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Structure of the group of homeomorphisms preserving a good measure on a compact manifold

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STRUCTURE OF THE GROUP OF HOMEOMORPHISMS
PRESERVING A GOOD MEASURE
ON A COMPACT MANIFOLD

By A. FATHI

0. Introduction

We give proofs of the results announced in [FV]. Let $M^n$ be a compact connected manifold without boundary of dimension $n$. Let $\mu$ be a probability measure on $M^n$ without atoms and which is strictly positive on each non empty open subset of $M$; such a measure is called a good measure. We prove the following results.

**Theorem.** — The group $\mathcal{H}(M^n, \mu)$ of $\mu$-preserving homeomorphisms is locally contractible (for the compact open topology), i.e. there exists a neighborhood $\mathcal{U}$ of $\text{Id}_{M^n}$ in $\mathcal{H}(M^n, \mu)$ such that the inclusion $\mathcal{U} \subset \mathcal{H}(M^n, \mu)$ is homotopic to a constant map. Moreover, the inclusion $\mathcal{H}(M^n, \mu) \subset \mathcal{H}(M^n)$, where $\mathcal{H}(M^n)$ is the group of all homeomorphisms, is a weak homotopy equivalence, i.e. it induces isomorphisms on all homotopy groups.

**Theorem.** — Suppose that $M^n$ is differentiable or PL and that $n \geq 3$. Let $\mathcal{H}_0(M^n, \mu)$ be the path component of $\mathcal{H}(M^n, \mu)$ which contains the identity. The abelianization of $\mathcal{H}(M^n, \mu)$ is isomorphic to a quotient of $H_1(M^n, \mathbb{R})$ by some discrete subgroup. The commutator subgroup $[\mathcal{H}_0(M^n, \mu), \mathcal{H}_0(M^n, \mu)]$ is a simple group; moreover, it is generated by the elements of $\mathcal{H}_0(M^n, \mu)$ which are supported in topological $n$-balls.

In fact, we do not need that $M^n$ is differentiable or PL, the Theorem above is true under more general conditions which are explained in the text. In contrast the condition $n \geq 3$ is essential for our methods; to our knowledge the case $n = 2$ is still unsettled. The case of $S^1$ is treated by direct examination since $\mathcal{H}_0(S^1, \mu)$ is isomorphic to $S^1$. Generalizations to the non compact case are also given.

We will describe now the proofs of these Theorems; this will give a fairly good idea of the content of this work.

The first ingredient is the von Neumann-Oxtoby-Ulam Theorem [OU$_2$]; it says that given two good measures $\mu$ and $\nu$ on $M^n$, there exists a homeomorphism $h$ of $M^n$ such that $h_\# \mu = \nu$. What we need is in fact a parametrized version of this Theorem; more precisely we...
would like that $h$ depends continuously on $\mu$ and $v$. We are not able to prove this fact, but instead we remark that if we restrict to the subset of good measures which have the same subsets of measure 0 as a fixed measure $\mu_0$, then the proof of Oxtoby and Ulam gives us a homeomorphism $h$ which depends continuously on $\mu$ and $v$. As a consequence, we obtain that $\mathcal{H}(M^n, \mu)$ is a deformation retract of the group $\mathcal{H}(M^n, \text{bireg})$, where $\mathcal{H}(M^n, \text{bireg})$ is the group of homeomorphisms $h$ such that $h$ and $h^{-1}$ send subsets of $\mu$-measure 0 to subsets of $\mu$-measure 0. Next we remark, as is well known, that the Ceravnkii-Edwards-Kirby technique shows that $\mathcal{H}(M^n, \mu)$ is locally contractible; this gives us immediately the local contractibility of $\mathcal{H}(M^n, \mu)$. Then, we show that $\mathcal{H}(M^n, \mu) \subset \mathcal{H}(M^n)$ is a weak homotopy equivalence by showing that $\mathcal{H}(M^n, \mu)$ is a weak homotopy equivalence. This last fact is a consequence, via a Theorem of Eilenberg and Wilder [EW], of the local contractibility of $\mathcal{H}(M^n, \mu)$-bireg and the fact that it is dense in $\mathcal{H}(M^n)$.

The proof of the second Theorem begins with the construction of the mass flow homomorphism; this is a group homomorphism $\theta : \mathcal{H}_0(M^n, \mu) \to H_1(M^n, \mathbb{R})/\Gamma$, where $\Gamma$ is some discrete subgroup of $H_1(M^n, \mathbb{R})$. The existence of this map was first given by Schwartzman [Sc]; its differentiable version is attributed to Weinstein [Th]. The definition given here is inspired by Herman’s definition of the rotation number of a homeomorphism of the circle [Hea]. It is more convenient to define first $\tilde{\theta} : \tilde{\mathcal{H}}_0(M^n, \mu) \to H_1(M^n, \mathbb{R})$, where $\tilde{\mathcal{H}}_0(M^n, \mu)$ is the universal cover of $\mathcal{H}_0(M^n, \mu)$. Since $\mathcal{H}_0(M^n, \mu)$ is locally contractible, an element of $\tilde{\mathcal{H}}_0(M^n, \mu)$ is represented by an isotopy $(h_t)_{t \in [0, 1]}$ such that $h_0 = \text{id}$ and $h_t, \mu = \mu$ for each $t \in [0, 1]$; two such isotopies represent the same element if they are homotopic with fixed extremities, the homotopy being through measure preserving isotopies. To define $\tilde{\theta}$, we first remark that $H_1(M^n, \mathbb{R}) = \text{Hom}([M^n, S^1], \mathbb{R})$, where $[M^n, S^1]$ is the set of homotopy classes of maps from $M^n$ to $S^1$. We will identify $S^1$ with $\mathbb{R}/\mathbb{Z}$, this allows us to write the group law on $S^1$ additively. Given $(h_t) \in \tilde{\mathcal{H}}_0(M^n, \mu)$, we define a homomorphism $\tilde{\theta} : \tilde{\mathcal{H}}_0(M^n, \mu) \to [M^n, \mathbb{R}^1]$, via the following way:

Let $f : M^n \to \mathbb{R}^1$ be continuous; the homotopy $fh_t - f : M^n \to \mathbb{R}^1$ verifies $fh_0 - f = 0$, hence we can lift it to a homotopy $\tilde{fh}_t - f : M^n \to \mathbb{R}$ such that $\tilde{fh}_0 - f = 0$:

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M^n  \tilde{fh}_{-}\longrightarrow  \tilde{fh}_{+} \longrightarrow  \mathbb{R}^1 = \mathbb{R}/\mathbb{Z}
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By definition, $\tilde{\theta}(h_t)(f) = \int_{M^n} \tilde{fh}_1 - f \, d\mu$. One first shows that this gives a group homomorphism $[M^n, \mathbb{R}^1] \to \mathbb{R}$, then that $\tilde{\theta}(h_t)$ depends only on the class of $(h_t)$ and finally that $\tilde{\theta} : \tilde{\mathcal{H}}_0(M^n, \mu) \to H_1(M^n, \mathbb{R})$ is a group homomorphism. If we put $\Gamma = \tilde{\theta}(\ker \tilde{\mathcal{H}}_0(M^n, \mu) \to \mathcal{H}_0(M^n, \mu))$, we obtain by passing to the quotient a group homomorphism $\theta : \mathcal{H}_0(M^n, \mu) \to H_1(M^n, \mathbb{R})/\Gamma$. The subgroup $\Gamma$ is discrete because it is contained in

4e série — tome 13 — 1980 — n°1
We show that $\tilde{\theta}$ (hence $\theta$) is surjective, if $H_1(M^*, \mathbb{Z})$ has a basis represented by imbedded curves having tubular neighborhoods. It remains to show that $\text{Ker}\theta$ is simple, and is generated by the homeomorphisms supported in topological $n$-balls. We then prove this second fact in the case where $M^*$ has a handle decomposition, the proof is done by induction on the number of handles; moreover, we show that $\text{Ker}\theta$ is generated by homeomorphisms having support in arbitrarily small topological $n$-balls. This last fact implies, by the classical method of Epstein and Higman ([E], [H]), that the commutator subgroup $[\text{Ker}\theta, \text{Ker}\theta]$ is simple. Then, we prove that $\text{Ker}\theta = [\text{Ker}\theta, \text{Ker}\theta]$ by the same method as in [F] where we proved that the group of bimeasurable Lebesgue measure preserving transformations of $[0, 1]$ is a simple group.

Some more facts and the extension to the non compact case are proven in the different appendices.

The results of this work are of course related to results of Epstein [Ep], Herman [He,], Mather [Ma] and Thurston [Th] on the simplicity of diffeomorphisms groups, and also to results due to Anderson [A], Černavskii, Edwards and Kirby [EK] on the algebraic and topological structure of the homeomorphisms group of a manifold. Our debt to their work is important, but our greatest debt is to the work of Oxtoby and Ulam; their paper [OU] is certainly the most important tool for any study of measure preserving homeomorphisms.

The part of this work centering around the first of the two Theorems mentionned above is a joint work with Yves-Marie Visetti, to whom I am most grateful. This work was done at the instigation of Michel Herman and with his help and constant encouragements. It owes also a great deal to the good will and encouragements of Larry Siebenmann. I want also to thank Lucien Guillou who followed this work step by step and listened to all stupidities without loosing his temper.

LIST OF CONTENTS

1. Some generalities on measures on compact metric spaces. ................................ 48
   A.1. On the topological type of $\mathcal{M}(X)$. ............................................. 49
2. Some generalities on manifolds and measures on manifolds. ................................ 50
3. The von Neumann-Oxtoby-Ulam Theorem and some of its consequences. ...................... 53
4. Local contractibility of $\mathcal{H}(M^*, \mu)$ and related results. ........................ 59
   A.4. Is $\mathcal{H}(M^*, \mu)$ an ANR? .......................................................... 65
   B.4. Outline of the proof of the Černavskii-Edwards-Kirby Theorem in the biregular case. . 65
5. The mass flow homomorphism. .............................................................................. 67
   A.5. On the different forms of the mass flow homomorphism. .................................. 76
6. Study of the kernel of $\tilde{\theta}$ in the case of a compact manifold. ...................... 78
   A.6. The case where the homeomorphisms are the identity on the boundary and the non compact case. 84
7. The algebraic structure of the kernel of $\tilde{\theta}$ in the case of a manifold. .............. 86
   A.7. The non compact and the non void boundary cases. ....................................... 91
Index of notations. ................................................................................................. 91
References. ............................................................................................................. 92
1. Some generalities on measures on compact metric spaces

Let $X$ be a compact metric (non empty) space. We will denote by $\mathcal{M}(X)$ the set of probability measures on $X$. Recall that a probability measure on $X$ is a non negative measure, defined on the $\sigma$-algebra of all Borel subsets of $X$, whose total mass is 1.

We put on $\mathcal{M}(X)$ the weak topology (see [DGS], p. 9 for a definition), with this topology $\mathcal{M}(X)$ is a compact metric space. We will have sometimes to consider measures on a locally compact space $Y$. A Radon measure on $Y$ is a measure defined on the $\sigma$-algebra of all Borel subsets, and which is finite on each compact subset of $Y$. Hence, such a measure is $\sigma$-finite, if $Y$ is $\sigma$-compact, in particular if $Y$ has a countable basis of open sets.

In the remainder of this section, $X$ is a compact metric space.

We recall some facts which are proved in chapter 2 of [DGS].

**Proposition 1.1.** — If $\mu$ is in $\mathcal{M}(X)$, then $\mu$ is regular, i.e. for each Borel set $B$ we have:

$$\mu(B) = \sup \{ \mu(C) | C \text{ compact } \subseteq B \},$$

$$\mu(B) = \inf \{ \mu(U) | U \text{ open } \supseteq B \}.$$

**Proposition 1.2.** — The weak topology on $\mathcal{M}(X)$ is the weakest topology on $\mathcal{M}(X)$ such that each function $\mu \mapsto \mu(U)$, $U$ an open set in $X$ [resp. $\mu \mapsto \mu(C)$, $C$ a closed set in $X$], is lower semi-continuous (resp. upper semi-continuous).

**Definition 1.3.** — A measure on $X$ is good if it is a probability measure with no atoms and whose support is $X$ itself. We will denote by $\mathcal{M}_g(X)$ the set of good measures on $X$.

**Remark.** — The support of $\mu$ is $X$ if and only if $\mu$ is strictly positive on each non empty open set.

**Proposition 1.4.** — If $X$ has no isolated point, then $\mathcal{M}_g(X)$ is a dense $G_\delta$ subset of $\mathcal{M}(X)$.

**Proof.** — By [DGS], 2.16, the set of non atomic measures is a dense $G_\delta$ in $\mathcal{M}(X)$. We have now to show that the set $\{ \mu \in \mathcal{M}(X) \mid \text{ support } \mu = X \}$ is a dense $G_\delta$. Let $(U_n)_{n \in \mathbb{N}}$ be a basis of open sets of $X$, we can assume $U_n \neq \emptyset$ for each $n$. It is easy to show that:

$$\{ \mu \in \mathcal{M}(X) \mid \text{ support } \mu = X \} = \bigcap_{n \in \mathbb{N}} \{ \mu \in \mathcal{M}(X) \mid \mu(U_n) > 0 \}.$$

Now each set $\{ \mu \in \mathcal{M}(X) \mid \mu(U_n) > 0 \}$ is open by 1.2. Moreover, if $x_n \in U_n$ and $\nu \in \mathcal{M}(X)$ then, for each $t > 0$, $(1-t)\nu + t\delta_{x_n}$ is in this set and, of course, $\nu = \lim_{t \to 0} (1-t)\nu + t\delta_{x_n}$. Hence, $\{ \mu \mid \mu(U_n) > 0 \}$ is open and dense.

An application of Baire category Theorem finishes the proof. □

We put the compact open topology on $\mathcal{H}(X)$ the space of homeomorphisms of $X$. Since $X$ is compact metric, this topology is the same as the uniform topology, it is metrizable. In fact, we can define this topology by a complete metric; if $d$ is a metric on $X$, we define a metric $\bar{d}$ on $\mathcal{H}(X)$ by:

$$\bar{d}(f, g) = \sup_{x \in X} d(f(x), g(x)) + \sup_{x \in X} d(f^{-1}(x), g^{-1}(x)).$$
The metric $d$ is complete and defines the uniform topology on $\mathcal{H}(X)$.

We recall for future reference that an isotopy between $h_0$ and $h_1$, where $h_0$ and $h_1 \in \mathcal{H}(X)$, is simply a continuous path in $\mathcal{H}(X)$ between $h_0$ and $h_1$. It is also given by a continuous map $H : X \times [0, 1] \to X$ such that, for each $t \in [0, 1]$, the map $X \to X, x \mapsto H(x, t)$ is a homeomorphism, which is equal to $h_0$ for $t=0$ and to $h_1$ for $t=1$. An isotopy of $X$ is simply an isotopy between the identity of $X$ and some other homeomorphism, i.e., a continuous path in $\mathcal{H}(X)$ starting at the identity of $X$.

There is a natural map: $\mathcal{H}(X) \times \mathcal{M}(X) \to \mathcal{M}(X)$, $(h, \mu) \mapsto h_* \mu$, where $h_* \mu$ is defined by $h_* \mu(B) = \mu(h^{-1}(B))$ for each Borel set $B$ in $X$.

**Proposition 1.5.** The map $\mathcal{H}(X) \times \mathcal{M}(X) \to \mathcal{M}(X)$, $(h, \mu) \mapsto h_* \mu$, is continuous.

**Proof.** It suffices to show that if $C$ is a closed set in $X$ and $\alpha$ a real number, then the set \{$(h, \mu) \in \mathcal{H}(X) \times \mathcal{M}(X) | h_* \mu(C) < \alpha$\} is open.

Fix $h_0$ and $\mu_0$ in this set, we have $\mu_0(h^{-1}_0(C)) < \alpha$. We can find a compact set $K$ such that: $h^{-1}_0(C) \subseteq \text{Int}(K)$, and $\mu_0(K) < \alpha$. The set

$$\{h \in \mathcal{H}(X) | h^{-1}(C) \subseteq \text{Int}(K)\} \times \{\mu \in \mathcal{M}(X) | \mu(K) < \alpha\}$$

is a neighborhood of $(h_0, \mu_0)$ which is contained in

$$\{h \in \mathcal{H}(X) | h_* \mu(C) < \alpha\}.$$ 

Given a measure $\mu$ on $X$, we define $\mathcal{H}(X, \mu)$ as the set of homeomorphisms of $X$ which preserve $\mu$:

$$\mathcal{H}(X, \mu) = \{h \in \mathcal{H}(X) | h_* \mu = \mu\}.$$

**Corollary 1.6.** If $\mu$ is a measure on $X$, the set $\mathcal{H}(X, \mu)$ is a closed subgroup of $\mathcal{H}(X)$.

**APPENDIX A.1**

**ON THE TOPOLOGICAL TYPE OF $\mathcal{M}_g(X)$**

**Theorem A.1.** Let $X$ be a compact metric space without isolated points. Then, $\mathcal{M}_g(X)$ (with the weak topology) is homeomorphic to the Hilbert space $l^2$. Moreover, the pair $(\mathcal{M}(X), \mathcal{M}_g(X))$ is homeomorphic to $([0, 1]^\mathbb{N}, [0, 1]^\mathbb{N})$.

**Proof.** By the Anderson-Kadec Theorem ([BP], p. 189, Th. 5.2), the Hilbert space $l^2$ is homeomorphic to $[0, 1]^\mathbb{N}$, hence we have to prove only the last part of the Theorem.

We will use the apparatus of infinite dimensional topology. We will give references to [BP] and [To] for the quoted results.

First, $\mathcal{M}(X)$ is a separable compact convex set of infinite dimension, hence by Keller’s Theorem ([BP], p. 100, Th. 3.1), it is homeomorphic to the Hilbert cube $Q = [0, 1]^\mathbb{N}$. To finish the proof, all we have to do is to prove that $\mathcal{M}(X) - \mathcal{M}_g(X)$ is a $Z$-skeletoid (see [BP], chap. IV and V). Since $\mathcal{M}_g(X)$ is convex and dense, it is easy to see that for each open...
convex set $U$ the inclusion $U \cap M_g(X) \subset U \cap M(X)$ is a homotopy equivalence, hence by [To], Th. 2.3, $M(X) - M_g(X)$ is locally homotopically negligible in $M(X)$. Remark that $M(X) - M_g(X)$ is a $F_\sigma$ since $M_g(X)$ is a $G_\delta$. So, we have proved that $M(X) - M_g(X)$ is a $Z_\sigma$-set. To finish the proof, we must show that it contains a $Z$-skeletoid [BP], p. 157, Th. 4.2, that this set is a $Z$-skeletoid. This finishes the proof. □

Remarks. — (1) By using Dirac measures on $X$, we can embed naturally $X$ in $M(X)$ as a $Z$-set. By using the action of $M(X)$ on $M(X)$, we obtain a group imbedding $M(X) \subset M(M(X))$ which gives us canonical extensions of the homeomorphisms of $X$ to homeomorphisms of $M(X) \approx Q$. Since two $Z$-imbeddings of $X$ in $Q$ are ambient homeomorphic, we obtain the following:

If $X$ is a $Z$-set in $Q$, we can construct a group homomorphism $M(X) \rightarrow M(Q)$, $h \rightarrow h$, such that $\overline{h} | X = h$, for each $h \in M(X)$.

(2) We will see in paragraph 3 that, if $M^*$ is a compact connected closed manifold and $\mu \in M_g(M^*)$, then $M^*/M^* \approx M^*(M^*)$, hence $M(M^*)/M(M^*),$ is homeomorphic to $I^2$. Applying this fact to $I = [-1, 1]$, we obtain the well-known result $M(I) \approx I^2 \times \{Id, r\}$ where $r : I \rightarrow I$ is given by $r(x) = -x$.

2. Some generalities on manifolds and measures on manifolds

We introduce the following notations:

\begin{align*}
B^n &= \{ x \in \mathbb{R}^n \mid \|x\| \leq 1 \} \text{ where } \| \| \text{ is the usual euclidian norm;} \\
I^n &= [-1, 1]^n \subset \mathbb{R}^n; \\
H^n_+ &= \{(x_1, \ldots, x_n) \in \mathbb{R}^n \mid x_n \geq 0 \}; \\
H^n_{++} &= \{(x_1, \ldots, x_n) \in \mathbb{R}^n \mid x_{n-1} \geq 0 \text{ and } x_n \geq 0 \}. \\
\end{align*}

By a manifold $M^*$, we mean a Hausdorff topological space which is locally homeomorphic to $H^n_+$, and which has a countable basis of open sets. Locally homeomorphic to $H^n_+$ means, of course, each point of $M^n$ has an open neighborhood homeomorphic to some open set of $H^n_+$. By the definition we have given, our manifolds may have a non empty boundary. If $M^*$ is a manifold, we will note by $\partial M^*$ its boundary and by $\bar{M}^*$ its “interior” $M^* - \partial M^*$.

To avoid confusion, if $A$ is a subset of a topological space $X$, we will note its interior as a subset of $X$ by $\text{Int}(A)$, and its “boundary” as a subset of $X$ will be called frontier and noted by $\text{Fr}(A)$.

If $M^n$ is a manifold, we will denote by $M^d(M^n)$ the subgroup of $M(M^n)$ defined by:

\[ M^d(M^n) = \{ h \in M(M^n) \mid h | \partial M^n = \text{identity} \}. \]

The following Lemma is trivial and its proof is left to the reader.

**Lemma 2.0.** — Let $\mu$ be a $\sigma$-finite measure defined on some space $X$. Let $\{ A_\lambda \mid \lambda \in \Lambda \}$ be a family of disjoint measurable sets. There is only a countable number of $A_\lambda$ such that $\mu(A_\lambda) \neq 0$. 

4e série - Tome 13 - 1980 - N°1
Note the following trivial consequences of Lemma 2.0.

1. If $M^n$ is a manifold and $\mu$ is a (Radon) measure on $M^n$ such that $\mu(\partial M^n)=0$, then we can find (arbitrary small) coverings of $M^n$ by sets $\{B_i\}_{i \in \mathbb{N}}$ such that each $B_i$ is homeomorphic to $I^n$ and $\mu(\partial B_i)=0$.

2. If $\mu$ is a (Borel) measure on the cube $I^n$ such that $\mu(\partial I^n)=0$, then we can find (arbitrary small) subdivisions of $I^n$ by hyperplanes into subcubes $c_1, \ldots, c_2$ such that $\mu(\partial c_i)=0$ for each $i$.

We will need the following Proposition of Oxtoby and Ulam [OU1].

