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## THE INFINITESIMAL M. NOETHER THEOREM FOR SINGULARITIES

Hubert Flenner

### Introduction

In 1882 M. Noether [25] has shown that for a general surface of degree  $d \geq 4$  in  $\mathbb{P}^3 = P_{\mathbb{C}}^3$  each curve in  $S$  is the intersection of  $S$  with some hypersurface  $S'$  in  $\mathbb{P}^3$ . Recently Carlson-Green-Griffiths-Harris [7] have given an infinitesimal version of this result: If  $S$  is a smooth hypersurface of degree  $d \geq 4$  in  $\mathbb{P}^3$  and  $C$  is a curve on  $S$  such that for each first order deformation  $\tilde{S}$  of  $S$  the curve  $C$  can be lifted to a first order deformation  $\tilde{C} \subseteq \tilde{S}$  then  $C = S \cap S'$  with some hypersurface  $S' \subseteq \mathbb{P}^3$ .

The purpose of this paper is to derive a similar result for singularities. Moreover we obtain with our methods, that isolated Gorenstein-singularities  $(X, 0)$  of dimension  $d \geq 3$  with vanishing tangent functor  $T_{X,0}^{d-2}$  are almost factorial, i.e. each divisor  $D \subseteq X$  is set theoretically given by one equation, or – equivalently – the divisor class group  $\text{Cl}(\mathcal{O}_{X,0})$  is a torsion group, see [27], [10]. By a result of Huneke [20] and Buchweitz [6] the assumption on the vanishing of  $T_{X,0}^{d-2}$  is always satisfied for isolated Gorenstein singularities which are linked to complete intersections.

As an application we generalize results of Griffiths-Harris [11] and Harris-Hulek [16] on the splitting of normal bundle sequences.

We remark that throughout this paper we work in characteristic 0.

### §1. The Main Lemma

Let  $k$  be a field of characteristic 0 and  $A = k[[T]]_n/\alpha$  a normal complete  $k$ -algebra with an isolated singularity of dimension  $d \geq 3$ . We set  $X = \text{Spec}(A)$ ,  $U := X \setminus \{m_A\}$ . By  $\Omega_X^1$  resp.  $\Omega_U^1$  we denote the sheaf associated to the module of differentials  $\Omega_A^1 = \coprod_{1 \leq i \leq n} A \cdot dT_i/A \cdot d(\alpha)$ .

The logarithmic derivativion  $d \log: \mathcal{O}_U^x \rightarrow \Omega_U^1$  induces a map  $\text{Pic}(U) = H^1(U, \mathcal{O}_U^x) \rightarrow H^1(U, \Omega_U^1)$ . Since  $A$  has isolated singularity we have  $\text{Cl}(A) \cong \text{Pic}(U)$ , see [10], (18.10) (b), and we obtain a map

$$\xi: \text{Cl}(A) \rightarrow H^1(U, \Omega_U^1).$$

In this section we will show:

**MAIN LEMMA 1.1:** *If depth  $A \geq 3$  then  $\text{Ker}(\xi)$  is the torsion of  $\text{Cl}(A)$ . In particular, if  $H^1(U, \Omega_U^1)$  vanishes then  $\text{Cl}(A)$  is a torsion group and  $A$  is almost factorial.*

If  $k \subseteq K$  is a subfield and if  $A_K := A \widehat{\otimes} K$ ,  $X_K := \text{Spec}(A_K)$ ,  $U_K := X_K \setminus \{m_{A_K}\}$ , then  $\text{Cl}(A) \subseteq \text{Cl}(A_K)$  and  $\text{Cl}(A) \stackrel{k}{=} \text{Cl}(A_K)$  by [24] if  $k$  and  $K$  are algebraically closed. Therefore by standard arguments we can easily reduce our assertion to the case  $k = \mathbb{C}$ , which we shall henceforth assume. Before proving (1.1) in this case we need three lemmata:

