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## DEDEKIND SUMS AND POWER RESIDUE SYMBOLS

Robert Sczech

1. The main intention of this report is to discuss a conjecture about a relation between quadratic residue symbols in imaginary quadratic fields and Dedekind sums built up from elliptic functions, which were introduced in [11]. This conjecture generalizes the known relation between the Legendre symbol and the classical Dedekind sums, and also has some relation to the well-known conjectures of Stark and Birch-Swinnerton-Dyer about special values of L-series. In the easiest case the conjecture is as follows. Let  $K$  be an imaginary quadratic field of discriminant  $\equiv 1(8)$ . There is a canonical homomorphism  $\Psi$  (defined in Section 5) of  $SL_2\mathcal{O}_K$  with values in  $\mathcal{O}_H$ , the ring of integers in the Hilbert classfield  $H$  of  $K$ . The conjecture says that the image module  $\Psi(SL_2\mathcal{O}_K)$  reduced mod  $(8\mathcal{O}_H)$  is a cyclic subgroup of  $\mathcal{O}_H/8\mathcal{O}_H$ , and that the homomorphism  $SL_2\mathcal{O}_K \rightarrow \frac{1}{4}\pi i(\mathbb{Z}/8\mathbb{Z})$  induced in this way is the logarithm of a theta multiplier which occurs in the transformation theory of the theta series used by Hecke [6] to establish the quadratic reciprocity law in  $K$ . There are more general statements of a similar type but involving cocycles rather homomorphisms on  $SL_2\mathcal{O}_K$ , and valid for arbitrary imaginary quadratic fields.

I begin with a detailed review of the classical situation.

2. Dedekind sums are usually introduced as a multiplier of a modular form, but following Kronecker [9] we can introduce them most naturally as a logarithm of the Legendre symbol. The key point is the Lemma of Gauss, which gives a multiplicative decomposition of the Legendre symbol:

$$\left(\frac{p}{q}\right) = \prod_{r=1}^{q^*} s\left(\frac{pr}{q}\right), \quad q^* = \frac{q-1}{2}$$

for two relatively prime integers  $p, q$  ( $q > 0$ , odd), and the periodic function  $s: \mathbb{R}/\mathbb{Z} \rightarrow \{\pm 1, 0\}$ , given by  $s(x) = \text{sign}(x)$  for  $|x| < 1/2$  (Gauss proved this only for a prime number  $q$ ; the first general proof was given by the German mathematician Schering). Taking logarithms and observing that

$$\log s \equiv \frac{\pi i}{2}(1-s) \pmod{2\pi i}$$

for  $s = \pm 1$ , we get

$$\log\left(\frac{p}{q}\right) \equiv \frac{\pi i}{2} \left( q^* - \sum_{r=1}^{q^*} s\left(\frac{pr}{q}\right) \right) \pmod{2\pi i}.$$

To develop this expression further we use the Fourier expansion

$$s\left(\frac{pr}{q}\right) = \frac{2}{\pi} \sum_{n \equiv 1(2)} \frac{\sin(2\pi npr/q)}{n},$$

and change the order of summation. Using the elementary identity

$$2 \sum_{r=1}^{q^*} \sin(2\pi npr/q) = \cot \pi \left( \frac{p-1}{2} + \frac{pn}{2q} \right),$$

we get in this way

$$\begin{aligned} \sum_{r=1}^{q^*} s\left(\frac{pr}{q}\right) &= \frac{1}{\pi} \sum_{n \equiv 1(2)} \frac{\cot \pi \left( \frac{p-1}{2} + \frac{pn}{2q} \right)}{n} \\ &= \frac{1}{\pi} \sum_{r(q)} \cot \pi \left( \frac{p-1}{2} + \frac{p(2r+1)}{2q} \right) \sum_{n \equiv 2r+1(2q)} \frac{1}{n} \\ &= \frac{1}{2q} \sum_{r(q)} \cot \pi \left( \frac{p-1}{2} + \frac{p(2r+1)}{2q} \right) \cot \pi \left( \frac{2r+1}{2q} \right). \end{aligned}$$

The last expression is called a Dedekind sum; the general definition

$$d(a, c, u, v) = \frac{1}{c} \sum'_{r(c)} \cot \pi \left( \frac{a(r+u)}{c} + v \right) \cot \pi \left( \frac{r+u}{c} \right)$$

makes sense for any integers  $a, c$  ( $c \neq 0$ ) and complex numbers  $u, v$  (as usual, we indicate the omission of the meaningless elements in a sum by writing  $\Sigma'$  instead of  $\Sigma$ ). With this notation we have therefore proved

**THEOREM 1:**

$$\log\left(\frac{p}{q}\right) \equiv \frac{\pi i}{4} \left( q-1 - d\left(p, q; \frac{1}{2}, \frac{p-1}{2}\right) \right) \pmod{2\pi i}.$$

*In other words, the expression in brackets is always  $0 \pmod{4}$ , but it is  $0 \pmod{8}$  iff  $\left(\frac{p}{q}\right) = +1$ .*

This fact, though interesting in itself, has a deeper meaning. In the theory of modular forms, the Legendre symbol occurs as a multiplier of a theta series, and Dedekind sums as the (additive) periods of certain Eisenstein series. Theorem 1 says, therefore, that the multiplier of a theta series can be written as the exponential of a period of an Eisenstein series. But actually more is true. As noticed by Hecke [5], the theta series itself can be written as the exponential of the integral of an Eisenstein series. This fact is merely a reinterpretation of the beautiful triple product identity of Jacobi. Looking back, we can therefore interpret the Lemma of Gauss as a miniature version of the Jacobi identity. To be more specific, put

$$\Theta_{xy}(\tau) = \sum_{n \in \mathbb{Z}} (-1)^{nx} e^{\pi i(n+y/2)^2 \tau}$$

for  $x, y \in \{0, 1\}$  and  $\tau \in \mathcal{H} = \{\tau \in \mathbb{C} \mid \text{Im}(\tau) > 0\}$ . These are the four ‘‘Thetanullwerte’’ in the notation of Hermite, but only  $\Theta_{00}, \Theta_{01}, \Theta_{10}$  are of interest because  $\Theta_{11}$  vanishes identically. Under the action of the full modular group  $\text{SL}(2, \mathbb{Z})$ , these functions are permuted as follows:

$$\begin{aligned} \Theta_{01}(\tau + 1) &= e^{\frac{1}{4}\pi i} \Theta_{01}(\tau), \quad \Theta_{10}(\tau + 1) = \Theta_{00}(\tau), \quad \Theta_{00}(\tau + 1) \\ &= \Theta_{10}(\tau), \\ \Theta_{01}\left(-\frac{1}{\tau}\right) &= \sqrt{\frac{\tau}{i}} \Theta_{10}(\tau), \quad \Theta_{10}\left(-\frac{1}{\tau}\right) = \sqrt{\frac{\tau}{i}} \Theta_{01}(\tau), \quad \Theta_{00}\left(-\frac{1}{\tau}\right) \\ &= \sqrt{\frac{\tau}{i}} \Theta_{00}(\tau) \end{aligned}$$

with the principal value of the square root. And for  $A = \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in \text{SL}(2, \mathbb{Z})$ ,  $A \equiv 1(2)$  with  $c > 0$  we have the following theorem of Hermite [7].

**THEOREM 2:**

$$\Theta_{xy}\left(\frac{a\tau + b}{c\tau + d}\right) = \chi \sqrt{\frac{c\tau + d}{i}} \Theta_{xy}(\tau)$$

with an eighth root of unity  $\chi = \chi_{xy}(A)$ ,

$$\chi = \left(\frac{c}{a(1+cx)}\right) \exp\left[\frac{\pi i}{4}(a(1+cx) - b(d+2)y)\right].$$

In particular, for  $A \equiv 1(8)$  we have  $\chi = \left(\frac{c}{a}\right) e^{\frac{1}{4}\pi i}$ . To prove this theorem, one uses the Poisson summation formula and then obtains an expression for  $\chi$  involving a Gaussian sum. This is how the Legendre symbol enters the picture.