**Proposition 2.1.** — Let $M^n$ be a compact manifold and $\mu$ a (Borel) measure on $M^n$ with $\mu(\partial M^n)=0$. If $A$ is a closed set of $M^n$ with $\text{int}(A)=\emptyset$, then there exists a homeomorphism $h$ of $M^n$ such that $\mu(h(A))=0$ and $h|\partial M^n=\text{Id}$. More precisely, the set $\{h \in \mathcal{H}\phi(M^n)|\mu(h(A))=0\}$ is a dense $G_\delta$ in $\mathcal{H}\phi(M^n)$.

To prove 2.1, we must first give a Lemma.

**Lemma 2.2.** — Suppose $\mu$ is a measure on $I^n$ such that $\mu(\partial I^n)=0$, and $S$ is a closed subset of $I^n$ such that $\text{int}(S)=\emptyset$. Then, for each $\delta$ and $\varepsilon>0$, there exists a homeomorphism $h$ of $I^n$ such that:

- $h|\partial I^n=\text{Id}$;
- $h$ is $\delta$-close to the identity;
- $\mu(h(S))<\varepsilon$.

**Proof.** — Let $c_1, \ldots, c_k$ be a subdivision of $I^n$ by cubes such that $\text{diam}(c_i)<\delta$ and $\mu(\partial c_i)=0$ for each $i$. We can find an open neighborhood $U$ of $\sum_{i=1}^k \partial c_i$, such that $\mu(U)<\varepsilon$. Since $S$ has empty interior, we can find, for each $i$, a small subcube $c'_i \subset c_i$ with faces parallel to the faces of $c_i$ and such that $S \cap c'_i = \emptyset$.

For each $i$, we can define a homeomorphism $h_i$ of $c_i$ such that:

- $h_i|\partial c_i=\text{Id}$;
- $h_i(c_i-c'_i) \subset U \cap c_i$.

Piecing together the $h_i$ gives the desired homeomorphism $h$. □

**Proof of 2.1.** — Let $B_1, \ldots, B_k$ be a covering of $M^n$ by sets homeomorphic to $I^n$ and such $\mu(\partial B_i)=0$ for each $i$.

If $i \in \{1, \ldots, k\}$ and $j \in \mathbb{N}^* = \mathbb{N} - \{0\}$, define a subset $\mathcal{U}(i,j)$ of $\mathcal{H}\phi(M^n)$ by:

$$\mathcal{U}(i,j) = \left\{ h \in \mathcal{H}\phi(M^n) | \mu[h(A) \cap B_i] < \frac{1}{j} \right\}.$$ 

We clearly have:

$$\left\{ h \in \mathcal{H}\phi(M^n) | \mu(h(A))=0 \right\} = \bigcap_{i,j} \mathcal{U}(i,j).$$

So we must show that $\mathcal{U}(i,j)$ is open and dense in $\mathcal{H}\phi(M^n)$. Denseness of $\mathcal{U}(i,j)$ follows easily from Lemma 2.2. We prove now that $\mathcal{U}(i,j)$ is open.
Fix $h_0 \in \mathcal{H}(i, j)$, we can find an open neighborhood $U$ of $h_0(A) \cap B_i$ such that $\mu(U) < 1/j$. If $h$ is close enough to $h_0$, we have $h(A) \cap B_i \subset U$, which implies that $\mu(h(A) \cap B_i) < 1/j$. □

Remark. — Proposition 2.1 is also valid when $M^*$ is not compact and when the compact-open topology on $M^*$ is replaced by the fine topology.

We will use the following Theorems due to M. Brown.

PROPOSITION 2.3. — Let $M^*$ be a compact connected $n$-dimensional manifold. There exists a map $\varphi : I^n \to M^*$ such that:

(i) $\varphi$ is surjective;
(ii) $\varphi | I^n$ is a homeomorphism onto its image;
(iii) $\varphi(\partial I^n) \cap \varphi(I^n) = \emptyset$ and in particular $\varphi(\partial I^n)$ has empty interior in $M^*$.

PROPOSITION 2.3'. — Suppose $M^*$ is a connected manifold, with $\partial M^* \neq \emptyset$. There exists an open imbedding $\psi : \mathbb{H}_n \to M^*$, where $\mathbb{H}_n = \{(x_1, \ldots, x_n) \in \mathbb{R}^n | x_n \geq 0\}$, such that:

(i) $\psi^{-1}(\partial M^*) = \partial \mathbb{H}_n^+$;
(ii) $\psi(\mathbb{H}_n^+)$ is dense in $M^*$; in particular $M^* - \psi(\mathbb{H}_n^+)$ is a closed subset of $M^*$ with empty interior.

The proof of 2.3 and 2.3' can be found in [B]. The proof of 2.3 is also [CV], p. 461.

Complement to 2.3 and 2.3'. — If $\mu$ is some (Radon) measure on $M^*$ such that $\mu(\partial M^*) = 0$, then, we can assume that $\mu(\varphi(\partial I^n)) = 0$ in the case on 2.3 and $\mu(M^* - \psi(\mathbb{H}_n^+)) = 0$ in case of 2.3'.

Proof. — Since $\varphi(\partial I^n)$ [resp. $M^* - \psi(\mathbb{H}_n^+)$] is a closed subset of $M^*$ with empty interior, we can, by 2.1, find a homeomorphism $h$ of $M^*$ such that $\mu[h(\varphi(\partial I^n))] = 0$ (resp. $\mu[h(M^* - \psi(\mathbb{H}_n^+))] = 0$). We can now replace $\varphi$ by $h \varphi$ (resp. $\psi$ by $h \psi$) to obtain the desired result. Remark that, in the case of 2.3', we have not assumed $M^*$ compact, we can apply 2.1 in this case also by the remark following the proof of 2.1. □

The Alexander isotopy works also in the case of Lebesgue measure as we will see it now.

PROPOSITION 2.4. — Let $\mu$ be the Lebesgue measure on $I^n$. Then

$$\mathcal{H}^\circ(I^n, \mu) = \{ h \in \mathcal{H}(I^n, \mu) | h | \partial I^n = id \}$$

is contractible.

Proof. — We can replace, of course, $I^n = [0, 1]^n$ by $J^n = [-1/2, 1/2]^n$. Introduce on $\mathbb{R}^n$ the norm $|\cdot|$ defined by $|x_1, \ldots, x_n| = \max(|x_1|, \ldots, |x_n|)$. In this norm, $J^n$ is the ball of radius $1/2$ and center 0.

If $h \in \mathcal{H}^\circ(J^n, \mu)$, define $(h_t)_{t \in [0, 1]}$ in the following way:

$$h_t(x) = \begin{cases} 
\frac{x}{t} & \text{if } |x| \leq \frac{1}{2} t, \\
x & \text{otherwise.}
\end{cases}$$
We have $h_0 = \text{id}$, $h_1 = h$ and each $h_t$ is a homeomorphism of $\mathbb{R}^n$. Moreover, $h_t$ depends continuously on $(h, t)$. It remains to check that each $h_t$ preserves Lebesgue measure, but this is clear since a homothety in $\mathbb{R}^n$ transforms Lebesgue measure into a scalar multiple of itself. □

3. The von Neumann-Oxtoby-Ulam Theorem
and some of its consequences

If $M^n$ is a compact manifold, we define the subset $\mathcal{M}_\phi^0(M^n)$ of $\mathcal{M}_\phi(M^n)$ by:

$$\mathcal{M}_\phi^0(M^n) = \{ \mu \in \mathcal{M}_\phi(M^n) | \mu(\partial M^n) = 0 \}.$$

Recall that we have a natural map:

$$\mathcal{H}(M^n) \times \mathcal{M}(M^n) \to \mathcal{M}(M^n),$$

$$(h, \mu) \mapsto h_* \mu.$$

This map defines an action of the group $\mathcal{H}(M^n)$ on $\mathcal{M}(M^n)$. The von Neumann-Oxtoby-Ulam Theorem says that $\mathcal{M}_\phi^0(M^n)$ is an orbit of this action.

THEOREM 3.1 (von Neumann-Oxtoby-Ulam). — Suppose $M^n$ is a compact connected manifold. If $\mu_1$ and $\mu_2 \in \mathcal{M}_\phi^0(M^n)$, then there exists a homeomorphism $h$ of $M^n$ such that $h|\partial M^n = \text{id}$, and $h_* \mu_1 = \mu_2$.

The proof of this Theorem can be found in [OU], section II.

In the following, we fix a compact manifold $M^n$ and a measure $\mu_0 \in \mathcal{M}_\phi^0(M^n)$. We have the map:

$$\mathcal{H}^0(M^n) \to \mathcal{M}_\phi^0(M^n),$$

$$h \mapsto h_* \mu_0.$$

Theorem 3.1 shows that this map is surjective and, in particular, that the induced map $\mathcal{H}^0(M^n)/\mathcal{H}^0(M^n, \mu_0) \to \mathcal{M}_\phi^0(M^n)$ is a bijection. In fact, this map is a homeomorphism, we will not prove this fact here, but note only that it can be deduced from Theorem 3.1.

Question 3.2. — Does the (surjective) map $\pi_0 : \mathcal{H}^0(M^n) \to \mathcal{M}_\phi^0(M^n)$ have a (continuous) section?

The answer to this question may be negative. The proof of Theorem 3.1, given by Oxtoby and Ulam, can be used to give a partial positive answer to 3.2. We proceed to explain this now.

Define $\mathcal{M}_\phi^0(M^n, \mu_0)$ as the subset of $\mathcal{M}_\phi^0(M^n)$ consisting of the measures which have the same sets of 0 measure as $\mu_0$:

$$\mathcal{M}_\phi^0(M^n, \mu_0) = \{ \mu \in \mathcal{M}_\phi^0(M^n) | \forall A \subset M^n \text{ Borel set } \mu(A) = 0 \Leftrightarrow \mu_0(A) = 0 \}.$$
**Theorem 3.3.** — If $M^n$ is compact and connected, the surjective map $\pi_0: \mathcal{H}_g^0(M^n) \to \mathcal{M}_g^0(M^n)$ has a continuous section above $\mathcal{M}_g^0(M^n, \mu_0)$. In other words, there exists a continuous map $\sigma: \mathcal{M}_g^0(M^n, \mu_0) \to \mathcal{H}_g^0(M^n)$ such that $\pi_0 \sigma = \text{identity}$.

We will first prove this Theorem with $M^n=\mathbb{I}^n$ and $\mu_0=m$ the Lebesgue measure. We formulate Theorem 3.3 in this case in slightly modified terms.

**Lemma 3.4.** — Let $P$ be a topological space. Given any two continuous maps: $P \to \mathcal{M}_g^0(I^n, m)$, $\rho \mapsto \nu_\rho$, and $\rho \mapsto \mu_\rho$. There exists a continuous map: $P \to \mathcal{H}_g^0(I^n)$, $\rho \mapsto f_\rho$, such that, for each $p \in P$, $(f_\rho)_* \nu_\rho = \mu_\rho$.

**Proof of 3.4.** — The proof is divided in 4 steps.

First of all, define $J_g^0(I^n, \text{bireg})$ as the set of biregular homeomorphisms of $I^n$ (fixing the boundary). A homeomorphism $h$ of $I^n$ is biregular if $h$ and $h^{-1}$ are absolutely continuous with respect to Lebesgue measure. We have:

$$J_g^0(I^n, \text{bireg}) = \{ h \in \mathcal{H}_g^0(I^n) \mid h_* m \in \mathcal{M}_g^0(I^n, m) \}.$$

**Step 1.** — Suppose $I^n$ is divided into two (closed) cubes $c_1, c_2$ by a hyperplane parallel to a coordinate hyperplane. There exists a continuous map $P \to J_g^0(I^n, \text{bireg})$, $\rho \mapsto h_\rho$, such that:

- for each $p \in P$, $\mu_\rho(h_\rho(c_1)) = \nu_\rho(c_1)$ and $\mu_\rho(h_\rho(c_2)) = \nu_\rho(c_2)$;
- the family of homeomorphisms $(h_\rho)_{\rho \in P}$ is equicontinuous.

**Proof of step 1.** — Let $(h_t)_{t \in [0, 1]}: I^n \to I^n$ be any (continuous) homotopy such that:

- $\forall t \in [0, 1], h_t \in J_g^0(I^n, \text{bireg})$;
- $\forall t', t \in [0, 1], t' > t, h_{t'}(\text{Int}(c_1)) \supset h_t(c_1)$;
- $h_0(c_1) = c_1 \cap \partial I^n$ and $h_1(c_1) = I^n$.

Remark that if $\mu \in \mathcal{M}_g^0(I^n, m)$, the map $t \mapsto \mu(h_t(c_1))$ is a strictly increasing continuous map from $[0, 1]$ onto itself. We can then define a map: $P \to [0, 1]$, $p \mapsto t_\rho$, by $\mu_\rho(h_{t_\rho}(c_1)) = \nu_\rho(c_1)$. One can check that this map is continuous.

Since $\nu_\rho \in \mathcal{M}_g^0(I^n)$, we have $\nu_\rho(c_1) \in ]0, 1[$ and hence $t_\rho \in ]0, 1[$. This shows that $h_{t_\rho} \in J_g^0(I^n, \text{bireg})$. Remark also that the family $(h_{t_\rho})_{\rho \in P}$ is equicontinuous because it is contained in the compact family $(h_t)_{t \in [0, 1]}$.

We can define the map $P \to J_g^0(I^n, \text{bireg})$, $p \mapsto h_\rho$, by $h_\rho = h_{t_\rho}$. \[\Box\]
Step 2. — If \( \{ c_1, \ldots, c_k \} \) is a subdivision of \( I^s \) in (closed) cubes by hyperplanes parallel to coordinate hyperplanes, then there exists a continuous map \( P \rightarrow \mathcal{H}^2(I^s, \text{bireg}), p \mapsto h_p \), such that:
- for each \( p \) in \( P \) and each cube \( c_i \) in \( \{ c_1, \ldots, c_k \} \), \( \mu_p(h_p(c_i)) = \nu_p(c_i) \);
- the family of homeomorphisms \( (h_p)_{p \in P} \) is equicontinuous.


Step 3. — For each \( s > 0 \), there exists a subdivision \( \xi \) of \( I^s \) in (closed) cubes by hyperplanes parallel to coordinate hyperplanes, and two continuous maps \( P \rightarrow \mathcal{H}^2(I^s, \text{bireg}), p \mapsto h_p \) and \( p \mapsto g_p \), such that:
- \( \forall c \in \xi, \forall p \in P, \mu_p(h_p(c)) = \nu_p(g_p(c)) \);
- \( (h_p)_{p \in P} \) and \( (g_p)_{p \in P} \) are equicontinuous;
- \( \forall c \in \xi, \forall p \in P, \text{diam}(c) < \varepsilon, \text{diam}(h_p(c)) < \varepsilon \) and \( \text{diam}(g_p(c)) < \varepsilon \).

Proof of step 3. — Let \( \{ c_1, \ldots, c_k \} \) be a subdivision of \( I^s \) in cubes of diameter \( < \varepsilon \). By step 2, we can find a continuous map: \( P \rightarrow \mathcal{H}^2(I^s, \text{bireg}), p \mapsto h_p \), such that:
- \( \forall p \in P, \forall c \in \{ c_1, \ldots, c_k \}, \mu_p(h_p(c)) = \nu_p(c) \);
- \( (h_p)_{p \in P} \) is equicontinuous.

Since the family \( (h_p)_{p \in P} \) of homeomorphisms of \( I^s \) is equicontinuous, we can find a subdivision \( \xi \) of \( I^s \), finer than \( \{ c_1, \ldots, c_k \} \) and such that: \( \forall p \in P, \forall c \in \xi, \text{diam}(h_p(c)) < \varepsilon \).

By applying step 2 inside each cube \( c_i \) (\( i = 1, \ldots, k \)) to the subdivision \( \xi | c_i \) and the measures \( \nu_p | c_i \) and \( (h_p^{-1})_p | c_i \), we can obtain a continuous map: \( P \rightarrow \mathcal{H}^2(I^s, \text{bireg}), p \mapsto g_p \), such that:
- \( \forall c \in \xi, \forall p \in P, \nu_p(g_p(c)) = \mu_p(h_p(c)) \);
- \( \forall p \in P, \forall i = 1, \ldots, k, g_p(c_i) = c_i \) and \( g_p | \partial c_i \) = identity;
- \( (g_p)_{p \in P} \) is equicontinuous.

It is easily verified that \( \xi, (h_p)_{p \in P} \) and \( (g_p)_{p \in P} \) have the desired properties. \( \square \)

Step 4. end of the proof of 3.4. — Using step 3, we can construct by induction on \( i \in \mathbb{N} \), subdivisions \( \xi^i \) (in closed cubes) of \( I^s \) and continuous maps \( P = \mathcal{H}^2(I^s, \text{bireg}), p \mapsto h^i_p \) and \( p \mapsto g^i_p \), such that:
(a) \( \xi^{i+1} \) refines \( \xi^i \);
(b) \( \forall i \geq 1, \forall p \in P, \forall c \in \xi^i, \text{diam}(c) < 1/2^i, \text{diam}(h^i_p \ldots h^i_p(c)) < 1/2^i \), and \( \text{diam}(g^i_p \ldots g^i_p(c)) < 1/2^i \);
(c) \( \forall i \geq 1, \forall p \in P, \forall c \in \xi^i, g^i_p(c) = h^i_p(c) = c \);
(d) \( \forall i \geq 1, \forall p \in P, \forall c \in \xi^i, \mu_p(h^i_p \ldots h^i_p(c)) = \nu_p(g^i_p \ldots g^i_p(c)) \);
(e) \( \forall i \geq 1, (h^i_p)_{p \in P} \) and \( (g^i_p)_{p \in P} \) are two equicontinuous family of homeomorphisms of \( I^s \).

More precisely, \( \xi^{i+1}, (h^{i+1})_{p \in P} \) and \( (g^{i+1})_{p \in P} \) are constructed by application of step 3 inside each cube \( c \) of the subdivision \( \xi^i \). Condition (e) at step \( i \) insures that, if the diameter of each cube in \( \xi^{i+1} \) is small enough, then condition (b) will be realized at step \( i + 1 \).

Define now \( H_i^i \in \mathcal{H}^2(I^s, \text{bireg}) \) and \( G_i^i \in \mathcal{H}^2(I^s, \text{bireg}) \) by:

\[ H^i_p = h^i_p \ldots h^i_p \quad \text{and} \quad G^i_p = g^i_p \ldots g^i_p. \]
By (b) and (c), we obtain easily:

\[ d [H_p^i, H_p^{i+1}] < \frac{1}{2^i}, \quad d (G_p^i, G_p^{i+1}) < \frac{1}{2^i}, \quad d [(H_p^i)^{-1}, (H_p^{i+1})^{-1}] < \frac{1}{2^i} \]

and

\[ d [(G_p^i)^{-1}, (G_p^{i+1})^{-1}] < \frac{1}{2^i}. \]

These inequalities imply that \( H_p^\infty = \lim_{i \to \infty} H_p^i \) and \( G_p^\infty = \lim_{i \to \infty} G_p^i \) exist (for each \( p \in P \)) and belong to \( \mathcal{H}(I^n) \).

Moreover, the two maps: \( P \to \mathcal{H}(I^n) \), \( p \mapsto H_p^\infty \) and \( p \mapsto G_p^\infty \), are continuous, since they are uniform limits of continuous maps.

Using condition (a) and (c), we obtain:

\[ \forall c \in \xi^i, \quad H_p^\infty (c) = H_p^i (c) \quad \text{and} \quad G_p^\infty (c) = G_p^i (c). \]

Hence using (d), we have:

\[ \forall i \in \mathbb{N}, \forall p \in P, \forall c \in \xi^i, \quad \mu_p (H_p^\infty (c)) = \nu_p (G_p^\infty (c)). \]

Using the last fact and the fact that \( \lim_{i \to \infty} \text{diam} \xi^i = 0 \), we obtain:

\[ (H_p^\infty)^{-1} \mu_p = (G_p^\infty)^{-1} \nu_p. \]

Define then \( f_p \) by \( f_p^\prime = G_p^\infty (H_p^\infty)^{-1} \). This gives us a continuous map: \( P \to \mathcal{H} (I^n) \), \( p \mapsto f_p^\prime \), such that \( (f_p^\prime)^{-1} \mu_p = \nu_p \).

This ends the proof of 3.4. \( \square \)

**Proof of Theorem 3.3.** — By 2.3 and its complement, there is a surjective map \( \varphi : I^n \to M^n \) such that \( \varphi | I^n \) is a homeomorphism onto its image, \( \varphi (I^n) \cap \varphi (\partial I^n) = \emptyset \) and \( \mu_0 (\varphi (\partial I^n)) = 0 \). We can define a measure \( \tilde{\mu}_0 \) on \( I^n \) in the following way:

If \( A \) is a Borel set in \( I^n \), then \( \tilde{\mu}_0 (A) = \mu_0 (\varphi (A)) \).

We have clearly \( \tilde{\mu}_0 \in \mathcal{M}_\sigma (I^n) \), hence by theorem 3.1, there exists a homeomorphism \( g \) of \( I^n \) such that \( g_* m = \mu_0 \).

If we put \( \psi = \varphi g \), we obtain a surjective map \( I^n \to M^n \), such that:

- \( \psi | I^n \) is a homeomorphism onto its image;
- \( \mu_0 (\psi (\partial I^n)) = 0 \);
- \( \psi_* m = \mu_0 \).

We define a map \( \overline{\psi} : \mathcal{M}_\sigma (M^n, \mu_0) \to \mathcal{M}_\sigma (I^n, m) \) by:

If \( \mu \in \mathcal{M}_\sigma (M^n, \mu_0) \) and \( A \) is a Borel set in \( I^n \), then \( \overline{\psi} (\mu) (A) = \mu (\psi (A)) \).

The reader will check, using the properties of \( \psi \), that \( \overline{\psi} \) is well defined and continuous (in fact, it is a homeomorphism but we do not need that here). Moreover, we have \( \overline{\psi} (\mu_0) = m \).
We can also define a continuous map \( \tilde{\psi} : \mathcal{H}^0(I^n) \to \mathcal{H}(M^n) \) by:

\[
\tilde{\psi}(h) = \begin{cases} 
\psi h \psi^{-1} & \text{on } \psi(I^n), \\
id & \text{on } \psi(\partial I^n).
\end{cases}
\]

By 3.4, we can find a continuous section \( \theta : \mathcal{M}^\delta(M^n, m) \to \mathcal{H}^0(I^n) \) of \( \mathcal{H}^0(I^n) \to \mathcal{M}^\delta(I^n) \), \( h \mapsto h^* m \).

