

## MORPHISMS PRESERVING THE SET OF WORDS CODING THREE INTERVAL EXCHANGE <sup>\*</sup>, <sup>\*\*</sup>

TOMÁŠ HEJDA<sup>1</sup>

**Abstract.** Any amicable pair  $\varphi, \psi$  of Sturmian morphisms enables a construction of a ternary morphism  $\eta$  which preserves the set of infinite words coding 3-interval exchange. We determine the number of amicable pairs with the same incidence matrix in  $SL^{\pm}(2, \mathbb{N})$  and we study incidence matrices associated with the corresponding ternary morphisms  $\eta$ .

**Mathematics Subject Classification.** 68R15.

### 1. INTRODUCTION

*Sturmian words* are well-described objects in combinatorics on words. They can be defined in several equivalent ways [5], *e.g.* as words coding a two-interval exchange transformation with irrational ratio of lengths of the intervals. Morphisms preserving the set of Sturmian words are called *Sturmian* and they form a monoid generated by three of its elements (see [6, 12]). Let us denote this monoid by  $\mathcal{M}_{\text{Sturm}}$ .

In this paper, we consider morphisms preserving the set of words coding a three-interval exchange transformation with permutation  $(3, 2, 1)$ , the so-called *3iet*

---

*Keywords and phrases.* Interval exchange, three interval exchange, amicable Sturmian morphisms, incidence matrix of morphism.

<sup>\*</sup> We acknowledge financial support by the Czech Science Foundation grant 201/09/0584 and by the grants MSM6840770039 and LC06002 of the Ministry of Education, Youth, and Sports of the Czech Republic. We also thank the CTU student grant SGS10/085/OHK4/1T/14 and SGS11/162/OHK4/3T/14.

<sup>\*\*</sup> We would like to thank the organizers of the conference 13<sup>ièmes</sup> Journées Montoises d'Informatique Théorique for a financial support of the author's stay at the conference.

<sup>1</sup> Department of Mathematics FNSPE, Czech Technical University in Prague, Trojanova 13, 120 00 Prague, Czech Republic. [tohecz@gmail.com](mailto:tohecz@gmail.com)

words. We call these morphisms *3iet-preserving*. Monoid of these morphisms, denoted by  $\mathcal{M}_{3iet}$ , is not fully described. It is shown (see [10]) that the monoid  $\mathcal{M}_{3iet}$  is not finitely generated. Recently, in [2], pairs of amicable Sturmian morphisms were defined. The authors used this notion to describe morphisms that have as a fixed point a non-degenerate 3iet word, *i.e.* word with complexity  $\mathcal{C}(n) = 2n + 1$ . Using the operation of “ternarization”, we can assign a morphism  $\eta = \text{ter}(\varphi, \psi)$  over a ternary alphabet to a pair of amicable Sturmian morphisms. We show that such  $\eta$  is a 3iet-preserving morphism. Moreover, we show that the set

$$\mathcal{M}_{\text{ter}} = \{ \text{ter}(\varphi, \psi) \mid \varphi, \psi \text{ amicable morphisms} \}$$

is a monoid, but it does not cover the whole monoid  $\mathcal{M}_{3iet}$ .

We also study the incidence matrices of morphisms  $\eta \in \mathcal{M}_{\text{ter}}$ . From the definition of amicable Sturmian morphisms  $\varphi, \psi$  we can derive that  $\varphi$  and  $\psi$  have the same incidence matrix  $\mathbf{A} \in \mathbb{N}^{2 \times 2}$ , where  $\det \mathbf{A} = \pm 1$ . As shown in [14], for every matrix  $\mathbf{A} = \begin{pmatrix} p_0 & q_0 \\ p_1 & q_1 \end{pmatrix}$  with  $\det \mathbf{A} = \pm 1$ , there exist  $p_0 + p_1 + q_0 + q_1 - 1$  Sturmian morphisms. We will show the following theorem concerning the number of pairs of amicable Sturmian morphisms with a given matrix.

**Theorem 1.1.** *Let  $\mathbf{A} = \begin{pmatrix} p_0 & q_0 \\ p_1 & q_1 \end{pmatrix} \in \mathbb{N}^{2 \times 2}$  be a matrix with  $\det \mathbf{A} = \pm 1$ . Then there exist exactly*

$$m(\|\mathbf{A}\| - 1) + \frac{m}{2}(\det \mathbf{A} - m) \tag{1.1}$$

*pairs of amicable Sturmian morphisms with incidence matrix  $\mathbf{A}$ , where  $m = \min\{p_0 + p_1, q_0 + q_1\}$  and  $\|\mathbf{A}\| = p_0 + p_1 + q_0 + q_1$ .*

Moreover, for a given matrix  $\mathbf{A}$ , we will describe all matrices  $\mathbf{B} \in \mathbb{N}^{3 \times 3}$  such that  $\mathbf{B}$  is an incidence matrix of  $\eta = \text{ter}(\varphi, \psi)$  for amicable Sturmian morphisms  $\varphi, \psi$  with incidence matrix  $\mathbf{A}$ .

## 2. PRELIMINARIES

### 2.1. WORDS OVER FINITE ALPHABET

Besides the infinite words, we consider *finite words* over the alphabet  $\mathbb{A}$ . We write  $w = w_0 w_1 \dots w_{n-1}$ , where  $w_i \in \mathbb{A}$  for all  $i \in \mathbb{N}$ ,  $i < n$ . We denote by  $|w|$  the length  $n$  of the finite word  $w$ . We denote by  $|w|_a$  the number of occurrences of a letter  $a \in \mathbb{A}$  in the word  $w$ . The set of all finite words on the alphabet  $\mathbb{A}$  including the empty word is denoted by  $\mathbb{A}^*$ . The set  $\mathbb{A}^*$  with the operation of concatenation is a monoid. On the set  $\mathbb{A}^*$  we define a relation of *conjugation*:  $w \sim w'$ , if there exists  $v \in \mathbb{A}^*$  such that  $wv = vw'$ . A *morphism* from  $\mathbb{A}^*$  to  $\mathbb{B}^*$  is a mapping  $\varphi : \mathbb{A}^* \rightarrow \mathbb{B}^*$  such that  $\varphi(vw) = \varphi(v)\varphi(w)$  for all  $v, w \in \mathbb{A}^*$ . It is clear that a morphism is well defined by images of letters  $\varphi(a)$  for all  $a \in \mathbb{A}$ . If  $\mathbb{A} = \mathbb{B}$ , then  $\varphi$  is called a *morphism over  $\mathbb{A}$* .

The set of *infinite words* over the alphabet  $\mathbb{A}$  is denoted by  $\mathbb{A}^{\mathbb{N}}$ . The action of a morphism can be naturally extended to an infinite word  $(u_i)_{i \in \mathbb{N}}$  putting

$\varphi(u) = \varphi(u_0)\varphi(u_1)\varphi(u_2) \dots$ . If an infinite word  $u \in \mathbb{A}^{\mathbb{N}}$  satisfies  $\varphi(u) = u$ , we call it a *fixed point* of the morphism  $\varphi$  over  $\mathbb{A}$ .

To a morphism  $\varphi$  over  $\mathbb{A}$  we assign an *incidence matrix*  $\mathbf{M}_\varphi$  defined by  $(\mathbf{M}_\varphi)_{ab} = |\varphi(a)|_b$  for all  $a, b \in \mathbb{A}$ . To a finite word  $v \in \mathbb{A}^*$  we assign a *Parikh vector*  $\Psi(v)$  defined by  $\Psi(v)_b = |v|_b$  for all  $b \in \mathbb{A}$ .

The *language* of an infinite word  $u$  is the set of all its factors. Let us recall that a finite word  $w \in \mathbb{A}^*$  is a *factor* of  $u = (u_i)_{i \in \mathbb{N}}$ , if there exist indices  $n, j \in \mathbb{N}$  such that  $w = u_n u_{n+1} \dots u_{n+j-1}$ . The language of an infinite word is denoted by  $\mathcal{L}(u)$ .

