



Mathematical analysis

On Ozaki's condition for  $p$ -valency*Sur la condition d'Ozaki pour qu'une fonction soit  $p$ -valuée*Mamoru Nunokawa<sup>a</sup>, Janusz Sokół<sup>b</sup>, Derek K. Thomas<sup>c</sup><sup>a</sup> University of Gunma, Hoshikuki-cho 798-8, Chuou-Ward, Chiba, 260-0808, Japan<sup>b</sup> University of Rzeszów, Faculty of Mathematics and Natural Sciences, ul. Prof. Pigońia 1, 35-310 Rzeszów, Poland<sup>c</sup> Department of Mathematics, Swansea University, Singleton Park, Swansea, SA2 8PP, UK

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## ABSTRACT

Let  $f$  be an analytic function in a convex domain  $D \subset \mathbb{C}$ . A well-known theorem of Ozaki states that if  $f$  is analytic in  $D$ , and is given by  $f(z) = z^p + \sum_{n=p+1}^{\infty} a_n z^n$  for  $z \in D$ , and

$$\Re\{e^{i\alpha} f^{(p)}(z)\} > 0, \quad (z \in D),$$

for some real  $\alpha$ , then  $f$  is at most  $p$ -valent in  $D$ . Ozaki's condition is a generalization of the well-known Noshiro–Warschawski univalence condition. The purpose of this paper is to provide some related sufficient conditions for functions analytic in the unit disk  $\mathbb{D} = \{z \in \mathbb{C} : |z| < 1\}$  to be  $p$ -valent in  $\mathbb{D}$ , and to give an improvement to Ozaki's sufficient condition for  $p$ -valence when  $z \in \mathbb{D}$ .

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## R É S U M É

Soit  $f$  une fonction analytique dans un domaine  $D \subset \mathbb{C}$ . Un théorème bien connu d'Ozaki affirme que, si  $f$  est analytique dans  $D$ , donnée par  $f(z) = z^p + \sum_{n=p+1}^{\infty} a_n z^n$  pour  $z \in D$  et

$$\Re\{e^{i\alpha} f^{(p)}(z)\} > 0, \quad (z \in D),$$

pour un réel  $\alpha$ , alors  $f$  est au plus  $p$ -valuée dans  $D$ . La condition d'Ozaki est une généralisation d'une condition de Noshiro–Warschawski pour qu'une fonction soit univaluée, également bien connue. Notre propos ici est de fournir des conditions suffisantes pour que des fonctions analytiques dans le disque unité  $\mathbb{D} = \{z \in \mathbb{C} : |z| < 1\}$  soient  $p$ -valuées dans  $\mathbb{D}$  et d'améliorer la condition suffisante d'Ozaki correspondante quand  $z \in \mathbb{D}$ .

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### 1. Introduction

A function  $f$  analytic in a domain  $D \subset \mathbb{C}$  is called  $p$ -valent in  $D$  if, for every complex number  $w$ , the equation  $f(z) = w$  has at most  $p$  roots in  $D$ , so that there exists a complex number  $w_0$  such that the equation  $f(z) = w_0$  has exactly  $p$  roots in  $D$ .

Let  $\mathcal{A}$  denote the class of functions analytic in the unit  $\mathbb{D} = \{z \in \mathbb{C} : |z| < 1\}$ , and  $f \in \mathcal{A}$  be given by  $f(z) = z + \sum_{n=2}^{\infty} a_n z^n$ . Denote by  $\mathcal{A}(p)$  the class of functions that are analytic in  $\mathbb{D}$ , given by

$$f(z) = z^p + \sum_{n=p+1}^{\infty} a_n z^n, \quad (z \in \mathbb{D}),$$

where  $p \in \mathbb{N} = \{1, 2, \dots\}$ .

The following theorem of Noshiro–Warschawski is well known.

**Theorem 1.1.** [1,8, Noshiro–Warschawski Theorem] Suppose that  $f$  is analytic in a convex domain  $D \subset \mathbb{C}$  and

$$\Re \left\{ e^{i\alpha} f'(z) \right\} > 0, \quad (z \in D)$$

for some real  $\alpha$ . Then  $f$  is univalent in  $D$ .

