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GILLES PISIER

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# Quantum expanders and growth of group representations

GILLES PISIER <sup>(1)</sup>

**ABSTRACT.** — Let  $\pi$  be a finite dimensional unitary representation of a group  $G$  with a generating symmetric  $n$ -element set  $S \subset G$ . Fix  $\varepsilon > 0$ . Assume that the spectrum of  $|S|^{-1} \sum_{s \in S} \pi(s) \otimes \overline{\pi(s)}$  is included in  $[-1, 1 - \varepsilon]$  (so there is a spectral gap  $\geq \varepsilon$ ). Let  $r'_N(\pi)$  be the number of distinct irreducible representations of dimension  $\leq N$  that appear in  $\pi$ . Then let  $R'_{n,\varepsilon}(N) = \sup r'_N(\pi)$  where the supremum runs over all  $\pi$  with  $n, \varepsilon$  fixed. We prove that there are positive constants  $\delta_\varepsilon$  and  $c_\varepsilon$  such that, for all sufficiently large integer  $n$  (i.e.  $n \geq n_0$  with  $n_0$  depending on  $\varepsilon$ ) and for all  $N \geq 1$ , we have  $\exp \delta_\varepsilon n N^2 \leq R'_{n,\varepsilon}(N) \leq \exp c_\varepsilon n N^2$ . The same bounds hold if, in  $r'_N(\pi)$ , we count only the number of distinct irreducible representations of dimension exactly  $= N$ .

**RÉSUMÉ.** — Soit  $\pi$  une représentation unitaire de dimension finie d'un groupe  $G$  munie d'un ensemble générateur symétrique  $S \subset G$  à  $n$ -éléments. Fixons  $\varepsilon > 0$  et supposons que le spectre de  $|S|^{-1} \sum_{s \in S} \pi(s) \otimes \overline{\pi(s)}$  est inclus dans  $[-1, 1 - \varepsilon]$  (il y a donc un trou spectral  $\geq \varepsilon$ ). Soit  $r'_N(\pi)$  le nombre de représentations irréductibles distinctes de dimension  $\leq N$  qui apparaissent dans la décomposition de  $\pi$ . Soit alors  $R'_{n,\varepsilon}(N) = \sup r'_N(\pi)$  où le sup court sur toutes les  $\pi$  possibles avec  $n, \varepsilon$  fixés. Nous démontrons l'existence de constantes positives  $\delta_\varepsilon$  et  $c_\varepsilon$  telles que, pour tout entier  $n$  suffisamment grand (i.e.  $n \geq n_0$  ou  $n_0$  peut dépendre de  $\varepsilon$ ) et pour tout  $N \geq 1$ , on a  $\exp \delta_\varepsilon n N^2 \leq R'_{n,\varepsilon}(N) \leq \exp c_\varepsilon n N^2$ . Les mêmes bornes sont valables si, dans  $r'_N(\pi)$ , on compte seulement le nombre de représentations irréductibles distinctes de dimension exactement  $= N$ .

## 1. Introduction

We wish to formulate and answer a natural extension of a question raised explicitly by Wigderson in several lectures (see e.g. [23, p. 59]) and also

<sup>(1)</sup> Texas A&M University, College Station, TX 77843, USA and Université Paris VI, Inst. Math. Jussieu, Équipe d'Analyse Fonctionnelle, Case 186, 75252 Paris Cedex 05, France — pisier@math.tamu.edu

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implicitly in [18]. Although the variant that we answer seems to be much easier, it may shed some light on the original question. Wigderson's question concerns the growth of the number  $r_N(G)$  of distinct irreducible representations of dimension  $\leq N$  that may appear on a finite group  $G$  when the order of  $G$  is arbitrarily large and all that one knows is that  $G$  admits a generating set  $S$  of  $n$  elements for which the Cayley graph forms an expander with a fixed spectral gap  $\varepsilon > 0$ . The problem is to find the best bound of the form  $r_N(G) \leq R(N)$  with  $R(N)$  independent of the order of  $G$  (but depending on  $n, \varepsilon$ ). We consider a more general framework: the finite group  $G$  is replaced by a finite dimensional representation  $\pi$  (playing the role of the regular representation  $\lambda_G$  for finite groups) such that the representation  $\pi \otimes \bar{\pi}$  admits a spectral gap, meaning that the trivial representation is isolated with a gap  $\geq \varepsilon$  from the other irreducible components of  $\pi \otimes \bar{\pi}$ . When  $\pi = \lambda_G$  we recover the previous notion of spectral gap. Let then  $r'_N(\pi)$  be the number of distinct irreducible representations of dimension  $\leq N$  appearing in  $\pi$  (note that  $r_N(G) = r'_N(\lambda_G)$ ), and let  $R'(N)$  denote the least upper bound  $r'_N(\pi) \leq R'(N)$  when the only restriction on  $\pi$  is that  $n, \varepsilon$  remain fixed (but the dimension of  $\pi$  is arbitrary). We observe that the previously known bound for  $R(N)$  namely  $R(N) = e^{O(nN^2)}$  is also valid for  $R'(N)$  and also that  $R(N) \leq R'(N)$ . Our main result, which follows from the metric entropy estimate for quantum expanders in [20], is that this bound for  $R'(N)$  is sharp: there is  $\delta > 0$  such that for all  $n$  large enough (i.e.  $\forall n \geq n_0(\varepsilon)$ ) we have  $R'(N) \geq e^{\delta n N^2}$  for all  $N$ .

