

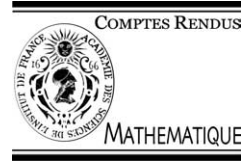


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# Gromov–Witten invariants of noncompact symplectic manifolds

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## Abstract

This is a short survey about our Gromov–Witten invariant theory for noncompact geometrically bounded symplectic manifolds. *To cite this article: G. Lu, C. R. Acad. Sci. Paris, Ser. I 338 (2004).*

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## Résumé

**Invariants de Gromov–Witten des variétés symplectiques non compactes.** Nous présentons dans cette Note la théorie des invariants des variétés symplectiques non compactes, géométriquement bornées. *Pour citer cet article : G. Lu, C. R. Acad. Sci. Paris, Ser. I 338 (2004).*

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## 1. Introduction

It has been expected that the Gromov–Witten invariants should also be defined for noncompact symplectic manifolds (see, e.g., the remark on the page 337 of [3] by Kontsevich). We here develop the virtual moduli cycle techniques introduced in [4–7] to generalize work of [8] to arbitrary noncompact geometrically bounded symplectic manifolds. A Riemannian metric  $\mu$  on a manifold  $M$  is said to be *geometrically bounded* if its sectional curvature is bounded above and injectivity radius  $i(M, \mu) > 0$ . Denote by  $\mathcal{GR}(M)$  the set of all such Riemannian metrics on  $M$ . Let  $\mathcal{J}(M, \omega)$  be the space of all  $\omega$ -compatible almost complex structures on a symplectic manifold  $(M, \omega)$ . A symplectic manifold  $(M, \omega)$  without boundary is said to be *geometrically bounded* if there exists  $J \in \mathcal{J}(M, \omega)$ ,  $\mu \in \mathcal{GR}(M)$  and positive constants  $\alpha_0$  and  $\beta_0$  such that  $\omega(X, JX) \geq \alpha_0 \|X\|_\mu^2$  and  $|\omega(X, Y)| \leq \beta_0 \|X\|_\mu \|Y\|_\mu$  for all  $X, Y \in TM$  (cf. [1,2,10]). We shall also say that such a  $J$  is  $(\omega, \mu)$ -*geometrically bounded*. Denote by  $\mathcal{J}(M, \omega, \mu)$  the set of all  $(\omega, \mu)$ -geometrically bounded almost complex structures in  $\mathcal{J}(M, \omega)$ . It is a path-connected subset in  $\mathcal{J}(M, \omega)$ . Denote by  $\text{Symp}_0^S(M, \omega)$  the connected component containing  $\text{id}_M$  of  $\text{Symp}_0(M, \omega)$  with respect to the  $C^\infty$ -strong topology. For  $\mathbb{K} = \mathbb{C}, \mathbb{R}$  and  $\mathbb{Q}$  we shall consider the  $\mathbb{K}$ -coefficient deRham

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cohomology  $H^*(M, \mathbb{K})$  and deRham cohomology  $H_c^*(M, \mathbb{K})$  with compact support;  $H^*(M, \mathbb{Q})$  (resp.  $H_c^*(M, \mathbb{Q})$ ) consists of all deRham cohomology classes in  $H^*(M, \mathbb{R})$  (resp.  $H_c^*(M, \mathbb{R})$ ) which take rational values over all integral cycles.

**2. Gromov–Witten invariants**

Let  $(M, \omega, J, \mu)$  be a geometrically bounded symplectic manifold of dimension  $2n$ ,  $A \in H_2(M, \mathbb{Z})$  and integers  $g \geq 0, m > 0$  with  $2g + m \geq 3$ . Let  $\overline{\mathcal{M}}_{g,m}$  be the set of all isomorphism classes of stable curves with  $m$  marked points and of genus of  $g, \kappa \in H_*(\overline{\mathcal{M}}_{g,m}, \mathbb{Q})$  and  $\{\alpha_i\}_{1 \leq i \leq m} \subset H^*(M, \mathbb{Q}) \cup H_c^*(M, \mathbb{Q})$  satisfy

$$\sum_{i=1}^m \deg \alpha_i + \text{codim}(\kappa) = 2c_1(M)(A) + 2(3 - n)(g - 1) + 2m. \tag{1}$$

Let  $\overline{\mathcal{M}}_{g,m}(M, J, A)$  denote the set of equivalence classes of all  $m$ -pointed stable  $J$ -maps of genus  $g$  and of class  $A \in H_2(M, \mathbb{Z})$  in  $M$ . It was observed by Gromov in his celebrated paper [2] that the ‘size’ of the closed  $J$ -holomorphic curve can be controlled in this class of symplectic manifolds. So for any compact subset  $K \subset M$  the images of all maps in  $\overline{\mathcal{M}}_{g,m}(M, J, A; K) := \{[f] \in \overline{\mathcal{M}}_{g,m}(M, J, A) \mid f(\Sigma) \cap K \neq \emptyset\}$  may be contained in  $c(\alpha_0, \beta_0, \mu)\omega(A)$ -neighborhood of  $K$  in  $M$  for some constant  $c(\alpha_0, \beta_0, \mu) > 0$ . It follows that  $\overline{\mathcal{M}}_{g,m}(M, J, A; K)$  is compact.

