Heights of roots of polynomials with odd coefficients

par J. GARZA, M. I. M. ISHAK, M. J. MOSSINGHOFF, C. G. PINNER et B. WILES

Résumé. Soit \( \alpha \) un zéro d’un polynôme de degré \( n \) à coefficients impairs qui n’est pas une racine de l’unité. Nous montrons que la hauteur de \( \alpha \) satisfait
\[
h(\alpha) \geq \frac{0.4278}{n + 1}.
\]
Plus généralement, nous obtenons des bornes dans le cas où chaque coefficient est congru à 1 modulo \( m \), avec \( m \geq 2 \).

Abstract. Let \( \alpha \) be a zero of a polynomial of degree \( n \) with odd coefficients, with \( \alpha \) not a root of unity. We show that the height of \( \alpha \) satisfies
\[
h(\alpha) \geq \frac{0.4278}{n + 1}.
\]
More generally, we obtain bounds when the coefficients are all congruent to 1 modulo \( m \) for some \( m \geq 2 \).

1. Introduction

We recall the Mahler measure \( M(f) \) of a polynomial \( f = a \prod_{i=1}^{d}(x - \alpha_i) \) in \( \mathbb{C}[x] \):
\[
M(f) = |a| \prod_{i=1}^{d} \max\{1, |\alpha_i|\}.
\]
For a nonzero algebraic number \( \alpha \) of degree \( d \), one defines the absolute logarithmic height \( h(\alpha) \) of \( \alpha \) to be
\[
h(\alpha) = \frac{1}{d} \log M(F),
\]
where \( F \) is an irreducible polynomial in \( \mathbb{Z}[x] \) with \( F(\alpha) = 0 \). That is, \( \log M(f) \) represents the sum of the heights of the nonzero roots of \( f \) (with multiplicity) whenever \( f \) is primitive in \( \mathbb{Z}[x] \).
For an integer $m \geq 2$, let $D_m$ denote the set of integer polynomials whose coefficients $a_i$ all satisfy $a_i \equiv 1 \pmod{m}$. For a polynomial of degree $n$ in $D_m$ with no cyclotomic factors, Borwein, Dobrowolski, and Mossinghoff [1] proved that

$$\log M(f) \geq c_m \frac{n}{n+1},$$

with $c_2 = \frac{1}{4} \log 5 = 0.402359 \ldots$, $c_3 = 0.459003$, and $c_m = \log(\sqrt{m^2 + 1}/2)$ for $m > 3$. These constants were improved in [2] to obtain $c_2 = 0.416230 \ldots$, general bounds of strength

$$c_m = \begin{cases} 
\log(m/2) + (3 - \log 3)/2m^2 + O(1/m^4) & \text{if } m \geq 3 \text{ odd,} \\
\log(m/2) + (4 - \log 4)/m^2 + O(1/m^4) & \text{if } m \geq 4 \text{ even,} 
\end{cases}$$

and particular values $c_3 = 0.501026 \ldots$, $c_4 = 0.832461 \ldots$, $c_5 = 0.952869$, $c_6 = 1.165884$, $c_7 = 1.271775$, $c_8 = 1.425369$, $c_9 = 1.515669$, $c_{10} = 1.634836$, and $c_{11} = 1.712539$.

We show here how to more straightforwardly obtain bounds of the form

$$(1.1) \quad h(\alpha) \geq \frac{c_m}{n+1}$$

when $\alpha$ is a zero of a polynomial $f$ in $D_m$ of degree $n$, but not a $2(n+1)$st root of unity. Of course then

$$\log M(f) \geq c_m \frac{d}{n+1}$$

where $d$ is the degree of the noncyclotomic part of $f$ (the type of bound obtained in Theorem 2.2 of [2]).

**Theorem 1.1.** If $\alpha$ is a zero of a polynomial $f$ in $D_m$ of degree $n$ and $\alpha$ is not a $2(n+1)$st root of unity (not an $(n+1)$st if $m \geq 3$), then (1.1) holds with

$$c_2 = 0.427800$$

and

$$c_m = \log \left( \frac{m}{2} \right) + \frac{2.947486 - \delta/2}{m^2} + O \left( \frac{1}{m^4} \right),$$

where

$$\delta = \begin{cases} 
1 & \text{if } m \geq 3 \text{ odd,} \\
0 & \text{if } m \geq 4 \text{ even.} 
\end{cases}$$

For small $m \geq 3$ we show the following improvements: $c_3 = 0.620362$, $c_4 = 0.855600$, $c_5 = 1.016628$, $c_6 = 1.179916$, $c_7 = 1.307083$, $c_8 = 1.434141$, $c_9 = 1.538934$, $c_{10} = 1.640027$, and $c_{11} = 1.728890$. 
We note the easily obtained (if asymptotically less precise) bound
\[
(1.2) \quad c_m = \begin{cases} 
\frac{1}{2} \log \left( \frac{m^2+3}{4} \right) & \text{if } m \geq 3 \text{ odd}, \\
\frac{1}{2} \log \left( \frac{m^2+4}{4} \right) & \text{if } m \geq 4 \text{ even}
\end{cases}
\]
(the even case having already been obtained and improved in [2]). We remark also that the same computation that yields the value of \(c_3\) in Theorem 1.1 immediately produces the lower bound
\[
h(\alpha) \geq 0.155090
\]
for abelian \(\alpha\) (see [3]).