The term “quantum expander” was coined in [2, 3, 8] to which we refer for background (see also [7, 9]).

## 2. Main result

Let  $G$  be any group with a finite generating set  $S \subset G$  with  $|S| = n$ . For any unitary representation  $\pi : G \rightarrow H_\pi$  we set

$$\lambda(\pi, S) = n^{-1} \sup \{ \Re \langle \sum_{s \in S} \pi(s) \xi, \xi \rangle \mid \xi \in H_\pi^{\text{inv}\perp}, \|\xi\|_{H_\pi} = 1 \}.$$

where  $H_\pi^{\text{inv}} \subset H_\pi$  denotes the subspace of all  $\pi$ -invariant vectors. When  $S$  is symmetric,  $\sum_{s \in S} \pi(s)$  being selfadjoint, the real part  $\Re$  can be omitted. We then set

$$\varepsilon(\pi, S) = 1 - \lambda(\pi, S).$$

It will be useful to record here the elementary observation that if  $\pi$  is unitarily equivalent to the direct sum  $\oplus_{i \in I} \pi_i$  of a family of unitary representations,

then  $\lambda(\pi, S) = \sup_{i \in I} \lambda(\pi_i, S)$  and hence

$$\varepsilon(\pi, S) = \inf_{i \in I} \varepsilon(\pi_i, S). \tag{2.1}$$

In particular, if  $\pi_1$  is contained in  $\pi_2$ , then  $\varepsilon(\pi_1, S) \geq \varepsilon(\pi_2, S)$ .

We denote

$$\varepsilon(G, S) = \inf\{\varepsilon(\pi, S)\}$$

where the infimum runs over all unitary representations  $\pi : G \rightarrow H_\pi$ . Thus the condition

$$\varepsilon(G, S) > 0$$

means that  $G$  has Kazhdan’s “property (T)”, (or in other words is a Kazhdan-group), see [1] for more background.

We start by the following result somewhat implicitly due to S. Wassermann [22] and explicitly proved in detail in [6].

PROPOSITION 2.1 ([22, 6]). — *For any  $\varepsilon > 0$  there is a constant  $c_\varepsilon$  such that for any  $n$ , any group  $G$  and any  $S \subset G$  with  $|S| = n$  such that  $\varepsilon(G, S) \geq \varepsilon$ , the number  $r_N(G)$  of distinct irreducible unitary representations  $\sigma : G \rightarrow B(H_\sigma)$  with  $\dim(H_\sigma) \leq N$  is majorized as follows:*

$$r_N(G) \leq \exp(c_\varepsilon n N^2). \tag{2.2}$$

*Of course, here distinct means up to unitary equivalence.*

Remark 2.2. — Note that it suffices to prove a bound of the same form for the number of distinct irreducible unitary representations  $\sigma : G \rightarrow B(H_\sigma)$  with  $\dim(H_\sigma) = N$ . Indeed, if the latter number is denoted by  $s_N(G)$ , we have  $r_N(G) = \sum_{d=1}^N s_d(G)$ , so that it suffices to have a bound of the form  $s_d(G) \leq \exp(c'_\varepsilon n d^2)$  to obtain (2.2). See [14, 15] for some examples of estimates of the growth of  $r_N(G)$ .

We note that it was originally proved by Wang [21] that for any Kazhdan-group  $G$  this number  $r_N(G)$  is finite for any  $N$ . There is an indication of proof of (2.2) in [22], and detailed proofs appear in [6] (see also [18]). We will prove a simple extension of this bound below.

