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## Local factorization of determinants of twisted DR cohomology groups

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### 1. Introduction

We shall obtain some results concerning DR cohomology with coefficients in a vector bundle equipped with an integrable connection regular singular at infinity. Our main result is Thm. 4.3.1 below.

An easily stated consequence of the main result is as follows. Let  $U/\mathbb{C}$  be a smooth affine variety embedded as the complement in a smooth projective variety  $X/\mathbb{C}$  of an effective divisor  $Z$  whose irreducible components  $Z_i$  are smooth and cross normally. Let  $\omega$  be a global section of  $\Omega_{X/\mathbb{C}}^1(\log Z)$  with nonvanishing residues  $\text{Res}_i \omega$  along each component  $Z_i$ . Note that under these hypotheses, the zero locus of  $\omega$  on  $U$  is 0-dimensional. Let  $f$  be a meromorphic function on  $X$  regular and nowhere vanishing on  $U$ . Let  $\mathbb{C}(s)$  denote the field of rational functions over  $\mathbb{C}$  in a variable  $s$  and set

$$\Omega^* =: \Gamma(U, \Omega_{U/\mathbb{C}}^*), \quad \Omega^*(s) =: \Omega^* \otimes_{\mathbb{C}} \mathbb{C}(s).$$

On the graded group  $\Omega^*(s)$  define operators

$$D(\eta \otimes h(s)) =: d\eta \otimes h(s) + \left( \frac{df}{f} \wedge \eta \right) \otimes sh(s),$$

$$B(\eta \otimes h(s)) =: f\eta \otimes h(s+1).$$

Then  $D$  is a  $\mathbb{C}(s)$ -linear map of degree 1 and square 0,  $B$  a semi-linear automorphism ( $Bh(s)\eta = h(s+1)B\eta$ ) of degree 0 and

$$BD = DB.$$

It follows that  $B$  induces a semi-linear automorphism of  $H^*(\Omega^*(s), D)$  and, in any case, one knows that  $H^*(\Omega^*(s), D)$  is finite-dimensional over  $\mathbb{C}(s)$ . Therefore

one can form a determinant

$$\varepsilon(U, f) =: \prod_i \det(B | H^i(\Omega^\bullet(s), D))^{(-1)^i}$$

well defined up to a factor of the form  $h(s+1)/h(s)$  ( $h(s) \in \mathbf{C}(s)^\times$ ). Let us call elements of  $\mathbf{C}(s)^\times$  of the latter form *coboundaries*. One has the following explicit formula.

**THEOREM 1.1**

$$\varepsilon(U, f) \equiv \left( \prod_u f(u)^{\text{ord}_u \omega} \right)^{(-1)^{\dim(U)}} \times \prod_i \left( (\text{Res}_i \omega)^{m_i} \frac{\Gamma(m_i s)}{\Gamma(m_i s + m_i)} \right)^{\chi_i}$$

*modulo coboundaries.*

Here  $u$  runs through the closed points of  $U$ ,  $\text{ord}_u \omega$  denotes the order of vanishing of  $\omega$  at  $u$ , and for each irreducible components  $Z_i$  of  $Z$ ,  $m_i$  denotes the order to which  $f$  vanishes along  $Z_i$  and  $\chi_i$  denotes the Euler characteristic of the complement in  $Z_i$  of the union of the irreducible components of  $Z$  distinct from  $Z_i$ . We note that Thm. 1.1 was obtained independently and by a different method by F. Loeser and C. Sabbah [4]. We note that Dwork's theory [2] of generalized hypergeometric functions provides determinant formulas similar to Thm. 1.1 and, as well,  $p$ -adic analogues of number-theoretic interest.

The structure of  $\mathbf{C}(s)^\times$  modulo coboundaries is easily determined. Each element of  $\mathbf{C}(s)^\times$  has a unique expression of the form

$$\theta \prod_{a \in \mathbf{C}} (s - a)^{n_a} \quad (\theta \in \mathbf{C}^\times; n_a \in \mathbf{Z})$$

with all but finitely many of the exponents  $n_a$  vanishing. It follows that  $\mathbf{C}(s)^\times$  modulo coboundaries is the direct sum of a copy of  $\mathbf{C}^\times$  and the free abelian group generated by the set underlying  $\mathbf{C}/\mathbf{Z}$ . The invariant  $\varepsilon(U, f)$  is not in general a coboundary.

Here is the plan of the paper. In section 2 the purpose is to review, with certain modifications and simplifications, a small part of the theory of determinants of complexes (cf. [5]). In section 3 we review the theory of coherent sheaves equipped with a regular singular integrable connection and establish the existence of *virtuous filtrations*. Sections 2 and 3 are independent of each other. In section 4 the main result (Thm. 4.3.1) is given. The proof is based on the consideration of certain finite-dimensional graded vectorspaces, arising naturally from coherent sheaves equipped with regular singular integrable connections, that carry *two* distinct structures of acyclic complex. The *ad hoc* formalism set up in section 2 is designed to handle objects of the latter sort

efficiently. The paper concludes in section 5 with the formulation and proof of a “semilinear variant” of the main result which is easier to state and to apply, from which finally Thm. 1.1 is deduced as a special case.

## 2. Sign rules

The purpose of this section is to define a canonical trivialization  $\mathbf{t}$  of the determinant of a finite-dimensional acyclic complex of vectorspaces and a canonical isomorphism  $h$  from the determinant of a finite-dimensional complex of vectorspaces to the determinant of the cohomology of the complex. It is obvious how to define such things except for a “nasty sign problem” solved by Knudsen and Mumford [5] according to Grothendieck’s specifications. This theory *almost* provides us with the machinery we need, the only problem being that *it is difficult to see how the canonical trivialization of an acyclic complex varies with the choice of differential*. In order to remedy this defect we shall build up a formalism from scratch, by and large following Knudsen-Mumford’s lead, except that the canonical trivialization  $\mathbf{t}$  is given by a simple explicit rule (§2.3.1).

### 2.1. Notation

Throughout this section a field  $k$  is fixed and a vectorspace is understood to be a finite-dimensional vectorspace over  $k$ . Further,  $\otimes$  and  $\text{Hom}$  are understood to be over  $k$ . Given a vectorspace  $V$ , let  $r(V)$  denote the dimension of  $V$  over  $k$  and let  $\det(V)$  denote the maximal exterior power of  $V$  over  $k$ . More generally, if  $V$  is graded, set

$$r(V) =: \sum_n (-1)^n r(V^n),$$

$$r'(V) =: \sum_n n(-1)^n r(V^n),$$

Let  $V^+$  and  $V^-$  denote, respectively, the direct sum of even and odd degree summands of  $V$  and set

$$\det(V) =: \text{Hom}(\det(V^-), \det(V^+)).$$

### 2.2. The exact sequence constraint

#### 2.2.1 Definition

Given an exact sequence

$$\Sigma: 0 \rightarrow A \xrightarrow{j} B \xrightarrow{p} C \rightarrow 0$$

of vectorspaces, let

$$i_{\Sigma}: \det(A) \otimes \det(C) \rightarrow \det(B)$$

be the isomorphism defined by the rule

$$\begin{aligned} i_{\Sigma}((a_1 \wedge \cdots \wedge a_{r(A)}) \otimes (pb_1 \wedge \cdots \wedge pb_{r(C)})) \\ = ja_1 \wedge \cdots \wedge ja_{r(A)} \wedge b_1 \wedge \cdots \wedge b_{r(C)}. \end{aligned}$$

More generally, if  $\Sigma$  is an exact sequence of graded vectorspaces, let

$$\Sigma^{\pm}: 0 \rightarrow A^{\pm} \rightarrow B^{\pm} \rightarrow C^{\pm} \rightarrow 0$$

be the even and odd exact sequences deduced from  $\Sigma$  and set

$$i_{\Sigma} =: f \otimes g \mapsto (-1)^{r(A^+)r(C^-)} i_{\Sigma^+} \circ (f \otimes g) \circ (i_{\Sigma^-})^{-1}: \det(A) \otimes \det(C) \rightarrow \det(B).$$

### 2.2.2. *The three-by-three rule*

We claim that given a commutative diagram

$$\begin{array}{ccccccc} & & 4: & & 5: & & 6: \\ & & 0 & & 0 & & 0 \\ & & \downarrow & & \downarrow & & \downarrow \\ 1: & 0 \longrightarrow & A & \longrightarrow & B & \longrightarrow & C \longrightarrow 0 \\ & & \downarrow & & \downarrow & & \downarrow \\ 2: & 0 \longrightarrow & D & \longrightarrow & E & \longrightarrow & F \longrightarrow 0 \\ & & \downarrow & & \downarrow & & \downarrow \\ 3: & 0 \longrightarrow & G & \longrightarrow & H & \longrightarrow & K \longrightarrow 0 \\ & & \downarrow & & \downarrow & & \downarrow \\ & & 0 & & 0 & & 0 \end{array}$$

of graded vectorspaces with exact rows and columns, the induced diagram

$$\begin{array}{ccc}
 \det(A) \otimes \det(C) \otimes \det(G) \otimes \det(K) & \xrightarrow{i_1 \otimes i_3} & \det(B) \otimes \det(H) \\
 \downarrow 1 \otimes \psi \otimes 1 & & \downarrow i_5 \\
 \det(A) \otimes \det(G) \otimes \det(C) \otimes \det(K) & & \\
 \downarrow i_4 \otimes i_6 & & \\
 \det(D) \otimes \det(F) & \xrightarrow{i_2} & \det(E)
 \end{array}$$

commutes, where

$$\psi =: c \otimes g \mapsto (-1)^{r(C)r(G)} g \otimes c.$$

Now if all the graded vectorspaces  $A, \dots, K$  are concentrated in degree 0, the claim is easily checked. In the general case, the assertion is certainly true up to a sign, i.e. true if  $\psi$  is replaced by  $\psi' =: c \otimes g \mapsto (-1)^s g \otimes c$  for a suitable integer  $s$ . Set

$$a^+ =: r(A^+), \dots, k^- =: r(K^-).$$

Then modulo 2,

$$s \equiv c^+ g^+ + c^- g^- + a^+ g^- + c^+ k^- + d^+ f^- + a^+ c^- + g^+ k^- + b^+ h^-.$$

Taking into account that

$$d^+ = g^+ + a^+, f^- = c^- + k^-, b^+ = a^+ + g^+, h^- = g^- + k^-,$$

one finds after a brief calculation that

$$s \equiv (c^+ - c^-)(g^+ - g^-)$$

as desired. The claim is proved.

### 2.3. The canonical trivialization of an acyclic complex

#### 2.3.1. Definition

Let  $V$  be a graded vectorspace equipped with a *differential*  $\partial$ , i.e. an endomorphism of degree 1 and square 0, such that the complex  $(V, \partial)$  is acyclic. We define in

this case a basis  $\mathfrak{t}(V, \partial)$  of the one-dimensional vectorspace  $\det(V)$  by

$$\mathfrak{t}(V, \partial) =: (-1)^{w(V)} \det(T + \partial): \det(V^-) \xrightarrow{\sim} \det(V^+),$$

where  $T$  is any *contracting homotopy*, i.e. an endomorphism of  $V$  of degree  $-1$  such that

$$T\partial + \partial T = 1,$$

and

$$w(V) =: r(V^+)(r(V^+) - 1)/2.$$

Since  $(T + \partial)^2 = (1 + T^2): V^\pm \rightarrow V^\pm$  is unipotent,  $(T + \partial): V^- \rightarrow V^+$  is indeed invertible. Further,  $\mathfrak{t}(V, \partial)$  is independent of the choice of  $T$ , as can be verified by induction on the *length* of  $V$ , i.e. the smallest nonnegative integer  $n$  such that  $V$  is concentrated in an interval of length  $n$ . If the length of  $V$  is 0,  $V$  vanishes identically, hence  $\mathfrak{t}(V, \partial)$  well defined. If  $V$  is of length 1, then  $T$  is unique, hence  $\mathfrak{t}(V, \partial)$  well defined. If  $V$  is of length  $n > 1$  concentrated, say, in the interval  $[a + 1 - n, a + 1]$ , consider the graded subspace

$$W^i =: V^i (i < a)$$

$$W^a =: \ker(\partial: V^a \rightarrow V^{a+1})$$

$$W^i =: 0 (i > a).$$

Then  $W$  is both  $\partial$ - and  $T$ -stable, and both  $W$  and  $V/W$  are acyclic of length strictly less than  $n$ . By induction  $\det(T + \partial): \det(V^-) \xrightarrow{\sim} \det(V^+)$  is independent of  $T$ , hence  $\mathfrak{t}(V, \partial)$  well defined.