**LEMMA 1.2:** *Let  $E$  be a complete algebraic  $\mathbb{C}$ -scheme. Then the canonical mapping induced by the logarithmic derivation*

$$(\text{Pic}(E)/\text{Pic}^\tau(E)) \otimes_{\mathbb{Z}} \mathbb{C} \rightarrow H^1(E, \Omega_E^1)$$

*is injective.*

**PROOF:** If  $E$  is in addition smooth, then (1.2) is well known and follows from the Lefschetz-theorem on (1, 1) sections, see [12], p. 163. In the general case, let  $f: E' \rightarrow E$  be a resolution of singularities of  $E$  and consider the following diagram:

$$\begin{array}{ccc} (\text{Pic}(E)/\text{Pic}^\tau(E)) \otimes_{\mathbb{Z}} \mathbb{C} & \rightarrow & H^1(E, \Omega_E^1) \\ \downarrow \varphi & & \downarrow \\ \text{Pic}(E')/\text{Pic}^\tau(E') \otimes_{\mathbb{Z}} \mathbb{C} & \rightarrow & H^1(E', \Omega_{E'}^1). \end{array}$$

By [13], Exp. XII, Théorème 1.1 the map  $\text{Pic}(E) \rightarrow \text{Pic}(E')$  is of finite type. It follows that  $f^*: \text{Pic}(E)/\text{Pic}^\tau(E) \rightarrow \text{Pic}(E')/\text{Pic}^\tau(E')$  is injective, since  $\ker(f^*)$  is a torsion free discrete group scheme of finite type and so vanishes. Hence in the diagram  $\varphi$  is injective, from which the general case follows.

**LEMMA 1.3:** *Let  $A = \mathbb{C}\{X\}_n/\mathfrak{A}$  be a normal (convergent) analytic algebra of dimension  $d \geq 3$  with isolated singularity and set  $X = \text{Spec}(A)$ ,  $U = X \setminus \{m_A\}$ . Let  $X' \xrightarrow{\pi} X$  be a resolution of singularities of  $X$  such that  $E = \pi^{-1}(m_A) = E_1 \cup \dots \cup E_k$  is a divisor with normal crossings. Then  $H_E^1(X', \Omega_{X'}^1)$  is a  $\mathbb{C}$ -vector space of rank  $k$ .*

**PROOF:** The groups  $H_E^1(X', \Omega_{X'}^1)$ ,  $H^{d-1}(X', \Omega_{X'}^{d-1})$  are finite dimensional and dual to each other as the reasoning in the proof of prop. (2.2) in [18] shows. Let  $\pi^{an}: (X'^{an}, E^{an}) \rightarrow (X^{an}, 0)$  be the corresponding analytic map. Then  $H^{d-1}(X', \Omega_{X'}^{d-1}) \cong H^{d-1}(E^{an}, \Omega_{X'^{an}}^{d-1})$ , since  $(X'_{(n)})$  indicates the  $n$ th infinitesimal neighbourhood of  $E$  in  $X'$

$$H^{d-1}(X'_{(n)}, \Omega_{X'_{(n)}}^{d-1}) \cong H^{d-1}(E^{an}, \Omega_{X'^{an}}^{d-1})$$

by the GAGA-theorems and since in the algebraic as well as in the analytic situation the comparison theorem holds. By Oshawa [26]

$$H^{2d-2}(E^{an}, \mathbb{C}) \cong \coprod_{p+q=2d-2} H^{pq}$$

where  $H^{pq} = H^q(E^{an}, \Omega_{X^{an}}^p)$  and  $H^{pq} = \overline{H^{qp}}$ . Since  $E^{an}$  is real  $(2d-2)$ -dimensional with components  $E_1, \dots, E_k$  the group  $H^{2d-2}(E^{an}, \mathbb{C})$  is a  $k$ -dimensional  $\mathbb{C}$ -vectorspace. Since  $\overline{H}^{d,d-2} \cong H^{d-2,d} \cong H^d(E^{an}, \Omega_{X^{an}}^{d-2}) = 0$  we get  $H^{d-1,d-1} \cong H^{2d-2}(E^{an}, \mathbb{C}) \cong \mathbb{C}^k$  as desired.