Our second main result shows that the optimal \(c_m\) in (1.1) certainly satisfies \(c_m = \log m + O(1)\).

**Theorem 1.2.** If (1.1) holds for any non-root of unity \(\alpha\) that is a zero of a polynomial \(f\) in \(D_m\) of degree \(n\), then
\[
(1.3) \quad c_2 \leq \log \left( \frac{1 + \sqrt{5}}{2} \right) = 0.481211 \ldots
\]
(even if we further restrict to Littlewood polynomials),
\[
(1.4) \quad c_3 \leq \log 2 = 0.693147 \ldots,
\]
\[
(1.5) \quad c_4 \leq \log(1 + \sqrt{2}) = 0.881373 \ldots,
\]
and
\[
(1.6) \quad c_6 \leq \log \left( \frac{3 + \sqrt{13}}{2} \right) = 1.194763 \ldots.
\]
Further, for general \(m \geq 3\),
\[
(1.7) \quad c_m \leq \log(m - 1).
\]

It is not clear what the optimal constant \(C_1\) should be in a bound of the form \(c_m = \log m - C_1 + o(1)\).

**2. Preliminaries**

Suppose that \(\alpha\) lies in an algebraic number field \(k\), and \(V_k\) is a complete set of absolute values \(|\cdot|_v\) on \(k\), normalised so that \(|x|_v = \|x\|_{d_v/d}^{d/d_v}\) where \(d = [k : \mathbb{Q}]\), \(d_v = [k_v : \mathbb{Q}_v]\), and \(|x|_v\) coincides with the usual absolute value or \(p\)-adic absolute value on \(\mathbb{Q}\). Then
\[
h(\alpha) = \log H(\alpha), \quad H(\alpha) = \prod_{v \in V_k} \max\{1, |\alpha|_v\}.
\]
The normalisations ensure that this does not depend upon \(k\).
Lemma 2.1. For \( t = 1 \), or \( t > 1 \) and \( k \leq \frac{4t}{(t-1)^2} \),

\[
\sup_{|z|=1} |(z - 1)^k(z + t)| = \frac{(t + 1)^{k+1}}{(k + 1)^\frac{1}{2}(k+1)} \left( \frac{k}{t} \right)^\frac{1}{2}k,
\]

achieved at \( z = -\frac{((t^2+1)k-2t)}{2t(k+1)} \pm \frac{(t+1)\sqrt{k(4t-(t-1)^2)}}{2t(k+1)}i \). For \( t > 1 \) and \( k \geq \frac{4t}{(t-1)^2} \), the supremum is \( 2^k(t-1) \), achieved at \( z = -1 \).

Proof. Writing \( z = e^{i\theta}, u = \cos \theta \), it is readily checked that

\[
|(z - 1)^k(z + t)|^2 = 2^k(1-u)^k((t^2+1) + 2tu)
\]
is maximised for \(-1 \leq u \leq 1\) at \( u = -\frac{(t^2+1)k-2t}{2t(k+1)} \) while this is at least \(-1\) (and at \( u = -1 \) when \( k > \frac{4t}{(t-1)^2} \)). \( \square \)

Define the polynomials

\begin{align}
\text{(2.1)} & \quad g_1(z) = \frac{1}{2}(m - \delta)z + \frac{1}{2}(m + \delta), \quad \delta = \begin{cases} 
1 & \text{if } m \text{ is odd}, \\
0 & \text{if } m \text{ is even}, 
\end{cases} \\
\text{(2.2)} & \quad g_2(z) = \frac{1}{4}(m^2 + (4 - \delta))z^2 + \frac{1}{2}(m^2 - (4 - \delta))z + \frac{1}{4}(m^2 + (4 - \delta)).
\end{align}

Lemma 2.2. If \( m \geq 3 \) is odd then \( g_1(z^n) \) is irreducible in \( \mathbb{Z}[z] \) for all \( n \) in \( \mathbb{N} \). Further, if \( m \geq 4 \) is odd with \( 3 \nmid m \) or even with \( 4 \mid m \) then \( g_2(z^n) \) is irreducible in \( \mathbb{Z}[z] \) for all \( n \) in \( \mathbb{N} \).

Proof. If \( m = 2k+1 \), is odd then by Capelli’s Theorem \( g_1(z^n) = kz^n + (k+1) \) is irreducible unless \((k+1)/k\) is a prime power in \( \mathbb{Q} \), but plainly \( k+1 = a^p \), \( k = b^p \) has no positive integer solutions \( a, b \).