On the other hand, given real numbers  $u, v$ , we set

$$H(\tau, u, v) = c_0(u) \left[ \tau \pi^2 c_2(v) - 4\pi i \log^2 \right] \\ + \pi \sum'_{m \in \mathbb{Z} + u} \left[ \frac{\cot \pi(m\tau + v)}{m} + \frac{i}{|m|} \right]$$

with

$$c_0(u) := \begin{cases} -1, & u \in \mathbb{Z} \\ 0, & u \in \mathbb{R} \setminus \mathbb{Z} \end{cases} \quad \text{and} \quad c_2(v) := \begin{cases} 1/3, & v \in \mathbb{Z} \\ \sin^{-2}\pi v, & v \in \mathbb{R} \setminus \mathbb{Z} \end{cases}$$

Up to a constant,  $H(\tau, u, v)$  is the integral  $\int_{\tau} E(z) dz$  of the Eisenstein series

$$E(z) = \sum'_{m \in \mathbb{Z} + u} \sum'_{n \in \mathbb{Z} + v} (mz + n)^{-2}.$$

Taking the principal value of the logarithm, we have the following connection to the non-vanishing theta functions  $\Theta_{00}, \Theta_{10}, \Theta_{01}$ :

**THEOREM 3:**

$$4\pi i \log \Theta_{xy}(\tau) = H\left(\tau, \frac{y+1}{2}, \frac{x+1}{2}\right).$$

This is a special case of a more general theorem of Hecke [5], and represents essentially a rewriting of Jacobi's triple product identity. By the way, in the excluded case  $x = y = 1$  we have

$$4\pi i \log 2\eta(\tau) = H(\tau, 1, 1) = H(\tau, 0, 0)$$

with the well-known Dedekind eta-function

$$\eta(\tau) = q^{1/24} \prod_{n=1}^{\infty} (1 - q^n), \quad q = e^{2\pi i \tau}.$$

The behavior of  $H(\tau, u, v)$  under the modular group is given by the following theorem [12].

**THEOREM 4:**

$$H\left(\frac{a\tau + b}{c\tau + d}, u, v\right) - H(\tau, u, v) \\ = 2\pi i \log \frac{c\tau + d}{i} + \pi^2 \left( \frac{a+d}{c} c_0(u) c_2(v) + d(a, c; u, v) \right)$$

for  $c > 0$  and  $u \equiv au + cv$ ,  $v \equiv bu + dv(1)$ . The last condition imposes, of course, a restriction on the admissible values of  $u$ ,  $v$  for a given matrix. A reciprocity formula for Dedekind sums can be derived from this theorem. We note here only the special case

$$d\left(a, c; \frac{1}{2}, \frac{1}{2}\right) + d\left(c, a; \frac{1}{2}, \frac{1}{2}\right) = -\text{sign}(ac).$$

As a final application of all these formulas, we now give a second proof of Theorem 1. It follows from Theorem 2 that

$$\chi_{00}\left(\begin{array}{cc} a & b \\ c & d \end{array}\right) = \left(\frac{c}{a}\right) e^{i\pi a}, \quad \text{if } \begin{pmatrix} a & b \\ c & d \end{pmatrix} \equiv 1(2), \quad c > 0.$$

On the other hand, calculating  $\chi$  with the help of Theorem 3 and 4, we get for  $a, c > 0$ ,

$$\begin{aligned} \chi_{00}\left(\begin{array}{cc} a & b \\ c & d \end{array}\right) &= \exp\left[\frac{\pi i}{4}\left(-d\left(a, c; \frac{1}{2}, \frac{1}{2}\right)\right)\right] \\ &= \exp\left[\frac{\pi i}{4}\left(1 + d\left(c, a; \frac{1}{2}, \frac{1}{2}\right)\right)\right], \end{aligned}$$

or

$$\left(\frac{c}{a}\right) = \exp\left[\frac{\pi i}{4}\left(a - 1 - d\left(c, a; \frac{1}{2}, \frac{1}{2}\right)\right)\right].$$

This is the assertion of Theorem 1 for an even  $p > 0$ .

3. All the things we have discussed so far are connected with the modular group  $\text{SL}(2, \mathbf{Z})$ , and could be classified in modern terminology as part of the so-called Eisenstein cohomology of the group  $\text{SL}_2$  over the field of rational numbers. Now we study Dedekind sums and theta series with respect to the group  $\text{SL}_2(\mathcal{O}_K)$ , where  $\mathcal{O}_K$  denotes the integers of an imaginary quadratic field  $K$ . Though formally more complicated in this case, things become in a certain sense easier. One reason for this is that the transformation law of the theta series (suitably normalized) now can be written as

$$\Theta(A\tau) = \chi(A)\Theta(\tau)$$

with a fourth root of unity  $\chi(A)$  for  $A \in \Gamma(2)$ ,

$$\Gamma(2) = \{A \in \text{SL}_2(\mathcal{O}_K) \mid A \equiv 1(2)\}.$$

Applying this law twice we conclude that

$$\chi(AB) = \chi(A)\chi(B),$$

if  $\Theta$  does not vanish identically. In other words,  $\Theta$  defines a homomorphism  $\chi$  of  $\Gamma(2)$  into the fourth roots of unity. For a matrix  $A \equiv \pm 1(8)$  this homomorphism is given by

$$\chi \begin{pmatrix} a & b \\ c & d \end{pmatrix} = \left( \frac{c}{a} \right),$$

where the symbol on the right hand side now denotes the Legendre symbol in  $K$ , defined for an integer  $x \in \mathcal{O}_K$  and an odd prime ideal  $\mathfrak{p} \subset \mathcal{O}_K$  (i.e.,  $2 \notin \mathfrak{p}$ ) as usual by

$$\left( \frac{x}{\mathfrak{p}} \right) := -1 + \# \{ y \bmod \mathfrak{p} \mid y^2 \equiv x(\mathfrak{p}) \},$$

and extended to a multiplicative function  $\left( \frac{x}{\mathfrak{a}} \right)$  in  $\mathfrak{a}$ . It is a highly remarkable fact, first noticed by Kubota [10], that the homomorphism property of  $\chi$  is essentially equivalent to quadratic reciprocity in  $K$ . This implies, in particular, that the kernel of  $\chi$  does not contain any congruence subgroup of  $\mathrm{SL}_2(\mathcal{O}_K)$ .

On the other hand, replacing the cotangent function in the definition of the Dedekind sum  $d(a, c; u, v)$  by an appropriate elliptic function, we get Dedekind sums with respect to the group  $\mathrm{SL}_2(\mathcal{O}_K)$ ; the exact definition will be given in the next section. The main point is that these new sums provide a supply of additive homomorphisms of a principal congruence subgroup  $\Gamma(\mathfrak{a})$  into the complex numbers,  $\mathfrak{a} \subset \mathcal{O}_K$  a nonzero ideal. We call them Eisenstein homomorphisms because they constitute the Eisenstein part in the usual decomposition

$$H^1(\Gamma(\mathfrak{a}), \mathbb{C}) = H_{\mathrm{Eis}}^1(\Gamma(\mathfrak{a}), \mathbb{C}) \oplus H_{\mathrm{cusp}}^1(\Gamma(\mathfrak{a}), \mathbb{C})$$

of the first cohomology group  $H^1$  of  $\Gamma(\mathfrak{a})$  (cf. [4]). The number of linearly inequivalent homomorphisms we get in this way equals the number of cusps of  $\Gamma(\mathfrak{a})$ , which is the class number of  $K$  in the case  $\mathfrak{a} = \mathcal{O}_K$ .

The basic question we are interested in can now be formulated as follows: is it possible to write every theta multiplier  $\chi$  as  $\chi = \exp \circ \Phi$  with a suitable Eisenstein homomorphism  $\Phi: \Gamma(2) \rightarrow \frac{1}{2}\pi i\mathbb{Z}$ ? Of course, we guess that the answer is always yes. We will discuss some numerical examples later, and this evidence will lead us to a much stronger conjecture.

