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## MOST AUTOMORPHISMS OF A HYPERBOLIC GROUP HAVE VERY SIMPLE DYNAMICS

BY GILBERT LEVITT AND MARTIN LUSTIG

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ABSTRACT. – Let  $G$  be a non-elementary hyperbolic group (e.g. a non-abelian free group of finite rank). We show that, for “most” automorphisms  $\alpha$  of  $G$  (in a precise sense), there exist distinct elements  $X^+, X^-$  in the Gromov boundary  $\partial G$  of  $G$  such that  $\lim_{n \rightarrow +\infty} \alpha^{\pm n}(g) = X^\pm$  for every  $g \in G$  which is not periodic under  $\alpha$ . This follows from the fact that the homeomorphism  $\partial\alpha$  induced on  $\partial G$  has North–South (loxodromic) dynamics. © 2000 Éditions scientifiques et médicales Elsevier SAS

RÉSUMÉ. – Soit  $G$  un groupe hyperbolique non élémentaire (par exemple un groupe libre non abélien de rang fini). Nous montrons que, pour “la plupart” des automorphismes  $\alpha$  de  $G$  (en un sens bien précis), il existe deux éléments distincts  $X^+, X^-$  dans le bord de Gromov  $\partial G$  de  $G$  tels que  $\lim_{n \rightarrow +\infty} \alpha^{\pm n}(g) = X^\pm$  pour tout  $g \in G$  non périodique sous l’action de  $\alpha$ . Ceci résulte du fait que l’homéomorphisme  $\partial\alpha$  induit sur  $\partial G$  a une dynamique Nord–Sud (loxodromique). © 2000 Éditions scientifiques et médicales Elsevier SAS

### 0. Introduction and statement of results

Let  $\alpha$  be an automorphism of a (word) hyperbolic group  $G$ . Fixing  $g \in G$ , we consider the sequence of iterates  $\alpha^n(g)$ , for  $n \geq 1$ . We assume that  $g$  is not  $\alpha$ -periodic, so that  $\alpha^n(g)$  goes off to infinity in  $G$ .

We will show that, for “most” automorphisms  $\alpha$  of  $G$  (in a sense that will be made precise), there exists a point  $X^+$  in the Gromov boundary  $\partial G$  such that  $\alpha^n(g)$  converges to  $X^+$  for every nonperiodic  $g$ . If  $G$  is free on a finite set  $A$ , this says that there exists a sequence of letters  $a_k^{\pm 1} \in A \cup A^{-1}$  such that, for any non-periodic  $g$ , the  $k$ th letter of  $\alpha^n(g)$  equals  $a_k^{\pm 1}$  for  $n$  large.

This dynamical behavior is best expressed in terms of the homeomorphism  $\partial\alpha$  induced by  $\alpha$  on  $\partial G$ : for most  $\alpha \in \text{Aut } G$ , the map  $\partial\alpha$  has North–South dynamics in the following sense. We say that  $\partial\alpha$ , or  $\alpha$ , has *North–South dynamics* (also called loxodromic dynamics) if  $\partial\alpha$  has two distinct fixed points  $X^+, X^-$ , and  $\lim_{n \rightarrow +\infty} \partial\alpha^{\pm n}(X) = X^\pm$  uniformly on compact subsets of  $\partial G \setminus \{X^\mp\}$ .

This implies (see Proposition 2.3) that *the set of  $\alpha$ -periodic elements  $g \in G$  is a virtually cyclic subgroup (possibly finite), and  $\lim_{n \rightarrow +\infty} \alpha^{\pm n}(g) = X^\pm$  if  $g \in G$  is not  $\alpha$ -periodic*. For an arbitrary automorphism, it is proved in [15] that  $\alpha^n(g)$  limits onto a finite subset of  $\partial G$  (that may depend on  $g$ ).

If for instance  $\alpha$  is conjugation  $i_m$  by  $m \in G$ , then  $\partial\alpha$  is simply left-translation by  $m$ , and  $\partial\alpha$  has North–South dynamics for all  $m$  outside of a finite set of conjugacy classes (those consisting of torsion elements), see [5, 11, 12].

In general, we consider an outer automorphism  $\Phi \in \text{Out } G$ , viewed as a collection of ordinary automorphisms  $\alpha \in \text{Aut } G$ . For a topological motivation, induce  $\Phi$  by a continuous map  $f : X \rightarrow X$  with  $\pi_1 X \simeq G$ . Automorphisms  $\alpha \in \Phi$  correspond to lifts of  $f$  to the universal covering of  $X$ . Different lifts may have very different properties. On the other hand, conjugate maps have similar dynamical properties. This led Nielsen [18] to define lifts of  $f$  to be *isogredient* if they are conjugate by a covering transformation.

Going back to group automorphisms, we therefore define  $\alpha, \beta \in \Phi$  to be *isogredient* if  $\beta = i_h \circ \alpha \circ i_h^{-1}$  for some  $h \in G$ , with  $i_h(g) = hgh^{-1}$  (the word “similar” was used in [9]).

We denote  $\mathcal{S}(\Phi)$  the set of isogredience classes of automorphisms representing  $\Phi$ . If  $\Phi = 1$ , then  $\mathcal{S}(\Phi)$  may be identified to the set of conjugacy classes of  $G$  modulo its center. We say that  $s \in \mathcal{S}(\Phi)$  has North–South dynamics if automorphisms  $\alpha \in s$  have North–South dynamics on  $\partial G$ .

