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# A generalization of Ulm subgroups

### KENNETH MESSA \*

Abstract: The investigation of  $U_n$ -submodules yields a generalization of Ulm subgroups. Through this generalization, we study the  $\alpha$ -th Ulm submodule of M,  $M^{\alpha}$ , and the functor  $M \mapsto M^{\alpha}$ , in relation to its preserving sums, products and certain quotients. We also discover conditions for which every module is the first Ulm submodule of some module. Finally, the well-known result that the first Ulm subgroup of an algebraically compact abelian group is divisible is extended to semi-hereditary rings.

## 1. - Introduction.

This paper deals with certain submodules, called  $U_n$ -submodules, of arbitrary left R-modules. When n=1, we get a generalization of the concept of Ulm subgroup of an Abelian group. We are chiefly concerned with this generalization.

It is well-known in Abelian Group Theory that the first Ulm subgroup of an algebraically compact group is divisible. See Fuchs [1]. One aim of this paper is to extend this theorem to semi-hereditary rings. We will also show that the map  $M \mapsto a$ -th Ulm submodule of M preserves sums, products and certain quotients. A theorem relating purity to the first Ulm submodule is proved. In addition, necessary and sufficient conditions for an arbitrary

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module to be equal to the first Ulm submodule of some module are given.

Throughout this paper R will be an associative ring with identity. All modules considered will be unitary left R-modules. The symbol dim R will denote the left homological dimension of R, and hereditary and noetherian will mean left hereditary and left noetherian. The letters k, n, m are always taken from the set  $\{1, 2, 3, ...\} \cup \{\infty\}$ .

We will let  $L_n = \{P \rightarrowtail Q \mid \text{where } Q/P \text{ is finitely presented of dimension } \leq n \text{ and } Q \text{ is finitely generated free} \}.$ 

The author wishes to thank his thesis advisor Laszlo Fuchs for his inspiration and guidance. Parts of this paper are included in the author's doctoral dissertation.

# 2. - $U_n$ -submodules.

A submodule A of M will be called a  $U_n$ -submodule if given a monomorphism  $P \rightarrowtail Q \in L_n$ , every map  $f \colon P \rightarrowtail A$  can be extended to a map  $g \colon Q \rightarrowtail M$ .

We can interpret  $U_n$ -submodules in terms of systems of equations. A system of equations

$$\sum_{j=1}^{s} r_{ij} x_j = a_i \qquad (i \in I)$$

will be called an *n*-system in A if the  $a_i$ 's are in A and if  $P \mapsto Q \in L_n$  where Q is free with generators  $x_j$  and P is generated by the  $\sum r_{ij} x_j$  for  $i \in I$ . Thus a submodule A of M is a  $U_n$ -submodule if and only if any finite compatible n-system of equations in A is solvable in M.

Some easy relations between the various  $U_n$ -submodules are given below:

- A. A submodule of a  $U_n$ -submodule of M is again a  $U_n$ -submodule of M.
  - B. Every  $U_n$ -submodule is a  $U_k$ -submodule for all  $k \leq n$ .
- C. The union of an ascending chain of  $U_n$ -submodules is again one. Hence, every  $U_n$ -submodule is contained in a maximal one.
  - D. Every injective submodule is a  $U_n$ -submodule for all n.

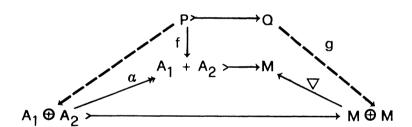
E. If dim R=n then every  $\boldsymbol{U}_n\text{-submodule}$  is also a  $\boldsymbol{U}_k\text{-submodule}$  for all  $k\geq n.$ 

The particular case when R is a P.I.D. reduces the definition of a  $U_1$ -submodule to solutions of rx=a for all  $r\in R$  which are not zero divisors. That is, A is a  $U_1$ -submodule of M if rx=a is solvable in M for each a in A and each non-zero divisor r in R. In particular when R= the ring of integers, A is a  $U_1$ -subgroup of an abelian group M if and only if A is contained in the first Ulm subgroup of M. See Fuchs [1].

We can generalize this somewhat in the theorem following lemma 1:

LEMMA 1: An arbitrary sum of  $U_1$ -submodules is again one.