LEMMA 1.4: *Situation as in (1.3). Assume moreover that  $H^1(X', \mathcal{O}_{X'}) = 0$ . Then the canonical map induced by the logarithmic derivation*

$$\text{Pic}(X') \otimes_{\mathbb{Z}} \mathbb{C} \rightarrow H^1(X', \Omega_{X'}^1)$$

*is injective.*

PROOF: From  $H^1(X', \mathcal{O}_{X'}) = 0$  we get that  $\text{Pic}(X') \rightarrow \text{Pic}(E)/\text{Pic}^0(E)$  is injective, see e.g. the arguments in [3], Appendix or in [24]. From this fact together with (1.2) the assertion easily follows.

We will now prove (1.1): As remarked above we may assume  $k = \mathbb{C}$ . By Artin [1]  $A$  is the completion of a convergent analytic  $\mathbb{C}$ -algebra and by Bingener [2] the divisor class group of a normal analytic algebra with isolated singularity does not change under completion. Hence we may as well assume that  $A$  is a convergent analytic  $\mathbb{C}$ -algebra. With the notation of (1.3) we consider the following diagram with exact rows:

$$\begin{array}{ccccccc} 0 & \rightarrow & H_E^1(X', \mathcal{O}_{X'}^x) \otimes_{\mathbb{Z}} \mathbb{C} & \rightarrow & \text{Pic}(X') \otimes_{\mathbb{Z}} \mathbb{C} & \rightarrow & \text{Pic}(U) \otimes_{\mathbb{Z}} \mathbb{C} \rightarrow 0 \\ & & \downarrow \alpha & & \downarrow \beta & & \downarrow \gamma \\ \dots & & H_E^1(\Omega_{X'}^1) & & \rightarrow H^1(X', \Omega_{X'}^1) & \rightarrow & H^1(U, \Omega_U^1) \rightarrow \dots \end{array}$$

Here  $\alpha, \beta, \gamma$  are induced by the logarithmic derivation, and  $H_E^1(X', \mathcal{O}_{X'}^x)$  is easily seen to be the free subgroup of  $\text{Pic}(X')$  generated by  $E_1, \dots, E_k$ . By (1.4)  $\beta$  is injective, hence  $\alpha$  is injective, and since by (1.3)  $H_E^1(\Omega_{X'}^1)$  is of rank  $k$  the map  $\alpha$  is even bijective. Hence we obtain by a simple diagram chasing that  $\gamma$  is injective as desired.

REMARK 1.5: For a normal isolated singularity of dimension  $d \geq 3$   $\text{Cl}(A)$  has a natural structure of a Lie-group, see [4], [5]. More generally as in (1.1) the proof given above shows that

$$\text{Cl}(A)/\text{Cl}^r(A) \rightarrow H^1(U, \Omega_U^1)$$

is injective (without the assumption  $\text{depth } A \geq 3$ ).

**COROLLARY 1.6:** *Let  $A = k[[X]]_n/\mathfrak{a}$  be a Cohen-Macaulay ring of dimension  $d$  such that  $A$  is regular in codimension  $\leq 2$  (i.e.  $A$  satisfies  $R_2$ ). Set  $X = \text{Spec } A$ ,  $U = \text{Reg } X$  and let  $\xi: \text{Cl}(A) \rightarrow H^1(U, \Omega_U^1)$  be the mapping induced by the logarithmic derivation. Then  $\text{Ker } \xi$  is a torsion group.*

**PROOF:** If  $d = 3$  then (1.6) is contained in (1.1). If  $d > 3$  let  $t \in A$  be a nonzero divisor such that  $B = A/tA$  has property  $R_2$  too; set  $V := V(t) \cap U \subseteq U$ . In the diagram

$$\begin{array}{ccc} \text{Pic}(U) & \xrightarrow{\xi} & H^1(U, \Omega_U^1) \\ \rho \downarrow & & \downarrow \\ \text{Pic}(V) & \xrightarrow{\xi} & H^1(V, \Omega_V^1) \end{array}$$

the restriction map  $\rho$  is injective by [23] or [15], Exp. XI. Now the result follows by induction on  $d$ .