Recall that a sequence  $(G_k, S_k)$  of finite groups equipped with generating sets  $S_k \subset G_k$  such that

$$\sup_k |S_k| < \infty, \quad |G_k| \rightarrow \infty \quad \text{and} \quad \inf_k \varepsilon(G_k, S_k) > 0$$

is called an expander or an expanding family. This corresponds to the usual notion among *Cayley* graphs to which we restrict the entire discussion. Let  $\hat{G}$  denote as usual the (finite) set of all irreducible unitary representations of a finite group  $G$  (up to unitary equivalence). We note in passing that it

is well known (and this also can be derived from Proposition 2.1) that any expander satisfies

$$\lim_{k \rightarrow \infty} \max\{\dim(H_\sigma) \mid \sigma \in \hat{G}_k\} = \infty. \quad (2.3)$$

We refer the reader to the surveys [10, 17] for more information on expanders.

The question raised by Wigderson in this context can be formulated as follows:

Let

$$R_{n,\varepsilon}(N) = \sup\{r_N(G)\}$$

where the supremum runs over all finite groups  $G$  admitting a subset  $S$  with  $|S| = n$  such that  $\varepsilon(G, S) \geq \varepsilon$ . Actually the question is just as interesting for arbitrary (Kazhdan) groups  $G$ , but it is more natural to restrict to finite groups, because there are infinite Kazhdan groups without *any* (nontrivial) finite dimensional representations.

Moreover, since, for a finite group  $G$ , all representations are weakly contained in the left regular representation  $\lambda_G$ , we have clearly by (2.1)

$$\varepsilon(G, S) = \varepsilon(\lambda_G, S). \quad (2.4)$$

By (2.2), we have

$$R_{n,\varepsilon}(N) \leq \exp(c_\varepsilon n N^2). \quad (2.5)$$

and a fortiori simply  $R_{n,\varepsilon}(N) = \exp O(N^2)$ . Wigderson asked whether this upper bound can be improved. More explicitly, what is the precise order of growth of  $\log R_{n,\varepsilon}(N)$  when  $N \rightarrow \infty$ . Does it grow like  $N$  rather than like  $N^2$ ? The motivation for this question can be summarized like this: In [18, Th. 1.4] an exponential bound  $\exp O(N)$  is proved for a special class of groups  $G$  (namely monomial groups), admitting a fixed spectral gap with generating sets of very slowly growing size (but not bounded) and it is asked whether the same exponential bound holds in general for  $R_{n,\varepsilon}(N)$ . Moreover, in a remark following the proof of [18, Th. 1.4], Meshulam and Wigderson observe that for any prime number  $p > 2$ , there is a group  $G_p$  with a generating set of (unbounded) size  $\log p$  admitting a fixed spectral gap and such that  $r_p(G) \approx 2^p/p$ .

*Remark 2.3.* — By classical results, originating in the works of Kazhdan and Margulis (see e.g. [16] or [17, Cor. 2.4]), for any fixed  $m \geq 3$ , the family  $\{SL_m(\mathbb{Z}_p) \mid p \text{ prime}\}$  is an expander, so that we have (for suitable  $\ell, \delta$ )

$$R_{\ell,\delta}(N) \geq \sup_p r_N(SL_m(\mathbb{Z}_p)).$$

Similarly, let  $\mathcal{G}_k$  denote the symmetric group of all permutations of a  $k$  element set. Kassabov [11] proved that the family  $\{\mathcal{G}_k \mid k \geq 1\}$  forms an

expanding family with respect to subsets  $S_k \subset \mathcal{G}_k$  of a fixed size  $\ell$  and a fixed spectral gap  $\delta > 0$ . Thus we find a lower bound

$$R_{\ell, \delta}(N) \geq \sup_k r_N(\mathcal{G}_k).$$

Quite remarkably, it is proved in [13] that the family itself of *all* non-commutative finite simple groups forms an expander (for some suitable  $n, \varepsilon$ ).

*Remark 2.4.* — However, it seems the resulting lower bounds are still far from being exponential in  $N$ . Actually, in many important cases (see e.g. [4]), the proof that certain finite groups  $G$  give rise to expanders uses the fact that the smallest dimension of a (non-trivial) irreducible representation on  $G$  is  $\geq c|G|^a$  for some  $a > 0$ . Then since  $|G| = \sum_{\pi \in \hat{G}} \dim(\pi)^2$  the cardinal of  $\hat{G}$  is bounded above by  $|G|^{1-2a}/c^2$ . Therefore, for any  $N \geq c|G|^a$  we have  $r_N(G) \leq |G|^{1-2a}/c^2 \leq c'N^{(1/a)-2}$ , so that the resulting growth implied for  $R_{n, \varepsilon}(N)$  is at most polynomial in  $N$ . (I am grateful to N. Ozawa for drawing my attention to this point).

