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# Perturbing Eisenstein polynomials over local fields

par KEVIN KEATING

RÉSUMÉ. Soit  $K$  un corps local de caractéristique résiduelle  $p$  et soit  $L/K$  une extension séparable finie totalement ramifiée. Soit  $\pi_L$  une uniformisante de  $L$ , de polynôme minimal  $f(X)$  sur  $K$ . Supposons que  $\tilde{\pi}_L$  est une autre uniformisante de  $L$  telle que  $\tilde{\pi}_L \equiv \pi_L + r\pi_L^{\ell+1} \pmod{\pi_L^{\ell+2}}$  pour certains  $\ell \geq 1$  et  $r \in \mathcal{O}_K$ . Soit  $\tilde{f}(X)$  le polynôme minimal de  $\tilde{\pi}_L$  sur  $K$ . Dans cet article nous donnons des congruences pour les coefficients de  $\tilde{f}(X)$  en termes de  $\ell$ ,  $r$ , et les coefficients de  $f(X)$ . Ces congruences améliorent le travail de Krasner [8].

ABSTRACT. Let  $K$  be a local field whose residue field has characteristic  $p$  and let  $L/K$  be a finite separable totally ramified extension. Let  $\pi_L$  be a uniformizer for  $L$  and let  $f(X)$  be the minimum polynomial for  $\pi_L$  over  $K$ . Suppose  $\tilde{\pi}_L$  is another uniformizer for  $L$  such that  $\tilde{\pi}_L \equiv \pi_L + r\pi_L^{\ell+1} \pmod{\pi_L^{\ell+2}}$  for some  $\ell \geq 1$  and  $r \in \mathcal{O}_K$ . Let  $\tilde{f}(X)$  be the minimum polynomial for  $\tilde{\pi}_L$  over  $K$ . In this paper we give congruences for the coefficients of  $\tilde{f}(X)$  in terms of  $\ell$ ,  $r$ , and the coefficients of  $f(X)$ . These congruences improve work of Krasner [8].

## 1. Introduction

Let  $K$  be a field which is complete with respect to a discrete valuation  $v_K$ . Let  $\mathcal{O}_K$  be the ring of integers of  $K$  and let  $\mathcal{P}_K$  be the maximal ideal of  $\mathcal{O}_K$ . Assume that the residue field  $\overline{K} = \mathcal{O}_K/\mathcal{P}_K$  of  $K$  is a perfect field of characteristic  $p$ . Let  $K^{sep}$  be a separable closure of  $K$  and let  $L/K$  be a finite totally ramified subextension of  $K^{sep}/K$ . Let  $\pi_L$  be a uniformizer for  $L$  and let

$$f(X) = X^n - c_1X^{n-1} + \cdots + (-1)^{n-1}c_{n-1}X + (-1)^nc_n$$

be the minimum polynomial of  $\pi_L$  over  $K$ . Let  $\ell \geq 1$ , let  $r \in \mathcal{O}_K$ , and let  $\tilde{\pi}_L$  be another uniformizer for  $L$  such that  $\tilde{\pi}_L \equiv \pi_L + r\pi_L^{\ell+1} \pmod{\mathcal{P}_L^{\ell+2}}$ . Let

$$\tilde{f}(X) = X^n - \tilde{c}_1X^{n-1} + \cdots + (-1)^{n-1}\tilde{c}_{n-1}X + (-1)^n\tilde{c}_n$$

be the minimum polynomial of  $\tilde{\pi}_L$  over  $K$ . In this paper we use the techniques developed in [7] to obtain congruences for the coefficients of  $\tilde{f}(X)$  in terms of  $\ell$ ,  $r$ , and the coefficients of  $f(X)$ .

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The Hasse–Herbrand function  $\varphi_{L/K} : [-1, \infty) \rightarrow [-1, \infty)$  of  $L/K$  is defined in Chapter IV of [10] for finite Galois extensions, and in the appendix to [1] for finite separable extensions. Krasner [8, p. 157] showed that for  $1 \leq h \leq n$  we have  $\tilde{c}_h \equiv c_h \pmod{\mathcal{P}_K^{\kappa_h(\ell)}}$ , where  $\kappa_h(\ell) = \lceil \varphi_{L/K}(\ell) + \frac{h}{n} \rceil$ . In Theorem 4.3 we prove that  $\tilde{c}_h \equiv c_h \pmod{\mathcal{P}_K^{\rho_h(\ell)}}$  for certain integers  $\rho_h(\ell)$  such that  $\rho_h(\ell) \geq \kappa_h(\ell)$ . Let  $h$  be the unique integer such that  $1 \leq h \leq n$  and  $n$  divides  $n\varphi_{L/K}(\ell) + h$ . Krasner [8, p. 157] gave a formula for the congruence class modulo  $\mathcal{P}_K^{\kappa_h(\ell)+1}$  of  $\tilde{c}_h - c_h$ . In Theorem 4.5 we give similar formulas for up to  $v_p(n) + 1$  values of  $h$ .

Heiermann [4] gave formulas which are analogous to the results presented here. Let  $S \subset \mathcal{O}_K$  be the set of Teichmüller representatives for  $\overline{K}$ . Let  $\pi_K$  be a uniformizer for  $K$  and let  $\mathcal{F}(X)$  be the unique power series with coefficients in  $S$  such that  $\pi_K = \pi_L^n \mathcal{F}(\pi_L)$ . Suppose  $\tilde{\pi}_L$  is another uniformizer for  $L$  such that  $\tilde{\pi}_L \equiv \pi_L + r\pi_L^{\ell+1} \pmod{\mathcal{P}_L^{\ell+2}}$  for some  $\ell \geq 1$  and  $r \in S$ . Let  $\tilde{\mathcal{F}}$  be the series with coefficients in  $S$  such that  $\pi_K = \tilde{\pi}_L^n \tilde{\mathcal{F}}(\tilde{\pi}_L)$ . Using Theorem 4.6 of [4] one can compute some coefficients of  $\tilde{\mathcal{F}}$  in terms of  $r$  and the coefficients of  $\mathcal{F}$ .

In Section 2 we recall some facts about symmetric polynomials from [7]. The main focus is on expressing monomial symmetric polynomials in terms of elementary symmetric polynomials. In Section 3 we define the indices of inseparability of  $L/K$  and some generalizations of the Hasse–Herbrand function  $\varphi_{L/K}$ . In Section 4 we prove our main results. In Section 5 we give some examples which illustrate how the theorems from Section 4 are applied.