Suppose that  $\{\alpha_i\}_{1 \leq i \leq m} \subset H_c^*(M, \mathbb{Q}) \cup H^*(M, \mathbb{Q})$  has at least one element, say  $\alpha_1$ , belonging to  $H_c^*(M, \mathbb{Q})$ . We may choose their closed representative forms  $\alpha_i^*, i = 1, \dots, m$ , and a compact subset  $K_0$  in  $M$  such that  $\text{supp}(\bigwedge_{i=1}^m \alpha_i^*) \subset K_0$ . From  $\overline{\mathcal{M}}_{g,m}(M, J, A; K_0)$  we can use the methods developed in [5–7] to construct a family of cobordant virtual moduli cycles

$$\mathcal{C}^t(K_0) := \sum_{I \in \mathcal{N}} \frac{1}{|\Gamma_I|} \{ \hat{\pi}_I : \mathcal{M}_I^t(K_0) \rightarrow \mathcal{W} \} \quad \forall t \in \mathbf{B}_\varepsilon^{\text{res}}(\mathbb{R}^{m n_3}).$$

Let  $\text{ev}_i([f, \Sigma, \bar{z}]) = f(z_i), i = 1, \dots, m$ , and  $\Pi_{g,m}([f, \Sigma, \bar{z}]) = [\Sigma', \bar{z}']$  be obtained by collapsing components of  $(\Sigma, \bar{z})$  with genus 0 and at most two special points. Using the map  $\text{EV}_{g,m} := \Pi_{g,m} \times (\prod_{i=1}^m \text{ev}_i) : \mathcal{B}_{A,g,m}^M \rightarrow \overline{\mathcal{M}}_{g,m} \times M^m$ , we define the GW-invariants as

$$\begin{aligned} \mathcal{GW}_{A,g,m}^{(\omega,\mu,J)}(\kappa; \alpha_1, \dots, \alpha_m) &:= \int_{\text{EV}_{g,m} \circ \mathcal{C}^t(K_0)} \kappa^* \oplus \bigwedge_{i=1}^m \alpha_i^* \\ &= \sum_{I \in \mathcal{N}} \frac{1}{|\Gamma_I|} \int_{\mathcal{M}_I^t(K_0)} (\text{EV}_{g,m} \circ \hat{\pi}_I)^* \left( \kappa^* \oplus \bigwedge_{i=1}^m \alpha_i^* \right), \end{aligned} \tag{2}$$

if (1) is satisfied, and  $\mathcal{GW}_{A,g,m}^{(\omega,\mu,J)}(\kappa; \alpha_1, \dots, \alpha_m) = 0$  otherwise. They are well-defined. That is, the left of (2) does not depend on all related choices (see §4.2–§4.6 of [9]). As expected they are multilinear and supersymmetric on  $\alpha_1, \dots, \alpha_m$ , and also independent of choices of  $J \in \mathcal{J}(M, \omega, \mu)$ . Moreover, they only depend on the connected component of  $\mu$  in  $\mathcal{GR}(M)$  with respect to the  $C^\infty$  strong topology. (In fact it was proved in [9] that they are invariant under the *weak deformation* of  $(M, \omega, J, \mu)$ .) For any  $\psi \in \text{Symp}_0^S(M, \omega)$  the following holds

$$\mathcal{GW}_{A,g,m}^{(\omega,\psi^*\mu,\psi^*J)}(\kappa; \alpha_1, \dots, \alpha_m) = \mathcal{GW}_{A,g,m}^{(\omega,\mu,J)}(\kappa; \alpha_1, \dots, \alpha_m). \tag{3}$$

Let  $\mathcal{F}_m : \overline{\mathcal{M}}_{g,m} \rightarrow \overline{\mathcal{M}}_{g,m-1}$  be a map, forgetting the last marked point. It is a Lefschetz fibration and the integration along the fibre induces a map  $(\mathcal{F}_m)_\#$  from  $\Omega^*(\overline{\mathcal{M}}_{g,m})$  to  $\Omega^{*-2}(\overline{\mathcal{M}}_{g,m-1})$ . It also induces a ‘shriek’ map  $(\mathcal{F}_m)_!$  from  $H_*(\overline{\mathcal{M}}_{g,m-1}; \mathbb{Q})$  to  $H_{*+2}(\overline{\mathcal{M}}_{g,m}; \mathbb{Q})$ .