After this survey we now give the definition of the theta series. The symmetric space for the group  $\mathrm{SL}_2(\mathcal{O}_K)$  is the hyperbolic upper half-space  $H^3$ , which is most conveniently represented as the set of quaternions  $\tau = z + jv$  ( $z \in \mathbb{C}$ ,  $v > 0$ ,  $j^2 = -1$ ,  $ij = -ji$ ) because the action of an

element  $\begin{pmatrix} a & b \\ c & d \end{pmatrix}$  in  $\mathrm{SL}_2(\mathcal{O}_K)$  can be written in the familiar way

$$\tau \mapsto (a\tau + b)(c\tau + d)^{-1}.$$

Using this representation we define the theta series  $\Theta_{x,y}$  of the characteristic  $xy$  ( $x, y \in \frac{1}{2}\mathcal{O}_K$ ) by

$$\Theta_{x,y}(\tau) := \sqrt{v} \sum_{\mu \in \mathcal{O}_K} \exp\left(-\frac{2\pi v |\mu + y|^2}{|\sqrt{D}|}\right) + \pi i \operatorname{tr}\left(\frac{(\mu + y)^2 z + 2\mu x}{\sqrt{D}}\right),$$

where  $D$  is the discriminant of  $K$ , and  $\operatorname{tr}$  denotes the trace map  $\mathbb{C}/\mathbb{R}$ . These functions were introduced by Hecke in his book [6] to prove the quadratic reciprocity law in  $K$ , but Hecke did not mention that they are automorphic functions for the group  $\Gamma(2)$ . The characteristic of a theta series is called odd (resp. even) if the number  $\operatorname{tr}(4xy/\sqrt{D})$  is odd (resp. even). Shifting  $\mu$  to  $-\mu - 2y$  in the definition of  $\Theta_{x,y}$  we get

$$\Theta_{x,y}(\tau) = \Theta_{x,y}(\tau) \exp\left(-\pi i \operatorname{tr}\left(\frac{4xy}{\sqrt{D}}\right)\right),$$

so  $\Theta_{x,y}$  vanishes identically if the characteristic is odd. For an even characteristic,  $\Theta_{x,y}$  is known to be a non-constant function. It is easy to check

$$\Theta_{x'y} = \Theta_{x,y}, \quad \Theta_{xy'} = \Theta_{x,y} \exp\left(-\pi i \operatorname{tr}\left(\frac{2xw}{\sqrt{D}}\right)\right) \quad (7)$$

for  $x' = x + w$ ,  $y' = y + w$ , and  $w \in \mathcal{O}_K$ . Therefore, we get by the definition above only 10 essentially different theta functions which do not vanish identically. To give the transformation law for these functions under the subgroup  $\Gamma(2) \subset \mathrm{SL}_2(\mathcal{O}_K)$  we first note

$$\Theta_{x,y}(\tau + b) = \Theta_{x,y}(\tau) \exp \pi i \operatorname{tr}\left(\frac{by^2}{\sqrt{D}}\right) \quad \text{for } b \in 2\mathcal{O}_K,$$

which follows immediately from the definition. For a general substitution  $A = \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in \Gamma(2)$  we have

**THEOREM 6:**  $\Theta_{xy}(A\tau) = \chi_{xy}(A)\Theta_{xy}(\tau)$  with  $\chi_{xy}(A) = \varphi\psi$  given by

$$\varphi := \exp\left(-\pi i \operatorname{tr}\left(\frac{2xy(a+1) + acx^2 + b(d+2)y^2}{\sqrt{D}}\right)\right),$$

$$\psi := \left(\frac{c}{a}\right) \begin{cases} 1, & D \equiv 0(4) \\ \left(\frac{2}{a}\right), & a \equiv \pm 1(4), D \equiv 1(4) \\ \pm i\left(\frac{2}{a\sqrt{D}}\right), & a \equiv \pm\sqrt{D}(4), D \equiv 1(4). \end{cases}$$

Note that  $\psi$  does not depend on  $xy$ , and therefore  $\varphi$  and  $\psi$  are both homomorphisms of  $\Gamma(2)$ . In the case  $D \equiv 1(8)$  we even can describe the action of the full group  $\mathrm{SL}_2(\mathcal{O}_K)$  on these theta functions.

**THEOREM 7:** For  $D \equiv 1(8)$  and  $A = \begin{pmatrix} a & b \\ c & d \end{pmatrix}$  in  $\mathrm{SL}_2(\mathcal{O}_K)$  we have

$$\Theta_{xy}(A\tau) = \Omega\Theta_{x'y'}(\tau)$$

with  $x' = by + dx + bd/2$ ,  $y' = ay + cx + ac/2$ , and an eighth root of unity  $\Omega = \Omega_{xy}(A)$ , given by

$$\Omega = \exp \pi i \operatorname{tr}\left(\frac{aby^2}{\sqrt{D}}\right) \text{ if } c = 0, \text{ resp. if } c \neq 0,$$

$$\Omega = G \exp \pi i \operatorname{tr}\left(\frac{a^2cd}{4\sqrt{D}} + \frac{(by + dx)(ay + cx + ac) - xy}{\sqrt{D}}\right)$$

where  $G$  is the Gauss sum

$$G = \frac{1}{4|c|} \sum_{\nu(2c)} \exp \pi i \operatorname{tr}\left(\frac{a(1+c)}{c\sqrt{D}}\nu^2\right).$$

The value of  $G$  can be determined explicitly. If  $c \equiv 1(2)$ , then by the theorems proved in Hecke's book [6],

$$G = \left(\frac{-2a}{c}\right) \cdot \begin{cases} 1, & c \equiv \pm 1(4) \\ \pm i, & c \equiv \pm\sqrt{D}(4). \end{cases}$$

If  $c \not\equiv 1(2)$ , then  $a \equiv 1(2)$  or  $a + c \equiv 1(2)$ ; the best way to deal with these cases is perhaps to reduce them to the case  $c \equiv 1(2)$  by applying Theorem 7 twice to the identity

$$\begin{pmatrix} a & b \\ c & d \end{pmatrix} = \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix} \begin{pmatrix} -c & -d \\ a & b \end{pmatrix} = \begin{pmatrix} 1 & 1 \\ -1 & 0 \end{pmatrix} \begin{pmatrix} -c & -d \\ a+c & b+d \end{pmatrix},$$

and using (7). Note that  $x'y'$  is again an even characteristic because  $\Theta_{x'y'}$  does not vanish identically. So we have an action of  $\mathrm{SL}_2\mathcal{O}_K$  on the even characteristics, and one verifies easily that there are two orbits under this action;  $(1/2, 1/2)$  constitutes one orbit, while the other nine characteristics constitute the second orbit. There is a bijection between the last nine characteristics  $xy$  and the primitive 2-division points  $(u, v)$  in  $(\frac{1}{2}\mathcal{O}_K/\mathcal{O}_K)^2$ , given by the map

$$xy \mapsto (u, v) = (y + \frac{1}{2}, x + \frac{1}{2}). \quad (8)$$

The induced action of  $\mathrm{SL}_2\mathcal{O}_K$  on the 2-division points is the usual linear action, i.e.

$$x'y' \mapsto (u, v)A.$$

A second corollary from the definition of  $A(xy) := x'y'$  is that

$$AB(xy) \equiv A(B(xy)) \pmod{\mathcal{O}_K}$$

for all  $A, B \in \mathrm{SL}_2\mathcal{O}_K$ , or

$$\Omega_{xy}(AB) = \pm \Omega_{xy}(A)\Omega_{x'y'}(B).$$

To get rid of the disturbing  $\pm$  sign (which comes from (7)), let  $R$  be a complete representative system of all even characteristics mod  $\mathcal{O}_K$ . Thus, for any even characteristic  $xy$  there is a unique  $\pi(xy) \in R$  with  $\pi(xy) \equiv xy \pmod{\mathcal{O}_K}$ . The eight root of unity  $\chi_{xy}(A)$  defined by

$$\Theta_{\pi(xy)}(A\tau) = \chi_{xy}(A)\Theta_{\pi(x'y')}(\tau)$$

then has the cocycle property

$$\chi_{xy}(AB) = \chi_{xy}(A)\chi_{x'y'}(B), \quad A, B \in \mathrm{SL}_2\mathcal{O}_K.$$

However,  $\chi$  depends on  $R$ . To have a definite choice, we prescribe the coordinates  $x, y$  of  $xy \in R$  to be elements of

$$\left\{ 0, \frac{1}{2}, \frac{3 + \sqrt{D}}{4}, \frac{3 - \sqrt{D}}{4} \right\}, \quad \text{if } D \equiv 9(16)$$

resp. of  $\left\{ 0, \frac{1}{2}, \frac{1 + \sqrt{D}}{4}, \frac{1 - \sqrt{D}}{4} \right\}, \quad \text{if } D \equiv 1(16).$

One reason for this choice is the property  $\chi \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix} = 1$  it implies. We will refer to the cocycle defined this way as the theta cocycle. The value

$\chi(A)$  of  $A \in \mathrm{SL}_2(\mathcal{O}_K)$  under  $\chi$  is the map which assigns to the even characteristic  $xy$  the eighth root of unity  $\chi_{xy}(A)$ . Note that the particular root

$$\chi_{\frac{1}{2}}^{\frac{1}{2}} \begin{pmatrix} 1 & \frac{1 + \sqrt{D}}{2} \\ 0 & 1 \end{pmatrix} = e^{\frac{1}{4}\pi i}$$

is different from 1. This implies that the cohomology class of  $\chi$  is not trivial.