## 2. Symmetric polynomials and cycle digraphs

Let  $n \geq 1$ , let  $w \geq 1$ , and let  $\mu$  be a partition of  $w$ . We view  $\mu$  as a multiset of positive integers such that the sum of the elements of  $\mu$  is equal to  $w$ . The number of parts of  $\mu$  is called the length of  $\mu$ , and is denoted by  $|\mu|$ . For  $\mu$  such that  $|\mu| \leq n$  we let  $m_\mu(X_1, \dots, X_n)$  be the monomial symmetric polynomial in  $n$  variables associated to  $\mu$ ; see [11, Section 7.3] for the definition and general facts about monomial symmetric polynomials. For  $1 \leq h \leq n$  let  $e_h(X_1, \dots, X_n)$  denote the elementary symmetric polynomial of degree  $h$  in  $n$  variables. By the fundamental theorem of symmetric polynomials there is a unique polynomial  $\psi_\mu \in \mathbb{Z}[X_1, \dots, X_n]$  such that  $m_\mu = \psi_\mu(e_1, \dots, e_n)$ . In this section we use a theorem of Kulikauskas and Remmel [9] to compute certain coefficients of  $\psi_\mu$ .

The formula of Kulikauskas and Remmel can be expressed in terms of tilings of a certain type of digraph. We say that a directed graph  $\Gamma$  is a cycle digraph if it is a disjoint union of finitely many directed cycles of length  $\geq 1$ . We denote the vertex set of  $\Gamma$  by  $V(\Gamma)$ , and we define the sign of  $\Gamma$  to

be  $\text{sgn}(\Gamma) = (-1)^{w-c}$ , where  $w = |V(\Gamma)|$  and  $c$  is the number of cycles that make up  $\Gamma$ .

Let  $\Gamma$  be a cycle digraph with  $w \geq 1$  vertices and let  $\lambda$  be a partition of  $w$ . A  $\lambda$ -tiling of  $\Gamma$  is a set  $S$  of subgraphs of  $\Gamma$  such that

- (1) Each  $\gamma \in S$  is a directed path of length  $\geq 0$ .
- (2) The collection  $\{V(\gamma) : \gamma \in S\}$  forms a partition of the set  $V(\Gamma)$ .
- (3) The multiset  $\{|V(\gamma)| : \gamma \in S\}$  is equal to  $\lambda$ .

Let  $\mu$  be another partition of  $w$ . A  $(\lambda, \mu)$ -tiling of  $\Gamma$  is an ordered pair  $(S, T)$ , where  $S$  is a  $\lambda$ -tiling of  $\Gamma$  and  $T$  is a  $\mu$ -tiling of  $\Gamma$ . Let  $\Gamma'$  be another cycle digraph with  $w$  vertices and let  $(S', T')$  be a  $(\lambda, \mu)$ -tiling of  $\Gamma'$ . An isomorphism from  $(\Gamma, S, T)$  to  $(\Gamma', S', T')$  is an isomorphism of digraphs  $\theta : \Gamma \rightarrow \Gamma'$  which carries  $S$  onto  $S'$  and  $T$  onto  $T'$ . Say that the  $(\lambda, \mu)$ -tilings  $(S, T)$  and  $(S', T')$  of  $\Gamma$  are isomorphic if there exists an isomorphism from  $(\Gamma, S, T)$  to  $(\Gamma, S', T')$ . Say that  $(S, T)$  is an admissible  $(\lambda, \mu)$ -tiling of  $\Gamma$  if  $(\Gamma, S, T)$  has no nontrivial automorphisms. Let  $\eta_{\lambda\mu}(\Gamma)$  denote the number of isomorphism classes of admissible  $(\lambda, \mu)$ -tilings of  $\Gamma$ .

Let  $w \geq 1$  and let  $\lambda, \mu$  be partitions of  $w$ . Set

$$(2.1) \quad d_{\lambda\mu} = (-1)^{|\lambda|+|\mu|} \cdot \sum_{\Gamma} \text{sgn}(\Gamma) \eta_{\lambda\mu}(\Gamma),$$

where the sum is over all isomorphism classes of cycle digraphs  $\Gamma$  with  $w$  vertices. Since  $\eta_{\mu\lambda} = \eta_{\lambda\mu}$  we have  $d_{\mu\lambda} = d_{\lambda\mu}$ . In Theorem 1(ii) of [9], Kulikaukas and Rimmel proved the following:

**Theorem 2.1.** *Let  $n \geq 1$ , let  $w \geq 1$ , and let  $\mu$  be a partition of  $w$  whose length is  $\leq n$ . Let  $\psi_{\mu}$  be the unique element of  $\mathbb{Z}[X_1, \dots, X_n]$  such that  $m_{\mu} = \psi_{\mu}(e_1, \dots, e_n)$ . Then*

$$\psi_{\mu}(X_1, \dots, X_n) = \sum_{\lambda} d_{\lambda\mu} \cdot X_{\lambda_1} X_{\lambda_2} \dots X_{\lambda_k},$$

where the sum is over all partitions  $\lambda = \{\lambda_1, \dots, \lambda_k\}$  of  $w$  such that  $1 \leq \lambda_i \leq n$  for  $1 \leq i \leq k$ .

We now recall some formulas from [7] for computing values of  $\eta_{\lambda\mu}(\Gamma)$ .

**Proposition 2.2.** *Let  $a, b, c, d, w$  be positive integers such that  $a \neq c, b \neq d$ , and let  $r, s$  be nonnegative integers. Let  $\Gamma$  be a directed cycle of length  $w$ .*

- (1) *Suppose  $w = ra = sb + d$ . Let  $\lambda$  be the partition of  $w$  consisting of  $r$  copies of  $a$ , and let  $\mu$  be the partition of  $w$  consisting of  $s$  copies of  $b$  and one copy of  $d$ . Then  $\eta_{\lambda\mu}(\Gamma) = a$ .*
- (2) *Suppose  $w = ra + c = sb + d$ . Let  $\lambda$  be the partition of  $w$  consisting of  $r$  copies of  $a$  and one copy of  $c$ , and let  $\mu$  be the partition of  $w$  consisting of  $s$  copies of  $b$  and one copy of  $d$ . Then  $\eta_{\lambda\mu}(\Gamma) = w$ .*

*Proof.* Statement (1) follows from Proposition 2.5 of [7] if  $s = 0$ , and from Proposition 2.3 of [7] if  $s \geq 1$ . Statement (2) follows from Proposition 2.2 of [7]. □

Using these formulas we can compute  $d_{\lambda\mu}$  in some cases.