**THEOREM 0.1.** – *Let  $G$  be a hyperbolic group, and  $\Phi \in \text{Out } G$ . Assume  $G$  is non-elementary (i.e.  $G$  is not virtually cyclic).*

- (1) *All but finitely many  $s \in \mathcal{S}(\Phi)$  have North–South dynamics.*
- (2) *The set  $\mathcal{S}(\Phi)$  of isogredience classes is infinite.*

**Example 0.2.** – When  $\Phi$  is induced by a pseudo-Anosov homeomorphism  $\varphi$  of a closed surface  $\Sigma$ , the “exceptional” automorphisms  $\alpha \in \Phi$  (those that do not have North–South dynamics) correspond to lifts of  $\varphi$  having a fixed point in the universal covering of  $\Sigma$ . The set of exceptional classes in  $\mathcal{S}(\Phi)$  is in one-to-one correspondence with the set of fixed points of  $\varphi$ . It may be empty, see [8] for an explicit example. On the other hand, the number of fixed points of  $\varphi^k$  goes to infinity with  $k$ . Thus the number of exceptional isogredience classes cannot be bounded in terms of  $G$  only.

This example suggests the possibility of using exceptional isogredience classes to develop a fixed point theory for general outer automorphisms of free groups. Exceptional isogredience classes would be the algebraic analogue of Nielsen classes of fixed points, and there should be a (rational) zeta function obtained as a sum over exceptional classes of powers of  $\Phi$  (compare [7]).

**Example 0.3.** – Suppose  $G$  is free. It follows from [3, Lemma 5.1] that some power of  $\Phi$  contains an exceptional isogredience class. It may be shown using [4] and [14] that the isogredience class of  $\alpha$  is the only exceptional class when  $\alpha$  is the irreducible automorphism  $a \mapsto abc, b \mapsto bab, c \mapsto cab$  studied in [13].

The proof of the first assertion of Theorem 0.1 when  $G$  is not free requires the following fact, which is of independent interest:

**PROPOSITION 0.4** (Quasiisometries of hyperbolic spaces have a quasi-fixed point or a quasi-axis). – *Let  $f$  be a  $(\lambda, C)$ -quasiisometry of a  $\delta$ -hyperbolic proper geodesic metric space  $(E, d)$  to itself. There exists  $M = M(\delta, \lambda, C)$ , independent of  $E$  and  $f$ , with the following property: if  $d(f(x), x) > M$  for all  $x \in E$ , then there exists a bi-infinite geodesic  $\gamma$  such that the Hausdorff distance between  $\gamma$  and  $f(\gamma)$  is finite.*

Increasing  $M$  if necessary, we conclude (Corollary 1.4) that the action of  $f$  on  $\partial E$  has North–South dynamics, with fixed points the two endpoints of  $\gamma$ .

## 1. Quasiisometries of hyperbolic spaces

We start by proving Proposition 0.4. The proof may be seen as a generalization of the well-known argument constructing the axis of an isometry of an  $\mathbf{R}$ -tree having no fixed

points (see [17]). We refer the reader to [5,11,12,23] for basic facts about hyperbolic spaces, quasiisometries, and hyperbolic groups.

Let  $(E, d)$  be a proper  $\delta$ -hyperbolic geodesic metric space. Properness is assumed mostly for convenience, in particular  $E$  could be an  $\mathbf{R}$ -tree in what follows.

For  $x, y \in E$ , we denote  $[x, y]$  any geodesic segment from  $x$  to  $y$ . Given a point  $z$ , any point  $p \in [x, y]$  that is  $\delta$ -close to both segments  $[x, z]$  and  $[y, z]$  will be called a projection of  $z$  onto  $[x, y]$  (two projections are only a few  $\delta$ 's apart).

Recall that  $f : E \rightarrow E$  is a  $(\lambda, C)$ -quasiisometry if

$$\frac{1}{\lambda}d(x, y) - C \leq d(f(x), f(y)) \leq \lambda d(x, y) + C$$

for all  $x, y \in E$ , and there exists  $g$  satisfying the same inequalities such that  $f \circ g$  and  $g \circ f$  are  $C$ -close to the identity. We let  $\ell(f) = \inf_{x \in E} d(f(x), x)$  be the minimum displacement of  $f$ . Note that  $\ell(g) \leq \lambda \ell(f) + 2C$ .

The following lemma is left as an exercise.

LEMMA 1.1. – *If  $f$  is a  $(\lambda, C)$ -quasiisometry of a compact interval to itself, then  $\ell(f) \leq C$ .*

From now on, we fix  $\delta, \lambda, C$ . The quantities  $C_1, M_1, C_2$  introduced below depend only on these three numbers, not on  $E, f$ , or the points under consideration. We also say that two points  $x, y$  are close, or have bounded distance, if their distance may be bounded a priori by some number depending only on  $\delta, \lambda, C$ .

The quasiisometry  $f$  has the following basic property: there exists  $C_1$  such that, for any geodesic segment  $[x, y]$ , the image of  $[x, y]$  is contained in the  $C_1$ -neighborhood of  $[f(x), f(y)]$ .

Consider a geodesic triangle  $a, f(a), f^2(a)$ . Let  $u$  be a projection of  $a$  onto  $[f(a), f^2(a)]$ , and  $v$  a projection of  $f(u)$  onto  $[f(a), f^2(a)]$ .

LEMMA 1.2. – *There exists  $M_1$  such that, if  $\ell(f) > M_1$ , then  $v \in [u, f^2(a)]$ .*

*Proof.* – Suppose  $v \in [f(a), u]$ . Since  $f(v)$  is close to  $[f^2(a), f(u)]$  and  $f(u)$  is close to  $v$ , the point  $f^2(u)$  is close to  $[f^2(a), f(u)]$ . Thus, up to a bounded error, the points  $u$  and  $f^2(u)$  both lie on the segment  $[f^2(a), f(u)]$ . It follows that  $f$  or  $g$  is close to a map sending  $[u, f(u)]$  into itself. Lemma 1.1 implies that some point of  $[u, f(u)]$  is close to its image by  $f$ .  $\square$

We assume from now on that  $\ell(f) > M_1$ .

LEMMA 1.3. – *There exists  $C_2$  with the following property: for any  $a \in E$ , there exist three points  $p, q, r$ , lying in this order on  $[a, f^2(a)]$ , such that*

- (1)  $q$  is  $C_2$ -close to a projection of  $f(a)$  onto  $[a, f^2(a)]$ ;
- (2)  $p$  is  $C_2$ -close to  $g(q)$ ;
- (3)  $r$  is  $C_2$ -close to  $f(q)$ .