The function  $\Theta_{\frac{1}{2}}^{\frac{1}{2}}$  deserves special attention. It is the only theta function which is a modular function for the full group  $\mathrm{SL}_2(\mathcal{O}_K)$ . For reference purposes we state its transformation law separately as

$$\Theta_{\frac{1}{2}}^{\frac{1}{2}}(A\tau) = \chi(A)\Theta_{\frac{1}{2}}^{\frac{1}{2}}(\tau) \quad \text{for } A \in \mathrm{SL}_2(\mathcal{O}_K),$$

where

$$\chi \begin{pmatrix} a & b \\ c & d \end{pmatrix} = \chi \begin{pmatrix} -c & -d \\ a & b \end{pmatrix} = \chi \begin{pmatrix} -c & -d \\ a+c & b+d \end{pmatrix},$$

and at least one of these matrices has the property that the  $a_{21}$ -entry is  $\equiv 1(2)$ . Assuming  $c \equiv 1(2)$ , we have

**THEOREM 8:**

$$\chi \begin{pmatrix} a & b \\ c & d \end{pmatrix} = \gamma \left( \frac{-2a}{c} \right) \times \exp \left[ \pi i \operatorname{tr} \left( \frac{ac(3+bc) + (b+d-2)(a+c+2ac)}{4\sqrt{D}} \right) \right]$$

with

$$\gamma = \begin{cases} 1, & c \equiv \pm 1(4) \\ \pm i, & c \equiv \pm \sqrt{D}(4). \end{cases}$$

Because it is difficult to find proofs of these transformation rules in the literature, we at least prove Theorem 7 here in the case  $c \neq 0$ . Following the original procedure of Hermite we write

$$\tau_1 = (a\tau + b)(c\tau + d)^{-1} = \tau_2 + a/c,$$

$$\tau_2 = -c^{-1}\tau_3^{-1}c^{-1}, \quad \tau_3 = \tau + d/c.$$

This corresponds to the well-known Bruhat decomposition

$$\begin{pmatrix} a & b \\ c & d \end{pmatrix} = \begin{pmatrix} 1 & a/c \\ 0 & 1 \end{pmatrix} \begin{pmatrix} 0 & -1/c \\ c & 0 \end{pmatrix} \begin{pmatrix} 1 & d/c \\ 0 & 1 \end{pmatrix}.$$

Writing  $\tau_k = z_k + jv_k$  we have  $v_1 = v_2$ ,  $v_3 = v$ ,

$$\tau_3 = z_3 + jv_3 = -\frac{1}{c}(z_2 + jv_2)^{-1} \frac{1}{c} = \frac{-\bar{c}^2 \bar{z}_2 + j|c|^2 v_2}{|c^2 z_2|^2 + |c^2 v_2|^2},$$

in particular  $\frac{\sqrt{v}}{|c|} = \sqrt{v_1} \sqrt{|\tau_3|}$ . In the equation defining  $\Theta_{x,y}(\tau_1)$  we set  $\mu = \nu + 2c\kappa$ , and observe that

$$\operatorname{tr} \left( \frac{a(\mu + y)^2 + 2\mu xc}{c\sqrt{D}} \right) \equiv \operatorname{tr} \left( \frac{a(\nu + y)^2 + 2\nu xc}{c\sqrt{D}} \right) \pmod{2\mathbb{Z}}.$$

This allows us to write  $\Theta_{x,y}(\tau_1)$  as

$$\begin{aligned} & \sqrt{v_1} \sum_{\nu \in \mathcal{O}_K / 2c\mathcal{O}_K} \exp \pi i \operatorname{tr} \left( \frac{a(\nu + y)^2 + 2\nu cx}{c\sqrt{D}} \right) \\ & \sum_{\kappa \in \mathcal{O}_K + (\nu + y)/2c} \exp \left( -\frac{2\pi(4|c|^2 v_2)|\kappa|^2}{|\sqrt{D}|} + \pi i \operatorname{tr} \left( \frac{4c^2 \kappa^2 z_2}{\sqrt{D}} \right) \right). \end{aligned}$$

Applying the Poisson summation formula to the inner series we get the expression

$$\sqrt{\left| \frac{\tau_3}{4} \right|} \sum_{\mu \in \frac{1}{2}\mathcal{O}_K} \exp \left( -\frac{2\pi v_2 |\mu|^2}{|\sqrt{D}|} + \pi i \operatorname{tr} \left( \frac{\mu^2 z_3}{\sqrt{D}} + 2 \frac{\mu(\nu + y)}{c\sqrt{D}} \right) \right),$$

compare [6, p. 237]. Therefore  $\Theta_{x,y}(\tau_1)$  equals

$$\frac{\sqrt{v}}{4|c|} \sum_{\mu \in \frac{1}{2}\mathcal{O}_K} \exp \left( -\frac{2\pi v |\mu|^2}{|\sqrt{D}|} + \pi i \operatorname{tr} \left( \frac{\mu^2 z}{\sqrt{D}} \right) \right) \sum_{\nu \in (2c)} \exp \pi i E(\nu, \mu),$$

where

$$E(\nu, \mu) = \operatorname{tr} \left( \frac{a(\nu + y)^2 + 2\nu cx + \mu^2 d + 2\mu(\nu + y)}{c\sqrt{D}} \right).$$

Denote the inner sum over  $\nu$  by  $T$ . Shifting  $\nu \rightarrow \nu + c\ell$ ,  $\ell \in \mathcal{O}_K$ , and

using  $x^2 \equiv x(2)$  for  $x \in \mathcal{O}_K$  (valid only for  $D \equiv 1(8)$ ), we find

$$T = T \exp 2\pi i \operatorname{tr}(\ell(\mu + ay + cx + ac/2)/\sqrt{D}),$$

or  $T = 0$  if  $\mu \notin ay + cx + ac/2 + \mathcal{O}_K$ . Therefore we may assume

$$\mu = \mu' + ay + cx + ac/2, \mu' \in \mathcal{O}_K.$$

Using this expression together with  $(r+1)(s+1) \equiv 0(2)$  for relatively prime integers  $r, s \in \mathcal{O}_K$  (again valid only for  $D \equiv 1(8)$ ), we arrive after a short calculation at the equation

$$T = 4|c|\Omega \exp 2\pi i \operatorname{tr}(\mu'(by + dx + bd/2)/\sqrt{D})$$

with  $\Omega$  as in Theorem 7. This gives the desired result.

4. In this section we give the definition of the Eisenstein homomorphisms [11]. Let  $L$  be a nondegenerate lattice in the complex plane with the ring of multipliers  $\mathcal{O}_L = \{m \in \mathbb{C} \mid mL \subset L\}$ , and

$$E_k(u) = E_k(u, L) = \sum'_{w \in L} (w + u)^{-k} |w + u|^{-s} |_{s=0}, \quad k = 0, 1, 2,$$

where  $\dots|_{s=0}$  means the value defined by analytic continuation to  $s = 0$ . In addition to these periodic functions we need the function  $E(u)$  given by

$$2E(u) = \begin{cases} 2E_2(0), & u \in L \\ \wp(u) - E_1(u)^2, & u \in \mathbb{C} \setminus L, \end{cases}$$

where  $\wp(u)$  denotes the Weierstrass  $\wp$ -function. For every matrix  $A = \begin{pmatrix} a & b \\ c & d \end{pmatrix}$  in  $\mathrm{SL}_2(\mathcal{O}_L)$  we define a map  $\Phi(A): (\mathbb{C}/L)^2 \rightarrow \mathbb{C}$  as follows:

$$\begin{aligned} \Phi\left(\begin{array}{cc} a & b \\ c & d \end{array}\right)(u, v) := & -\left(\frac{\bar{a}}{c}\right)E(u) - \left(\frac{\bar{d}}{c}\right)E(u^*) - \frac{a}{c}E_0(u)E_2(v) \\ & - \frac{d}{c}E_0(u^*)E_2(v^*) \\ & - \frac{1}{c} \sum_{r \in L/cL} E_1\left(\frac{ar + u^*}{c}\right)E_1\left(\frac{r + u}{c}\right) \end{aligned}$$

if  $c \neq 0$  ( $u^* = au + cv$ ,  $v^* = bu + dv$ ), and for  $c = 0$  we set

$$\Phi\left(\begin{array}{cc} a & b \\ c & d \end{array}\right)(u, v) = -\left(\frac{\bar{b}}{d}\right)E(u) - \frac{b}{d}E_0(u)E_2(v).$$