### 2.3.2. Homogeneity and multiplicativity

We note that  $\mathfrak{t}(V, \partial)$  is *homogeneous* of degree  $r'(V)$  as a function of  $\partial$ , i.e.

$$\mathfrak{t}(V, \lambda\partial) = \lambda^{r'(V)} \mathfrak{t}(V, \partial) \quad (\lambda \in k^\times),$$

because the graded map  $V \rightarrow V$  given by multiplication by  $\lambda^n$  in degree  $n$  induces an isomorphism  $(V, \partial) \xrightarrow{\sim} (V, \lambda\partial)$  of complexes. Given an exact sequence

$$\Sigma: 0 \rightarrow A_1 \rightarrow A_2 \rightarrow A_3 \rightarrow 0$$

of graded vectorspaces equipped with differentials  $\partial_i$  rendering  $A_i$  acyclic ( $i = 1, 2, 3$ ) in a  $\Sigma$ -compatible way, we claim that  $\mathbf{t}$  is *multiplicative*, i.e.

$$i_{\Sigma}(\mathbf{t}(A_1, \partial_1) \otimes \mathbf{t}(A_3, \partial_3)) = \mathbf{t}(A_2, \partial_2).$$

In order to prove the claim, select contracting homotopies  $T_i$  in a  $\Sigma$ -compatible way (possible because  $\Sigma$  is necessarily split exact as a sequence of complexes), let  $f_i: A_i^- \rightarrow A_i^+$  be the map induced by  $\partial_i + T_i$  and set

$$r_i =: r(A_i^+) = r(A_i^-), \quad w_i =: w(A_i).$$

Then by definition

$$\mathbf{t}(A_i, \partial_i) = (-1)^{w_i} \det(f_i)$$

$$i_{\Sigma}(f_1 \otimes f_3) = (-1)^{r_1 r_3} f_2.$$

The claim is settled by the equation

$$w_1 + w_2 - w_3 = r_1 r_3.$$

### 2.3.3. The $\mathbf{t}$ -ratio formula

Let  $V$  be a graded vectorspace equipped with differentials  $\partial_1$  and  $\partial_2$ . Suppose further that there exists a *codifferential*  $T$ , i.e. an endomorphism of  $V$  of degree  $-1$  and square 0, and  $u_1, u_2 \in k^\times$  such that

$$T\partial_i + \partial_i T = u_i \quad (i = 1, 2).$$

Then  $T/u_i$  is a contracting homotopy for  $\partial_i$  and, in particular,  $(V, \partial_i)$  is acyclic. Let  $\tilde{V}$  be the graded vectorspace obtained by turning  $V$  upsidedown, i.e.  $\tilde{V}^n =: V^{-n}$ ; note that  $\det(V) = \det(\tilde{V})$ . Then  $T$  may be construed as a differential of  $\tilde{V}$ , and  $\partial_i/u_i$  as a contracting homotopy. It follows that

$$\mathbf{t}(V, \partial_1/u_1) = \mathbf{t}(\tilde{V}, T) = \mathbf{t}(V, \partial_2/u_2).$$

Taking into account the homogeneity of  $\mathbf{t}$  we get the relation

$$\frac{\mathbf{t}(V, \partial_1)}{\mathbf{t}(V, \partial_2)} = \left( \frac{u_1}{u_2} \right)^{r'(V)}.$$



## 2.4. Determinants of special quasi-isomorphisms

### 2.4.1. Definition

Let  $f: (V, \partial) \rightarrow (W, \partial)$  be an injective map of graded vectorspaces equipped with differentials  $\partial$  such that the induced map  $H^*(f, \partial): H^*(V, \partial) \xrightarrow{\sim} H^*(W, \partial)$  is an isomorphism; we call such a map a *special quasi-isomorphism*. Such a map is automatically a chain homotopy equivalence. We define

$$\det(f, \partial): \det(V) \rightarrow \det(W)$$

by the rule

$$\det(f, \partial)(v) = i_{\Sigma}(v \otimes \mathbf{t}(\operatorname{coker}(f), \partial)),$$

where  $\Sigma$  is the exact sequence

$$\Sigma: 0 \rightarrow V \xrightarrow{f} W \rightarrow \operatorname{coker}(f) \rightarrow 0.$$

Note that when  $f: V \rightarrow W$  is already an isomorphism of graded vectorspaces,

$$\det(f, \partial) = \det(f).$$

### 2.4.2. The determinant-ratio formula

Let  $B$  be a graded vectorspace equipped with differentials  $\partial_1$  and  $\partial_2$  and let  $A$  be a graded subspace stable under both differentials. Let  $f: A \rightarrow B$  be the inclusion and suppose that  $f: (A, \partial_i) \rightarrow (B, \partial_i)$  is a quasi-isomorphism for  $i = 1, 2$ . Then it follows directly from the definitions that

$$\frac{\det(f, \partial_1)}{\det(f, \partial_2)} = \frac{\mathbf{t}(\operatorname{coker}(f), \partial_1)}{\mathbf{t}(\operatorname{coker}(f), \partial_2)}.$$

### 2.4.3. Compatibility with composition

Let  $f: (A, \partial) \rightarrow (B, \partial)$  and  $g: (B, \partial) \rightarrow (C, \partial)$  be special quasi-isomorphisms. We claim that

$$\det(gf, \partial) = \det(g, \partial) \det(f, \partial): \det(A) \rightarrow \det(C).$$

In order to prove the claim, consider the three-by-three exact diagram below.

$$\begin{array}{ccccccc}
& & 4: & & 5: & & 6: \\
& & 0 & & 0 & & 0 \\
& & \downarrow & & \downarrow & & \downarrow \\
1: & 0 \longrightarrow & A & \xrightarrow{f} & B & \longrightarrow & \text{coker}(f) \longrightarrow 0 \\
& & \downarrow 1 & & \downarrow g & & \downarrow \\
2: & 0 \longrightarrow & A & \xrightarrow{gf} & C & \longrightarrow & \text{coker}(gf) \longrightarrow 0 \\
& & \downarrow & & \downarrow & & \downarrow \\
3: & 0 \longrightarrow & 0 & \longrightarrow & \text{coker}(g) \xrightarrow{1} & \text{coker}(g) & \longrightarrow 0 \\
& & \downarrow & & \downarrow & & \downarrow \\
& & 0 & & 0 & & 0
\end{array}$$

Noting that

$$i_4(a \otimes \mathbf{t}(0)) = a,$$

$$i_6(\mathbf{t}(\text{coker}(f), \partial) \otimes \mathbf{t}(\text{coker}(g), \partial)) = \mathbf{t}(\text{coker}(gf), \partial),$$

$$i_1(a \otimes \mathbf{t}(\text{coker}(f), \partial)) = \det(f, \partial)(a),$$

$$i_3(\mathbf{t}(0) \otimes \mathbf{t}(\text{coker}(g), \partial)) = \mathbf{t}(\text{coker}(g), \partial),$$

one has

$$\begin{aligned}
\det(gf, \partial)(a) &= i_2(a \otimes \mathbf{t}(\text{coker}(gf), \partial)) \\
&= i_5(\det(f, \partial)(a) \otimes \mathbf{t}(\text{coker}(g), \partial)) \\
&= \det(g, \partial)(\det(f, \partial)(a)),
\end{aligned}$$

and the claim is proved.

#### 2.4.4. Homotopy invariance

Let  $f, g: (A, \partial) \rightarrow (B, \partial)$  be special quasi-isomorphisms such that  $H^*(f, \partial) = H^*(g, \partial)$ . We claim that  $\det(f, \partial) = \det(g, \partial)$ . Let  $\pi: (B, \partial) \rightarrow (A, \partial)$  be a homotopy inverse to  $f$  and let  $T: A \rightarrow B$  be a homotopy from  $f$  to  $g$ , i.e. a degree  $-1$  map such that  $f - g = \partial T + T\partial$ . We may assume without loss of generality that  $A$  is a graded subspace of  $B$  annihilated by  $\partial$  and that  $f$  is the inclusion. In this case

$\pi f = 1$  and  $g = f + \partial T$ , and consequently

$$g = (1 + \partial T\pi)f.$$

Further,  $1 + \partial T\pi$  is a unipotent automorphism of  $(B, \partial)$ . Therefore

$$\det(g, \partial) = \det(1 + \partial T\pi) \det(f, \partial) = \det(f, \partial),$$

and the claim is proved.

#### 2.4.5. *The natural isomorphism $h$*

Let  $V$  be a graded vectorspace and  $\partial$  a differential of  $V$ . We define a canonical isomorphism

$$h(V, \partial): \det(V) \xrightarrow{\sim} \det(H^*(V))$$

by the rule

$$h(V, \partial) =: \det(\phi, \partial)^{-1}$$

where  $\phi: (H^*(V, \partial), 0) \rightarrow (V, \partial)$  is any map of complexes inducing the identity on  $H^*(V, \partial)$ ; since  $\phi$  is well-defined up to homotopy,  $h(V, \partial)$  by virtue of the composition compatibility and homotopy invariance of the determinant of a special quasi-isomorphism.

### 3. Coherent sheaves with logarithmically singular connections

The basic reference throughout this section is Deligne's book [1]. The basic conventions in force throughout the rest of the paper are these: Given quasicoherent sheaves  $\mathcal{E}$  and  $\mathcal{F}$  on a scheme  $S$ ,  $\mathcal{E} \otimes \mathcal{F}$  denotes their tensor product over  $\mathcal{O}_S$ . Given also a point  $s$  of  $S$ , the stalk of  $\mathcal{E}$  at  $s$  is denoted by  $\mathcal{E}_s$  and the fiber by  $\mathcal{E}(s)$ . Each locally closed subset of the topological space underlying  $S$  is assumed to be equipped with reduced induced subscheme structure.

#### 3.1. *Notation and setting*

3.1.1. We fix an algebraically closed field  $k$  of characteristic 0, a smooth quasiprojective  $k$ -scheme  $X$  and a locally principal reduced closed subscheme  $Z$  of  $X$ . We assume that the irreducible components of  $Z$  are smooth and cross normally. Given an irreducible component  $Z_i$  of  $Z$ , we denote by  $Z'_i$  the union of the irreducible components of  $Z$  distinct from  $Z_i$ . Let  $U$  denote the complement

of  $Z$  in  $X$ . For each nonnegative integer  $r$ , let  $Z^{(r)}$  denote the union of the  $r$ -fold intersections of irreducible components of  $Z$ . Note that  $Z^{(r)}$  is empty for  $r \gg 0$ . Let  $U^{(r)}$  denote the complement of  $Z^{(r+1)}$  in  $Z^{(r)}$ . Note that  $U^{(r)}$  is a smooth locally closed subscheme of  $X$  of codimension  $r$ .

3.1.2. Let  $\Omega_{X/k}^1$  denote the cotangent sheaf of  $X/k$ , i.e. the sheaf whose group of sections over an affine open subscheme  $\text{Spec}(A)$  is the module of Kähler differentials of  $A/k$ . A *local coordinate system adapted to  $Z$*  is an affine open subscheme  $V$  of  $X$  together with functions  $x_1, \dots, x_n$  on  $V$  such that  $dx_1, \dots, dx_n$  trivialize  $\Omega_{X/k}^1$  over  $V$  and  $x_1 \cdots x_r$  generates the defining ideal of  $Z \cap V$  for some  $0 \leq r \leq n$ . By hypothesis there exists near each point of  $X$  a local coordinate system adapted to  $Z$ .

3.1.3. Let  $\mathcal{T}_{X/k}$  denote the tangent sheaf of  $X/k$ , i.e. the sheaf whose sections over an affine open  $\text{Spec}(A)$  are the  $k$ -linear derivations  $A \rightarrow A$ . By definition  $\mathcal{T}_{X/k}$  is canonically dual to  $\Omega_{X/k}^1$ . Let  $\mathcal{T}_{X/k}(-\log Z)$  denote the subsheaf of  $\mathcal{T}_{X/k}$  stabilizing the defining ideal of  $Z$ . The sheaf  $\mathcal{T}_{X/k}(-\log Z)$  is again locally free and, moreover, is closed under Lie brackets. Indeed, in any local coordinate system  $x_1, \dots, x_n$  adapted to  $Z$ , the collection of mutually commuting vector-fields  $x_1(\partial/\partial x_1), \dots, x_r(\partial/\partial x_r), \partial/\partial x_{r+1}, \dots, \partial/\partial x_n$  constitutes a trivialization of  $\mathcal{T}_{X/k}(-\log Z)$ .

3.1.4. Let  $\Omega_{X/k}^1(\log Z)$  denote the  $\mathcal{O}_X$ -linear dual of  $\mathcal{T}_{X/k}(-\log Z)$ . Let  $\Omega_{X/k}^\bullet(\log Z)$  denote the exterior algebra generated by  $\Omega_{X/k}^1(\log Z)$  over  $\mathcal{O}_X$ . Then  $\Omega_{X/k}^\bullet(\log Z)$  is the sheaf of *differential forms* on  $X$  with *logarithmic poles* along  $Z$ . Without explicit mention to the contrary,  $\Omega_{X/k}^\bullet(\log Z)$  is to be regarded as a graded sheaf of  $\mathcal{O}_X$ -algebras only and *not* as a complex; we shall have occasion to consider more than one structure of complex on this graded sheaf.