Proof: Let.  $A_i$ ,  $i \in I$ , be  $U_1$ -submodules of M and let  $P \mapsto Q \in L_1$  with  $f: P \mapsto A_i$ . As P is finitely generated, we may assume that I is finite. It suffices to verify lemma 1 for |I| = 2. Consider the following diagram where  $a: A_1 \oplus A_2 \to A_1 + A_2$  is the epimorphism given by  $a(a_1, a_2) = a_1 + a_2$  and  $\nabla$  is the codiagonal map:



As P is projective, f factors through a. As  $A_1$  and  $A_2$  are  $U_1$ -submodules, there exists a map  $g:Q\to M\oplus M$  making the outside rectangle commute. Thus  $F\circ g$  makes the inside rectangle commute. Hence,  $A_1+A_2$  is a  $U_1$ -submodule of M.

The proof of theorem 1 is immediate.

THEOREM 1: Every module contains a unique maximal  $U_1$ -submodule. This maximal  $U_1$ -submodule of M will be called the *first* Ulm submodule of M and will be denoted  $M^1$ .

In abelian groups, the first Ulm subgroup of a group A is the intersection of all subgroups nA where n ranges over all positive

integers. Clearly then, if R is the ring of integers, the first Ulm submodule is exactly the first Ulm subgroup. In fact, for a P.I.D. both concepts coincide.

Just as for abelian groups, we define for each ordinal  $\alpha$ ,  $M^{\alpha+1} = (M^{\alpha})^1$  and for each limit ordinal  $\beta$ , set  $M^{\beta} = \bigcap_{\alpha < \beta} M^{\alpha}$ . By this process we get a descending sequence of Ulm submodules of M. We call the sequence

$$M\supset M^1\supset M^2\supset\ldots\supset M^\alpha\supset\ldots$$

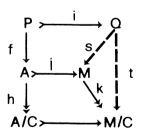
the *Ulm sequence* for M. A cardinality argument gives us that  $M^{\gamma} = M^{\gamma+1}$  for some ordinal  $\gamma$ . We will denote this Ulm submodule by  $M^{\#}$ .

It is important to note that as an injective module is a  $U_1$ -submodule in any module containing it, any injective submodule of M is contained in  $M^*$ .

For a fixed ordinal  $\alpha$ , the function  $M \mapsto M^{\alpha}$  is functorial; i. e. if  $f: M \to N$  then  $f(M^{\alpha}) \subset N^{\alpha}$ . Thus  $M^{\alpha}$  is a fully invariant submodule of M. We then have:

THEOREM 2: If  $C \subset M^{\alpha}$  then  $(M/C)^{\alpha} = M^{\alpha}/C$ .

Proof by transfinite induction: the previous discussion shows that  $M^1/C$  is a  $U_1$ -submodule of M/C and hence is contained in  $(M/C)^1$ . For the reverse inclusion, let A/C be a  $U_1$ -submodule of M/C. We must show that  $A \subset M^1$ . Consider the following diagram for arbitrary  $P \rightarrowtail Q \in L_1$  and  $f: P \longrightarrow A$ :



where h and k are the canonical maps. By assumption,  $h \circ f$  can be extended to  $t: Q \to M/C$ . As Q is free, there exists a map  $s: Q \to M$ 

such that  $k \circ s = t$ . Now  $s \circ i - j \circ f \colon P \to ker \ k = C$ . As  $C \subset M^1$ , we get an extension  $g \colon Q \to M$  of  $s \circ i - j \circ f$ . Then s + g extends f.

It is clear that if  $\beta$  is a limit ordinal or if  $\beta = \alpha + 1$  and  $M^{\alpha}/C = (M/C)^{\alpha}$  for all  $\alpha < \beta$ , then we have  $(M/C)^{\beta} = M^{\beta}/C$ .

The function  $M \to M^{\alpha}$ , for fixed  $\alpha$ , also preserves direct sums and direct products. The proof of lemma 2 is straightforward.

LEMMA 2. 
$$(\oplus A_i)^{\alpha} = \oplus A_i^{\alpha}$$
,

$$(\Pi A_i)^{\alpha} = \Pi A_i^{\alpha},$$

It also follows immediately that the canonical map  $M \to M/M^{\alpha}$  preserves all Ulm submodules up to the  $\alpha$ -th; i.e. the image of the  $\beta$ -th Ulm submodule is equal to the  $\beta$ -th Ulm submodule of  $M/M^{\alpha}$ , for  $\beta \leq \alpha$ .

The next theorem relates, to a certain extent, the first Ulm submodule to purity. Recall, A is pure in M if every finite system of equations which is solvable in M is solvable in A. See Warfield [6]. The result generalizes that in abelian groups.