It is known that the language of neither Sturmian nor 3iet word depends on the point  $x_0 \in [0, 1)$ , the orbit of which the infinite word codes. It depends only on slope  $\varepsilon$  or parameters  $\alpha, \beta$ .

The *(factor) complexity* of an infinite word  $u$  is a mapping  $\mathcal{C}_u : \mathbb{N} \rightarrow \mathbb{N}$ , which returns the number of factors of  $u$  of the length  $n$ , thus  $\mathcal{C}_u(n) = \#\{w \in \mathcal{L}(u) \mid |w| = n\}$ . It is easy to see that a word  $u$  is periodic if and only if there exists  $n_0 \in \mathbb{N}$  such that  $\mathcal{C}_u(n_0) \leq n_0$ .

2.2. INTERVAL EXCHANGE

We consider Sturmian words, *i.e.* aperiodic words given by exchange of 2 intervals with permutation  $(2, 1)$ , and words given by exchange of 3 intervals with permutation  $(3, 2, 1)$ . Let us recall that general  $r$ -interval exchange transformations were introduced already in [11].

The 2-interval exchange transformation  $S$  is a mapping  $S : [0, 1) \rightarrow [0, 1)$ . It is determined by its slope  $\varepsilon \in [0, 1]$  and is given by

$$Sx = \begin{cases} x + 1 - \varepsilon & \text{if } x \in [0, \varepsilon) \\ x - \varepsilon & \text{if } x \in [\varepsilon, 1). \end{cases}$$

The orbit of a point  $x_0 \in [0, 1)$  with respect to the transformation  $S$ , *i.e.* the sequence  $x_0, Sx_0, S^2x_0, \dots$  can be coded by an infinite word  $u = (u_i)_{i=0}^\infty$  on the binary alphabet  $\{0, 1\}$ . The infinite word is given by

$$u_i = \begin{cases} 0 & \text{if } S^i x_0 \in [0, \varepsilon), \\ 1 & \text{if } S^i x_0 \in [\varepsilon, 1). \end{cases} \tag{2.1}$$

It is a well-known fact that for an irrational  $\varepsilon$ , the word  $u$  is Sturmian. Using the same construction on the partition of the interval  $(0, 1]$  into  $(0, \varepsilon] \cup (\varepsilon, 1]$ , we again obtain a Sturmian word. On the other hand, every Sturmian word can be obtained by one of the above two constructions. The set of Sturmian words will be denoted by  $\mathcal{W}_{\text{Sturm}}$ .

In [12] (the original results can be found in [8, 13]), the authors show that Sturmian words are the aperiodic words with minimal complexity, *i.e.*  $\mathcal{C}_u(n) = n + 1$  for all  $u \in \mathcal{W}_{\text{Sturm}}$  and  $n \in \mathbb{N}$ . We can see that

$$S^i x_0 = \{x_0 - i\varepsilon\} \quad \text{for all } x_0 \in [0, 1), \tag{2.2}$$

where  $\{x\} = x - [x]$  denotes the *fractional part* of a number  $x \in \mathbb{R}$ . Then  $u_i = [x_0 - i\varepsilon] - [x_0 - (i + 1)\varepsilon]$ , which is exactly the formula how [12] define mechanical words.

We will use another fact about the two-interval exchanges. Let  $\varphi \in \mathcal{M}_{\text{Sturm}}$  be a Sturmian morphism. Then the word  $v = \varphi(a)$  for  $a \in \{0, 1\}$  codes two-interval exchange with the slope  $\frac{|v|_0}{|v|}$ . We should see this from [12], Lemma 2.1.15. The word  $a^k$  is a factor of some Sturmian word, hence the word  $\varphi(a)^k$  is balanced for any  $k \in \mathbb{N}$ , which means that the infinite word  $u = \varphi(a)^\omega = \varphi(a)\varphi(a)\varphi(a)\dots$  is balanced and periodic, thus it is rational mechanical. In our terms, this means that it codes a rational 2-interval exchange; it is as well shown there that the slope of the transformation is exactly  $\frac{|v|_0}{|v|}$ .

The 3-interval exchange transformation  $T$  is determined by two parameters  $\alpha, \beta \in (0, 1)$  satisfying  $\alpha + \beta < 1$ . Using parameters  $\alpha, \beta$  and  $\gamma = 1 - \alpha - \beta$  we partition the interval  $[0, 1)$  into  $I_A = [0, \alpha)$ ,  $I_B = [\alpha, \alpha + \beta)$  and  $I_C = [\alpha + \beta, 1)$ . The mapping  $T$  is given by

$$Tx = \begin{cases} x + \beta + \gamma & \text{if } x \in I_A, \\ x - \alpha + \gamma & \text{if } x \in I_B, \\ x - \alpha - \beta & \text{if } x \in I_C. \end{cases}$$

The orbit of a point  $x_0 \in [0, 1)$  with respect to the transformation  $T$  is coded by a word  $u = (u_i)_{i=0}^\infty$  over the ternary alphabet  $\{A, B, C\}$ :

$$u_i = X \quad \text{if } T^i x_0 \in I_X.$$

Similarly to the case of 2-interval exchange transformation, we can define the exchange of 3 intervals using the partition  $(0, 1] = (0, \alpha] \cup (\alpha, \alpha + \beta] \cup (\alpha + \beta, 1]$ . If  $\frac{1-\alpha}{1+\beta}$  is irrational, the infinite word  $u$  is aperiodic, and we call it a *3iet word*; the set of these words is denoted by  $\mathcal{W}_{\text{3iet}}$ . For combinatorial properties of 3iet words, see [9].

Aperiodic words coding 3-interval exchange transformations, called here 3iet words, have the complexity  $\mathcal{C}_u(n) \leq 2n + 1$  for all  $n \in \mathbb{N}$ . If a 3iet word  $u \in \mathcal{W}_{\text{3iet}}$  satisfies  $\mathcal{C}_u(n) = 2n + 1$  for all  $n \in \mathbb{N}$ , we call it a *non-degenerate* 3iet word; otherwise we call it a *degenerate* 3iet word and it is a quasi-Sturmian word (see [7]).

### 2.3. STANDARD PAIRS AND STANDARD MORPHISMS

In [14], the notion of standard pairs is introduced. If we define two operators on pairs of words  $L, R : \{0, 1\}^* \times \{0, 1\}^* \rightarrow \{0, 1\}^* \times \{0, 1\}^*$  as

$$L(x, y) = (x, xy), \quad R(x, y) = (yx, y),$$

we say that a pair  $(x, y)$  is a *standard pair*, if it can be obtained from the pair  $(0, 1)$  by applying the operators  $L$  and  $R$  finitely many times. For every standard pair  $(x, y)$  there exists a word  $v \in \{0, 1\}^*$  such that

$$xy = v01 \quad \text{and} \quad yx = v10. \tag{2.3}$$

We say that a binary morphism  $\varphi$  is *standard*, if there exists a standard pair  $(x, y)$  such that

$$\begin{array}{lcl} \varphi(0) = x, & & \varphi(0) = y, \\ \varphi(1) = y, & \text{or} & \varphi(1) = x. \end{array}$$

The authors of [14] show the close connection between the standard morphisms and all the Sturmian morphisms:

- (1) Every standard morphism is Sturmian.
- (2) For every matrix  $\mathbf{A} \in \mathbb{N}^{2 \times 2}$  with  $\det \mathbf{A} = \pm 1$ , there exists exactly one standard morphism  $\varphi$  with incidence matrix  $\mathbf{M}_\varphi = \mathbf{A}$ .
- (3) Every Sturmian morphism  $\psi \in \mathcal{M}_{\text{Sturm}}$  is a right conjugate to some standard morphism  $\varphi$ . Let us recall that a morphism  $\psi$  over  $\mathbb{A}$  is a *right conjugate* to  $\varphi$ , if there exists a finite word  $v \in \mathbb{A}^*$  such that

$$\varphi(a)v = v\psi(a) \quad \text{for all letters } a \in \mathbb{A}.$$

#### 2.4. AMICABLE WORDS AND MORPHISMS

In the article [4], authors show the close connection between 3iet and Sturmian words using morphisms  $\sigma_{01}, \sigma_{10} : \{A, B, C\}^* \rightarrow \{0, 1\}^*$  given by

$$\begin{array}{ll} \sigma_{01}(A) = 0, & \sigma_{10}(A) = 0, \\ \sigma_{01}(B) = 01, & \sigma_{10}(B) = 10, \\ \sigma_{01}(C) = 1, & \sigma_{10}(C) = 1. \end{array}$$

In [4], the following theorem is proved.