**Proposition 2.3.** *Let  $a, b, c, d, w$  be positive integers such that  $a \neq c$  and  $b \neq d$ . Let  $r, s$  be nonnegative integers such that  $w = ra + c = sb + d$  and  $a > sb$ . Let  $\lambda$  be the partition of  $w$  consisting of  $r$  copies of  $a$  and 1 copy of  $c$ , and let  $\mu$  be the partition of  $w$  consisting of  $s$  copies of  $b$  and 1 copy of  $d$ . Then*

$$d_{\lambda\mu} = \begin{cases} (-1)^{r+s+w+1}w & \text{if } b \nmid c \text{ or } sb < c, \\ (-1)^{r+s+w+1}(w - ab) & \text{if } b \mid c \text{ and } sb \geq c. \end{cases}$$

*Proof.* Let  $\Gamma$  be a cycle digraph which has an admissible  $(\lambda, \mu)$ -tiling. Suppose  $\Gamma$  consists of a single cycle of length  $w$ . Then by Proposition 2.2(2) we have  $\eta_{\lambda\mu}(\Gamma) = w$ . Suppose  $\Gamma$  has more than one cycle. Since  $\Gamma$  has a  $\mu$ -tiling,  $\Gamma$  has a cycle  $\Gamma_1$  such that  $|V(\Gamma_1)| \leq sb$ . Since  $a > sb$  and  $\Gamma$  has a  $\lambda$ -tiling, it follows that  $|V(\Gamma_1)| = c = mb$  for some  $m$  such that  $1 \leq m \leq s$ . Hence if  $\Gamma$  has more than one cycle we must have  $b \mid c$  and  $c \leq sb$ . Let  $\lambda_1$  be the partition of  $c$  consisting of one copy of  $c$  and let  $\mu_1$  be the partition of  $c$  consisting of  $m$  copies of  $b$ . Then every  $\lambda$ -tiling of  $\Gamma$  restricts to a  $\lambda_1$ -tiling of  $\Gamma_1$ , and every  $\mu$ -tiling of  $\Gamma$  restricts to a  $\mu_1$ -tiling of  $\Gamma_1$ . It follows from Proposition 2.2(1) that  $\eta_{\lambda_1\mu_1}(\Gamma_1) = b$ .

Let  $\Gamma_2$  be another cycle of  $\Gamma$ . Since  $\Gamma$  has a  $\lambda$ -tiling,  $|V(\Gamma_2)| \geq a > sb$ . Hence every  $\mu$ -tiling of  $\Gamma$  restricts to a tiling of  $\Gamma_2$  which includes a path  $\delta$  with  $|V(\delta)| = d$ . Since  $\mu$  has only one part equal to  $d$ , it follows that  $\Gamma = \Gamma_1 \cup \Gamma_2$ . Therefore we have  $|V(\Gamma_2)| = ra = (s - m)b + d$ . Let  $\lambda_2$  be the partition of  $ra$  consisting of  $r$  copies of  $a$  and let  $\mu_2$  be the partition of  $(s - m)b + d = ra$  consisting of  $s - m$  copies of  $b$  and 1 copy of  $d$ . Then every  $\lambda$ -tiling of  $\Gamma$  restricts to a  $\lambda_2$ -tiling of  $\Gamma_2$ , and every  $\mu$ -tiling of  $\Gamma$  restricts to a  $\mu_2$ -tiling of  $\Gamma_2$ . It follows from Proposition 2.2(1) that  $\eta_{\lambda_2\mu_2}(\Gamma_2) = a$ . Hence

$$\eta_{\lambda\mu}(\Gamma) = \eta_{\lambda_1\mu_1}(\Gamma_1) \cdot \eta_{\lambda_2\mu_2}(\Gamma_2) = ba.$$

Suppose  $b \nmid c$  or  $c > sb$ . Then it follows from the above that the only cycle digraph which has a  $(\lambda, \mu)$ -tiling consists of a single cycle of length  $w$ . Hence by (2.1) we get

$$d_{\lambda\mu} = (-1)^{(r+1)+(s+1)} \cdot (-1)^{w-1}w.$$

Suppose  $b \mid c$  and  $sb \geq c$ . Then  $c = mb$  with  $1 \leq m \leq s$ . Hence there are two cycle digraphs which have a  $(\lambda, \mu)$ -tiling: a single cycle of length  $w$ , and the union of two cycles with lengths  $c = mb$  and  $ra = (s - m)b + d$ .

Therefore by (2.1) we get

$$d_{\lambda\mu} = (-1)^{(r+1)+(s+1)}((-1)^{w-1}w + (-1)^{w-2}ab).$$

Hence the formula for  $d_{\lambda\mu}$  given in the theorem holds in both cases. □

We recall some results from [7] regarding the  $p$ -adic properties of the coefficients  $d_{\lambda\mu}$ . Let  $w \geq 1$  and let  $\lambda$  be a partition of  $w$ . For  $k \geq 1$  let  $k * \lambda$  be the partition of  $kw$  which is the multiset sum of  $k$  copies of  $\lambda$ , and let  $k \cdot \lambda$  be the partition of  $kw$  obtained by multiplying the parts of  $\lambda$  by  $k$ .

**Proposition 2.4.** *Let  $t \geq j \geq 0$ , let  $w' \geq 1$ , and set  $w = w'p^t$ . Let  $\lambda'$  be a partition of  $w'$  and set  $\lambda = p^t \cdot \lambda'$ . Let  $\mu$  be a partition of  $w$  such that there does not exist a partition  $\mu'$  with  $\mu = p^{j+1} * \mu'$ . Then  $p^{t-j}$  divides  $d_{\lambda\mu}$ .*

*Proof.* This is proved in Corollary 3.4 of [7]. □

**Proposition 2.5.** *Let  $w' \geq 1$ ,  $j \geq 1$ , and  $t \geq 0$ . Let  $\lambda', \mu'$  be partitions of  $w'$  such that the parts of  $\lambda'$  are all divisible by  $p^t$ . Set  $w = w'p^j$ , so that  $\lambda = p^j \cdot \lambda'$  and  $\mu = p^j * \mu'$  are partitions of  $w$ . Then  $d_{\lambda\mu} \equiv d_{\lambda'\mu'} \pmod{p^{t+1}}$ .*

*Proof.* This is proved in Proposition 3.5 of [7]. □

### 3. Indices of inseparability

Let  $L/K$  be a totally ramified extension of degree  $n = up^\nu$ , with  $p \nmid u$ . Let  $\pi_L$  be a uniformizer for  $L$  whose minimum polynomial over  $K$  is

$$f(X) = X^n - c_1X^{n-1} + \dots + (-1)^{n-1}c_{n-1}X + (-1)^nc_n.$$

For  $k \in \mathbb{Z}$  define  $\bar{v}_p(k) = \min\{v_p(k), \nu\}$ . For  $0 \leq j \leq \nu$  set

$$\begin{aligned} i_j^{\pi_L} &= \min\{nv_K(c_h) - h : 1 \leq h \leq n, \bar{v}_p(h) \leq j\} \\ (3.1) \quad &= \min\{v_L(c_h\pi_L^{n-h}) : 1 \leq h \leq n, \bar{v}_p(h) \leq j\} - n. \end{aligned}$$

Then  $i_j^{\pi_L}$  is either a nonnegative integer or  $\infty$ ; if  $\text{char}(K) = p$  then  $i_j^{\pi_L}$  must be finite, since  $L/K$  is separable. Let  $e_L = v_L(p)$  denote the absolute ramification index of  $L$ . We define the  $j$ th index of inseparability of  $L/K$  to be

$$(3.2) \quad i_j = \min\{i_{j'}^{\pi_L} + (j' - j)e_L : j \leq j' \leq \nu\}.$$

By Proposition 3.12 and Theorem 7.1 of [4],  $i_j$  does not depend on the choice of  $\pi_L$ . Furthermore, our definition of  $i_j$  agrees with Definition 7.3 in [4]; for the characteristic- $p$  case see also [2, p. 232–233] and [3, Section 2]. Write  $i_j = A_jn - b_j$  with  $1 \leq b_j \leq n$ .