**REMARK 1.7:** If  $A = \coprod_{i \geq 0} A_i$  is quasihomogeneous,  $A_0 = \mathbb{C}$ , then the results above can be shown under much weaker assumptions: Set  $X := \text{Spec}(A)$ ,  $U := X \setminus \{m_A\}$ ,  $m_A$  denoting the maximal homogeneous ideal. By  $\text{Pic}_h(U)$  we denote the subgroup of  $\text{Pic}(U)$  generated by those invertible  $\mathcal{O}_U$ -modules  $\mathcal{L}$  such that  $\Gamma(U, \mathcal{L})$  has a grading. Then

$$\text{Pic}_h(U)/\text{Pic}_h^\tau(U) \xrightarrow{\xi} H^1(U, \Omega_U^1)$$

is injective, if  $\text{depth } A \geq 3$ . Here we do not assume that  $A$  has isolated singularity or even that  $A$  is reduced. If  $A$  is in addition normal then the same holds also for the completion of  $A$  since in this case  $\text{Pic}_h(U) = \text{Pic}(U) = \text{Pic}(\hat{U})$  by [9], (1.5) and its proof, where  $\hat{U} := \text{Spec}(\hat{A}) \setminus \{m_{\hat{A}}\}$ . We shortly sketch the proof in the homogeneous case:  $H^1(U, \Omega_U^1)$  has a natural grading and  $\xi(\text{Pic}_h(U))$  is easily seen to be contained in  $H^1(U, \Omega_U^1)_0$ . If  $Y = \text{Proj}(A)$  the natural mapping  $\text{Pic}(Y)/\mathbb{Z} \cdot [\mathcal{O}_Y(1)] \rightarrow \text{Pic}_h(U)$  given by  $\mathcal{L} \mapsto \coprod_{i \geq 0} H^0(Y, \mathcal{L}(i))$  is bijective. In the diagram

$$\begin{array}{ccc} \text{Pic}(Y) \otimes_{\mathbb{Z}} \mathbb{C} & \rightarrow & \text{Pic}_h(U) \otimes_{\mathbb{Z}} \mathbb{C} \\ \beta \downarrow & & \downarrow \xi \\ \mathbb{C} = H^0(Y, \mathcal{O}_Y) & \xrightarrow{\alpha} & H^1(Y, \Omega_Y^1) \rightarrow H^1(U, \Omega_U^1)_0 \end{array}$$

where the last exact sequence is induced by the Euler-sequence,  $\alpha(\mathbb{C}) = \mathbb{C} \cdot \beta([\mathcal{O}_Y(1)])$ . Since  $\beta$  is injective by (1.2) this implies the injectivity of  $\xi$ . We remark that these arguments can be carried over to the quasihom-

mogeneous case. One may ask if  $\xi$  is also injective under these weaker assumptions if  $A$  is not quasihomogeneous.

## §2. Applications

Let  $k$  be always a field of characteristic 0. In the following we will formulate our results for complete local  $k$ -algebras  $A = k[[X]]_n/\alpha$ . We remark that they are also valid in the corresponding analytic or algebraic situation.

**THEOREM 2.1:** *Let  $A = k[[X]]_n/\alpha$  be an isolated Gorenstein singularity of dimension  $d \geq 3$  satisfying  $T_A^{d-2}(A) = 0$ . Then  $A$  is almost factorial.*

**PROOF:** It is well known and follows easily from the spectral sequence

$$E_2^{pq} = \text{Ext}_A^p(T_p^A(A), A) \Rightarrow T_A^{p+q}(A),$$

that  $T_A^{d-2}(A) = \text{Ext}_A^{d-2}(\Omega_A^1, A)$  in this case. By Grothendieck-duality  $\text{Ext}_A^{d-2}(\Omega_A^1, A)$  is dual to  $H_m^2(\Omega_A^1) \cong H^1(U, \Omega_U^1)$ , where  $U = \text{Spec}(A) \setminus \{m_A\}$  as usual. By (1.1) our result follows.