## 3.2. Review of differential forms with logarithmic poles

### 3.2.1. Fundamental operations.

The *interior differential* (or *contraction*) is the unique  $\mathcal{O}_X$ -bilinear pairing

$$(\mathbf{X}, \xi) \mapsto i_{\mathbf{X}} \xi: \mathcal{T}_{X/k}(-\log Z) \times \Omega_{X/k}^\bullet(\log Z) \rightarrow \Omega_{X/k}^\bullet(\log Z)$$

of degree  $-1$  in the second variable extending the dual pairing  $\mathcal{T}_{X/k}(-\log Z) \times \Omega_{X/k}^1(\log Z) \rightarrow \mathcal{O}_X$  such that

$$i_{\mathbf{X}}(\xi \wedge \eta) = i_{\mathbf{X}} \xi \wedge \eta + (-1)^{\deg(\xi)} \xi \wedge i_{\mathbf{X}} \eta.$$

It follows that

$$(i_{\mathbf{X}})^2 = 0, \quad i_{\mathbf{X}} i_{\mathbf{Y}} = -i_{\mathbf{Y}} i_{\mathbf{X}}.$$

The *Lie derivative* is the unique  $k$ -bilinear pairing

$$(\mathbf{X}, \xi) \mapsto L_{\mathbf{X}} \xi: \mathcal{T}_{X/k}(-\log Z) \times \Omega_{X/k}^{\bullet}(\log Z) \rightarrow \Omega_{X/k}^{\bullet}(\log Z)$$

of degree 0 in the second variable such that

$$L_{\mathbf{X}} f = \mathbf{X} f$$

and

$$L_{\mathbf{X}} i_{\mathbf{Y}} \xi - i_{\mathbf{Y}} L_{\mathbf{X}} \xi = i_{[\mathbf{X}, \mathbf{Y}]} \xi.$$

It follows that

$$L_{\mathbf{X}}(\xi \wedge \eta) = L_{\mathbf{X}} \xi \wedge \eta + \xi \wedge L_{\mathbf{X}} \eta.$$

The *exterior derivative*

$$d: \Omega_{X/k}^{\bullet}(\log Z) \rightarrow \Omega_{X/k}^{\bullet}(\log Z)$$

is the unique  $k$ -linear homomorphism of degree 1 verifying *Cartan's homotopy formula*

$$di_{\mathbf{X}} \xi + i_{\mathbf{X}} d\xi = L_{\mathbf{X}} \xi.$$

It follows that

$$d^2 = 0$$

and that

$$d(\xi \wedge \eta) = d\xi \wedge \eta + (-1)^{\deg(\xi)} \xi \wedge d\eta.$$

The *Koszul differential*  $\partial_{\omega}$  associated to a global section  $\omega$  of  $\Omega_{X/k}^1(\log Z)$  is the  $\mathcal{O}_X$ -linear endomorphism of  $\Omega_{X/k}^{\bullet}(\log Z)$  given locally by the rule  $\xi \mapsto \omega \wedge \xi$ . We shall refer to the relation

$$i_{\mathbf{X}} \partial_{\omega} + \partial_{\omega} i_{\mathbf{X}} = i_{\mathbf{X}} \omega$$

as the *Koszul homotopy formula*.

### 3.2.2. The order of vanishing of a 1-form at a closed point

Let a closed point  $u$  of  $U$  and a global section  $\omega$  of  $\Omega_{U/k}^1$  be given. We say that  $\omega$

has an *isolated zero* at  $u$  if there exists a  $\mathcal{O}_{U,u}$ -basis  $\xi_1, \dots, \xi_{\dim(U)}$  of  $(\Omega_{U/k}^1)_u$  and a system of parameters  $f_1, \dots, f_{\dim(U)}$  for the local ring  $\mathcal{O}_{U,u}$  such that

$$\omega = \sum_i f_i \xi_i.$$

Suppose now that  $\omega$  has an isolated zero at  $u$ . We define the *order of vanishing*  $\text{ord}_u \omega$  to be the dimension over  $k$  of  $\mathcal{O}_{U,u}/(f_1, \dots, f_{\dim(U)})$ . We note that the complex  $((\Omega_{U/k}^1)_u, \partial_\omega)$  may be identified with the Koszul complex of the regular sequence  $f_1, \dots, f_{\dim(U)}$ , whence it follows that

$$\dim_k \mathcal{H}^n(\Omega_{U/k}^\bullet, \partial_\omega)_u = \begin{cases} \text{ord}_u \omega & (n = \dim(U)) \\ 0 & (n \neq \dim(U)) \end{cases}$$

and, in particular,

$$\text{ord}_u \omega = (-1)^{\dim(U)} \sum_n (-1)^n \dim_k \mathcal{H}^n(\Omega_{U/k}^\bullet, \partial_\omega)_u.$$

### 3.2.3. Residues, the residue exact sequence and the residual filtration

Let  $Z_i$  be an irreducible component of  $Z$ , let  $v_i: Z_i \rightarrow X$  denote the inclusion and let  $Z'_i$  denote the union of the irreducible components of  $Z$  distinct from  $Z_i$ . There exists a unique  $\mathcal{O}_X$ -linear homomorphism of degree  $-1$

$$\text{Res}_i: \Omega_{X/k}^\bullet(\log Z) \rightarrow \Omega_{Z_i/k}^\bullet(\log Z_i \cap Z'_i)$$

called the *residue along  $Z_i$* , such that in any system  $z, x_2, \dots, x_n$  of local coordinates adapted to  $Z$  such that  $z$  is a local equation for  $Z_i$ ,

$$\text{Res}_i \left( \frac{dz}{z} \wedge \eta \right) = v_i^* \eta$$

where  $\eta$  is an arbitrary local section of  $\Omega_{X/k}^\bullet(\log Z'_i)$ . Note that the exterior derivative  $d$  satisfies

$$\text{Res}_i d = -d \text{Res}_i.$$

Given a global section  $\omega$  of  $\Omega_{X/k}^1(\log Z'_i)$ , note that the Koszul differential  $\partial_\omega$  satisfies

$$\text{Res}_i \partial_\omega = -\partial_{v_i^* \omega} \text{Res}_i.$$

The induced sequence

$$0 \rightarrow \Omega_{X/k}^*(\log Z'_i) \xrightarrow{\text{inclusion}} \Omega_{X/k}^*(\log Z) \xrightarrow{\text{Res}_i} \Omega_{Z_i/k}^*(\log Z_i \cap Z'_i)[-1] \rightarrow 0$$

of graded sheaves is exact, and we refer to it as the *residue exact sequence* associated to the component  $Z_i$  of  $Z$ . Let  $\mathcal{I}_i$  denote the defining ideal of  $Z_i$  and note that

$$\Omega_{X/k}^*(\log Z'_i) \cap \mathcal{I}_i \Omega_{X/k}^*(\log Z) = \ker(v_i^*: \Omega_{X/k}^*(\log Z'_i) \rightarrow \Omega_{Z_i/k}^*(\log Z'_i \cap Z)).$$

Since  $v_i^*$  is surjective, there exists an exact sequence

$$0 \rightarrow \Omega_{Z_i/k}^*(\log Z \cap Z'_i) \rightarrow \mathcal{O}_{Z_i} \otimes \Omega_{X/k}^*(\log Z) \rightarrow \Omega_{Z_i/k}^*(\log Z \cap Z'_i)[-1] \rightarrow 0$$

of graded  $\mathcal{O}_{Z_i}$ -modules to which we refer as the *residual filtration*.

### 3.2.4. The residual retracting homotopy

Let  $Z_i$ ,  $Z'_i$  and  $\mathcal{I}_i$  be as immediately above. The residue map  $\text{Res}_i$  induces an  $\mathcal{O}_{Z_i}$ -linear map  $\mathcal{O}_{Z_i} \otimes \Omega_{X/k}^1(\log Z) \rightarrow \mathcal{O}_{Z_i}$ , which corresponds by duality to a global section of  $\mathcal{O}_{Z_i} \otimes \mathcal{T}_{X/k}(-\log Z)$  again denoted  $\text{Res}_i$ . Note that in any local coordinate system  $z, x_2, \dots, x_n$  adapted to  $Z$  such that  $z$  is the defining equation of  $Z_i$ ,  $\text{Res}_i$  is the reduction mod  $\mathcal{I}_i$  of  $z(\partial/\partial z)$ . In general, we say that a local section  $\mathbf{X}$  of  $\mathcal{T}_{X/k}(-\log Z)$  *represents*  $\text{Res}_i$  if the reduction of  $\mathbf{X}$  modulo  $\mathcal{I}_i$  coincides with  $\text{Res}_i$ . It follows that there exists a unique  $\mathcal{O}_{Z_i}$ -linear endomorphism  $T_i$  of  $\mathcal{O}_{Z_i} \otimes \Omega_{X/k}^*(\log Z)$  of degree  $-1$  and square 0 which locally is induced by contraction  $i_{\mathbf{X}}$  on any local section  $\mathbf{X}$  of  $\mathcal{T}_{X/k}(-\log Z)$  representing  $\text{Res}_i$ . We call  $T_i$  the *residual retracting homotopy* along  $Z_i$ .

## 3.3. Review of connections with logarithmic poles

### 3.3.1. Basic definitions

Let  $\mathcal{E}$  be a quasi-coherent sheaf on  $X$ . A ( $k$ -linear) *connection*  $\nabla$  for  $\mathcal{E}$  with *logarithmic poles* (or *regular singularities*) along  $Z$  is a pairing

$$(\mathbf{X}, e) \mapsto \nabla_{\mathbf{X}} e: \mathcal{T}_{X/k}(-\log Z) \times \mathcal{E} \rightarrow \mathcal{E}$$

which is  $k$ -linear in  $e$  and  $\mathcal{O}_X$ -linear in  $\mathbf{X}$  such that

$$\nabla_{\mathbf{X}} f e = (\mathbf{X} f) e + f \nabla_{\mathbf{X}} e.$$

The connection  $\nabla$  is said to be *integrable* if

$$\nabla_X \nabla_Y e - \nabla_Y \nabla_X e = \nabla_{[X, Y]} e.$$

Given another quasi-coherent sheaf  $\mathcal{E}'$  on  $X$  equipped with a connection  $\nabla$  regular singular along  $Z$ , the tensor product  $\mathcal{E} \otimes \mathcal{E}'$  is equipped with a connection  $\nabla$  regular singular along  $Z$  by the *Leibniz rule*

$$\nabla_X(e \otimes e') =: \nabla_X e \otimes e' + e \otimes \nabla_X e'.$$

An  $\mathcal{O}_X$ -linear homomorphism  $\mathcal{E} \rightarrow \mathcal{E}'$  commuting with  $\nabla$  is said to be *horizontal*.

### 3.3.2. The twisted de Rham and Koszul complexes

Given  $\mathcal{E}$  equipped with a connection  $\nabla$  as above, we define a  $k$ -linear map

$$\partial_\nabla: \mathcal{E} \rightarrow \Omega_{X/k}^1(\log Z) \otimes \mathcal{E}$$

by the rule

$$(i_X \otimes 1)\partial_\nabla e = \nabla_X e.$$

One then has

$$\partial_\nabla(fe) = df \otimes e + f \partial_\nabla e.$$

There exists a unique extension of  $\partial_\nabla$  to a  $k$ -linear endomorphism of  $\Omega_{X/k}^\bullet(\log Z) \otimes \mathcal{E}$  of degree 1 such that

$$\partial_\nabla(\xi \otimes e) = d\xi \otimes e + (-1)^{\deg(\xi)} \xi \wedge \partial_\nabla e.$$

The connection  $\nabla$  is integrable if and only if  $\partial_\nabla^2 = 0$ , and in this case we refer to  $(\Omega_{X/k}^\bullet(\log Z) \otimes \mathcal{E}, \partial_\nabla)$  as the *twisted de Rham complex* associated to  $(\mathcal{E}, \nabla)$ . In the integrable case one has also the *twisted Cartan homotopy formula*

$$(\partial_\nabla(i_X \otimes 1) + (i_X \otimes 1)\partial_\nabla)(\xi \otimes e) = L_X \xi \otimes e + \xi \otimes \nabla_X e$$

which has a leading role to play in this paper.

Given a global section  $\omega$  of  $\Omega_{X/k}^1(\log Z)$ , we define an  $\mathcal{O}_X$ -linear endomorphism  $\partial_\omega$  of  $\Omega_{X/k}^\bullet(\log Z) \otimes \mathcal{E}$  of degree  $-1$  and square 0 by the rule

$$\partial_\omega(\xi \otimes e) =: (\omega \wedge \xi) \otimes e.$$



Then  $\partial_\omega$  is simply a ‘twisted’ variant of the Koszul differential defined above. We refer to  $(\Omega_{X/k}^1(\log Z) \otimes \mathcal{E}, \partial_\omega)$  as the *twisted Koszul complex* associated to  $\mathcal{E}$  and  $\omega$ . From the Koszul homotopy formula noted above, the *twisted Koszul homotopy formula*

$$(\partial_\omega(i_X \otimes 1) + (i_X \otimes 1)\partial_\omega)(\xi \otimes e) = \xi \otimes (i_X \omega)e$$

follows immediately.

### 3.3.3. The twisted residue sequence

Let  $\mathcal{E}$  be a locally free coherent sheaf on  $X$  and let  $Y$  be a smooth divisor of  $X$  such that the irreducible components of the divisor  $Z \cup Y$  cross normally. The exact sequence

$$\begin{aligned} 0 \rightarrow \Omega_{X/k}^1(\log Z) \otimes \mathcal{E} &\rightarrow \Omega_{X/k}^1(\log Z \cup Y) \otimes \mathcal{E} \\ &\rightarrow \Omega_{Y/k}^1(\log Z \cup Y)[-1] \otimes \mathcal{E} \rightarrow 0 \end{aligned}$$

of graded sheaves deduced from the residue sequence associated to  $Y$  by tensoring with  $\mathcal{E}$  will be called the *twisted residue sequence* associated to  $Y$  and  $\mathcal{E}$ .

If  $\mathcal{E}$  comes equipped with an integrable connection  $\nabla$  regular singular along  $Z$ , then the twisted residue sequence is compatible with  $\nabla$  as follows: The given connection  $\nabla$  induces by restriction a pairing

$$\nabla': \mathcal{F}_{X/k}(-\log Z \cup Y) \times \mathcal{E} \rightarrow \mathcal{E}$$

which is an integrable connection regular singular along  $Z \cup Y$ . The connection  $\nabla'$  in turn induces a pairing

$$\nabla'': \mathcal{F}_{Y/k}(-\log Z \cap Y) \times \mathcal{O}_Y \otimes \mathcal{E} \rightarrow \mathcal{O}_Y \otimes \mathcal{E}$$

which is an integrable connection on  $Y$  regular singular along  $Z \cap Y$ . Then the differentials  $\partial_\nabla$ ,  $\partial_{\nabla'}$  and  $-\partial_{\nabla''}$  are compatible with the twisted residue sequence.