It is easy to check that the composite map:

\[
\mathcal{M}^\delta(M^n, \mu_0) \xrightarrow{\tilde{\psi}} \mathcal{M}^\delta(I^n, m) \xrightarrow{\theta} \mathcal{H}^0(I^n) \to \mathcal{H}^0(M^n)
\]

is a (continuous) section of \( \mathcal{H}^0(M^n) \to \mathcal{M}^\delta(M^n) \) above \( \mathcal{M}^\delta(M^n, \mu_0) \). \( \square \)

Define \( \mathcal{H}(M^n, \mu_0\text{-bireg}) \) as the set of homeomorphisms \( h \in \mathcal{H}(M^n) \) such that \( h \) and \( h^{-1} \) are absolutely continuous with respect to \( \mu_0 \). We have:

\[
\mathcal{H}(M^n, \mu_0\text{-bireg}) = \{ h \in \mathcal{H}(M^n) \mid h^* \mu_0 \in \mathcal{M}^\delta(M^n, \mu_0) \}.
\]

Define also \( \mathcal{H}^0(M^n, \mu_0\text{-bireg}) \) by:

\[
\mathcal{H}^0(M^n, \mu_0\text{-bireg}) = \mathcal{H}(M^n, \mu_0\text{-bireg}) \cap \mathcal{H}^0(M^n).
\]

As an immediate consequence of Theorem 3.3, we have:

**Corollary 3.5.** — If \( M^n \) is compact and connected, then:

(i) \( \mathcal{H}(M^n, \mu_0\text{-bireg}) \cong \mathcal{H}(M^n, \mu_0) \times \mathcal{M}^\delta(M^n, \mu_0) \);

(ii) \( \mathcal{H}(M^n, \mu_0) \) is a retract by deformation of \( \mathcal{H}(M^n, \mu_0\text{-bireg}) \), in particular the inclusion \( \mathcal{H}(M^n, \mu_0) \hookrightarrow \mathcal{H}(M^n, \mu_0\text{-bireg}) \) is a homotopy equivalence;

(iii) \( \mathcal{H}^0(M^n, \mu_0\text{-bireg}) \cong \mathcal{H}^0(M^n, \mu_0) \times \mathcal{M}^\delta(M^n, \mu_0) \);

(iv) \( \mathcal{H}^0(M^n, \mu_0) \) is a retract by deformation of \( \mathcal{H}^0(M^n, \mu_0\text{-bireg}) \); in particular, the inclusion \( \mathcal{H}^0(M^n, \mu_0) \hookrightarrow \mathcal{H}^0(M^n, \mu_0\text{-bireg}) \) is a homotopy equivalence.

**Proof.** — By 3.3, the surjective map: \( \mathcal{H}^0(M^n, \mu_0\text{-bireg}) \twoheadrightarrow \mathcal{M}^\delta(M^n, \mu_0) \) has a continuous section \( \sigma \). A homeomorphism of \( \mathcal{H}^0(M^n, \mu_0\text{-bireg}) \) on \( \mathcal{H}^0(M^n, \mu_0) \times \mathcal{M}^\delta(M^n, \mu_0) \) can be defined by:

\[
\mathcal{H}^0(M^n, \mu_0\text{-bireg}) \to \mathcal{H}^0(M^n, \mu_0) \times \mathcal{M}^\delta(M^n, \mu_0), \quad h \mapsto ([\sigma(\pi_0(h))]^{-1} h, \pi_0(h)).
\]

This proves (iii). — Remark that under this homeomorphism, \( \mathcal{H}^0(M^n, \mu_0) \) goes to the subset \( \mathcal{H}^0(M^n, \mu_0) \times \{ \mu_0 \} \). Since \( \mathcal{M}^\delta(M^n, \mu_0) \) is convex, \( \{ \mu_0 \} \) is a retract by deformation of \( \mathcal{M}^\delta(M^n, \mu_0) \). If we put the preceding facts together, we obtain a proof of (iv).

We can prove (i) and (ii) in the same way since any section of \( \pi_0 \):

\[
\mathcal{H}^0(M^n, \mu_0\text{-bireg}) \to \mathcal{M}^\delta(M^n, \mu_0)
\]

is also a section of \( \pi_0 : \mathcal{H}(M^n, \mu_0\text{-bireg}) \to \mathcal{M}(M^n, \mu_0) \). \( \square \)
We give now another application of the von Neumann-Oxtoby-Ulam Theorem.

**Proposition 3.6.** — Let $M^n$ be a compact connected manifold and $\mu_0 \in \mathcal{M}_0^0(M^n)$. Suppose $N^n \subset M^n$ is a compact connected codimension 0 submanifold, such that $M^n - N^n$ is a connected codimension 0 submanifold. If $h : M^n \to M^n$ is a homeomorphism such that $h \mid N^n$ is measure preserving [i.e. for each Borel subset $A$ of $N^n$, $\mu_0(h(A)) = \mu_0(A)$], then there exists a measure preserving homeomorphism $\overline{h} : M^n \to M^n$, such that $\overline{h} \mid N^n = h \mid N^n$.

**Proof.** — We define two measures $\nu_1$ and $\nu_2$ on the compact connected manifold $V^* = M^n - N^n$.

If $A$ is a Borel subset of $V^*$, then:

$$\nu_1(A) = \mu_0(A \cap \hat{V}^*) \quad \text{and} \quad \nu_2(A) = \mu_0(h(A \cap \hat{V}^*)).$$ 

Clearly, $\mu_1 = (1/\nu_1(V^*)) \nu_1 \in \mathcal{M}_0^0(V^*)$, hence, by 3.1, there exists $f \in \mathcal{M}_0^0(V^*)$ such that $f \mu_1 = \mu_2$. We have:

$$\nu_1(V^*) = \mu_0(\hat{V}^*) = \mu_0(M^n - N^n) = \mu_0(M^n) - \mu_0(N^n)$$

$$= \mu_0(h(M^n)) - \mu_0(h(N^n)) = \mu_0(h(M^n - N^n)) = \nu_2(V^*),$$

i.e.

$$\nu_1(V^*) = \nu_2(V^*).$$

We conclude from this, that $f \mu_1 = \nu_2$. Since $f \mid \partial V^* = \text{identity}$, we can extend $f$ by the identity on $N^n$ to a homeomorphism $\tilde{f}$ of $M^n$. It suffices to define $\overline{h}$ by $\overline{h} = h \circ \tilde{f}$.

The proof of 3.6 is typical of most of the proofs given in this work.

Before stating the next Proposition, we recall the generalized Schoenflies Theorem.

Let $C^n$ be a parallelootope in $\mathbb{R}^n$ (i.e. $C^n = \prod_{i=1}^n [a_i, b_i], a_i \neq b_i$) or an Euclidian ball of (arbitrary) finite radius (more generally $C^n$ can be any locally flat ball in $\mathbb{R}^n$ such that the Lebesgue measure of $\partial C^n$ is 0). We have $\partial C^n \cong S^{n-1}$. If $j$ is an embedding, $j : \partial C^n \cong \mathbb{R}^n$, then, by the generalized Jordan Theorem, $\mathbb{R}^n - j(\partial C^n)$ has two connected components one bounded and the other unbounded. We will note the bounded component by $B(j)$. In general, $B(j)$ is not a ball ([Ru], p. 47 and 69 or [CV], p. 417 and 461), but if $j$ can be extended to an imbedding $\tilde{j} : U \subset \mathbb{R}^n$, where $U$ is a neighborhood of $\partial C^n$ in $\mathbb{R}^n$, then $B(j)$ is homeomorphic to $C^n$ and we can extend $j$ to a homeomorphism $\overline{j}$ of $C^n$ onto $B(j)$. This is the generalized Schoenflies Theorem; for a proof, see [Ru], p. 48 or [CV], p. 461. We now give the “measure preserving” version of this Theorem. Recall that $m$ is the Lebesgue measure on $\mathbb{R}^n$.

**Proposition 3.7.** — Suppose $j : \partial C^n \subset \mathbb{R}^n$ is an imbedding which can be extended to an imbedding of a neighborhood of $\partial C^n$ in $\mathbb{R}^n$. Suppose, furthermore, that we have: $m(j(\partial C^n)) = 0$ and $m(B(j)) = m(C^n)$. Then, $j$ can be extended to a (Lebesgue) measure preserving homeomorphism $\overline{j} : C^n \cong B(j)$.
Structure of the Group of Homeomorphisms

Proof. — We can extend \( \tilde{j} : C^* \rightarrow B(j) \). We have two measures on \( C^* \), \( \nu_1 = (m \mid C^*) \) and \( \nu_2 = (j^{-1})_*(m \mid B(j)) \). It is easily verified that we can apply to \( \nu_1 \) and \( \nu_2 \) the von Neumann-Oxtoby-Ulam Theorem. We obtain \( h \in \mathcal{H}^\varphi(C^*) \) such that \( h_* \nu_1 = \nu_2 \). If we define \( j \) by \( \tilde{j} \circ h \), we obtain the desired homeomorphism. \( \square \)

Proposition 3.8. — If \( \mu \in \mathcal{M}_B^\varphi(I^*) \), then \( \mathcal{H}(I^*, \mu) \) is contractible. In particular, if \( M^* \) is a compact manifold, \( B \) is a subset of \( M^* \) homeomorphic to \( I^* \) and \( \mu \in \mathcal{M}_B^\varphi(M^*) \), then each \( \mu \)-preserving homeomorphism of \( M^* \), whose support is contained in \( \hat{B} \), is isotopic to the identity via a \( \mu \)-preserving isotopy, whose support is contained in \( \hat{B} \).

An isotopy \( (h_t)_{t \in [0, 1]} \) is \( \mu \)-preserving, if each \( h_t \) is \( \mu \)-preserving. The support of \( h \) is \( \text{supp}(h) = \{ x \in X \mid x \neq h(x) \} \), and the support of \( (h_t)_{t \in [0, 1]} \) is \( \text{supp}(h_t) = \{ x \in X \mid \exists t \in [0, 1] \ h_t(x) \neq x \} \).

Proof of 3.8. — The first part is an immediate consequence of 3.1 and 2.4. The second part is a trivial consequence of the first. \( \square \)

4. Local contractibility of \( \mathcal{H}(M^*, \mu) \) and related results

We will have to use the Černavskii-Edwards-Kirby results ([EK] or [R]). In fact, Černavskii and Edwards-Kirby worked with topological imbeddings, but we will need their results for biregular topological imbeddings, i.e. topological imbeddings preserving sets of measure zero. This is not a restriction because the Edwards-Kirby method works in this case also; the author learned this fact from M. Rogalski.

Before giving the statements of the Theorems that we will need, we give some definitions and some notations.

In the following, we fix \( M^* \) a compact manifold and \( \mu_0 \in \mathcal{M}_B^\varphi(M^*) \).

If \( A \) is a subset of \( M^* \), by an imbedding \( k \) of \( A \) in \( M^* \), we mean an injective (continuous) map \( k : A \rightarrow M^* \), such that \( k \) is a homeomorphism of \( A \) onto \( k(A) \) and \( k^{-1}(\partial M^*) \) is \( A \cap \partial M^* \). Remark that according to the general terminology a map \( k : A \rightarrow M^* \) is called an imbedding if \( k \) is a homeomorphism of \( A \) onto \( k(A) \), and an imbedding is said to be clean (or proper) if we have \( k^{-1}(\partial M^*) = A \cap \partial M^* \). As we will always work with clean imbeddings, the word imbedding means in fact clean imbedding. The space of imbeddings of \( A \) into \( M^* \) will be denoted by \( \mathcal{I}(A; M^*) \). If \( k : A \rightarrow M^* \) is an imbedding and \( A \) is a Borel subset of \( M^* \), we can define a measure \( k^* \mu_0 \) on \( A \) by \( k^* \mu_0(B) = \mu_0(k(B)) \) for each Borel subset \( B \subset A \). We will say that an imbedding \( k : A \rightarrow M^* \) is biregular (with respect to \( \mu_0 \)), if \( k^* \mu_0 \) and \( \mu_0 \mid A \) have the same sets of measure zero. We will denote the set of biregular imbeddings of \( A \) in \( M^* \) by \( \mathcal{I}(A; M^*, \mu_0 \text{-bireg}) \). We will say that an imbedding \( k : A \rightarrow M^* \) preserves the measure \( \mu_0 \) if the measures \( k^* \mu_0 \) and \( \mu_0 \mid A \) are equal. We will denote the set of measure preserving imbeddings by \( \mathcal{I}(A; M^*, \mu_0) \).

Suppose \( B \) is a subset of \( M^* \), we define \( \mathcal{I}(A, B; M^*) \) by:

\[ \mathcal{I}(A, B; M^*) = \{ k \in \mathcal{I}(A; M^*) \mid k(B \cap A) = \text{identity} \} \]

Similarly, we define \( \mathcal{I}(A, B; M^*, \mu_0) \) and \( \mathcal{I}(A, B; M^*, \mu_0 \text{-bireg}) \).
All spaces of imbeddings will be endowed with the compact open topology.

We can now state the Černavskii-Edwards-Kirby Theorem for biregular imbeddings.

**Theorem 4.1.** — Let \( U \) be an open subset of \( M^n \), \( C \subseteq U \) a compact set, and \( D \) and \( D' \) two closed subsets of \( M^n \) such that \( D \subseteq \text{Int}(D') \subseteq D' \). There exists a neighborhood \( \mathcal{U} \) of the inclusion \( i: U \subseteq M^n \) in \( \mathcal{I}(U, D'; M^n, \mu_0\text{-bireg}) \) and a continuous map
\[
\phi: \mathcal{U} \times [0, 1] \to \mathcal{I}(U; M^n, \mu_0\text{-bireg})
\]
such that:
1. \( \phi_0(k) = k \), \( \forall k \in \mathcal{U} \);
2. \( \phi_1(k) | C = \text{identity} \), \( \forall k \in \mathcal{U} \);
3. \( \phi_t(k) | D \cap U = \text{identity} \), \( \forall k \in \mathcal{U} \), \( \forall t \in [0, 1] \), i.e.
\[
\phi_t(\mathcal{U} \times [0, 1]) \subseteq \mathcal{I}(U, D; M^n, \mu_0\text{-bireg});
\]
4. \( \phi_t(k) = k \) outside some compact neighborhood \( K \) of \( C \) in \( U \), independent of \( t \) and \( k \); i.e. there exists a compact set \( K \) such that: \( C \subseteq \text{Int}(K) \subseteq U \) and \( \phi_t(k) | U - K = k | U - K, \forall k \in \mathcal{U}, \forall t \in [0, 1] \);
5. moreover, if \( k | U \cap \partial M^n = \text{identity} \), then \( \phi_t(k) | U \cap \partial M^n = \text{identity} \);
6. \( \phi_t(i) = i \), \( \forall t \in [0, 1] \).

The proof of Theorem is the same as the one given in [EK] for Theorem 5.1. See appendix B.4 for some indications.

Remark that if \( \phi_0 \) is given by Theorem 4.1, then \( k \phi_1(k)^{-1} : \phi_1(k)(U) \to M^n \) is equal to \( k \) on \( C \) and is the identity outside \( \phi_1(k)(K) \), hence we can extend it by the identity to a homeomorphism of \( M^n \). This gives:

**Corollary 4.2.** — With the same notations as in 4.1, if \( k \in \mathcal{I}(U, D'; M^n, \mu_0\text{-bireg}) \) is close to \( i \), then we can extend \( k | C \) to \( k \in \mathcal{H}(M^n, \mu_0\text{-bireg}) \). Moreover, we have:
- \( k \) depends continuously on \( k \);
- \( i = \text{identity} \);
- \( k | D \cap U = \text{identity} \);
- if \( k | U \cap \partial M^n \) is the identity, then \( k | \partial M^n \) is the identity;
- moreover, if \( U' \) is some given neighborhood of \( C \), we can suppose that \( k \) is the identity outside \( U' \).

If we apply 4.1 in the case \( C = U = M^n, D = D' = \emptyset \), we obtain:

**Corollary 4.3.** — The group \( \mathcal{H}(M^n, \mu_0\text{-bireg}) \) and \( \mathcal{H}_0(M^n, \mu_0\text{-bireg}) \) are locally contractible.

Since, by 3.5, \( \mathcal{H}(M^n, \mu_0) \) and \( \mathcal{H}_0(M^n, \mu_0) \) are retracts of \( \mathcal{H}(M^n, \mu_0\text{-bireg}) \) and \( \mathcal{H}_0(M^n, \mu_0\text{-bireg}) \), we obtain:

**Theorem 4.4.** — If \( M^n \) is a compact manifold and \( \mu_0 \in \mathcal{H}_0(M^n) \), then \( \mathcal{H}(M^n, \mu_0) \) and \( \mathcal{H}_0(M^n, \mu_0) \) are locally contractible.
In fact to apply 3.5, we must assume that \( M^* \) is connected. But, if \( M^* \) is not connected and \( M_1^*, \ldots, M_t^* \) are its connected components, then the group \( \mathcal{H}(M^*, \mu_0) \) is locally homeomorphic to the product \( \prod_{i=1}^t \mathcal{H}(M_i^*, \mu_0) \). This fact legitimate the proof we have given of 4.4.

We now want to prove the following Theorem:

**Theorem 4.5.** — If \( M^* \) is a compact connected manifold and \( \mu_0 \in \mathcal{M}_0^\phi(M^*) \), then the inclusions \( \mathcal{H}(M^*, \mu_0) \subset \mathcal{H}(M^*) \) and \( \mathcal{H}^\phi(M^*, \mu_0) \subset \mathcal{H}^\phi(M^*) \) are weak homotopy equivalences, i.e. induce isomorphisms on all homotopy groups.

We will prove 4.5 in the case \( \mathcal{H}(M^*, \mu_0) \subset \mathcal{H}(M^*) \). The proof of the other case is exactly the same.

We know that \( \mathcal{H}(M^*, \mu_0) \subset \mathcal{H}(M^*, \mu_0\text{-bireg}) \) is a homotopy equivalence, so 4.5 follows easily from the following Lemma:

**Lemma 4.6.** — If \( M^* \) is compact connected and \( \mu_0 \in \mathcal{M}_0^\phi(M^*) \), then the inclusion \( \mathcal{H}(M^*, \mu_0\text{-bireg}) \subset \mathcal{H}(M^*) \) is a weak homotopy equivalence.

To prove 4.6, we will apply a Theorem of Eilenberg and Wilder ([EW] § 2, pp. 615-617) or ([To] §2, pp. 98-102), which we recall now. We explain this theorem in terms suitable to our needs.

Suppose \( X \) is a metric space with metric \( d \), \( A \subset X \) is some subset. Suppose furthermore that, given \( \varepsilon > 0 \), there exists \( \delta > 0 \) such that each continuous map \( \varphi : S^r \rightarrow A \) (where \( S^r \) is the r-sphere, \( r \) arbitrary) with \( \operatorname{diam}(\varphi(S^r)) < \delta \) can be extended to a continuous map \( \overline{\varphi} : B^{r+1} \rightarrow A \) with \( \operatorname{diam}(\overline{\varphi}(B^{r+1})) < \varepsilon \). Then, the Eilenberg-Wilder Theorem says, in particular, the inclusion \( A \subset \overline{A} \) is a weak homotopy equivalence.

Suppose now that \( G \) is a metrizable group with metric \( d \); we can suppose that \( d \) is right (or left) invariant. Suppose that \( H \) is a subgroup of \( G \) which is locally contractible. Then, given \( \varepsilon > 0 \), we can find a \( \delta > 0 \) such that \( N_\delta(e, H) \equiv \{ h \in H | d(h, e) < \delta \} \), can be contracted to a point in \( N_\delta(e, H) \). Now, using the invariance of the metric \( d \), it is easy to show that each continuous map \( \varphi : S^r \rightarrow H \) with \( \operatorname{diam}(\varphi(S^r)) < \delta \) can be extended to a continuous map \( \overline{\varphi} : B^{r+1} \rightarrow H \) with \( \operatorname{diam}(\overline{\varphi}(B^{r+1})) < \varepsilon \). Hence, the inclusion \( H \subset \overline{H} \) is a weak homotopy equivalence. In particular, if \( H \) is dense in \( G \), then \( H \subset G \) is a weak homotopy equivalence.

Applying what we said above and 4.3, we have reduced Lemma 4.6 to the following:

**Lemma 4.7.** — The subgroup \( \mathcal{H}(M^*, \mu_0\text{-bireg}) \) is dense in \( \mathcal{H}(M^*) \).

The proof of Lemma 4.7 follows from another Lemma, which we prove first.

**Lemma 4.8.** — Given any measure \( \mu \in \mathcal{M}_0^\phi(M^*) \), then there exists a homeomorphism \( h \in \mathcal{H}^\phi(M^*) \), as close to the identity as we want, such that \( h_\# \mu \in \mathcal{M}_0^\phi(M^*, \mu_0) \).

**Proof of 4.8.** — We prove it in the case \( M^* = I^* \) and \( \mu_0 = m \) = Lebesgue measure. The general case follows from this one, using a method similar to that given in the proof of 3.3.
Let \( \mu \in \mathcal{M}_0^0(I^*) \). We can find a subdivision of \( I^* \) by hyperplanes into subcubes \( c_1, \ldots, c_k \) such that \( \mu(\partial c_i) = 0 \) and \( \text{diam}(c_i) < \varepsilon \). Using the von Neumann-Oxtoby-Ulam Theorem (3.1), in each cube \( c_i \), we can find a homeomorphism \( h \in \mathcal{H}^0(I^*) \) such that
\[
\begin{align*}
&h_1 \left| \bigcup_{i=1}^k \partial c_i \right| = \text{identity},
&h(c_i) = c_i \text{ and } h_* \mu \big| c_i = (\mu(c_i)/m(c_i)) m.
\end{align*}
\]

The homeomorphism \( h \) is \( \varepsilon \)-close to the identity and \( h_* \mu \in \mathcal{M}_0^0(M^*, \mu_0) \).

**Proof of 4.7.** — Let \( g \) be in \( \mathcal{H}(M^*) \), consider the measure \( \mu = g_* \mu_0 \). Applying 4.8, we obtain \( h \in \mathcal{H}^0(M^*) \), as close to the identity as we want, such that \( h_* \mu \in \mathcal{M}_0^0(M^*, \mu_0) \). The homeomorphism \( hg \) is as close to \( g \) as we want, and \( (hg)_* \mu_0 \in \mathcal{M}_0^0(M^*, \mu_0) \), which means \( hg \in \mathcal{H}(M^*, \mu_0) \)-bireg.

The analogue of Theorem 4.1 is false for measure preserving imbeddings. We give an example which contradicts its Corollary 4.2. Take two small intervals in \( S^1 \), \( I_1 \) and \( I_2 \), and push them one towards the other using rotations. This small push cannot be extended to a measure preserving homeomorphism of \( S^1 \).

We give analogs of 4.2 for the measure preserving case when \( C \) is a locally flat codimension 0 submanifold.

