as $T \rightarrow \infty$. Building on methods developed by Rudnick and Soundararajan [17], resp. Milinovich and Ng [12], we shall establish an unconditional lower bound for these discrete moments.

Theorem 1.1. *For any rational $k \geq 1$ and any non-negative integer l , uniformly for $\phi \in [0, \pi)$, as $T \rightarrow \infty$,*

$$S_{k,l}(T, \phi) \gg_{l,k} T(\log T)^{k^2+2kl+1}.$$

Theorem 1.1 generalizes a result of Kalpokas, Korolev and Steuding [11], who obtained the lower bound for $S_{k,l}(T, \phi)$ in the case $l = 0$.

Under the assumption of the Riemann hypothesis, the authors proved in [1] that for non-negative integers k and l , resp. non-negative real k if $l = 0$, uniformly for $\phi \in [0, \pi)$, as $T \rightarrow \infty$,

$$S_{k,l}(T, \phi) \ll_{l,k,\varepsilon} T(\log T)^{k^2+2kl+1+\varepsilon}$$

with any fixed $\varepsilon > 0$. Thus, $T(\log T)^{k^2+2kl+1}$ seems to be the true order of magnitude for the moments $S_{k,l}(T, \phi)$ as $T \rightarrow \infty$.

Essentially, the discrete moments $S_{k,l}(T, \phi)$ act, after some suitable normalization, like a Riemann sum approximating the continuous moments

$$I_{k,l}(T) := \int_1^T \left| \zeta^{(l)}\left(\frac{1}{2} + it\right) \right|^{2k} dt.$$

Thus, we can deduce from the estimate for the discrete moments in Theorem 1.1 the following estimate for the continuous ones.

Corollary 1.1. *For any rational $k \geq 1$ and any non-negative integer l , as $T \rightarrow \infty$,*

$$I_{k,l}(T) \gg_{l,k} T(\log T)^{k^2+2kl}.$$

For $I_{1,l}(T)$ with a non-negative integer l and $I_{2,0}$, there are classical asymptotic extensions by Hardy & Littlewood [6] and Ingham [8] which are in agreement with the estimates above. Furthermore, we must note that in the case $l = 0$, the bounds of Corollary 1.1 were proved by Heath-Brown [7] for any positive rational k and under the assumption of the Riemann hypothesis by Ramachandra [16] for any positive real k .

Milinovich [13, Theorem 3.2] showed under the assumption of the Riemann hypothesis that $I_{k,l}(T) \ll_{l,k,\varepsilon} T(\log T)^{k^2+2kl+\varepsilon}$ for non-negative integers l and k and any $\varepsilon > 0$. This and Corollary 1.1 suggest that $T(\log T)^{k^2+2kl}$ is the true order of magnitude for the moments $I_{k,l}(T)$. Especially for $l = 0$, there are many works which give evidence for this conjectured order of magnitude: e.g. Soundararajan [19], Heath-Brown [7] and Radziwill [15].

The paper is organized as follows: In the next section we provide some preliminary results. In Section 3 we prove key Proposition 3.1 which leads to Theorem 1.1. In Section 4 we prove Corollary 1.1. In Section 5 we give an alternative proof for Corollary 1.1 and in Section 6 we close with a remark.

2. Preliminaries

Recall the function $\Delta(s)$ defined by (1.1). By Stirling’s formula, we get

$$(2.1) \quad \Delta(\sigma + it) = \left(\frac{|t|}{2\pi}\right)^{\frac{1}{2}-\sigma-it} \exp(i(t + \frac{\pi}{4})) (1 + O(|t|^{-1})) \quad \text{for } |t| \geq 1$$

uniformly for any σ from a bounded interval. Hence,

$$(2.2) \quad \frac{1}{\Delta(s) - e^{2i\phi}} = \frac{-e^{-2i\phi}}{1 - e^{-2i\phi}\Delta(s)} = -e^{-2i\phi} \left(1 + \sum_{k=1}^{\infty} e^{-2ki\phi} \Delta(s)^k\right)$$

holds for $\sigma > \frac{1}{2}$. Obviously, $\Delta(\frac{1}{2} + it)$ is a complex number on the unit circle for $t \in \mathbb{R}$. Moreover, note that, for t large enough, $\Delta(\sigma + it)$ lies on the unit circle only if $\sigma = \frac{1}{2}$ (see Spira [18] and Dixon & Schoenfeld [2]).

Furthermore, $\Delta'(\frac{1}{2} + it)$ is non-vanishing for sufficiently large t as follows from the asymptotic formula

$$(2.3) \quad \frac{\Delta'}{\Delta}(\sigma + it) = -\log \frac{|t|}{2\pi} + O(|t|^{-1}),$$

which holds for $|t| \geq 1$ uniformly for any σ from a bounded interval.

By (1.1), we can write

$$(2.4) \quad \Delta(\frac{1}{2} + it) = e^{-2i\theta(t)},$$

where

$$(2.5) \quad \theta(t) = \text{Im} \log \left(\Gamma \left(\frac{1}{4} + i \frac{t}{2} \right) \right) - \frac{t}{2} \log \pi,$$

is the Riemann-Siegel theta function (see Edwards [3, page 119]) which is asymptotically given by

$$(2.6) \quad \theta(t) \sim \frac{t}{2} \log \frac{t}{2\pi e} - \frac{\pi}{8} + \frac{1}{48t} + \frac{7}{5760t^3} + \dots \quad \text{for } t \geq 1.$$

The function $\theta(t)$ is differentiable and according to (2.6)

$$(2.7) \quad \theta'(t) = \frac{1}{2} \log \frac{t}{2\pi e} + \frac{1}{2} + O(t^{-2})$$

holds for $t \geq 1$. Hence,

$$\frac{1}{2} \log \frac{t}{2\pi e} < \theta'(t) < \frac{1}{2} \log \frac{t}{2\pi e} + 1$$

for t sufficiently large. This implies that $\theta(t)$ is monotonically increasing for t large enough.

Due to (2.4), the solutions of $\Delta(\frac{1}{2} + it) - e^{i\phi} = 0$ correspond to the solutions of

$$\theta(t) \equiv \phi \pmod{\pi}.$$

Next, we introduce certain Dirichlet polynomials

$$(2.8) \quad X(s) = \sum_{n \leq X} \frac{x_n}{n^s}, \quad Y(s) = \sum_{m \leq Y} \frac{y_m}{m^s},$$

where $X, Y \leq T$. Moreover, we define the following quantities

$$\mathcal{X}_0 = \max_{n \leq X} |x_n|, \quad \mathcal{Y}_0 = \max_{m \leq Y} |y_m|, \quad \mathcal{X}_1 = \sum_{n \leq X} \frac{|x_n|}{n}, \quad \mathcal{Y}_1 = \sum_{m \leq Y} \frac{|y_m|}{m}$$

and set

$$X_1(s) = \sum_{n \leq X} \frac{\overline{x_n}}{n^s}, \quad Y_1(s) = \sum_{m \leq Y} \frac{\overline{y_m}}{m^s}.$$

We shall use a variation of Lemma 5.1 from Ng [14]. For a proof we refer to Kalpokas, Korolev and Steuding [11, Lemma 5].