**Theorem 2.1.** *An infinite ternary word  $u \in \{A, B, C\}^{\mathbb{N}}$  is a 3iet word if and only if the words  $\sigma_{01}(u)$  and  $\sigma_{10}(u)$  are Sturmian.*

This theorem motivated the authors of [3] to introduce the relation of amicability of words.

**Definition 2.2.** Let  $w, w' \in \{0, 1\}^*$ , let  $b \in \mathbb{N}$ . We say that  $w$  is *b-amicable* to  $w'$ , if there exists a factor  $v \in \{A, B, C\}^*$  of some 3iet word such that

$$w = \sigma_{01}(v), \quad w' = \sigma_{10}(v) \quad \text{and} \quad |v|_B = b.$$

We say that  $w$  is *amicable* to  $w'$ , if  $w$  is *b-amicable* to  $w'$  for some  $b \in \mathbb{N}$ , and we denote it by  $w \propto w'$ .

The ternary word  $v$  is called a *ternarization* of  $w$  and  $w'$ , and we write  $v = \text{ter}(w, w')$ .

It is easy to see that if  $w \propto w'$ , then they are factors of the same Sturmian word and their Parikh vectors coincide.

The ternarization is given uniquely for a pair  $w, w'$ . For, let us see that if ternary words  $v^{(1)}, v^{(2)}$  differ, then either  $\sigma_{01}(v^{(1)}) \neq \sigma_{01}(v^{(2)})$  or  $\sigma_{10}(v^{(1)}) \neq \sigma_{10}(v^{(2)})$ .

In [3], the notion of amicable words plays a crucial role in the enumeration of words with length  $n$  occurring in a 3iet word. In [2], the authors investigate ternary morphisms that have a non-degenerate 3iet fixed point using the following notion of amicability of two Sturmian morphisms.

**Definition 2.3.** Let  $\varphi, \psi$  be Sturmian morphisms over the alphabet  $\{0, 1\}$ . We say that  $\varphi$  is *amicable* to  $\psi$ , if

$$\begin{aligned} \varphi(0) &\propto \psi(0), \\ \varphi(01) &\propto \psi(10) \\ \text{and } \varphi(1) &\propto \psi(1). \end{aligned}$$

We denote this relation by  $\varphi \propto \psi$ . The morphism  $\eta$  over the ternary alphabet  $\{A, B, C\}$ , given by

$$\begin{aligned} \eta(A) &= \text{ter}(\varphi(0), \psi(0)), \\ \eta(B) &= \text{ter}(\varphi(01), \psi(10)), \\ \eta(C) &= \text{ter}(\varphi(1), \psi(1)), \end{aligned}$$

is called the *ternarization* of morphisms  $\varphi$  and  $\psi$ , and is denoted by  $\eta = \text{ter}(\varphi, \psi)$ . The set of these  $\eta$  is denoted by  $\mathcal{M}_{\text{ter}}$ .

The ternarization of words is given uniquely by the words  $u \propto v$ , hence the ternarization of morphisms is given uniquely as well.

**Example 2.4.** Consider Sturmian morphisms  $\varphi, \psi$  given by

$$\varphi(0) = 001, \quad \varphi(1) = 00101, \quad \psi(0) = 010, \quad \psi(1) = 01001.$$

Then  $\varphi \propto \psi$  and their ternarization  $\eta = \text{ter}(\varphi, \psi)$  satisfies

$$\eta(A) = AB, \quad \eta(B) = ABABB, \quad \eta(C) = ABAC.$$

The article [2] states the following theorem:

**Theorem 2.5.** *Let  $\eta$  be a ternary morphism with non-degenerate 3iet fixed point. Then  $\eta \in \mathcal{M}_{\text{ter}}$  or  $\eta^2 \in \mathcal{M}_{\text{ter}}$ .*

### 3. MAIN RESULTS

Analogously to the terminology introduced for Sturmian words and morphisms in [6], the ternarization  $\eta$ , having a 3iet fixed point, is *locally 3iet-preserving*, i.e. there exists  $u \in \mathcal{W}_{\text{3iet}}$  such that  $\eta(u) \in \mathcal{W}_{\text{3iet}}$ . We now prove a partial result about (*globally*) *3iet-preserving* morphisms, i.e. ternary morphisms  $\eta$  such that

$$\eta(u) \in \mathcal{W}_{\text{3iet}} \quad \text{for all } u \in \mathcal{W}_{\text{3iet}}.$$

**Proposition 3.1.** *Let  $\eta = \text{ter}(\varphi, \psi)$  for amicable Sturmian morphisms  $\varphi \propto \psi$ . Then  $\eta$  is a globally 3iet-preserving morphism.*

*Proof.* Directly from definitions we see that

$$\begin{aligned} \sigma_{01}\eta(A) &= \varphi(0), & \sigma_{01}\eta(B) &= \varphi(01), & \sigma_{01}\eta(C) &= \varphi(1), \\ \sigma_{10}\eta(A) &= \psi(0), & \sigma_{10}\eta(B) &= \psi(10), & \sigma_{10}\eta(C) &= \psi(1). \end{aligned}$$

Therefore

$$\sigma_{01}\eta(v) = \varphi\sigma_{01}(v) \quad \text{and} \quad \sigma_{10}\eta(v) = \psi\sigma_{10}(v) \tag{3.1}$$

for any factor  $v$  of a 3iet word  $u \in \mathcal{W}_{3iet}$ . According to Theorem 2.1 we get that  $\sigma_{01}(u)$  and  $\sigma_{10}(u)$  are Sturmian words, and since  $\varphi$  and  $\psi$  are Sturmian morphisms, we obtain that  $\sigma_{01}\eta(u)$  and  $\sigma_{10}\eta(u)$  are Sturmian words as well, which means, according to the same theorem, that the word  $\eta(u)$  is 3iet.  $\square$

**Proposition 3.2.** *Let  $\varphi_i \propto \psi_i$  be Sturmian morphisms, for  $i = 1, 2$ . Then*

$$\text{ter}(\varphi_1, \psi_1) \circ \text{ter}(\varphi_2, \psi_2) = \text{ter}(\varphi_1 \circ \varphi_2, \psi_1 \circ \psi_2).$$

*Proof.* It can be shown that the relation of amicability is preserved by composition of morphisms. More precisely  $\varphi_1\varphi_2 \propto \psi_1\psi_2$ . Denote  $\eta_1 = \text{ter}(\varphi_1, \psi_1)$ ,  $\eta_2 = \text{ter}(\varphi_2, \psi_2)$ . Using the relation (3.1), we see that for all  $v \in \{A, B, C\}^*$

$$\begin{aligned} \sigma_{01}\eta_1\eta_2(v) &= \varphi_1\sigma_{01}\eta_2(v) = \varphi_1\varphi_2\sigma_{01}(v) \\ \text{and} \quad \sigma_{10}\eta_1\eta_2(v) &= \psi_1\sigma_{10}\eta_2(v) = \psi_1\psi_2\sigma_{10}(v). \end{aligned}$$

But this means that  $\eta_1\eta_2 = \text{ter}(\varphi_1\varphi_2, \psi_1\psi_2)$ .  $\square$

As a consequence of previous two propositions, we can state the following theorem.

**Theorem 3.3.** *The set  $\mathcal{M}_{\text{ter}}$  of all ternarizations of amicable Sturmian morphisms with the operation of composition of morphisms is a sub-monoid of the monoid  $\mathcal{M}_{3iet}$  of all globally 3iet-preserving morphisms.*

Unfortunately,  $\mathcal{M}_{\text{ter}} \subsetneq \mathcal{M}_{3iet}$ . Consider for example the morphism

$$\eta(A) = B, \quad \eta(B) = CAC, \quad \eta(C) = C. \tag{3.2}$$

As shown in [10], this morphism is 3iet-preserving, but it can be easily verified that it is not a ternarization of any pair of Sturmian morphisms, using the following statement.