Ozaki [4], generalized the above theorem as follows.

**Theorem 1.2.** [4, Ozaki’s Theorem] Suppose that  $f$  is analytic in a convex domain  $D \subset \mathbb{C}$  and

$$\Re \left\{ e^{i\alpha} f^{(p)}(z) \right\} > 0, \quad (z \in D)$$

for some real  $\alpha$ . Then  $f$  is at most  $p$ -valent in  $D$ .

We note that Ozaki’s theorem shows that, if  $f \in \mathcal{A}(p)$  and

$$\Re \{ f^{(p)}(z) \} > 0 \quad (z \in \mathbb{D}), \tag{1.1}$$

then  $f$  is at most  $p$ -valent in  $\mathbb{D}$ .

Also in [2, 454] it was shown that if  $f \in \mathcal{A}(p)$ ,  $p \geq 2$ , and

$$|\arg \{ f^{(p)}(z) \}| < \frac{3\pi}{4} \quad (z \in \mathbb{D}),$$

then  $f$  is at most  $p$ -valent in  $\mathbb{D}$ .

It is the purpose of this paper to improve Ozaki’s theorem when  $z \in \mathbb{D}$ .

### 2. Main results

We shall need the following results.

**Lemma 2.1.** [3, Theorem 1] Let  $p$  be analytic in  $\mathbb{D}$  with  $p(0) = 1$ , and suppose that there exists a point  $z_0 \in \mathbb{D}$  such that

$$\Re \{ p(z) \} > 0 \quad \text{for } |z| < |z_0|$$

and

$$\Re \{ p(z_0) \} = 0.$$

Then  $z_0 p'(z_0)$  is a negative real number such that

$$z_0 p'(z_0) \leq -\frac{1 + |p(z_0)|^2}{2} = -\frac{1 + |\Im p(z_0)|^2}{2}.$$

**Remark.** In Lemma 2.1, we do not need the hypothesis

$$p(z_0) \neq 0, \quad (z_0 \in \mathbb{D}).$$

**Lemma 2.2.** Let  $p$  be analytic in  $\mathbb{D}$  with  $p(0) = 1$ , and suppose that

$$\Re \{p(z) + zp'(z)\} > -\frac{1 + |p(z)|^2}{2}, \quad (z \in \mathbb{D}).$$

Then

$$\Re \{p(z)\} > 0, \quad (z \in \mathbb{D}).$$

**Proof.** Suppose there exists a point  $z_0 \in \mathbb{D}$ , such that

$$\Re \{p(z)\} > 0 \quad \text{for } |z| < |z_0|$$

and

$$\Re \{p(z_0)\} = 0,$$

then applying Lemma 2.1, we have

$$\Re \{p(z_0) + z_0 p'(z_0)\} = \Re \{z_0 p'(z_0)\} \leq -\frac{1 + |p(z_0)|^2}{2}.$$

This contradicts hypothesis, and so proves the lemma.  $\square$

Using Lemmas 2.1 and 2.2, we now deduce the following.

**Theorem 2.3.** Let  $f \in \mathcal{A}(p)$  for  $p \geq 2$ , and suppose that

$$\Re \{f^{(p)}(z)\} > -\frac{p!}{2} \left( 1 + \left| \frac{1}{p!} \frac{f^{(p-1)}(z)}{z} \right|^2 \right), \quad (z \in \mathbb{D}).$$

Then

$$\Re \left\{ \frac{f^{(p-1)}(z)}{z} \right\} > 0, \quad (z \in \mathbb{D}).$$

**Proof.** Put

$$p(z) = \frac{1}{p!} \frac{f^{(p-1)}(z)}{z}, \quad p(0) = 1, \quad (z \in \mathbb{D}).$$

Then

$$f^{(p)}(z) = p!(p(z) + zp'(z)).$$

Suppose that there exists a point  $z_0 \in \mathbb{D}$  such that

$$\Re \{p(z)\} > 0 \quad \text{for } |z| < |z_0|$$

and

$$\Re \{p(z_0)\} = 0.$$

Then applying Lemma 2.1, we have

$$\begin{aligned} \Re \{f^{(p)}(z_0)\} &= p! \Re \{p(z_0) + z_0 p'(z_0)\} \\ &= p! \Re \{z_0 p'(z_0)\} \\ &\leq -\frac{1 + |p(z_0)|^2}{2} \\ &= -\frac{p!}{2} \left( 1 + \left| \frac{1}{p!} \frac{f^{(p-1)}(z_0)}{z_0} \right|^2 \right). \end{aligned}$$

This contradicts the hypothesis, and so the theorem is proved.  $\square$

From Theorem 2.3, we deduce the following corollaries.