Lemma 2.1. *Suppose the series $f(s) = \sum_{n=1}^{\infty} \alpha_n n^{-s}$ converges absolutely for $\text{Re } s > 1$ and $\sum_{n=1}^{\infty} |\alpha_n| n^{-\sigma} \ll (\sigma - 1)^{-\gamma}$ for some $\gamma \geq 0$ as $\sigma \rightarrow 1 + 0$. Next, let $X(s)$ and $Y(s)$ be Dirichlet polynomials as defined in (2.8). Then, uniformly for $a \in (1, 2]$ we have*

$$\begin{aligned} J &= \frac{1}{2\pi i} \int_{a+i}^{a+iT} f(s) X(s) Y(1-s) \frac{\Delta'(s)}{\Delta(s)} ds \\ &= -\frac{T}{2\pi} \left(\log \frac{T}{2\pi e} \right) \sum_{\substack{m \leq X \\ mn \leq Y}} \frac{\alpha_n x_m y_{mn}}{mn} + O \left(\frac{Y^a (\log T)^2 \mathcal{X}_0 \mathcal{Y}_0}{(a-1)^{\gamma+1}} \right), \end{aligned}$$

where the implicit constant is absolute.

We proceed with a modified version of Lemma 6 of Gonek [4].

Lemma 2.2. *Let l be a non-negative integer and $|t| \geq 1$. Then, uniformly for σ from a bounded interval,*

$$\zeta^{(l)}(1-s) = (-1)^l \sum_{k=0}^l \binom{l}{k} \zeta^{(k)}(s) \Delta(1-s) \left(\log \frac{t}{2\pi}\right)^{l-k} + O(t^{\frac{\sigma}{2}-1+\epsilon}).$$

Proof. First, we note that the estimates

$$(2.9) \quad \zeta^{(l)}(\sigma + it) \ll \begin{cases} |t|^{\frac{1}{2}-\sigma+\epsilon}, & \text{if } \sigma \leq 0, \\ |t|^{\frac{1}{2}(1-\sigma)+\epsilon}, & \text{if } 0 < \sigma \leq 1, \\ |t|^\epsilon, & \text{if } \sigma > 1, \end{cases}$$

hold for any $\epsilon > 0$ as $t \rightarrow \infty$. The estimates for the case $l = 0$ can be found in Titchmarsh [21, Chapter V]. The estimates for $l \in \mathbb{N}$ can be deduced from the case $l = 0$ by applying Cauchy’s integral formula for derivatives of analytic functions to the zeta-function in a small disc centered at $s = \sigma + it$.

Next, taking the l -th derivative of both sides of the functional equation (1.1), we get according to Leibniz’s rule

$$(2.10) \quad \zeta^{(l)}(1-s) = \sum_{k=0}^l \binom{l}{k} (-1)^k \zeta^{(k)}(s) \Delta^{(l-k)}(1-s).$$

Initially, we will show by induction that for every non-negative integer ν

$$(2.11) \quad \Delta^{(\nu)}(1-s) = \Delta(1-s) \left(-\log \frac{t}{2\pi}\right)^\nu + O(t^{\sigma-\frac{3}{2}}(\log t)^{\nu-1})$$

holds uniformly for σ from a bounded interval: The case $\nu = 0$ is obviously true. Now, suppose that the assertion (2.11) is proved for $\nu = 0, \dots, \mu - 1$. Differentiating the identity

$$\Delta'(1-s) = \Delta(1-s) \frac{\Delta'}{\Delta}(1-s)$$

yields that

$$\Delta^{(\mu)}(1-s) = \sum_{\nu=0}^{\mu-1} \binom{\mu-1}{\nu} \Delta^{(\nu)}(1-s) \left(\frac{\Delta'}{\Delta}\right)^{(\mu-\nu-1)}(1-s).$$

By (2.3) and Cauchy’s estimate for the derivatives of analytic functions applied to a small square centered at $1-s$, we find that

$$\left(\frac{\Delta'}{\Delta}\right)^{(\nu)}(1-s) \ll |t|^{-1}, \quad \text{for } \nu \geq 1.$$

By the estimate above, (2.1) and (2.3), we can conclude that the assertion (2.11) holds for $\nu = \mu$; and thus, inductively, for all non-negative integers ν . The assertion of the Lemma follows now immediately by combining (2.9), (2.10) and (2.11). □

In the next four Lemmas, we will gather several properties of the generalized κ -th divisor function (see Heath-Brown [7, Section 2]): Let κ be a positive real number. The generalized κ -th divisor function $d_\kappa : \mathbb{N} \rightarrow \mathbb{R}$ is defined by the coefficients $d_\kappa(n)$ of

$$\zeta(s)^\kappa = \sum_{n=1}^{\infty} d_\kappa(n)n^{-s}, \quad \sigma > 1.$$

The function $d_\kappa(n)$ is multiplicative and on prime powers given by

$$d_\kappa(p^j) = \frac{\Gamma(\kappa + j)}{\Gamma(\kappa)j!}.$$

If κ is a positive integer the definition above coincides with the definition of the divisor function

$$d_\kappa(n) = \sum_{\substack{n_1, \dots, n_\kappa \in \mathbb{N} \\ n_1 \cdots n_\kappa = n}} 1.$$

The generalized κ -th divisor function satisfies the following properties:

Lemma 2.3. *Let κ be a positive real number and n a positive integer.*

- (1) *For $\kappa \geq 0$ and $n \geq 1$, we have $d_\kappa(n) \geq 0$.*
- (2) *For fixed n , $d_\kappa(n)$ increases with respect to κ .*
- (3) *For fixed $\kappa \geq 0$ and $\epsilon > 0$, we have $d_\kappa(n) \ll n^\epsilon$.*
- (4) *If j is an integer, then*

$$d_{\kappa j}(n) = \sum_{n=n_1 n_2 \dots n_j} d_\kappa(n_1) d_\kappa(n_2) \dots d_\kappa(n_j).$$

For a proof, we refer to Heath-Brown [7, Lemma 1].

Lemma 2.4. *Let λ, μ be fixed positive real numbers. Then,*

$$\sum_{n \leq x} d_\lambda(n) d_\mu(n) \asymp_{\lambda, \mu} x (\log x)^{\lambda\mu - 1}$$

and, thus,

$$\sum_{n \leq x} d_\lambda(n) d_\mu(n) n^{-1} \asymp_{\lambda, \mu} (\log x)^{\lambda\mu}.$$

The first assertion of Lemma 2.4 can be established by the Selberg-Delange method (see Tenenbaum [20, Chapter II.5]) based on Perron's formula and contour integration. The second assertion then follows by Abel's summation.

Let $\varphi(m)$ denote Euler's totient function that is defined by

$$\varphi(m) = \sum_{\substack{n \leq m \\ (n, m) = 1}} 1.$$

Then, we have the following.