*Proof.* – With the same notations as above, it follows from Lemma 1.2 that  $u$  is close to  $[f(a), f(u)]$ . Therefore  $g(u)$  is close to  $[a, u]$ . We also know that  $f(u)$  is close to  $[u, f^2(a)]$ . Let  $p, q, r$  be projections onto  $[a, f^2(a)]$  of  $g(u), u, f(u)$  respectively. Either they are in the correct order  $a, p, q, r, f^2(a)$ , or this may be achieved by moving them by a bounded amount.  $\square$

Note that  $f(q)$  is close to  $[f(p), f(r)]$ , hence to  $[q, f^2(q)]$ .

We now complete the proof of Proposition 0.4. View Lemma 1.3 as a way of assigning a point  $q$  to any point  $a$ . We construct a sequence  $q_n$  by iterating this process, with  $q_0$  the point assigned by Lemma 1.3 to an arbitrary starting point  $a \in E$ . Since  $f(q_n)$  is close to  $[q_n, f^2(q_n)]$ , the point  $q_{n+1}$  is close to  $f(q_n)$ .

Note that by construction  $q_{n+1} \in [q_n, f^2(q_n)]$ , while  $q_{n+2}$  is close to  $f(q_{n+1})$ , hence to  $[q_n, f^2(q_n)]$  by assertion (3) of Lemma 1.3. Thus the broken geodesics  $\gamma_n = [q_n, q_{n+1}] \cup [q_{n+1}, q_{n+2}]$  are uniformly quasigeodesic. Also note that by assertion (2) of Lemma 1.3 we have

$$d(q_n, q_{n+1}) \geq d(g(q_{n+1}), q_{n+1}) - C_2 \geq \ell(g) - C_2,$$

showing that the overlap between  $\gamma_n$  and  $\gamma_{n+1}$  is bounded below by a linear function of  $\ell(f)$ .

It follows from [5, Théorème 3.1.4] or [11, Théorème 5.25] that the sequence  $q_n$  is an infinite quasigeodesic  $\gamma^+$  if  $\ell(f)$  is large enough. Since  $d(f(q_n), q_{n+1})$  is bounded, the point at infinity of  $\gamma^+$  is fixed by  $\partial f$  (the homeomorphism induced by  $f$  on  $\partial E$ ). The quasigeodesic may be extended in the other direction by applying the same construction to  $g$ , yielding a bi-infinite quasigeodesic, hence a second fixed point for  $\partial f$ . This proves Proposition 0.4.

**COROLLARY 1.4.** – *Let  $f$  be a  $(\lambda, C)$ -quasiisometry of a  $\delta$ -hyperbolic proper geodesic metric space  $(E, d)$  to itself. There exists  $N = N(\delta, \lambda, C)$ , independent of  $E$  and  $f$ , with the following property: if  $d(f(x), x) > N$  for all  $x \in E$ , then  $\partial f$  has North–South dynamics.*

*Proof.* – Suppose  $\ell(f) > M$ . Let  $\gamma$  be a bi-infinite geodesic joining two fixed points  $X_0, X_1$  of  $\partial f$ . Consider  $X \neq X_0, X_1$  in  $\partial E$ . Let  $\theta$  be a projection of  $X$  onto  $\gamma$ . A projection  $\theta'$  of  $\partial f(X)$  is close to  $f(\theta)$ . If  $\ell(f)$  is large enough, the distance from  $\theta$  to  $\theta'$  is bounded below and the oriented segment  $\theta\theta'$  always points towards the same endpoint  $X_i$  of  $\gamma$ , independently of the choice of  $X$ . Applying this argument to both  $f$  and  $g$ , we deduce that  $\partial f$  has North–South dynamics.  $\square$

## 2. North–South dynamics

We first prove:

**THEOREM 2.1.** – *Let  $\Phi \in \text{Out } G$ , with  $G$  hyperbolic. All but finitely many isogredience classes  $s \in \mathcal{S}(\Phi)$  have North–South dynamics on  $\partial G$ .*

*Proof.* – Let  $E$  be the Cayley graph of  $G$  with respect to some finite generating set  $A$ , with the natural left-action of  $G$ . We identify the set of vertices of  $E$  with  $G$ , and  $\partial E$  with  $\partial G$ . We fix a “basepoint”  $\alpha \in \Phi$ , and we represent it by a quasiisometry  $J: E \rightarrow E$  sending a vertex  $g$  to the vertex  $\alpha(g)$ , equivariant in the sense that  $\alpha(h)J = Jh$  for every  $h \in G$ .

Given  $\beta \in \Phi$ , we write  $\beta = i_m \circ \alpha$  and we consider the map  $J_\beta = mJ$  (this involves a choice for  $m$  if the center of  $G$  is not trivial). Note that it maps a vertex  $g$  onto  $m\alpha(g)$  (not onto  $\beta(g) = m\alpha(g)m^{-1}$ ).

The map  $J_\beta$  satisfies  $\beta(g)J_\beta = J_\beta g$ , it induces  $\partial\beta$  on  $\partial E$  (because a right-translation of  $G$  induces the identity on the boundary), and the maps  $J_\beta$  are uniformly quasiisometric (because they differ by left-translations).