Recall that \( N^n \subset M^n \) is a locally flat codimension 0 submanifold if, for each \( x \) in \( N^n \), we can find a chart \( U \to \mathbb{R}^n \), with \( 0 \in U \subset \mathbb{R}^n \) and \( \varphi(0) = x \), such that:
- if \( x \in M^n \), then \( U = \mathbb{R}^n \), and either \( \varphi(\mathbb{R}^n) \subset N^n \) or \( \varphi(\mathbb{H}_x^+) = N^n \cap \varphi(\mathbb{R}^n) \), where \( \mathbb{H}_x^+ = \{ x = (x_1, \ldots, x_n) | x_n \geq 0 \} \);
- if \( x \in \partial M^n \), then \( U = \mathbb{H}_x^+ \), and either \( \varphi(\mathbb{H}_x^+) \subset N^n \) or \( \varphi(\mathbb{H}_x^+) = N^n \cap \varphi(\mathbb{H}_x^+) \), where \( \mathbb{H}_x^+ = \{ x = (x_1, \ldots, x_n) | x_n-1 \geq 0 \text{ and } x_n \geq 0 \} \).

**Proposition 4.9.** — Let \( N^n \) be a compact locally flat submanifold of the compact connected manifold \( M^n \), such that \( M^n - N^n \) is connected. Let \( \mu_0 \in \mathcal{M}_0^0(M^n) \), and \( U \) be an open neighborhood of \( N^n \) in \( M^n \).

For each \( k \in \mathcal{H}(U; M^n, \mu_0) \) which is close enough to the inclusion \( i : U \subset M^n \), we can find a homeomorphism \( \widetilde{k} \in \mathcal{H}(M^n, \mu_0) \) such that:
- \( k \big| N^n = \widetilde{k} \big| N^n \);
- \( \widetilde{k} \) depends continuously on \( k \);
- \( i = \text{identity} \);
- if \( k \big| U \cap \partial M^n \) = identity, then \( \widetilde{k} \big| \partial M^n = \text{identity} \);
- furthermore, if \( P \) and \( Q \) are closed subsets of \( \partial M^n \) such that \( Q \) is a neighborhood of \( P \) in \( \partial M^n \), we can insist that \( k \big| P \) be the identity if \( k \big| Q \) is the identity.
Proof. — Since \( N^* \) is locally flat, \( V^* = M^n - N^* \) is a locally flat submanifold of \( M^n \). It is also connected being the closure of the connected set \( M^n - N^* \). By 4.2, we can, for each \( k \in \mathcal{J}(U; M^n, \mu_0) \), close enough to the identity, find a homeomorphism \( k_1 \) of \( M^n \), such that:
- \( k_1 \in \mathcal{H}(M^n, \mu_0, \text{-bireg}) \);
- \( k_1 \mid N^* = k \mid N^* \);
- \( k_1 \) depends continuously on \( k \);
- \( i_1 = \text{identity} \);
- if \( k \mid U \cap \partial M^n = \text{identity} \), then \( k_1 \mid \partial M^n = \text{identity} \);
- \( k_1 \mid P \) is the identity, if \( k_1 \mid Q \) is the identity.

Now remark that, since \( \mu_0(k(N^n)) = \mu_0(N^n) \), a stupid subtraction argument shows that
\( v = \mu_0(k_1(V^*)) \) is independent of \( k_1 \).

Define, for each \( k_1 \), a measure \( \mu(k_1) \) on \( V^* \) by:
\[
\mu(k_1)(A) = \frac{1}{v} \mu_0(k_1(A \cap V^*)),
\]
if \( A \subset V^* \) is a Borel subset.

It is easily verified that \( \mu(k_1) \in \mathcal{M}_b^0(V^n, \mu_0) \), where \( \mu_0 = (1/v)(\mu_0 \mid V^n) = \mu_i \). Moreover, \( \mu(k_1) \) depends continuously on \( k_1 \), and hence on \( k \).

By Theorem 3.3, for each \( \mu(k_1) \), we can find a homeomorphism \( k_2 \in \mathcal{H}^0(V^n) \) such that \( k_2 \mid \overline{V^*} = \mu(k_1) \), moreover \( k_2 \) depends continuously on \( \mu(k_1) \), and hence on \( k \). Since \( k_2 \mid \partial V^* = \text{identity} \), we can extend, by the identity, each \( k_2 \) to a homeomorphism of \( M^n \), which we still call \( k_2 \).

One can verify that \( \tilde{k} = k_1 k_2 \) is the desired homeomorphism of \( M^n \). \( \square \)

Let \( V^{n-1} \) be a compact connected manifold, and consider the manifold \( V^{n-1} \times [0, 1] \). Consider four arbitrary but fixed numbers: \( 0 < c < a < b < d < 1 \). If \( k \colon V^{n-1} \times [c, d] \subset V^{n-1} \times [0, 1] \), is an (open) imbedding, then \( V^{n-1} \times [0, 1] - k(V^{n-1} \times [a, b]) \) has two connected components; we call \( \mathcal{C}_j(k) \) the connected component containing \( V^{n-1} \times \{ j \} \), \( j = 0 \) or \( 1 \). With these notations, we can now state:

**Proposition 4.10.** — Let \( \mu_0 \in \mathcal{M}_b^0(V^{n-1} \times [0, 1]) \). For each
\[
k \in \mathcal{J}(V^{n-1} \times \{ c \}, \{ d \}; V^{n-1} \times [0, 1], \mu_0)
\]
close enough to the inclusion \( i : V^{n-1} \times \{ c \}, \{ d \} \subset V^{n-1} \times [0, 1] \), and verifying
\( \mu_0(\mathcal{C}_0(k)) = \mu_0(V^{n-1} \times [0, a]) \) [or equivalently \( \mu_0(\mathcal{C}_1(k)) = \mu_0(V^{n-1} \times [b, 1]) \)], we can find a homeomorphism \( \bar{k} \) of \( V^{n-1} \times [0, 1] \) such that:
- \( \bar{k} \) preserves \( \mu_0 \), i.e. \( \bar{k} \in \mathcal{H}(V^{n-1} \times [0, 1], \mu_0) \);
- \( \bar{k} \mid V^{n-1} \times [a, b] = k \mid V^{n-1} \times [a, b] \);
- \( \bar{k} \) depends continuously on \( k \);
- \( \bar{k} \mid V^{n-1} \times \{ 0 \} \cup V^{n-1} \times \{ 1 \} = \text{identity} \);
- if \( k \mid \partial V^{n-1} \times \{ c \}, \{ d \} \) is the identity, so is \( \bar{k} \mid \partial V^{n-1} \times [0, 1] \).
The proof of 4.10 is almost the same as the proof of 4.9. We leave it to the reader. Remark that since \( k \) preserves the measure, we have \( \mu_0(\mathcal{F}_0(k)) = \mu_0(\mathcal{V}^{* - 1} \times [0, a]) \) if and only if \( \mu_0(\mathcal{F}_1(k)) = \mu_0(\mathcal{V}^{* - 1} \times [b, 1]) \).

In fact, 4.9 and 4.10 are two particular cases of a more general Theorem, which we will now explain. We will use only the particular cases given in 4.9 and 4.10.

Suppose \( N^* \) is a compact locally flat codimension 0 submanifold of the compact connected manifold \( M^* \), then \( M^* - N^* \) has a finite number of connected components \( C_1, \ldots, C_q \). Moreover, each closure \( \overline{C}_h \) is a compact connected locally flat codimension 0 submanifold of \( M^* \). Suppose \( U \) is an open neighborhood of \( N^* \) in \( M^* \) and \( \mu_0 \in \mathcal{M}_0^0(M^*) \). Let \( k \) be an imbedding \( U \subset M^* \) preserving \( \mu_0 \), then by 4.2, if \( k \) is close enough to the inclusion \( U \subset M^* \), we can find a homeomorphism \( \overline{k} \in \mathcal{H}_{breg}^0(M^*, \mu_0) \) extending \( k|N^* \). We claim that the set \( \overline{k}(C_h) \) is independent of the particular extension of \( k|N^* \) to \( M^* \), this follows easily from the Lemma:

**Lemma 4.11.** — If \( f : M^* \to M^* \) is a homeomorphism of \( M^* \) such that \( f|N^* = \text{identity} \) then \( f(C_h) = \overline{C}_h, h = 1, \ldots, q \).

**Proof.** — Let \( p \in \overline{C}_h - C_h \) (such a point exists because we assumed \( M^* \) connected). Then, of course, \( p \in \text{Fr}(N^*) \). Since we assumed \( N^* \) locally flat, we can find a neighborhood \( V \) of \( p \) in \( M^* \) such that \( V \to W \times [-1, 1[ \) with \( W \) some connected open subset of \( H^{* - 1} \), such that \( V \cap N^* = \varphi^{-1}(W \times] - 1, 0[) \). Since \( W \times] 0, 1[ \) is connected, the set \( \varphi(W \times] 0, 1[) \) is contained in a unique component of \( M^* - N^* \), which must be of course \( \overline{C}_h \). This shows that \( V \cap C_I = \emptyset \) if \( I \neq h \). And as a consequence, \( C_h \) is the only component of \( M^* - N^* \) such that \( p \) belongs to its frontier. Now, since \( f|N^* = \text{id} \), the set \( f(C_h) \) is a connected component of \( M^* - N^* \), such that \( p = f(p) \) belongs to its frontier. Hence \( C_h = f(C_h) \). \( \square \)

We can now state the generalization of 4.9 and 4.10.

**Theorem 4.12.** — Keeping the notations given above, let \( k \in \mathcal{F}^0(U; M^*, \mu_0) \) be an imbedding close enough to the inclusion. Suppose moreover that if \( \overline{k} \in \mathcal{H}(M^*, \mu_0) \) is an extension of \( k|N^* \), then \( \mu_0(\overline{k}(C_h)) = \mu_0(C_h) \) for each connected component \( C_1, \ldots, C_q \) of \( M^* - N^* \).

There exists a homeomorphism \( \overline{k} \) of \( M^* \) such that:
- \( \overline{k} \) preserves \( \mu_0 \); i.e. \( \overline{k} \in \mathcal{H}(M^*, \mu_0) \);
- \( \overline{k}|N^* = k|N^* \);
- \( \overline{k} \) depends continuously on \( k \);
- if \( k|\partial M^* \cap U = \text{the identity} \), so is \( \overline{k}|\partial M^* \).

The proof of 4.12 is the same as the one given for 4.9.

We conclude the section with the extension of isotopies.

**Theorem 4.13.** — Let \( N^* \) be a locally flat compact codimension 0 submanifold of the compact manifold \( M^* \). Let \( U \) be an open neighborhood of \( N^* \) and \( \mu_0 \in \mathcal{M}_0^0(M^*) \). Suppose \( (k_t)_{t \in [0, 1]} \) is an isotopy of open imbeddings: \( U \subset M^* \) such that \( k_0 = \text{the inclusion} i : U \subset M \).
A necessary and sufficient condition for the extension of \((k, N^e|_{[0, 1]})\) to \(M^e\) preserving \(\mu_0\) is the following condition:

\[(\dagger)\quad \text{If} \ (\kappa_{t,e})_{t\in[0, 1]} \text{ is an extension of} \ (k, N^e|_{[0, 1]}) \text{ to an isotopy of} \ M^e, \text{then, for each connected component} \ C \text{ of} \ M^e - N^e, \text{we have} \ \mu_0(\kappa_{t,C}(C)) = \mu_0(C), \ t \in [0, 1]. \]

**Proof.** — Remark that if \((\dagger)\) is satisfied by an extension of \(k, N^e\), it is satisfied by all. This proves the necessity of \((\dagger)\).

The proof of the sufficiency of \((\dagger)\) is the same (up to minor change) as the proof of 4.9, once we know the existence of an extension \((\kappa_{t,e})_{t\in[0, 1]}\) of \(k, N^e|_{[0, 1]}\) with each \(\kappa_{t,e} \in \mathcal{H}(M^e, \mu_0|_{M^e})\). But the existence of such an extension follows from 4.1 (see [EK], p. 79, proof of Corollary 1.2). □

**APPENDIX A.4**

Is \(\mathcal{H}(M^e, \mu)\) an ANR

We recall that a metric space \(X\) is an ANR (= absolute neighborhood retract) if it can be embedded as a closed retract of some open set in a normed space, see [BP], chapt. 2, for definition and some properties of ANR's. The ANR's are of interest because they form a fairly large category of spaces which are "well-behaved".

If \(M^e\) is a compact connected manifold with \(n \geq 3\), it is an open question whether \(\mathcal{H}(M^e)\) is an ANR or not. It is known in dimension two [LM] that \(\mathcal{H}(M^2)\) is an ANR. One can ask the same question for \(\mathcal{H}(M^e, \mu)\) where \(\mu\) is a good measure on \(M^e\). This second question can be trivially reduced to the first one, as we will see now.

**Proposition A.4.1.** — Let \(M^e\) be a compact manifold and let \(\mu\) be a good measure on \(M^e\) with \(\mu(\partial M^e) = 0\). If \(\mathcal{H}(M^e)\) is an ANR, then \(\mathcal{H}(M^e, \mu, \text{bireg})\) and \(\mathcal{H}(M^e, \mu)\) are ANR's.

**Proof.** — We will use the results and terminology of [To].

By 4.3 and 4.7, \(\mathcal{H}(M^e, \mu, \text{bireg})\) is a locally contractible dense subgroup of \(\mathcal{H}(M^e)\); this implies [To], remark 2.9, that \(\mathcal{H}(M^e) - \mathcal{H}(M^e, \mu, \text{bireg})\) is locally homotopically negligible in \(\mathcal{H}(M^e)\). Hence, by [To], Th. 3.1, if \(\mathcal{H}(M^e)\) is an ANR so is \(\mathcal{H}(M^e, \mu, \text{bireg})\). On the other hand \(\mathcal{H}(M^e, \mu)\) is a retract of \(\mathcal{H}(M^e, \mu, \text{bireg})\), and a retract of an ANR is an ANR. □

**Corollary A.4.2.** — If \(M^2\) is a compact 2-dimensional manifold and \(\mu \in \mathcal{M}^e(M^2)\), then \(\mathcal{H}(M^2, \mu)\) and \(\mathcal{H}(M^2, \mu)\) are ANR's.

**APPENDIX B.4**

**Outline of the proof of the Černavskii-Edwards-Kirby Theorem in the biregular case**

We outline, with few details, the proof of the Černavskii-Edwards-Kirby Theorem for biregular imbeddings.

**ANNALES SCIENTIFIQUES DE L'ÉCOLE NORMALE SUPÉRIEURE**
First, Theorem 4.1 is proved in the case where $M^n$ is an open set of $\mathbb{R}^n$, and the measure is Lebesgue measure. The main Lemma is the following handle Lemma.

**HANDLE LEMMA B.4.1.** — Consider $B^k \times \mathbb{R}^{n-k}$ endowed with $m$ the Lebesgue measure. There is a neighborhood $U$ of the inclusion $i : B^k \times 4 \mathbb{B}^{n-k} \hookrightarrow B^k \times \mathbb{R}^{n-k}$ in $\mathcal{J}(B^k \times 4 \mathbb{B}^{n-k}, \partial B^k \times 4 \mathbb{B}^{n-k}; B^k \times \mathbb{R}^{n-k}, m$-bireg) and a deformation $\varphi_t$ of $U$ inside $\mathcal{J}(B^k \times 4 \mathbb{B}^{n-k}, \partial B^k \times 4 \mathbb{B}^{n-k}; B^k \times \mathbb{R}^{n-k}, m$-bireg) such that:

- $\varphi_1(k) B^k \times B^{n-k} = \text{Id}, \forall k \in \mathbb{N}$;
- $\varphi_t(i) = i, \forall t \in [0, 1];$
- $\varphi_t(k) = k \text{ outside } B^k \times 3 \mathbb{B}^{n-k}, \forall k \in \mathbb{N}, \forall t \in [0, 1].$

The proof of this Lemma is exactly the same as the proof given for Lemma 4.1 in [EK], once we replace the immersed punctured torus argument by the furling argument explained in [EK], § 8. We have only to remark that the furling is done via some composition with some PL (hence biregular) homeomorphisms, and that the final compression argument can be done using a PL compression.

Once, we have this Lemma, we can obtain Theorem 4.1 in the case where $M^n$ is an open set of $\mathbb{R}^n$ by using a small triangulation like in pages 71-73 of [EK]. Now, if $M^n$ is without boundary and $\mu_0 \in M_\mathcal{H}(M^n)$, a simple application of the von Neumann-Oxtoby-Ulam Theorem shows that there exists an atlas $\{ (h_i, U_i) | U_i \text{ open in } \mathbb{R}^n \text{ (in fact a ball)} \}$ such that $h^* \mu_0$ is the Lebesgue measure (up to scaling). Using this, we can apply the usual chart by chart argument (see [EK]) to prove Theorem 4.1 in this case. Of course this also proves Theorem 4.1 in the case where $\partial M^n \neq \emptyset$ but $C \cap \partial M^n = \emptyset$.

It remains then to prove Theorem 4.1 in the case where $C \cap \partial M^n = \emptyset$. Here we have to change a little the argument used in [EK]. In [EK], they apply the Theorem in the empty boundary case, to the triple $\partial M^n, C \cap \partial M^n, U \cap \partial M^n$ and then they use a small collar to extend the deformation and after that it remains to rectify the imbeddings in the interior. We cannot do that here because during this kind of deformation, we are not sure to obtain biregular imbeddings, for example if $h : B^n \rightarrow B^n$ is even a measure preserving homeomorphism, $h|\partial B^n$ might be very bad !

We get rid of this minor point by the following handle Lemma.

**LEMMA B.4.2.** — Consider $[0, 1] \times B^k \times \mathbb{R}^{n-k-1}$ endowed with the product of Lebesgue measures. There is a neighborhood $U$ of the inclusion $i : [0, 1] \times B^k \times \mathbb{R}^{n-k-1} \hookrightarrow [0, 1] \times B^k \times \mathbb{R}^{n-k-1}$ in $\mathcal{J}([0, 1] \times B^k \times 4 \mathbb{B}^{n-k-1}, \{ 1 \} \times B^k \times \mathbb{R}^{n-k-1} \cup [0, 1] \times \partial B^k \times \mathbb{R}^{n-k-1};

$[0, 1] \times B^k \times \mathbb{R}^{n-k-1}, m$-bireg)$

and a deformation $\varphi_t$, of $U$ inside $\mathcal{J}([0, 1] \times B^k \times 4 \mathbb{B}^{n-k-1}, \{ 1 \} \times B^k \times \mathbb{R}^{n-k-1} \cup [0, 1] \times \partial B^k \times \mathbb{R}^{n-k-1};

$[0, 1] \times B^k \times \mathbb{R}^{n-k-1}, m$-bireg)$

$4^e$ Série — TOME 13 — 1980 — N° 1
such that:
- \( \varphi_4(k)|[0, 1] \times B^k \times B^{*k-1} = \text{Id}, \forall k \in \mathcal{U}; \)
- \( \varphi_4(i) = i, \forall t \in [0, 1]; \)
- \( \varphi_4(k) = k \) outside \([0, 1] \times B^k \times B^{*k-1}, \forall k \in \mathcal{U}, \forall t \in [0, 1]. \)

This Lemma can be proved along the same lines as the one above.

Once we have this Lemma, we can prove, using the standard techniques of [EK], the following Proposition.

**Proposition B.4.3.** — Let \( N^{*1} \) be a manifold without boundary and with a good measure \( \nu. \) Let \( K \) be a compact set, \( V \) an open neighborhood of \( K \) in \( N^{*1} \) and let \( F \subset F' \) be two closed subsets of \( N^{*1}, \) with \( F' \) a neighborhood of \( F. \) We endow \([0, 1] \times N^{*1} \) with the product measure \( m \times \nu \) where \( m \) is a Lebesgue measure on \([0, 1]. \) There exists a neighborhood \( \mathcal{U} \) of the inclusion \( i:[0, 1] \times V \subset [0, 1] \times N^{*1} \) in

\[
\mathcal{F}([0, 1] \times V, [0, 1] \times F' \cup \{1\} \times V; [0, 1] \times N^{*1}, \text{m-bireg})
\]

and a deformation \( \varphi, \) of \( \mathcal{U} \) in

\[
\mathcal{F}([0, 1] \times V, [0, 1] \times F \cup \{1\} \times V; [0, 1] \times N^{*1}, \text{m-bireg})
\]

such that:
- \( \varphi_4(k)|[0, 1] \times K = \text{Id}, \forall k \in \mathcal{U}; \)
- \( \varphi_4(i) = i, \forall t \in [0, 1]; \)
- \( \varphi_4(k) = k \) outside some compact neighborhood of \([0, 1] \times K \) in \([0, 1] \times V, \forall k \in \mathcal{U}, \forall t \in [0, 1]. \)

Now, we can finish the proof in the following way. The boundary \( \partial M^* \) of \( M^* \) has a small neighborhood homeomorphic to \([0, 1] \times \partial M^* \). We can apply the without-boundary case to deform the imbeddings so they become the identity on a compact neighborhood of \( C - [0, 1] \times \partial M^* \) and then finish to deform them to the identity by using Proposition B.4.3.

### 5. The mass flow homomorphism

Let \( X \) be a compact metric space. We recall that an isotopy of \( X \) is a continuous map \( h:[0, 1] \times X \rightarrow X \) such that each map \( h_t:X \rightarrow X, x \rightarrow h(x, t), \) is a homeomorphism and \( h_0 = \text{id}_X. \) We will use the notation \((h_t)_{t \in [0, 1]} \) or simply \( (h_t) \) for an isotopy. In fact, an isotopy is the same as a continuous path in \( \mathcal{H}(X) \) starting at the identity. We will note by \( \mathcal{J}\mathcal{S}(X) \) the space of isotopies of \( X. \) Of course, \( \mathcal{J}\mathcal{S}(X) \) is endowed with the compact open topology.

We have, of course, a continuous surjective map:

\[
\mathcal{J}\mathcal{S}(X) \rightarrow \mathcal{H}_0(X), \quad (h_t) \mapsto h_1,
\]

where \( \mathcal{H}_0(X) \) is the path component of \( \text{id}_X \) in \( \mathcal{H}(X). \)
We say that two isotopies \((h_t)\) and \((g_t)\) are isotopic with fixed extremities, which we note \(\sim\) if \(h_0 = g_0\) and there exists a continuous map \(I \times I \to \mathcal{I}(X)\), \(t, s \mapsto H_{t, s}\), such that 
\[ H_{0, s} = \text{id}_X, \quad H_{1, s} = h_1 = g_1, \quad H_{1, 0} = h_1 = g_1, \quad \text{and} \quad H_{1, 1} = h_1 = g_1. \]

The relation \(\sim\) is an equivalence relation on \(\mathcal{I}(X)\). We note the set of equivalence classes by \(\mathcal{H}_0(X)\). The equivalence class of \((h_t)\) will be noted by \(\{h_t\}\). The set \(\mathcal{H}_0(X)\) carries a topology, namely the quotient topology obtained from the compact open topology on \(\mathcal{I}(X)\).