Lemma 2.5. *Let λ, μ be fixed positive real numbers. Then,*

$$\sum_{m \leq x} d_\lambda(m) d_\mu(m) \left(\frac{\varphi(m)}{m} \right)^\mu \asymp_{\lambda, \mu} x (\log x)^{\lambda\mu - 1}$$

and, thus,

$$\sum_{m \leq x} d_\lambda(m) d_\mu(m) \left(\frac{\varphi(m)}{m} \right)^\mu m^{-1} \asymp_{\lambda, \mu} (\log x)^{\lambda\mu}.$$

As in Lemma 2.4, the first assertion of Lemma 2.5 can be established by the Selberg-Delange method (see Tenenbaum [20, Chapter II.5]) based on Perron’s formula and contour integration. The second assertion then follows by Abel’s summation.

Lemma 2.6. *For any rational $k = \frac{p}{q} \geq 0$, $m \leq x^{\frac{1}{2p}}$ and x sufficiently large, we have*

$$\sum_{\substack{n \leq x \\ (m, n) = 1}} \frac{d_k(n)}{n} \geq \left(\frac{1}{p} \frac{\phi(m)}{m} \log x \right)^k.$$

Proof. Let $k = \frac{p}{q}$ be a non-negative rational number. We consider the sum

$$W := \sum_{\substack{n \leq \xi \\ (m, n) = 1}} \frac{d_{\frac{1}{q}}(n)}{n}.$$

Taking the q -th power, we get

$$W^q = \sum_{\substack{n \leq \xi^q \\ (m, n) = 1}} \frac{d_1(n, \xi)}{n},$$

where the coefficients $d_1(n, \xi)$ are given by

$$d_1(n, \xi) = \sum_{\substack{n_1 n_2 \cdots n_q = n \\ n_1, n_2, \dots, n_q \leq \xi}} d_{\frac{1}{q}}(n_1) d_{\frac{1}{q}}(n_2) \cdots d_{\frac{1}{q}}(n_q).$$

As q is an integer, we have, according to property (4) of Lemma 2.3,

$$\sum_{n_1 n_2 \cdots n_q = n} d_{\frac{1}{q}}(n_1) d_{\frac{1}{q}}(n_2) \cdots d_{\frac{1}{q}}(n_q) = d_{\frac{1}{j}, j}(n) = d_1(n) \equiv 1$$

for all positive integers n . Hence, we can deduce that

$$d_1(n, \xi) = d_1(n) = 1 \quad \text{if } n \leq \xi$$

and

$$d_1(n, \xi) \leq d_1(n) = 1 \quad \text{if } n > \xi.$$

Thus, we get

$$\sum_{\substack{n \leq \xi \\ (m,n)=1}} \frac{1}{n} \leq W^q \leq \sum_{\substack{n \leq \xi^q \\ (m,n)=1}} \frac{1}{n} \leq 2q \frac{\phi(m)}{m} \log \xi.$$

Using the inequality

$$\frac{\phi(m)}{m} \log \xi \leq \sum_{\substack{n \leq \xi \\ (m,n)=1}} \frac{1}{n} \leq 2 \frac{\phi(m)}{m} \log \xi,$$

which is valid for $m \leq \xi^{\frac{1}{2}}$ and ξ sufficiently large and can be established by standard techniques, we get

$$\frac{\phi(m)}{m} \log \xi \leq W^q \leq 2q \frac{\phi(m)}{m} \log \xi$$

for $m \leq \xi^{\frac{1}{2}}$. Therefore,

$$(2.12) \quad \left(\frac{\phi(m)}{m} \log \xi \right)^{\frac{1}{q}} \leq W \leq \left(2q \frac{\phi(m)}{m} \log \xi \right)^{\frac{1}{q}}$$

for $m \leq \xi^{\frac{1}{2}}$. Taking the p -th power of W yields that

$$W^p = \sum_{\substack{n \leq \xi^p \\ (m,n)=1}} \frac{d_{\frac{p}{q}}(n, \xi)}{n}$$

with coefficients

$$d_{\frac{p}{q}}(n, \xi) = \sum_{\substack{n_1 n_2 \cdots n_p = n \\ n_1, n_2, \dots, n_p \leq \xi}} d_{\frac{1}{q}}(n_1) d_{\frac{1}{q}}(n_2) \cdots d_{\frac{1}{q}}(n_p).$$

By the same reasoning as above, we obtain that

$$\sum_{\substack{n \leq \xi \\ (m,n)=1}} \frac{d_{\frac{p}{q}}(n)}{n} \leq W^p \leq \sum_{\substack{n \leq \xi^p \\ (m,n)=1}} \frac{d_{\frac{p}{q}}(n)}{n}$$

Using the upper bound for W^p from the above inequality and the lower bound for W from (2.12), we get

$$\sum_{\substack{n \leq \xi^p \\ (m,n)=1}} \frac{d_k(n)}{n} = \sum_{\substack{n \leq \xi^p \\ (m,n)=1}} \frac{d_{\frac{p}{q}}(n)}{n} \geq W^p \geq \left(\frac{\phi(m)}{m} \log \xi \right)^{\frac{p}{q}}.$$

for $m \leq \xi^{\frac{1}{2}}$. Setting $x = \xi^p$ yields the assertion of the Lemma for $m \leq x^{\frac{1}{2p}}$ \square

Lemma 2.7. *Let l be a non-negative integer, r and k non-negative rational numbers. Then*

$$\sum_{\substack{m \leq x \\ mn \leq x}} \frac{(\log m)^l d_r(m) d_k(mn)}{mn} \gg_{l,k,r} (\log x)^{l+kr+k}.$$

Proof. Let $k = \frac{p}{q} \geq 0$ be a rational number. We consider the sum

$$W := \sum_{\substack{m \leq x \\ mn \leq x}} \frac{(\log m)^l d_r(m) d_k(mn)}{mn} = \sum_{m \leq x} \frac{(\log m)^l d_r(m)}{m} \sum_{n \leq \frac{x}{m}} \frac{d_k(mn)}{n}.$$

Certainly, the following estimates hold

$$\begin{aligned} W &\geq \sum_{m \leq x} \frac{(\log m)^l d_r(m) d_k(m)}{m} \sum_{\substack{n \leq \frac{x}{m} \\ (m,n)=1}} \frac{d_k(n)}{n} \\ &\geq \sum_{x^{\frac{1}{3p+1}} \leq m \leq x^{\frac{1}{2p+1}}} \frac{(\log m)^l d_r(m) d_k(m)}{m} \sum_{\substack{n \leq x^{\frac{2p}{2p+1}} \\ (m,n)=1}} \frac{d_k(n)}{n}. \end{aligned}$$

Now, Lemma 2.6 yields

$$W \geq (3p + 1)^{-l} \left(p + \frac{1}{2}\right)^{-k} (\log x)^{l+k} \sum_{x^{\frac{1}{3p+1}} \leq m \leq x^{\frac{1}{2p+1}}} \frac{d_r(m) d_k(m)}{m} \left(\frac{\phi(m)}{m}\right)^k.$$

By Lemma 2.5, we get

$$W \gg_{k,l,r} (\log x)^{l+kr+k}$$

and the Lemma is proved. □

3. Proof of Theorem 1.1

In order to prove Theorem 1.1 we consider the discrete moments

$$(3.1) \quad S_1(T, \phi) = \sum_{0 < t_n(\phi) \leq T} \zeta^{(l)}\left(\frac{1}{2} - it_n(\phi)\right) X\left(\frac{1}{2} + it_n(\phi)\right) Y\left(\frac{1}{2} - it_n(\phi)\right)$$

and

$$(3.2) \quad S_2(T, \phi) = \sum_{0 < t_n(\phi) \leq T} \left|X\left(\frac{1}{2} + it_n(\phi)\right)\right|^2.$$

with Dirichlet polynomials $X(s)$ and $Y(s)$ defined in (2.8). Our first aim is to prove the following.