Nevertheless, we have:

*Remark 2.5 (Communicated by Martin Kassabov).* — For suitable  $n, \varepsilon$  the numbers  $R_{n, \varepsilon}(N)$  grow faster than any power of  $N$ . In fact, we will prove the

CLAIM. — *There is an expanding family of Cayley graphs  $(G_k)$  of groups generated by 3 elements with a positive spectral gap  $\varepsilon$  and such that for  $N_k = 2^{3k} - 2$ ,  $G_k$  admits  $2^{k^2}$  distinct irreducible representations of dimension  $N_k$ .*

From this claim follows that  $R_{3, \varepsilon}(N_k) \geq 2^{k^2} \geq 2^{(\log(N_k))^2}$ , say for all  $k$  large enough, and hence

$$R_{n, \varepsilon}(N) \geq 2^{(\log(N))^2} \text{ for infinitely many } N\text{'s.}$$

To prove the claim we use the ideas from [12]. Let  $\mathcal{R}_k$  denote the (finite) ring  $M_k(F_2)$  of  $k \times k$  matrices with entries in the field with 2 elements. It is known that the cartesian product  $\Pi_k = \mathcal{R}_k^{2^{k^2}}$  of  $|\mathcal{R}_k| = 2^{k^2}$  copies of  $\mathcal{R}_k$  is generated by 3 elements. Indeed,  $\mathcal{R}_k$  itself is generated as a ring by two elements, e.g.  $a = e_{12}$  and the shift  $b = e_{12} + e_{23} + \dots + e_{k-1k} + e_{k1}$ , then  $\Pi_k$  is generated as a ring by  $\{A, B, C\}$  where  $A$  (resp.  $B$ ) is the element with all coordinates equal to  $a$  (resp.  $b$ ), and  $C$  is such that its coordinates are in one to one correspondence with the elements of  $\mathcal{R}_k$ . To check this, let  $R \subset \Pi_k$  be the ring generated by  $\{A, B, C\}$ . Note, by the choice of  $C$ , the following easy observation: for any coordinate  $i$ , there is  $x \in R$  such that  $x_i = 0$  but  $x_j \neq 0$  for all  $j \neq i$ . For any subset  $I$  of the index set let  $p_I : R \rightarrow \mathcal{R}_k^I$  be the coordinate projection. One can then prove by induction

on  $m = |I|$  that  $p_I(R) = \mathcal{R}_k^I$  for all  $I$ . Indeed, assume the fact established for  $m - 1$ . For any  $I$  with  $|I| = m$  we pick  $i \in I$  and we consider the set  $\mathcal{I} = \{y \in \mathcal{R}_k^{I \setminus i} \mid (0, y) \in p_I(R)\}$ . By the induction hypothesis,  $\mathcal{I}$  is an ideal in  $\mathcal{R}_k^{I \setminus i}$ , but, since  $\mathcal{R}_k$  is simple, the above observation implies that  $\mathcal{I} = \mathcal{R}_k^{I \setminus i}$ , and since  $a, b$  generate  $\mathcal{R}_k$  we have  $p_{\{i\}}(R) = \mathcal{R}_k$ , so we obtain  $p_I(R) = \mathcal{R}_k^I$ .

This implies that the free associative ring  $\mathbb{Z}\langle x, y, z \rangle$  (in 3 non-commutative variables) can be mapped onto the product  $\Pi_k$ . Consider now the group  $EL_3(\mathbb{Z}\langle x, y, z \rangle)$  generated by the elementary matrices in  $GL_3(\mathbb{Z}\langle x, y, z \rangle)$ . This is a noncommutative universal lattice in the terminology of [5, 12]. First observe that  $EL_3(\mathbb{Z}\langle x, y, z \rangle)$  is generated by 3 elements. Indeed, let  $\alpha, \beta$  generate  $SL_3(\mathbb{Z})$ . Then  $\alpha, \beta, \gamma$  will generate  $EL_3(\mathbb{Z}\langle x, y, z \rangle)$  where

$$\gamma = \begin{pmatrix} 1 & x & y \\ 0 & 1 & z \\ 0 & 0 & 1 \end{pmatrix}.$$

Moreover, by [5, Th. 1.1]  $EL_3(\mathbb{Z}\langle x, y, z \rangle)$  has Kazhdan's property T. It follows that the groups

$$G_k = EL_3(\Pi_k)$$

have expanding generating sets with 3 elements. But it turns out that  $G_k$  can be identified with the product

$$SL_{3k}(F_2)^{2^{k^2}}.$$

Indeed, firstly one easily checks the natural isomorphism  $EL_3(\mathcal{R}_k^{2^{k^2}}) \simeq EL_3(\mathcal{R}_k)^{2^{k^2}}$ , secondly it is well known that, since  $F_2$  is a field,  $EL_n(F_2) = SL_n(F_2)$  for any  $n$ , and hence (taking  $n = 3k$ ) we have a natural isomorphism  $EL_3(\mathcal{R}_k) = SL_{3k}(F_2)$ ; this yields the identification  $G_k = SL_{3k}(F_2)^{2^{k^2}}$ .