Observe that if \( g_2(\beta) = 0 \) then

\[
\beta = \frac{-\frac{1}{2}(m^2 - (4 - \delta)) \pm m\sqrt{(4 - \delta)i}}{\frac{1}{2}(m^2 + (4 - \delta))}
\]
is complex, lying on the unit circle. Moreover, if \( m \) is odd and \( 3 \nmid m \), or if \( 4 \mid m \), then

\[
\gcd \left( \frac{1}{4}(m^2 + (4 - \delta)), \frac{1}{2}(m^2 - (4 - \delta)) \right) = 1,
\]
g_2(z) is irreducible in \( \mathbb{Z}[z] \), and

\[
h(\beta) = \frac{1}{2} \log \left( \frac{m^2 + (4 - \delta)}{4} \right).
\]
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Notice that if $m \geq 2$ is odd with $3 \mid m$, or if $2 \mid m$, then we need to first factor out a common 3 or 2 and

$$h(\beta) = \frac{1}{2} \log \left( \frac{m^2 + 3}{12} \right) \text{ or } \frac{1}{2} \log \left( \frac{m^2 + 4}{8} \right).$$

Suppose then that $(m, 6) = 1$ or $4 \mid m$, and $g_2(z^n)$ has a nontrivial factor,

$$r(z) = \sum_{i=0}^{d} a_i z^i \in \mathbb{Z}[z], \quad a_d \neq 0, \quad 0 < d < 2n.$$

If $\alpha$ is a root of $r(z)$, then

$$\frac{\log |a_d|}{d} = h(\alpha) = \frac{1}{n} h(\beta)$$

and

$$\frac{m^2 + (4 - \delta)}{4} = |a_d|^{2n/d} = y^p$$

for some $y$ in $\mathbb{N}$ and prime $p \mid 2n/\gcd(2n, d)$. For $m = 4l$, this reduces to $l^2 + 1 = y^p$, a special case of Catalan’s equation shown to have no solution by Lebesgue [4]. For odd $m = 2l + 1$, this reduces to $l^2 + l + 1 = y^p$, which was shown by Nagell [6] and Ljunggren [5] to have only the solution $p = 3, y = 7, l = 18$. This just leaves the case $m = 37$, in which case

$$g_2(z^n) = 7^3 (z^n - \beta) \left( z^n - \beta^{-1} \right), \quad \beta = \frac{1}{2} \left( 1 - \sqrt{3}i \right) \left( \frac{2 + \sqrt{3}i}{2 - \sqrt{3}i} \right)^3.$$

Plainly then $g_2(z^n)$ is irreducible in $\mathbb{Z}[z]$ unless $(z^n - \beta)$ is reducible in $\mathbb{Q}(\sqrt{3}i)[z]$. But by Capelli’s Theorem this would require $\beta = A^p$ or $-4\mu^4$ for some prime $p$ and $A$ or $\mu$ in $\mathbb{Q}(\sqrt{3}i)$. Considering prime factorizations in the integers of $\mathbb{Q}(\sqrt{3}i)$, the only possibility would be $p = 3$, but $\frac{1}{2}(1 - \sqrt{3}i)$ cannot be a cube in $\mathbb{Q}(\sqrt{3}i)$ (which contains the sixth but not the eighteenth roots of unity).

3. Proof of Theorem 1.1

If $f$ is in $D_m$, then $f(x) = \frac{x^{n+1}-1}{x-1} + mr(x)$ for some $r$ of degree at most $n$ in $\mathbb{Z}[x]$. Hence for $v \nmid \infty$, writing $\beta = \alpha^{n+1}$,