Proposition 3.1. *Let $X(s)$ and $Y(s)$ be Dirichlet polynomials as defined in (2.8). Then uniformly for $\phi \in [0, \pi)$, as $T \rightarrow \infty$,*

$$(3.3) \quad \begin{aligned} S_1(T, \phi) = & e^{-2i\phi} \sum_{k=0}^l (-1)^{l+k} \binom{l}{k} \sum_{\substack{m \leq X \\ mn \leq Y}} \frac{(\log n)^k x_m y_{mn}}{mn} \frac{T}{2\pi} P_{l-k+1} \left(\log \frac{T}{2\pi} \right) \\ & + (-1)^l \frac{T}{2\pi} \left(\log \frac{T}{2\pi e} \right) \sum_{\substack{m \leq Y \\ mn \leq X}} \frac{(\log m)^l y_m x_{mn}}{mn} + O(R_1), \end{aligned}$$

where $P_n(x)$ is a polynomial of degree n and

$$R_1 = (X + Y)(T^{\frac{1}{2}+\epsilon} \mathcal{X}_1 \mathcal{Y}_1 + T^\epsilon \mathcal{X}_0 \mathcal{Y}_0) + X^{\frac{1}{2}} Y^{\frac{1}{2}} T^{\frac{1}{6}+\epsilon} \mathcal{X}_0 \mathcal{Y}_0 + T^\epsilon \mathcal{X}_0 \mathcal{Y}_1;$$

moreover, uniformly for $\phi \in [0, \pi)$ as $T \rightarrow \infty$,

$$(3.4) \quad S_2(T, \phi) = \frac{T}{2\pi} \left(\log \frac{T}{2\pi e} \right) \sum_{n \leq X} \frac{|x_n|^2}{n} + O(R_2),$$

where

$$R_2 = X \sqrt{T} (\log T)^2 \sum_{n \leq X} \frac{|x_n|^2}{n} + X (\log T)^3 \mathcal{X}_0^2.$$

Proof of Proposition 3.1. A proof of statement (3.4) can be found in [11, Proposition 9, equation (10)]. Thus, it only remains to prove (3.3).

We begin with the estimates

$$(3.5) \quad \begin{aligned} |\zeta^{(l)}(\tfrac{1}{2} + it)| & \ll t^{1/6+\epsilon}, \\ |X(\tfrac{1}{2} + it)| & \leq \sum_{n \leq X} \frac{|x_n|}{\sqrt{n}} = \sum_{n \leq X} \sqrt{n} \frac{|x_n|}{n} \leq \sqrt{X} \mathcal{X}_1, \\ |Y(\tfrac{1}{2} + it)| & \leq \sqrt{Y} \mathcal{Y}_1; \end{aligned}$$

the first one follows from a well-known bound for the zeta-function on the critical line (see Titchmarsh [21, Chapter V]) in combination with Cauchy’s integral formula for the derivatives of an analytic function applied to a small disc centered at s , whereas the second and third assertion are straightforward. Hence, in order to prove (3.3), it is sufficient to consider the sum over $c < t_n(\phi) \leq T$, where $c > 32\pi$ is a large absolute constant.

Next, without loss of generality, we can assume that $T = \frac{1}{2}(t_\nu(\phi) + t_{\nu+1}(\phi))$ for some $\nu \in \mathbb{N}$ since, by (3.5), for any $T_0 > T$ with $T_0 - T \ll 1$ we have

$$\sum_{T < t_n(\phi) \leq T_0} \zeta^{(l)}(\tfrac{1}{2} - it_n(\phi)) X(\tfrac{1}{2} + it_n(\phi)) Y(\tfrac{1}{2} - it_n(\phi)) \ll X^{\frac{1}{2}} Y^{\frac{1}{2}} T^{\frac{1}{6}+\epsilon} \mathcal{X}_0 \mathcal{Y}_0.$$

Since the points $s = \frac{1}{2} + it_n(\phi)$ are the roots of the function $\Delta(s) - e^{2i\phi}$, the sum in question can be rewritten as a contour integral:

$$\begin{aligned} & \sum_{c < t_n(\phi) \leq T} \zeta^{(l)}\left(\frac{1}{2} - it_n(\phi)\right) X\left(\frac{1}{2} + it_n(\phi)\right) Y\left(\frac{1}{2} - it_n(\phi)\right) \\ &= \frac{1}{2\pi i} \int_{\square} \zeta^{(l)}(1-s) X(s) Y(1-s) \frac{\Delta'(s)}{\Delta(s) - e^{2i\phi}} ds; \end{aligned}$$

here \square stands for the counterclockwise oriented rectangular contour with vertices $a + ic$, $a + iT$, $1 - a + iT$, $1 - a + ic$, where $a = 1 + (\log T)^{-1}$. Let \mathcal{I}_1 and \mathcal{I}_3 be integrals over right and left sides of contour, and \mathcal{I}_2 and \mathcal{I}_4 be the integrals over the top and bottom edges of the contour. We may assume the constant c so large that the relations

$$|\Delta(a + it)| = \left(\frac{t}{2\pi}\right)^{1/2-a} (1 + O(t^{-1})) \leq 2\left(\frac{t}{2\pi}\right)^{-1/2} < \frac{1}{2}$$

hold for any $t > c$.

Moreover, we observe that for $s = a + it$ we have

$$\begin{aligned} (3.6) \quad & |X(a + it)| \leq \sum_{n \leq X} \frac{|x_n|}{n^a} \leq \mathcal{X}_1, \\ & |Y(1 - a - it)| \leq \sum_{m \leq Y} \frac{m^a |y_m|}{m} \ll Y \mathcal{Y}_1, \\ & \left| \sum_{k=1}^{\infty} e^{-2ik\phi} \Delta(a + it)^k \right| \leq 2\left(\frac{t}{2\pi}\right)^{-1/2} \sum_{k=0}^{\infty} \frac{1}{2^k} \ll t^{-1/2}. \end{aligned}$$

In view of (2.2) and Lemma 2.2 we have

$$\begin{aligned} \mathcal{I}_1 &= e^{-2i\phi} (-1)^{l+1} \sum_{k=0}^l \binom{l}{k} \int_c^T \left(\log \frac{\tau}{2\pi}\right)^{l-k} \\ &\times d\left(\frac{1}{2\pi} \int_{a+ic}^{a+i\tau} \zeta^{(k)}(s) X(s) Y(1-s) \frac{\Delta'(s)}{\Delta(s)} \left(1 + \sum_{k=1}^{\infty} e^{-2ik\phi} \Delta(s)^k\right) ds\right) \\ &+ O(YT^{\frac{1}{2}+\epsilon} \mathcal{X}_1 \mathcal{Y}_1), \end{aligned}$$

where the error term comes from the application of (2.2), (3.6), and the error term of Lemma 2.2, i.e.