If two maps  $J_\beta, J_\gamma$ , with  $\beta, \gamma \in \Phi$ , coincide at some point of  $E$ , then clearly  $\beta = \gamma$ . More generally:

**LEMMA 2.2.** – *Let  $\beta, \gamma \in \Phi$ . If there exist  $g, h \in G$  with*

$$g^{-1}J_\beta(g) = h^{-1}J_\gamma(h),$$

*then  $\beta$  and  $\gamma$  are isogredient.*

*Proof.* – Writing  $\beta = i_m \circ \alpha$  and  $\gamma = i_n \circ \alpha$  we get

$$g^{-1}m\alpha(g) = h^{-1}n\alpha(h)$$

which we rewrite as

$$nm^{-1} = hg^{-1}m\alpha(gh^{-1})m^{-1} = hg^{-1}\beta(gh^{-1}),$$

showing that  $\gamma = i_{nm^{-1}} \circ \beta = i_{hg^{-1}} \circ \beta \circ (i_{hg^{-1}})^{-1}$  is isogredient to  $\beta$ .  $\square$

By Corollary 1.4, there exists a number  $N$  (independent of  $\beta$ ) such that, if  $J_\beta$  moves every point of  $E$  more than  $N$ , then  $\partial\beta$  has North–South dynamics. Since  $E$  is a locally finite graph, Lemma 2.2 implies that this condition is fulfilled for all  $\beta \in \Phi$  outside of a finite set of isogredience classes. This completes the proof of Theorem 2.1.  $\square$

*Remark.* – When  $G$  is a free group  $F_n$ , there is (using the notations of [4]) a one-to-one correspondence between  $\mathcal{S}(\Phi)$  and the set of connected components of the graph  $D(\varphi)$ , for  $\varphi \in \Phi$ . In this case one may use Lemma 5.1 of [4] instead of Proposition 0.4 in the above proof. Also note that, as a corollary of Theorem 4 of [9], the map  $\partial\beta$  has at most 4 fixed points for  $\beta \in \Phi$  outside of at most  $4n - 4$  isogredience classes. Another remark:  $\mathcal{S}(\Phi)$  is infinite when  $\Phi \in \text{Out } F_n$  fixes a nontrivial conjugacy class, by Proposition 5.4 of [4].

**PROPOSITION 2.3.** – *Suppose  $\partial\alpha$  has North–South dynamics, with attracting fixed point  $X^+$  and repelling fixed point  $X^-$ . Then:*

- (1) *The subgroup  $P(\alpha) \subset G$  consisting of all  $\alpha$ -periodic elements is either finite or virtually  $\mathbf{Z}$  with limit set  $\{X^+, X^-\}$ .*
- (2) *If  $g \in G$  is not  $\alpha$ -periodic, then  $\lim_{n \rightarrow +\infty} \alpha^{\pm n}(g) = X^\pm$ .*

*Proof.* – Given  $g \in G$  of infinite order, we denote  $g^{\pm\infty} = \lim_{n \rightarrow +\infty} g^{\pm n}$ . These are distinct points of  $\partial G$ . Note that  $\partial\alpha(g^{\pm\infty}) = \alpha(g)^{\pm\infty}$ . The subgroup of  $G$  consisting of elements whose action on  $\partial G$  leaves  $\{g^\infty, g^{-\infty}\}$  invariant is the maximal virtually cyclic subgroup  $N_g$  containing  $g$ . If  $h \notin N_g$ , then  $\{g^\infty, g^{-\infty}\}$  is disjoint from its image by  $h$ . If  $\partial\alpha(g^\infty) = g^\infty$ , then  $N_g$  is  $\alpha$ -invariant (i.e.  $\alpha(N_g) = N_g$ ).

Suppose (1) is false. Then there exist two  $\alpha$ -periodic elements  $g, h$  of infinite order generating a non-elementary group. The points  $g^{\pm\infty}$  and  $h^{\pm\infty}$  are four distinct periodic points of  $\partial\alpha$ , a contradiction.

To prove (2), first suppose  $G$  is virtually cyclic. Then  $G$  maps onto  $\mathbf{Z}$  or  $\mathbf{Z}/2\mathbf{Z} * \mathbf{Z}/2\mathbf{Z}$  with finite kernel (see [21]). From this one deduces that the periodic subgroup  $P(\alpha)$  has index at most 2 and contains all elements of infinite order (an instructive example is conjugation by  $ab$  in  $\langle a, b \mid a^2 = b^2 = 1 \rangle$ ). Both ends of  $G$  are fixed by  $\partial\alpha$ ; all non-periodic torsion elements (if any) converge towards one end under iteration of  $\alpha$ , towards the other end under iteration of  $\alpha^{-1}$ .

Now consider the general case. It suffices to show  $\lim_{n \rightarrow +\infty} \alpha^n(g) = X^+$ . Since  $X^+$  is a fixed point of  $\partial\alpha$ , we are free to replace  $\alpha$  by a power if needed. We first note that there exists a number  $C$  such that

$$(g, g^\infty) \geq \frac{1}{2}|g| - C$$

for every  $g$  of infinite order (where  $(\cdot, \cdot)$  denotes Gromov's scalar product based at the identity in the Cayley graph, and  $|\cdot|$  is word length). This follows easily from Lemma 3.5 of [20] (if  $G$  is free and  $A$  is a basis,  $C = -1/2$  clearly works).

Suppose  $g$  is not  $\alpha$ -periodic. Then  $\lim_{n \rightarrow \infty} |\alpha^n(g)| = \infty$ . If furthermore  $g$  has infinite order, applying the previous inequality to  $\alpha^n(g)$  yields

$$\lim_{n \rightarrow +\infty} \alpha^n(g) = \lim_{n \rightarrow +\infty} (\alpha^n(g))^\infty = \lim_{n \rightarrow +\infty} \partial\alpha^n(g^\infty) = X^+$$

(note that  $g^\infty \neq X^-$ , since otherwise  $N(g)$  would be  $\alpha$ -invariant and  $g$  would be periodic).