**Corollary 2.4.** Let  $f \in \mathcal{A}(p)$  for  $p \geq 2$ , and suppose that

$$\Re \left\{ f^{(p)}(z) \right\} > -\frac{p!}{2}, \quad (z \in \mathbb{D}).$$

Then

$$\Re \left\{ \frac{f^{(p-1)}(z)}{z} \right\} > 0, \quad (z \in \mathbb{D}).$$

We now note that Pommerenke [5] and Sakaguchi [6] showed the following.

**Lemma 2.5.** [5] If  $f$  and  $h$  are analytic in  $\mathbb{D}$ , and  $h$  is convex and univalent in  $\mathbb{D}$ , with

$$\left| \arg \left\{ \frac{f'(z)}{h'(z)} \right\} \right| \leq \frac{\alpha\pi}{2}, \quad (z \in \mathbb{D}),$$

for some real  $\alpha$ ,  $0 \leq \alpha \leq 1$ , then

$$\left| \arg \left\{ \frac{f(z_2) - f(z_1)}{h(z_2) - h(z_1)} \right\} \right| \leq \frac{\alpha\pi}{2}, \quad (z \in \mathbb{D}),$$

for all  $z_1, z_2 \in \mathbb{D}$ .

Putting  $z_1 = 0$ ,  $z_2 = z$  in Lemma 2.5 gives

$$\left| \arg \left\{ \frac{f'(z)}{h'(z)} \right\} \right| \leq \frac{\alpha\pi}{2}, \quad z \in \mathbb{D} \quad \Rightarrow \quad \left| \arg \left\{ \frac{f(z)}{h(z)} \right\} \right| \leq \frac{\alpha\pi}{2}, \quad (z \in \mathbb{D}).$$

We also recall that Umezawa [7, p. 873, Corollary 2], showed the lemma below.

**Lemma 2.6.** [7] If  $f \in \mathcal{A}(p)$  for  $p \geq 2$ , and

$$\Re \left\{ \frac{f'(z)}{z^{p-1}} \right\} > 0, \quad (z \in \mathbb{D}).$$

Then  $f$  is at most  $p$ -valent in  $\mathbb{D}$ .

Applying Theorem 2.3, Lemma 2.5 and Lemma 2.6, we can now deduce the following.

**Theorem 2.7.** If  $f \in \mathcal{A}(p)$  for  $p \geq 2$ , and

$$\Re \left\{ f^{(p)}(z) \right\} > -\frac{p!}{2} \left( 1 + \left| \frac{1}{p!} \frac{f^{(p-1)}(z)}{z} \right|^2 \right), \quad (z \in \mathbb{D}).$$

Then  $f$  is at most  $p$ -valent in  $\mathbb{D}$ .

**Proof.** From Theorem 2.3, we have

$$\Re \left\{ \frac{f^{(p-1)}(z)}{z} \right\} > 0, \quad (z \in \mathbb{D}).$$

Applying Lemma 2.5 repeatedly, we obtain

$$\Re \left\{ \frac{f'(z)}{z^{p-1}} \right\} > 0, \quad (z \in \mathbb{D}).$$

Thus from Lemma 2.6,  $f$  is at most  $p$ -valent in  $\mathbb{D}$ , which completes the proof.  $\square$

Thus we finally deduce the following improvement to Ozaki's condition (1.1).

**Corollary 2.8.** If  $f \in \mathcal{A}(p)$  for  $p \geq 2$  and

$$\Re \left\{ f^{(p)}(z) \right\} > -\frac{p!}{2}, \quad (z \in \mathbb{D}),$$

then  $f$  is at most  $p$ -valent in  $\mathbb{D}$ .

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