**Proposition 3.4.** *A ternary morphism  $\eta$  is a ternarization, i.e.  $\eta \in \mathcal{M}_{\text{ter}}$ , if and only if it satisfies*

$$\sigma_{01}\eta(B) = \sigma_{01}\eta(AC) \quad \text{and} \quad \sigma_{10}\eta(B) = \sigma_{10}\eta(CA).$$

*Proof.* The implication  $(\Rightarrow)$ . Suppose  $\eta = \text{ter}(\varphi, \psi)$ . According to (3.1) we get

$$\begin{aligned} \sigma_{01}\eta(B) &= \varphi\sigma_{01}(B) = \varphi(01) = \varphi\sigma_{01}(AC) = \sigma_{01}\eta(AC), \\ \sigma_{10}\eta(B) &= \psi\sigma_{10}(B) = \psi(10) = \psi\sigma_{10}(CA) = \sigma_{10}\eta(CA). \end{aligned}$$

The implication  $(\Leftarrow)$ . Define morphisms  $\varphi, \psi$  as

$$\begin{aligned} \varphi(0) &= \sigma_{01}\eta(A), & \psi(0) &= \sigma_{10}\eta(A), \\ \varphi(1) &= \sigma_{01}\eta(C), & \psi(1) &= \sigma_{10}\eta(C). \end{aligned}$$

Immediately we get  $\text{ter}(\varphi(0), \psi(0)) = \eta(A)$  and  $\text{ter}(\varphi(1), \psi(1)) = \eta(C)$ . The words  $\varphi(01)$  and  $\psi(10)$  satisfy

$$\varphi(01) = \sigma_{01}\eta(AC) = \sigma_{01}\eta(B) \quad \text{and} \quad \psi(10) = \sigma_{10}\eta(CA) = \sigma_{10}\eta(B),$$

which means that  $\text{ter}(\varphi(01), \psi(10)) = \eta(B)$ . □

For the morphism (3.2), we get  $\sigma_{01}\eta(B) = 010 \neq 011 = \sigma_{01}\eta(AC)$ . Another even simpler example of a 3iet-preserving morphism that is not a ternarization is the morphism interchanging the letters  $A$  and  $C$ .

Now, our goal will be to determine the number of amicable pairs of morphisms with incidence matrix  $\mathbf{A}$  of  $\det \mathbf{A} = \pm 1$ . We will use the notion of  $b$ -amicable morphisms.

**Definition 3.5.** Let  $\varphi$  and  $\psi$  be binary morphisms and let  $b \in \mathbb{N}$ . We say that  $\varphi$  is  $b$ -amicable to  $\psi$ , if  $\varphi$  is amicable to  $\psi$  and the number of occurrences of  $B$  in  $\text{ter}(\varphi(01), \psi(10))$  is  $b$ .

We now determine the numbers of pairs of  $b$ -amicable Sturmian morphisms.

**Proposition 3.6.** Let  $\mathbf{A} = \begin{pmatrix} p_0 & q_0 \\ p_1 & q_1 \end{pmatrix} \in \mathbb{N}^{2 \times 2}$  be a matrix with  $\det \mathbf{A} = \pm 1$  and  $b \in \mathbb{N}$ . Put  $p = p_0 + p_1, q = q_0 + q_1$ . Then the number  $c_{\mathbf{A}}(b)$  of pairs of  $b$ -amicable morphisms with matrix  $\mathbf{A}$  is equal to

$$c_{\mathbf{A}}(b) = \begin{cases} \|\mathbf{A}\| - b & \text{if } \det \mathbf{A} = +1 \text{ and } 1 \leq b \leq \min\{p, q\}, \\ \|\mathbf{A}\| - b - 2 & \text{if } \det \mathbf{A} = -1 \text{ and } 0 \leq b \leq \min\{p, q\} - 1, \\ 0 & \text{otherwise,} \end{cases}$$

where  $\|\mathbf{A}\| = p + q$ .

First, let us state the following lemma.

**Lemma 3.7.** Let  $\mathbf{A} = \begin{pmatrix} p_0 & q_0 \\ p_1 & q_1 \end{pmatrix} \in \mathbb{N}^{2 \times 2}$  be a matrix with  $\det \mathbf{A} = \pm 1$  and  $b \in \mathbb{N}$ . Put  $p = p_0 + p_1, q = q_0 + q_1$  and  $N = \|\mathbf{A}\| = p + q$ . Let  $S$  be a two-interval exchange with the slope  $p/N$ . Let  $w^{(k)}$  be a word of the length  $N$  that codes  $S$  with the start point  $k/N$ , for  $k \in \{0, \dots, N - 1\}$ .

Then  $w^{(k)}$  is  $b$ -amicable to  $w^{(\bar{k})}$  if and only if  $0 \leq b \leq \min\{p, q\}$  and  $\bar{k} - k = b$ .



*Proof.* Using (2.2), we see that  $S^i(k/N) \equiv (k-ip)/N \pmod{1}$ , which is equivalent to  $NS^i(k/N) \equiv k-ip \pmod{N}$ . We know that the numbers  $p$  and  $N$  are co-prime, thus the mapping  $f_k : \{0, \dots, N-1\} \rightarrow \{0, \dots, N-1\}$  given by the congruence  $f_k(i) \equiv k-ip \pmod{N}$  is a bijection. As well,  $f_{\bar{k}}(i) - f_k(i) \equiv \bar{k} - k \pmod{N}$ .

Denote  $m = \min\{p, q\}$  and  $b = \bar{k} - k$ . Consider the following cases:

- Case  $b < 0$ . We shall see that  $w^{(k)}$  is lexicographically larger than  $w^{(\bar{k})}$ , i.e. if  $i \in \mathbb{N}$  is the first position such that  $w_i^{(k)} \neq w_i^{(\bar{k})}$ , then  $w_i^{(k)} = 1$  and  $w_i^{(\bar{k})} = 0$ . Directly from the definition of amicability, if  $w^{(k)} \propto w^{(\bar{k})}$  and  $w^{(k)} \neq w^{(\bar{k})}$ , then  $w^{(k)}$  is lexicographically smaller than  $w^{(\bar{k})}$ . These two facts make a contradiction.
- Case  $b \in \{0, \dots, m\}$ . Let  $\mathcal{I}_a \subset \{0, \dots, N-1\}$  be a set of indices  $i$  such that  $w_i^{(k)} = a$  and  $w_i^{(\bar{k})} \neq a$ , for both  $a = 0, 1$ . To show that  $w^{(k)}$  is  $b$ -amicable to  $w^{(\bar{k})}$ , we need to show that  $i \in \mathcal{I}_0$  implies  $i+1 \in \mathcal{I}_1$  and  $\#\mathcal{I}_0 = \#\mathcal{I}_1 = b$ . The fact that  $|w^{(k)}|_0 = |w^{(\bar{k})}|_0$  follows to  $\#\mathcal{I}_0 = \#\mathcal{I}_1$ .

Let  $i$  be an index such that  $f_k(i) \in [p-b, p)$ , thus  $w_i^{(k)} = 0$ . Then  $f_{\bar{k}}(i) \in [p, p+b)$ , thus  $w_i^{(\bar{k})} = 1$ . This means  $i \in \mathcal{I}_0$ . For these  $i$ , we have  $f_k(i+1) \in [N-b, N)$  and  $f_{\bar{k}}(i+1) \in [0, b)$ , which means  $i \in \mathcal{I}_1$ . There are exactly  $b$  such indices  $i$ . It remains to show that we covered the whole set  $\mathcal{I}_0$ . Suppose  $f_k(i) < p-b$ , then  $f_{\bar{k}}(i) < p$  and  $w_i^{(\bar{k})} = 0$ , which means  $i \notin \mathcal{I}_0$ . Suppose  $f_k(i) \geq p$ , then  $w_i^{(k)} = 1$ , which means  $i \notin \mathcal{I}_0$ .