$$\begin{aligned} & \frac{1}{2\pi} \int_{a+ic}^{a+iT} O(t^{-\frac{1}{2}+\epsilon}) X(s) Y(1-s) \frac{\Delta'(s)}{\Delta(s)} \left(1 + \sum_{k=1}^{\infty} e^{-2ik\phi} \Delta(s)^k\right) ds \\ & \ll YT^{\frac{1}{2}+\epsilon} \mathcal{X}_1 \mathcal{Y}_1. \end{aligned}$$

In order to evaluate \mathcal{I}_1 , we first consider $j_1 + j_2$ where

$$j_1 = \frac{1}{2\pi i} \int_{a+ic}^{a+i\tau} \zeta^{(k)}(s)X(s)Y(1-s)\frac{\Delta'}{\Delta}(s)ds$$

and

$$j_2 = \frac{1}{2\pi i} \int_{a+ic}^{a+i\tau} \zeta^{(k)}(s)X(s)Y(1-s)\frac{\Delta'}{\Delta}(s) \sum_{k=1}^{\infty} e^{-2ik\phi} \Delta(s)^k ds.$$

By (3.6) we have

$$|j_2| \ll \zeta^{(k)}(a)Y \mathcal{X}_1 \mathcal{Y}_1 \int_c^\tau \frac{\log t dt}{\sqrt{t}} \ll Y\tau^{\frac{1}{2}+\epsilon} \mathcal{X}_1 \mathcal{Y}_1.$$

Applying Lemma 2.1 to j_1 , we get

$$j_1 = (-1)^{k+1} \frac{\tau}{2\pi} \left(\log \frac{\tau}{2\pi e} \right) \sum_{\substack{m \leq X \\ mn \leq Y}} \frac{(\log n)^k x_m y_{mn}}{mn} + O(Y\tau^\epsilon \mathcal{X}_0 \mathcal{Y}_0).$$

Hence,

$$\begin{aligned} \mathcal{I}_1 &= e^{-2i\phi} \sum_{k=0}^l (-1)^{l+k} \binom{l}{k} \sum_{\substack{m \leq X \\ mn \leq Y}} \frac{(\log n)^k x_m y_{mn}}{mn} \frac{T}{2\pi} P_{l-k+1} \left(\log \frac{T}{2\pi} \right) \\ &\quad + O(YT^{\frac{1}{2}+\epsilon} \mathcal{X}_1 \mathcal{Y}_1 + YT^\epsilon \mathcal{X}_0 \mathcal{Y}_0 + T^\epsilon \mathcal{X}_1 \mathcal{Y}_0), \end{aligned}$$

where

$$\frac{T}{2\pi} P_{l-k+1} \left(\log \frac{T}{2\pi} \right) + O(1) = \int_c^T \left(\log \frac{\tau}{2\pi} \right)^{l-k} d \left(\frac{\tau}{2\pi} \left(\log \frac{\tau}{2\pi e} \right) \right)$$

and $P_n(x)$ is a polynomial of degree n . The additional error term for \mathcal{I}_1 comes from the bound

$$\left| e^{-2i\phi} \sum_{k=0}^l (-1)^{l+k} \binom{l}{k} \sum_{\substack{m \leq X \\ mn \leq Y}} \frac{(\log n)^k x_m y_{mn}}{mn} \right| \ll T^\epsilon \mathcal{X}_1 \mathcal{Y}_0.$$

In a similar way we may compute \mathcal{I}_3 . We observe that

$$\mathcal{I}_3 = -\frac{1}{2\pi} \int_c^T \zeta^{(l)}(a-it)X(1-a+it)Y(a-it) \frac{\Delta'(1-a+it)}{\Delta(1-a+it) - e^{2i\phi}} dt.$$

This yields in combination with $\overline{X}(s) = X_1(\overline{s}), \overline{Y}(s) = Y_1(\overline{s})$ (see (2.8))

$$\overline{\mathcal{I}}_3 = -\frac{1}{2\pi i} \int_{a+ic}^{a+iT} \zeta^{(l)}(s)X_1(1-s)Y_1(s) \frac{\Delta'(1-s)}{\Delta(1-s) - e^{-2i\phi}} ds.$$

In view of (2.2) we find that

$$\begin{aligned} \overline{\mathcal{I}}_3 &= -\frac{1}{2\pi i} \int_{a+ic}^{a+iT} \zeta^{(l)}(s) X_1(1-s) Y_1(s) \frac{\Delta'}{\Delta}(s) \left(1 + \sum_{k=1}^{\infty} e^{-2ik\phi} \Delta(s)^k\right) ds \\ &= -(j_3 + j_4), \end{aligned}$$

where

$$j_3 = \frac{1}{2\pi i} \int_{a+ic}^{a+iT} \zeta^{(l)}(s) X_1(1-s) Y_1(s) \frac{\Delta'}{\Delta}(s) ds$$

and

$$j_4 = \frac{1}{2\pi i} \int_{a+ic}^{a+iT} \zeta^{(l)}(s) X_1(1-s) Y_1(s) \frac{\Delta'}{\Delta}(s) \sum_{k=1}^{\infty} e^{-2ik\phi} \Delta(s)^k ds.$$

By (3.6) we get

$$|j_4| \ll XT^{\frac{1}{2}+\epsilon} \mathcal{X}_1 \mathcal{Y}_1.$$

Using Lemma 2.1, we find that

$$\begin{aligned} \overline{\mathcal{I}}_3 &= (-1)^l \frac{T}{2\pi} \left(\log \frac{T}{2\pi e}\right) \sum_{\substack{m \leq Y \\ mn \leq X}} \frac{(\log m)^l \overline{y}_m \overline{x}_{mn}}{mn} \\ &\quad + O(XT^{\frac{1}{2}+\epsilon} \mathcal{X}_1 \mathcal{Y}_1 + XT^\epsilon \mathcal{X}_0 \mathcal{Y}_0). \end{aligned}$$

In order to estimate \mathcal{I}_2 we first note that the following inequalities hold along the line segment of the integration:

$$\begin{aligned} |\zeta^{(l)}(1-s)| &\ll T^{\frac{1}{2}+\epsilon}, \quad |X(s)| \leq \sum_{n \leq X} \frac{|x_n|}{n} n^{1-\sigma} \ll X^{1-\sigma} \mathcal{X}_1, \\ |Y(1-s)| &\leq \sum_{n \leq Y} \frac{|y_n|}{n} n^\sigma \ll Y^\sigma \mathcal{Y}_1, \end{aligned}$$

and, finally,

$$\begin{aligned} |\zeta^{(l)}(1-s) X(s) Y(1-s)| &\ll T^{\frac{1}{2}+\epsilon} \mathcal{X}_1 \mathcal{Y}_1 X \left(\frac{Y}{X}\right)^\sigma \\ &\ll XT^{\frac{1}{2}+\epsilon} \mathcal{X}_1 \mathcal{Y}_1 \left\{ \left(\frac{Y}{X}\right)^{1-a} + \left(\frac{Y}{X}\right)^a \right\} \\ &\ll (X+Y) T^{\frac{1}{2}+\epsilon} \mathcal{X}_1 \mathcal{Y}_1. \end{aligned}$$