Now we consider a non  $\alpha$ -periodic element  $g$  of finite order. We distinguish two cases. Suppose first that  $\{X^+, X^-\}$  is the limit set of an infinite  $\alpha$ -invariant virtually cyclic subgroup  $H$ . We may assume that  $H$  is maximal (it then contains all periodic elements). If  $g \notin H$ , choose  $h \in H$  of infinite order, with  $h^{\pm\infty} = X^\pm$ . Replacing  $\alpha$  by a power, we may assume  $\alpha(h) = h$ . We have  $gh^\infty \neq h^{-\infty}$ , and therefore  $g_k = h^k g h^k$  has infinite order for  $k$  large enough. Since  $\alpha^n(g_k)$  converges to  $X^+$  as  $n \rightarrow +\infty$ , we find that  $\alpha^n(g)$  converges to  $h^{-k} X^+ = X^+$ , as desired. There remains to rule out the possibility that non-periodic torsion elements  $g \in H$  converge towards  $X^-$  under iteration of  $\alpha$ . If this happens, choose  $j \notin H$ . Since  $j$  and  $gj$  are not  $\alpha$ -periodic (they don't belong to  $H$ ), we know that  $\alpha^n(j)$  and  $\alpha^n(gj)$  are close to  $X^+$  for  $n$  large. But  $\alpha^n(g)$  and  $\alpha^n(g^{-1})$  are close to  $X^-$ . This is impossible.

If  $\{X^+, X^-\}$  is not as above, then  $X^+$  (respectively  $X^-$ ) is an attracting (respectively repelling) fixed point for the action of  $\alpha \cup \partial\alpha$  on the compact space  $G \cup \partial G$  (see [14]). The desired result  $\lim_{n \rightarrow +\infty} \alpha^n(g) = X^+$  follows from an elementary dynamical argument. Indeed, the sequence  $\alpha^n(g)$ , with  $n > 0$ , has some limit point  $X \in \partial G$ . We have  $X \neq X^-$  because  $X^-$  is repelling on  $G \cup \partial G$ , and therefore  $\partial\alpha^n(X)$  converges to  $X^+$ . We then deduce that  $X^+$  is a limit point of  $\alpha^n(g)$ , and finally that  $\alpha^n(g)$  converges to  $X^+$  because  $X^+$  is attracting on  $G \cup \partial G$ .  $\square$

### 3. Isogredience classes

The main result of this section is the infiniteness of  $\mathcal{S}(\Phi)$  (but see also Proposition 3.7). We first study four different situations where we can reach this conclusion. For now, we only assume that  $G$  is any finitely generated group. We fix  $\Phi \in \text{Out } G$  and  $\alpha \in \Phi$ .

- By definition, the automorphisms  $\beta = i_m \circ \alpha$  and  $\gamma = i_n \circ \alpha$  are isogredient if and only if there exists  $g \in G$  with  $\gamma = i_g \circ \beta \circ i_g^{-1}$ , or equivalently  $n = gm\alpha(g^{-1})c$  with  $c$  in the center of  $G$ . Though we will not use it, we note that  $\mathcal{S}(\Phi)$  is infinite if the center of  $G$  is finite and the action of  $\Phi$  on  $H_1(G; \mathbf{R})$  has 1 as an eigenvalue.

Now assume that  $\Phi$  preserves some  $\mathbf{R}$ -tree (see [6], [17], [22] for basics about  $\mathbf{R}$ -trees). This means that there is an  $\mathbf{R}$ -tree  $T$  equipped with an isometric action of  $G$  whose length function satisfies  $\ell \circ \Phi = \lambda \ell$  for some  $\lambda \geq 1$ . We always assume that the action is minimal and irreducible (no global fixed point, no invariant line, no invariant end). We say  $g \in G$  is hyperbolic if it is hyperbolic as an isometry of  $T$ . We shall use the following fact due to Paulin [19]: any segment  $[a, b] \subset T$  is contained in the axis of some hyperbolic  $g \in G$ .

Because  $\ell \circ \Phi = \lambda \ell$ , it follows from [6] (see also [9], [16]) that, given  $\alpha \in \Phi$ , there is a (unique) map  $H = H_\alpha: T \rightarrow T$  with the following properties:  $H$  is a homothety with stretching factor  $\lambda$  (i.e.  $d(Hx, Hy) = \lambda d(x, y)$ ), and it satisfies  $\alpha(g)H = Hg$  for every  $g \in G$ . If  $\beta = i_m \circ \alpha$ , then  $H_\beta = mH_\alpha$ . If  $\beta = i_g \circ \alpha \circ i_g^{-1}$  is isogredient to  $\alpha$ , then  $H_\beta = gH_\alpha g^{-1}$  is conjugate to  $H_\alpha$ .

- First consider the case when  $\lambda = 1$ . In this case the translation length of the isometry  $H_\beta$  is an isogredience invariant of  $\beta$  and we easily get:

**PROPOSITION 3.1.** – *Suppose  $\ell \circ \Phi = \ell$ , where  $\ell$  is the length function of an irreducible action of  $G$  on an  $\mathbf{R}$ -tree. Then  $\mathcal{S}(\Phi)$  is infinite.*

*Proof.* – Fix  $\alpha \in \Phi$ . Using Paulin's lemma, it is easy to construct  $m \in G$  with the translation length of  $mH_\alpha$  arbitrarily large. The corresponding automorphisms  $i_m \circ \alpha$  are in distinct isogredience classes.  $\square$

- The case  $\lambda > 1$  is harder.

**PROPOSITION 3.2.** – *Suppose  $\ell \circ \Phi = \lambda \ell$ , where  $\lambda > 1$  and  $\ell$  is the length function of an irreducible action of  $G$  on an  $\mathbf{R}$ -tree  $T$ . Assume that arc stabilizers are finite, and there exists*

$N_0 \in \mathbb{N}$  such that, for every  $Q \in T$ , the action of  $\text{Stab } Q$  on  $\pi_0(T \setminus \{Q\})$  has at most  $N_0$  orbits. Then  $\mathcal{S}(\Phi)$  is infinite.

An arc stabilizer is the pointwise stabilizer of a nondegenerate segment  $[a, b]$ , and  $\text{Stab } Q$  denotes the stabilizer of  $Q$ .

*Proof.* – Fix  $\alpha \in \Phi$  and consider  $H = H_\alpha$ . We choose a point  $P \in T$  as follows. It is the unique fixed point of  $H$  if  $H$  has a fixed point in  $T$ . Otherwise  $H$  has a unique fixed point  $Q$  in the metric completion  $\overline{T}$  of  $T$ , and a unique eigenray  $\rho$  (by definition,  $\rho$  is the image of an isometric embedding  $\rho: (0, \infty) \rightarrow T$  such that  $H\rho(t) = \rho(\lambda t)$  for all  $t > 0$ , see [9]). We let  $P$  be any point on  $\rho$ . In both cases  $P \in [H^{-1}P, HP]$ .