**Remark 3.1.** If  $i_j^{\pi_L}$  is finite we can write  $i_j^{\pi_L} = a_jn - b_j$  with  $a_j \geq 1$  (see [7, Section 4]). Thus if  $i_j = i_{j'}^{\pi_L} + (j' - j)e_L$  then  $A_j = a_{j'} + (j' - j)e_K$ .

The following facts are easy consequences of the definitions:

- (1)  $0 = i_\nu < i_{\nu-1} \leq \dots \leq i_1 \leq i_0 < \infty$ .
- (2) If  $\text{char}(K) = p$  then  $i_j = i_j^{\pi_L}$ .
- (3) Let  $m = \bar{v}_p(i_j)$ . If  $m \leq j$  then  $i_j = i_m = i_j^{\pi_L} = i_m^{\pi_L}$ . If  $m > j$  then  $\text{char}(K) = 0$  and  $i_j = i_m^{\pi_L} + (m - j)e_L$ .

Following [4, (4.4)], for  $0 \leq j \leq \nu$  we define functions  $\tilde{\varphi}_j : [0, \infty) \rightarrow [0, \infty)$  by  $\tilde{\varphi}_j(x) = i_j + p^j x$ . The generalized Hasse–Herbrand functions  $\varphi_j : [0, \infty) \rightarrow [0, \infty)$  are then defined by

$$(3.3) \quad \varphi_j(x) = \min\{\tilde{\varphi}_{j_0}(x) : 0 \leq j_0 \leq j\}.$$

It follows that  $\varphi_j(x) \leq \varphi_{j'}(x)$  for  $0 \leq j' \leq j$ . By Corollary 6.11 of [4] we have  $\varphi_\nu(x) = n\varphi_{L/K}(x)$  for all  $x \geq 0$ .

For a partition  $\lambda = \{\lambda_1, \dots, \lambda_k\}$  whose parts satisfy  $1 \leq \lambda_i \leq n$  define  $c_\lambda = c_{\lambda_1} c_{\lambda_2} \dots c_{\lambda_k}$ . The following is proved in Proposition 4.2 of [7].

**Proposition 3.2.** *Let  $w \geq 1$  and let  $\lambda = \{\lambda_1, \dots, \lambda_k\}$  be a partition of  $w$  whose parts satisfy  $1 \leq \lambda_i \leq n$ . Choose  $q$  to minimize  $\bar{v}_p(\lambda_q)$  and set  $t = \bar{v}_p(\lambda_q)$ . Then  $v_L(c_\lambda) \geq i_t^{\pi_L} + w$ . If  $v_L(c_\lambda) = i_t^{\pi_L} + w$  and  $i_t^{\pi_L} < \infty$  then  $\lambda_q = b_t$  and  $\lambda_i = b_\nu = n$  for all  $i \neq q$ .*

#### 4. Perturbing $\pi_L$

In this section we prove our main theorems. We begin by applying the results of Section 2 to the totally ramified extension  $L/K$ . Write  $[L : K] = n = up^\nu$  with  $p \nmid u$ . Let  $\pi_L, \tilde{\pi}_L$  be uniformizers for  $L$ , with minimum polynomials over  $K$  given by

$$\begin{aligned} f(X) &= X^n - c_1 X^{n-1} + \dots + (-1)^{n-1} c_{n-1} X + (-1)^n c_n \\ \tilde{f}(X) &= X^n - \tilde{c}_1 X^{n-1} + \dots + (-1)^{n-1} \tilde{c}_{n-1} X + (-1)^n \tilde{c}_n. \end{aligned}$$

Let  $1 \leq h \leq n$  and set  $j = \bar{v}_p(h)$ . Define a function  $\rho_h : \mathbb{N} \rightarrow \mathbb{N}$  by

$$\rho_h(\ell) = \left\lceil \frac{\varphi_j(\ell) + h}{n} \right\rceil.$$

Let  $\ell \geq 1$ . We say  $\tilde{f} \sim_\ell f$  if  $\tilde{c}_h \equiv c_h \pmod{\mathcal{P}_K^{\rho_h(\ell)}}$  for  $1 \leq h \leq n$ . Thus  $\sim_\ell$  is an equivalence relation on the set of minimum polynomials over  $K$  for uniformizers of  $L$ .

Let  $\sigma_1, \dots, \sigma_n$  be the  $K$ -embeddings of  $L$  into  $K^{sep}$ . For each partition  $\mu$  of length  $\leq n$  define  $M_\mu : L \rightarrow K$  by

$$M_\mu(\alpha) = m_\mu(\sigma_1(\alpha), \dots, \sigma_n(\alpha)).$$

For  $1 \leq h \leq n$  define  $E_h : L \rightarrow K$  by

$$E_h(\alpha) = e_h(\sigma_1(\alpha), \dots, \sigma_n(\alpha)).$$

Then  $c_h = E_h(\pi_L)$  and  $\tilde{c}_h = E_h(\tilde{\pi}_L)$ .

**Proposition 4.1.** *Let  $\varphi(X) = r_1X + r_2X^2 + \dots$  be a power series with coefficients in  $\mathcal{O}_K$  such that  $\tilde{\pi}_L = \varphi(\pi_L)$ . Then for  $1 \leq h \leq n$  we have*

$$E_h(\tilde{\pi}_L) = \sum_{\mu} r_{\mu_1} r_{\mu_2} \dots r_{\mu_h} M_{\mu}(\pi_L),$$

where the sum ranges over all partitions  $\mu = \{\mu_1, \dots, \mu_h\}$  of length  $h$ .

*Proof.* This is a special case of Proposition 4.4 in [7]. □

**Proposition 4.2.** *Let  $n \geq 1$ , let  $w \geq 1$ , and let  $\mu$  be a partition of  $w$  whose length is  $\leq n$ . Then*

$$M_{\mu}(\pi_L) = \sum_{\lambda} d_{\lambda\mu} c_{\lambda},$$

where the sum is over all partitions  $\lambda = \{\lambda_1, \dots, \lambda_k\}$  of  $w$  such that  $1 \leq \lambda_i \leq n$  for  $1 \leq i \leq k$ .

*Proof.* This follows from Theorem 2.1 by setting  $X_i = E_i(\pi_L) = c_i$ . □

Let  $\ell \geq 1$ . Our first main result gives congruences between the coefficients of  $f(X)$  and the coefficients of  $\tilde{f}(X)$  under the assumption  $\tilde{\pi}_L \equiv \pi_L \pmod{\mathcal{P}_L^{\ell+1}}$ .