$$|\beta - 1|_v = |m(\alpha - 1)r(\alpha)|_v \leq |m|_v \max\{1, |\beta|_v\}.$$

For $m = 2$ we take

$$g(z) = (z - 1)^k \left( z + 1 \right)^l \left( 5z^2 + 6z + 5 \right)^l \left( 29z^4 + 60z^3 + 78z^2 + 60z + 29 \right)^w \cdot (3z^2 + 2z + 3)^e \left( 33z^4 + 60z^3 + 70z^2 + 60z + 33 \right)^e \cdot (169z^6 + 490z^5 + 871z^4 + 1036z^3 + 871z^2 + 490z + 169)^s.$$
Thus for \( v \uparrow \infty \),
\[
|\beta - 1|_v \leq |2|_v \max\{1, |\beta|_v\},
\]
\[
|\beta + 1|_v = |\beta - 1 + 2|_v \leq |2|_v \max\{1, |\beta|_v\},
\]
and
\[(3.2) \quad \left| \beta^2 - 1 \right|_v \leq |2|_v^2 \max\{1, |\beta|_v\}^2;\]
giving
\[
|\beta^2 + 1|_v = |\beta^2 - 1 + 2|_v \leq |2|_v \max\{1, |\beta|_v\}^2,
\]
\[
5\beta^4 + 6\beta^2 + 5|_v = |5(\beta^2 - 1)^2 + 16\beta^2|_v \leq |2|_v^4 \max\{1, |\beta|_v\}^4,
\]
\[
3\beta^4 + 2\beta^2 + 3|_v = |3(\beta^2 - 1)^2 + 8\beta^2|_v \leq |2|_v^3 \max\{1, |\beta|_v\}^4,
\]
and for integers \( A, B, C, \) and \( D \),
\[(3.3) \quad \left| A(\beta^2 - 1)^4 + B2^4\beta^2(\beta^2 - 1)^2 + C2^8\beta^4 \right|_v \leq |2|_v^8 \max\{1, |\beta|_v\}^8,
\]
and
\[(3.4) \quad \left| A(\beta^2 - 1)^6 + B2^4\beta^2(\beta^2 - 1)^2 + C4^4\beta^4(\beta^2 - 1)^2 + D4^6\beta^6 \right|_v
\leq |2|_v^{12} \max\{1, |\beta|_v\}^{12}.
\]
The two quartic factors in \( g(z) \) correspond to \((A, B, C) = (29, 11, 1)\) and \((33, 12, 1)\) in (3.3), and the sextic to \((A, B, C, D) = (169, 94, 17, 1)\) in (3.4).
Hence we have
\[
|g(\beta^2)|_v \leq |2|_v^{2k+l+4t+8w+3c+8e+12s} \max\{1, |\beta|_v\}^{2 \deg g}
\]
for \( v \uparrow \infty \).

For \( v \downarrow \infty \) and \( |\beta|_v > 1 \), we observe that \( |g(\beta^2)|_v = |\beta|_v^{2 \deg g} g(\beta^{-2})|_v \)
with \( |\beta^{-2}|_v < 1 \). Hence for \( v \uparrow \infty \),
\[
|g(\beta^2)|_v \leq \max\{1, |\beta|_v\}^{2 \deg g} \left( \sup_{|z|\leq 1} |g(z)| \right)^{d_v/d}
\]
\[
= \max\{1, |\beta|_v\}^{2 \deg g} \sqrt{M}^{d_v/d},
\]
where, writing \( z = e^{it} \) and \( u = \cos t \),
\[
M = \sup_{|z| = 1} |g(z)|^2 = 2^{k+l+2t+4w+2c+4e+6s} L,
\]
with
\[
L = \sup_{-1 \leq u \leq 1} (1 - u)^k (1 + u)^l (5u + 3)^2t (29u^2 + 30u + 5)^2w (3u + 1)^2c
\]
\[
\quad \cdot (33u^2 + 30u + 1)^2e(169u^3 + 245u^2 + 91u + 7)^2s.
\]
We need to justify that \( g(\beta^2) \neq 0 \). By assumption \( \beta^2 \neq 1 \), and from (3.2) plainly \( \beta^2 \neq -1 \). Observe also that

\[
5z^{4(n+1)} + 6z^{2(n+1)} + 5, \\
3z^{4(n+1)} + 2z^{2(n+1)} + 3, \\
29z^{8(n+1)} + 60z^{6(n+1)} + 78z^{4(n+1)} + 60z^{2(n+1)} + 29, \\
33z^{8(n+1)} + 60z^{6(n+1)} + 70z^{4(n+1)} + 60z^{2(n+1)} + 33,
\]

and the factors

\[
13z^{6(n+1)} + 2z^{5(n+1)} + 19z^{4(n+1)} - 4z^{3(n+1)} + 19z^{2(n+1)} + 2z^{(n+1)} + 13, \\
13z^{6(n+1)} - 2z^{5(n+1)} + 19z^{4(n+1)} + 4z^{3(n+1)} + 19z^{2(n+1)} - 2z^{(n+1)} + 13
\]

of

\[
169z^{12(n+1)} + 490z^{10(n+1)} + 871z^{8(n+1)} + 1036z^{6(n+1)} + 871z^{4(n+1)}
\]

\[+ 490z^{2(n+1)} + 169\]

are all irreducible (each of their roots lies on the unit circle with the same nontrivial height, so the lead coefficients of each factor would need to contain all the primes in the original lead coefficient). Since \( \alpha \) has degree at most \( n \), the remaining factors cannot vanish. Thus, by the product formula,

\[
1 = \prod_{v} |g(\beta^2)|_v \leq H(\beta)^{2 \deg g_2 - (2k+4t+8w+3c+8e+12s)} \sqrt{M},
\]

and

\[
(3.5) \quad h(\beta) \geq \frac{\log \left( \frac{2^{3k+l+6t+12w+4c+12e+18s}/L}{4(k + l + 2t + 4w + 2c + 4e + 6s)} \right)}{4(k + l + 2t + 4w + 2c + 4e + 6s)}.
\]

The choice \( (k, l, t, w, c, e, s) = (3977, 780, 328, 96, 24, 16, 16) \) and numerical computation of \( L \) gives the lower bound \( h(\beta) \geq 0.4278003111 \ldots \) claimed.