Given a global section  $\omega$  of  $\Omega_{X/k}^1(\log Z)$ , the twisted residue sequence is compatible with  $\omega$  in the following sense: Let  $\omega'$  denote  $\omega$  viewed as a global section of  $\Omega_{X/k}^1(\log Z \cup Y)$ , and let  $\omega''$  denote the pull-back of  $\omega$  to  $Y$ . Then the differentials  $\partial_\omega$ ,  $\partial_{\omega'}$  and  $-\partial_{\omega''}$  are compatible with the twisted residue sequence.

### 3.4. $\mathcal{O}_{Z_i}$ -modules

Let  $Z_i$  be an irreducible component of  $Z$ . Let  $\mathcal{E}$  be a coherent sheaf equipped

with an integrable connection  $\nabla$  regular singular along  $Z$  and suppose that  $\mathcal{E}$  is annihilated by the defining ideal of  $Z_i$ . There exists a unique  $\mathcal{O}_{Z_i}$ -linear endomorphism  $\mathbf{a}_i$  of  $\mathcal{E}$  which, in any local system of coordinates  $z, x_2, \dots, x_n$  adapted to  $Z$  such that  $z$  is a defining equation of  $Z_i$ , is represented by  $\nabla_{z(\partial/\partial z)}$ . We refer to  $\mathbf{a}_i$  as the *exponent endomorphism* of  $\mathcal{E}$  along  $Z_i$ . If for another irreducible component  $Z_j$  of  $Z$  the defining ideal of  $Z_j$  annihilates  $\mathcal{E}$ , then

$$[\mathbf{a}_i, \mathbf{a}_j] = 0,$$

as follows directly from the hypothesis of integrability of the connection  $\nabla$ . More generally,

$$[\mathbf{a}_i, \nabla] = 0,$$

i.e.  $\mathbf{a}_i$  is a horizontal endomorphism of  $\mathcal{E}$ . From the twisted Cartan homotopy formula, one deduces

**PROPOSITION 3.4.1**

$$(T_i \otimes 1)\partial_{\nabla} + \partial_{\nabla}(T_i \otimes 1) = 1 \otimes \mathbf{a}_i.$$

*In particular, the twisted de Rham complex  $(\Omega_{X/k}^{\bullet}(\log Z) \otimes \mathcal{E}, \partial_{\nabla})$  is acyclic provided that the exponent endomorphism  $\mathbf{a}_i$  is invertible on  $Z_i$ .*  $\square$

Suppose now that a global section  $\omega$  of  $\Omega_{X/k}^1(\log Z)$  has been given. From the twisted Koszul homotopy formula, one deduces

**PROPOSITION 3.4.2**

$$(T_i \otimes 1)\partial_{\omega} + \partial_{\omega}(T_i \otimes 1) = 1 \otimes (\text{Res}_i \omega).$$

*In particular, the twisted Koszul complex  $(\Omega_{X/k}^{\bullet}(\log Z) \otimes \mathcal{E}, \partial_{\omega})$  is acyclic provided that  $\text{Res}_i \omega$  is invertible on  $Z_i$ .*  $\square$

### 3.5. Modules with support in $Z^{(r)}$

Recall that  $Z^{(r)}$  is defined to be the union of the  $r$ -fold intersections of irreducible components of  $Z$ ; now fix a positive integer  $r$  such that  $Z^{(r)}$  is nonempty. Let  $\mathcal{E}$  be a coherent sheaf on  $X$  equipped with an integrable connection  $\nabla$  regular singular along  $Z$  such that the support  $\text{supp}(\mathcal{E})$  of  $\mathcal{E}$  satisfies

$$\text{supp}(\mathcal{E}) \subseteq Z^{(r)}.$$

Fix an irreducible component  $Y$  of  $Z^{(r)}$  (which is a reduced closed subscheme of

codimension  $r$  in  $X$ ) and let  $\mathcal{J}$  be the defining ideal of  $Y$ . Note that  $\mathcal{J}$  is stable under the action of  $\mathcal{T}_{X/k}(-\log Z)$ .

**PROPOSITION 3.5.1.** *If  $\mathcal{J}\mathcal{E} = 0$ , then  $\mathcal{E}$ , viewed as an  $\mathcal{O}_Y$ -module, is locally free on  $Y \cap U^{(r)}$ . Moreover, for each irreducible component  $Z_i$  of  $Z$  containing  $Y$ , the coefficients of the characteristic polynomial of the exponent endomorphism  $\mathbf{a}_i$  of  $\mathcal{E}$  are locally constant on  $Y \cap U^{(r)}$ , hence belong to  $k$ .*

*Proof.* Cf. [1, Prop. 3.10, p. 79]. As the assertion to be proved is local on  $X \setminus Z^{(r+1)}$ , we may assume that  $Z^{(r+1)}$  is empty. Let  $z, x_2, \dots, x_n$  be a local coordinate system adapted to  $Z$  defined on an affine open subscheme  $V$  of  $X$  such that (i)  $zx_2 \cdots x_r$  is the defining equation of  $V \cap Z$ , (ii)  $z$  is the defining equation of  $V \cap Z_i$  and (iii)  $z, x_2, \dots, x_r$  generate the defining ideal of  $V \cap Y$ . Since  $X$  is covered by such affines  $V$ , we may assume that  $X = V$ . Let  $\mathbf{X} \mapsto \sigma\mathbf{X}: \mathcal{T}_{X/k}(-\log Z) \rightarrow \mathcal{T}_{Y/k}$  be the evident restriction map. Note that  $\sigma$  is surjective. Let  $s$  be the unique  $\mathcal{O}_X$ -linear endomorphism of  $\mathcal{T}_{X/k}(-\log Z)$  such that

$$s\left(z \frac{\partial}{\partial z}\right) = 0, s\left(x_2 \frac{\partial}{\partial x_2}\right) = 0, \dots, s\left(x_r \frac{\partial}{\partial x_r}\right) = 0$$

and

$$s\left(\frac{\partial}{\partial x_{r+1}}\right) = \frac{\partial}{\partial x_{r+1}}, \dots, s\left(\frac{\partial}{\partial x_n}\right) = \frac{\partial}{\partial x_n}.$$

Then there exists a unique nonsingular connection  $\tilde{\nabla}: \mathcal{T}_{Y/k} \times \mathcal{E} \rightarrow \mathcal{E}$  such that

$$\tilde{\nabla}_{\sigma\mathbf{X}} e = \nabla_{s\mathbf{X}} e.$$

Since  $\sigma s = \sigma$  commutes with Lie brackets,  $\tilde{\nabla}$  is integrable. The existence of  $\tilde{\nabla}$  implies that  $\mathcal{E}$  is locally free as an  $\mathcal{O}_Y$ -module. As  $\mathbf{a}_i$  is induced by  $\nabla_{z(\partial/\partial z)}$ , the former commutes with  $\tilde{\nabla}$  by the integrability of  $\nabla$ . Thus  $\mathbf{a}_i$  is a horizontal endomorphism of  $\mathcal{E}$  with respect to the integrable connection  $\tilde{\nabla}$ . In particular, the coefficients of the characteristic polynomial of  $\mathbf{a}_i$  must be locally constant on  $Y$ .  $\square$

**PROPOSITION 3.5.2.** *If  $\text{supp}(\mathcal{E}) \cap Y \neq Y$ , then  $\text{supp}(\mathcal{E}) \cap Y \subseteq Z^{(r+1)}$ .*

*Proof.* For all  $n \gg 0$  one has

$$\text{supp}(\mathcal{J}^n \mathcal{E}) \cap Y \subseteq Z^{(r+1)}.$$

Therefore, replacing  $\mathcal{E}$  successively by  $\mathcal{E}/\mathcal{J}\mathcal{E}$ ,  $\mathcal{J}\mathcal{E}/\mathcal{J}^2\mathcal{E}$ ,  $\dots$ , we may assume that  $\mathcal{J}\mathcal{E} = 0$ . But then the desired conclusion follows immediately from Prop. 3.5.1.  $\square$

**PROPOSITION 3.5.3.** *If  $\text{supp}(\mathcal{E}) = Y$ , there exists a  $\nabla$ -stable  $\mathcal{O}_X$ -submodule  $\mathcal{E}$  of  $\mathcal{E}'$  such that  $\mathcal{J}\mathcal{E} \subseteq \mathcal{E}'$ ,  $\text{supp}(\mathcal{E}/\mathcal{E}') = Y$  and, for each irreducible component  $Z_i \supseteq Y$  of  $Z$ , the exponent endomorphism  $\mathbf{a}_i$  of  $\mathcal{E}/\mathcal{E}'$  reduces to multiplication by a constant  $a_i \in k$ .*

*Proof.* Replacing  $\mathcal{E}$  by  $\mathcal{E}/\mathcal{J}\mathcal{E}$  (the latter is nonzero by Nakayama's lemma), we may assume without loss of generality that  $\mathcal{J}\mathcal{E} = 0$ . Let  $V$  denote the stalk of  $\mathcal{E}$  and  $K$  the stalk of  $\mathcal{O}_Y$  at the generic point of  $Y$ . Then  $K$  is a field and  $V$  is a finite dimension  $K$ -vectorspace on which the exponent endomorphisms  $\mathbf{a}_i$  associated to the irreducible components  $Z_i \supseteq Y$  of  $Z$  operate in  $K$ -linear and mutually commuting fashion. By Prop. 3.5.1 the eigenvalues of  $\mathbf{a}_i$  acting on  $V$  belong to  $k$ , hence there exist  $0 \neq v \in V$  and  $a_i \in k$  such that

$$\mathbf{a}_i v = a_i v.$$

The subsheaf

$$\mathcal{E}' = \sum_i (\mathbf{a}_i - a_i)\mathcal{E}$$

has the required properties. □

### 3.6. Virtuous filtrations

Let  $\mathcal{E}$  be a coherent sheaf on  $X$  equipped with an integrable connection  $\nabla$  regular singular along  $Z$  such that

$$\text{supp}(\mathcal{E}) \subseteq Z.$$

We say that  $\mathcal{E}$  is *pure* if there exists a positive integer  $r$  such that  $Z^{(r)} \neq \emptyset$  and an irreducible component  $Y$  of  $Z^{(r)}$  such that  $\mathcal{E}$  is killed by the defining ideal of  $Y$ ,  $\text{supp}(\mathcal{E}) = Y$  and for each irreducible component  $Z_i \supseteq Y$  of  $Z$ , the exponential endomorphism  $\mathbf{a}_i$  of  $\mathcal{E}$  reduces to a constant. We say that a finite chain of  $\nabla$ -stable subsheaves

$$\mathcal{E} = \mathcal{E}^0 \supseteq \mathcal{E}^1 \supseteq \dots \supseteq \mathcal{E}^{m-1} \supseteq \mathcal{E}^m = 0$$

is a *virtuous filtration* of  $\mathcal{E}$  if each successive quotient  $\mathcal{E}^p/\mathcal{E}^{p+1}$  is pure.

**PROPOSITION 3.6.1.**  *$\mathcal{E}$  admits a virtuous filtration.*

*Proof.* Inductively we define a descending sequence

$$\mathcal{E} = \mathcal{E}^0 \supseteq \mathcal{E}^1 \supseteq \dots$$

of  $\nabla$ -stable subsheaves as follows: If  $\mathcal{E}^p = 0$ , set  $\mathcal{E}^{p+1} =: 0$ . Otherwise, let  $r$  be the largest positive integer such that  $\text{supp}(\mathcal{E}^p) \subseteq Z^{(r)}$ . Select an irreducible component  $Y$  of  $Z^{(r)}$  such that  $\text{supp}(\mathcal{E}^p) \cap Y \not\subseteq Z^{(r+1)}$ . Then by Prop. 3.5.2,  $\text{supp}(\mathcal{E}^p) \cap Y = Y$ . By Prop. 3.5.3 we can find a  $\nabla$ -stable subsheaf  $\mathcal{E}^{p+1}$  of  $\mathcal{E}^p$  such that  $\mathcal{E}^p/\mathcal{E}^{p+1}$  is pure, with  $\text{supp}(\mathcal{E}^p/\mathcal{E}^{p+1}) = Y$ . Our task is to prove that  $\mathcal{E}^p = 0$  for  $p \gg 0$ . It will suffice to show that  $\text{supp}(\mathcal{E}^p/\mathcal{E}^{p+1}) = \emptyset$  for all  $p \gg 0$ . In turn, it will suffice to show that for each  $r$  such that  $Z^{(r)}$  is nonempty and each irreducible component  $Y$  of  $Z^{(r)}$ ,  $\text{supp}(\mathcal{E}^p/\mathcal{E}^{p+1}) = Y$  for only finitely many  $p$ . Finally, we may assume without loss of generality that  $Y = \text{supp}(\mathcal{E}^0/\mathcal{E}^1)$ . Let  $\eta$  be the generic point of  $Y$ . Then the length of  $\mathcal{E}_\eta$  as an  $\mathcal{O}_{X,\eta}$ -module is finite and this length bounds the number of indices  $p$  for which  $Y = \text{supp}(\mathcal{E}^p/\mathcal{E}^{p+1})$ .  $\square$