- Case  $b \in \{m+1, \dots, N-m-1\}$ . Let  $i$  be such index that  $f_k(i) = p-1$ . Then  $f_k(i+1) = N-1$ .

If  $p \leq q$ , then  $f_{\bar{k}}(i) = b+p-1$  and  $f_{\bar{k}}(i+1) = b-1$ , which means that  $w_i^{(k)}w_{i+1}^{(k)} = 01$  and  $w_i^{(\bar{k})}w_{i+1}^{(\bar{k})} = 11$ .

If  $p > q$ , then  $f_{\bar{k}}(i) = b-q-1$  and  $f_{\bar{k}}(i+1) = b-1$ , which means that  $w_i^{(k)}w_{i+1}^{(k)} = 01$  and  $w_i^{(\bar{k})}w_{i+1}^{(\bar{k})} = 00$ .

Both these are in contradiction with  $w^{(k)} \propto w^{(\bar{k})}$ .

- Case  $b \in \{N-m, \dots, N-1\}$ .

Suppose  $p < q$ . Then  $j = 2p$  solves the inequalities

$$\begin{aligned} p \leq j < N, & & p \leq j + b - N < N, \\ p \leq j - p < N, & & 0 \leq j + b - p - N < p. \end{aligned}$$

Let  $i$  be an index such that  $f_k(i) = j$ . Then the previous inequalities give  $w_i^{(k)}w_{i+1}^{(k)} = 11$  and  $w_i^{(\bar{k})}w_{i+1}^{(\bar{k})} = 10$ , which is in a contradiction with  $w^{(k)} \propto w^{(\bar{k})}$ .

Suppose  $p > q$ . Then  $j = 2p - b - 1$  solves the inequalities

$$\begin{aligned} 0 \leq j < p, & & 0 \leq j + b - N < p, \\ p \leq j - p + N < N, & & 0 \leq j + b - p < p. \end{aligned}$$

Let  $i$  be an index such that  $f_k(i) = j$ . Then the previous inequalities give  $w_i^{(k)}w_{i+1}^{(k)} = 01$  and  $w_i^{(\bar{k})}w_{i+1}^{(\bar{k})} = 00$ , which is a contradiction with  $w^{(k)} \propto w^{(\bar{k})}$ . □

*Proof of Proposition 3.6.* Let  $S$  be a 2-interval exchange transformation with the slope  $\varepsilon = p/N$ . Let  $k \in \mathbb{Z}$  and denote  $w^{(k)}$  the word of the length  $N = \|\mathbf{A}\|$  that codes the orbit of the point  $\{k/N\}$  with respect to  $S$ . From [14] we know that for every Sturmian morphism  $\varphi$  with  $\mathbf{M}_\varphi = \mathbf{A}$ , there exists  $k \in \{0, \dots, N - 1\}$  such that  $\varphi(01) = w^{(k)}$ , we will denote this morphism  $\varphi^{(k)}$ .

Let  $\varphi_{\text{std}}$  be a standard morphism with  $\mathbf{M}_{\varphi_{\text{std}}} = \mathbf{A}$ . Every Sturmian morphism  $\varphi^{(k)}$  is a right conjugate to  $\varphi_{\text{std}}$ , which means that there exist words  $v, v' \in \{0, 1\}^*$  such that

$$\varphi^{(k)}(aa') = v01v' \quad \text{and} \quad \varphi^{(k)}(a'a) = v10v',$$

where letters  $a, a'$  satisfy  $aa' = 01$  for  $\det \mathbf{A} = +1$  and  $aa' = 10$  for  $\det \mathbf{A} = -1$ . This gives that  $\varphi(aa')$  is 1-amicable to  $\varphi(a'a)$ .

Morphism  $\varphi^{(k)}$  is  $b$ -amicable to  $\varphi^{(\bar{k})}$  if and only if the following conditions are satisfied:

1.  $\varphi^{(k)}(01)$  is  $b$ -amicable to  $\varphi^{(\bar{k})}(10)$ ;
2.  $\varphi^{(k)}(01)$  is amicable to  $\varphi^{(\bar{k})}(01)$ ;
3. Parikh vectors satisfy  $\Psi(\varphi^{(k)}(0)) = \Psi(\varphi^{(\bar{k})}(0))$ .

The 2nd and 3rd conditions assures that  $\varphi^{(k)}(0) \propto \varphi^{(\bar{k})}(0)$  and  $\varphi^{(k)}(1) \propto \varphi^{(\bar{k})}(1)$ .

Let us discuss the cases  $\det \mathbf{A} = +1$  and  $\det \mathbf{A} = -1$ .

- Case  $\det \mathbf{A} = +1$ . We know that  $\varphi^{(k)}(01)$  is 1-amicable to  $\varphi^{(k)}(10)$ , implying by Lemma 3.7 that  $\varphi^{(k)}(10) = w^{(k+1)}$ . This excludes  $k = N - 1$ .

The 3rd condition is immediately satisfied by  $\mathbf{M}_{\varphi^{(k)}} = \mathbf{M}_{\varphi^{(\bar{k})}}$ . To satisfy the 1st condition, we need  $(\bar{k} + 1) - k = b$ . To satisfy the 2nd condition, we need  $0 \leq \bar{k} - k \leq \min\{p, q\}$ . These facts gives  $0 \leq k \leq \bar{k} \leq N - 2$  and  $1 \leq b \leq \min\{p, q\}$ , because the value  $b = \min\{p, q\} + 1$  is denied by Lemma 3.7. For each admissible  $b$ , we have exactly  $N - b$  pairs of indices  $(k, \bar{k})$ .

- Case  $\det \mathbf{A} = -1$ . We know that  $\varphi^{(k)}(10)$  is 1-amicable to  $\varphi^{(k)}(01)$ , implying by Lemma 3.7 that  $\varphi^{(k)}(10) = w^{(k-1)}$ . This excludes  $k = 0$ .

The 3rd condition is immediately satisfied by  $\mathbf{M}_{\varphi^{(k)}} = \mathbf{M}_{\varphi^{(\bar{k})}}$ . To satisfy the 1st condition, we need  $(\bar{k} - 1) - k = b$ . To satisfy the 2nd condition, we need  $0 \leq \bar{k} - k \leq \min\{p, q\}$ . These facts gives  $1 \leq k \leq \bar{k} \leq N - 1$  and  $0 \leq b \leq \min\{p, q\} - 1$ , because the value  $b = -1$  is denied by Lemma 3.7. For each admissible  $b$ , we have exactly  $N - b - 2$  pairs of indices  $(k, \bar{k})$ . □

**Remark 3.8.** The proof shows an interesting fact: suppose that

$$\text{the word } \varphi^{(k)}(01) \text{ is } (b - \Delta)\text{-amicable to } \varphi^{(\bar{k})}(01) \tag{3.3}$$

and  $c_{\mathbf{A}}(b) \neq 0$ . Then the morphism  $\varphi^{(k)}$  is  $b$ -amicable to  $\varphi^{(\bar{k})}$ . The reason is as follows: In the proof we considered all pairs of  $(k, \bar{k})$  and to satisfy (3.3) there is no other choice but  $\bar{k} - k = b - \Delta$ . The condition  $c_{\mathbf{A}}(b) \neq 0$  is what we needed in the proof to show that  $\varphi^{(k)}(01)$  is  $b$ -amicable to  $\varphi^{(\bar{k})}(10)$ . Thus the conditions 1, 2 from the proof are true; the condition 3 is straightforward.

*Proof of Theorem 1.1.* The formula (1.1) can be obtained by summation of numbers  $c_{\mathbf{A}}(b)$  from the previous proposition.  $\square$

To each pair of amicable Sturmian morphisms, an incidence matrix of its ternarization is assigned. We now fully describe which matrices from  $\mathbb{N}^{3 \times 3}$  are matrices of ternarizations.