Next, by (2.3) we get

$$\frac{\Delta'(s)}{\Delta(s) - e^{2i\phi}} = \frac{\Delta'(s)}{\Delta(s)} \left(1 + \frac{e^{2i\phi}}{\Delta(s) - e^{2i\phi}}\right) \ll (\log T) \left(1 + \frac{1}{|\Delta(s) - e^{2i\phi}|}\right).$$

The second term in the brackets is bounded by an absolute constant. Indeed, in the case $\sigma \geq \frac{1}{2} + \frac{1}{3}(\log \frac{T}{2\pi})^{-1}$ we have by (2.1) for sufficiently large T

$$|\Delta(\sigma + iT)| = \left(\frac{T}{2\pi}\right)^{1/2-\sigma} (1 + O(T^{-1})) \leq e^{-1/3}(1 + O(T^{-1})) < \frac{1}{2},$$

and hence $|\Delta(s) - e^{2i\phi}| \geq 1 - |\Delta(s)| > \frac{1}{2}$. Similarly, in the case $\sigma \leq \frac{1}{2} - \frac{1}{3}(\log \frac{T}{2\pi})^{-1}$ we get for sufficiently large T

$$|\Delta(\sigma + iT)| \geq e^{1/3}(1 + O(T^{-1})) > \frac{4}{3}, \quad |\Delta(s) - e^{2i\phi}| > \frac{4}{3} - 1 = \frac{1}{3}.$$

Finally, let

$$\frac{1}{2} - \frac{1}{3}\left(\log \frac{T}{2\pi}\right)^{-1} < \sigma < \frac{1}{2} + \frac{1}{3}\left(\log \frac{T}{2\pi}\right)^{-1}.$$

Then, using the relations

$$\Delta\left(\frac{1}{2} + iT\right) = e^{-2i\vartheta(T)}, \quad \Delta(\sigma + iT) = \tau e^{-2i\vartheta(T)}(1 + O(T^{-1})),$$

where $\tau = (T/(2\pi))^{1/2-\sigma}$ and $\vartheta = \vartheta(T)$ denotes the increment of any fixed continuous branch of the argument of $\pi^{-s/2}\Gamma(s/2)$ along the line segment with end-points $s = \frac{1}{2}$ and $s = \frac{1}{2} + iT$, we have $e^{-1/3} \leq \tau \leq e^{1/3}$ and

$$\begin{aligned} \Delta(\sigma + iT) - e^{2i\phi} &= (\Delta(\sigma + iT) - \Delta\left(\frac{1}{2} + iT\right)) + (\Delta\left(\frac{1}{2} + iT\right) - e^{2i\phi}) \\ &= (\tau - 1)e^{-2i\vartheta} - 2ie^{i(\phi-\vartheta)} \sin(\phi + \vartheta) + O(T^{-1}) \\ &= e^{-i\vartheta}((\tau - 1)\cos \vartheta + 2\sin(\vartheta + \phi)\sin \phi - \\ &\quad - i((\tau - 1)\sin \vartheta + 2\sin(\vartheta + \phi)\cos \phi)) + O(T^{-1}). \end{aligned}$$

Thus, we obtain that

$$\begin{aligned} |\Delta(\sigma + iT) - e^{2i\phi}|^2 &= (\tau - 1)^2 + 4\tau \sin^2(\vartheta + \phi) + O(T^{-1}) \\ &\geq 4\tau \sin^2(\vartheta + \phi) + O(T^{-1}). \end{aligned}$$

Using the fact that $T = \frac{1}{2}(t_\nu(\phi) + t_{\nu+1}(\phi))$ for some ν , we finally get

$$\sin^2(\vartheta + \phi) = \sin^2\left(\pi\nu + \frac{\pi}{2} + O(T^{-1})\right) \geq \sin^2 \frac{\pi}{3} = \frac{3}{4}$$

for sufficiently large T , and hence,

$$|\Delta(\sigma + iT) - e^{2i\phi}|^2 \geq 4 \cdot \frac{3}{4}e^{-1/3} + O(T^{-1}) > 2.$$

Thus, $|\Delta(s) - e^{2i\phi}| > \frac{1}{3}$ for any s under consideration. Hence

$$\mathcal{I}_2 \ll (X + Y)T^{\frac{1}{2}+\epsilon} \mathcal{X}_1 \mathcal{Y}_1.$$

The integral \mathcal{I}_4 can be estimated in a similar way and, thus, relation (3.3) is proved. □

Now we can proceed to proof Theorem 1.1.

Proof of Theorem 1.1. Suppose that $k = \frac{p}{q}$ is a rational number with $p > q \geq 1$ and $(p, q) = 1$. Let l be a non-negative integer. We set $r := p - q$ and choose $\xi := T^{1/(4p)}$. First, we define fixed coefficients for the Dirichlet polynomials $X(s)$ and $Y(s)$ in (2.8) via

$$X(s) = \left(\sum_{n \leq \xi} \frac{d_{\frac{1}{q}}(n)}{n^s} \right)^p = \sum_{n \leq \xi^p} \frac{d_{\frac{p}{q}}(n; \xi)}{n^s},$$

$$Y(s) = \left(\sum_{n \leq \xi} \frac{d_{\frac{1}{q}}(n)}{n^s} \right)^r = \sum_{n \leq \xi^r} \frac{d_{\frac{r}{q}}(n; \xi)}{n^s},$$

where $d_{\frac{m}{q}}(n; \xi)$ is given by

$$d_{\frac{m}{q}}(n; \xi) = \sum_{\substack{n=n_1 \cdots n_m \\ n_1, \dots, n_m \leq \xi}} d_{\frac{1}{q}}(n_1) \dots d_{\frac{1}{q}}(n_m)$$

for $m = p, r$. From property (5) of Lemma 2.3 we can easily deduce that $d_{\frac{m}{q}}(n; \xi) = d_{\frac{m}{q}}(n)$ for $m \leq \xi$ and $0 \leq d_{\frac{m}{q}}(n, \xi) \leq d_{\frac{m}{q}}(n)$ for $m > \xi$.

Now, let $S_1(T, \varphi)$ and $S_2(T, \varphi)$ be the moments given by (3.1), resp. (3.2), with respect to the above chosen Dirichlet polynomials $X(s)$ and $Y(s)$. Hölder's inequality assures that

$$\begin{aligned} |S_1(T, \phi)| &\leq \left(\sum_{0 < t_n(\phi) \leq T} |\zeta^{(l)}(\frac{1}{2} + it_n(\phi))|^{2k} \right)^{1/(2k)} \times \\ &\times \left(\sum_{0 < t_n(\phi) \leq T} |X(\frac{1}{2} + it_n(\phi))|^{2k/(2k-1)} \cdot |Y(\frac{1}{2} + it_n(\phi))|^{2k/(2k-1)} \right)^{1-1/(2k)} \\ &= \left(\sum_{0 < t_n(\phi) \leq T} |\zeta^{(l)}(\frac{1}{2} + it_n(\phi))|^{2k} \right)^{1/(2k)} (S_2(T, \phi))^{1-1/(2k)}. \end{aligned}$$

Thus, we have

$$\sum_{0 < t_n(\phi) \leq T} |\zeta(\frac{1}{2} + it_n(\phi))|^{2k} \geq \frac{(S_1(T, \phi))^{2k}}{(S_2(T, \phi))^{2k-1}}.$$