In particular (2.1) implies, that a 3-dimensional rigid isolated Gorenstein singularity is almost factorial. We remark that the condition  $T_A^{d-2}(A) = 0$  in (2.1) is necessary: If  $A$  is the completion of the local ring at the vertex of the affine cone over  $\mathbb{P}^1 \times \mathbb{P}^1 \times \mathbb{P}^1$  with respect to  $\mathcal{O}_{\mathbb{P}^1}(2) \otimes \mathcal{O}_{\mathbb{P}^1}(2) \otimes \mathcal{O}_{\mathbb{P}^1}(2)$ , then  $A$  is an isolated Gorenstein singularity, which is even rigid, but  $\text{Cl}(A) \cong \mathbb{Z}^2 \oplus \mathbb{Z}/(2)$ .

From (2.1) it is easily to deduce a similar result for non isolated singularities.

**COROLLARY 2.2:** *Let  $A = k[[X]]_n/\alpha$  be a  $d$ -dimensional Gorenstein singularity which is regular in codimension  $\leq k$ , where  $3 \leq k < d$ . Suppose  $T_A^{d-2}(A) = \dots = T_A^{k-1}(A) = 0$ . Then  $A$  is almost factorial.*

**PROOF:** In the case  $d = k + 1$  this is just (2.1). In the case  $d > k + 1$  choose  $t \in A$  such that  $B = A/tA$  is also regular in codimension  $\leq k$ . By the Lefschetz theorem of [23] or [15], Exp. XI,  $\text{Cl}(A) \rightarrow \text{Cl}(B)$  is injective. From the exact cohomology sequence of tangent functors

$$\dots \rightarrow T_A^i(A) \xrightarrow{t} T_A^i(A) \rightarrow T_A^i(B) \rightarrow T_A^{i+1}(A) \rightarrow \dots$$

$$\dots \rightarrow T_{A/B}^i(B) \rightarrow T_B^i(B) \rightarrow T_A^i(B) \rightarrow T_{A/B}^{i+1}(B) \rightarrow \dots$$

and the vanishing of  $T_{A/B}^i(B)$ ,  $i \geq 2$ , we obtain  $T_B^{d-3}(B) = \dots = T_B^{k-1}(B) = 0$ . Now the assertion follows by induction on  $d$ .

In the quasihomogeneous case (2.2) has been shown by Buchweitz (unpublished). By [6], [20], the assumptions on the vanishing of the tangent functors are satisfied, if  $A$  is linked to a complete intersection. For other results in this direction see also [21], [28].

In the case  $d = 3$  we now show a refined version of (2.1), which is an analogue of the infinitesimal M. Noether theorem in [7]. Let  $A = k[[X]]_n/\alpha$  be an isolated Gorenstein singularity of dimension 3 and  $U := \text{Spec}(A) \setminus \{m_A\}$ . Suppose  $L$  is a reflexive  $A$ -module of rank 1 and denote by  $\mathcal{L}$  the associated invertible sheaf on  $U$ . If  $k[\epsilon] \rightarrow A'(\epsilon^2 = 0)$  is a first order deformation of  $A$ , we set  $U' = \text{Spec}(A') \setminus \{m_{A'}\}$ .