The function  $\Phi$  has the property [11]

**THEOREM 9:**  $\Phi(AB)(u, v) = \Phi(A)(u, v) + \Phi(B)((u, v)A)$  for every  $A, B \in \text{SL}_2(\mathcal{O}_L)$  and  $u, v \in \mathbb{C}/L$ . In other words,  $\Phi$  is a cocycle for the group  $\text{SL}_2(\mathcal{O}_L)$ . If the residue class  $(u, v)$  in  $(\mathbb{C}/L)^2$  is fixed, then  $\Phi$  becomes an additive homomorphism of the group

$$\Gamma(u, v) := \{ A \in \text{SL}_2(\mathcal{O}_L) \mid (u, v)A = (u, v) \}.$$

In general this group is trivial, but taking for  $(u, v)$  a generic  $\alpha$ -division point in  $(\alpha^{-1}L/L)^2$ , where  $\alpha \subset \mathcal{O}_L$  is an  $\mathcal{O}_L$ -ideal, we have  $\Gamma(u, v) = \Gamma(\alpha)$ , the principal congruence subgroup of level  $\alpha$  in  $\text{SL}_2(\mathcal{O}_L)$ . All this is valid for any period lattice  $L$ . Assuming  $L$  has complex multiplication (i.e.,  $\mathcal{O}_L \neq \mathbb{Z}$ ), we can say more. It is well-known that in this case  $L$  can be chosen in its similarity class so that the numbers  $g_2(L), g_3(L)$  in

$$\wp'^2 = 4\wp^3 - g_2\wp - g_3$$

both become algebraic. The homomorphism  $\Phi$  with  $(u, v) \in (\alpha^{-1}L/L)^2$  then takes on values in the number field  $H$  generated by the different of  $\mathcal{O}_L$ , the numbers  $g_2, g_3$ , and the  $\alpha$ -division values of  $\wp, \wp'$ . So the Eisenstein homomorphisms in the CM-case are essentially algebraic objects. Multiplying them by a non-zero number  $\lambda$  in  $H$  and taking the trace  $\text{tr}_{H/\mathbb{Q}}(\lambda\Phi)$  we get rational valued homomorphisms. Every such  $\lambda$  represents, of course, another choice of the period lattice  $L$  because of the homogeneity property

$$\Phi_{\alpha L} = \alpha^{-2}\Phi_L \quad \text{for } \alpha \in \mathbb{C}^*.$$

In the rest of this section we prove the following integrality theorem.

**THEOREM 10:** Suppose that  $g_2, g_3$  are algebraic integers, and  $u, v \in \frac{1}{2}L/L$ . Denote by  $D$  the discriminant of the multiplier ring  $\mathcal{O}_L$ . Then  $4\Phi(A)(u, v)$  is an algebraic integer (in the field generated by  $\sqrt{D}$  and the 2-division values of  $\wp, \wp'$ ) for all  $A \in \text{SL}_2(\mathcal{O}_L)$ . If  $A \in \Gamma(2)$  or  $u, v \in L$ , then  $2\Phi(A)(u, v)$  is integral.

**PROOF:** We will deduce this theorem from the following result of Cassels [2]: If  $my \in L$ , then  $2m^{1/2}\wp(y)$  is an algebraic integer. If  $m$  is not an odd prime power and  $y$  is a primitive  $m$ -division point, then  $2\wp(y)$  is already an algebraic integer.

Now suppose that  $y$  is an  $n$ -division point,  $n \geq 3$ . We use the following trick of Swinnerton-Dyer (cf. [3]):

$$\begin{aligned} nE_1(y) &= (n-1)E_1(y) - E_1((n-1)y) \\ &= \sum_{k=1}^{n-2} (E_1(ky) + E_1(y) - E_1((k+1)y)). \end{aligned}$$

The identify (cf. [11])

$$\begin{aligned} E_1(x) + E_1(y) - E_1(x+y) &= -\frac{1}{2} \frac{\wp'(x) - \wp'(y)}{\wp(x) - \wp(y)} \\ &= \pm \sqrt{\wp(x) + \wp(y) + \wp(x+y)} \end{aligned} \quad (9)$$

(valid for  $x, y, x+y \in \mathbb{C} \setminus L$ ) and the integrality result just mentioned imply that  $2^{1/2}n^{5/4}E_1(y)$  is an algebraic integer. If  $n$  is odd and we choose for  $x$  in (9) a primitive 2-division point, then we deduce from this that  $2^{1/2}n^{5/4}E_1(x+y)$  is integral, where now  $x+y$  is an arbitrary  $(2n)$ -division point. We have proved

**LEMMA:**  $2^{1/2}n^{5/4}E_1(y)$  is an algebraic integer if  $ny \in L$  or  $2ny \in L$  and  $n$  is odd.

To get the corresponding result for  $E_2(y)$  we use  $\wp(y) = E_2(y) - E_2(0)$  for  $y \in \mathbb{C} \setminus L$ . This gives

$$\sum'_{r \in L/\alpha L} \wp\left(\frac{r}{\alpha}\right) = \sum_{r \in L/\alpha L} E_2\left(\frac{r}{\alpha}\right) - \alpha\bar{\alpha}E_2(0) = \alpha(\alpha - \bar{\alpha})E_2(0)$$

for every non-zero  $\alpha \in \mathcal{O}_L$ , which implies that  $2\sqrt{D}E_2(0)$  is an algebraic integer. Therefore  $2\sqrt{D}E_2(y)$  is an algebraic integer if  $ny \in L$  and  $n$  is not a power of an odd prime. Finally, if  $y \notin L$  is a 2-division point, then  $4E(y) = 2\wp(y)$  is an algebraic integer.

Now it is easy to prove Theorem 10. Consider first

$$4\Phi\left(\begin{matrix} a & b \\ 0 & d \end{matrix}\right)(u, v) = -4\left(\frac{\bar{b}}{d}\right)E(u) - 4\frac{b}{d}E_0(u)E_2(v).$$

Using  $E_0(\mathbb{C} \setminus L) = 0$ ,  $E_0(L) = -1$ , we see in the case  $u \notin L$  that the right hand side is an integer which is divisible by 2 if  $2 \mid b$ . If  $u \in L$ , then the right hand side may be written as

$$4\left(\frac{b}{d} - \left(\frac{\bar{b}}{d}\right)\right)E_2(0) + 4\frac{b}{d}(E_2(v) - E_2(0))$$

and this is clearly 2 times an algebraic integer. By the same kind of reasoning we conclude in the case  $c \neq 0$  that

$$\begin{aligned} 2^{7/2}|c|^7\Phi\left(\begin{matrix} a & b \\ c & d \end{matrix}\right), & \quad \text{if } |c|^2 \text{ is even,} \\ 4|c|^7\Phi\left(\begin{matrix} a & b \\ c & d \end{matrix}\right), & \quad \text{if } |c|^2 \text{ is odd,} \end{aligned}$$

are algebraic integers, divisible by 2 if  $\begin{pmatrix} a & b \\ c & d \end{pmatrix} \in \Gamma(2)$  or  $u, v \in L$ . But from Theorem 9 we have

$$\Phi\left(\begin{matrix} a & b \\ c & d \end{matrix}\right)(u, v) = \Phi\left(\begin{matrix} -c & -d \\ a & b \end{matrix}\right)(-v, u).$$

If  $|a|^2, |c|^2$  are relatively prime, then the statement of the theorem follows. If not, then we write

$$\begin{aligned} \Phi\left(\begin{matrix} a & b \\ c & d \end{matrix}\right)(u, v) &= \Phi\left(\begin{matrix} a+cx & b+dx \\ c & d \end{matrix}\right)(u, v-ux) \\ &\quad - \Phi\left(\begin{matrix} 1 & x \\ 0 & 1 \end{matrix}\right)(u, v-ux) \end{aligned}$$

and choose  $x \in \mathcal{O}_K$  such that  $|a+cx|^2$  and  $|c|^2$  are relatively prime. This finishes the proof.