To conclude, we will use the fact that  $SL_{3k}(F_2)$  admits a nontrivial irreducible representation  $\pi$  with dimension  $N_k = 2^{3k} - 2$ . (Just consider its action by permutation on the projective space, which has  $2^{3k} - 1$  elements; the action is transitive and doubly transitive, therefore the associated Koopman representation  $\pi$  is irreducible and of dimension  $2^{3k} - 2$ ). This immediately produces  $2^{k^2}$  distinct irreducible representations of dimension  $N_k$  on  $SL_{3k}(F_2)^{2^{k^2}}$ . Indeed, it is an elementary fact that if  $\Gamma = \Gamma_1 \times \cdots \times \Gamma_m$  is a product group, and if  $\pi_1, \dots, \pi_m$  are arbitrary nontrivial irreducible representations on the factor groups  $\Gamma_1, \dots, \Gamma_m$ , then the representations  $\tilde{\pi}_j$  defined on  $\Gamma$  by  $\tilde{\pi}_j(g) = \pi_j(g_j)$  are distinct (meaning not unitarily equivalent), irreducible on  $\Gamma$  and  $\dim(\tilde{\pi}_j) = \dim(\pi_j)$  for any  $j$ . So taking all  $\Gamma_j$ 's equal to  $SL_{3k}(F_2)$ , with  $\pi_j = \pi$  and  $m = 2^{k^2}$ , we obtain the announced claim.

In any case, the problem of finding the correct behaviour of  $\log R_{n,\varepsilon}(N)$  (or of  $R_{n,\varepsilon}(N)$  itself) when  $N \rightarrow \infty$  appears to be still wide open.

In this paper we consider a modified version of this question involving “quantum expanders” and show that for this (much easier) modified version,  $N^2$  is the correct order of growth.

The term “quantum expander” was introduced in [8] and [2, 3], independently, to designate a sort of non-commutative, or matricial, analogue of expanders, as follows.

Fix an integer  $n$ . Consider an  $n$  tuple of  $N \times N$  unitary matrices, say  $u = (u_j) \in U(N)^n$ . We view each of them  $u_j$  as a linear operator on the  $N$ -dimensional Hilbert space  $H$ . Then  $u_j \otimes \bar{u}_j$  is naturally viewed as a linear operator on the (Hilbert space sense) tensor product  $H \otimes \bar{H}$ . Using the (canonical) identification  $H^* \simeq \bar{H}$ , the tensor product  $H \otimes \bar{H}$  can be isometrically identified with the space of linear operators from  $H$  to  $H$  equipped with the Hilbert–Schmidt norm denoted by  $\| \cdot \|_2$  (sometimes called the Frobenius norm in the present finite dimensional context). Then, the identity operator  $Id_H : H \rightarrow H$  defines a distinguished element of  $H \otimes \bar{H}$  that we denote by  $I$ .

We set

$$\lambda(u) = n^{-1} \sup \left\{ \Re \left\langle \left( \sum_1^n u_j \otimes \bar{u}_j \right) \xi, \xi \right\rangle \middle| \xi \in H \otimes \bar{H}, \xi \perp I, \|\xi\|_{H \otimes \bar{H}} = 1 \right\},$$

and

$$\varepsilon(u) = 1 - \lambda(u).$$

In other words, with the preceding identifications, the condition  $\varepsilon(u) \geq \varepsilon$  means that for any  $x \in M_N$  with  $\text{tr}(x) = 0$  we have

$$\Re \sum \text{tr}(u_j x u_j^* x^*) \leq (1 - \varepsilon) \|x\|_2,$$

where  $\|x\|_2 = (\text{tr}(x^* x))^{1/2}$ . When  $T = \sum_1^n u_j \otimes \bar{u}_j$  is self adjoint (in particular when the set  $\{u_1, \dots, u_n\}$  is selfadjoint) the real part  $\Re$  can be omitted in the two preceding lines.