The map \(\mathcal{I}(X) \to \mathcal{H}_0(X)\) induce a continuous map \(\mathcal{H}_0(X) \to \mathcal{H}_0(X)\). Remark also that \(\mathcal{I}(X)\) is a group, where the composition law is given by \((h_t)_{t \in [0, 1]} \circ (g_t)_{t \in [0, 1]} = (h_t g_t)_{t \in [0, 1]}\). This group structure induces a group structure on \(\mathcal{H}_0(X)\). The two maps \(\mathcal{I}(X) \to \mathcal{H}_0(X)\), and \(\mathcal{H}_0(X) \to \mathcal{H}_0(X)\) are group homomorphisms.

In fact, if \(\mathcal{I}(X)\) is locally contractible (or even 1-semi locally connected), then \(\mathcal{H}_0(X)\) is the universal covering space of \(\mathcal{H}_0(X)\).

Now, if \(\mu\) is a measure on \(X\), we define:
\[
\mathcal{I}(X, \mu) = \{ (h_t)_{t \in [0, 1]} \in \mathcal{I}(X) \mid \forall t \in [0, 1], h_t \in \mathcal{I}(X, \mu) \},
\]
\[
\mathcal{H}_0(X, \mu) = \{ h = (h_t)_{t \in [0, 1]} \in \mathcal{I}(X, \mu) \mid h_0 = h_1 \}.
\]

We can also define the equivalence relation \(\sim\) on \(\mathcal{I}(X, \mu)\) in the same way as above, and define \(\mathcal{H}_0(X, \mu)\) as the set of equivalence classes. If \(\mathcal{I}(X, \mu)\) is locally contractible, then \(\mathcal{H}_0(X, \mu)\) is the universal cover of \(\mathcal{H}_0(X, \mu)\).

We now consider the topological group \(\mathbb{T}^1 = \mathbb{R}/\mathbb{Z}\), which is isomorphic to the topological group \(S^1 = \{ z \in \mathbb{C} \mid |z| = 1 \}\).

We note by \(\mathcal{C}(X, \mathbb{T}^1)\) the set of continuous maps from \(X\) to \(\mathbb{T}^1\); it is an Abelian group under addition:
\[
(f + g)(x) = f(x) + g(x), \quad \forall x \in X.
\]

Recall that \(f, g \in \mathcal{C}(X, \mathbb{T}^1)\) are called homotopic, if there exists a continuous map \(X \times [0, 1] \to \mathbb{T}^1\), \((x, t) \mapsto f_t(x)\), such that \(f_0 = f\) and \(f_1 = g\).

Homotopy is an equivalence relation. We note by \([X, \mathbb{T}^1]\) the set of homotopy classes; it is an Abelian group, its addition is induced from the one defined above on \(\mathcal{C}(X, \mathbb{T}^1)\).

If \(X\) is some good space, for example a manifold or a polyhedron, then \([X, \mathbb{T}^1]\) is isomorphic to \(H^1(X, \mathbb{Z})\) the first singular cohomology group of \(X\). In fact, \(H^1(\mathbb{T}^1, \mathbb{Z}) \simeq \mathbb{Z}\), and a preferred generator \(\sigma \in H^1(\mathbb{T}^1, \mathbb{Z})\) is given by the natural orientation of the circle. We can define a natural map:
\[
ad_* : [X, \mathbb{T}^1] \to H^1(X, \mathbb{Z}), \quad f \mapsto f^* \sigma.
\]

This map is a group homomorphism. If \(X\) is a polyhedron, obstruction theory shows that \(ad_*\) is an isomorphism ([Sp], chap. 8). This result is then extended to ANR's, and in
particular to manifolds, since an ANR has the homotopy type of a polyhedron ([BP], Cor. 6.6, p. 80 and [LW], Th. 3.8, p. 127).

Remark now that \( H^1(X, \mathbb{Z}) = \text{Hom}(H_1(X, \mathbb{Z}), \mathbb{Z}) \). So, there is a natural map:

\[
b_X : H_1(X, \mathbb{Z}) \to \text{Hom}(H^1(X, \mathbb{Z}), \mathbb{Z}).
\]

Composing \( b_X \) with \( \alpha_X^* : \text{Hom}(H^1(X, \mathbb{Z}), \mathbb{Z}) \to \text{Hom}([X, T^1], \mathbb{Z}) \) gives us a map:

\[
\beta_X : H_1(X, \mathbb{Z}) \to \text{Hom}([X, T^1], \mathbb{Z}).
\]

There is also the Hurewicz map ([Sp], p. 387):

\[
h_X : \pi_1(X, x_0) \to H_1(X, \mathbb{Z}),
\]

where \( \pi_1(X, x_0) \) is the fundamental group of \( X \) with base point \( x_0 \). We will call \( \alpha_X \) the composite \( \beta_X h_X \):

\[
\alpha_X : \pi_1(X, x_0) \to \text{Hom}([X, T^1], \mathbb{Z}).
\]

We can describe \( \alpha_X \) in the following terms: a loop \( l \) of \( X \) based at \( x_0 \) can be considered as a map \( l : T^1 \to X \) with \( l(0) = x_0 \). Now, if \( f : X \to T^1 \) is a continuous map, then:

\[
\alpha_X(l)(f) = \text{deg}(f \circ l),
\]

where \( f \circ l : T^1 \to X \to T^1 \). We will use this later.

Suppose that \( X \) is a good space and that \( H_1(X, \mathbb{Z}) \) is a finitely generated group; we note here for future reference that the map \( \beta_X \) tensored by \( \mathbb{R} \) gives us an isomorphism:

\[
\begin{array}{ccc}
H_1(X, \mathbb{R}) & \xrightarrow{\sim} & \text{Hom}([X, T^1], \mathbb{R}) \\
\| & & \| \\
H_1(X, \mathbb{Z}) \otimes \mathbb{R} & \xrightarrow{\beta_X \otimes \mathbb{R}} & \text{Hom}([X, T^1], \mathbb{Z}) \otimes \mathbb{R}.
\end{array}
\]

In particular, if \( M^* \) is a compact manifold, \( H_1(M^*, \mathbb{R}) \) is isomorphic to \( \text{Hom}([M^*, T^1], \mathbb{R}) \).

**Definition of the Mass Flow Homomorphism.** — If \( \mu \) is a measure on \( X \), we will define a group homomorphism, noted \( \tilde{\mathcal{F}}_{\mu} \) or simply \( \tilde{\mathcal{F}} \), and called the mass flow homomorphism:

\[
\tilde{\mathcal{F}}_{\mu}(X, \mu, \mathbb{R}) \to \text{Hom}([X, T^1], \mathbb{R}).
\]

Suppose first \( (h_t) \in \mathcal{C}(X, \mu, 0) \); we define a map \( \tilde{\mathcal{F}}(h_t) : \mathcal{C}(X, T^1) \to \mathbb{R} \) in the following way:

If \( f \in \mathcal{C}(X, T^1) \), then \( (h_t - f) : X \to T^1 \) is a homotopy such that \( fh_0 - f = f - f = 0 \); hence, see [CV], chap. 13, we can lift it, in a unique way, to a homotopy: \( (h_t - f) : X \to \mathbb{R} \), with \( fh_0 - f = 0 \):
We define:
\[ \bar{\theta}(h_i)(f) = \int_X (fh_1 - f) \, d\mu. \]

We have \((f + g)h_i - (f + g) = (fh_i - f) + (gh_i - g)\); this equality imply the same one for the liftings, and we obtain:
\[ \bar{\theta}(h_i)(f + g) = \bar{\theta}(h_i)(f) + \bar{\theta}(h_i)(g). \]

So \( \bar{\theta}(h_i) \in \text{Hom}(\mathcal{C}(X, T^1), \mathbb{R}) \). Suppose now that \( f \in \mathcal{C}(X, T^1) \) is homotopic to a constant map, then we can lift it to a map \( \bar{f} : X \to \mathbb{R} \). It is easy to verify that \( \bar{fh_i - f} = \bar{f}h_i - \bar{f} \). This gives us
\[ \bar{\theta}(h_i)(f) = \int_X (\bar{fh}_1 - \bar{f}) \, d\mu = \int_X \bar{fh}_1 \, d\mu - \int_X \bar{f} \, d\mu = 0, \]

since \( h_1 \) preserves the measure \( \mu \). This fact shows that \( \bar{\theta}(h_i) \) induces a homomorphism \([X, T^1] \to \mathbb{R} \), which we still note \( \bar{\theta}(h_i) \).

Up to now we have defined a map:
\[ \mathcal{S}(X, \mu) \xrightarrow{\bar{\theta}} \text{Hom}([X, T^1], \mathbb{R}). \]

We verify that this map is a group homomorphism. Let \( (h_i) \in \mathcal{S}(X, \mu), (g_i) \in \mathcal{S}(X, \mu) \) and \( f \in \mathcal{C}(X, T^1) \), we have:
\[ fh_1 g_1 - f = (fh_1 - f)g_1 + (fg_1 - f), \]

hence:
\[ (fh_1 g_1 - f) = (fh_1 - f)g_1 + (fg_1 - f), \]

which in turn implies:
\[ \bar{\theta}(h_i g_i)(f) = \int_X (\bar{fh}_1 - \bar{f}) g_1 \, d\mu + \int_X \bar{fg}_1 - f \, d\mu = \bar{\theta}(h_i)(f) + \bar{\theta}(g_i)(f), \]

since \( g_1 \) preserves \( \mu \).

Now we check that, in fact, \( \bar{\theta} \) is well defined on \( \mathcal{K}_0(X, \mu) \). If \((h_i) \sim (g_i)\), let \( H_{i,s} \) be a homotopy between them. We have now a two parameters family \( fH_{i,s} - f : X \to T^1 \), lifting this two parameters family to \( \bar{f}H_{i,s} - \bar{f} : X \to T^1 \) shows easily that we have \( \bar{fh}_1 - f = \bar{fg}_1 - f \), which implies \( \bar{\theta}(h_i)(f) = \bar{\theta}(g_i)(f) \).

So we have shown the existence of the map \( \bar{\theta} : \mathcal{K}_0(X, \mu) \to \text{Hom}([X, T^1], \mathbb{R}) \).

The map \( \bar{\theta} \) is continuous in the following sense: if \( f \in \mathcal{C}(X, T^1) \), then the map: \( \mathcal{K}_0(X, \mu) \to \mathbb{R}, \{ h_i \} \mapsto \bar{\theta}(h_i)(f) \), is continuous. In other words, if we endow \( \text{Hom}([X, T^1], \mathbb{R}) \) with the weak topology, then \( \bar{\theta} \) is continuous.
If $X$ is a compact manifold, then $\text{Hom}([X, T^1], \mathbb{R}) \cong H_1(X, \mathbb{R})$, and each $\bar{\theta}(h_i)$ can be interpreted as a cycle on $X$.

**Example.** - $X = T^n$, $\mu =$ Haar measure. We identify $\text{Hom}([X, T^1], \mathbb{R})$ with $\mathbb{R}^n$, by taking the $n$ projections $p_i : T^n \to T^1, (x_1, \ldots, x_n) \mapsto x_i$, as a basis (over $\mathbb{Z}$) of $[X, T^1]$. Now, if $\alpha \in \mathbb{R}^n$, we can define an isotopy $R^\alpha : T^n \to T^n, x \mapsto x + t \alpha$. A simple calculation shows that $\bar{\theta}(R^\alpha) = \alpha$.

The kernel of the natural map $\widetilde{\mathcal{H}}_0(X, \mu) \to \mathcal{H}_0(X, \mu)$ is the set

$$\mathcal{N}(X, \mu) = \{ \{ h_i \} \in \widetilde{\mathcal{H}}_0(X, \mu) \mid h_1 = \text{id} \};$$

and $\mathcal{N}(X, \mu) \subset \widetilde{\mathcal{H}}_0(X, \mu) \to \mathcal{H}_0(X, \mu)$.

We define $\Gamma \subset \text{Hom}([X, T^1], \mathbb{R})$ by:

$$\Gamma = \bar{\theta}(\mathcal{N}(X, \mu)).$$

Passing to the quotient, $\bar{\theta}$ gives us a homomorphism $\theta$:

$$\theta : \mathcal{H}_0(X, \mu) \to \text{Hom}([X, T^1], \mathbb{R})/\Gamma;$$

$$\begin{array}{ccc}
\mathcal{N}(X, \mu) & \overset{\delta}{\longrightarrow} & \Gamma = \bar{\theta}(\mathcal{N}(X, \mu)) \\
\downarrow & & \downarrow \\
\widetilde{\mathcal{H}}_0(X, \mu) & \overset{\delta}{\longrightarrow} & \text{Hom}([X, T^1], \mathbb{R}) \\
\downarrow & & \downarrow \\
\mathcal{H}_0(X, \mu) & \overset{\delta}{\longrightarrow} & \text{Hom}([X, T^1], \mathbb{R})/\Gamma.
\end{array}$$

D. Sullivan brought to our attention the following fact:

**Proposition 5.1.** - If $X$ is connected and $\mu(X) = 1$, the group $\Gamma$ is contained in the image of the center of $\pi_1(X, x)$ under the map $\alpha_x : \pi_1(X, x) \to \text{Hom}([X, T^1], \mathbb{Z}) \subset \text{Hom}([X, T^1], \mathbb{R})$.

**Lemma 5.2.** - If $X$ is connected and $\mu(X) = 1$ and $\{ h_i \} \in \mathcal{N}(X, \mu)$, then $\bar{\theta}(h_i)$ is the image under $\alpha_x$ of the loop $\{ h_i(x) \mid t \in [0, 1] \}$.

**Proof.** - Let $f : X \to T^1$, consider the lifting $\overline{fh_i-f} : X \to \mathbb{R}$. Since $h_1 = \text{id}$ and $X$ is connected, $\overline{fh_i-f}$ is a constant map whose value is an integer $n$. So $\bar{\theta}(h_i)(f) = \int_X n \, d\mu = n$. It is easy to show that $n$ is the degree of the map $T^1 \to T^1, t \mapsto f(h_i(x))$. According to the description of the map $\alpha_x$ given before, we have proved the Lemma. □

**Proof of 5.1.** - Let $\{ h_i \} \in \mathcal{N}(X, \mu)$. Define the loop $l : [0, 1] \to X$ by: $t \mapsto h_i(x)$. We know that, by 5.2, $\alpha(l) = \bar{\theta}(\{ h_i \})$. So it suffices to show that $l$ is in the center of $\pi_1(X)$. 

*Annales Scientifiques de l'École Normale Supérieure*
Let $c : [0, 1] \rightarrow X$ be a loop based at $x$, $c(0)=c(1)=x$. Define a map $[0, 1] \times [0, 1] \rightarrow X$, $(s, t) \mapsto h_t(c(s))$. Looking at the restriction of $H$ to the four sides of $[0, 1] \times [0, 1]$, we obtain the following picture:

![Diagram](image)

So, $l^{-1}c^{-1}lc$ is homotopic to a constant map, and in $\pi_1(X, x)$, we have $lc=cl$. □

Remark as a consequence of 5.1, that if $X$ is a compact connected manifold, then $\Gamma$ is contained in the integral part of $H_1(X, \mathbb{R})=\text{Hom}([X, \mathbb{T}^1], \mathbb{R})$. This shows that $\Gamma$ is discrete in the natural topology of $H_1(X, \mathbb{R})$ [this natural topology is given by the fact that $H_1(X, \mathbb{R})$ is a finite dimensional real vector space].

Example. — $X = \mathbb{T}^n : \mu = \text{Haar measure}$. If we identify $H_1(\mathbb{T}^n, \mathbb{R})$ with $\mathbb{R}^n$ as before, then $\Gamma = \mathbb{Z}^n \subset \mathbb{R}^n$.

**Lemma 5.3.** — Let $M^n$ be a manifold, $B$ be a subset of $M^n$ homeomorphic to the $n$-ball $B^n$ and $\mu \in \mathcal{M}_1(M^n)$. Suppose $h \in \mathcal{H}(M^n, \mu)$ is isotopic to the identity by a $\mu$-preserving isotopy having its support in $B$, then $\theta(h) = 0$. If $h \in \mathcal{H}(M^n, \mu)$ has support in $B$, then $n$ is isotopic to $\text{Id}_{M^n}$ and $\theta(h) = 0$.

**Proof.** — Let $(h_t)$ be an isotopy such that $h_1 = h$. We will show that $\theta(h_t) = 0$. Let $f$ be in $\mathcal{C}(M^n, \mathbb{T}^1)$. Remark that $f_{h_t} - f$ is identically 0 outside $B$, this implies that the lifting

$f_{h_t} - f : M^n \rightarrow \mathbb{R}$

is also identically 0 outside $B$, hence $\overline{\theta}(h_t)(f) = \int_B f_{h_t} - f \, du$. Now $f|\mathbb{T}^1$ can be lifted to a map $\overline{f} : B \rightarrow \mathbb{R}$, since $B \cong B^n$ is contractible. It is easy to see that $f_{h_t} - f|B = f_{h_t}|B - f|B$, hence we obtain $\overline{\theta}(h_t) = 0$. Since $h_1(B) = B$ and $h_1$ is $\mu$-preserving.

The remaining part of the Lemma is a consequence of 3.8. □

If $\mathcal{H}_0(X, \mu)$ is locally contractible, then the map $\theta$ is continuous. This follows immediately from the continuity of $\overline{\theta}$ and the fact that in this case $\mathcal{H}_0(X, \mu) \rightarrow \mathcal{H}_0(X, \mu)$ is a covering map.

**Remark.** — The existence of the mass flow homomorphism is well known; see [Sc] for the case of a flow and [Th] for the case of volume preserving diffeomorphisms. The definition we gave is inspired by Michel Herman's definition of a rotation number for homeomorphisms of $\mathbb{T}^1$ ([He2], chap. 2).
WHY $\theta$ IS CALLED THE MASS FLOW HOMOMORPHISM? — Let $A$ and $B$ be compact spaces and $A \times \{0,1\} \to B$ be some imbedding. We define $X$ by gluing $A \times [0,1]$ to $B$ using the map $\varphi$:

$$X = (A \times [0,1] \sqcup B)/\varphi(a,0) \sim (a,0), \varphi(a,1) \sim (a,1),$$

We can define a natural map $f : X \to \mathbb{T}^1$ by:

$$f(x) = \begin{cases} 
t \mod 1, & \text{if } x \in A \times [0,1], \\
0, & \text{if } x \in B.
\end{cases}$$

We obtain a covering $\overline{X} \to X$ as the pull-back by $f$ of the covering $\mathbb{R} \to \mathbb{T}^1$. The space $\overline{X}$ can also be defined as in the figure below:

where each $B_i$ is a copy of $B$.

The map $\overline{f} : \overline{X} \to \mathbb{R}$ covering $f$ may be defined by:

$$\overline{f}(\overline{x}) = \begin{cases} 
n, & \text{if } \overline{x} \in B_n, \forall n \in \mathbb{Z}, \\
t, & \text{if } \overline{x} = (a, t) \in A \times [m, m+1], \forall m \in \mathbb{Z}.
\end{cases}$$

Moreover, the covering transformations of $\overline{X} \to X$ are generated by the map $\tau : \overline{X} \to \overline{X}$, defined by:

$$\tau(\overline{x}) = \begin{cases} 
\text{"} \overline{x} \text{"} \in B_{n+1}, & \text{if } \overline{x} \in B_n, \\
(a, t+1), & \text{if } \overline{x} = (a, t) \in A \times [m, m+1].
\end{cases}$$
Suppose now that $\mu$ is some measure on $X$, such that $\mu(A \times [0, 1]) = \nu \times dt$, where $\nu$ is a measure on $A$ and $dt$ is Lebesgue measure on $[0, 1]$. We can "lift" $\mu$ to a measure $\bar{\mu}$ of $\overline{X}$ [of course $\mu(\overline{X}) = +\infty$]. Let $(h_i) \in \mathcal{F}(X, \mu)$, we can lift $(h_i)$ in a unique way to $\overline{(h_i)} \in \mathcal{F}(\overline{X}, \bar{\mu})$. Moreover, $(h_i)$ depends continuously on $(h_i)$ and $(h_i)$ commutes with the covering transformations of $\overline{X} \rightarrow X$. Suppose that $(h_i)$ is close enough to the identity, then we can define the region $R(h_i) \subset \overline{X}$ which consists of the points between $A \times 0 + \frac{1}{2}$.

We also define $R'(h_i)$ as the region between $\overline{h_1}(A \times \frac{1}{2})$ and $A \times 1$.

**Proposition 5.4.**—We have $\theta(h_i)(f) = \int_{\overline{R(h_i)}} f \, d\bar{\mu} = \int_{A \times 0} f \, d\bar{\mu}$.

**Proof.**—Since $F = A \times [-1/2, 0] \cup B_0 \cup A \times [0, 1/2]$ is a fundamental domain of $\overline{X} \rightarrow X$, we have:

$$\theta(h_i)(f) = \int_{\overline{F}} (\overline{f \overline{h_1}} - \overline{f}) \, d\bar{\mu} = \int_{\overline{F}} \overline{f \overline{h_1}} \, d\bar{\mu} - \int_{\overline{F}} \overline{f} \, d\bar{\mu}.$$

We compute first

$$\int_{\overline{F}} \overline{f} \, d\bar{\mu} = \int_{A \times [-1/2, 0]} \overline{f} \, d\bar{\mu} + \int_{B_0} \overline{f} \, d\bar{\mu} + \int_{A \times [0, 1/2]} \overline{f} \, d\bar{\mu}.$$

Since $\overline{f}|_{B_0} = 0$, we obtain $\int_{B_0} \overline{f} \, d\bar{\mu} = 0$.

We compute then $\int_{A \times [-1/2, 0]} \overline{f} \, d\bar{\mu}$ and $\int_{A \times [0, 1/2]} \overline{f} \, d\bar{\mu}$:

$$\int_{A \times [-1/2, 0]} \overline{f} \, d\bar{\mu} = \int_{A \times [-1/2, 0]} t \, dv \, dt = \nu(A) \int_{-1/2}^0 t \, dt = -\frac{1}{8} \nu(A),$$

$$\int_{A \times [0, 1/2]} \overline{f} \, d\bar{\mu} = \int_{A \times [0, 1/2]} t \, dv \, dt = \nu(A) \int_0^{1/2} t \, dt = \frac{1}{8} \nu(A).$$

This gives: $\int_{\overline{F}} \overline{f} \, d\bar{\mu} = 0$. 