For \( m = 4 \), taking \( g(\beta) \) in place of \( g(\beta^2) \) immediately produces \( h(\beta) \geq 2 \cdot 0.4278003111 \ldots = 0.8556006223 \ldots \).

For general \( m \geq 3 \), we take

\[
g(z) = \prod_{i=0}^{I} g_i(z)^{s_i}
\]

with \( I = 2 \), \( g_0(z) = z - 1 \), and \( g_1(z) \) and \( g_2(z) \) as in (2.1) and (2.2). For \( v \uparrow \infty \) we have

\[
|g_1(\beta)|_v = \left| \frac{1}{2}(m - \delta)(\beta - 1) + m \right|_v \leq |m|_v \max\{1, |\beta|_v\},
\]

\[
|g_2(\beta)|_v = \left| \frac{1}{4}(m^2 + (4 - \delta)(\beta - 1)^2 + m^2\beta \right|_v \leq |m|_v^2 \max\{1, |\beta|_v\}^2,
\]

where \( \delta \) is a sufficiently large positive number.
and
\[ |g(\beta)|_v \leq \max\{1, |\beta|_v\}^{\deg g} |m|_v^{\deg g}. \]

For \( v \mid \infty \) and \( |\beta|_v > 1 \), writing \( |g(\beta)|_v = |\beta|_v^{\deg g} |g^*(\beta^{-1})|_v \), where \( g^* \) is the reciprocal of \( g \), we have
\[ |g(\beta)|_v \leq \max\{1, |\beta|_v\}^{\deg g} \left( \sup_{|z| \leq 1} \max\{|g(z)|, |g^*(z)|\} \right)^{\deg v / d} = \max\{1, |\beta|_v\}^{\deg g} \sup_{|z| = 1} |g(z)|^{\deg v / d}. \]

Hence assuming that \( g(\beta) \neq 0 \) we have
\[ 1 = \prod_v |g(\beta)|_v \leq H(\beta)^{\deg g} m^{-\deg g} \sup_{|z| = 1} |g(z)|, \]
and
\[ (3.6) \quad h(\beta) \geq \log(m) - \frac{\log(\sqrt{M})}{\deg g}, \quad M := \sup_{|z| = 1} |g(z)|^2. \]

It remains to check that \( g(\beta) \neq 0 \). By assumption \( \beta \neq 1 \). For \( m \) odd \( g_1(z^{n+1}) \) is irreducible by Lemma 2.2 so cannot vanish at \( \alpha \) (which has degree at most \( n \)). From (3.1) we know that
\[ (3.7) \quad \prod_{v \mid \infty} |1 - \beta|_v \geq m \prod_{v \mid \infty} \max\{1, |\beta|_v\}^{-1}. \]

So for \( m > 2 \) we must have \( \beta \neq -1 \) (else (3.7) gives \( 2 \geq m \)). Hence \( g_0(\beta)g_1(\beta) \neq 0 \). Thus when \( s_2 = 0 \) and \( s_1 = 1 \) (and \( s_0 \leq m^2 - 1 \) when \( m \) is odd), Lemma 2.1 gives
\[ \sqrt{M} = \frac{m^{s_0+1}}{(s_0 + 1)^{\frac{1}{2}(s_0+1)}} \left( \frac{4s_0}{m^2 - \delta} \right)^{\frac{1}{2}s_0}, \]
and
\[ H(\beta)^{s_0+1} \geq (s_0 + 1)^{\frac{1}{2}(s_0+1)} \left( \frac{m^2 - \delta}{4s_0} \right)^{\frac{1}{2}s_0}. \]

The result (1.2)
\[ (3.8) \quad h(\beta) \geq \frac{1}{2} \log \left( \frac{m^2 + 4 - \delta}{4} \right) \]
follows from optimally taking \( s_0 = (m^2 - \delta)/4 \).

Similarly, degree considerations show that \( g_2(\beta) \neq 0 \) when \( 4 \mid m \), or when \( m \) is odd with \( 3 \nmid m \), and \( g_2(z^{n+1}) \) is irreducible by Lemma 2.2. When \( m \) is odd and \( 3 \mid m \), or when \( 2 \nmid m \), then \( g_2(\beta) \neq 0 \) from (2.3) and the lower bound (3.8).
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Converting to cosines, we have

$$M = \sup_{|z|=1} |g(z)|^2 = \sup_{u \in [-1,1]} \prod_{i=0}^I f_i(u)^{s_i},$$

with

$$f_0(u) = 2(1 - u),$$
$$f_1(u) = \frac{1}{2}(m^2 - \delta)u + \frac{1}{2}(m^2 + \delta),$$

and

$$f_2(u) = \left(\frac{1}{2} \left( m^2 + (4 - \delta) \right) u + \frac{1}{2} \left( m^2 - (4 - \delta) \right) \right)^2,$$

where plainly $M$ will be achieved at $u = -1$ or at zero of

$$\sum_{i=0}^I s_i \frac{f'_i(u)}{f_i(u)} = 0.$$

For example, after numerical computational and experimentation, the respective choices $(m; s_0, s_1, s_2) = (3; 107, 48, 17)$, $(5; 198, 26, 13)$, $(6; 246, 21, 11)$, $(7; 225, 14, 8)$, $(8; 151, 7, 4)$, $(9; 326, 12, 7)$, $(10; 106, 3, 2)$, and $(11; 206, 5, 3)$ produce in turn $c_3 = 0.599206$, $c_5 = 1.001086$, $c_6 = 1.172140$, $c_7 = 1.298988$, $c_8 = 1.429512$, $c_9 = 1.532875$, $c_{10} = 1.637694$, and $c_{11} = 1.724309$.