**Theorem 4.3.** *Let  $\pi_L, \tilde{\pi}_L$  be uniformizers for  $L$  and let  $f(X), \tilde{f}(X)$  be the minimum polynomials for  $\pi_L, \tilde{\pi}_L$  over  $K$ . Suppose there are  $\ell \geq 1$  and  $\sigma \in \text{Aut}_K(L)$  such that  $\sigma(\tilde{\pi}_L) \equiv \pi_L \pmod{\mathcal{P}_L^{\ell+1}}$ . Then  $\tilde{f} \sim_{\ell} f$ .*

*Proof.* We first show that the theorem holds in the case where  $\tilde{\pi}_L = \pi_L + r\pi_L^{\ell+1}$ , with  $r \in \mathcal{O}_K$ . Let  $1 \leq h \leq n$  and set  $j = \bar{v}_p(h)$ . For  $0 \leq s \leq h$  let  $\mu_s$  be the partition of  $hs + h$  consisting of  $h - s$  copies of 1 and  $s$  copies of  $\ell + 1$ . Then by Proposition 4.1 we have

$$(4.1) \quad \tilde{c}_h = E_h(\tilde{\pi}_L) = \sum_{s=0}^h M_{\mu_s}(\pi_L) r^s = c_h + \sum_{s=1}^h M_{\mu_s}(\pi_L) r^s.$$

To prove that  $\tilde{c}_h \equiv c_h \pmod{\mathcal{P}_K^{\rho_h(\ell)}}$  it suffices to show that  $v_K(M_{\mu_s}(\pi_L)) \geq \rho_h(\ell)$  for  $1 \leq s \leq h$ . Therefore by Proposition 4.2 it suffices to show  $v_L(d_{\lambda\mu_s} c_{\lambda}) \geq \varphi_j(\ell) + h$  for all  $1 \leq s \leq h$  and all partitions  $\lambda$  of  $hs + h$  whose parts are at most  $n$ .

Let  $1 \leq s \leq h$  and set  $m = \min\{j, \bar{v}_p(s)\}$ . Then  $m \leq j$  and  $s \geq p^m$ . Let  $\lambda = \{\lambda_1, \dots, \lambda_k\}$  be a partition of  $hs + h$  such that  $1 \leq \lambda_i \leq n$  for  $1 \leq i \leq k$ . Choose  $q$  to minimize  $\bar{v}_p(\lambda_q)$  and set  $t = \bar{v}_p(\lambda_q)$ . By Proposition 3.2 we have  $v_L(c_{\lambda}) \geq i_t^{\pi_L} + ls + h$ . Suppose  $m < t$ . Then  $m < \nu$ , so we have  $p^{m+1} \nmid \gcd(h - s, s)$ . Hence by Proposition 2.4 we get  $v_p(d_{\lambda\mu_s}) \geq t - m$ .



Thus

$$\begin{aligned} v_L(d_{\lambda\mu_s} c_\lambda) &= v_L(d_{\lambda\mu_s}) + v_L(c_\lambda) \\ &\geq (t - m)v_L(p) + i_t^{\pi_L} + \ell s + h \\ &\geq i_m + \ell p^m + h. \end{aligned}$$

Suppose  $m \geq t$ . Then

$$\begin{aligned} v_L(d_{\lambda\mu_s} c_\lambda) &\geq v_L(c_\lambda) \\ &\geq i_t^{\pi_L} + \ell s + h \\ &\geq i_t + \ell p^m + h \\ &\geq i_m + \ell p^m + h. \end{aligned}$$

In both cases we get

$$v_L(d_{\lambda\mu_s} c_\lambda) \geq \tilde{\varphi}_m(\ell) + h \geq \varphi_j(\ell) + h,$$

and hence  $\tilde{c}_h \equiv c_h \pmod{\mathcal{P}_K^{\rho_h(\ell)}}$ . Since this holds for  $1 \leq h \leq n$  we get  $\tilde{f} \sim_\ell f$ .

We now prove the general case. Since  $\tilde{f}$  is the minimum polynomial of  $\sigma(\tilde{\pi}_L)$  over  $K$  we may assume without loss of generality that  $\tilde{\pi}_L \equiv \pi_L \pmod{\mathcal{P}_L^{\ell+1}}$ . By repeated application of the special case above we get a sequence  $\pi_L^{(0)} = \pi_L, \pi_L^{(1)}, \pi_L^{(2)}, \dots$  of uniformizers for  $L$  with minimum polynomials  $f^{(0)} = f, f^{(1)}, f^{(2)}, \dots$  such that for all  $i \geq 0$  we have  $\pi_L^{(i)} \equiv \tilde{\pi}_L \pmod{\mathcal{P}_L^{\ell+i+1}}$  and  $f^{(i+1)} \sim_{\ell+i} f^{(i)}$ . It follows that  $f^{(i+1)} \sim_\ell f^{(i)}$ , and hence that  $f^{(i)} \sim_\ell f$  for all  $i \geq 0$ . Since the sequence  $(f^{(i)})$  converges coefficientwise to  $\tilde{f}$  it follows that  $\tilde{f} \sim_\ell f$ .  $\square$

Recall that the Hasse–Herbrand function  $\varphi_{L/K} : [-1, \infty) \rightarrow [-1, \infty)$  is defined for arbitrary finite separable extensions  $L/K$  (see for instance the appendix to [1]). We say that  $b \geq 0$  is a lower ramification break of  $L/K$  if  $\varphi'_{L/K}(b)$  is undefined. This extends the usual definition of lower ramification breaks for Galois extensions.

**Remark 4.4.** It follows from Theorem 4.3 that if  $\sigma(\tilde{\pi}_L) \equiv \pi_L \pmod{\mathcal{P}_L^{\ell+1}}$  for some  $\sigma \in \text{Aut}_K(L)$  then  $\tilde{c}_h \equiv c_h \pmod{\mathcal{P}_K^{\rho_h(\ell)}}$  for  $1 \leq h \leq n$ . Define functions  $\kappa_h : \mathbb{N} \rightarrow \mathbb{N}$  by

$$\kappa_h(\ell) = \left\lceil \frac{\varphi_\nu(\ell) + h}{n} \right\rceil.$$

Krasner [8, p. 157] showed that  $\tilde{c}_h \equiv c_h \pmod{\mathcal{P}_K^{\kappa_h(\ell)}}$ . Since  $\kappa_h(\ell) \leq \rho_h(\ell)$  Krasner’s congruences are in general weaker than the congruences that follow from Theorem 4.3. If  $\ell$  is greater than or equal to the largest lower ramification break of  $L/K$  then for  $0 \leq j \leq \nu$  we have  $\varphi_j(\ell) = \varphi_\nu(\ell)$ , and

hence  $\kappa_h(\ell) = \rho_h(\ell)$ . Therefore Theorem 4.3 does not improve on Krasner's results in these cases.

For certain values of  $h$  we get a more refined version of the congruences given by Theorem 4.3.