### 3.7. $R[\nabla]$ -modules

For the construction of invariants in the next subsection, we consider the structure of modules over a certain noncommutative ring  $R[\nabla]$  defined as follows. Let  $R$  be a discrete valuation ring of residue characteristic 0, let  $\pi$  be a uniformizer of  $R$  and let  $r \mapsto r'$  be a derivation of  $R$  such that  $R' \subseteq \pi R$  and  $\pi' = \pi$ . Let  $R[\nabla]$  be the noncommutative ring generated by a copy of  $R$  and a variable  $\nabla$  subject to the commutation relations

$$[\nabla, r] = r' \quad (r \in R).$$

Given a (left)  $R[\nabla]$ -module  $E$  annihilated by  $\pi$ , note that  $\nabla$  operates  $R/\pi$ -linearly on  $E$  and let  $P(E; t) \in (R/\pi)[t]$  denote the characteristic polynomial of the  $(R/\pi)$ -linear endomorphism of  $E$  induced by  $\nabla$ . Note that the isomorphism classes of simple left  $R[\nabla]$ -modules are in bijective correspondence with the irreducible monic polynomials  $P(t) \in (R/\pi)[t]$  under the correspondence that sends  $P(t) = t^n + \sum_{i=0}^{n-1} a_i t^i$  to the isomorphism class of  $R[\nabla]/(R[\nabla]\pi + R[\nabla](\nabla^n + \sum_{i=0}^{n-1} \hat{a}_i \nabla^i))$ , where  $\hat{a} \in R$  denotes a lifting of  $a \in R/\pi$ . The Jordan-Hölder theorem specializes to yield

**PROPOSITION 3.7.1.** *Let  $E$  be a left  $R[\nabla]$ -module of finite length as an  $R$ -module. Let*

$$E = E^0 \supseteq \dots \supseteq E^p \supseteq E^{p+1} \supseteq \dots \supseteq E^n = 0$$

*be a filtration of  $E$  by  $R[\nabla]$ -submodules such that  $\pi E^p \subseteq E^{p+1}$ , e.g. a composition series of  $E$ . Then  $\prod_p P(E^p/E^{p+1}; t)$  depends only on the isomorphism class of the  $R[\nabla]$ -module  $E$ .  $\square$*

Now let  $E$  be a left  $R[\nabla]$ -module free and finitely generated as an  $R$ -module and let  $E'$  be an  $R[\nabla]$ -submodule such that  $\pi E \subseteq E'$ .

## PROPOSITION 3.7.2

$$P(E/\pi E; t)P(E/E'; t + 1) = P(E'/\pi E', t)P(E/E'; t).$$

*Proof.* Since one has

$$P(E/E'; t + 1) = P(\pi E/\pi E'; t),$$

the proposition follows from the existence of the 4-term exact sequence

$$0 \rightarrow \frac{\pi E}{\pi E'} \rightarrow \frac{E'}{\pi E'} \rightarrow \frac{E}{\pi E} \rightarrow \frac{E}{E'} \rightarrow 0. \quad \square$$

## 3.8. Characteristic polynomials

Let  $Z_i$  be an irreducible component of  $Z$  and let  $\mathcal{E}$  be a coherent sheaf on  $X$  equipped with an integrable connection  $\nabla$  regular singular along  $Z$  such that

$$\text{supp}(\mathcal{E}) \subseteq Z.$$

We define the *characteristic polynomial*  $P_i(\mathcal{E}; t) \in k[t]$  of  $\mathcal{E}$  along  $Z_i$  as follows: Let

$$\mathcal{E} = \mathcal{E}^0 \supseteq \dots \supseteq \mathcal{E}^p \supseteq \dots \supseteq \mathcal{E}^m = 0$$

be any virtuous filtration of  $\mathcal{E}$ ; such exists by Prop. 3.6.1. Let  $\zeta_i$  denote the generic point of  $Z_i$ . Let the *characteristic polynomial*  $P_i(\mathcal{E}; t)$  of  $\mathcal{E}$  along  $Z_i$  be the product of the factors  $(t - a_{ip})^{\lambda_{ip}}$ , where  $p$  ranges over those indices such that  $\text{supp}(\mathcal{E}^p/\mathcal{E}^{p+1}) = Z_i$ ,  $a_{ip} \in k$  is the constant by which the exponent endomorphism  $\mathbf{a}_i$  operates on  $\mathcal{E}^p/\mathcal{E}^{p+1}$ , and  $\lambda_{ip}$  is the length of  $(\mathcal{E}^p/\mathcal{E}^{p+1})_{\zeta_i}$  as a module over  $\mathcal{O}_{X, \zeta_i}$ . Then  $P_i(\mathcal{E}; t)$  is, so we claim, well-defined. We use the observations of section 3.7 in order to prove the claim: Take  $R =: \mathcal{O}_{X, \zeta_i}$ , a discrete valuation ring. Selecting a local coordinate system  $z, x_2, \dots, x_n$  adapted to  $Z$  defined in a neighborhood of  $\zeta_i$  such that  $z$  is the local equation of  $Z_i$ , take  $\pi =: z$  and  $r' =: z(\partial/\partial z)r$ . Take  $E^p =: \mathcal{E}_{\zeta_i}^p$  and equip  $E^p$  with  $R[\nabla]$ -module structure by decreeing that  $\nabla e =: \nabla_{z(\partial/\partial z)}e$ . Then in the notation of section 3.7

$$P_i(\mathcal{E}; t) = \prod_p P(E^p/E^{p+1}; t).$$

As the latter depends only on the isomorphism class of  $E$  as an  $R[\nabla]$ -module by Prop. 3.7.1,  $P_i(\mathcal{E}; t)$  is indeed well-defined. It follows immediately from the

definition that for any  $\nabla$ -stable coherent subsheaf  $\mathcal{E}'$  of  $\mathcal{E}$ ,

$$P_i(\mathcal{E}; t) = P_i(\mathcal{E}'; t)P_i(\mathcal{E}/\mathcal{E}'; t).$$

### 3.9. Exponents

Let  $\mathcal{E}$  now be a locally free coherent sheaf on  $X$  equipped with an integrable connection  $\nabla$  regular singular along  $Z$ . The *exponents* of  $\mathcal{E}$  along  $Z_i$  are defined to be the roots in  $k$  of the characteristic polynomial  $P_i(\mathcal{O}_{Z_i} \otimes \mathcal{E}; t)$ . Note that  $P_i(\mathcal{O}_{Z_i} \otimes \mathcal{E}; t)$  may also be described as the characteristic polynomial of the exponential endomorphism  $\mathbf{a}_i$  operating on  $\mathcal{O}_{Z_i} \otimes \mathcal{E}$ . By Prop. 3.7.2 and an evident induction one deduces

**PROPOSITION 3.9.1.** *For any locally free  $\nabla$ -stable coherent subsheaf  $\mathcal{E}'$  of  $\mathcal{E}$  such that  $\mathcal{E}/\mathcal{E}'$  is supported in  $Z$ , the exponents  $\{a_{ij}\}$  of  $\mathcal{E}$  and  $\{a'_{ij}\}$  of  $\mathcal{E}'$  along  $Z_i$  can be simultaneously indexed so that  $\ell_{ij} =: a'_{ij} - a_{ij}$  is a nonnegative integer for all indices  $i$  and  $j$ . Moreover, having so indexed the exponents, one has*

$$P_i(\mathcal{E}/\mathcal{E}', t) = \prod_j \prod_{\lambda=0}^{\ell_{ij}-1} (t - a_{ij} - \lambda). \quad \square$$

### 3.10. Twisting

Let  $D$  be a Weil divisor of  $X$  supported in  $Z$ , i.e. a formal integral linear combination  $D = \sum_i m_i Z_i$  of the irreducible components of  $Z$ . We say that  $D$  is *effective* if  $m_i \geq 0$  for all indices  $i$  and, given another such divisor  $D'$ , we write  $D \geq D'$  if  $D - D'$  is effective. The invertible sheaf  $\mathcal{O}_X(D)$  is equipped with an integrable connection  $\nabla$  regular singular along  $Z$  uniquely determined by the condition that the restriction of  $\nabla$  to  $\mathcal{O}_X(D)|_U = \mathcal{O}_U$  coincides with the standard connection. Note that the exponent of  $\mathcal{O}_X(D)$  along  $Z_i$  is  $-m_i$ .

Let  $\mathcal{E}$  a coherent sheaf on  $X$  equipped with an integrable connection  $\nabla$  regular singular along  $Z$ . We define

$$\mathcal{E}(D) =: \mathcal{E} \otimes \mathcal{O}_X(D),$$

equipping  $\mathcal{E}(D)$  with an integrable connection by the Leibniz rule and calling  $\mathcal{E}(D)$  the *twist* of  $\mathcal{E}$  by  $D$ . For convenient reference we record some easily proved facts concerning the twisting operation.

**PROPOSITION 3.10.1.** *If  $\mathcal{E}$  is locally free, and the exponents of  $\mathcal{E}$  along  $Z_i$  of  $Z$  are  $\{a_{ij}\}$ , then the exponents of  $\mathcal{E}(D)$  along  $Z_i$  are  $\{a_{ij} - m_i\}$ .  $\square$*

**PROPOSITION 3.10.2.** *If  $\mathcal{E}$  is pure and annihilated by the defining ideal of  $Z_i$  so that the exponent endomorphism  $\mathbf{a}_i$  is defined and operates by the constant  $a_i$ , then  $\mathcal{E}(D)$  is again pure and  $\mathbf{a}_i$  operates on  $\mathcal{E}(D)$  by the constant  $a_i - m_i$ .  $\square$*

## 4. Asymptotics

### 4.1. Notation and setting

4.1.1. Fix  $k, X, Z$  and  $U$  as in section 3, but now assume that  $X$  is projective and irreducible and that  $U$  is affine. Fix a nonzero global section  $\omega$  of  $\Omega_{X/k}^1(\log Z)$ . Assume that for all irreducible components  $Z_i$  of  $Z$ , the residue  $\text{Res}_i \omega$  along  $Z_i$  (which is a scalar since  $Z_i$  is complete) is nonzero. Note that under these hypotheses the zero locus of  $\omega$  on  $U$  is 0-dimensional. Let  $Y$  be a hyperplane section of  $X$ , hence an ample divisor, in sufficiently general position to be smooth, to cross  $Z$  normally and to avoid the zeroes of  $\omega$ .

4.1.2. Given a property  $\mathcal{P}$  of pairs  $(D, n)$  consisting of a Weil divisor  $D$  of  $X$  supported in  $Z$  and an integer  $n$ , we say that  $\mathcal{P}(D, n)$  holds *asymptotically* if there exists a Weil divisor  $D_0 = D_0(\mathcal{P})$  of  $X$  supported in  $Z$  such that for all  $D \geq D_0$ , there exists an integer  $n_0 = n_0(\mathcal{P}, D)$  such that for all  $n \geq n_0$ ,  $\mathcal{P}(D, n)$  holds.

4.1.3. Given a coherent sheaf  $\mathcal{E}$  on  $X$  equipped with an integrable connection  $\nabla$  regular singular along  $Z \cup Y$ , a Weil divisor  $D$  of  $X$  supported in  $Z$  and an integer  $n$ , set

$$\mathcal{G}_{D,n}(\mathcal{E}) =: \Omega_{X/k}^*(\log Z \cup Y) \otimes \mathcal{E}(D + nY).$$

Note that  $\mathcal{G}_{D,n}$  is an exact functor. Note that the graded sheaf  $\mathcal{G}_{D,n}(\mathcal{E})$  is equipped *two* differentials functorially in  $(\mathcal{E}, \nabla)$ , namely the Koszul differential  $\partial_\omega$  and the de Rham differential  $\partial_\nabla$ . Note that the functors  $\mathcal{G}_{D,n}$  are *nested* in the sense that given  $D' \geq D$  and  $n' \geq n$ , there is a natural  $\partial_\nabla$ - and  $\partial_\omega$ -compatible transformation  $\mathcal{G}_{D,n} \rightarrow \mathcal{G}_{D',n'}$  induced by the inclusion  $\mathcal{O}_X(D + nY) \rightarrow \mathcal{O}_X(D' + n'Y)$ . Set

$$G_{D,n}(\mathcal{E}) =: \Gamma(X, \mathcal{G}_{D,n}(\mathcal{E})).$$

The finite-dimensional graded  $k$ -vectorspaces  $G_{D,n}(\mathcal{E})$  are likewise equipped with two differentials  $\partial_\nabla$  and  $\partial_\omega$  functorially in  $(\mathcal{E}, \nabla)$  and are nested  $\partial_\nabla$ - and  $\partial_\omega$ -compatibly.

4.1.4. Given a locally free coherent sheaf  $\mathcal{E}$  on  $X$  and a smooth locally closed subscheme  $W$  of  $U$  set

$$H_\omega^*(W, \mathcal{E}) =: \mathbf{H}^*(W, (\Omega_{W/k}^* \otimes \mu^* \mathcal{E}, \partial_{\mu^* \omega})),$$

where  $\mathbf{H}^*$  denotes hypercohomology and  $\mu$  denotes the inclusion  $W \rightarrow X$ . If,



moreover,  $\mathcal{E}$  is equipped with an integrable connection  $\nabla$  regular singular along  $Z$ , set

$$H_{DR}^*(W, \mathcal{E}) =: H^*(W, (\Omega_{W/k}^* \otimes \mu^* \mathcal{E}, \partial_{\mu^* \nabla})).$$

#### 4.2. The functors $P_n$ and $Q$

Let  $\mathcal{E}$  be a locally free coherent sheaf on  $X$  equipped with an integrable connection  $\nabla$  regular singular along  $Z$ , let  $D$  be a Weil divisor of  $X$  supported in  $Z$  and let  $n$  be an integer. Let  $D_0$  be a Weil divisor of  $X$  supported in  $Z$  such that all exponents of  $\mathcal{E}(D_0)$  along the various irreducible components of  $Z$  are not nonnegative integers (the existence of such a divisor  $D_0$  follows from Prop. 3.10.1).