**Theorem 2.1** (Reduction formulas). *If  $(g, m) \neq (0, 3), (1, 1)$ , then for any  $\kappa \in H_*(\overline{\mathcal{M}}_{g,m-1}; \mathbb{Q})$ ,  $\alpha_1 \in H_c^*(M; \mathbb{Q})$ ,  $\alpha_2, \dots, \alpha_m \in H^*(M; \mathbb{Q})$  with  $\deg \alpha_m = 2$  one has*

$$\begin{aligned} \mathcal{GW}_{A,g,m}^{(\omega,\mu,J)}((\mathcal{F}_m)_!(\kappa); \alpha_1, \dots, \alpha_m) &= \alpha_m(A) \cdot \mathcal{GW}_{A,g,m-1}^{(\omega,\mu,J)}(\kappa; \alpha_1, \dots, \alpha_{m-1}), \\ \mathcal{GW}_{A,g,m}^{(\omega,\mu,J)}(\kappa; \alpha_1, \dots, \alpha_{m-1}, \mathbf{1}) &= \mathcal{GW}_{A,g,m}^{(\omega,\mu,J)}((\mathcal{F}_m)_*(\kappa); \alpha_1, \dots, \alpha_{m-1}). \end{aligned}$$

Here  $\mathbf{1} \in H^0(M, \mathbb{Q})$  denotes the unit element  $H^*(M, \mathbb{Q})$ , which is Poincaré dual to the fundamental class  $[M]$  in the second singular homology  $H_{2n}^{\text{II}}(M, \mathbb{Q})$ .

Let integers  $g_i \geq 0$  and  $m_i > 0$  satisfy:  $2g_i + m_i \geq 3$ ,  $i = 1, 2$ . Set  $g = g_1 + g_2$  and  $m = m_1 + m_2$  and fix a decomposition  $Q = Q_1 \cup Q_2$  of  $\{1, \dots, m\}$  with  $|Q_i| = m_i$ . Then one gets a canonical embedding  $\varphi_Q : \overline{\mathcal{M}}_{g_1,m_1+1} \times \overline{\mathcal{M}}_{g_2,m_2+1} \rightarrow \overline{\mathcal{M}}_{g,m}$ . Let  $\psi : \overline{\mathcal{M}}_{g-1,m+2} \rightarrow \overline{\mathcal{M}}_{g,m}$  be the natural embedding obtained by gluing together the last two marked points. In the case  $\dim H^*(M) < \infty$  we take a basis  $\{\beta_i\}$  of  $H^*(M)$  and a dual basis  $\{\omega_i\}$  of them in  $H_c^*(M)$ , i.e.,  $\langle \omega_j, \beta_i \rangle = \int_M \beta_i \wedge \omega_j = \delta_{ij}$ . Let  $\eta^{ij} = \int_M \omega_i \wedge \omega_j$  and  $c_{ij} = (-1)^{\deg \omega_i \cdot \deg \omega_j} \eta^{ij}$ .

**Theorem 2.2** (Composition laws). *Assume that  $\dim H^*(M) < \infty$ . Let  $\kappa \in H_*(\overline{\mathcal{M}}_{g-1,m+2}, \mathbb{Q})$ , and  $\alpha_i \in H^*(M, \mathbb{Q})$ ,  $i = 1, \dots, m$ . Suppose that some  $\alpha_t \in H_c^*(M, \mathbb{Q})$ . Then*

$$\mathcal{GW}_{A,g,m}^{(\omega,\mu,J)}(\psi_*(\kappa); \alpha_1, \dots, \alpha_m) = \sum_{i,j} c_{ij} \cdot \mathcal{GW}_{A,g-1,m+2}^{(\omega,\mu,J)}(\kappa; \alpha_1, \dots, \alpha_m, \beta_i, \beta_j).$$

Moreover, let  $\kappa_i \in H_*(\overline{\mathcal{M}}_{g_i,m_i}, \mathbb{Q})$ ,  $i = 1, 2$ , and  $\alpha_s, \alpha_t \in H_c^*(M, \mathbb{Q})$  for some  $s \in Q_1$  and  $t \in Q_2$ . Then

$$\begin{aligned} \mathcal{GW}_{A,g,m}^{(\omega,\mu,J)}(\varphi_{Q*}(\kappa_1 \times \kappa_2); \alpha_1, \dots, \alpha_m) &= \epsilon(Q) (-1)^{\deg \kappa_2 \sum_{i \in Q_1} \deg \alpha_i} \\ &\times \sum_{A=A_1+A_2} \sum_{k,l} \eta^{kl} \cdot \mathcal{GW}_{A_1,g_1,m_1+1}^{(\omega,\mu,J)}(\kappa_1; \{\alpha_i\}_{i \in Q_1}, \beta_k) \cdot \mathcal{GW}_{A_2,g_2,m_2+1}^{(\omega,\mu,J)}(\kappa_2; \beta_l, \{\alpha_i\}_{i \in Q_2}). \end{aligned}$$

Here  $\epsilon(Q)$  is the sign of the permutation  $Q = Q_1 \cup Q_2$  of  $\{1, \dots, m\}$ .

For proofs of (3) and Theorems 2.1, 2.2 the readers may refer to [9]. If  $(M, \omega)$  is a closed symplectic manifold they are reduced to the ordinary ones.

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