5. The next question is: Given the similarity class of the period lattice  $L$ , how do we get a canonical choice for  $L$  such that  $g_2(L), g_3(L)$  become algebraic integers? To answer this question, we assume for the rest of the paper that  $D \equiv 1(8)$  is a discriminant of an imaginary quadratic field  $K$ , and set

$$u = u(\tau) = -\frac{2^{12}\eta(2\tau)^{24}}{\eta(\tau)^{24}}, \quad \tau = \frac{1 + \sqrt{D}}{2}.$$

Then  $u$  is a positive real number, and a unit in the Hilbert classfield  $H = H(K)$  with is related by

$$(u - 16)^3 = uj$$

to the  $j$ -invariant  $j(\tau)$ . Define

$$g_2 := 12 \cdot D \cdot (u - 16), \quad g_3 := (2\sqrt{D})^3 \sqrt{u(j - 1728)},$$

where the square root is so chosen that  $g_3$  is a positive real number. By results of Weber, compare [1],  $g_2, g_3$  are algebraic integers in the Hilbert classfield  $H$ . The elliptic curve

$$y^2 = 4x^3 - g_2x - g_3$$

has discriminant  $\Delta = 12^6 \cdot D^3 \cdot u$ , the  $j$ -invariant  $j(\tau)$ , and period lattice

$L = L_\tau = \omega(\mathbb{Z} + \mathbb{Z}\tau)$ , where

$$\omega = \frac{\pi}{d} \frac{\eta(\tau)^4}{\eta(2\tau)^2} = \frac{\pi}{d} \prod_{n=1}^{\infty} \left( \frac{1 - e^{2\pi i n \tau}}{1 + e^{2\pi i n \tau}} \right)^2, \quad d = (144 |D|)^{1/4}.$$

Replacing  $\tau$  in this construction by any quadratic irrationality  $\tau$  of discriminant  $D$ ,

$$\tau = \frac{b + \sqrt{D}}{2a}, \quad b^2 - D \equiv 0(4a), \quad a \geq 1 \text{ such that } a \equiv 1(4),$$

we get the numbers  $g_2^\sigma, g_3^\sigma$  with  $\sigma \in \text{Gal}(H/K)$ . The corresponding lattices  $L_\tau$  represent the canonical choices in question for the  $h(D)$  similarity classes of period lattices which admit complex multiplication by  $\mathcal{O}_K$ . Adopting Theorem 10 to this choice we get the corollary

**COROLLARY:** *For  $L = L_\tau$  defined as above and  $u, v \in \frac{1}{2}L/L$ , the numbers  $2D^{-1/2}\Phi(A)(u, v)$  are algebraic integers in the Hilbert classfield of  $\mathbb{Q}(\sqrt{D})$  for all  $A \in \text{SL}_2\mathcal{O}_K$ . If  $A \in \Gamma(2)$  or  $u, v \in L$ , then  $D^{-1/2}\Phi(A)(u, v)$  is integral.*

By a conjecture stated in [11], the values of

$$\Psi := \frac{1}{\sqrt{D}} \Phi(0, 0)$$

even belong to  $F := \mathbb{Q}(j(\tau))$ , but no direct proof is known so far<sup>1)</sup>. This conjecture is equivalent to  $rk_{\mathbb{Z}} M = h(D)$  where  $M = \Psi(\text{SL}_2\mathcal{O}_K)$ ; in [11] it is shown only that  $rk M \geq h(D)$ . In any case,  $M$  is a canonically defined  $\mathbb{Z}$ -module in the Hilbert classfield, and one may ask the question, how can we characterize this module? We do not know how to answer this question, but we conjecture

$$M \equiv E_2(L)\mathbb{Z} \text{ modulo } 8\mathcal{O}_F.$$

In other words, reducing  $\Psi$  modulo 8 we get a homomorphism

$$\text{SL}_2(\mathcal{O}_K) \rightarrow \mathbb{Z}/8\mathbb{Z}.$$

But in Section 3 we already met a homomorphism  $\chi = \chi_{(1/2)(1/2)}$  (given by Theorem 8) of  $\text{SL}_2(\mathcal{O}_K)$  into the eighth roots of unity. Writing  $\chi$  additively, as an homomorphism into  $\mathbb{Z}/8\mathbb{Z}$ , our main conjecture is

**CONJECTURE 1:**  $\Psi \equiv E_2(L) \cdot \chi$  modulo  $8\mathcal{O}_F$ .

This conjecture can be proved for any fixed  $D$  by a finite calculation because  $\mathrm{SL}_2(\mathcal{O}_K)$  is a finitely generated group. In the next section we will do this in the cases  $D = -7, -15, -23, -31, -39, -55$ .

An interesting consequence of this conjecture arises from the observation that every parabolic element  $A$  in  $\Gamma(8) \subset \Gamma(1) = \mathrm{SL}_2(\mathcal{O}_K)$  is already an eighth power of an element in  $\Gamma(1)$ , so  $\chi(A) = 0$ . This means that the restriction  $\chi|_{\Gamma(8)}$ , given by

$$\chi \begin{pmatrix} a & b \\ c & d \end{pmatrix} = 2 \left( 1 - \left( \frac{c}{a} \right) \right),$$

represents a cohomology class in

$$H_{\mathrm{cusp}}^1(\Gamma(8), \mathbb{Z}/2\mathbb{Z}),$$

which cannot be trivial because the kernel of  $\chi$  is not a congruence subgroup. Our conjecture therefore indicates a congruence between Eisenstein series and cusp forms.

We also investigated the relation between the other theta multipliers  $\chi_{x,y}$  and Eisenstein homomorphisms  $\Phi(u, v)$ , and were led so to two further conjectures. To explain them, recall that there are 9 even theta characteristics different from  $(x, y) = (1/2, 1/2)$ . On the other hand, there are exactly 9 primitive 2-division points in  $(\frac{1}{2}L/L)^2$  (i.e. points which are not  $P$ - or  $\bar{P}$ -division points,  $P$  the ideal generated by 2 and  $(1 + \sqrt{D})/2$ ), and every such point  $(u, v)$  can be written uniquely as the sum of a primitive  $P$ -division point  $(u_1, v_1)$  and a primitive  $\bar{P}$ -division point  $(u_2, v_2)$ ,

$$(u, v) = (u_1, v_1) + (u_2, v_2) \in \left(\frac{1}{2}L/L\right)^2.$$

We set

$$\Psi(u, v) := \frac{1}{\sqrt{D}} (\Phi(u, v) + \Phi(u_1, v_1) + \Phi(u_2, v_2)).$$

Then  $\Psi$  is a cocycle for  $\mathrm{SL}_2\mathcal{O}_K$ , and its restriction to  $\Gamma(2)$  is a homomorphism. Moreover, the values of  $\Psi$  are always algebraic integers, although the three terms of which it is made up in general have a denominator 2. This is easily proved using the identity

$$\sum_{u \in \frac{1}{2}L/L} E(u) = E(0),$$

and the arguments in the proof of the Theorem 10. The map

$$(x, y) \mapsto (u, v) := \omega \left( y + \frac{1}{2}, x + \frac{1}{2} \right)$$

gives a bijection between the even theta characteristics  $(x, y) \neq (\frac{1}{2}, \frac{1}{2})$  and the primitive 2-division points  $(u, v)$ . Using this bijection, we can formulate our second conjecture:

CONJECTURE 2:  $\Psi(u, v) \equiv 3E_2(L)\chi_{xy} \pmod{8\mathcal{O}_F}$ , where  $\chi$  is the theta cocycle defined in Section 3.

Note that the relation between the  $xy$  and  $(u, v)$  is the same as in the classical case (cf. Theorem 3). Of course, it is enough to prove this conjecture for one particular even characteristic  $(x, y) \neq (\frac{1}{2}, \frac{1}{2})$  because  $SL_2\mathcal{O}_K$  acts transitively on the primitive 2-division points.

Finally, our last conjecture is

CONJECTURE 3:  $\frac{1}{\sqrt{D}}(\Phi(0, \frac{1}{2}) + \Phi(\frac{1}{2}, 0) + \Phi(\frac{1}{2}, \frac{1}{2})) \equiv 0(8\mathcal{O}_F)$ .