**Theorem 4.5.** *Let  $L/K$  be a finite totally ramified extension of degree  $n = up^\nu$ . For  $0 \leq m \leq \nu$  write the  $m$ th index of inseparability of  $L/K$  in the form  $i_m = A_m n - b_m$  with  $1 \leq b_m \leq n$ . Let  $\pi_L, \tilde{\pi}_L$  be uniformizers for  $L$  such that there are  $\ell \geq 1, r \in \mathcal{O}_K$ , and  $\sigma \in \text{Aut}_K(L)$  with  $\sigma(\tilde{\pi}_L) \equiv \pi_L + r\pi_L^{\ell+1} \pmod{\mathcal{P}_L^{\ell+2}}$ . Let  $0 \leq j \leq \nu$  satisfy  $\bar{v}_p(\varphi_j(\ell)) = j$ , and let  $h$  be the unique integer such that  $1 \leq h \leq n$  and  $n$  divides  $\varphi_j(\ell) + h$ . Set  $k = (\varphi_j(\ell) + h)/n$  and  $h_0 = h/p^j$ . Then*

$$\tilde{c}_h \equiv c_h + \sum_{m \in S_j} g_m c_n^{k-A_m} c_{b_m} r^{p^m} \pmod{\mathcal{P}_K^{k+1}},$$

where

$$S_j = \{m : 0 \leq m \leq j, \varphi_j(\ell) = \tilde{\varphi}_m(\ell)\}$$

$$g_m = \begin{cases} (-1)^{k+\ell+A_m} (h_0 p^{j-m} + \ell - up^{\nu-m}) & \text{if } b_m < h \\ (-1)^{k+\ell+A_m} (h_0 p^{j-m} + \ell) & \text{if } h \leq b_m < n \\ (-1)^{k+\ell+A_m} up^{\nu-m} & \text{if } b_m = n. \end{cases}$$

*Proof.* We first prove that the theorem holds for  $\hat{\pi}_L = \pi_L + r\pi_L^{\ell+1}$ . Let

$$\hat{f}(X) = X^n - \hat{c}_1 X^{n-1} + \dots + (-1)^{n-1} \hat{c}_{n-1} X + (-1)^n \hat{c}_n$$

be the minimum polynomial for  $\hat{\pi}_L$  over  $K$ . Let  $1 \leq s \leq h$  and let  $\lambda$  be a partition of  $\ell s + h$  whose parts are at most  $n$ . Choose  $q$  to minimize  $\bar{v}_p(\lambda_q)$  and set  $t = \bar{v}_p(\lambda_q)$ . Recall that  $\mu_s$  is the partition of  $\ell s + h$  consisting of  $h - s$  copies of 1 and  $s$  copies of  $\ell + 1$ . Since  $\bar{v}_p(h) = \bar{v}_p(\varphi_j(\ell)) = j$  it follows from the proof of Theorem 4.3 that  $v_K(d_{\lambda\mu_s} c_\lambda) \geq k$ . Suppose  $v_K(d_{\lambda\mu_s} c_\lambda) = k$ . Then the inequalities in the proof of Theorem 4.3 must be equalities. Hence there is  $0 \leq m \leq j$  such that  $s = p^m, v_L(c_\lambda) = i_t^{\pi_L} + \ell p^m + h$ , and  $\varphi_j(\ell) = \tilde{\varphi}_m(\ell)$ .

It follows that  $m \in S_j$  and  $\lambda$  is a partition of  $w_m$ , where

$$w_m = \ell p^m + h = \tilde{\varphi}_m(\ell) - i_m + h = \varphi_j(\ell) + h - i_m = (k - A_m)n + b_m.$$

Let  $\kappa_m$  be the partition of  $w_m$  consisting of  $k - A_m$  copies of  $n$  and 1 copy of  $b_m$ . By Proposition 3.2 we see that  $\lambda$  has at most one element not equal to  $n$ . Therefore  $\lambda = \kappa_m$ . Hence  $c_\lambda = c_{\kappa_m} = c_n^{k-A_m} c_{b_m}$  and  $\bar{v}_p(b_m) = \bar{v}_p(\lambda_q) = t$ . Using equation (4.1) and Proposition 4.2 we get

$$(4.2) \quad \hat{c}_h \equiv c_h + \sum_{m \in S_j} d_{\kappa_m \mu_{p^m}} c_n^{k-A_m} c_{b_m} r^{p^m} \pmod{\mathcal{P}_K^{k+1}}.$$

Let  $m \in S_j$ . Since

$$j = \bar{v}_p(\varphi_j(\ell)) = \bar{v}_p(\tilde{\varphi}_m(\ell)) = \bar{v}_p(i_m + \ell p^m)$$

and  $m \leq j$  we get  $m \leq \bar{v}_p(i_m) = \bar{v}_p(b_m)$ . Hence  $b'_m = b_m/p^m$  is an integer. Let  $\kappa'_m$  be the partition of

$$w'_m = (k - A_m)up^{\nu-m} + b'_m = h_0p^{j-m} + \ell$$

consisting of  $k - A_m$  copies of  $up^{\nu-m}$  and 1 copy of  $b'_m$ . Let  $\mu'_{p^m}$  be the partition of  $w'_m$  consisting of  $h_0p^{j-m} - 1$  copies of 1 and 1 copy of  $\ell + 1$ . Since  $h \leq n$  we have  $up^{\nu-m} > h_0p^{j-m} - 1$ . Hence if  $b'_m \neq up^{\nu-m}$  then we can compute  $d_{\kappa'_m \mu'_{p^m}}$  using Proposition 2.3.

Suppose  $b_m < h$ . Then  $h_0p^{j-m} - 1 \geq b'_m$ , so by Proposition 2.3 we get

$$d_{\kappa'_m \mu'_{p^m}} = (-1)^{k+\ell+A_m} (h_0p^{j-m} + \ell - up^{\nu-m}).$$

Suppose  $h \leq b_m < n$ . Then  $h_0p^{j-m} - 1 < b'_m$ , so by Proposition 2.3 we get

$$d_{\kappa'_m \mu'_{p^m}} = (-1)^{k+\ell+A_m} (h_0p^{j-m} + \ell).$$

Suppose  $b_m = n$ , so that  $b'_m = up^{\nu-m}$ . Since  $up^{\nu-m} > h_0p^{j-m} - 1$ , the only cycle digraph which admits a  $(\kappa'_m, \mu'_{p^m})$ -tiling consists of a single cycle  $\Gamma$  of length  $w'_m$ . By Proposition 2.2(1) we get  $\eta_{\kappa'_m \mu'_{p^m}}(\Gamma) = up^{\nu-m}$ . It then follows from (2.1) that

$$d_{\kappa'_m \mu'_{p^m}} = (-1)^{k+\ell+A_m} up^{\nu-m}.$$

Hence in all three cases we have  $d_{\kappa'_m \mu'_{p^m}} = g_m$ .