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A. FATHI
Now we compute $\int_F \widetilde{fh}_1 \, d\widetilde{\mu}$:

$$\int_F \widetilde{fh}_1 \, d\widetilde{\mu} = \int_{\overline{h}_1(F)} \widetilde{f} \, d\widetilde{\mu},$$

but

$$\widetilde{h}_1(F) = \tau^{-1}[R'(h_i)] \cup B_0 \cup R(h_i),$$

hence

$$\int_F \widetilde{fh}_1 \, d\widetilde{\mu} = \int_{\tau^{-1}[R(h_i)] \cup B_0} \widetilde{f} \, d\widetilde{\mu} + \int_{R(h_i)} \widetilde{f} \, d\widetilde{\mu}.$$ 

Since $\tau$ preserves $\widetilde{\mu}$, we have:

$$\int_{R(h_i)} \tau^{-1}[R(h_i)] \cup B_0 \, d\tau = \int_{\tau^{-1}[R(h_i)]} (t+1) \, dt = \int_{\tau^{-1}[R(h_i)]} \widetilde{f} \, d\widetilde{\mu} + \widetilde{\mu} [R(h_i)].$$

Since $\tau^{-1}[R(h_i)] \cup \tau^{-1}[R'(h_i)] \cup B_0 = A \times [-1, 0] \cup B_0$, we obtain:

$$\int_F \widetilde{fh}_1 \, d\widetilde{\mu} = \widetilde{\mu} [R(h_i)] + \int_{A \times [-1, 0] \cup B_0} \widetilde{f} \, d\widetilde{\mu}$$

$$= \widetilde{\mu} [R(h_i)] + \nu (A) \int_{-1}^0 t \, dt = \widetilde{\mu} [R(h_i)] - \frac{1}{2} \nu (A)$$

$$= \widetilde{\mu} [R(h_i)] - \widetilde{\mu} (A \times \left[0, \frac{1}{2}\right]).$$

Finally:

$$\delta(h_i)(f) = \widetilde{\mu} [R(h_i)] - \widetilde{\mu} \left( A \times \left[0, \frac{1}{2}\right] \right).$$

Remarks. — (1) Proposition 5.4 can be interpreted by saying that $\delta(h_i)$ is the mass that has passed algebraically through the "membrane" $A \times 1/2 \subset X$. If we imagine $A \times [0, 1]$ as a pipe, this explains the name of the mass flow homomorphism.

(2) Let $a, b, c, d \in ]0, 1[, c < a < b < d$. Suppose that $A$ is a connected manifold $V^{n-1}$, and that $\mu | V^{n-1} \times [0, 1] = \nu \times dt$, where $\nu$ is (up to normalisation) a good measure on $V^{n-1}$. If we define $\delta_0(h_i)$ as the connected component of $V^{n-1} \times [0, 1] - h_i (V^{n-1} \times [a, b])$ which contains $V^n \times 0$, then we have, by 5.4, $\delta(h_i)(f) = \mu (\delta_0(h_i)) - \mu (V^{n-1} \times [0, a])$. Hence we can apply Proposition 4.10 to $h_i | V^{n-1} \times ]c, d[$, if and only if $\delta(h_i)(f) = 0$. 

ANNALES SCIENTIFIQUES DE L'ÉCOLE NORMALE SUPÉRIEURE
APPENDIX A. 5
ON THE DIFFERENT FORMS OF THE MASS FLOW HOMOMORPHISM

Suppose $M^n$ is a compact $C^\infty$ differentiable manifold with a volume form $\Omega$, such that
$$\int_{M^n} \Omega = 1.$$ Let $\mathcal{D}^\infty\left(M^n, \Omega\right)$ denote the set of $C^\infty$ isotopies $(h_t)_{t\in[0,1]}$ with $h_0 = \text{Id}$, which preserves $\Omega$. There is a homomorphism $\hat{\nabla}_{M^n, \Omega} = \hat{\nabla}$, $\mathcal{D}^\infty\left(M^n, \Omega\right) \to H^{n-1}(M^n, \mathbb{R})$, see [Th]. We will show that, up to Poincaré duality, $\hat{\nabla}$ is equal to $\delta_{M^n, \mu_{\Omega}}$, where $\mu_{\Omega}$ is the measure obtained from $\Omega$.

First, we recall the definition of $\hat{\nabla}$. If $(h_t)_{t\in[0,1]}$ is in $\mathcal{D}^\infty\left(M^n, \Omega\right)$, we consider the time dependent vector field $X_t$ on $M^n$ defined by:
$$X_t(m) = \frac{\partial h_t}{\partial t}[h_t^{-1}(m)].$$

Since $h_t$ preserves $\Omega$, for each $t$, the $(n-1)$-form $i(X_t)\Omega$ is closed, hence so is the $(n-1)$-form $\int_0^1 i(X_t)\Omega\,dt$. By definition, $\hat{\nabla}(h_t)$ is the cohomology class of $\int_0^1 i(X_t)\Omega\,dt$.

**PROPOSITION A. 5.1.** — The cohomology class $\hat{\nabla}(h_t)$ is the Poincaré dual of the homology class $\bar{\theta}(h_t)$.

**Proof.** — Let $\omega$ be the canonical volume form on $T^1$.

If $f: M^n \to T^1$, we have to show that:
$$\int_{M^n} \left[ \int_0^1 i(X_t)\Omega\,dt \right] \land f^*\omega = \bar{\theta}(h_t)(f).$$

We will denote the left hand side of the equality above by $\langle \hat{\nabla}(h_t), f \rangle$. By Fubini Theorem, we have:
$$\langle \hat{\nabla}(h_t), f \rangle = \int_{M^n \times [0,1]} [i(X_t)\Omega] \land dt \land f^*\omega.$$

Remark that $\Omega \land f^*\omega = 0$, since it is an $(n+1)$-form on an $n$-manifold. We obtain then:
$$0 = i(X_t)[\Omega \land f^*\omega] = [i(X_t)\Omega] \land f^*\omega + (-1)^n \Omega \land [i(X_t)f^*\omega].$$

Now:
$$\langle \hat{\nabla}(h_t), f \rangle = \int_{M^n \times [0,1]} -[i(X_t)\Omega] \land (f^*\omega) \land dt = (-1)^n \int_{M^n \times [0,1]} \Omega \land [(f^*\omega)(X_t)\,dt]$$
$$= \int_{M^n \times [0,1]} (f^*\omega)(X_t)\,dt \land \Omega = \int_{M^n \times [0,1]} h_t^*[(f^*\omega)(X_t)\,dt \land \Omega],$$
by naturality of integration,
$$= \int_{M^n} (f^*\omega)(X_t \circ h_t)\,dt \land \Omega, \text{ since } h_t^*\Omega = \Omega;$$
$$= \int_{M^n} \left[ \int_0^1 f^*\omega(X_t \circ h_t)\,dt \right] \Omega.$$
We have:
\[(f \circ \omega)(X_t \circ h_t(m)) = (f \circ \omega)(\frac{\partial h_t}{\partial t}(m)) = \omega\left(\frac{\partial f \circ h_t}{\partial t}(m)\right).\]

It follows easily that \(u \rightarrow \int_0^u (f \circ \omega)(X_t \circ h_t(m)) \, dt\) is a lift in \(\mathbb{R}\) of the map \([0, 1] \rightarrow \mathbb{T}^1\), \(u \rightarrow f_{h_u}(m) - f(m)\).

This means that the lift \(\overline{f_{h_t} - f}\) of \(f_{h_t} - f\) is just \(\int_0^t (f \circ \omega)(X_u \circ h_u) \, du\).

Hence we obtain:
\[
\langle \nabla(h_t, f) \rangle = \int_{\mathbb{M}} \overline{f_{h_1} - f} \, \Omega = \int_{\mathbb{M}} \overline{f_{h_1} - f} \, d\mu_\Omega,
\]
which is, by definition, \(\overline{\Theta}(h_t)(f)\). 

In [Sc], Schwartzman gave for a flow another definition of the mass flow homomorphism which we recall now.

Suppose that \((h_t)_{t \in \mathbb{R}}\) is a flow on a compact space \(X\), and suppose that \((h_t)\) preserves \(\mu\). Given a function \(f : X \rightarrow \mathbb{T}^1\), consider the lift \(\overline{f_{h_t} - f} : X \rightarrow \mathbb{R}\) of \(f_{h_t} - f\) with \(\overline{f_{h_0} - f} = 0\). Schwartzman (using Birkhoff ergodic Theorem) shows that \(\lim_{t \rightarrow \infty} (1/t)[\overline{f_{h_t} - f}](x)\) exists for \(\mu\)-almost every \(x\), then he defines the "asymptotic cycle" associated to \((h_t)_{t \in \mathbb{R}}\) and \(\mu\) by:
\[
\int_X \lim_{t \rightarrow \infty} \frac{1}{t} [\overline{f_{h_t} - f}](x) \, d\mu(x).
\]

**PROPOSITION A.5.2.** — The limit \(\lim_{t \rightarrow \infty} (1/t)[\overline{f_{h_t} - f}](x)\) exists for \(\mu\)-almost every \(x\) and its \(\mu\) integral is \(\overline{\Theta}(h_t)(f)\).

**Proof.** — First remark that:
\[
\overline{f_{h_{t+r}} - f} = [\overline{f_{h_t} - f}] h_t + \overline{f_{h_t} - f},
\]
which can also be written as:
\[
[\overline{f_{h_t} - f}] h_t = \overline{f_{h_{t+r}} - f} - \overline{f_{h_t} - f} \quad (\star).
\]

Using the compactness of \(X\), we obtain a constant \(K\) such that:
\[
\forall t' \in [0, 1], \quad \forall t \in \mathbb{R}, \quad \forall x \in X, \quad |[\overline{f_{h_{t+r}} - f}](x) - [\overline{f_{h_t} - f}](x)| < K \quad (\star\star).
\]

Now, by Birkhoff ergodic Theorem,
\[
f^*(x) = \lim_{t \rightarrow \infty} \frac{1}{t} \int_0^t [\overline{f_{h_1} - f}] h_u(x) \, du
\]
\[\text{ANNALES SCIENTIFIQUES DE L'ÉCOLE NORMALE SUPERIEURE}\]
exists for \( \mu \)-almost every \( x \) and moreover

\[
\int_x f^* d\mu = \int_x [h_1 - f] d\mu.
\]

By (\( \ast \)), we have:

\[
\int_0^t [h_{u+1} - f] h_u(x) du = \int_0^t \{ [h_{u+1} - f](x) - [h_u - f](x) \} du
\]

\[
= \int_t^{t+1} \{ [h_u - f](x) du - \int_0^1 [h_u - f](x) \} du.
\]

This gives for \( \mu \)-almost all \( x \):

\[
f^*(x) = \lim_{t \to \infty} \frac{1}{t} \int_t^{t+1} [h_u - f](x) du.
\]

Using (\( \ast \ast \)), it is easy to see that:

\[
\lim_{t \to \infty} \frac{1}{t} \int_t^{t+1} [h_u - f](x) du = \lim_{t \to \infty} \frac{1}{t} [h_t - f](x).
\]

So the computations done above show that \( \lim 1/t [f \circ h_t - f](x) \) exists for \( \mu \)-almost every \( x \), and also that the integral of this function is \( \int_X [f] - f d\mu = \tilde{\theta}(h_t(f)) \).

6. Study of the Kernel of \( \theta \) in the case of a compact manifold

We will suppose that \( M^* \) is a compact manifold and that \( \mu \) is in \( \mathcal{M}_\mu^0(M^*) \).

We first consider the surjectivity of the map \( \tilde{\theta} : \mathcal{F}_0^0(M^*, \mu) \to H_1(M^*, \mathbb{R}) \).

Examples. — (1) \( M^* = \mathbb{T}^1 \times B^{*1} \) where \( B^{*1} \) is the Euclidean ball of dimension \( n-1 \). We suppose that \( \mu \) is the product of the Haar measure \( dt \) on \( \mathbb{T}^1 \), by the Lebesgue measure \( m \) on \( B^{*1} \). Remark that \( H_1(M^*, \mathbb{Z}) \cong \mathbb{Z} \) with generator \([\mathbb{T}^1 \times 0]\).

Choose some continuous function \( \varphi : B^{*1} \to \mathbb{R} \), such that \( \int_{B^{*1}} \varphi dm = 1 \) and \( \varphi | \partial B^{*1} = 0 \). If \( \alpha \in \mathbb{R} \) define \( h^*_\alpha \) by:

\[
h^*_\alpha : \mathbb{T}^1 \times B^{*1} \to \mathbb{T}^1 \times B^{*1},
\]

\[
(\alpha, x) \mapsto (\alpha + \alpha \varphi(x) t, x).
\]

Then \( h^*_\alpha | \partial B^{*1} = \text{id} \), \( (h^*_\alpha)_{\alpha \in [0,1]} \in \mathcal{F}^0(M^*, \mu) \) and \( \tilde{\theta}(h^*_\alpha) = [\mathbb{T}^1 \times 0] \). Moreover \( (h^*_\alpha)_{\alpha \in [0,1]} \) depends continuously on \( \alpha \). This shows that \( \tilde{\theta} \) is surjective and, in fact, has a continuous section. Remark that this section is also a group homomorphism.
(2) $M^n = \{ (0, 1] \times B^{n-1} / (0, x) \sim (1, \tau x) \}$ where $\tau$ is the orthogonal symmetry with respect to some hyperplan of $\mathbb{R}^{n-1}$. In fact, $M^n$ is the total space of the disc bundle obtained from the non orientable $(n-1)$-vector bundle over $\mathbb{T}^1$, the 0 section being given by $\{(0, 1] \times \{0\} / (0, 0) \sim (1, 0)\} = \mathbb{T}^1$. The Lebesgue measure on $[0, 1] \times B^{n-1}$ gives in a natural way a measure $\mu$ on $M^n$. We can show, as in example 1, that $\tilde{\theta}$ is surjective (we only have to replace the function $\varphi$ by one which is $\tau$ invariant). In this case also the construction shows that $\tilde{\theta}$ has a continuous (group) section. Moreover, all our isotopies are the identity on $\partial M^n$.

Recall now that, if $M^n$ is a manifold, an element $v \in H_1(M^n, \mathbb{R})$ is represented by an imbedded curve, if there exists an imbedding $k : \mathbb{T}^1 \to M^n$, such that $[k(\mathbb{T}^1)] = v$. We say that a curve $k : \mathbb{T}^1 \to M^n$ has a tubular neighborhood if there exists a vector bundle over $\mathbb{T}^1 : E \xrightarrow{p} \mathbb{T}^1$, and an open imbedding $\tilde{k} : E \to M^n$, such that $\tilde{k}$ restricted to the 0 section is the map $k : \mathbb{T}^1 \to M^n$. Remark that there are only two vector bundles of a given dimension on $\mathbb{T}^1$, the total spaces of their disc bundles are given in examples 1 and 2 above.

**Proposition 6.1.** — Let $M^n$ be a compact manifold, and $\mu \in \mathcal{M}_\mathbb{Q}(M^n)$. Suppose that we can find a basis of $H_1(M^n, \mathbb{R})$ which is represented by imbedded curves having tubular neighborhoods. Then, the map $\tilde{\theta} : \mathcal{H}(M^n, \mu) \to H_1(M^n, \mathbb{R})$ is surjective and has a continuous section. Moreover, if $n \geq 3$, this continuous section can also be choosen to be a group homomorphism.

**Proof.** — First, we assume $n \geq 3$. Let $p_i : E_i \to \mathbb{T}^1$, $i = 1, \ldots, q$, be vector bundles and $\varphi_i : E_i \subset M^n$ be open imbeddings such that the images $C_i$ under the $\varphi_i$ of the 0 sections represent a basis of $H_1(M^n, \mathbb{R})$. Since $n \geq 3$, by a general position argument, we can assume that the $C_i$'s are disjoint, then, by shrinking down the $E_i$'s, we can assume that $\varphi_i(E_i) \cap \varphi_j(E_j) = \emptyset$, $i \neq j$. If $D(E_i)$ is the disc bundle in $E_i$, then $D(E_i)$ is homeomorphic to one of the two manifolds given in the examples above. We can also assume, by the von Neumann-Oxtoby-Ulam Theorem, that the measure $\mu$ restricted to $D(E_i)$ is taken, under this homeomorphism, to a scalar multiple of the measure defined in these examples. Using the examples, we find for each $i, \alpha \in \mathbb{R}$ an isotopy $(h_i^\alpha)_\alpha$, such that:

- support $(h_i^\alpha) \subset \varphi_i(D(E_i))$;
- $\tilde{\theta}(h_i^\alpha) = \alpha[C_i]$;
- $\alpha \mapsto (h_i^\alpha)$ is a continuous group homomorphism.

We define the section $S : H_1(M^n, \mathbb{R}) \to \tilde{\mathcal{H}}_0(M^n, \mu)$ by:

$$S(\alpha_1[C_1] + \alpha_2[C_2] + \ldots + \alpha_q[C_q]) = (h_1^{\alpha_1} \circ \ldots \circ h_q^{\alpha_q})_{\alpha \in [0, 1]}.$$

The map $S$ is clearly a continuous section of $\tilde{\theta}$. Moreover, it is a group homomorphism since $h_i^\alpha$ commutes with $h_i^\beta$, their supports being disjoint.

We leave the case $n=2$ to the reader. We loose the group homomorphism property because we cannot assume the $C_i$'s disjoint; by general position, all we obtain is that $C_i$ and $C_j$ intersect transversally in a finite number of points. □
Remark that the hypothesis of Proposition 5.1: "There is a basis of \( H_1(M^n, \mathbb{R}) \) represented by imbedded curves having tubular neighborhood" is satisfied if \( M^n \) is differentiable [Hr] or PL [Hu]. It is also satisfied if \( n \neq 4 \) since this is a consequence of the stable homeomorphism Theorem, which is known in dimension \( \neq 4 \) [K].

As a Corollary, we obtain:

**Corollary 6.2.** — Under the same hypothesis as 5.1, there exists a homeomorphism:

\[
\mathcal{H}_0(M^n, \mu)\cong (\ker \partial) \times H_1(M^n, \mathbb{R}).
\]

In particular, \( \ker \partial \) is connected and locally contractible.

**Proof.** — The existence of the homeomorphism follows from the existence of a continuous section. Remark that \( \mathcal{H}_0(M^n, \mu) \) is connected and locally contractible being a covering of \( \mathcal{H}_0(M^n, \mu) \), which is connected and locally contractible. Hence, the second part of the Corollary follows from the first.

**Corollary 6.3.** — Under the same hypothesis as 6.1, the subgroup \( \ker \partial (\subset \mathcal{H}_0(M^n, \mu)) \) is connected and locally contractible.

**Proof.** — First, we show that \( p(\ker \partial) = \ker \partial \) where \( p : \mathcal{H}_0(M^n, \mu) \to \mathcal{H}_0(M^n, \mu) \). Let \( h \in \ker \partial \) and \( \tilde{h} \in \mathcal{H}_0(M^n, \mu) \) such that \( p(\tilde{h}) = h \). Since \( \partial(h) = 0 \), we have \( \partial(\tilde{h}) \in \Gamma \). By definition of \( \Gamma \), there exists \( \tilde{g} \in \ker p \) such that \( \partial(\tilde{h}) = \partial(\tilde{g}) \). Remark now that \( p(\tilde{h}\tilde{g}^{-1}) = h \) and \( \partial(\tilde{h}\tilde{g}^{-1}) = 0 \). Hence \( p(\ker \partial) = \ker \partial \).

Now, we show that \( p : \ker \partial \to \ker \partial \) is a covering. Suppose \( S \) is a local section of \( p : \mathcal{H}_0(M^n, \mu) \to \mathcal{H}_0(M^n, \mu) \). This section is defined on some open set \( \mathcal{U} \ni \text{id}_{M^n} \), \( S : \mathcal{U} \to \mathcal{H}_0(M^n, \mu) \), \( p \circ S = \text{id}_\mu \) and \( S(\text{id}_{M^n}) = \{ \text{id}_{M^n} \} \) the constant isotopy on \( M^n \). We have of course \( \partial(S(\mathcal{U} \cap \ker \partial)) \subset \Gamma \). Since \( \Gamma \) is discrete and \( \partial \) and \( S \) are continuous, if \( \mathcal{U} \) is small enough then \( \partial(S(\mathcal{U} \cap \ker \partial)) = \{ 0 \} \). This implies that \( S(\mathcal{U} \cap \ker \partial) \subset \ker \partial \). Hence \( p : \ker \partial \to \ker \partial \) has a local section, which implies that it is a covering. Using this fact and 6.2, it follows that \( \ker \partial \) is connected and locally contractible.

We now explain what is a handle decomposition of a manifold.

First, a \( n \)-dimensional handle is simply a space homeomorphic to a product \( B^k \times B^{n-k} \), where \( B^k \) and \( B^{n-k} \) are the Euclidian balls of dimension \( k \) and \( n-k \). The number \( k \) is called the index of the handle. If \( N^n \) be a manifold and \( \varphi \) a locally flat (1) imbedding \( \varphi : \partial B^k \times B^{n-k} \to \partial N^n \), we can form the topological space \( M^n = N^n \cup (B^k \times B^{n-k}) \). This space is obtained from the disjoint union \( N^n \coprod (B^k \times B^{n-k}) \) by identifying \( x \) with \( \varphi(x) \) for each \( x \) in \( \partial B^k \times B^{n-k} \). In fact, \( M^n \) is a manifold whose boundary is

\[
\partial M^n = (\partial N^n - \varphi(\partial B^k \times B^{n-k})) \cup (B^k \times \partial B^{n-k}).
\]

(1) Locally flat, in this case means that \( \varphi \) extends to an (open) imbedding of \( \partial B^k \times \text{neighborhood of } B^{n-k} \) in \( \mathbb{R}^{n-k} \) into \( \partial N^n \).
We say that $M^n$ is obtained from $N^n$ by adding an $n$-handle of index $k$. Remark that $N^n \cup B^k \times 0$ is a retract by deformation of $M^n$.

We will say that a manifold $M^n$ has a handle decomposition if $M^n$ can be obtained from a ball $B^n$ by adding $n$-handles. Each differentiable or PL manifold has a handle decomposition ([Mi], [Ru]). If $M^n$ is a topological manifold, the same is true if $n \leq 3$ (because each manifold of dimension $\leq 3$ can be given a PL structure, see [Mo]); if $n \geq 6$, then $M^n$ has also a handle decomposition [KS].

**Proposition 6.4.** — Let $M^n$ be a compact manifold having a handle decomposition, and such that there exists a basis of $H_1(M, \mathbb{R})$ represented by embedded curves having tubular neighborhood. Let $\mu \in \mathcal{M}_0(M^n)$. If $h \in \mathcal{M}_0(M^n, \mu)$ is in $\operatorname{Ker} \theta$, then $h$ can be written as a composition $h = h_1 \ldots h_q$, with each $h_i \in \mathcal{M}_0(M^n, \mu)$ and support $(h_i)$ is contained in a topological $n$-ball (a topological $n$-ball is a subset of $M^n$ homeomorphic to $B^n$).