For further reference, we note that the stabilizer of any initial segment  $\rho(0, t)$  of an eigenray is the same as the stabilizer of the whole eigenray, because  $\text{Stab } \rho(0, t)$  and  $\text{Stab } \rho(0, \lambda t) = \alpha(\text{Stab } \rho(0, t))$  are finite groups with the same order. Suppose furthermore that  $H$  has two eigenrays  $\rho, \rho'$ , and  $g \in G$  maps an initial segment of  $\rho$  onto an initial segment of  $\rho'$ . From the basic equation  $\alpha(g)H = Hg$  it follows that  $g^{-1}\alpha(g)$  fixes an initial segment of  $\rho$ , hence all of  $\rho$ , and we deduce that  $g$  maps the whole of  $\rho$  onto  $\rho'$ .

Returning to the main line of proof, we want to find  $v, w \in G$  generating a free subgroup of rank 2, such that:

- (i)  $vP$  and  $wP$  belong to a component  $T^+$  of  $T \setminus \{P\}$ .
- (ii)  $v^{-1}P$  and  $w^{-1}P$  belong to another component  $T^-$ .
- (iii) If  $HP \neq P$ , then  $H^{\pm 1}P \in T^\pm$ .
- (iv) If  $HP = P$ , then  $H(T^+) \neq T^-$ .

Note that these conditions force  $v$  and  $w$  to be hyperbolic, with axes intersecting in a nondegenerate segment containing  $P$  in its interior. Furthermore, the two axes induce the same orientation on their intersection.

It is easy to construct  $v, w$  using Lemma 2.6 of [6] and Paulin's lemma, except in one "bad" situation where (iv) cannot be achieved:  $HP = P$ , and  $T \setminus \{P\}$  has exactly two components, which are permuted by  $H$ .

If  $H$  is bad, we have to change our initial choice of  $\alpha \in \Phi$ . We use the following observation. Suppose  $H_1, H_2$  are homotheties with the same dilation factor  $\lambda > 1$  and distinct fixed points  $P_1, P_2$ ; if  $H_1$  (respectively  $H_2$ ) does not send the component of  $T \setminus \{P_1\}$  (respectively  $T \setminus \{P_2\}$ ) containing  $P_2$  (respectively  $P_1$ ) into itself, then  $H_2H_1^{-1}$  is a hyperbolic isometry whose axis contains  $[P_1, P_2]$ .

We choose  $m \in G$  acting on  $T$  as a hyperbolic isometry with axis not containing  $P$ , and we replace  $\alpha$  by  $\alpha' = i_m \circ \alpha$ . Let  $H' = mH = H_{\alpha'}$ . We claim that  $H'$  cannot be bad (with respect to its fixed point  $P'$ ). Indeed, this follows from the above observation because the axis of  $H'H^{-1}$  does not contain  $P$ . Thus, when  $H$  is bad, we can find  $v, w$  satisfying the above conditions with respect to  $H'$ . For simplicity, we keep writing  $H, \alpha$  rather than  $H', \alpha'$ .

Now assume by way of contradiction that there are only  $K$  isogredience classes in  $\mathcal{S}(\Phi)$ . Given an integer  $p$ , consider the set  $W$  consisting of words in the letters  $v, w$  containing each letter exactly  $p$  times (we do not use  $v^{-1}$  or  $w^{-1}$ ). We fix  $p$  such that  $W$  has more than  $Ks^2N_0$  elements, where  $s$  is the order of the stabilizer of the arc  $I = [P, vP] \cap [P, wP]$  and  $N_0$  is defined in the statement of Proposition 3.2. We will consider the automorphisms  $i_\sigma \circ \alpha$ , for  $\sigma \in W$ , and the corresponding homotheties  $\sigma H$ .

Consider  $\sigma = u_1 \dots u_{2p} \in W$ , with each  $u_i$  equal to  $v$  or  $w$ . The elements  $v, w$  were chosen in such a way that the points

$$P, u_1P, u_1u_2P, \dots, u_1 \dots u_{2p}P, u_1 \dots u_{2p}HP = \sigma HP$$



all lie in this order on the segment  $[P, \sigma HP]$  (with the last two points possibly equal). Since  $P$  belongs to the axis of both  $v$  and  $w$ , we find that, for any  $\sigma \in W$ , the length of  $[P, \sigma HP]$  equals

$$L = p\ell(v) + p\ell(w) + d(P, HP)$$

independently of  $\sigma$ . We also observe that, if  $\sigma, \tau \in W$ , then  $[P, \sigma HP] \cap [P, \tau HP]$  contains the segment  $I = [P, vP] \cap [P, wP]$ .

Furthermore the intersection  $[P, \sigma HP] \cap [\sigma HP, (\sigma H)^2 P]$  consists only of  $\sigma HP$ : this follows from  $P \in [u_{2p}^{-1}P, Hu_1P]$  if  $HP = P$ , from  $P \in [H^{-1}P, u_1P]$  if  $HP \neq P$ . This implies that  $[P, \sigma HP]$  is contained in an eigenray  $\rho_\sigma$  of the homothety  $\sigma H$ . Let  $Q_\sigma$  denote the fixed point of  $\sigma H$  in the completion  $\bar{T}$  (the origin of  $\rho_\sigma$ ).

Now we remark that  $[P, \sigma HP]$  is the only fundamental domain of length  $L$  for the action of  $\sigma H$  on its eigenray  $\rho_\sigma$ . In particular,  $d(Q_\sigma, P) = \frac{L}{\lambda-1}$  is independent of  $\sigma \in W$ .