In group theoretic language, if  $\pi : \mathbf{F}_n \rightarrow U(N)$  is the group representation on the free group  $\mathbf{F}_n$ , equipped with a set of  $n$  free generators  $S = \{g_1, \dots, g_n\}$ , such that  $\pi(g_j) = u_j$  ( $1 \leq j \leq n$ ), then we have

$$\varepsilon(u) = \varepsilon(\pi \otimes \bar{\pi}, S).$$

**DEFINITION 2.6.** — *A sequence  $\{u(k) \mid k \in \mathbb{N}\}$  with each  $u(k) \in U(N_k)^n$  such that  $N_k \rightarrow \infty$  (with  $n$  remaining fixed) and  $\inf_k \{\varepsilon(u(k))\} > 0$  is called a quantum expander. We say that  $n$  is its degree and  $\inf_k \{\varepsilon(u(k))\} > 0$  its spectral gap.*

*Remark 2.7.* — The existence of quantum expanders can be deduced as follows from that of expanders. Recalling (2.4), assume given a finite group  $G$  and  $S \subset G$  as before such that  $\varepsilon(G, S) = \varepsilon(\lambda_G, S) \geq \varepsilon > 0$ . Recall that each  $\sigma \in \hat{G}$  is contained in  $\lambda_G$ . Let  $\pi \in \hat{G}$ . Since any representation on  $G$  without invariant vectors, being a direct sum of non trivial irreps, is weakly contained in  $\lambda_G$ , the representation  $\rho = \pi \otimes \bar{\pi}$  restricted to  $H_\rho^{\text{inv}\perp}$  is weakly contained in the non trivial part of  $\lambda_G$ . In particular, we have by (2.1)

$$\lambda(\rho, S) \leq \lambda(\lambda_G, S).$$

Therefore, we have

$$\varepsilon(\pi \otimes \bar{\pi}, S) \geq \varepsilon(\lambda_G, S) \geq \varepsilon.$$

Thus if we are given an expander  $(G_k, S_k)$  as above, say with  $S_k = \{s_1(k), \dots, s_n(k)\}$ , we can choose by (2.3)  $\sigma_k \in \hat{G}_k$  such that  $\dim(H_{\sigma_k}) \rightarrow \infty$ , and if we set  $u_j(k) = \sigma_k(s_j(k))$  ( $1 \leq j \leq n$ ), then  $u(k) = \{u_1(k), \dots, u_n(k)\}$  forms a quantum expander.

The next statement is a simple generalization of Proposition 2.1

**PROPOSITION 2.8.** — *For any  $0 < \varepsilon < 1$  there is a constant  $c'_\varepsilon > 0$  for which the following holds. Let  $G$  be any group and let  $\pi : G \rightarrow B(H)$  be any unitary representation on a finite dimensional Hilbert space  $H$ . Let us assume that there is an  $n$ -element subset  $S \subset G$  and  $\varepsilon > 0$  such that*

$$\varepsilon(\pi \otimes \bar{\pi}, S) \geq \varepsilon.$$

*In other words,  $\pi$  satisfies the following spectral gap condition:*

$$\lambda(\pi \otimes \bar{\pi}, S) \leq 1 - \varepsilon \tag{2.6}$$

*Let  $\pi = \bigoplus_{t \in T} \pi_t$  be the decomposition into distinct irreducibles (where each  $\pi_t$  has multiplicity  $d_t \geq 1$ ), then*

$$|\{t \in T \mid \dim(\pi_t) \leq N\}| \leq \exp c'_\varepsilon n N^2. \tag{2.7}$$

*Proof.* — Let  $\sigma = \bigoplus_{t \in T} \pi_t$  be the direct sum where each component is included with multiplicity equal to 1. We may clearly view  $\sigma$  as a subpresentation of  $\pi$ , acting on a subspace  $K \subset H$  so that the orthogonal projection  $Q : H \rightarrow K$  is intertwining, i.e. satisfies  $Q\pi = \sigma Q$ . Then we also have  $(Q \otimes \bar{Q})(\pi \otimes \bar{\pi}) = (\sigma \otimes \bar{\sigma})(Q \otimes \bar{Q})$ , from which it is easy to derive that if we denote  $V_\pi = H_{\pi \otimes \bar{\pi}}^{\text{inv}}$ , we have  $(Q \otimes \bar{Q})V_\pi = V_\sigma$  and  $(Q \otimes \bar{Q})V_\pi^\perp = V_\sigma^\perp$ . This implies

$$\lambda(\sigma \otimes \bar{\sigma}, S) \leq \lambda(\pi \otimes \bar{\pi}, S) \leq 1 - \varepsilon.$$

Thus, replacing  $\pi$  by  $\sigma$ , we may as well assume that the multiplicities  $d_t$  are all equal to 1.