For the asymptotic bound, we take a sequence of triples $(s_0, s_1, s_2)$ with

$$s_0/s_2 \to Am^2, \quad s_1/s_2 \to 2C,$$

for constants $A$ and $C$ which will be chosen optimally below. Hence $M$ must be achieved at

$$u = \frac{-Am^6 + m^2((4 - \delta)(2C - A\delta) - 2\delta - 2\delta(4 - \delta)(C + 1) \pm 2m^2\sqrt{D_1}}{(m^2 - \delta)(m^2 + 4 - \delta)(Am^2 + 2C + 2)},$$

where

$$D_1 = m^4 \left( (2A + 1 + C)^2 - 8AC \right)$$
$$+ m^2 \left( (2A + 1 + C)(8 - 2\delta(C + 1)) + 8AC\delta \right)$$
$$+ (4 - \delta(1 + C))^2,$$

or at $u = -1$ when $m$ is odd. Writing

$$u = -1 + \frac{2}{Am^2} \left( 2A + 1 + C - A\delta \pm \sqrt{D} \right) + O \left( \frac{1}{m^4} \right),$$
where $D = (2A + 1 + C)^2 - 8AC$, leads to
\[
c_m \geq \log \left( \frac{m}{2} \right) + \frac{1}{2Am^2} \min \log \left( \exp \left( \frac{2A + 1 + C - A\delta \pm \sqrt{D}}{4A+1+C\pm\sqrt{D}} \right)^{2C} \left( \frac{-2A+1+C+\sqrt{D}}{4A} \right)^2 \right)
\]
\[+ O \left( \frac{1}{m^4} \right),\]
or $\log(m/2) + \frac{1}{Am^4} \log \left( 2^{2(1+C)/3} \right) + O \left( m^{-4} \right)$ if this is smaller when $m$ is odd. For a given choice of $C$ we can choose $A$ to make these $\pm$ quantities equal. Choosing (after numerical experimentation) $2C = 1.5799148239$ and calculating $A = 0.5569260220 \ldots$ gives the desired asymptotic bound.

To obtain the improved values for $m = 3$ to 11 stated in the theorem, we take $g(z) = \prod_{i=0}^I g_i(z)^{s_i}$ with $I = 4$ or 5, where the auxiliary factors $g_i(z)$ and choice of exponents $s_i$ are given in Table 3.1. For these $g_j(z)$ we have $|g_j(\beta)|_v \leq |m|_{v}^{\deg g_j} \max \{1, |\beta|_v\}^{\deg g_j}$ for $v \nmid \infty$ and (3.6) holds as before (as long as $g(\beta) \neq 0$). We can argue as above that $g(\beta) \neq 0$ by irreducibility (and for $m = 8$ that $\frac{1}{2} \log 9 = 1.0986 \ldots < 1.4295 \ldots$, the previous lower bound, and for $m = 5$ and $m = 11$ that $\frac{1}{2} \log 8 > 1.016628$ and $\frac{1}{2} \log 32 > 1.728890$).

We remark that many factors of the auxiliary polynomials employed in the proof were selected by using a number of experimental strategies, including testing various combinations of factors of the form (3.3) or (3.4), since the polynomials in these families produce sizable arithmetic contributions to the bound (3.5) relative to their degree. Algorithm 2.3 of [2] was also used to construct some of the factors. For example, the polynomial $g_3(z)$ shown for $m = 3$ in Table 3.1 was found by applying that algorithm to the base polynomial $(x - 1)^6(x + 2)^3(x^2 + x + 1)$. In addition, the values of the exponents $s_i$ used here were selected by using heuristic optimization strategies like hill-climbing.

We remark also that additional factors could probably be added to the auxiliary polynomials $g(z)$ employed here in the style of [2] for further improvements.

Finally, the choices $g(z) = (z^2 - 1)^4(z^2 + 1)$ and $g(z) = (z - 1)^m^2 (z + 1)$ similarly recover the values $c_2 = \frac{1}{4} \log 5$ and $c_m = \log(\sqrt{m^2+1}/2)$ for $m > 2$ respectively (and using the auxiliary polynomials of [2] for $g(z)$ gives the improved values stated there).

4. Proof of Theorem 1.2

Since the golden ratio is a limit point of Salem numbers with Littlewood minimal polynomials (Theorem 6.2 of [1]) we note that the optimal $c_2$ certainly satisfies (1.3).
Table 3.1. Auxiliary factors and exponents.