Again, the left hand side is an integer valued cocycle for  $SL_2\mathcal{O}_K$ , and the  $SL_2\mathcal{O}_K$ -action produces five further relations of this kind. It is tempting to associate these six relations with the six odd theta characteristics; then the fact that we have zero rather than  $\chi_{xy}$  on the right-hand side would be related to the vanishing of the corresponding theta-series.

6. In this section we present some numerical evidence for our conjectures. First, by definition of  $\chi$  and  $\Phi$ , we have

$$\chi(S) = \Phi(S) = 0 \quad \text{for } S = \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix},$$

so the conjectures are true for this special matrix. For the values of

$$T = \begin{pmatrix} 1 & b \\ 0 & 1 \end{pmatrix}, \quad b \in \mathcal{O}_K$$

we have the following formulas:

$$\begin{aligned} \Psi(T)(0, 0) &= \text{tr}\left(\frac{b}{\sqrt{D}}\right)E_2(0), & \Psi(T)(0, \frac{1}{2}) &= 3 \text{tr}\left(\frac{b}{\sqrt{D}}\right)E_2(0), \\ \Psi(T)(u, v) &= 0 \quad \text{for } 2(u, v) = (1, 0), (1, 1), (1, z), (1, \bar{z}), \\ \Psi(T)(\frac{1}{2}z, \frac{1}{2}) &= \Psi(T)(\frac{1}{2}z, \frac{1}{2}\bar{z}) = -\Psi(\bar{T})(\frac{1}{2}\bar{z}, \frac{1}{2}) \\ &= -\Psi(\bar{T})(\frac{1}{2}\bar{z}, \frac{1}{2}z) = \text{tr}\left(\frac{bE_2(\omega\bar{z}/2)}{\sqrt{D}}\right), \end{aligned}$$

where

$$z := \begin{cases} \frac{1 + \sqrt{D}}{2}, & D \equiv 1(16) \\ \frac{3 + \sqrt{D}}{2}, & D \equiv 9(16). \end{cases}$$

On the other hand, from Theorem 7 we have

$$\chi_{xy}(T) \equiv 4 \operatorname{tr} \left( \frac{by^2}{\sqrt{D}} \right) \pmod{8} \quad \text{if } x, y \in \frac{1}{2} \{0, 1, z, \bar{z}\},$$

thus

$$\chi_{\frac{1}{2}z}(T) \equiv \chi_{0\frac{1}{2}}(T) \equiv \operatorname{tr} \left( \frac{b}{\sqrt{D}} \right) \pmod{8},$$

$$\chi_{xy}(T) \equiv 0 \quad \text{for } 2(x, y) = (1, 0), (0, 0), (\bar{z}, 0), (z, 0),$$

$$\begin{aligned} \chi_{0\frac{1}{2}\bar{z}}(T) &\equiv \chi_{\frac{1}{2}z\frac{1}{2}\bar{z}}(T) \equiv -\chi_{0\frac{1}{2}z}(\bar{T}) \equiv -\chi_{\frac{1}{2}\bar{z}\frac{1}{2}z}(\bar{T}) \\ &\equiv \operatorname{tr} \left( \frac{b\bar{z}^2}{\sqrt{D}} \right) \pmod{8}. \end{aligned}$$

These formulas show that Conjecture 1 is true for  $T$ ; and to verify Conjecture 2 for  $T$  it is enough to check the congruence

$$E_2(\omega z/2) \equiv 3z^2 E_2(0) \pmod{8\mathcal{O}_H}. \quad (9)$$

To test Conjecture 3 write  $\Psi_1$  for the left hand side of this conjecture, and similarly

$$\Psi_2: (0, \frac{1}{2}) + (\frac{1}{2}, \frac{1}{2}z) + (\frac{1}{2}, \frac{1}{2}\bar{z})$$

$$\Psi_3: (\frac{1}{2}, 0) + (\frac{1}{2}z, \frac{1}{2}) + (\frac{1}{2}\bar{z}, \frac{1}{2})$$

$$\Psi_4: (\frac{1}{2}, \frac{1}{2}z) + (\frac{1}{2}\bar{z}, \frac{1}{2}) + (\frac{1}{2}z, \frac{1}{2}\bar{z})$$

$$\Psi_5: (\frac{1}{2}, \frac{1}{2}\bar{z}) + (\frac{1}{2}z, \frac{1}{2}) + (\frac{1}{2}\bar{z}, \frac{1}{2}z)$$

$$\Psi_6: (\frac{1}{2}, \frac{1}{2}) + (\frac{1}{2}\bar{z}, \frac{1}{2}z) + (\frac{1}{2}z, \frac{1}{2}\bar{z})$$

for the five translations of  $\Psi_1$  by  $\operatorname{SL}_2\mathcal{O}_K$ . Then we have

$$\Psi_1(T) = \Psi_2(T) = \operatorname{tr} \left( \frac{b}{\sqrt{D}} \right) E_2 \left( \frac{\omega}{2} \right), \quad \Psi_k(T) = 0 \quad \text{for } k > 2$$

which shows that Conjecture 3 is true for  $T$  iff

$$E_2(\omega/2) \equiv 0 \pmod{8\mathcal{O}_F}. \quad (10)$$

In the following numerical examples we will list the 2-division values of

$E_2$ , and leave to the reader the pleasure of checking the congruences (9) and (10).

$$D = -7: \quad E_2(0) = 3, \quad E_2\left(\frac{\omega}{2}\right) = 24$$

$$E_2\left(\frac{\omega z}{2}\right) = -\frac{15 - 3\sqrt{-7}}{2}, \quad E_2\left(\frac{\omega \bar{z}}{2}\right) = \overline{E_2\left(\frac{\omega z}{2}\right)}.$$

These numbers can be found easily on a pocket calculator using classical formulas for  $E_2$ . Note that in this special case the ring  $\mathcal{O}_K$  is euclidean, so  $S$  and  $T$  already generate  $SL_2\mathcal{O}_K$ .

$$D = -15: \quad E_2(0) = 3\frac{3 + \sqrt{5}}{2}, \quad E_2\left(\frac{\omega}{2}\right) = 24\frac{1 + \sqrt{5}}{2},$$

$$E_2\left(\frac{\omega z}{2}\right) = \frac{3 - 15\sqrt{5} - 21\sqrt{-15} + 45\sqrt{-3}}{4}.$$

The class number is 2, and the Hilbert classfield is  $K(\sqrt{5})$ . By calculations of Swan [13], the group  $SL_2\mathcal{O}_K$  is generated by the elements  $S$ ,  $T$ , and

$$A = \begin{pmatrix} 4 & -\sqrt{-15} \\ \sqrt{-15} & 4 \end{pmatrix}.$$

Writing  $2\Psi(A) = \alpha + \beta\sqrt{5}$ , we found the following values for  $\Psi(A)(u, v)$  and  $\chi_{xy}(A)$ :

$2u, 2v$	$1, 1$	$0, 1$	$\bar{z}, 1$	$z, 1$	$1, 0$	$1, \bar{z}$	$1, z$	$0, 0$	$z, \bar{z}$	$\bar{z}, z$
$\alpha$	-30	-6	33	30	-6	30	30	-30	-54	-51
$\beta$	6	-18	-21	-6	-18	-6	-6	6	30	15
$\chi_{xy}(A)$	6	6	3	2	6	2	2	2	6	7
$2x, 2y$	$0, 0$	$0, 1$	$0, z$	$0, \bar{z}$	$1, 0$	$z, 0$	$\bar{z}, 0$	$1, 1$	$z, \bar{z}$	$\bar{z}, z$

The values  $\Psi_k(A)$  are all  $\equiv 0(8)$ :

$k$	1	2	3	4	5	6
$\alpha$	-72	24	24	-24	-24	-168
$\beta$	-24	-24	-24	24	24	+72

So the Conjectures 1, 2, 3 are true for  $D = -7, -15$ . In the following examples we restrict our attention to Conjecture 1, and calculate  $\chi$  and  $\Psi$  only. We will do this for a finite set of matrices  $M = \{A, B, C, \dots\}$  found by N. Krämer [8]; this set has the property

$$SL_2\mathcal{O}_K = \langle X, \bar{X}, \sigma(X), \sigma(\bar{X}) \mid X \in M \text{ or } X = S, T \rangle,$$

where

$$\sigma \begin{pmatrix} a & b \\ c & d \end{pmatrix} = \begin{pmatrix} a & -b \\ -c & d \end{pmatrix},$$

and bar denotes complex conjugation. It is easily verified that

$$\chi(\bar{X}) \equiv \chi(\sigma(X)) \equiv -\chi(X), \quad \Psi(\sigma(X)) = -\Psi(X)$$

for  $X$  in  $\text{SL}_2\mathcal{O}_K$ , and we found in all examples that  $\Psi\bar{X} = -\Psi(X)$  holds too. So it is enough to list the values  $\chi(X)$ ,  $\Psi(X)$  for  $X$  in  $M$ .