Suppose for a moment that for every  $\sigma \in W$  the map  $\sigma H$  has only one eigenray (this happens in particular if  $Q_\sigma \in \bar{T} \setminus T$ ). If  $i_c$  conjugates  $i_\sigma \circ \alpha$  and  $i_\tau \circ \alpha$  (with  $\sigma, \tau \in W$  and  $c \in G$ ), then  $c$  conjugates  $\sigma H$  and  $\tau H$ . Therefore  $c$  sends  $\rho_\sigma$  onto  $\rho_\tau$ , and the fundamental domain  $[P, \sigma HP]$  onto  $[P, \tau HP]$ . Since these segments both contain  $I$ , we find  $c \in \text{Stab } I$ . This contradicts the choice of  $p$  in this special case, since we obtain  $|W|/s$  distinct isogredience classes in  $\mathcal{S}(\Phi)$ .

In general, if  $i_c$  conjugates  $i_\sigma \circ \alpha$  and  $i_\tau \circ \alpha$ , we can only say that  $c$  sends  $Q_\sigma$  to  $Q_\tau$ . Since  $|W| > Ks^2N_0$ , we can find distinct elements  $\sigma, \tau(1), \dots, \tau(s^2+1)$  in  $W$  such that some  $i_{c(j)}$  conjugates  $i_\sigma \circ \alpha$  and  $i_{\tau(j)} \circ \alpha$ , and some element  $h(j) \in \text{Stab } Q_{\tau(j)}$  sends an initial segment of the  $[\tau(j)H]$ -eigenray  $c(j)\rho_\sigma$  onto an initial segment of  $\rho_{\tau(j)}$ .

We have pointed out earlier that  $h(j)$  sends the whole eigenray  $c(j)\rho_\sigma$  onto  $\rho_{\tau(j)}$ . Therefore  $h(j)c(j) \in \text{Stab } I$ . Thus there are at least  $s+1$  values of  $j$  for which the maps  $\tau(j)H$  have a common eigenray containing  $I$ . This is a contradiction because at most  $s$  elements of  $G$  can have the same action on  $I$ . This completes the proof of Proposition 3.2.  $\square$

• We also need:

**PROPOSITION 3.3.** –  *$\mathcal{S}(\Phi)$  is infinite if  $G$  is hyperbolic, non-elementary, and  $\Phi$  has finite order in  $\text{Out } G$ .*

*Proof.* – Let  $J$  be the subgroup of  $\text{Aut } G$  consisting of all automorphisms whose image in  $\text{Out } G$  is a power of  $\Phi$ . The exact sequence  $\{1\} \rightarrow K \rightarrow J \rightarrow \langle \Phi \rangle \rightarrow \{1\}$ , with  $K = G/\text{Center}$  and  $\langle \Phi \rangle$  finite, shows that  $J$  is hyperbolic, non-elementary. The set of automorphisms  $\alpha \in \Phi$  is a coset of  $J \bmod K$ . If  $\alpha, \beta \in \Phi$  are isogredient, they are conjugate in  $J$ . The proof of Proposition 3.3 is therefore concluded by applying the following fact, due to T. Delzant.  $\square$

**LEMMA 3.4.** – *Let  $J$  be a non-elementary hyperbolic group. Let  $K$  be a normal subgroup with abelian quotient. Every coset of  $J \bmod K$  contains infinitely many conjugacy classes.*

*Proof.* – Fix  $u$  in the coset  $C$  under consideration. Suppose for a moment that we can find  $c, d \in K$ , generating a free group of rank 2, such that  $uc^\infty \neq c^{-\infty}$  and  $ud^\infty \neq d^{-\infty}$  (recall that we denote  $g^{\pm\infty} = \lim_{n \rightarrow +\infty} g^{\pm n}$  for  $g$  of infinite order). Consider  $x_k = c^k u c^k$  and  $y_k = d^k u d^k$ . For  $k$  large, the above inequalities imply that these two elements have infinite order, and do not generate a virtually cyclic group because  $x_k^{\pm\infty}$  (respectively  $y_k^{\pm\infty}$ ) is close to  $c^{\pm\infty}$  (respectively  $d^{\pm\infty}$ ). Fix  $k$ , and consider the elements  $z_n = x_k^{n+1} y_k^{-n}$ . They belong to the coset  $C$ , because  $J/K$  is abelian, and their stable norm goes to infinity with  $n$ . Therefore  $C$  contains infinitely many conjugacy classes.

Let us now construct  $c, d$  as above. Choose  $a, b \in K$  generating a free group of rank 2. We first explain how to get  $c$ . There is a problem only if  $ua^\infty = a^{-\infty}$  and  $ub^\infty = b^{-\infty}$ . In that case

there exist integers  $p, q$  with  $ua^pu^{-1} = a^{-p}$  and  $ub^qu^{-1} = b^{-q}$ . We take  $c = a^pb^q$ , noting that  $ucu^{-1} = a^{-p}b^{-q}$  is different from  $c^{-1} = b^{-q}a^{-p}$ .

Once we have  $c$ , we choose  $c' \in K$  with  $\langle c, c' \rangle$  free of rank 2, and we obtain  $d$  by applying the preceding argument using  $c'$  and  $cc'$  instead of  $a$  and  $b$ . The group  $\langle c, d \rangle$  is free of rank 2 because  $d$  is a positive word in  $c'$  and  $cc'$ .  $\square$

*Remark.* – As pointed out by Delzant, similar arguments show that  $\mathcal{S}(\Phi)$  is infinite when  $\Phi$  has infinite order but is hyperbolic in the sense of [1] (because  $J$  is hyperbolic, see [1]).

We can now prove:

**THEOREM 3.5.** – *For every  $\Phi \in \text{Out } G$ , with  $G$  a non-elementary hyperbolic group, the set  $\mathcal{S}(\Phi)$  is infinite.*

*Proof.* – By Proposition 3.3, we may assume that  $\Phi$  has infinite order. By Paulin's theorem [20], it preserves some  $\mathbf{R}$ -tree  $T$  with a nontrivial minimal small action of  $G$  (recall that an action of  $G$  is small if all arc stabilizers are virtually cyclic; the action of  $G$  on  $T$  is always irreducible).