**PROPOSITION 4.2.1.** *For all irreducible components  $Z_i$  of  $Z$ , the inclusion*

$$\mathcal{G}_{D-Z_i, n}(\mathcal{E}) \rightarrow \mathcal{G}_{D, n}(\mathcal{E})$$

*is a  $\partial_\omega$ -quasi-isomorphism and, for all  $D \geq D_0$ , a  $\partial_\nabla$ -quasi-isomorphism as well. For all  $n > 0$  the inclusion*

$$\mathcal{G}_{D, n-1}(\mathcal{E}) \rightarrow \mathcal{G}_{D, n}(\mathcal{E})$$

*is a  $\partial_\nabla$ -quasi-isomorphism.*

*Proof.* The graded sheaf

$$\mathcal{G}_{D, n}(\mathcal{E}) / \mathcal{G}_{D-Z_i, n}(\mathcal{E}) = \mathcal{G}_{D, n}(\mathcal{E} \otimes \mathcal{O}_{Z_i})$$

is  $\partial_\omega$ -acyclic by Prop. 3.4.2 and, for  $D \geq D_0$ ,  $\partial_\nabla$ -acyclic by Prop. 3.4.1. The graded sheaf

$$\mathcal{G}_{D, n}(\mathcal{E}) / \mathcal{G}_{D, n-1}(\mathcal{E}) = \mathcal{G}_{D, n}(\mathcal{E} \otimes \mathcal{O}_Y)$$

is  $\partial_\nabla$ -acyclic for  $n > 0$  by Prop. 3.4.1. □

Set

$$P_n(\mathcal{E}) =: H^*\left(\bigcup_D G_{D, n}(\mathcal{E}), \partial_\omega\right), \quad Q(\mathcal{E}) =: H^*\left(\bigcup_D \bigcup_n G_{D, n}(\mathcal{E}), \partial_\nabla\right).$$

Note that  $P_n(\mathcal{E})$  and  $Q(\mathcal{E})$  depend only on  $(\mathcal{E}, \nabla)|_U$ . More precisely, any horizontal isomorphism

$$B: (\mathcal{E}', \nabla')|_U \xrightarrow{\sim} (\mathcal{E}, \nabla)|_U$$

induces isomorphisms

$$P_n(B): P_n(\mathcal{E}') \xrightarrow{\sim} P_n(\mathcal{E}), \quad Q(B): Q(\mathcal{E}') \xrightarrow{\sim} Q(\mathcal{E}).$$

By definition we have at our disposal natural maps

$$p_{D,n}(\mathcal{E}): H^*(G_{D,n}(\mathcal{E}), \partial_\omega) \rightarrow P_n(\mathcal{E}),$$

$$q_{D,n}(\mathcal{E}): H^*(G_{D,n}(\mathcal{E}), \partial_\nabla) \rightarrow Q(\mathcal{E}).$$

**PROPOSITION 4.2.2.**  $p_{D,n}(\mathcal{E})$  and  $q_{D,n}(\mathcal{E})$  are isomorphisms asymptotically in  $D$  and  $n$ .

*Proof.* We may identify  $P_n(\mathcal{E})$  with the direct limit over  $D$  of the hypercohomology groups  $\mathbf{H}^*(X, (\mathcal{G}_{D,n}(\mathcal{E}), \partial_\omega))$  and we may identify  $Q(\mathcal{E})$  with the direct limit over  $D$  and  $n$  of the hypercohomology groups  $\mathbf{H}^*(X, (\mathcal{G}_{D,n}(\mathcal{E}), \partial_\nabla))$ . Then, by the preceding proposition,

$$P_n(\mathcal{E}) = \mathbf{H}^*(X, (\mathcal{G}_{D,n}(\mathcal{E}), \partial_\omega))$$

for all  $D$  and  $n$ , and there exists a Weil divisor  $D_0$  of  $X$  supported in  $Z$  such that

$$Q(\mathcal{E}) = \mathbf{H}^*(X, (\mathcal{G}_{D,n}(\mathcal{E}), \partial_\nabla))$$

for all  $D \geq D_0$  and  $n > 0$ . But for any fixed  $D$  and all sufficiently large  $n$ , by virtue of the ampleness of the divisor  $Y$ , the sheaves  $\mathcal{G}_{D,n}(\mathcal{E})$  have vanishing positive-dimensional coherent sheaf cohomology and hence

$$\mathbf{H}^*(X, (\mathcal{G}_{D,n}(\mathcal{E}), \partial)) = H^*(G_{D,n}(\mathcal{E}), \partial)$$

for either  $\partial = \partial_\omega$  or  $\partial = \partial_\nabla$ . □

By definition

$$P_n(\mathcal{E}) = H^*(\Gamma(U, \mathcal{G}_{0,n}(\mathcal{E})), \partial_\omega).$$

By considering the twisted residue exact sequence (§3.3.3)

$$\begin{aligned} 0 &\rightarrow \Omega_{X/k}^*(\log Z) \otimes \mathcal{E}(D + nY) \rightarrow \mathcal{G}_{D,n}(\mathcal{E}) \\ &\rightarrow \Omega_{Y/k}^*(\log Z \cup Y)[-1] \otimes \mathcal{E}(D + nY) \rightarrow 0 \end{aligned}$$

one deduces the existence of a natural long exact sequence

$$\cdots \rightarrow H_\omega^i(U, \mathcal{E}(nY)) \rightarrow P_n^i(\mathcal{E}) \rightarrow H_\omega^{i-1}(U \cap Y, \mathcal{E}(nY)) \rightarrow \cdots$$

of cohomology groups. By Prop. 4.2.1 and the definitions one has

$$Q(\mathcal{E}) = H^*(\Gamma(U, \mathcal{G}_{0,0}(\mathcal{E})), \partial_{\nabla}).$$

Consideration of the twisted residue exact sequence with  $n = 0$  yields the existence of a natural long exact sequence

$$\cdots \rightarrow H_{DR}^i(U, \mathcal{E}) \rightarrow Q^i(\mathcal{E}) \rightarrow H_{DR}^{i-1}(U \cap Y, \mathcal{E}) \rightarrow \cdots$$

of cohomology groups.

#### 4.3. The asymptotic symbol $\varepsilon_{D,n}$

Let  $\mathcal{E}$  be a locally free coherent sheaf on  $X$  equipped with an integrable connection  $\nabla$  regular singular along  $Z$ ,  $n$  an integer and  $D$  a Weil divisor of  $X$  supported in  $Z$ . For all  $D$  and  $n$  such that  $p_{D,n}(\mathcal{E})$  and  $q_{D,n}(\mathcal{E})$  are isomorphisms, we define the *asymptotic symbol*

$$\varepsilon_{D,n}(\mathcal{E}): \det(P_n(\mathcal{E})) \xrightarrow{\sim} \det(Q(\mathcal{E}))$$

to be the unique isomorphism rendering the pentagonal diagram

$$\begin{array}{ccc} \det(H^*(G_{D,n}(\mathcal{E}), \partial_{\omega})) & \xrightarrow{\det(p_{D,n}(\mathcal{E}))} & \det(P_n(\mathcal{E})) \\ \uparrow h(G_{D,n}(\mathcal{E}), \partial_{\omega}) & & \downarrow \varepsilon_{D,n}(\mathcal{E}) \\ \det(G_{D,n}(\mathcal{E})) & & \\ \downarrow h(G_{D,n}(\mathcal{E}), \partial_{\nabla}) & & \\ \det(H^*(G_{D,n}(\mathcal{E}), \partial_{\nabla})) & \xrightarrow{\det(q_{D,n}(\mathcal{E}))} & \det(Q(\mathcal{E})) \end{array}$$

commutative, where  $h$  is as defined in section 2.4.5.

Now suppose that we are given another locally free coherent sheaf  $\mathcal{E}'$  on  $X$  equipped with integrable connection  $\nabla'$  regular singular along  $Z$  together with a horizontal isomorphism

$$B: (\mathcal{E}', \nabla')|_U \xrightarrow{\sim} (\mathcal{E}, \nabla)|_U.$$

Then for each  $D$  and  $n$  such that  $\varepsilon_{D,n}(\mathcal{E})$  and  $\varepsilon_{D,n}(\mathcal{E}')$  are defined, the diagram

$$\begin{array}{ccc} \det(P_n(\mathcal{E}')) & \xrightarrow{\det(P_n(B))} & \det(P_n(\mathcal{E})) \\ \downarrow \varepsilon_{D,n}(\mathcal{E}') & & \downarrow \varepsilon_{D,n}(\mathcal{E}) \\ \det(Q(\mathcal{E}')) & \xrightarrow{\det(Q(B))} & \det(Q(\mathcal{E})) \end{array}$$

in general *fails to commute*. The failure of commutativity has the following description. For each irreducible component  $Z_i$  of  $Z$  set

$m_i =$ : the multiplicity with which  $Z_i$  occurs in  $D$ ,

$\chi_i =$ : the Euler characteristic of  $Z_i \setminus Z'_i$ ,

$\chi_i(Y) =$ : the Euler characteristic of  $Y \cap (Z_i \setminus Z'_i)$ ,

where  $Z'_i$  denotes the union of the irreducible components of  $Z$  distinct from  $Z_i$ , and let  $\{a_{ij}\}$  (resp.  $\{a'_{ij}\}$ ) be the collection of exponents of  $\mathcal{E}$  (resp.  $\mathcal{E}'$ ) along  $Z_i$  indexed so that  $a'_{ij} - a_{ij} \in \mathbf{Z}$ , as is possible by Prop. 3.9.1. The main result of this paper is

**THEOREM 4.3.1**

$$\frac{\det(Q(B)) \circ \varepsilon_{D,n}(\mathcal{E}')}{\varepsilon_{D,n}(\mathcal{E}) \circ \det(P_n(B))} = \prod_i \left( \prod_j (\text{Res}_i(\omega))^{a'_{ij} - a_{ij}} \frac{\Gamma(a_{ij} - m_i)}{\Gamma(a'_{ij} - m_i)} \right)^{\chi_i - \chi_i(Y)}$$

*holds asymptotically in  $D$  and  $n$ .*

In order to make sense of the right-hand side of the asserted formula, the expression

$$\frac{\Gamma(s + \ell)}{\Gamma(s)} \quad (s \in k, \ell \in \mathbf{Z})$$

is to be construed as a shorthand for

$$\left. \begin{array}{ll} s(s+1) \cdots (s+\ell-1) & \text{if } \ell \geq 0 \\ (s-1)^{-1} \cdots (s-|\ell|)^{-1} & \text{if } \ell < 0 \end{array} \right\}.$$

#### 4.4. The asymptotic t-ratio $\rho_{D,n}$

Let  $\mathcal{E}$  be a coherent sheaf on  $X$  supported in  $Z$  equipped with an integrable connection  $\nabla$  regular singular along  $Z$ , let  $D$  be a Weil divisor of  $X$  supported in  $Z$  and let  $n$  be an integer.

**PROPOSITION 4.4.1.**  $\mathcal{G}_{D,n}(\mathcal{E})$  is  $\partial_\omega$ -acyclic. There exists a Weil divisor  $D_0$  such that  $\mathcal{G}_{D,n}(\mathcal{E})$  is  $\partial_\nabla$ -acyclic if  $D \geq D_0$ .

*Proof.* By the existence of virtuous filtrations (Prop. 3.6.1) there is no loss of generality in assuming that  $\mathcal{E}$  is pure and annihilated by the defining ideal of some irreducible component  $Z_i$  of  $Z$ . The asserted  $\partial_\omega$ -acyclicity follows from Prop. 3.4.2 together with the hypothesis that  $\text{Res}_i \omega$  is nonvanishing on  $Z_i$ . The asserted  $\partial_\nabla$ -acyclicity follows from Prop. 3.4.1 together with Prop. 3.10.2.  $\square$

Since  $Y$  is ample, one has

$$H^*(G_{D,n}(\mathcal{E}), \partial) = \mathbf{H}^*(X, (\mathcal{G}_{D,n}(\mathcal{E}), \partial)) = 0$$

asymptotically in  $D$  and  $n$  for either  $\partial = \partial_\omega$  or  $\partial = \partial_\nabla$ . Asymptotically in  $D$  and  $n$  we define

$$\rho_{D,n}(\mathcal{E}) =: \frac{\mathbf{t}(G_{D,n}(\mathcal{E}), \partial_\nabla)}{\mathbf{t}(G_{D,n}(\mathcal{E}), \partial_\omega)} \in k^\times,$$

where  $\mathbf{t}$  is the canonical trivialization of a acyclic complex of finite-dimensional vectorspaces defined in section 2.3.1.