**THEOREM 2.3:** *Suppose that for each first order deformation  $k[\epsilon] \rightarrow A'$  of  $A$   $\mathcal{L}$  can be extended to a locally free sheaf  $\mathcal{L}'$  on  $U'$ . Then  $L$  is a torsion element in  $\text{Cl}(A)$ .*

**PROOF:** Let  $\xi_L \in H^1(U, \Omega_U^1)$  be the class associated to  $L$  under the map  $\text{Cl}(A) \rightarrow H^1(U, \Omega_U^1)$ . It is well known that the group  $\text{Ext}_A^1(\Omega_A^1, A)$  describes the first order deformations of  $A$ . Denote by  $[A']$  the cohomology class in  $\text{Ext}_A^1(\Omega_A^1, A)$  associated to  $A'$ . Then it is not difficult to see that in the canonical pairing

$$\text{Ext}_A^1(\Omega_A^1, A) \times H^1(U, \Omega_U^1) \xrightarrow{\langle \cdot, \cdot \rangle} H^2(U, \mathcal{O}_U)$$

$\langle [A'], \xi_L \rangle$  is just the obstruction for extending  $\mathcal{L}$  to a  $\mathcal{L}'$ . But by Grothendieck duality this pairing is nondegenerated, and so by our assumption  $\xi_L = 0$ , which implies by (1.1) that  $L$  is a torsion element in  $\text{Cl}(A)$ .

For the case of complete intersections it is possible to strengthen (2.3):

**PROPOSITION 2.4:** *Let  $A$  be as in (2.3) and suppose moreover that  $A$  is a complete intersection. Then  $A' = k[[X]]_n/\alpha^2$  is parafactorial.*

**PROOF:** Let  $\mathcal{L}'$  be a locally free module on  $U' := \text{Spec}(A') \setminus \{m_{A'}\}$ . If  $A = k[[X]]_n/(f_1, \dots, f_{n-3})$ , denote by  $A_i$  the first order deformation

$$A_i := k[[X]]_n/(f_1, \dots, f_{i-1}, f_i^2, f_{i+1}, \dots, f_{n-3}), \quad \epsilon \mapsto \bar{f}_i,$$

of  $A$  and  $U_i := \text{Spec}(A_i) \setminus \{m_{A_i}\}$ . Moreover let  $\mathcal{L}$  resp.  $\mathcal{L}_i$  be the sheaf on  $U$  resp.  $U_i$  induced by  $\mathcal{L}'$ . By assumption  $\mathcal{L}$  can be extended to the locally free sheaf  $\mathcal{L}_i$  on  $U_i$ , hence with the notations in the proof of the last result

$$\langle [A_i], \xi_{\mathcal{L}} \rangle = 0, \quad i = 1, \dots, n-3.$$

But the  $[A_i]$  generate  $\text{Ext}_A^1(\Omega_A^1, A)$  as an  $A$ -module and so  $\xi_{\mathcal{L}} = 0$ , and  $\mathcal{L} \in \text{Pic}(U)$  is a torsion element. Since  $\text{Pic}(U) = \text{Cl}(A)$  is known to have no torsion, see [4] (3.2), we get  $\mathcal{L} \cong \mathcal{O}_U$  and hence  $\mathcal{L}' \cong \mathcal{O}_{U'}$ , as desired.

We will now apply these results to normal bundles of Gorenstein singularities.

**THEOREM 2.5:** *Let  $A = k[[X]]_n/\alpha$  be a  $d$ -dimensional isolated Gorenstein singularity,  $d \geq 3$ ,  $W := \text{Spec}(k[[X]]_n) \setminus \{m\}$ ,  $X := \text{Spec}(A)$ ,  $U := X \setminus \{m_A\}$ ,  $Y \subseteq X$  a divisor and  $V := Y \setminus \{m_A\}$ . If the sequence of normal bundles*

$$0 \rightarrow \mathcal{N}_{V/U} \rightarrow \mathcal{N}_{V/W} \rightarrow \mathcal{N}_{U/W} \otimes \mathcal{O}_V \rightarrow 0$$

*splits on  $V$  then  $Y$  represents a torsion element in  $\text{Cl}(A)$ , i.e.  $Y$  is given set-theoretically by one equation.*