<table>
<thead>
<tr>
<th>m</th>
<th>Auxiliary factors $g_3(z)$, . . .</th>
<th>$(s_0, s_1, s_2, s_3, . . . )$</th>
</tr>
</thead>
<tbody>
<tr>
<td>3</td>
<td>$g_3(z) = 11(z - 1)^4 + 7 \cdot 3^2z(z - 1)^2 + 3^4z^2$</td>
<td>$(823, 178, 183, 48, 53, 7)$</td>
</tr>
<tr>
<td></td>
<td>$g_4(z) = 13(z - 1)^4 + 8 \cdot 3^2z(z - 1)^2 + 3^4z^2$</td>
<td></td>
</tr>
<tr>
<td></td>
<td>$g_5(z) = 5(z - 1)^2 + 2 \cdot 3^2z$</td>
<td></td>
</tr>
<tr>
<td>5</td>
<td>$g_3(z) = 8(z - 1)^2 + 5^2z$</td>
<td>$(340, 10, 29, 1, 8, 10)$</td>
</tr>
<tr>
<td></td>
<td>$g_4(z) = 61(z - 1)^4 + 16 \cdot 5^2z(z - 1)^2 + 5^4z^2$</td>
<td></td>
</tr>
<tr>
<td></td>
<td>$g_5(z) = 5(11(z - 1)^4 + 3 \cdot 5^2z(z - 1)^2 + 5^3z^2)$</td>
<td></td>
</tr>
<tr>
<td>6</td>
<td>$g_3(z) = 109(z - 1)^4 + 21 \cdot 6^2z(z - 1)^2 + 6^4z^2$</td>
<td>$(222680, 19000, 8000, 2793, 2064, 1000)$</td>
</tr>
<tr>
<td></td>
<td>$g_4(z) = 11(z - 1)^2 + 6^2z$</td>
<td></td>
</tr>
<tr>
<td></td>
<td>$g_5(z) = 2(59(z - 1)^4 + 11 \cdot 6^2z(z - 1)^2 + 3 \cdot 6^3z^2)$</td>
<td></td>
</tr>
<tr>
<td>7</td>
<td>$g_3(z) = 181(z - 1)^4 + 27 \cdot 7^2z(z - 1)^2 + 7^4z^2$</td>
<td>$(309, 16, 9, 4, 1, 2)$</td>
</tr>
<tr>
<td></td>
<td>$g_4(z) = 193(z - 1)^4 + 28 \cdot 7^2z(z - 1)^2 + 7^4z^2$</td>
<td></td>
</tr>
<tr>
<td></td>
<td>$g_5(z) = 7(2z^2 + 3z + 2)$</td>
<td></td>
</tr>
<tr>
<td>8</td>
<td>$g_3(z) = 2(9(z - 1)^2 + 2^5z)$</td>
<td>$(944, 45, 20, 5, 5, 2)$</td>
</tr>
<tr>
<td></td>
<td>$g_4(z) = 305(z - 1)^4 + 35 \cdot 8^2z(z - 1)^2 + 8^4z^2$</td>
<td></td>
</tr>
<tr>
<td></td>
<td>$g_5(z) = 321(z - 1)^4 + 36 \cdot 8^2z(z - 1)^2 + 8^4z^2$</td>
<td></td>
</tr>
<tr>
<td>9</td>
<td>$g_3(z) = 461(z - 1)^4 + 43 \cdot 9^2z(z - 1)^2 + 9^4z^2$</td>
<td>$(44277, 0, 1256, 538, 273)$</td>
</tr>
<tr>
<td></td>
<td>$g_4(z) = 481(z - 1)^4 + 44 \cdot 9^2z(z - 1)^2 + 9^4z^2$</td>
<td></td>
</tr>
<tr>
<td>10</td>
<td>$g_3(z) = 701(z - 1)^4 + 53 \cdot 10^2z(z - 1)^2 + 10^4z^2$</td>
<td>$(1029, 25, 10, 5, 3)$</td>
</tr>
<tr>
<td></td>
<td>$g_4(z) = 1351(z - 1)^4 + 104 \cdot 10^2z(z - 1)^2 + 2 \cdot 10^4z^2$</td>
<td></td>
</tr>
<tr>
<td>11</td>
<td>$g_3(z) = 32(z - 1)^2 + 1^2z$</td>
<td>$(827, 6, 12, 2, 6, 3)$</td>
</tr>
<tr>
<td></td>
<td>$g_4(z) = 991(z - 1)^4 + 63 \cdot 11^2z(z - 1)^2 + 11^4z^2$</td>
<td></td>
</tr>
<tr>
<td></td>
<td>$g_5(z) = 1021(z - 1)^2 + 64 \cdot 11^2z(z - 1)^2 + 11^4z^2$</td>
<td></td>
</tr>
</tbody>
</table>