**PROPOSITION 4.4.2.** *For each  $\nabla$ -stable coherent subsheaf  $\mathcal{E}'$  of  $\mathcal{E}$ , the formula*

$$\rho_{D,n}(\mathcal{E}) = \rho_{D,n}(\mathcal{E}') \rho_{D,n}(\mathcal{E}/\mathcal{E}')$$

*holds asymptotically in  $D$  and  $n$ .*

*Proof.* Since  $Y$  is ample and the functor  $\mathcal{G}_{D,n}$  is exact, the sequence

$$0 \rightarrow G_{D,n}(\mathcal{E}') \rightarrow G_{D,n}(\mathcal{E}) \rightarrow G_{D,n}(\mathcal{E}/\mathcal{E}') \rightarrow 0$$

is exact asymptotically in  $D$  and  $n$ . The asserted formula follows by the multiplicativity (§2.3.2) of  $\mathbf{t}$ .  $\square$

**PROPOSITION 4.4.3.** *If  $\mathcal{E}$  is pure and supported in an irreducible component  $Z_i$  of  $Z$ , then the formula*

$$\rho_{D,n}(\mathcal{E}) = \left( \frac{a_i - m_i}{\text{Res}_i \omega} \right)^{r'(G_{D,n}(\mathcal{E}))}$$

*holds asymptotically in  $D$  and  $n$ , where  $a_i$  is the scalar by which the exponential endomorphism  $\mathbf{a}_i$  operates on  $\mathcal{E}$ ,  $m_i$  is the multiplicity with which  $Z_i$  appears in  $D$  and  $r'$  is as defined in section 2.3.3.*

*Proof.* Let  $T_i$  be the residual contracting homotopy (§3.2.4). Then the induced codifferential  $T_i \otimes 1$  of  $\mathcal{G}_{D,n}(\mathcal{E})$  satisfies

$$(T_i \otimes 1) \partial_\nabla + \partial_\nabla (T_i \otimes 1) = a_i - m_i,$$

$$(T_i \otimes 1) \partial_\omega + \partial_\omega (T_i \otimes 1) = \text{Res}_i \omega$$

by Prop. 3.4.1 and Prop. 3.10.2 for the first relation and by Prop. 3.4.2 for the second. The graded  $k$ -vectorspace  $G_{D,n}(\mathcal{E})$  inherits analogous structure. The asserted formula is then an instance of the  $\mathbf{t}$ -ratio formula (§2.3.3).  $\square$

#### 4.5. Computation of Euler characteristics

Let  $\mathcal{F}$  be a coherent sheaf on  $X$  and let  $[\mathcal{F}]$  denote the class of  $\mathcal{F}$  in the Grothendieck group of (the category of coherent sheaves on)  $X$ . Let  $\chi(X, ?)$  denote the unique homomorphism from the Grothendieck group of  $X$  to the integers such that for all coherent sheaves  $\mathcal{F}$ ,

$$\chi(X, [\mathcal{F}]) =: \sum_n (-1)^n \dim_k H^n(X, \mathcal{F}).$$

Given a graded coherent sheaf  $\mathcal{E}$ , set

$$[\mathcal{E}] =: \sum_n (-1)^n [\mathcal{E}^n].$$

Note that the Euler characteristic  $\chi(X, [\Omega_{X/k}^\bullet(\log Z)])$  coincides with the Euler characteristic of  $X \setminus Z$  as defined in any reasonable cohomology theory, e.g. when  $k = \mathbb{C}$ ,  $\chi(X, [\Omega_{X/k}^\bullet(\log Z)])$  coincides with the Euler characteristic defined in terms of singular cohomology with  $\mathbb{Q}$ -coefficients. Let  $\xi$  denote the generic point of  $X$  and  $k(\xi)$  the function field of  $X$ .

##### PROPOSITION 4.5.1

$$\chi(X, [\Omega_{X/k}^\bullet(\log Z) \otimes \mathcal{F}]) = \chi(X, [\Omega_{X/k}^\bullet(\log Z)]) \dim_{k(\xi)} \mathcal{F}_\xi.$$

*Proof.* We begin with some reductions of the proof: (i) Since  $\mathcal{F}$  admits a finite resolution by locally free coherent sheaves, there is no loss of generality in assuming that  $\mathcal{F}$  is locally free. (ii) Let  $Z_i$  be an irreducible component of  $Z$  and let  $Z'_i$  denote the union of the irreducible components of  $Z$  distinct from  $Z_i$ . We have at our disposal a relation

$$[\Omega_{X/k}^p(\log Z) \otimes \mathcal{F}] = [\Omega_{X/k}^p(\log Z'_i) \otimes \mathcal{F}] + [\Omega_{Z_i/k}^{p-1}(\log Z \cap Z'_i) \otimes \mathcal{F}]$$

in the Grothendieck group of  $X$  by virtue of the existence of the residue exact sequence (§3.2.3). By induction on the dimension of  $X$  and a subsidiary induction on the number of irreducible components of  $Z$ , we may assume that  $Z = \emptyset$ . (iii) We may assume  $k = \mathbb{C}$  by the Lefschetz principle.

The reductions having been made, we apply the Hirzebruch-Riemann-Roch theorem [3, Thm. 21.1.1]. Write the total Chern classes of the tangent bundle of  $X$  and of  $\mathcal{F}$  in formally factored forms  $\prod_i (1 + \gamma_i)$  and  $\prod_j (1 + \delta_j)$ , respectively, where  $i$  runs from 1 up to the dimension of  $X$  and  $j$  runs from 1 up to the rank  $r$  of  $\mathcal{F}$ . Then the Chern character of  $[\Omega_{X/\mathbb{C}}^\bullet \otimes \mathcal{F}]$  is given in formally factored form by

$$\left( \prod_i (1 - e^{-\gamma_i}) \right) \sum_j e^{\delta_j},$$

while the Todd class of  $X$  is given by

$$\sum_i \frac{\gamma_i}{1 - e^{-\gamma_i}}.$$

The Hirzebruch-Riemann-Roch formula gives

$$\chi(X, [\Omega_{X/\mathbb{C}}^* \otimes \mathcal{F}]) = r \int_X \prod_i \gamma_i.$$

Hence  $\chi(X, [\Omega_{X/\mathbb{C}}^* \otimes \mathcal{F}])$  is proportional to the rank of  $\mathcal{F}$ . Taking  $\mathcal{F} = \mathcal{O}_X$ , we see that the constant of proportionality must be  $\chi(X, [\Omega_{X/\mathbb{C}}^*])$ .  $\square$

#### 4.6. A formula for $\rho_{D,n}$

For each irreducible component  $Z_i$  of  $Z$  let  $Z'_i$  denote the union of the irreducible components of  $Z$  distinct from  $Z_i$  and set

$m_i =$ : the multiplicity with which  $Z_i$  occurs in  $D$ ,

$\chi_i =$ : the Euler characteristic of  $Z_i \setminus Z'_i$ ,

$\chi_i(Y) =$ : the Euler characteristic of  $Y \cap (Z_i \setminus Z'_i)$ .

The key technical result of the paper is

**PROPOSITION 4.6.1.** *For all coherent sheaves  $\mathcal{E}$  on  $X$  supported in  $Z$  equipped with an integrable connection  $\nabla$  regular singular along  $Z$ , the relation*

$$\rho_{D,n}(\mathcal{E}) = \prod_i \left( \frac{(-\text{Res}_i \omega)^{\delta_i}}{P_i(\mathcal{E}; m_i)} \right)^{\chi_i - \chi_i(Y)}$$

holds asymptotically in  $D$  and  $n$ , where for each irreducible component  $Z_i$  of  $Z$ ,  $\delta_i$  is the degree of the characteristic polynomial  $P_i(\mathcal{E}; t)$  of  $\mathcal{E}$  along  $Z_i$ .

*Proof.* By the existence of virtuous filtrations (Prop. 3.6.1) and the compatibility of  $\rho_{D,n}$  with exact sequences (Prop. 4.4.2) on the one hand, and the compatibility of  $P_i(\mathcal{E}, t)$  with exact sequences (§3.8) on the other, we may assume that  $\mathcal{E}$  is pure and annihilated by the defining ideal of an irreducible component  $Z_i$  of  $Z$ . In view of Prop. 4.4.3, we only have to prove that

$$r'(G_{D,n}(\mathcal{E})) = -(\chi_i - \chi_i(Y))r_i(\mathcal{E})$$

holds asymptotically in  $D$  and  $n$ , where  $r_i(\mathcal{E})$  is the dimension over the function

field of  $Z_i$  of the stalk of  $\mathcal{E}$  at the generic point of  $Z_i$ . By the ampleness of  $Y$ ,

$$r'(G_{D,n}(\mathcal{E})) = \sum_p p(-1)^p \chi(X, [\mathcal{G}_{D,n}^p(\mathcal{E})])$$

asymptotically in  $D$  and  $n$ . Denoting the union of the irreducible components of  $Z$  distinct from  $Z_i$  by  $Z'_i$ , we have a relation

$$[\mathcal{G}_{D,n}^p(\mathcal{E})] = \sum_{j=p, p-1} [\Omega_{Z_i/k}^j(\log((Z'_i \cup Y) \cap Z_i)) \otimes \mathcal{E}(D + nY)]$$

in the Grothendieck group of  $X$  by virtue of the existence of the residual filtration (§3.2.3). Therefore

$$r'(G_{D,n}(\mathcal{E})) = -\chi(Z_i, [\Omega_{Z_i/k}^j(\log((Z'_i \cup Y) \cap Z_i)) \otimes \mathcal{E}(D + nY)])$$

asymptotically in  $D$  and  $n$ . By Prop. 4.5.1, the right-hand side is of the desired form.  $\square$

#### 4.7. Proof of the theorem

Now  $B$  induces a horizontal homomorphism  $\mathcal{E}' \rightarrow \iota_* \iota^* \mathcal{E}$  factoring through  $\mathcal{E}(D)$  for a suitable effective Weil divisor  $D$  of  $X$  supported in  $Z$ , where  $\iota: U \rightarrow X$  denotes the inclusion. If the theorem holds for  $\mathcal{E}'$ ,  $\mathcal{E}(D)$  and the  $B$ -induced horizontal isomorphism  $\iota^* \mathcal{E}' \xrightarrow{\sim} \iota^* \mathcal{E}(D)$  and also for  $\mathcal{E}$ ,  $\mathcal{E}(D)$  and the inclusion-induced horizontal isomorphism  $\iota^* \mathcal{E} \rightarrow \iota^* \mathcal{E}(D)$  then it must hold for  $B$  itself. Thus we may assume without loss of generality that  $B$  is the restriction of a horizontal monomorphism  $\mathcal{E}' \rightarrow \mathcal{E}$  which we again denote by  $B$ . After a little diagram-chasing, one finds that

$$\frac{\det(Q(B)) \circ \varepsilon_{D,n}(\mathcal{E}')}{\varepsilon_{D,n}(\mathcal{E}) \circ \det(P_n(B))} = \frac{\det(G_{D,n}(B), \partial_{\nabla})}{\det(G_{D,n}(B), \partial_{\omega})}$$

asymptotically in  $D$  and  $n$ . Since  $Y$  is ample, the sequence

$$0 \rightarrow G_{D,n}(\mathcal{E}') \xrightarrow{G_{D,n}(B)} G_{D,n}(\mathcal{E}) \rightarrow G_{D,n}(\text{coker}(B)) \rightarrow 0$$

is exact asymptotically in  $D$  and  $n$ . It follows (§2.4.2) that

$$\rho_{D,n}(\text{coker}(B)) = \frac{\det((G_{D,n}(B), \partial_{\nabla})}{\det((G_{D,n}(B), \partial_{\omega})}$$

asymptotically in  $D$  and  $n$ . By Prop. 3.9.1, for each irreducible component  $Z_i$  of



$Z$ , the exponents of  $\mathcal{E}$  and  $\mathcal{E}'$  along  $Z_i$  can be indexed so that  $\ell_{ij} =: a'_{ij} - a_{ij}$  is a nonnegative integer and

$$P_i(\text{coker}(B); t) = \prod_j \prod_{\lambda=0}^{\ell_{ij}-1} (t - a_{ij} - \lambda).$$

By Prop. 4.6.1,

$$\rho_{D,n}(\text{coker}(B)) = \prod_i \left( \prod_j \prod_{\lambda=0}^{\ell_{ij}-1} \frac{\text{Res}_i \omega}{a_{ij} - m_i + \lambda} \right)^{\chi_i - \chi_i(Y)}$$

With this the proof of Thm. 4.3.1 is complete.  $\square$

## 5. A semilinear variant of the main result

### 5.1. Notation and setting

5.1.1. As in the preceding section, let  $k$  be an algebraically closed field of characteristic 0. But now fix an automorphism  $\tau$  of  $k$  the fixed field  $k_0$  of which is again algebraically closed. Given a  $k$ -vectorspace  $V$ , let  $V^\tau$  denote the tensor product  $k \otimes_{k_0} V$  modulo the  $k_0$ -subspace generated by all expressions of the form

$$x(\tau y) \otimes v - x \otimes yv \quad (x, y \in k; v \in V).$$

A  $k$ -linear map (isomorphism)  $B: V^\tau \rightarrow V$  will be called a  $\tau$ -linear endomorphism (automorphism) of  $V$ . Given such, we define  $\det(B|V)$  to be the determinant of any matrix  $B_{ij}$  representing  $B$  with respect to a  $k$ -basis  $\{v_i\}$  of  $V$  in the sense that

$$B(1 \otimes v_j) = \sum_i B_{ij} v_i.$$

Such a determinant is well defined up to a factor in  $(k^\times)^{\tau-1}$ . Given  $x, y \in k^\times$  we write  $x \equiv y$  if  $x/y = z^{\tau-1}$  for some  $z \in k^\times$ .