We proceed with bounding $S_1(T, \varphi)$ from below: by statement (3.3) in Proposition 3.1, we have

$$S_1(T, \phi) = \sum_{j=0}^l (-1)^{l+j} \binom{l}{j} \frac{T}{2\pi} P_{l-j+1} \left(\log \frac{T}{2\pi} \right) \Sigma_1 \\ + \frac{T}{2\pi} \left(\log \frac{T}{2\pi e} \right) \Sigma_2 + O(R_1).$$

By Lemma 2.4,

$$\Sigma_1 = \sum_{m \leq \xi^p, mn \leq \xi^r} \frac{(\log n)^j d_{\frac{p}{q}}(m; \xi) d_{\frac{r}{q}}(mn; \xi)}{mn} \\ \leq (\log \xi^r)^j \sum_{n \leq \xi^r} \frac{d_{\frac{r}{q}}(n)}{n} \sum_{l|n} d_{\frac{p}{q}}(l) \\ = (\log \xi^r)^j \sum_{n \leq \xi^r} \frac{d_{\frac{r}{q}}(n) d_{\frac{p}{q}+1}(n)}{n} \\ \ll (\log T)^{\left(\frac{p}{q}\right)^2 - 1 + j},$$

and by Lemma 2.7,

$$\Sigma_2 = \sum_{m \leq \xi^r, mn \leq \xi^p} \frac{(\log m)^l d_{\frac{r}{q}}(m; \xi) d_{\frac{p}{q}}(mn; \xi)}{mn} \\ \geq \sum_{m \leq \xi} \frac{(\log m)^l d_{\frac{r}{q}}(m) d_{\frac{p}{q}}(mn)}{mn} \\ \gg (\log \xi)^{\left(\frac{p}{q}\right)^2 + l}.$$

The error term of $S_1(T, \phi)$ is bounded by

$$R_1 \ll (\xi^p + \xi^r) T^{\frac{1}{2} + \epsilon} \sum_{n \leq \xi^p} \frac{d_{\frac{p}{q}}(n; \xi)}{n} \sum_{m \leq \xi^r} \frac{d_{\frac{r}{q}}(m; \xi)}{m} + \xi^p \xi^r T^{\frac{1}{6} + \epsilon} \\ \ll T^{3/4 + \epsilon} \ll T^{4/5}.$$

Thus, we obtain that

$$|S_1(T, \phi)| \gg T(\log T)^{k^2 + l + 1}.$$

Moreover, for $S_2(T, \phi)$ we have by statement (3.4) of Proposition 3.1 and Corollary 2.4 that

$$|S_2(T, \phi)| = \frac{T}{2\pi} \left(\log \frac{T}{2\pi e} \right) \sum_{n \leq \xi^p} \frac{d_{\frac{2}{q}}^2(n; \xi)}{n} + O(\xi^p \sqrt{T} (\log T)^{k^2+1})$$

$$\ll T (\log T)^{k^2+1}.$$

Altogether, we get

$$\sum_{0 < t_n(\phi) \leq T} |\zeta(\frac{1}{2} + it_n(\phi))|^{2k} \geq \frac{(S_1(T, \phi))^{2k}}{(S_2(T, \phi))^{2k-1}} \gg T (\log T)^{k^2+2kl+1}$$

and Theorem 1.1 is proved. □

4. Proof of Corollary 1.1

In order to prove Corollary 1.1 we will use the following proposition which allows us to express the continuous moments $I_{k,l}(T)$ in terms of the discrete moments $S_{k,l}(T, \phi)$.

Proposition 4.1. *Let k be any non-negative real number and l any non-negative integer. Then, for T large enough,*

$$\int_T^{2T} \left| \zeta^{(l)} \left(\frac{1}{2} + it \right) \right|^{2k} \theta'(t) dt = \int_0^\pi \sum_{T \leq t_n(\phi) \leq 2T} \left| \zeta^{(l)} \left(\frac{1}{2} + it_n(\phi) \right) \right|^{2k} d\phi.$$

Proof. We set $g(t) := \left| \zeta^{(l)} \left(\frac{1}{2} + it \right) \right|^{2k}$ and choose a constant $c > 0$ such that the Riemann-Siegel theta function $\theta(t)$ is monotonically increasing for $t > c$. Let $T > c$ and $T_i := t_{M+i}(0)$ with $i = 0, \dots, N$ denote the Gram points that lie in the interval $[T, 2T]$. We define a smooth function $[c/\pi, \infty) \ni x \mapsto t_x$ via

$$\theta(t_x) = \pi \cdot x.$$

Then, $t_{n+\phi/\pi} = t_n(\phi)$ for every positive integer n and every $\phi \in [0, \pi)$. Hence, we get

$$(4.1) \quad \int_{t_n}^{t_{n+1}} g(t) \theta'(t) dt = \int_{t_n}^{t_{n+1}} g(t) d\theta(t) = \int_0^1 g(t_{n+u}) d\theta(t_{n+u})$$

$$= \int_0^1 g(t_{n+u}) d(\pi(n+u)) = \int_0^1 g(t_{n+u}) \pi du$$

$$= \int_0^\pi g(t_{n+\phi/\pi}) \pi d(\phi/\pi) = \int_0^\pi g(t_n(\phi)) d\phi.$$

Therefore,

$$\int_{T_1}^{T_N} g(t) \theta'(t) dt = \int_{T_1}^{T_N} g(t) d\theta(t) = \sum_{M \leq n \leq M+N} \int_{t_n}^{t_{n+1}} g(t) d\theta(t) =$$

$$= \int_0^\pi \left(\sum_{M \leq n \leq M+N} g(t_n(\phi)) \right) d\phi = \int_0^\pi \left(\sum_{T_1 \leq t_n(\phi) \leq T_N} g(t_n(\phi)) \right) d\phi.$$

Noting that the segments $[T, T_1]$ and $[T_N, 2T]$ can be treated in a way analogue to (4.1), the assertion of the Proposition follows. \square

We are now ready to prove Corollary 1.1.

Proof of Corollary 1.1. Using the asymptotic extension (2.7) for $\theta'(t)$, Proposition 4.1 yields for any rational $k \geq 1$ and any non-negative integer l

$$\int_T^{2T} \left| \zeta^{(l)} \left(\frac{1}{2} + it \right) \right|^{2k} dt \asymp \frac{1}{\log T} \int_0^\pi \sum_{T \leq t_n(\phi) \leq 2T} \left| \zeta^{(l)} \left(\frac{1}{2} + it_n(\phi) \right) \right|^{2k} d\phi.$$

Combining this with Theorem 1.1, we get for any rational $k \geq 1$ and any non-negative integer l

$$\begin{aligned} \int_1^T \left| \zeta^{(l)} \left(\frac{1}{2} + it \right) \right|^{2k} dt &\geq \sum_{j=0}^\infty \int_{T/2^{j+1}}^{T/2^j} \left| \zeta^{(l)} \left(\frac{1}{2} + it \right) \right|^{2k} dt \\ &\gg \sum_{j=0}^\infty \frac{1}{\log T} \int_0^\pi \sum_{\frac{T}{2^{j+1}} \leq t_n(\phi) \leq \frac{T}{2^j}} \left| \zeta^{(l)} \left(\frac{1}{2} + it_n(\phi) \right) \right|^{2k} d\phi \\ &\gg T(\log T)^{k^2+2kl}. \end{aligned}$$