$D = -23$ : Here the class number is 3, and the Hilbert classfield is  $H = K(\theta)$ , where  $\theta = -1.324\dots$  is the real root of  $\theta^3 - \theta + 1 = 0$ . The  $E_2$ -values are:

$$E_2(0) = 8 - 4\theta - \theta^2, \quad E_2\left(\frac{\omega}{2}\right) = -24(1 + \theta - \theta^2),$$

$$2E_2\left(\frac{\omega z}{2}\right) = 48 + 12\theta - 27\theta^2 - (12\theta + 9\theta^2)\sqrt{-23}.$$

The norm of  $E_2(0)$  is the prime number

$$N_{H/K}(E_2(0)) = 419.$$

This shows that our choice of the period lattice  $L$  is in general best possible (up to a unit). We have  $M = \{A, B\}$  with

$$2A = \begin{pmatrix} 4 + 2\sqrt{D} & 11 - \sqrt{D} \\ 8 & -2\sqrt{D} \end{pmatrix}, \quad 2B = \begin{pmatrix} -7 + \sqrt{D} & 7 + \sqrt{D} \\ 3 + \sqrt{D} & 3 - \sqrt{D} \end{pmatrix},$$

and

$$\Psi(A) = 12\theta + 9\theta^2, \quad \Psi(B) = 8 - 4\theta - 7\theta^2,$$

$$\chi(A) \equiv 7, \quad \chi(B) \equiv 7 \text{ modulo } 8.$$

$D = -31$ : Then  $h(D) = 3$ ,  $H = K(\theta)$  where  $\theta = -0.682\dots$  is the real root of  $\theta^3 + \theta + 1 = 0$ , and

$$E_2(0) = 3(1 - 5\theta + \theta^2), \quad E_2\left(\frac{\omega}{2}\right) = 24(2 + \theta^2),$$

$$2E_2\left(\frac{\omega z}{2}\right) = -39 - 45\theta - 15\theta^2 + (9 + 3\theta - 15\theta^2)\sqrt{-31}.$$

We have  $M = \{A, B, C, E\}$  with  $E^2 = -1$ ,

$$A = \begin{pmatrix} \sqrt{D} & -8 \\ 4 & \sqrt{D} \end{pmatrix}, \quad B = \begin{pmatrix} -2 + \sqrt{D} & -9 \\ 4 & 2 + \sqrt{D} \end{pmatrix},$$

$$C = \begin{pmatrix} -5 & -1 + \sqrt{D} \\ \frac{1 + \sqrt{D}}{2} & 3 \end{pmatrix}, \quad E = \begin{pmatrix} \frac{3 + \sqrt{D}}{2} & \frac{3 - \sqrt{D}}{2} \\ 3 & -\frac{3 + \sqrt{D}}{2} \end{pmatrix}$$

	$A$	$B$	$C$	$E$
$\Psi$	$-6 - 18\theta + 18\theta^2$	$12(1 - \theta - \theta^2)$	$-3 - 9\theta - 3\theta^2$	0
$\chi$	6	4	7	0

$D = -39$ : Here  $h(D) = 4$ ,  $H = K(\theta_2)$  where

$$\theta_1 = \sqrt{13}, \quad \theta_2 = \sqrt{\frac{\sqrt{13} - 1}{2}}, \quad \theta_3 = \theta_1\theta_2, \quad \text{and}$$

$$E_2(0) = \frac{1}{4}(-36 + 18\theta_1 + 3\theta_2 + 9\theta_3),$$

$$E_2\left(\frac{\omega}{2}\right) = \frac{2^4}{4}(5 - \theta_1 + 5\theta_2 + \theta_3),$$

$$E_2\left(\frac{\omega z}{2}\right) = \frac{3}{8}(-76 + 26\theta_1 - 37\theta_2 + \theta_3 + (12 - 2\theta_1 - 15\theta_2 + 3\theta_3)\sqrt{-39}).$$

We have  $M = \{A, B, C, E, F\}$  where  $\begin{pmatrix} a & b \\ c & d \end{pmatrix} \in M$  is given by

	$A$	$B$	$C$	$E$	$F$
$2a$	$2\sqrt{D}$	$-4 + 2\sqrt{D}$	$11 - \sqrt{D}$	$1 - \sqrt{D}$	28
$2b$	-20	-22	$12 + 2\sqrt{D}$	6	$14 + 4\sqrt{D}$
$2c$	8	8	$3 + \sqrt{D}$	6	$2\sqrt{D}$
$2d$	$2\sqrt{D}$	$4 + 2\sqrt{D}$	$-7 + \sqrt{D}$	$1 + \sqrt{D}$	$-11 + \sqrt{D}$

Then  $E^2 = -E^{-1}$  or  $E^6 = 1$ , and therefore  $\chi(E) = \Psi(E) = 0$ . For the other values we found the table

	$A$	$B$	$C$	$F$
$\chi$	2	0	2	7
$\alpha$	0	-72	24	60
$\beta$	12	24	-12	6
$\gamma$	-42	48	30	-51
$\delta$	18	0	-6	-9

where

$$4\Psi = \alpha + \beta\theta_1 + \gamma\theta_2 + \delta\theta_3.$$

$D = -55$ : In this case  $h(D) = 4$ ,  $H = K(\theta_2)$  with  $\theta_1 = \sqrt{5}$ ,  $\theta_2 = \sqrt{3 + 2\sqrt{5}}$ ,  $\theta_3 = \theta_1\theta_2$ , and

$$E_2(0) = \frac{3}{4}(47 - 15\theta_1 + 17\theta_2 - 5\theta_3),$$

$$E_2\left(\frac{\omega}{2}\right) = \frac{24}{4}(-1 + 5\theta_1 - \theta_2 + \theta_3),$$

$$E_2\left(\frac{\omega Z}{2}\right) = \frac{3}{8}(149 - 85\theta_1 + 59\theta_2 - 23\theta_3 - (9 - 9\theta_1 - 25\theta_2 + 13\theta_3)\sqrt{-55}).$$

The set  $M = \{A, B, C, D, E, F, G, H\}$  is given by

	A	B	C	D	E	F	G	H
2a	-1 + $\theta$	3 - $\theta$	2 $\theta$	4 + 2 $\theta$	-14	4 + 2 $\theta$	8 + 2 $\theta$	13 + $\theta$
2b	-10	10	-28	-26 + 2 $\theta$	-5 - 3 $\theta$	-13 + $\theta$	-10 + 2 $\theta$	-11 + 3 $\theta$
2c	6	6	8	8	3 + $\theta$	16	16	5 - $\theta$
2d	1 + $\theta$	3 + $\theta$	2 $\theta$	4 + 2 $\theta$	-11 + $\theta$	4 + 2 $\theta$	8 + 2 $\theta$	13 + $\theta$

where  $\theta = \sqrt{-55}$ . For these matrices we found the table

	A	B	C	D	E	F	G	H
$\chi$	2	0	2	0	4	7	4	5
$\alpha$	66	0	114	168	60	99	108	33
$\beta$	-18	0	-18	-72	-12	-51	36	15
$\gamma$	30	0	126	-24	-12	-3	36	63
$\delta$	-6	0	-54	24	12	15	-36	-27

where

$$4\Psi = \alpha + \beta\theta_1 + \gamma\theta_2 + \delta\theta_3.$$

This last example is of special interest because  $D = -55$  is the first discriminant  $D \equiv 1(8)$  with

$$\text{rank}_{\mathbb{Z}}(\text{SL}_2\mathcal{O}_K)^{ab} > h(D).$$

The actual rank is 5 (cf. [8]), so there is a homomorphism of  $\text{SL}_2\mathcal{O}_K$  which cannot be represented by Dedekind sums.

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### References

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<sup>1)</sup> Note added in proof: a very simple proof was found by H. Ito (Nagoya).