Let  $H = \bigoplus_{t \in T} H_t$  denote the decomposition corresponding to  $\pi = \bigoplus_{t \in T} \pi_t$ . We have  $\pi \otimes \bar{\pi} = \bigoplus_{t,r \in T} \pi_t \otimes \bar{\pi}_r$ , with associated decomposition  $H \otimes \bar{H} =$

$\bigoplus_{t,r \in T} H_t \otimes \overline{H_r}$ . From this follows that the subspace  $V_\pi \subset H \otimes \overline{H}$  of  $\pi \otimes \overline{\pi}$ -invariant vectors is equal to  $\bigoplus_{t,r \in T} V_{t,r}$  where  $V_{t,r} \subset H_t \otimes \overline{H_r}$  is the subspace of invariant vectors of  $\pi_t \otimes \overline{\pi_r}$ . Since for any  $t \neq r \in T$ ,  $\pi_t \not\cong \pi_r$ , by Schur's lemma  $V_{t,r} = \{0\}$ , and hence  $V_\pi \subset \bigoplus_{t \in T} V_{t,t}$ . In particular, this shows that

$$\forall t \neq r \in T \quad H_t \otimes \overline{H_r} \subset V_\pi^\perp.$$

Let  $T' = \{t \in T \mid \dim(\pi_t) = N\}$ . It suffices to show an estimate of the form

$$|T'| \leq \exp c_\varepsilon n N^2. \tag{2.8}$$

Let  $\mathcal{H}$  be the Hilbert space obtained by equipping  $M_N^n$  with the norm

$$\|x\|_{\mathcal{H}}^2 = N^{-1} n^{-1} \sum_1^n \operatorname{tr}(x_j^* x_j).$$

Let  $S = \{s_1, \dots, s_n\}$ . For any  $t \in T'$  we define  $x(t) \in M_N^n$  by

$$x(t)_j = \pi_t(s_j) \quad 1 \leq j \leq n.$$

Note that, by our normalization,  $\|x(t)\|_{\mathcal{H}} = 1$  for any  $t \in T'$ . Moreover, since for any  $t \neq r \in T$   $\pi_t \not\cong \pi_r$ , by Schur's lemma the representation  $\pi_t \otimes \overline{\pi_r}$  has no invariant vector, and hence lies inside  $(\pi \otimes \overline{\pi})|_{V_\pi^\perp}$ . Therefore, by (2.1)

$$\lambda(\pi_t \otimes \overline{\pi_r}, S) \leq \lambda(\pi \otimes \overline{\pi}, S),$$

and hence for any unit vector  $\xi \in H_{\pi_t} \otimes \overline{H_{\pi_r}}$  we have

$$n^{-1} \Re \left( \sum_{s \in S} (\pi_t \otimes \overline{\pi_r}) \xi, \xi \right) \leq 1 - \varepsilon.$$

In particular, if  $t \neq r \in T'$ , we may realize  $\pi_t, \pi_r$  as representations on the same  $N$ -dimensional space, so that taking  $\xi = N^{-1/2} I$  we find

$$\Re \langle x(t), x(r) \rangle_{\mathcal{H}} = (nN)^{-1} \Re \left( \sum_{s \in S} \operatorname{tr}(\pi_t(s)^* \pi_r(s)) \right) \leq 1 - \varepsilon,$$

which implies

$$\|x(t) - x(r)\|_{\mathcal{H}} \geq \sqrt{2\varepsilon}.$$

Thus we have  $|T'|$  points in the unit sphere of  $\mathcal{H}$  that are  $\sqrt{2\varepsilon}$ -separated. Since  $\dim(\mathcal{H}) = nN^2$ , (2.8) follows immediately by a well known elementary volume argument (see e.g. [19, p. 57]).  $\square$

*Remark 2.9.* — To derive Proposition 2.1 from the preceding statement, consider, in the situation of Proposition 2.1, a finite set  $\{\sigma_t \mid t \in T\}$  of distinct finite dimensional irreducible representations of  $G$ , let  $\pi$  be their direct sum and let  $\rho = \pi \otimes \overline{\pi}$ . By the assumption in Proposition 2.1, we know  $\varepsilon(\rho, S) \geq \varepsilon$ , and hence (2.7) implies  $|T| \leq \exp c'_\varepsilon n N^2$ . Applying this to  $\pi = \lambda_G$ , this shows that Proposition 2.8 contains Proposition 2.1.