**PROOF:** First we will assume  $d = 3$ . Let  $R$  denote the ring  $k[[X]]_n$  and  $\tilde{B} := H^0(V, \mathcal{O}_V)$ , which by Grothendieck's finiteness theorem is finite over  $B := H^0(Y, \mathcal{O}_Y)$ . Then  $H^0(V, \mathcal{N}_{V/U}) = T_{A/B}^1(\tilde{B})$ ,  $H^0(V, \mathcal{N}_{V/W}) = T_{R/B}^1(\tilde{B})$ ,  $H^0(V, \mathcal{N}_{U/W} \otimes \mathcal{O}_V) = T_{R/A}^1(\tilde{B})$ , and our assumption implies that

$$0 \rightarrow T_{A/B}^1(\tilde{B}) \rightarrow T_{R/B}^1(\tilde{B}) \xrightarrow{\gamma} T_{R/A}^1(\tilde{B}) \rightarrow 0$$

is exact. In particular in the diagram

$$\begin{array}{ccc} T_{R/B}^1(\tilde{B}) & \xrightarrow{\gamma} & T_{R/A}^1(\tilde{B}) \\ \downarrow \alpha & & \downarrow \beta \\ T_B^1(\tilde{B}) & \xrightarrow{\delta} & T_A^1(\tilde{B}) \end{array}$$

$\gamma$  is onto, and since  $R$  is regular,  $\alpha, \beta$  are surjective too, from which we obtain the surjectivity of  $\delta$ . Consider the diagram

$$\begin{array}{ccc} & & T_A^1(A) \\ & & \downarrow \\ T_B^1(\tilde{B}) & \xrightarrow{\delta} & T_A^1(\tilde{B}) \end{array}$$

That  $\delta$  is surjective means: If  $k[\epsilon] \rightarrow A'$  is a first order deformation of  $A$  then there exists an extension  $[B'] \in T_B^1(\tilde{B})$  and a commutative diagram

$$\begin{array}{ccccccc} 0 & \rightarrow & A & \rightarrow & A' & \rightarrow & A & \rightarrow & 0 \\ & & \downarrow & & \downarrow & & \downarrow & & \\ 0 & \rightarrow & \tilde{B} & \rightarrow & B' & \rightarrow & B & \rightarrow & 0 \end{array}$$



see [8], §1. In particular  $V \subseteq U$  can be extended to a first order deformation  $V' := \text{Spec}(B') \setminus \{m_{B'}\} \subseteq U' := \text{Spec}(A') \setminus \{m_{A'}\}$  or, equivalently,  $\mathcal{L} = \mathcal{O}_U(V)$  can be extended to a locally free sheaf  $\mathcal{L}' = \mathcal{O}_{U'}(V')$ . By (2.3)  $\mathcal{L}$  is a torsion element in  $\text{Cl}(A)$  and so  $Y$  can be described set-theoretically by one equation.

Now suppose  $d > 3$ . Let  $t \in R$  be a generic linear combination of  $X_1, \dots, X_n$  with coefficients in  $k$ . Set  $\overline{W} := V(t) \subseteq W$ ,  $\overline{U} := V(t) \cap U$ ,  $\overline{V} := V(t) \cap V$ . Then  $\overline{W}$ ,  $\overline{U}$  are smooth, and  $\overline{A} := A/tA$  is an isolated Gorenstein singularity of dimension  $d - 1$ . Once more by [15,23]  $\text{Cl}(A) \rightarrow \text{Cl}(\overline{A})$  is injective, and moreover the normal bundle sequence

$$0 \rightarrow \mathcal{N}_{\overline{V}/\overline{U}} \rightarrow \mathcal{N}_{\overline{V}/\overline{W}} \rightarrow \mathcal{N}_{\overline{U}/\overline{W}} \otimes \mathcal{O}_{\overline{V}} \rightarrow 0$$

splits, since it is the restriction of our original normal bundle sequence to  $\overline{V}$ . Now the assertion follows by induction on  $d$ .

Applying this result to the cone over a projective variety we immediately obtain a generalization of the results [11] Chap. IV, (f), and [16] mentioned in the introduction.