**Theorem 3.9.** *A matrix  $\mathbf{B} \in \mathbb{N}^{3 \times 3}$  is the incidence matrix of the ternarization of a pair of amicable Sturmian morphisms if and only if there exists a matrix  $\mathbf{A} = \begin{pmatrix} p_0 & q_0 \\ p_1 & q_1 \end{pmatrix} \in \mathbb{N}^{2 \times 2}$  with  $\det \mathbf{A} = \Delta = \pm 1$  and numbers  $b_0, b_1 \in \mathbb{N}$  such that*

- (a)  $\left| \frac{b_0(p_1+q_1)-b_1(p_0+q_0)}{p_0+q_0+p_1+q_1} \right| < 1;$
- (b)  $\frac{1-\Delta}{2} \leq b_0 + b_1 \leq \min\{p_0 + p_1, q_0 + q_1\} - \frac{\Delta+1}{2};$
- (c)  $\mathbf{B} = \mathbf{P} \begin{pmatrix} \mathbf{A} & b_0 \\ 0 & \Delta \end{pmatrix} \mathbf{P}^{-1}$ , where  $\mathbf{P} = \begin{pmatrix} 1 & 0 & 0 \\ 1 & 1 & 1 \\ 0 & 1 & 0 \end{pmatrix}$ .

*Proof of the implication ( $\Rightarrow$ ).* Let us denote  $p = p_0 + p_1$ ,  $q = q_0 + q_1$ ,  $N = p + q$  and  $b = b_0 + b_1 + \Delta$ . Then we can see that condition (c) gives

$$\mathbf{B} = \begin{pmatrix} p_0 - b_0 & b_0 & q_0 - b_0 \\ p - b & b & q - b \\ p_1 - b_1 & b_1 & q_1 - b_1 \end{pmatrix}. \tag{3.4}$$

The fact that (c) is necessary for  $\mathbf{B}$  to be an incidence matrix of a ternarization is shown in [1], Remark 13. Condition (b) is necessary according to Proposition 3.6, so we only need to show that (a) is satisfied for the matrix of the ternarization  $\eta = \text{ter}(\varphi, \psi)$  of a pair of amicable Sturmian morphisms  $\varphi \propto \psi$ .

We can see that  $\mathbf{A} = \begin{pmatrix} p_0 & q_0 \\ p_1 & q_1 \end{pmatrix}$  is necessarily an incidence matrix of both  $\varphi$  and  $\psi$ . Let  $S$  be a 2-interval exchange transformation with a rational slope  $\varepsilon = p/N$ . Then there exist numbers  $k, \bar{k} \in \{0, \dots, N - 2\}$  such that  $\varphi(01)$ ,  $\psi(01)$  code transformation  $S$  with start points  $x_0 = k/N$ ,  $\bar{x}_0 = \bar{k}/N$ , respectively; moreover,  $\bar{k} - k = b - \Delta$ . We need to determine the value of  $b_0 = |\text{ter}(\varphi(0), \psi(0))|_B$ . The number  $b_0$  is equal to the number of indices  $i \in \{0, 1, \dots, p_0 + q_0 - 1\}$  such that  $S^i x_0 \in [(p - b + \Delta)/N, p/N)$ , because for exactly these  $i$ , we have  $S^i x_0 < p/N \leq S^i \bar{x}_0$ .

Let  $X = \{x_0 - ip/N \mid i \in \mathbb{N}, 0 \leq i < p_0 + q_0\}$ . Put  $p' = p + \Delta/(p_0 + q_0)$ , and let  $Y = \{x_0 - ip'/N \mid i \in \mathbb{N}, 0 \leq i < p_0 + q_0\}$ . We can see that  $0 \leq \Delta((x_0 - ip/N) - (x_0 - ip'/N)) = i/(p_0 + q_0)N < 1/N$ . Thus  $x_0 - ip/N \in [\frac{p-b+\Delta}{N}, \frac{p}{N})$  if and only if

$$x_0 - ip'/N \in \begin{cases} (\frac{p-b}{N}, \frac{p-1}{N}] & \text{in the case } \Delta = +1, \\ [\frac{p-b-1}{N}, \frac{p}{N}) & \text{in the case } \Delta = -1. \end{cases} \tag{3.5}$$

In both cases, the length of the interval is  $\frac{b-\Delta}{N}$ . From  $\Delta = \det \mathbf{A} = \det \begin{pmatrix} p_0 & p_0+q_0 \\ p & N \end{pmatrix}$ , it is easy to see that

$$\frac{p'}{N} = \frac{p + \Delta/(p_0 + q_0)}{N} = \frac{p}{N} + \frac{p_0 N - p(p_0 + q_0)}{N(p_0 + q_0)} = \frac{p_0}{p_0 + q_0}.$$

Because  $p_0$  is co-prime to  $p_0 + q_0$ , we get  $\{ \{ip_0/(p_0 + q_0)\} | i \in \mathbb{N}, 0 \leq i < p_0 + q_0 \} = \{ i/(p_0 + q_0) | i \in \mathbb{N}, 0 \leq i < p_0 + q_0 \}$ . But this means that the set  $Y$  is uniformly distributed on the interval  $[0, 1)$ , therefore

$$b_0 = \# \left( X \cap \left[ \frac{p-b+\Delta}{N}, \frac{p}{N} \right) \right) \in \{ \lfloor \beta \rfloor, \lceil \beta \rceil \},$$

where  $\beta = (p_0 + q_0) \frac{b-\Delta}{N}$  is number of elements of  $Y$  multiplied by the length of the interval (3.5). Together we get

$$|\beta - b_0| < 1, \tag{3.6}$$

which is equivalent to condition (a). □

The proof of the other implication is divided into several lemmas.

**Lemma 3.10.** *Let  $\mathbf{A} = \begin{pmatrix} p_0 & q_0 \\ p_1 & q_1 \end{pmatrix} \in \mathbb{N}^{2 \times 2}$  with  $\det \mathbf{A} = \Delta = \pm 1$ , let  $b \in \mathbb{N}$  with  $\frac{1+\Delta}{2} \leq b \leq \min\{p_0 + p_1, q_0 + q_1\} - \frac{1-\Delta}{2}$ .*

*Denote  $N = \|\mathbf{A}\|$ ,  $p = p_0 + p_1$  and  $q = q_0 + q_1$  integers,  $I = \left[ \frac{p-b+\Delta}{N}, \frac{p}{N} \right)$  an interval,  $X_k = \{ \{k/N\}, S\{k/N\}, S^2\{k/N\}, \dots, S^{p_0+q_0-1}\{k/N\} \}$  a set of numbers for any  $k \in \mathbb{Z}$ , where  $S$  is the 2-interval exchange with the slope  $\varepsilon = p/N$ , and denote  $\beta = \frac{p_0+q_0}{N}(b - \Delta)$ .*

*Then for all  $b_0 \in \{ \lfloor \beta \rfloor, \lceil \beta \rceil \}$  such that*

$$b_0 \leq \min\{p_0, q_0\} \quad \text{and} \quad b - \Delta - b_0 \leq \min\{p_1, q_1\}, \tag{3.7}$$

*there exist  $k', k'' \in \{0, \dots, N - 1\}$ ,  $k' \neq k''$  such that*

$$\#(X_{k'} \cap I) = \#(X_{k''} \cap I) = b_0. \tag{3.8}$$

*Proof.* Denote  $r(k) = \#(X_k \cap I)$  for  $k \in \mathbb{Z}$ . We can see that  $\sum_{k=0}^{N-1} r(k) = (b - \Delta)(p_0 + q_0)$ . According to (3.6), we know that  $r(k) \in \{ \lfloor \beta \rfloor, \lceil \beta \rceil \}$  for all  $k \in \mathbb{Z}$ . Let

$$\begin{aligned} C_L &= \#\{k \in \{0, \dots, N - 1\} | r(k) = \lfloor \beta \rfloor\}, \\ C_U &= \#\{k \in \{0, \dots, N - 1\} | r(k) = \lceil \beta \rceil\}. \end{aligned}$$

These numbers satisfy the equations

$$\begin{aligned} C_L \lfloor \beta \rfloor + C_U \lceil \beta \rceil &= N\beta \\ \text{and} \quad C_L + C_U &= N. \end{aligned} \tag{3.9}$$

If  $C_L = 0$  or  $C_U = 0$ , necessarily  $\beta \in \mathbb{N}$  and (3.8) is satisfied for all  $k \in \mathbb{Z}$ .