For any finite dimensional unitary representation  $\pi : G \rightarrow B(H)$  on an arbitrary group, let us denote by  $r'_N(\pi)$  the number of distinct irreducible representations appearing in the decomposition of  $\pi$  of dimension at most  $N$ . Let then

$$R'_{n,\varepsilon}(N) = \sup r'_N(\pi)$$

where the sup runs over all  $\pi$ 's and  $G$ 's admitting an  $n$ -element generating set  $S \subset G$  such that

$$\varepsilon(\pi \otimes \bar{\pi}, S) \geq \varepsilon.$$

Note that  $r'_N(\lambda_G) = r_N(G)$  and hence

$$R_{n,\varepsilon}(N) \leq R'_{n,\varepsilon}(N).$$

With this notation (2.7) means that

$$R'_{n,\varepsilon}(N) \leq \exp c'_\varepsilon n N^2.$$

While it seems very difficult to give a good lower bound for  $R_{n,\varepsilon}(N)$ , we can answer the analogous question for  $R'_{n,\varepsilon}(N)$ : Indeed, the main result of [20] (see [20, Th. 1.3]), which follows, implies the desired lower bound when reformulated in terms of representations.

**THEOREM 2.10** ([20]). — *For each  $0 < \varepsilon < 1$ , there is a constant  $\beta_\varepsilon > 0$  such that and for all sufficiently large integer  $n$  (i.e.  $n \geq n_0$  with  $n_0$  depending on  $\varepsilon$ ) and for all  $N \geq 1$ , there is a subset  $\mathcal{T} \subset U(N)^n$  with*

$$|\mathcal{T}| \geq \exp \beta_\varepsilon n N^2$$

such that

$$\forall u \neq v \in \mathcal{T} \quad \left\| \sum_1^n u_j \otimes \bar{v}_j \right\| \leq n(1 - \varepsilon) \quad (\text{we call these “}\varepsilon\text{-separated”}),$$

and  $\varepsilon(u) \geq \varepsilon$  for all  $u \in \mathcal{T}$  (we call these “ $\varepsilon$ -quantum expanders”). More precisely, for all  $u \in \mathcal{T}$  we have

$$\left\| \left( \sum u_j \otimes \bar{u}_j \right) |_{I^\perp} \right\| \leq n(1 - \varepsilon).$$

**THEOREM 2.11.** — *The estimate in Proposition 2.8 is best possible in the sense that for any  $0 < \varepsilon < 1$  there is a constant  $\beta_\varepsilon > 0$  such that for any  $n$  large enough (i.e.  $n \geq n_0(\varepsilon)$ ), for any  $N \geq 1$  there is a group  $G$  and a finite dimensional representation  $\pi$  on  $G$  satisfying (2.6) and admitting a decomposition  $\pi = \bigoplus_{t \in T} \pi_t$ , with distinct irreducibles  $\pi_t$  each with multiplicity 1 (or any specified value  $\geq 1$ ) and acting on an  $N$ -dimensional space, with*

$$|T| \geq \exp \beta_\varepsilon n N^2.$$

*Proof.* — Fix  $N > 1$ . Let  $T \subset U(N)^n$  be the subset appearing in Theorem 2.10, i.e.  $T$  is such that  $|T| \geq \exp \beta_\varepsilon n N^2$  and  $\forall t \neq r \in T$  we have

$$\left\| \sum t_j \otimes \bar{r}_j \right\| \leq n(1 - \varepsilon), \tag{2.9}$$

and also

$$\|(\sum t_j \otimes \bar{t}_j)|_{I^\perp}\| \leq n(1 - \varepsilon). \quad (2.10)$$

Let  $s_j = \oplus_{t \in T} t_j \in U(m)$  with  $m = |T|N$ , and let  $G \subset U(m)$  be the subgroup generated by  $S = \{s_1, \dots, s_n\}$ . Note that  $\pi(G) \subset \oplus_{t \in T} M_N$ . Let  $\pi : G \rightarrow U(m)$  be the inclusion map viewed as a representation on  $G$ . Let  $P_t : \oplus_{t \in T} M_N \rightarrow M_N$  be the  $*$ -homomorphism corresponding to the projection onto the coordinate of index  $t$ . For any  $t \in T$ , let  $\pi_t : G \rightarrow U(N)$  be the representation defined by  $\pi_t = P_t(\pi)$ . Then, by definition, we have  $\pi = \oplus_{t \in T} \pi_t$ . By the spectral gap condition (2.10) the commutant of  $\pi_t(S)$  (which is but the commutant of  $\{t_1, \dots, t_n\}$ ) is reduced to the scalars, so  $\pi_t$  is irreducible, and by (2.9) for any  $t \neq r \in T$  the representations  $\pi_t$  and  $\pi_r$  are not unitarily equivalent.  $\square$

*Remark 2.12.* — In particular, this means that  $\forall n \geq n_0(\varepsilon)$  and  $\forall N$

$$R'_{n,\varepsilon}(N) \geq \exp \beta_\varepsilon n N^2.$$

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