**COROLLARY 2.6:** *Suppose  $X \subseteq \mathbb{P}^n = \mathbb{P}_k^n$  is an arithmetically Cohen-Macaulay submanifold of dimension  $d \geq 2$  such that  $\omega_X = \mathcal{O}_X(\ell)$  for some  $\ell$ . If  $Y \subseteq X$  is a 1-codimensional Cartier-divisor and if the sequence of normal bundles*

$$0 \rightarrow \mathcal{N}_{Y/X} \rightarrow \mathcal{N}_{Y/\mathbb{P}^n} \rightarrow \mathcal{N}_{X/\mathbb{P}^n} \otimes \mathcal{O}_Y \rightarrow 0$$

*splits, then there is a hypersurface  $H \subseteq \mathbb{P}^n$  such that  $Y = H \cap X$  set-theoretically.*

**REMARKS:** (1) In the case  $d = 3$  in (2.5) it is obviously sufficient to require that  $H^0(V, \mathcal{N}_{V/W}) \rightarrow H^0(V, \mathcal{N}_{U/W} \otimes \mathcal{O}_V)$  is surjective. Similarly in (2.6) it suffices that  $H^0(\mathcal{N}_{Y/\mathbb{P}^n}(\ell)) \rightarrow H^0(\mathcal{N}_{X/\mathbb{P}^n} \otimes \mathcal{O}_Y(\ell))$  is surjective if  $d = 2$ .

(2) If in (2.5) resp. (2.6)  $\text{Cl}(A)$  resp.  $\text{Pic}(X)$  has no torsion then  $Y$  is even scheme-theoretically given by one equation. This is e.g. satisfied if  $A$  resp.  $X$  is a complete intersection, see [4], (3.2).

(3) I do not know whether these results continue to be true without the assumption  $\text{char}(A) = 0$ . At least the proofs given here do not apply since we have heavily used the Hodge-decomposition theorem of Oshawa.

(4) If the problem mentioned at the end of section 1 would be true, then (2.3) would be valid in the case of any 3-dimensional Gorenstein singularity (not necessarily isolated) if  $\mathcal{L}$  is assumed to be locally free. In order to show this let  $\text{Ext}_A^1(\Omega_A^1, A) \xrightarrow{\alpha} T_A^1(A)$  be the map induced by

the canonical projection  $L_A^* \rightarrow \Omega_A^1$ , where  $L_A^*$  is the cotangent complex of  $A$ . Then in the diagram

$$\begin{array}{ccc} \text{Ext}_A^1(\Omega_A^1, A) \times H^1(U, \Omega_U^1) & \searrow \langle \cdot, \cdot \rangle & \\ \downarrow \alpha & \uparrow \xi & H^2(U, \Omega_U^1) \\ T_A^1(A) \times \text{Pic}(U) & \nearrow \{ \cdot, \cdot \} & \end{array}$$

is commutative in the sense, that  $\{\alpha(x), [\mathcal{L}]\} = \langle x, \xi_{\mathcal{L}} \rangle$ . Here  $\{[A'], \mathcal{L}\}$  denotes the obstruction of extending  $\mathcal{L}$  to  $A'$ . Now the proof of (2.3) applies. In a similar way, then it would be possible to generalize (2.4), (2.5). In (2.5) we could replace the condition “isolated singularity” by “ $U$  is locally a complete intersection in  $W$ ”. By the last remark in section 1 this is at least true for quasihomogeneous singularities, and so we obtain:

**COROLLARY 2.7:** (2.6) remains true if the condition “submanifold” is replaced by “locally a complete intersection”.

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Note added in proof: By using different arguments, G. Ellingsrud, L. Grusiu, C. Peskine, S.A. Strømme: On the normal bundle of curves on smooth projective surfaces. *Inv. math.* 80 (1985) 181–184, could also give a generalization of the theorem of Griffiths and Harris on the splitting of normal bundles.