5.1.2. Fix a smooth projective variety  $X_0/k_0$ , an effective divisor  $Z_0/k_0$  of  $X_0$  whose irreducible components  $Z_{0i}$  are smooth and cross normally. Let  $U_0$  be the complement of  $Z_0$  in  $X_0$  and assume that  $U_0$  is affine. Let  $\omega_0$  be a nonvanishing global section of  $\Gamma(X_0, \Omega_{X_0/k_0}^1(\log Z_0))$  with nonzero residues  $\text{Res}_i \omega_0 \in k_0$  along each irreducible component  $Z_{0i}$  of  $Z_0$ . Let  $Y_0$  be a hyperplane section of  $X_0$  in sufficiently general position so as to be smooth, cross  $Z_0$  normally and avoid the

zeroes of  $\omega_0$ . Under these assumptions the pullback of  $\omega_0$  to  $Y_0$  again has isolated zeroes. We denote the base-change to  $k$  of each of the preceding objects by the corresponding symbol without the subscript 0, e.g.

$$X =: X_0 \times_{\text{Spec}(k_0)} \text{Spec}(k).$$

More generally, given any locally closed subscheme  $W_0$  of  $X_0$ ,  $W$  denotes the corresponding locally closed subscheme of  $X$ . Given a quasi-coherent sheaf  $\mathcal{F}$  on  $X$  set

$$\mathcal{F}^\tau =: (\text{id}_{X_0} \times \text{Spec}(\tau))^* \mathcal{F}.$$

Note that

$$\Gamma(X, \mathcal{F}^\tau) = \Gamma(X, \mathcal{F})^\tau.$$

5.1.3. Let  $\mathcal{E}$  be a locally free coherent sheaf on  $X$  equipped with a  $k$ -linear integrable connection  $\nabla$  regular singular along  $Z$ . Note that  $\mathcal{E}^\tau$  is equipped by transport of structure with an integrable connection  $\nabla^\tau$ . Let

$$B: (\mathcal{E}^\tau, \nabla^\tau)|_U \xrightarrow{\sim} (\mathcal{E}, \nabla)|_U$$

be a horizontal isomorphism. Given a smooth closed subscheme  $W_0$  of  $U_0$ ,  $B$  induces  $\tau$ -linear automorphism of the hypercohomology group  $H_{DR}^*(W, \mathcal{E})$  and therefore we may define a determinant

$$\varepsilon(W_0, \mathcal{E}) \equiv: \prod_i \det(B | H_{DR}^i(W, \mathcal{E}))^{(-1)^i}$$

well defined up to a factor in  $(k^\times)^{\tau-1}$ .

## 5.2. Statement of the variant

Let  $\mathcal{E}$  be a locally free coherent sheaf on  $X$  equipped with  $k$ -linear integrable connection  $\nabla$  regular singular along  $Z$  and an isomorphism

$$B: (\mathcal{E}^\tau, \nabla^\tau)|_U \xrightarrow{\sim} (\mathcal{E}, \nabla)|_U.$$

The invariant  $\varepsilon(U_0, \mathcal{E})$  has the following description: For each irreducible component  $Z_i$  of  $Z$  let  $\chi_i$  denote the Euler characteristic of the complement in  $Z_i$  of the union of the irreducible components of  $Z$  distinct from  $Z_i$  and let  $\{a_{ij}\}$  be the collection of exponents of  $\mathcal{E}$  along  $Z_i$ . Then  $\{\tau a_{ij}\}$  is the collection of

exponents of  $\mathcal{E}^\tau$  along  $Z_i$ . By Prop. 3.9.1 a re-indexing  $\{a'_{ij}\}$  of  $\{\tau a_{ij}\}$  exists such that  $a'_{ij} - a_{ij} \in \mathbf{Z}$  for all indices  $j$ .

**THEOREM 5.2.1.** *For all sufficiently large positive integers  $N$ ,*

$$\varepsilon(U_0, \mathcal{E}) \equiv \left( \prod_{u_0} \varepsilon(u_0, \mathcal{E})^{\text{ord}_{u_0} \omega} \right)^{(-1)^{\dim(U)}} \prod_i \left( \prod_j (\text{Res}_i(\omega))^{a'_{ij} - a_{ij}} \frac{\Gamma(a_{ij} - N)}{\Gamma(a'_{ij} - N)} \right)^{x_i},$$

where  $u_0$  ranges over the closed points of  $U_0$ .

Evidently a necessary condition for the theorem to hold is that for all  $N$  sufficiently large and positive, the right-hand side of the asserted formula is independent of  $N$  up to factors in  $(k^\times)^{\tau-1}$ . Equivalently, it is necessary that

$$1 \equiv \prod_i \left( \prod_j \frac{a_{ij} - N}{a'_{ij} - N} \right)^{x_i}$$

for all  $N$  sufficiently large and positive. But the latter is clearly the case, because for each  $i$  the collection of exponents  $\{a'_{ij}\}$  of  $\mathcal{E}^\tau$  along  $Z_i$  coincides, up to re-indexing, with  $\{\tau a_{ij}\}$ .

### 5.3. Proof of the variant

5.3.1. Let  $W_0$  be a closed subscheme of  $U_0$  such that the pullback  $\mu_0^* \omega_0$  has isolated zeroes on  $W_0$ , where  $\mu_0: W_0 \rightarrow X_0$  denotes the inclusion. (The only two cases we have in mind are  $W_0 = U_0$  and  $W_0 = Y_0$ .) It follows (§3.2.2) that  $\mathcal{H}^*(\Omega_{W/k}^*, \partial_{\mu^* \omega})$  is a graded coherent sheaf concentrated in dimension equal to  $\dim(W)$  and supported on a finite set of closed points of  $W$ . Now  $B$  induces a  $\tau$ -linear automorphism of  $H_\omega^*(W, \mathcal{E}(nY))$  and therefore we may form a determinant

$$\varepsilon_n(W_0, \mathcal{E}, \omega_0) \equiv: \prod_i \det(B|H_\omega^i(W, \mathcal{E}(nY)))^{(-1)^i}$$

well defined up to a factor in  $(k^\times)^{\tau-1}$ . A spectral sequence argument gives

$$H_\omega^*(W, \mathcal{E}(nY)) = \Gamma(W, \mathcal{H}^*(\Omega_{W/k}^*, \partial_{\mu^* \omega}) \otimes \mu^* \mathcal{E}(nY)),$$

whence the formula

$$\varepsilon_n(W_0, \mathcal{E}, \omega_0) \equiv \left( \prod_{w_0} \varepsilon(w_0, \mathcal{E})^{\text{ord}_{w_0} \mu^* \omega} \right)^{(-1)^{\dim(W)}}$$

where  $w_0$  runs over the closed points of  $W_0$ . In particular, it follows that  $\varepsilon_n(W_0, \mathcal{E}, \omega_0)$  is independent of  $n$ .

5.3.2. In order to prove the theorem it will be enough to show that, for all sufficiently large positive integers  $N$ , the formula

$$\frac{\varepsilon(U_0, \mathcal{E})}{\varepsilon_n(U_0, \mathcal{E}, \omega_0)} \equiv \prod_i \left( \prod_j (\text{Res}_i(\omega))^{a'_{ij} - a_{ij}} \frac{\Gamma(a_{ij} - N)}{\Gamma(a'_{ij} - N)} \right)^{x_i}.$$

holds for some (hence all)  $n$ . By induction on dimension, the formula

$$\frac{\varepsilon(U_0 \cap Y_0, \mathcal{E})}{\varepsilon_n(U_0 \cap Y_0, \mathcal{E}, \omega_0)} \equiv \prod_i \left( \prod_j (\text{Res}_i(\omega))^{a'_{ij} - a_{ij}} \frac{\Gamma(a_{ij} - N)}{\Gamma(a'_{ij} - N)} \right)^{x_i(Y)}$$

holds for all  $N$  sufficiently large and positive and all  $n$ , where, as in Thm. 4.3.1, we denote by  $\chi_i(Y)$  the Euler characteristic of the intersection of  $Y$  with the complement in  $Z_i$  of the union of the irreducible components of  $Z$  distinct from  $Z_i$ .

5.3.3. Now  $B$  induces  $\tau$ -linear automorphisms of the graded  $k$ -vectorspaces  $P_n(\mathcal{E})$  and  $Q(\mathcal{E})$ . Hence we can form determinants

$$\varepsilon_n(U_0, Y_0, \mathcal{E}, \omega_0) \equiv: \prod_i \det(B|P_n^i(\mathcal{E}))^{(-1)^i}$$

$$\varepsilon(U_0, Y_0, \mathcal{E}) \equiv: \prod_i \det(B|Q^i(\mathcal{E}))^{(-1)^i}$$

well defined up to factors in  $(k^\times)^{\tau-1}$ . By definition

$$\frac{\varepsilon(U_0, Y_0, \mathcal{E})}{\varepsilon_n(U_0, Y_0, \mathcal{E}, \omega_0)} \equiv \frac{\det(Q(B)) \circ \varepsilon_{D,n}(\mathcal{E}^\tau)}{\varepsilon_{D,n}(\mathcal{E}) \circ \det(P_n(B))}$$

asymptotically in Weil divisors  $D$  of  $X$  supported in  $Z$  and integers  $n$ . Therefore, by Thm. 4.3.1,

$$\frac{\varepsilon(U_0, Y_0, \mathcal{E})}{\varepsilon_n(U_0, Y_0, \mathcal{E}, \omega_0)} \equiv \prod_i \left( \prod_j (-\text{Res}_i(\omega))^{a'_{ij} - a_{ij}} \frac{\Gamma(a_{ij} - m_i)}{\Gamma(a'_{ij} - m_i)} \right)^{x_i - x_i(Y)},$$

asymptotically in  $D$  and  $n$ , where  $m_i$  denotes the multiplicity with which the irreducible component  $Z_i$  of  $Z$  occurs in  $D$ .

5.3.4. By considering the natural long exact sequences of section 4.2, we get a relation

$$\frac{\varepsilon(U_0, \mathcal{E})}{\varepsilon_n(U_0, \mathcal{E}, \omega_0)} \equiv \left( \frac{\varepsilon(U_0, Y_0, \mathcal{E})}{\varepsilon_n(U_0, Y_0, \mathcal{E}, \omega_0)} \right) \left( \frac{\varepsilon(Y_0 \cap U_0, \mathcal{E})}{\varepsilon_n(Y_0 \cap U_0, \mathcal{E}, \omega_0)} \right).$$

With this, the proof of Thm. 5.2.1 is complete. □

## 5.4. Proof of the theorem stated in the introduction

We continue to work in the setting of section 5.1. We specialize however, taking  $k$  to be an algebraic closure of the field  $\mathbf{C}(s)$  of rational functions in a variable  $s$  defined over  $\mathbf{C}$  and take  $\tau$  be a  $\mathbf{C}$ -linear automorphism of  $k$  such that  $\tau s = s + 1$ . The fixed field  $k_0$  of  $\tau$  is determined by

LEMMA 5.4.1. *If  $y \in k^\times$  satisfies  $y^{\tau-1} \in \mathbf{C}(s)^\times$ , then  $y \in \mathbf{C}(s)^\times$ . In particular,  $k_0 = \mathbf{C}$ .*

*Proof.* Such an element  $y$  generates a finite algebraic extension  $K$  of  $\mathbf{C}(s)$  stable under  $\tau$ , and consequently the set of places of  $\mathbf{C}(s)/\mathbf{C}$  ramified in  $K$  is a finite  $\tau$ -stable set. This can happen only if  $K/\mathbf{C}(s)$  ramifies at  $s = \infty$  only. But then no places of  $\mathbf{C}(s)/\mathbf{C}$  can ramify in  $K$  at all, hence  $K = \mathbf{C}(s)$ . It follows that  $k_0 \subseteq \mathbf{C}(s)$ , but since clearly  $k_0 \cap \mathbf{C}(s) = \mathbf{C}$ , necessarily  $k_0 = \mathbf{C}$ .  $\square$

Now let  $f_0$  be a meromorphic function on  $X_0$  defined and nowhere vanishing on  $U_0$  and take  $\mathcal{E}$  to be a copy of the structure sheaf of  $X$  equipped with the unique integrable connection  $\nabla$  regular singular along  $Z$  such that

$$\partial_{\nabla}(\eta \otimes e) = d\eta \otimes e + \left( s \frac{df}{f} \wedge \eta \right) \otimes e,$$

and equipped with the horizontal isomorphism

$$B =: (e^\tau \mapsto fe): (\mathcal{E}^\tau, \nabla^\tau)|_U \xrightarrow{\sim} (\mathcal{E}, \nabla)|_U.$$

Then

$$\varepsilon(U_0, \mathcal{E}) \equiv \varepsilon(U_0, f_0),$$

where the latter is as defined in the introduction. (The symbols  $X_0, U_0, \dots$ , here correspond to the symbols  $X, U, \dots$ , in the introduction.) Now  $\varepsilon(U_0, f_0)$  is defined up to a factor in  $(\mathbf{C}(s)^\times)^{\tau-1}$ , but in view of Lemma 5.4.1 it will suffice merely to determine  $\varepsilon(U_0, f_0)$  up to a factor in  $(k^\times)^{\tau-1}$ .

Note that the exponent of  $\mathcal{E}$  (resp.  $\mathcal{E}^\tau$ ) along  $Z_i$  is  $m_i s$  (resp.  $m_i(s+1)$ ), where  $m_i$  is the order of vanishing of  $f$  along  $Z_i$ . By Thm. 5.2.1,

$$\varepsilon(U_0, \mathcal{E}) \equiv \left( \prod_{u_0} f_0(u_0)^{\text{ord}_{u_0} \omega} \right)^{(-1)^{\dim(U)}} \prod_i \left( (\text{Res}_i \omega_0)^{m_i} \frac{\Gamma(m_i s - N)}{\Gamma(m_i(s+1) - N)} \right)^{x_i}$$

for all sufficiently large positive integers  $N$ . But the right-hand side, for  $N$  ranging over the integers, is independent of  $N$  modulo coboundaries; taking  $N = 0$  we get the desired formula. The proof of Thm. 1.1 is complete.  $\square$

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## Note added in proof

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