Thus, Corollary 1.1 follows. \square

5. Alternative proof of Corollary 1.1

Using a method of Rudnick and Soundararajan [17], the assertion of Corollary 1.1 can be proved in a direct way without relying on the discrete moments $S_{k,l}(T, \phi)$ and Theorem 1.1. We will demonstrate this proof for the case $l = 0$:

By Cauchy’s residue theorem we have

$$\frac{1}{2\pi i} \left(\int_{a+i}^{a+iT} + \int_{a+iT}^{\frac{1}{2}+iT} + \int_{\frac{1}{2}+iT}^{\frac{1}{2}+i} + \int_{\frac{1}{2}+i}^{a+i} \right) \zeta(s)X(s)Y(1-s)ds = 0,$$

where $X(s)$ and $Y(s)$ are defined by (2.8) and $a = 1 + (\log T)^{-1}$. We can conclude that

$$\begin{aligned} &\frac{1}{2\pi i} \int_{\frac{1}{2}+i}^{\frac{1}{2}+iT} \zeta(s)X(s)Y(1-s)ds \\ &= \frac{1}{2\pi i} \left(\int_{a+i}^{a+iT} + \int_{a+iT}^{\frac{1}{2}+iT} + \int_{\frac{1}{2}+i}^{a+i} \right) \zeta(s)X(s)Y(1-s)ds. \end{aligned}$$

The second and the third integral on the right hand side are bounded by $\ll (X + Y)T^{\frac{1}{2}+\epsilon} \mathcal{X}_1 \mathcal{Y}_1$, (see the proof of (3.3)). Thus, we get

$$\begin{aligned} & \int_1^T \zeta\left(\frac{1}{2} + it\right) X\left(\frac{1}{2} + it\right) Y\left(\frac{1}{2} - it\right) dt \\ &= \frac{1}{i} \int_{a+i}^{a+iT} \zeta(s) X(s) Y(1-s) ds + O((X + Y)T^{\frac{1}{2}+\epsilon} \mathcal{X}_1 \mathcal{Y}_1). \end{aligned}$$

The integral on the right hand side can be evaluated as

$$\begin{aligned} & \sum_{n=1}^{\infty} \frac{1}{n^a} \sum_{m \leq X} \frac{x_m}{m^a} \sum_{k \leq Y} \frac{y_k}{k^{1-a}} \frac{1}{2\pi} \int_1^T \left(\frac{k}{mn}\right)^{it} dt \\ &= T \sum_{\substack{m \leq X \\ mn \leq Y}} \frac{x_m y_{mn}}{mn} + O(Y(\log T)^4 \mathcal{X}_0 \mathcal{Y}_0), \end{aligned}$$

where the error term comes from the off-diagonal terms (see the proof of Lemma 5 in Kalpokas, Korolev and Steuding [11]). In a similar way we can show that

$$\int_1^T |X\left(\frac{1}{2} + it\right)|^2 dt = T \sum_{n \leq X} \frac{|x_n|^2}{n} + O(R_2),$$

where R_2 is the same as in (3.4).

Now, we follow the proof of Theorem 1.1, where k, p, q, r, X, Y, ξ are the same. We set

$$\begin{aligned} A(s) &:= \sum_{n \leq \xi} \frac{d_{\frac{1}{q}}(n)}{n^s}, \\ X(s) &:= \left(\sum_{n \leq \xi} \frac{d_{\frac{1}{q}}(n)}{n^s}\right)^r = \sum_{n \leq \xi^r} \frac{d_{\frac{r}{q}}(n; \xi)}{n^s}, \\ Y(s) &:= \left(\sum_{n \leq \xi} \frac{d_{\frac{1}{q}}(n)}{n^s}\right)^p = \sum_{n \leq \xi^p} \frac{d_{\frac{p}{q}}(n; \xi)}{n^s}. \end{aligned}$$

Hence,

$$A(s)^{k-1} = X(s) \quad \text{and} \quad A(s)^k = Y(s).$$

By Hölder’s inequality we get

$$\begin{aligned} & \left| \int_1^T \zeta\left(\frac{1}{2} + it\right) A\left(\frac{1}{2} + it\right)^{k-1} A\left(\frac{1}{2} - it\right)^k dt \right| \\ & \leq \left(\int_1^T |\zeta\left(\frac{1}{2} + it\right)|^{2k} dt \right)^{\frac{1}{2k}} \left(\int_1^T |A\left(\frac{1}{2} + it\right)^{k-1} A\left(\frac{1}{2} - it\right)^k|^{\frac{2k}{2k-1}} dt \right)^{\frac{2k-1}{2k}}. \end{aligned}$$

Thus, we have

$$\int_1^T |\zeta(\frac{1}{2} + it)|^{2k} dt \geq \frac{\left| \int_1^T \zeta(\frac{1}{2} + it) A(\frac{1}{2} + it)^{k-1} A(\frac{1}{2} - it)^k dt \right|^{2k}}{\left(\int_1^T |A(\frac{1}{2} + it)|^{2k} dt \right)^{2k-1}} =: \frac{|S_1|^{2k}}{S_2^{2k-1}}.$$

First, we bound $|S_1|$ from below. We have

$$|S_1| \gg T \sum_{\substack{m \leq X \\ mn \leq Y}} \frac{d_{\frac{r}{q}}(m; \xi) d_{\frac{p}{q}}(mn; \xi)}{mn} \gg T(\log T)^{\left(\frac{p}{q}\right)^2},$$

since the sum in $|S_1|$ is the same as Σ_2 in the proof of Theorem 1.1.

Next, we bound S_2 from above. In the same manner as for $|S_2(T, \phi)|$ in the proof of Theorem 1.1 we obtain that

$$S_2 \ll T(\log T)^{\left(\frac{p}{q}\right)^2}.$$

Altogether it follows that

$$\int_1^T |\zeta(\frac{1}{2} + it)|^{2k} dt \gg T(\log T)^{k^2}$$

holds for any rational number $k \geq 1$.

6. Remark

As a consequence of Proposition 4.1 we have for any non-negative integer l and any non-negative real k

$$\max_{\phi \in [0, \pi)} \sum_{T \leq t_n(\phi) \leq 2T} \left| \zeta^{(l)}\left(\frac{1}{2} + it_n(\phi)\right) \right|^{2k} \gg \log T \int_T^{2T} \left| \zeta^{(l)}\left(\frac{1}{2} + it\right) \right|^{2k} dt.$$

Now, using the unconditional lower bound for $I_{k,0}(T)$ by Heath-Brown [7], resp. the conditional one by Ramachandra [16], we can deduce that

$$\max_{\phi \in [0, \pi)} \sum_{T \leq t_n(\phi) \leq 2T} \left| \zeta\left(\frac{1}{2} + it\right) \right|^{2k} \gg T(\log T)^{k^2+1}.$$

holds for any rational $k \geq 0$, resp. under the assumption of the Riemann hypothesis for any real $k \geq 0$.

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