Since  $p^t \mid b_m$  we have  $p^{t-m} \mid b'_m$ . Therefore by Proposition 2.5 we get

$$(4.3) \quad d_{\kappa_m \mu_{p^m}} \equiv d_{\kappa'_m \mu'_{p^m}} \pmod{p^{t-m+1}}.$$

Since  $m \leq t \leq \nu$  it follows from (3.2) and (3.1) that

$$(4.4) \quad \begin{aligned} i_m &\leq i_t^{\pi_L} + (t - m)e_L \\ nA_m - b_m &\leq nv_K(c_{b_m}) - b_m + (t - m)e_L \\ A_m &\leq v_K(c_{b_m}) + (t - m)e_K \\ k + 1 &\leq k - A_m + v_K(c_{b_m}) + (t - m + 1)e_K. \end{aligned}$$

Using (4.3) and (4.4) we get

$$\begin{aligned} d_{\kappa_m \mu_{p^m}} c_n^{k-A_m} c_{b_m} &\equiv d_{\kappa'_m \mu'_{p^m}} c_n^{k-A_m} c_{b_m} \pmod{\mathcal{P}_K^{k+1}} \\ &\equiv g_m c_n^{k-A_m} c_{b_m} \pmod{\mathcal{P}_K^{k+1}}. \end{aligned}$$

Hence by (4.2) the theorem holds when  $\tilde{\pi}_L = \hat{\pi}_L$ .

We now prove the theorem in the general case. We may assume that

$$\tilde{\pi}_L \equiv \pi_L + r\pi_L^{\ell+1} \pmod{\mathcal{P}_L^{\ell+2}}.$$

It follows that  $\tilde{\pi}_L \equiv \hat{\pi}_L \pmod{\mathcal{P}_L^{\ell+2}}$ , so by Theorem 4.3 we get  $\tilde{c}_h \equiv \hat{c}_h \pmod{\mathcal{P}_K^{\rho_h(\ell+1)}}$ . Since

$$\rho_h(\ell) = \left\lceil \frac{\varphi_j(\ell) + h}{n} \right\rceil = \frac{\varphi_j(\ell) + h}{n} = k$$

and  $\varphi_j(\ell+1) > \varphi_j(\ell)$  we get  $\rho_h(\ell+1) > k$ . Therefore  $\tilde{c}_h \equiv \hat{c}_h \pmod{\mathcal{P}_K^{k+1}}$ , so the theorem holds for  $\tilde{\pi}_L$ .  $\square$

**Remark 4.6.** Suppose  $\bar{v}_p(\varphi_j(\ell)) = j' \leq j$ . Then  $\varphi_j(\ell) = \varphi_{j'}(\ell)$ . In particular,  $\varphi_\nu(\ell) = \varphi_{j'}(\ell)$  with  $j' = \bar{v}_p(\varphi_\nu(\ell))$ . Hence if  $1 \leq h \leq n$  and  $n$  divides  $\varphi_\nu(\ell) + h$  then Theorem 4.5 gives a congruence for  $\tilde{c}_h$  modulo  $\mathcal{P}_K^{k+1}$ , where  $k = (\varphi_\nu(\ell) + h)/n$ . This is the congruence obtained by Krasner [8, p. 157]. If  $\ell$  is greater than or equal to the largest lower ramification break of  $L/K$  then  $\varphi_j(\ell) = \varphi_\nu(\ell)$  for  $0 \leq j \leq \nu$ . Therefore Theorem 4.5 does not extend [8] in these cases.

### 5. Some examples

In this section we give two examples related to the theorems proved in Section 4. We first apply these theorems to a 3-adic extension of degree 9.

**Example 5.1.** Let  $K$  be a finite extension of the 3-adic field  $\mathbb{Q}_3$  such that  $v_K(3) \geq 2$ . Let

$$f(X) = X^9 - c_1X^8 + \dots + c_8X - c_9$$

be an Eisenstein polynomial over  $K$  such that  $v_K(c_2) = v_K(c_6) = 2$ ,  $v_K(c_h) \geq 2$  for  $h \in \{1, 3\}$ , and  $v_K(c_h) \geq 3$  for  $h \in \{4, 5, 7, 8\}$ . Let  $\pi_L$  be a root of  $f(X)$ . Then  $L = K(\pi_L)$  is a totally ramified extension of  $K$  of degree 9, so we have  $u = 1, \nu = 2$ . It follows from our assumptions about the valuations of the coefficients of  $f(X)$  that the indices of inseparability of  $L/K$  are  $i_0 = 16, i_1 = 12$ , and  $i_2 = 0$ . Therefore  $A_0 = 2, A_1 = 2, A_2 = 1$ , and  $b_0 = 2, b_1 = 6, b_2 = 9$ . We get the following values for  $\tilde{\varphi}_j(\ell)$  and  $\varphi_j(\ell)$ :

$\ell$	$\tilde{\varphi}_0(\ell)$	$\tilde{\varphi}_1(\ell)$	$\tilde{\varphi}_2(\ell)$	$\varphi_0(\ell)$	$\varphi_1(\ell)$	$\varphi_2(\ell)$
0	16	12	0	16	12	0
1	17	15	9	17	15	9
2	18	18	18	18	18	18
3	19	21	27	19	19	19

Now let  $\tilde{\pi}_L$  be another uniformizer for  $L$ , with minimum polynomial

$$\tilde{f}(X) = X^9 - \tilde{c}_1X^8 + \dots + \tilde{c}_8X - \tilde{c}_9.$$

Suppose  $\tilde{\pi}_L \equiv \pi_L \pmod{\mathcal{P}_L^2}$ . Then by Theorem 4.3 we get  $\tilde{f} \sim_1 f$ . Using the table above we find that

$$\begin{aligned} \tilde{c}_h &\equiv c_h \pmod{\mathcal{P}_K^2} && \text{for } h \in \{1, 3, 9\}, \\ \tilde{c}_h &\equiv c_h \pmod{\mathcal{P}_K^3} && \text{for } h \in \{2, 4, 5, 6, 7, 8\}. \end{aligned}$$

This is an improvement on [8], which gives  $\tilde{c}_h \equiv c_h \pmod{\mathcal{P}_K^2}$  for  $1 \leq h \leq 9$ . If  $\tilde{\pi}_L \equiv \pi_L \pmod{\mathcal{P}_L^3}$  we get  $\tilde{f} \sim_2 f$ , and hence  $\tilde{c}_h \equiv c_h \pmod{\mathcal{P}_K^3}$  for  $1 \leq h \leq 9$ . If  $\tilde{\pi}_L \equiv \pi_L \pmod{\mathcal{P}_L^4}$  we get  $\tilde{f} \sim_3 f$ , and hence

$$\begin{aligned} \tilde{c}_h &\equiv c_h \pmod{\mathcal{P}_K^3} && \text{for } 1 \leq h \leq 8, \\ \tilde{c}_9 &\equiv c_9 \pmod{\mathcal{P}_K^4}. \end{aligned}$$

Since the largest lower ramification break of  $L/K$  is 2, the congruences we get for  $\ell \geq 2$  are the same as those in [8].