**Proof.** — We will use the fact that $H_1(M, \mathbb{R})$ has a basis represented by curves having tubular neighborhood, only to apply 6.3 and obtain that $\operatorname{Ker} \theta$ is connected. Since $\operatorname{Ker} \theta$ is connected, it is generated, as a group, by any neighborhood of $\operatorname{id}_{M^n}$. Hence, we can assume that $h$ is close to the identity.

We will prove 6.4 by induction on the number of handles in a handle decomposition of $M^n$. We have to prove then the following thing:

If

$$M^n = N^n \cup B^k \times B^{n-k},$$

with

$$N^n \cap B^k \times B^{n-k} = \partial N^n \cap B^k \times B^{n-k} = \partial B^k \times B^{n-k}$$

and if 6.4 is true for $N^n$, then it is true for $M^n$.

We will denote by $B_0^k$ some concentric ball contained in $B^k$, for example:

$$B_0^k = \left\{ x \in \mathbb{R}^n \mid \|x\| \leq \frac{1}{2} \right\} \subset \left\{ x \in \mathbb{R}^n \mid \|x\| \leq 1 \right\}.$$  

Remark that $N_0^n = M^n - (B_0^k \times B^{n-k})$ is homeomorphic to $N^n$. We can assume by 2.1 and the von Neumann-Oxtoby-Ulam Theorem that $\mu | B^k \times B^n$ is, up to normalization, equal to
Lebesgue measure on $B^k \times B^{n-k} \subset \mathbb{R}^k \times \mathbb{R}^{n-k} = \mathbb{R}^n$. Since $\partial N_5^0 \subset \partial M^n \cup \partial B_0^k \times B^{n-k}$, we have $\mu(\partial N_5^0) = 0$, hence $\mu_0 = (1/\mu(N_5^0)) \mu | N_5^0 \in \mathcal{H}_0(N_5^0)$. We will show that if $h$ is in $\text{Ker} \theta_{M^*}$, where $\theta_{M^*} : \mathcal{H}(M^n, \mu) \to H_1(M^n, \mathbb{R})/\Gamma_{M^*}$, and is close to $\text{id}_{M^*}$, then it can be written in $\mathcal{H}_0(M^n, \mu)$ as a composition $h = g f$ with support $(f) \subset B^k \times B^{n-k} \cong B^n$, support $(g) \subset N_5^0$, and $\theta_{N_5^0}(g | N_5^0) = 0$, where $\theta_{N_5^0} : \mathcal{H}_0(N_5^0, \mu_0) \to H_1(N_5^0, \mathbb{R})/\Gamma_{N_5^0}$. Since $N_5^0$ is homeomorphic to the manifold $N^*$, for which 6.4 is true, this will finish the proof.

We distinguish four cases depending on $k$ the index of the handle.

**First case**: $k=0$. - The manifold $M^*$ is the disjoint union of $N^* = N_5^0$ and $B^n$. We define $f$ by $f | B^n = h$, $f | N^* = \text{id}$, and $g$ by $g | B^n = \text{id}$, $g | N^* = h$.

**Second case**: $k=1$. - We have $B^2 = [-1, 1]$, which we can of course replace by $[0, 1]$. Hence $M^* = N^* \cup [0, 1] \times B^{n-1}$ with

$$N^* \cap ([0, 1] \times B^{n-1}) = \partial N^* \cap ([0, 1] \times B^{n-1}) = \{ 0, 1 \} \times B^{n-1}.$$  

In this case, $N_5^0 = N^* \cup [0, 1/4] \times B^{n-1} \cup [3/4, 1] \times B^{n-1}$. If $h \in \text{Ker} \theta_{M^*}$ is close to the identity, and $(h_t)$ is a small isotopy with $h_t = h$, then $\theta_{M^*}(h_t) = 0$. We can then apply remark (2) after Proposition 4.4, and obtain $f \in \mathcal{H}(M^n, \mu_0)$ such that support $(f) \subset [0, 1] \times B^{n-1}$ and $h = f$ on $[1/4, 3/4] \times B^{n-1}$; moreover, if $h$ is the identity so is $f$, and $f$ depends continuously on $h$. If we define $g = f^{-1} h$, then $g$ is the identity on $[1/4, 3/4] \times B^{n-1}$, it depends continuously on $h$ and is the identity if $h$ is the identity. Clearly, $g | N_5^0$ preserves $\mu_0$ and is isotopic to the identity, since it is close to the identity if $h$ is close enough to the identity.

We must now show that $\theta_{N_5^0}(g | N_5^0) = 0$. Let $(g_t)$ be a small isotopy, preserving $\mu_0$, with support in $N_5^0$ and such that $g_1 = g$. Remark that if $\varphi : M^n \to T^1$ is continuous, we have:

$$\hat{\theta}_{M^*}(g_t)(\varphi) = \mu_0(N_5^0) \hat{\theta}_{N_5^0}(g_t | N_5^0)(\varphi | N_5^0).$$

Since $N_5^0 \cup [1/4, 3/4] \times 0$ is a retract of $M^*$ and since $T^1$ is path connected, each continuous map $N_5^0 \to T^1$ can be extended to a continuous map $M^* \to T^1$. So we have to show that $\hat{\theta}_{M^*}(g_t) = 0$. Using that $(g_t)$ is small, this follows from

$$\hat{\theta}_{M^*}(g_t) = \hat{\theta}_{M^*}(f^{-1}) + \hat{\theta}_{M^*}(h) = 0 + 0 = 0.$$  

We have $\theta(f^{-1}) = 0$, because $f$ is isotopic to the identity by an isotopy having support in $[0, 1] \times B^{n-1} \cong B^n$.

**Third case**: $k=2$. - Since $B^2 \times B^{n-2} - B_2^2 \times B^{n-2}$ is connected by 4.9 for each $h \in \mathcal{H}(M^n, \mu)$ which is close enough to the identity, we can find $f \in \mathcal{H}(M^n, \mu)$ with support $(f) \subset B^2 \times B^{n-2}$ and $h = f$ on $B_2^2 \times B^{n-2}$. Moreover, $f$ depends continuously on $h$, and is the identity if $h$ is. We define then $g = f^{-1} h$, and take a small isotopy $(g_t) \in \mathcal{H}(M^n, \mu)$ with $g = g_1$ supported in $N_5^0$. We have to show that if $h \in \text{Ker} \theta$ then $\hat{\theta}_{N^*(g_t | N_5^0)} = 0$. Remark that if a continuous map $\varphi : N_5^0 \to T^1$ can be extended to $M^*$, then, as in the second case above $\hat{\theta}_{N^*(g_t | N_5^0)}(\varphi) = 0$. Now, since $N_5^0 \cup B_2^2 \times 0$ is a retract of $M^*$, a map $\varphi : N_5^0 \to T^1$ can be extended to $M^*$ if and only if $\varphi | \partial B_2^2 \times 0$ is null homotopic, which is equivalent to $
abla \varphi | \partial B_2^2 \times 0 = 0$, where $\varphi | \partial B_2^2 \times 0 : B_2^2 \times 0 \cong T^1 \to T^1$. Hence, if each map $\varphi : N_5^0 \to T^1$ verifies $\nabla \varphi | \partial B_2^2 \times 0 = 0$, we obtain $\hat{\theta}_{N^*(g_t)} = 0$. 

4e série - Tome 13 - 1980 - N° 1
It is possible that some map $\varphi : N_5^* \to T^1$ does not verify $\deg(\varphi|_{\partial B_5^2 \times 0})=0$. In this case, let $\varphi_0 : N_5^* \to T^1$ be such that:

$$\deg(\varphi_0|_{\partial B_5^2 \times 0})=\min \{ \deg(\varphi|_{\partial B_5^2 \times 0}) \mid \varphi : N_5^* \to T^1, \text{ and } \deg(\varphi|_{\partial B_5^2 \times 0})>0 \}.$$

Then as a group, we have:

$$[N_5^*, T^1]=Z \varphi_0 + \{ \varphi : N_5^* \to T^1 \mid \deg(\varphi|_{\partial B_5^2 \times 0})=0 \}.$$

This implies that $\partial_{N_5} (g_1|N_5^*)=0$ if and only if $\partial_{N_5} (g_1|N_5^*)(\varphi_0)=0$. Of course, we have to modify $(g_i)$ to obtain this condition.

Using example 1 given in the beginning of 6, we can find an isotopy $(s_i) \in \mathcal{I} \mathcal{F} (M^n, \mu)$, with support $(s_i) \subset B^2 \times B^{n-2} - \bar{B}_5^2 \times B^{n-2} \cong T^1 \times B^{n-1}$, and such that

$$\partial_{N_5} (s_i|N_5^*)(\varphi_0) = -\partial_{N_5} (g_1|N_5^*)(\varphi_0).$$

This implies that $\partial_{N_5} (s_i|N_5^*)=0$. Hence, if we define $g'=s_1 g$ and $f'=f s_1^{-1}$, we obtain $h=f' g'$ with support $(f') \subset B^2 \times B^{n-2}$, support $(g') \subset N_5^*$ and $\partial (g'|N_5^*)=0$.

Fourth case: $k \geq 3$. — Using 4.9 as in the third case, we can write each $h \in \mathcal{H}_0(M^n, \mu)$, close to the identity, as $h=f g$, with $f$ and $g \in \mathcal{H}_0(M^n, \mu)$, support $(f) \subset B_5^2 \times B^{n-2}$, and support $(g) \subset N_5^*$. To prove that $\partial_{N_5} (g|N_5^*)=0$, if $h \in \text{Ker} \theta_{M^*}$, it suffices to show that each continuous map $N_5^* \to T^1$ extends to a continuous map $M^n \to T^1$. Using the fact that $N_5^* \cup B_5^2$ is a retract of $M^n$, it suffices to remark that each continuous map $\partial B_5^2 \cong S^{k-1} \to T^1$ extends to a continuous map $B_5^2 \cong B^k \to T^1$, since $k-1 \geq 2$. □

Remark. — Using in the proof of 6.4, a handle decomposition with handles of small diameter, we see that we can add in Proposition 6.4 that the supports of the $h_i$ are as small as we want. This follows also from the next Lemma.

Lemma 6.5. — Let $\mu$ be Lebesgue measure on $I^n$ and $\varepsilon > 0$. Given any $h \in \mathcal{H}_0(I^n, m)$, we can write $h=h_1 h_2 \ldots h_q$, with $h_i \in \mathcal{H}_0(I^n, m)$ and $\text{diam} \{ \text{support}(h_i) \}< \varepsilon$.

Proof. — We will show how to write each $h \in \mathcal{H}_0(I^n, m)$ as a product $h=h_1 \ldots h_q$, with support $(h_i)$ contained in either $[0, 3/4] \times I^{n-1}$ or $[1/4, 1] \times I^{n-1}$, moreover

$$h_i \left[ \begin{array}{c} 0, 3/4 \\ 0, 3/4 \end{array} \right] \times I^{n-1} \in \mathcal{H}_0 \left( \left[ \begin{array}{c} 0, 3/4 \\ 0, 3/4 \end{array} \right] \times I^{n-1}, m \right)$$

or

$$h_i \left[ \begin{array}{c} 1/4, 1 \\ 1/4, 1 \end{array} \right] \times I^{n-1} \in \mathcal{H}_0 \left( \left[ \begin{array}{c} 1/4, 1 \\ 1/4, 1 \end{array} \right] \times I^{n-1}, m \right).$$

Of course, 6.5 will follow by “applying the preceding result in smaller and smaller cubes”, we leave these details to the reader.
Since $\mathcal{H}_0(\mathbb{I}^n, m)$ is (by definition!) connected, we can assume $h$ close to the identity. Since

$$
\left(\begin{bmatrix} 0.3 \\ 0 \end{bmatrix} \right) \times \mathbb{I}^{n-1} = \left(\begin{bmatrix} 0.1 \\ 0 \end{bmatrix} \right) \times \mathbb{I}^{n-1} = \left(\begin{bmatrix} 0.3 \\ 0 \end{bmatrix} \right) \times \mathbb{I}^{n-1}
$$

is connected we can apply 4.9 and obtain for each $h \in \mathcal{H}_0(\mathbb{I}^n, m)$, close to the identity, a homeomorphism $h_1$ of $\mathbb{I}^n$ preserving the measure such that support

$$
(h_1) \subset \left[\begin{bmatrix} 0.3 \\ 0 \end{bmatrix} \right] \times \mathbb{I}^{n-1} \text{ and } h_1 = h \text{ on } \left[\begin{bmatrix} 0.1 \\ 0 \end{bmatrix} \right] \times \mathbb{I}^{n-1},
$$

moreover $h_1$ depends continuously on $h$ and is the identity if $h$ is. We conclude from this that, if $f$ is close enough to the identity,

$$
h_1 \left[\begin{bmatrix} 0.3 \\ 0 \end{bmatrix} \right] \times \mathbb{I}^{n-1} \in \mathcal{H}_0 \left(\begin{bmatrix} 0.3 \\ 0 \end{bmatrix} \right) \times \mathbb{I}^{n-1}, m, \right.
$$

moreover $h_2 = h_1^{-1} h$ has support in

$$
\left[\begin{bmatrix} 1 \\ 0 \end{bmatrix} \right] \times \mathbb{I}^{n-1} \text{ and } h_2 \left(\begin{bmatrix} 1 \\ 0 \end{bmatrix} \right) \times \mathbb{I}^{n-1} \in \mathcal{H}_0 \left(\begin{bmatrix} 1 \\ 0 \end{bmatrix} \right) \times \mathbb{I}^{n-1}, m, \right.
$$

since $h = h_1 h_2$, this finishes the proof. □

If $\mathcal{U}$ is some open covering of $\mathbb{M}^n$, a homeomorphism of $\mathbb{M}^n$ is called $\mathcal{U}$-small if its support is contained in some element of $\mathcal{U}$.

We restate the results obtained above.

**Theorem 6.6.** — Let $\mathbb{M}^n$ be a compact manifold having a handle decomposition and such that there exists a basis of $H_1(\mathbb{M}^n, \mathbb{R})$ represented by imbedded curves having tubular neighborhoods; moreover let $\mu \in \mathcal{A}_\mathcal{U}(\mathbb{M}^n)$. The map $\theta : \mathcal{H}_0(\mathbb{M}^n, \mu) \to H_1(\mathbb{M}^n, \mathbb{R})/\Gamma$ is surjective. The kernel of $\theta$ is generated as a group by its elements having support in $n$-balls. Moreover given any open covering $\mathcal{U}$ of $\mathbb{M}^n$, we can write each element of $\text{Ker} \theta$ as a composition of ($\mu$-preserving) homeomorphisms, which are $\mathcal{U}$-small.

**APPENDIX A.6**

**The case where the homeomorphisms are the identity**

**On the boundary and the non compact case**

Let $\mathbb{M}^n$ be a compact connected manifold with $\partial \mathbb{M}^n \neq \emptyset$ and $\mu \in \mathcal{A}_\mathcal{U}(\mathbb{M}^n)$. Consider

$$
\mathcal{F}_\partial(\mathbb{M}^n, \mu) = \left\{ (h_t) \in \mathcal{F}(\mathbb{M}^n, \mu) \mid h_t \big|_{\partial \mathbb{M}^n} = \text{id} \text{ for each } t \right\},
$$

$$
\mathcal{H}_0(\mathbb{M}^n, \mu) = \left\{ h \mid h(\mathcal{I}) \in \mathcal{F}_\partial(\mathbb{M}^n, \mu) \text{ with } h_1 = h \right\}.
$$
We have a natural map \( \mathcal{S}^\partial (M^*, \mu) \to H_0^\partial (M^*, \mu), (h_i) \mapsto h_1 \). The restriction of

\[ \bar{\Theta} : \mathcal{S}^\partial (M^*, \mu) \to H_1 (M^*, \mathbb{R}) \]

gives a map noted \( \bar{\Theta}^\partial : \mathcal{S}^\partial (M^*, \mu) \to H_1 (M^*, \mathbb{R}) \).

**Lemma A.6.1.** If \( \partial M^* \neq \emptyset \), the map \( \bar{\Theta} : \mathcal{S}^\partial (M^*, \mu) \to H_1 (M^*, \mathbb{R}) \) is zero on the kernel of \( \mathcal{S}^\partial (M^*, \mu) \to H_0^\partial (M^*, \mu) \). Hence it defines a homomorphism:

\[ \Theta^\partial : H_0^\partial (M^*, \mu) \to H_1 (M^*, \mathbb{R}) \]

**Proof.** Let \( (h_i)_{t \in [0, 1]} \) be in the kernel of \( \mathcal{S}^\partial (M^*, \mu) \to H_0^\partial (M^*, \mu) \). This means that \( h_0 = h_1 = \text{id} \) and \( h_t \big| \partial M^* = \text{id} \) for each \( t \in [0, 1] \). By 5.2, if \( x \in M^* \), the loop \( \{ h_t(x) \mid t \in [0, 1] \} \) represents \( \bar{\Theta}^\partial (h_t) \), but, for \( x \in \partial M^* \), this loop is constant. \( \square \)

Remark that, if \( H_1 (M^*, \mathbb{R}) \) has a basis represented by imbedded curves having tubular neighborhoods, the section of \( \bar{\Theta} \) constructed in Proposition 6.1 has image in \( \mathcal{S}^\partial (M^*, \mu) \), this shows in particular that \( \Theta^\partial \) is surjective.

The following Theorem can be proved along the same lines as Theorem 6.6.

**Theorem A.6.2.** Let \( M^* \) be a compact manifold with \( \partial M^* \neq \emptyset \) and \( \mu \in \mathcal{M}^\partial_0 (M^*) \). Suppose that \( H_1 (M^*, \mathbb{R}) \) represented by imbedded curves having tubular neighborhoods. The kernel of the surjective map \( \Theta^\partial : H_0^\partial (M^*, \mu) \to H_1 (M^*, \mathbb{R}) \) is generated as a group by its elements having support in \( n \)-balls. Moreover given any open covering \( \mathcal{U} \) of \( M^* \), we can write each element of \( \text{Ker} \Theta \) as a composition of elements of \( \text{Ker} \Theta^\partial \) which are \( \mathcal{U} \)-small.

Let \( V^* \) be a non compact connected manifold and let \( \mu \) be a Radon measure (finite on every compact set) which is strictly positive on each open set. We denote by \( H_0^c (V^*, \mu) \) the group of homeomorphisms \( h \), such that \( h \) preserves \( \mu \), has compact support and moreover is isotopic to the identity by a \( \mu \)-preserving isotopy having compact support. We will denote by \( \mathcal{S}^c (V^*, \mu) \) the set of isotopies which have compact support and preserve \( \mu \). We have a natural surjective map \( \mathcal{S}^c (V^*, \mu) \to H_0^c (V^*, \mu), (h_i)_{t \in [0, 1]} \mapsto h_1 \). The space \( \mathcal{S}^c (V^*, \mu) \) has a group structure defined by: \( (h_i)(g_i) = (h_i, g_i) \). Of course, \( p \) is a group homomorphism.

We will suppose that each compact set \( K \subset V^* \) is contained in some compact codimension zero locally flat submanifold of \( V^* \). This condition is realized, for example, if \( V^* \) is differentiable, or PL, or have a handle decomposition.

We now define a homomorphism: \( \Theta_{V^*, \mu} : H_0^c (V^*, \mu) \to H_1 (V^*, \mathbb{R}) \). We first define:

\[ \bar{\Theta}_{V^*, \mu} : \mathcal{S}^c (V^*, \mu) \to H_1 (V^*, \mathbb{R}) \]

If \( (h_i)_{t \in [0, 1]} \in \mathcal{S}^c (V^*, \mu) \), we choose a compact submanifold \( N^* \subset V^* \) which contains the support of \( h_i \) and we define \( \bar{\Theta}_{V^*, \mu} (h_i) \) as the image of \( \bar{\Theta}_{N^*, \mu} (h_i \big| N^*) \) under the map \( H_1 (N^*, \mathbb{R}) \to H_1 (V^*, \mathbb{R}) \) induced by the inclusion \( N^* \subset V^* \). The fact that \( \bar{\Theta}_{V^*, \mu} (h_i) \) is well defined (i.e. independent from the choice of \( N^* \)) is an easy consequence of the naturality of the definition of \( \bar{\Theta}_{N^*, \mu} \). It is easy to check that \( \bar{\Theta}_{V^*, \mu} \) is a group homomorphism.

**Lemma A.6.3.** Let \( (h_i)_{t \in [0, 1]} \in \mathcal{S}^c (V^*, \mu) \) verify \( h_1 = \text{id}_{V^*} \), then \( \bar{\Theta}_{V^*, \mu} (h_i) = 0 \).
Proof. — Let $M^*$ be a compact submanifold which contains the support of $h$, we can suppose $N^*$ connected. By 5.2, the loop $\{ h(x(t)) | t \in [0, 1] \}$ represent $\delta_{N^*}(N^* \cap M)$ for each $x \in N^*$, but if $x$ is in the frontier of $N^*$ in $V^*$ the loop $\{ h(x(t)) | t \in [0, 1] \}$ is constant. 

By the above Lemma, the map $\theta_{V^*, \mu}$ gives a well defined map:

$$\theta_{V^*, \mu} : H_0(V^*, \mu) \rightarrow H_1(V^*, \mathbb{R}).$$

**Lemma A.6.4.** — Let $h \in \mathcal{D}_0(V^*, \mu)$ be in the kernel of $\theta_{V^*, \mu}$, and let let $(h_t)_{t \in [0, 1]} \in \mathcal{D}_0(V^*, \mu)$ be such that $h_1 = h$. There exists a compact submanifold $M^*$ containing the support of $(h_t)_{t \in [0, 1]}$ and such that $\delta_{M^*, \mu}(h_t | M^*) = 0$.

**Proof.** — Let $N^*$ be a compact submanifold containing the support of $(h_t)_{t \in [0, 1]}$. By hypothesis, the image of $\delta_{M^*, \mu}(h_t | N^*)$ in $H_1(V, \mathbb{R})$ is 0. This implies that there exists a compact set $K \supset N^*$ such that the image of $\delta_{N^*, \mu}(h_t | N^*)$ in $H_1(K, \mathbb{R})$ is 0. We can then take for $M^*$, any compact submanifold containing $K$. 

We will suppose now that $\partial V^* = \emptyset$ and each compact set of $V^*$ is contained in a compact submanifold which verifies the hypothesis of Theorem A.6.2. Using what was said above and Theorem A.6.2, it is easy to prove the following Theorem:

**Theorem A.6.5.** — Under the hypothesis above on $V^*$, the map $\theta : \mathcal{D}_0(V^*, \mu) \rightarrow H_1(V^*, \mathbb{R})$ is surjective. The kernel of $\theta$ is generated by its elements having support in $n$-balls. Moreover, given any open covering $\mathcal{U}$ of $V^*$, any element $h \in \text{Ker } \theta$, can be written as a composition of $\mathcal{U}$-small elements of $\mathcal{D}_0(V^*, \mu)$.