Suppose that $m \geq 3$. For (1.4) and (1.7) we take $n \geq 2$ and

$$f_n(x) = x^{2n} + \sum_{i=0}^{n-1} (x^{2i} - (m - 1)x^{2i+1}) = \frac{x^{n+1}}{x^2 - 1} F_n(x),$$

with

$$F_n(x) = (x^{n+1} - x^{-(n+1)}) - (m - 1)(x^n - x^{-n}).$$

Since $f_n \left( \frac{1}{m-1} \right) > 0$ and $f_n \left( \frac{1}{m-1} \left( 1 + \left( \frac{2}{m-1} \right)^n \right) \right) < 0$, it is clear that the $f_n(x)$ have real roots $\alpha_n$ and $\alpha_n^{-1}$ with $\alpha_n \to (m - 1)$ as $n \to \infty$. Notice that $f_n(x)$ does not vanish at $\pm 1$ or any $(2n + 1)$st root of unity (so by the theorem can have no cyclotomic factors). Since $\frac{1}{2i} F(e^{2\pi it}) = \sin(2\pi(n + 1)t) - (m - 1)\sin(2\pi nt)$ changes sign, it must have a zero $t_j$ in
each interval $\left[ \frac{2j-1}{4n}, \frac{2j+1}{4n} \right]$, $j = 1, 2, \ldots, 2n - 1$, and the remaining $(2n - 2)$ zeros $e^{2\pi it_j}$, $t_j \neq 1/2$ of $f_n(x)$ all lie on the unit circle. Since $f_n(x)$ has no monic factors with all roots on the unit circle, these $f_n(x)$ are irreducible with $(\deg f_n + 1)h(\alpha_n) = \left( \frac{2n+1}{2n} \right) \log \alpha_n \to \log (m - 1)$ as $n \to \infty$.

For (1.5) we similarly consider

$$f_{n,4}(x) = \sum_{i=0}^{4n+2} x^i - 4x \sum_{i=0}^{n} x^{4i} = (1 - x)(1 - 2x - x^2) \sum_{i=0}^{n} x^{4i} - x^{4n+3}$$

with real roots $\alpha_n, \alpha_n^{-1} \to \sqrt{2} - 1, \sqrt{2} + 1$ and no roots at the $(4n + 3)$rd roots of unity. Writing $F_{n,4}(x) = (x^4 - 1)f_{n,4}(x)^{-2(n+3)}$, and observing that

$$\frac{1}{4i} F_{n,4}(e^{2\pi it}) = (\cos(3\pi t) + \cos(\pi t)) \sin((4n + 3)\pi t) - 2\sin(4(n + 1)\pi t)$$

has sign changes in each of the intervals $[(2j+1)/8(n+1), (2j+3)/8(n+1)]$, $j = 0, \ldots, 4n + 2$ (and removing the introduced fourth roots of unity), the remaining $4n$ zeros of $f_{n,4}(x)$ all lie on the unit circle.

For (1.6) we take

$$f_{n,6}(x) = \sum_{i=0}^{6n+4} x^i - 6x(1 - x + x^2) \sum_{i=0}^{n} x^{6i}$$

$$= (1 - x)(1 - x + x^2)(1 - 3x + x^2) \sum_{i=0}^{n} x^{6i} - x^{6n+5}$$

with real roots $\alpha_n, \alpha_n^{-1} \to \frac{1}{2}(\sqrt{13} - 3), \frac{1}{2}(\sqrt{13} + 3)$ and no roots at the $(6n + 5)$th roots of unity. Writing $F_{n,6}(x) = \frac{(x^6-1)}{(x^2-x+1)}f_{n,6}(x)^{-3n+4}$ and observing that

$$\frac{1}{4i} F_{n,6}(e^{2\pi it}) = (\cos(3\pi t) + 2\cos(\pi t)) \sin((6n + 5)\pi t) - 3\sin(6(n + 1)\pi t)$$

has sign changes in each of the intervals $[(2j+1)/12(n+1), (2j+3)/12(n+1)]$, $j = 0, \ldots, 6n + 4$ (and removing the introduced sixth roots of unity), the remaining $6n + 2$ zeros of $f_{n,6}(x)$ all lie on the unit circle. □

References


Heights of roots of polynomials with odd coefficients


J. Garza
Department of Mathematics
Kansas State University
Manhattan, KS 66506
E-mail: johngarz@math.ksu.edu

M. I. M. Ishak
Department of Mathematics
Kansas State University
Manhattan, KS 66506
E-mail: mimishak@math.ksu.edu

M. J. Mossinghoff
Department of Mathematics
Davidson College
Davidson, NC 28035-6996
E-mail: mimossinghoff@davidson.edu
URL: http://www.davidson.edu/math/mossinghoff/

C. G. Pinner
Department of Mathematics
Kansas State University
Manhattan, KS 66506
E-mail: pinner@math.ksu.edu

B. Wiles
Department of Mathematics
Kansas State University
Manhattan, KS 66506
E-mail: wilesb@math.ksu.edu