If  $C_L \geq 2$ , we have two different  $k \in \mathbb{Z}$  satisfying (3.8) for  $b_0 = \lfloor \beta \rfloor$ . Similarly if  $C_U \geq 2$ , we have two different  $k \in \mathbb{Z}$  satisfying (3.8) for  $b_0 = \lceil \beta \rceil$ .

We will show that  $C_L = 1$  implies  $\lfloor \beta \rfloor$  not to satisfy the condition (3.7), and similarly for  $C_U$  and  $\lceil \beta \rceil$ .

If  $C_U$  and  $C_L$  are non-zero then there is a unique solution

$$C_L = N\{-\beta\} \quad \text{and} \quad C_U = N\{\beta\}.$$

Using relation  $p_0N - (p_0 + p_1)(p_0 + q_0) = \Delta$ , we get

$$\begin{aligned} C_U &\equiv (p_0 + q_0)(b - \Delta) \pmod{N} \\ b - \Delta &\equiv -\Delta(p_0 + p_1)C_U \pmod{N}. \end{aligned} \tag{3.10}$$

Let us suppose  $C_U = 1$  or  $C_L = 1$ , *i.e.*  $C_U \equiv \pm 1 \pmod{N}$  due to (3.9). Then (3.9) and (3.10) lead to  $b = (p_0 + p_1) + \Delta$  or  $b = (q_0 + q_1) + \Delta$ . For  $\Delta = +1$ , this is in contradiction with the conditions. For  $\Delta = -1$ , discuss the following two cases.

- Case  $b = (p_0 + p_1) + \Delta$ . This happens when  $C_U = 1$ . But it means that  $b_0 = \lceil \beta \rceil$  is equal to  $\lceil \frac{p_0N - \Delta}{N} \rceil = p_0 + 1$  and this case is excluded by the condition (3.7).
- Case  $b = (q_0 + q_1) + \Delta$ . This happens when  $C_L = 1$ . But it means that  $b_0 = \lfloor \beta \rfloor$  is equal to  $q_0 - 1$  hence  $b - \Delta - b_0 = q_1 + 1$ , which is excluded by (3.7).  $\square$

**Lemma 3.11.** *Let us have the same hypothesis as in Lemma 3.10.*

*Define morphisms  $\varphi_k$  for  $k \in \mathbb{Z}$  in the following way:*

- *the word  $\varphi_k(0)$  codes  $\{k/N\}, S\{k/N\}, \dots, S^{p_0+q_0-1}\{k/N\}$ ;*
- *the word  $\varphi_k(1)$  codes  $S^{p_0+q_0}\{k/N\}, \dots, S^{N-1}\{k/N\}$ .*

*Let  $k_0 \in \mathbb{Z}$  be such integer that  $\#(X_{k_0} \cap I) = \#(X_{k_0-p} \cap I)$ . Then*

$$\varphi_{k_0} \propto \varphi_{k_0+b-\Delta} \quad \text{or} \quad \varphi_{k_0-p} \propto \varphi_{k_0-p+b-\Delta},$$

*and the number of  $B$ 's in the ternarization of the images of the letter 0 is  $\#(X_{k_0} \cap I)$ .*

*Proof.* Let  $k \in \mathbb{Z}$  and let us consider the orbit

$$\{k/N\}, S\{k/N\}, \dots, S^{p_0+q_0-1}\{k/N\}. \tag{3.11}$$

Let  $t^{(k)}$  be a word of the length  $p_0+q_0$  that codes (3.11) to the alphabet  $\{0, 0', 1, 1'\}$  with the following code:

$$t_i^{(k)} = \begin{cases} 0 & \text{if } S^i\{k/N\} \in \left[0, \frac{p-b+\Delta}{N}\right), \\ 0' & \text{if } S^i\{k/N\} \in \left[\frac{p-b+\Delta}{N}, \frac{p}{N}\right) = I, \\ 1 & \text{if } S^i\{k/N\} \in \left[\frac{p}{N}, \frac{N-b+\Delta}{N}\right), \\ 1' & \text{if } S^i\{k/N\} \in \left[\frac{N-b+\Delta}{N}, 1\right). \end{cases} \tag{3.12}$$

From definition of  $S$ , we see that  $t_i^{(k)} = 0' \Leftrightarrow t_{i+1}^{(k)} = 1'$ . Define two morphisms  $\tau, \tau' : \{0, 0', 1, 1'\}^* \rightarrow \{0, 1\}^*$  as

$$\begin{aligned} \tau(0) &= 0, & \tau(0') &= 0, & \tau(1) &= 1, & \tau(1') &= 1, \\ \tau'(0) &= 0, & \tau'(0') &= 1, & \tau'(1) &= 1, & \tau'(1') &= 0. \end{aligned}$$

If  $t^{(k)}$  does not start with  $1'$  and does not end with  $0'$ , then the word  $\varphi_k(0) = \tau(t^{(k)})$  is  $|t^{(k)}|_{0'}$ -amicable to  $\tau'(t^{(k)}) = \varphi_{k+b-\Delta}(0)$ . Moreover,  $|t^{(k)}|_{0'} = \#(X_k \cap I)$ . To show this, notice that  $S\{k_0/N\} = \{(k_0 - p)/N\}$ , which means that there exist letters  $a, a' \in \{0, 0', 1, 1'\}$  such that  $t^{(k_0)}a = a't^{(k_0-p)}$  and  $a = 0' \Leftrightarrow a' = 0'$ , because the numbers of letters  $0'$  in the words  $t^{(k_0)}$  and  $t^{(k_0-p)}$  coincide.

Consider these two cases:

- If  $a = 0'$  then the last letter of  $t^{(k_0)}$  is not  $0'$  since this implies  $a' = 1'$ . This yields  $\varphi_k(0) \propto \varphi_{k+b-\Delta}(0)$  for  $k = k_0$ .
- If  $a \neq 0'$  then  $t^{(k_0-p)}$  does not start with  $1'$  and does not end with  $0'$ . This yields  $\varphi_k(0) \propto \varphi_{k+b-\Delta}(0)$  for  $k = k_0 - p$ .

Similar reasoning leads to the amicability of the images of the letter 1. Thus by concatenation  $\varphi_k(01) \propto \varphi_{k+b-\Delta}(01)$ . The condition on  $b$  is the same as in Proposition 3.6, hence Remark 3.8 applies.  $\square$

**Lemma 3.12.** *Let us have the same hypothesis as in Lemma 3.10.*

*Let  $k_0 \in \mathbb{Z}$  be a number such that if  $\Delta = -1$  and  $b = \min\{p, q\} - 1$  then*

$$k_0 \not\equiv \begin{cases} -1 & (\text{mod } N) & \text{in the case } p > q, \\ p - b - 1 & (\text{mod } N) & \text{in the case } p < q. \end{cases} \quad (3.13)$$

*Then*

$$\#(X_{k_0} \cap I) = \#(X_{k_0+p} \cap I) \quad \text{or} \quad \#(X_{k_0} \cap I) = \#(X_{k_0-p} \cap I).$$

*Proof.* Define the words  $t^{(k)}$  by (3.12) in the same way as in the previous proof. Denote  $\ell = p_0 + q_0$ . Then we know that there exist letters  $a_0, \dots, a_{\ell+1} \in \{0, 0', 1, 1'\}$  such that

$$\begin{aligned} t^{(k_0+p)} &= a_0 a_1 a_2 \dots a_{\ell-1}, \\ t^{(k_0)} &= a_1 a_2 \dots a_{\ell-1} a_{\ell}, \\ t^{(k_0-p)} &= a_2 \dots a_{\ell-1} a_{\ell} a_{\ell+1}. \end{aligned}$$