7. The algebraic structure of the kernel of $\theta$

in the case of a manifold

We will suppose in this section that $M^*$ is a compact connected manifold without boundary and that $\mu$ is a good measure on $M^*$, i.e. $\mu \in \mathcal{M}_e(M^*)$.

The following Lemma is proved essentially in [OU2], p. 895.

**Lemma 7.1.** — Given any two points $x, y \in M^*$, there exists $h \in \mathcal{D}_0(M^*, \mu)$ such that $h(x) = y$; moreover we can assume that $h$ is a composition of (\mu-preserving) homeomorphisms supported by (topological) $n$-balls. In particular, $\text{Ker } \theta$ operates transitively on the points of $M^*$.

**Sketch of proof.** — First prove that given two points $x, y \in I^*$ there is a Lebesgue measure preserving homeomorphism $h$ of $I^*$ such that $h(x) = y$ and $h | \partial I^* = \text{id}$. Then extend this result to $M^*$ using its connectedness and the fact that its boundary is empty. 

We will use the well known fact that in $I^*$ we can find a locally flat arc with positive measure. We prove this fact now.

**Lemma 7.2.** — There exists an imbedding $\varphi : [0, 2] \times B^{n-1} \rightarrow I^*$ such that $\varphi^{-1}(\partial I^*) = 0 \times B^{n-1}$ and $\alpha = \varphi([0, 1] \times 0)$ has Lebesgue measure equal to $1/4$. 

4e SÉRIE — TOME 13 — 1980 — N° 1
Proof. — We can always find an imbedding $\psi : [0, 2] \times \mathbb{B}^{n-1} \to \mathbb{I}^{n}$ such that $\psi^{-1}(\partial \mathbb{I}^{n}) = 0 \times \mathbb{B}^{n-1}$, and such that the Lebesgue measure of $\psi([0, 1] \times 0)$ is 0.

Let $m$ denote Lebesgue measure on $\mathbb{I}^{n}$ and $\tilde{m}$ denote Lebesgue measure on $[0, 1]$. Define a measure $\mu$ on $\mathbb{I}^{n}$ by:

$$\mu = \frac{3}{4} m + \frac{1}{4} (\psi|[0, 1] \times 0) \ast \tilde{m}.$$ 

The measure $\mu$ is good, moreover $\mu(\partial \mathbb{I}^{n}) = 0$ and $\mu([0, 1] \times 0]) = 1/4$. By the von Neumann-Oxtoby-Ulam Theorem, we can find a homeomorphism $h$ of $\mathbb{I}^{n}$ verifying $h_{*} \mu = m$. The imbedding $\phi = h \psi$ has the desired properties. $\square$

In the next Lemma, we will have to assume that the dimension is $\geq 3$. This will put the same dimensional restriction on our final result.

**Lemma 7.3.** — Suppose $h$ is a homeomorphism of $\mathbb{I}^{n}$, $n \geq 3$, which preserves the Lebesgue measure $m$ and such that $h|\partial \mathbb{I}^{n} = \text{Id}$. We can write $h = h_{1} h_{2}$ with:

- $h_{1}$ and $h_{2}$ are homeomorphisms of $\mathbb{I}^{n}$ which preserve $m$;
- for $i = 1$ or 2, $h_{i}|\partial \mathbb{I}^{n} = \text{Id}$;
- for $i = 1$ or 2, the support of $h_{i}$ is contained in a locally flat (topological) $n$-ball $B_{i}$ such that $m(B_{i}) < 3/4$, $m(\partial B_{i}) = 0$ and $B_{i} \cap \partial \mathbb{I}^{n} = \partial B_{i} \cap \partial \mathbb{I}^{n}$ is a $(n-1)$-ball locally flat in $\partial B_{i}$ and in $\partial \mathbb{I}^{n}$.

**Proof.** — Let $\alpha$ be the arc given in 7.2. Since $n \geq 3$, the set $\mathbb{I}^{n} - (\alpha \cup h(\alpha))$ is connected; we can, by 2.3', find a locally flat $n$-ball $B \subset \mathbb{I}^{n} - (\alpha \cup h(\alpha))$ such that $m(B) > 1/4$, $m(\partial B) = 0$ and $B \cap \partial \mathbb{I}^{n} = \partial B \cap \partial \mathbb{I}^{n}$ is a $(n-1)$-ball locally flat in $\partial B$ and $\partial \mathbb{I}^{n}$. By the generalized Schoenflies Theorem (see section 3 before 3.7), $B_{1} = \mathbb{I}^{n} - B$ is a locally flat $n$-ball in $\mathbb{I}^{n}$, such that $B_{1} \cap \partial \mathbb{I}^{n} = \partial B_{1} \cap \partial \mathbb{I}^{n}$ is a $(n-1)$-ball locally flat in $\partial B_{1}$ and $\partial \mathbb{I}^{n}$. By construction, $\alpha \cup h(\alpha)$ is contained in $\text{Int}(B_{1})$. Let $B'$ be a locally flat $n$-ball in $\mathbb{I}^{n}$ such that $\alpha \subset B'$, $B' \cup h(B') \subset \text{Int} B_{1}$, $m(\partial B) = 0$ and $B' \cap \partial \mathbb{I}^{n} = \partial B' \cap \partial \mathbb{I}^{n}$ is locally flat in $\partial B'$ and $\partial \mathbb{I}^{n}$, we can take (for example) for $B'$ the image under $\phi$ (see 7.2) of $[0, 1 + \varepsilon] \times (\text{small neighborhood of 0 in } \mathbb{B}^{n-1})$. Using the generalized Schoenflies Theorem, we can construct a homeomorphism $h_{1}$ of $B_{1}$ such that $h_{1}|\partial B_{1} = \text{identity}$ and $h_{1}|B' = h|B'$. Applying 3.7, we see that we can add that $h_{1}$ preserves $m$. We extend $h_{1}$ by the identity to $\mathbb{I}^{n}$. We define $h_{2} = h_{1}^{-1} h$, the support of $h_{2}$ is contained in $B_{2} = \mathbb{I}^{n} - B'$, we have $m(B_{2}) < 3/4$ since $B'$ contains the arc $\alpha$. $\square$
Remark. — In the above situation, if $U$ is a neighborhood of $I^n$ in $\mathbb{R}^n$, for $i=1, 2$, we can find a homeomorphism with support in $U$ and sending $B_i$ on $I^n$. This is a consequence of the generalized Schoenflies Theorem, since $B_i$ is a locally flat $n$-ball in $I^n$ such that $B_i \cap \partial I^n = \partial B_i \cap \partial I^n$ is a $(n-1)$-ball locally flat in $\partial B_i$ and $\partial I^n$.

Construction. — We can find two sequences of $n$-balls $(C_i)_{i \geq 1}, (D_i)_{i \geq 1}$ contained in $I^n$ and such that:
- the $C_i$'s are all disjoint;
- $D_i$ intersects only $D_{i-1}$ and $D_{i+1}$, in particular the $D_{2i}$'s the disjoint and the $D_{2i+1}$'s are disjoint;
- $C_i \cup C_{i+1}$ is contained in $D_i$, hence $D_i \cap C_i = \emptyset$ if $j \neq i, i+1$;
- the $D_i$'s and the $C_i$'s converge to some point $p$ in $I^n$, this means that each neighborhood of $p$ contains all but a finite number of the $C_i$'s and the $D_i$'s;
- $m(\partial D_i) = m(\partial C_i) = 0$, and $m(C_{i+1}) = (3/4)m(C_i)$.

With this notations, we now prove:

Theorem 7.4. — Let $n \geq 3$. If $f \in \mathcal{X}^0(I^n, m)$ has its support in $I^n$, then $f$ is in the commutator subgroup of $\mathcal{X}^0(I^n, m)$. More precisely, we can write $f = [k_1, k_2] \cdots [k_{2q-1}, k_{2q}]$ with $k_i \in \mathcal{X}^0(I^n, m)$, support $(k_i) \subset I^n$.

By definition, here and in the following, $[s, t] = sts^{-1}t^{-1}$. 

4e série — Tome 13 — 1980 — N°1
Proof. — First case: support \((f)\subset C_1\) introduced above.

We apply 7.3 to \(f\) and \(C_1\) instead of \(h\) and \(I^*\). We can write \(f=h_1h_2\), with \(h_1\in \mathcal{H}^d(C_1, m)\), support \((h_1)\subset B_1\) with \(m(B_1)<(3/4)m(C_1)\). Moreover by the remark following 7.3, we can find a homeomorphism with support in \(D_1\) sending \(B_1\) onto \(C_1\). Since there exists a homeomorphism with support in \(D_1\) sending \(C_1\) to a subcube of \(C_2\) having measure equal to \(m(B_1)<(3/4)m(C_2)\), we obtain, by 3.6, two homeomorphisms \(g_1\) and \(g_2\in \mathcal{H}^d(D_1, m)\) such that \(g_1(B_1)\subset C_2\). We extend \(g_1\) and \(g_2\) to \(I^*\) by the identity. Define now \(f_2=g_1h_1g_1^{-1}g_2h_2g_2^{-1}\), we have support \((f_2)\subset C_2\), and

\[
ff_2^{-1} = h_1h_2g_2^{-1}g_1^{-1}g_1h_1^{-1} = h_1[h_2, g_2]h_1^{-1} = [h_1, g_1, h_1 = [h_1, h_2, h_1, h_1, g_1].
\]

Hence we can write \(f=[s_1, t_1][s_1', t_1']f_2\) with support \((f_2)\subset C_2\) and support \((s_1'),\) support \((t_1)\) and support \((t_1')\subset D_1\).

Using the above procedure, it is easy, by induction, to construct sequences of elements in \(\mathcal{H}^d(I^n, m)(f_1)_{i\geq 1}, (s_1)_{i\geq 1}, (s_1')_{i\geq 1}, (t_1)_{i\geq 1}\) and \((t_1')_{i\geq 1}\) such that:
- \(f_1=f\) and support \((f_1)\subset C_1\);
- support \((s_1)\), support \((s_1')\), support \((t_1)\) and support \((t_1')\subset D_1\);
- \(f_1=[s_1, t_1][s_1', t_1']f_{i+1}\).

Define now \(k_i\) by \(k_i=[s_1, t_1][s_1', t_1']f_{i+1}\). The support of \(k_i\) is contained in \(D_i\). Since the \(D_{2i}\)'s are disjoint, the infinite composition \(k_{\text{even}}=k_2k_4k_6k_8\ldots k_{2i}\ldots\) has a meaning, it is also clear that this composition preserves Lebesgue measure, moreover it is a homeomorphism because the \(D_i\)'s converge to a point. In the same way, \(k_{\text{odd}}=k_1k_3k_5\ldots k_{2i+1}\ldots\) is a homeomorphism preserving Lebesgue measure. Since \(k_i=f_1f_i^{-1}\) and the support of the \(f_i\)'s are disjoint, we obtain:

\[
k_{\text{odd}} =f_1f_2^{-1}f_3f_4^{-1}\ldots = (f_1f_3f_5\ldots)(f_2^{-1}f_4^{-1}f_6^{-1}\ldots),
\]

\[
k_{\text{even}} =f_2f_3^{-1}f_4f_5^{-1}\ldots = (f_2f_4f_6\ldots)(f_3^{-1}f_5^{-1}f_7^{-1}\ldots).
\]

This implies:

\[
k_{\text{odd}}k_{\text{even}} = f_1 = f.
\]

Remark also since \(k_i=[s_1, t_1][s_1', t_1']\) that:

\[
k_{\text{odd}} = [s_{\text{odd}}, t_{\text{odd}}][s'_{\text{odd}}, t'_{\text{odd}}],
\]

\[
k_{\text{even}} = [s_{\text{even}}, t_{\text{even}}][s'_{\text{even}}, t'_{\text{even}}].
\]

\[
f=[s_{\text{odd}}, t_{\text{odd}}][s'_{\text{odd}}, t'_{\text{odd}}][s_{\text{even}}, t_{\text{even}}][s'_{\text{even}}, t'_{\text{even}}].
\]

Hence, \(f\) is a product of commutators.

General case. — Since support \((f)\subset I^n\), by 4.5, we can write \(f=f_1\ldots f_q\), where \(f_i\in \mathcal{H}^d(I^n, m)\) has support contained in a cube \(K_f\subset I^n\) with measure \(\leq m(C_1)\). Since \(m(K_f)\leq m(C_1)\), there exists \(g_1\in \mathcal{H}^d(I^n, m)\) such that \(g_1(K_f)\subset C_1\). By the first case, the homeomorphism \(g_1f_ig_1^{-1}\) is a product of commutators, hence, by conjugation, \(f_i\) is also a product of commutators. This implies that \(f\) is a product of commutators. 

\[
\text{ANNALES SCIENTIFIQUES DE L'ECOLE NORMALE SUPÉRIEURE}
\]
Using 7.4 and 6.6, we obtain immediately:

**THEOREM 7.5.** — Let $M^n$, $n \geq 3$, be a compact manifold without boundary, having a handle decomposition and such that there exists a basis of $H_1(M^n, \mathbb{R})$ represented by imbedded curves having tubular neighborhoods, and let $\mu$ be a good measure on $M^n$. The kernel of the map $\theta : \mathcal{H}_0(M^n, \mu) \to H_1(M^n, \mathbb{R})/\Gamma$ is perfect, i.e. equal to its commutator subgroup. Hence it is also equal to the commutator subgroup of $\mathcal{H}_0(M^n, \mu)$, since $H_1(M^n, \mathbb{R})$ is abelian.

We now explain the Epstein Higman argument ([Ep], [Hi]). This is a general argument showing essentially that perfectness implies simplicity for a group of geometric transformations satisfying some mild hypothesis. We will explain this in our context.

Suppose that $M^n$ and $\mu$ are as in Theorem 7.5 and suppose furthermore that $M^n$ is connected, we will show that $\text{Ker} \theta$ is simple, i.e. has no non trivial normal subgroup.

Let $H$ be a normal subgroup of $\text{Ker} \theta$, suppose $H \neq \{\text{Id}\}$. Choose then $f \in H$, with $f \neq \text{Id}$. By this last hypothesis, we can find some non empty open subset $U$ of $M^n$, such that $U \cap f(U) = \emptyset$.

Suppose that $h, g \in \text{Ker} \theta$ have both support in $U$. Remark that $[h, f] = fh^{-1}f^{-1}$ is in $H$ since it is a normal subgroup, remark also that $[h, f] = h(fh^{-1}f^{-1})$ and that $fh^{-1}f^{-1}$ has support in $f(U)$ which is disjoint from $U$. Since $g$ has support in $U$, the last fact implies that $g$ commutes with $fh^{-1}f^{-1}$, hence:

$$[h, f], g] = h(fh^{-1}f^{-1})g(fh^{-1}f^{-1})h^{-1}g^{-1}$$

$$= fg(fh^{-1}f^{-1})(fh^{-1}f^{-1})h^{-1}g^{-1} = gh^{-1}g^{-1} = [h, g].$$

Since $[h, f]$ is in $H$, the commutator $[h, g] = [[h, f], g]$ is in $H$.

So we have showed that if $h$ and $g \in \text{Ker} \theta$, have support in $U$, then $[h, g] \in H$.

By 7.1, since $M^n$ is connected, $\mathcal{U} = \{k^{-1}(U) \mid k \in \text{Ker} \theta\}$ is an open covering of $M^n$. Choose some metric defining the topology of $M^n$ and let $\varepsilon > 0$ be a Lebesgue number, with respect to that metric, for the open covering $\mathcal{U}$, this means that each set of diameter less than $\varepsilon$ is contained in some member of $\mathcal{U}$. We will show now that if $h, g \in \text{Ker} \theta$ have their supports $\varepsilon/2$-small, then $[h, g] \in H$. We consider two cases. The first one is support $(h) \cap$ support $(g) = \emptyset$, in this case $[h, g] = \text{Id} \in H$. The second case is support $(h) \cap$ support $(g) \neq \emptyset$, hence support $(h) \cup$ support $(g)$ has diameter less than $\varepsilon$, which implies that it is contained in some member of $\mathcal{U}$, say $k^{-1}(U)$. The homeomorphisms $khk^{-1}$ and $kgk^{-1}$ have both their supports in $U$, hence $k[h, g]k^{-1} = [khk^{-1}, kgk^{-1}]$ is in $H$, since $H$ is normal this implies that $[h, g] \in H$.

Up to now, we have shown, in particular, that if $h$ and $g \in \text{Ker} \theta$ have their supports $\varepsilon/2$-small, then they commute in $\text{Ker} \theta/\Gamma$. By 6.6, $\text{Ker} \theta$ is generated by its elements having support $\varepsilon/2$-small, hence $\text{Ker} \theta/\Gamma$ is abelian. We conclude that $[\text{Ker} \theta, \text{Ker} \theta]$ is contained in $H$; since $\text{Ker} \theta$ is perfect, we obtain $\text{Ker} \theta = H$. Hence $\text{Ker} \theta$ is simple. Remark also that the same argument proves that $\text{Ker} \theta$ is the smallest normal subgroup of $\mathcal{H}_0(M^n, \mu)$.

We have just proved the following Theorem.

**THEOREM 7.6.** — Let $M^n$, $n \geq 3$, be a compact connected manifold without boundary, having a handle decomposition and such that there exists a basis of $H_1(M^n, \mathbb{R})$ represented by imbedded closed curves having tubular neighborhoods. Let $\mu$ be a good measure on $M^n$. The
kernel of the map \( \theta : \mathcal{H}_0(M^n, \mu) \to H_1(M^n, \mathbb{R})/\Gamma \) is a simple group. Moreover it is the smallest normal subgroup of \( \mathcal{H}_0(M^n, \mu) \) and it is also equal to the commutator subgroup of \( \mathcal{H}_0(M^n, \mu) \).

**Question.** — What happens in the case of a compact surface (i.e. \( n = 2 \))? Remark that, for \( n = 2 \), Theorem 4.4 is false for \( C^\infty \) diffeomorphisms preserving \( m \); in fact [Ba], there exists a surjective homomorphism \( R : \text{Diff}^\infty_+ (I^2, m) \to \mathbb{R} \) and the kernel of \( R \) is simple. As it is defined \( R \) has no meaning for homeomorphisms, and to our knowledge the existence (or non existence) of an extension of \( R \) to \( \mathcal{H}_c(I^2, m) \) is still an open question.

**APPENDIX A.7**

**THE NON COMPACT AND THE NON EMPTY BOUNDARY CASES**

Suppose that \( M^n \) is either non compact or \( \partial M^n \neq \emptyset \) (or both). Let \( \mu \) be a Radon measure which is \( > 0 \) on non empty open sets and has no atoms. Consider the group \( \mathcal{H}_0^\infty (M^n, \mu) \) of \( \mu \)-preserving homeomorphisms isotopic to the identity by a \( \mu \)-preserving isotopy which has compact support contained in \( M^n \) (the interior of \( M^n \)). We have (see appendix A.6) a homomorphism \( \theta : \mathcal{H}_0^\infty (M^n, \mu) \to H_1(M^n, \mathbb{R}) \).

**THEOREM A. 7.1.** — Suppose \( n \geq 3 \) and suppose that each compact set of \( M^n \) is contained in a compact codimension 0 submanifold \( N^n \) having a handle decomposition and such that there exists a basis of \( H_1(N^n, \mathbb{R}) \) represented by imbedded curves having tubular neighborhoods. Then, the map \( \theta : \mathcal{H}_0^\infty (M^n, \mu) \to H_1(M^n, \mathbb{R}) \) is surjective and its kernel is a simple group which is equal to the commutator subgroup of \( \mathcal{H}_0^\infty (M^n, \mu) \). Moreover, \( \ker \theta \) is the smallest non trivial normal subgroup of \( \mathcal{H}_0^\infty (M^n, \mu) \).

The proof of this Theorem is the same as the proof of Theorem 7.6, once we have the results of appendix A.6.

**Index of notations**

- \( B^n \): Euclidian \( n \)-ball in \( \mathbb{R}^n \);
- \( I^n \): \( n \)-cube \([0, 1]^n\);
- \( H^n\) : half space in \( \mathbb{R}^n \);
- \( H^n_+ \): first quadrant in \( \mathbb{R}^n \);
- \( M^n \): interior of the manifold \( M^n \);
- \( \partial M^n \): boundary of the manifold \( M^n \);
- \( \text{Int}(A) \): interior of the \( A \) as a subset of a topological space;
- \( \text{Fr}(A) \): frontier, boundary of \( A \) as a subset of a topological space;
- \( \mathcal{H}(X) \): homeomorphisms group of \( X \);
- \( \mathcal{H}_0(X) \): path component of \( 1d_X \) in \( \mathcal{H}(X) \);
- \( \mathcal{H}(X, \mu) \): group of \( \mu \)-preserving homeomorphisms;
- \( \mathcal{H}^\infty(M^n) \): homeomorphisms fixing \( \partial M^n \);
- \( \mathcal{H}(M^n, \mu \text{-bireg}) \): group of homeomorphisms biregular for \( \mu \);
- \( \mathcal{H}^\infty(M^n, \mu \text{-bireg}) \): \( \mathcal{H}^\infty(M^n) \cap \mathcal{H}(M^n, \mu \text{-bireg}) \);
- \( \mathcal{H}_0(X) \): set of homotopy classes of isotopies of \( X \), also the universal cover of \( \mathcal{H}_0(X) \) when it exists;
$\mathcal{F}(A; M^*)$, set of imbeddings of $A$ in $M^*$;
$\mathcal{F}(A, B; M^*)$, \{ $f \in \mathcal{F}(A; M^*) | f[B] = \text{id}$ \};
$\mathcal{F}(A; M^*, \mu)$, set of $\mu$-preserving imbeddings of $A$ in $M^*$;
$\mathcal{F}(A, M^*, \mu)$, set of $\mu$-biregular imbeddings of $A$ in $M^*$;
$\mathcal{F}(A, B; M^*, \mu)$, $\mathcal{F}(A; M^*, \mu) \cap \mathcal{F}(A, B; M^*)$;
$\mathcal{F}(A, B; M^*, \mu-bireg)$, $\mathcal{F}(A, B; M^*, \mu-bireg) \cap \mathcal{F}(A; M^*, \mu)$;
$\mathcal{S}(X)$, set of isotopies of $X$;
$\mathcal{S}(X, \mu)$, set of $\mu$-preserving isotopies of $X$;
$\mathcal{M}(X)$, set of probability measures on $X$;
$\mathcal{M}_\mu(X)$, set of good measures on $X$;
$\mathcal{M}_\mu^0(M^*)$, set of good measures $\mu$ on $M^*$ such that $\mu(\partial M^*) = 0$;
$\mathcal{M}_\mu^0(M^*, \mu_0)$, set of $\mu \in \mathcal{M}_\mu^0(M^*, \mu_0)$ which have the same sets of measure 0 as $\mu_0$.

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