THEOREM 3: A pure in M implies  $A^1 = M^1 \cap A$ .

Proof: It is clear that  $A^1 \subset M^1 \cap A$ . For the reverse, we must show that  $M^1 \cap A$  is a  $U_1$ -submodule of A. Given any compatible n-system of equations in  $M^1 \cap A \subset M^1$ , this system has a solution in M. As A is pure in M, the system is solvable in A. Hence  $M^1 \cap A$  is a  $U_1$ -submodule of A.

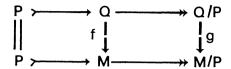
COROLLARY: Let R be hereditary and noetherian. If  $M^1$  is pure in M then  $M^1$  is injective.

Proof: The proof is immediate upon observing that  $M^{\#}$  is injective with respect to all elements of  $L_1$ .

In closing this section, we would like to give necessary and sufficient conditions for an *R*-module to be the first Ulm submodule of some module.

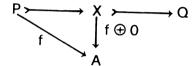
THEOREM 4: Every R-module A is the first Ulm submodule of some module M if and only if the first Ulm submodule of all quotients  $Q/P \in L_1$ , is of the form X/P where  $X = P \oplus Y$  for some Y.

Proof: For necessity, let  $P \rightarrowtail Q \in L_1$ . Consider the diagram below where  $P = M^1$ :



The map f is from the universal property of the Ulm submodules and g is the natural extension of f. Let  $(Q/P)^1 = X/P \subset Q/P$ . As  $(M/P)^1 = M^1/P = 0$ ,  $f(X) \subset P$ . Hence  $P \rightarrowtail X$  splits.

For sufficiency, consider the set I of all diagrams



where  $X=P\oplus Y$  and  $P\rightarrowtail Q$  ranges over the set  $L_1$ . Now take M to be the pushout of the two maps  $\bigoplus X\rightarrowtail \bigoplus Q$  and  $\bigoplus f:\bigoplus X \longrightarrow A$ . Clearly, A is a  $U_1$ -submodule of M.  $A=M^1$  follows immediately as  $(Q/X)^1=0$ .

# 3 - n-algebraically Compact Modules.

Recall: a module M is called algebraically compact if any compatible system of equations in M which is finitely solvable is also solvable in M. See Warfield [6]. This concept can be generalized as follows: call a module M n-algebraically compact if any compatible n-system of equations in M which is finitely solvable in M is solvable in M. Any n-algebraically compact module is also k-algebraically compact module is n-algebraically compact module is n-algebraically compact for  $n \ge k$ .

It is known that if A is an algebraically compact abelian group then  $A^1$  is the maximum injective subgroup of A. The next theorem generalizes this.

THEOREM 5: Let R be semi-hereditary. If M is 1-algebraically compact then  $M^1$  is injective; hence,  $M^1$  is the maximum injective submodule.

Proof: Let  $\hat{M}$  be an injective hull of M. Let E be the injective hull of  $M^1$  in  $\hat{M}$ . As  $M^1$  contains all injective submodules of M, it suffices to show that an injective submodule of M contains  $M^1$ . Let E be generated by  $M^1 \cup \{e_i : i \in I\}$ . Consider all relations in E between  $e_i$  and the elements of  $M^1$  (i. e. a presentation of E mod  $M^1$ ),  $\sum_{finite} r_{ij} e_j = m_i$  where  $r_{ij} \in R$ ,  $m_i \in M^1$  and  $i \in I$ . As R is semi-here-

ditary, any finite subsystem is a l-system. Thus, any finite subsystem in  $M^1$  is solvable in M. As M is l-algebraically compact, the entire system is solvable in M i.e. there exists a map  $\varrho: E \to M$  which leaves the elements of  $M^1$  fixed. As E is an essential extension of  $M^1$ , we have  $\ker \varrho = 0$ . Therefore  $M^1 \subset \varrho E \subset M$  where  $\varrho E$  is injective. Hence  $M^1 = \varrho E$  is injective.

Remark: for all modules M,  $M^*$  is injective if and only if R is hereditary and noetherian.

Proof: the necessity is obvious. For the sufficiency, let I be a left ideal of R. As R is hereditary and noetherian,  $I \rightarrow R \in L_1$ . Thus, as  $M^{\#}$  is injective with respect to all elements of  $L_1$ , Baer's criterion implies  $M^{\#}$  is injective.

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