Let us remind that  $\#(X_k \cap I) = |t^{(k)}|_{0'}$ . The proof will be done by contradiction. Suppose that  $|t^{(k_0+p)}|_{0'} \neq |t^{(k_0)}|_{0'} \neq |t^{(k_0-p)}|_{0'}$ . There are only two possible values of these numbers, thus  $|t^{(k_0+p)}|_{0'} = |t^{(k_0-p)}|_{0'}$ . This together gives either  $a_0 = a_{\ell+1} = 0'$  or  $a_1 = a_{\ell} = 0'$ . It means that there exist  $\xi \in I = [\frac{p-b+\Delta}{N}, \frac{p}{N})$  and  $\omega \in \{+1, -1\}$  such that  $S^{\ell+\omega}\xi \in I$ . Without the loss of generality  $\xi \in \frac{1}{N}\mathbb{Z}$ . Since  $\ell p = p_0 N - \Delta$ , we have

$$S^{\ell+\omega}\xi \equiv \xi - \frac{(\ell + \omega)p}{N} \equiv \xi + \frac{\Delta - \omega p}{N} \pmod{1}.$$

Because  $|S^{\ell+\omega}\xi - \xi| < 1$  we have

$$\begin{aligned} S^{\ell+\omega}\xi - \xi &= \frac{\Delta - \omega p}{N} \\ \text{or } S^{\ell+\omega}\xi - \xi &= \frac{\Delta - \omega p}{N} + \omega = \frac{\Delta + \omega q}{N}, \end{aligned}$$

since  $1 - p/N = q/N$ . This enforces  $b - 1 - \Delta \geq \min\{p, q\} - 1$  for the interval  $I$  to be large enough to contain both  $\xi$  and  $S^{\ell+\omega}\xi$ .

For  $\Delta = +1$ , this is in contradiction with  $b \leq \min\{p, q\}$ .

For  $\Delta = -1$  we get only one admissible  $b = \min\{p, q\} - 1$ . The case  $p = \min\{p, q\}$  means  $\omega = -1$  and  $\xi = \frac{p-b-1}{N}$ , which implies  $k_0 \equiv p - b - 1 \pmod{N}$ . The case  $q = \min\{p, q\}$  means  $\omega = +1$  and  $\xi = \frac{p-1}{N}$ , which implies  $k_0 \equiv -1 \pmod{N}$ . Both these cases are excluded by (3.13).  $\square$

*Proof of the implication ( $\Leftarrow$ ).* From [1], Remark 13, the incidence matrix of the ternarization  $\text{ter}(\varphi, \psi)$  is fully described by the matrix  $\mathbf{A}$  and numbers  $b_0$  and  $b = b_0 + b_1 + \Delta$ . The condition (a) is equivalent to (3.6) and it gives at most two values of  $b_0$ . If  $\beta \in \mathbb{N}$ , there is nothing to do as we have at least one pair of  $b$ -amicable morphisms  $\varphi \propto \psi$  for  $\mathbf{A}$ , and its incidence matrix satisfies all three conditions.

For  $\beta \notin \mathbb{N}$ , we want to show that for both  $b_0 \in \{\lfloor \beta \rfloor, \lceil \beta \rceil\}$  there exist  $\varphi \propto \psi$  with  $|\text{ter}(\varphi(0), \psi(0))|_B = b_0$ . Because the elements of the matrix  $\mathbf{B}$  are non-negative, the condition (3.7) of Lemma 3.10 is satisfied and we have two different  $k', k''$ . At least one of them satisfies (3.13). Lemma 3.12 then provides  $k_0$  satisfying the conditions of Lemma 3.11 that gives a pair of amicable Sturmian morphisms, ternarization of which has the incidence matrix  $\mathbf{B}$ .  $\square$

#### 4. CONCLUSIONS AND OPEN PROBLEMS

Matrices of 3iet-preserving morphisms were studied in [1]. The authors give a necessary condition on  $\mathbf{B} \in \mathbb{N}^{3 \times 3}$  to be an incidence matrix of a 3iet-preserving morphism:

$$\mathbf{BEB}^T = \pm \mathbf{E}, \quad \text{where } \mathbf{E} = \begin{pmatrix} 0 & 1 & 1 \\ -1 & 0 & 1 \\ -1 & -1 & 0 \end{pmatrix}.$$

However, this condition is not sufficient. In our contribution, we study 3iet-preserving morphisms  $\eta = \text{ter}(\varphi, \psi)$  arising from pairs of amicable Sturmian morphisms  $\varphi \propto \psi$ . Our Theorem 3.9 gives sufficient and necessary condition for any matrix  $\mathbf{B} \in \mathbb{N}^{3 \times 3}$  to satisfy  $\mathbf{B} = \mathbf{M}_\eta$  for some ternarization  $\eta = \text{ter}(\varphi, \psi)$ .

It remains to answer the question about the role of the monoid

$$\mathcal{M}_{\text{ter}} = \{ \text{ter}(\varphi, \psi) \mid \varphi, \psi \text{ amicable morphisms} \}$$

in the whole monoid  $\mathcal{M}_{3\text{iet}}$  of all 3iet-preserving morphisms. It seems that using similar proof as for Theorem 2.5 (see [2]) we can prove the following statement.

**Corollary 4.1.** *Let  $\eta \in \mathcal{M}_{3\text{iet}}$ . Then one of  $\eta, \eta \circ \xi_1, \eta \circ \xi_2$  or  $\eta \circ \xi_1 \circ \xi_2$  is in  $\mathcal{M}_{\text{ter}}$ , where*

$$\begin{array}{lll} \xi_1(A) = C, & \xi_1(B) = B, & \xi_1(C) = A, \\ \xi_2(A) = B, & \xi_2(B) = ACA, & \xi_2(C) = A. \end{array}$$

## REFERENCES

- [1] P. Ambrož, Z. Masáková and E. Pelantová, Matrices of 3-iet preserving morphisms. *Theoret. Comput. Sci.* **400** (2008) 113–136.
- [2] P. Ambrož, Z. Masáková and E. Pelantová, Morphisms fixing words associated with exchange of three intervals. *RAIRO – Theor. Inf. Appl.* **44** (2010) 3–17.
- [3] P. Ambrož, A.E. Frid, Z. Masáková and E. Pelantová, On the number of factors in codings of three interval exchange. *Discrete Math. Theoret. Comput. Sci.* **13** (2011) 51–66.
- [4] P. Arnoux, V. Berthé, Z. Masáková and E. Pelantová, Sturm numbers and substitution invariance of 3iet words. *Integers* **8** (2008) A14, 17.
- [5] J. Berstel, Recent results in Sturmian words, in *Developments in language theory II*. Magdeburg (1995). World Sci. Publ., River Edge, NJ (1996) 13–24.
- [6] J. Berstel and P. Séébold, Morphismes de sturm. *Bull. Belg. Math. Soc.* **1** (1994) 175–189.
- [7] J. Cassaigne, Sequences with grouped factors, in *Developments in language theory III*. Aristotle University of Thessaloniki, Greece (1998) 211–222.
- [8] E.M. Coven and G.A. Hedlund, Sequences with minimal block growth. *Math. Syst. Theor.* **7** (1973) 138–153.
- [9] S. Ferenczi, C. Holton and L.Q. Zamboni, Structure of three-interval exchange transformations. II. A combinatorial description of the trajectories. *J. Anal. Math.* **89** (2003) 239–276.
- [10] L. Háková, *Morphisms on generalized sturmian words*. Master’s thesis, Czech Technical University in Prague (2008).
- [11] A.B. Katok and A.M. Stepin, Approximations in ergodic theory. *Uspehi Mat. Nauk* **22** (1967) 81–106.
- [12] M. Lothaire, Algebraic combinatorics on words, *Encyclopedia of Mathematics and its Applications*. Cambridge University Press, Cambridge **90** (2002).
- [13] M. Morse and G.A. Hedlund, Symbolic dynamics II. Sturmian trajectories. *Amer. J. Math.* **62** (1940) 1–42.
- [14] P. Séébold, On the conjugation of standard morphisms. *Theoret. Comput. Sci.* **195** (1998) 91–109.

Communicated by G. Richomme.

Received November 2, 2010. Accepted February 3, 2012.