If  $\lambda = 1$ , we use Proposition 3.1. If  $\lambda > 1$ , we apply Proposition 3.2. The existence of  $N_0$  follows from work of Bestvina and Feighn [2] (alternatively, one could for  $G$  torsion-free use ad hoc trees as in [15]). Finiteness of arc stabilizers is stated as the next lemma.  $\square$

**LEMMA 3.6.** – *Suppose  $\ell \circ \Phi = \lambda \ell$ , where  $\ell$  is the length function of a nontrivial small action of a hyperbolic group  $G$  on an  $\mathbf{R}$ -tree  $T$ . If  $\lambda > 1$ , then  $T$  has finite arc stabilizers.*

*Proof.* – This is proved in [9, Lemma 2.8] when  $G$  is free. We sketch the proof of the general case. We may assume that the action is minimal. Let  $c \subset T$  be an arc with infinite stabilizer  $S$ . Let  $p$  be the index of  $S$  in the maximal virtually cyclic subgroup  $\bar{S}$  that contains it. Fix  $\alpha \in \bar{\Phi}$ , and denote by  $H$  the associated homothety of  $T$ .

Since there is a finite union of arcs whose union meets every orbit, we can find, for  $k$  large, disjoint subarcs  $c_0, \dots, c_p$  of  $H^k(c)$  such that  $c_i = v_i c_0$  for some  $v_i \in G$ . For each  $i$ , the stabilizer of  $c_i$  lies between  $\alpha^k(S) = \text{Stab } H^k(c)$  and  $\alpha^k(\bar{S})$ . From  $\text{Stab } c_i = v_i \text{Stab } c_0 v_i^{-1}$  we get  $\alpha^k(\bar{S}) = v_i \alpha^k(\bar{S}) v_i^{-1}$ , hence  $v_i \in \alpha^k(\bar{S})$ . This is a contradiction since  $1, v_1, \dots, v_p$  all lie in different cosets of  $\alpha^k(\bar{S})$  modulo  $\alpha^k(S)$ .  $\square$

If  $G$  is a free group  $F_n$ , we also prove:

**PROPOSITION 3.7.** – *There exists a number  $C_n$  such that, for any  $\Phi \in \text{Out } F_n$  and any integer  $k \geq 2$ , the natural map  $\mathcal{S}(\Phi) \rightarrow \mathcal{S}(\Phi^k)$  is at most  $C_n$ -to-one.*

*Proof.* – Let  $\alpha_i$  ( $1 \leq i \leq N$ ) be pairwise non-isogredient automorphisms in  $\Phi$  having isogredient  $k$ th powers. We want to bound  $N$  in terms of  $n$  only. We may assume that  $\alpha_i^k$  is a fixed automorphism  $\beta$ .

Let  $T$  be an  $\mathbf{R}$ -tree with trivial arc stabilizers preserved by  $\Phi$  (see [9, Theorem 2.1]), and  $H_i$  the homothety associated to  $\alpha_i$ . The  $H_i$ 's all have the same  $k$ th power  $H_\beta$ . For  $i \neq j$ , we have  $H_i = g_{ij} H_j$  for some nontrivial  $g_{ij} \in F_n$ . Note that  $H_i$  and  $H_j$  cannot coincide on more than one point since  $F_n$  acts on  $T$  with trivial arc stabilizers.

First suppose  $\lambda > 1$ . Then  $H_\beta$  and all maps  $H_i$  fix the same point  $Q \in \bar{T}$ . The stabilizer  $\text{Stab } Q \subset F_n$  is  $\alpha_i$ -invariant and has rank  $< n$  by [10] (see [9]).

If  $\text{Stab } Q$  is trivial (in particular if  $Q \in \bar{T} \setminus T$ ), then  $g_{ij} = 1$  and  $\alpha_i = \alpha_j$ .

If  $\text{Stab } Q$  has rank  $\geq 2$ , we use induction on  $n$  since the restrictions of the  $\alpha_i$ 's to  $\text{Stab } Q$  are non-isogredient automorphisms representing the same outer automorphism [9, Lemma 5.1].

If  $\text{Stab } Q$  is cyclic, generated by some  $u$ , we note that  $g_{ij}$  is a power of  $u$  and  $\alpha_i(u)$  is independent of  $i$ . If  $\alpha_i(u) = u$ , then  $H_i$  commutes with  $u$  and  $H_i^k = H_j^k$  implies  $g_{ij} = 1$ . If

$\alpha_i(u) = u^{-1}$ , we write  $u^{2p} = u^p \alpha_i(u^p)^{-1}$ , showing that  $\alpha_i$  is isogredient to  $\alpha_j$  whenever  $g_{ij}$  is an even power of  $u$ .

Now suppose  $\lambda = 1$ . If  $H_\beta$  has no fixed point, then  $N = 1$  since all  $H_i$ 's coincide on the axis of  $H_\beta$ . Assume therefore that  $H_\beta$  has fixed points. If all maps  $H_i$  have a common fixed point  $Q$ , we can argue as above. We complete the proof by showing how to reduce to this situation.

Let  $Q_i$  be a fixed point of  $H_i$ , and  $e_i$  some edge containing  $Q_i$  and fixed by  $H_\beta$ . The action of  $F_n$  on pairs  $(Q_i, e_i)$  has at most  $6n - 6$  orbits (twice the number of edges of the quotient graph  $T/F_n$ ). After possibly dividing  $N$  by  $6n - 6$  we may assume there is only one orbit. Note that the action on  $T$  of the element  $c_{ij} \in F_n$  sending  $(Q_i, e_i)$  to  $(Q_j, e_j)$  commutes with  $H_\beta$  since  $e_i$  and  $e_j$  are both fixed by  $H_\beta$ . This implies that  $\beta$  fixes  $c_{ij}$ , and we can change  $\alpha_i$  within its isogredience class so as to make all points  $Q_i$  the same, while retaining the property  $\alpha_i^k = \beta$ .  $\square$

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