Suppose  $\tilde{\pi}_L \equiv \pi_L + r\pi_L^2 \pmod{\mathcal{P}_L^3}$ , with  $r \in \mathcal{O}_K$ . By the table above we get  $\bar{v}_3(\varphi_0(1)) = 0$ ,  $\bar{v}_3(\varphi_1(1)) = 1$ ,  $\bar{v}_3(\varphi_2(1)) = 2$  and  $S_0 = \{0\}$ ,  $S_1 = \{1\}$ ,  $S_2 = \{2\}$ . The corresponding values of  $h$  are 1, 3, 9, and we have  $h_0 = 1$ ,  $k = 2$  in all three cases. By applying Theorem 4.5 with  $\ell = 1$ ,  $j = 0, 1, 2$  we get the following congruences:

$$\begin{aligned} \tilde{c}_1 &\equiv c_1 + (-1)^{2+1+2}(1+1)c_2r && \pmod{\mathcal{P}_K^3} \\ &\equiv c_1 - 2c_2r && \pmod{\mathcal{P}_K^3} \\ \tilde{c}_3 &\equiv c_3 + (-1)^{2+1+2}(1+1)c_6r^3 && \pmod{\mathcal{P}_K^3} \\ &\equiv c_3 - 2c_6r^3 && \pmod{\mathcal{P}_K^3} \\ \tilde{c}_9 &\equiv c_9 + (-1)^{2+1+1}c_9^2r^9 && \pmod{\mathcal{P}_K^3} \\ &\equiv c_9 + c_9^2r^9 && \pmod{\mathcal{P}_K^3}. \end{aligned}$$

Only the congruence for  $\tilde{c}_9$  follows from [8].

Suppose  $\tilde{\pi}_L \equiv \pi_L + r\pi_L^3 \pmod{\mathcal{P}_L^4}$ . Then  $\bar{v}_3(\varphi_2(2)) = 2$  and  $S_2 = \{0, 1, 2\}$ , which gives  $h = 9$ ,  $h_0 = 1$ , and  $k = 3$ . By applying Theorem 4.5 with  $\ell = 2$ ,  $j = 2$  we get the following congruence:

$$\begin{aligned} \tilde{c}_9 &\equiv c_9 + (-1)^{3+2+2}(9+2-9)c_9c_2r \\ &\quad + (-1)^{3+2+2}(3+2-3)c_9c_6r^3 + (-1)^{3+2+1}c_9^2c_9r^9 && \pmod{\mathcal{P}_K^4} \\ &\equiv c_9 - 2c_2c_9r - 2c_6c_9r^3 + c_9^3r^9 && \pmod{\mathcal{P}_K^4}. \end{aligned}$$

Suppose  $\tilde{\pi}_L \equiv \pi_L + r\pi_L^4 \pmod{\mathcal{P}_L^5}$ . Then  $\bar{v}_3(\varphi_0(3)) = 0$  and  $S_0 = \{0\}$ , so we get  $h = 8$ ,  $h_0 = 8$ , and  $k = 3$ . By applying Theorem 4.5 with  $\ell = 3$ ,  $j = 0$  we get the following congruence:

$$\begin{aligned} \tilde{c}_8 &\equiv c_8 + (-1)^{3+3+2}(8+3-9)c_9c_2r && \pmod{\mathcal{P}_K^4} \\ &\equiv c_8 + 2c_2c_9r && \pmod{\mathcal{P}_K^4}. \end{aligned}$$

Again, since the largest lower ramification break of  $L/K$  is 2, the congruences we get for  $\ell \geq 2$  are the same as those in [8].

One might hope to prove the following converse to Theorem 4.3: If  $\pi_L, \tilde{\pi}_L$  are uniformizers for  $L$  whose minimum polynomials satisfy  $\tilde{f} \sim_\ell f$ , then there is  $\sigma \in \text{Aut}_K(L)$  such that  $\sigma(\tilde{\pi}_L) \equiv \pi_L \pmod{\mathcal{P}_L^{\ell+1}}$ . The example below shows that this is not necessarily the case:

**Example 5.2.** Let  $\pi_L$  be a root of the Eisenstein polynomial  $f(X) = X^4 + 6X^2 + 4X + 2$  over the 2-adic field  $\mathbb{Q}_2$ . Then  $L = \mathbb{Q}_2(\pi_L)$  is a totally ramified extension of  $\mathbb{Q}_2$  of degree 4, with indices of inseparability  $i_0 = 5, i_1 = 2$ , and  $i_2 = 0$ . We get the following values for  $\tilde{\varphi}_j(\ell)$  and  $\varphi_j(\ell)$ :

$\ell$	$\tilde{\varphi}_0(\ell)$	$\tilde{\varphi}_1(\ell)$	$\tilde{\varphi}_2(\ell)$	$\varphi_0(\ell)$	$\varphi_1(\ell)$	$\varphi_2(\ell)$
0	5	2	0	5	2	0
1	6	4	4	6	4	4
2	7	6	8	7	6	6
3	8	8	12	8	8	8

Set  $\tilde{\pi}_L = \pi_L + \pi_L^2$ , and let the minimum polynomial for  $\tilde{\pi}_L$  over  $\mathbb{Q}_2$  be

$$\tilde{f}(X) = X^4 - \tilde{c}_1 X^3 + \tilde{c}_2 X^2 - \tilde{c}_3 X + \tilde{c}_4.$$

By Theorem 4.3 we have  $\tilde{f} \sim_1 f$ , and hence

$$\begin{aligned} \tilde{c}_1 &\equiv 0 \pmod{4} \\ \tilde{c}_2 &\equiv 6 \pmod{4} \\ \tilde{c}_3 &\equiv -4 \pmod{8} \\ \tilde{c}_4 &\equiv 2 \pmod{4}. \end{aligned}$$

Theorem 4.5 gives a refinement of the last congruence:

$$\begin{aligned} \tilde{c}_4 &\equiv 2 + (-1)^{2+1+1}(2 + 1 - 2) \cdot 2^{2-1} \cdot 6 + (-1)^{2+1+1} \cdot 2^{2-1} \cdot 2 \pmod{8} \\ &\equiv 2 \pmod{8}. \end{aligned}$$

Using this refinement we get  $\tilde{f} \sim_2 f$ .

Using [5] (see also [6, Table 4.2]) we obtain a list of the degree-4 extensions of  $\mathbb{Q}_2$ . Using the data in this list we find that  $L/\mathbb{Q}_2$  is not Galois, and the only quadratic subextension of  $L/\mathbb{Q}_2$  is  $M/\mathbb{Q}_2$ , where  $M = \mathbb{Q}_2(\sqrt{-1})$ . Hence  $\text{Aut}_{\mathbb{Q}_2}(L) = \text{Gal}(L/M)$ . Since the lower ramification breaks of  $L/\mathbb{Q}_2$  are 1, 3, and the lower ramification break of  $M/\mathbb{Q}_2$  is 1, the lower ramification break of  $L/M$  is 3. Hence if  $\sigma \in \text{Aut}_{\mathbb{Q}_2}(L)$  then  $\sigma(\tilde{\pi}_L) \equiv \tilde{\pi}_L \pmod{\mathcal{P}_L^4}$ . Since  $\tilde{\pi}_L = \pi_L + \pi_L^2$  we get  $\sigma(\tilde{\pi}_L) \not\equiv \pi_L \pmod{\mathcal{P}_L^3}$ .

## References

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