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## RATIONAL POINTS ON THE MODULAR CURVES $X_{\text{split}}(p)$

Fumiyuki Momose

For a prime number  $p$ , let  $X_{\text{split}}(p)$  be the modular curve defined over  $\mathbb{Q}$  which corresponds to the modular curve

$$\Gamma_{\text{split}}(p) = \left\{ \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in SL_2(\mathbb{Z}) \mid b \equiv c \equiv 0 \text{ or } a \equiv d \equiv 0 \pmod{p} \right\},$$

i.e.,  $X_{\text{split}}(p) \otimes \mathbb{C} \simeq \Gamma_{\text{split}}(p) \backslash H \cup \mathbb{P}^1(\mathbb{Q})$ , where  $H = \{z \in \mathbb{C} \mid \text{Im}(z) > 0\}$ . We call the points  $\in \Gamma_{\text{split}}(p) \backslash \mathbb{P}^1(\mathbb{Q})$  the cusps on  $X_{\text{split}}(p)$ . Then  $X_{\text{split}}(p) \setminus \{\text{cusps}\}$  is the coarse moduli space ( $/\mathbb{Q}$ ) of the isomorphism classes of elliptic curves with an unordered pair of independent subgroups of rank  $p$  (see [9]). We here discuss the  $\mathbb{Q}$ -rational points on  $X_{\text{split}}(p)$ . For the prime numbers  $p \leq 7$ ,  $X_{\text{split}}(p) \simeq \mathbb{P}_{\mathbb{Q}}^1$ . Mazur [10] III§6 showed that for each prime number  $p = 11$  or  $p \geq 17$ , there are finitely many  $\mathbb{Q}$ -rational points on  $X_{\text{split}}(p)$ . We have no results for  $X_{\text{split}}(13)$ . Let  $y$  be a non cuspidal  $\mathbb{Q}$ -rational point on  $X_{\text{split}}(p)$  ( $p \geq 5$ ). Then there exists an elliptic curve  $E$  defined over  $\mathbb{Q}$  with independent subgroups  $A, B$  of rank  $p$  such that the set  $\{A, B\}$  is  $\mathbb{Q}$ -rational and the pair  $(E, \{A, B\})$  represents  $y$  (see [3] VI Proposition (3.2)). Let  $\rho = \rho_p$  be the representation of the Galois action of  $\text{Gal}(\overline{\mathbb{Q}}/\mathbb{Q})$  on the  $p$ -torsion points  $E_p(\overline{\mathbb{Q}})$ . Then  $\rho(\text{Gal}(\overline{\mathbb{Q}}/\mathbb{Q}))$  is contained in the normalizer of the split Cartan subgroup  $\text{Aut } A(\overline{\mathbb{Q}}) \times \text{Aut } B(\overline{\mathbb{Q}})$  ( $\subset \text{Aut } E_p(\overline{\mathbb{Q}}) \simeq GL_2(\mathbb{F}_p)$ ). The “expected”  $\mathbb{Q}$ -rational points on  $X_{\text{split}}(p) \setminus \{\text{cusps}\}$  ( $p \geq 11$ ?) are those which are represented by the elliptic curves with complex multiplication. Let  $E$  be an elliptic curve defined over  $\mathbb{Q}$  which has complex multiplication over an imaginary quadratic field  $k$ . Let  $p \geq 5$  be a rational prime which splits in  $k$ . Then there are two independent subgroups  $A, B$  of rank  $p$  such that the pair  $(E, \{A, B\})$  represents a non cuspidal  $\mathbb{Q}$ -rational point on  $X_{\text{split}}(p)$ . We call such a point a C.M.point.

Let  $X_0(p)$  be the modular curve ( $/\mathbb{Q}$ ) corresponding to the modular group  $\Gamma_0(p)$  and  $J_0(p)$  the jacobian variety of  $X_0(p)$ . Let  $w_p$  be the fundamental involution of  $X_0(p): (E, A) \mapsto (E/A, E_p/A)$ , where  $E_p = \ker(p: E \rightarrow E)$ . Denote also by  $w_p$  the automorphism of  $J_0(p)$  which is induced by the involution  $w_p$ . Put  $J_0^-(p) = J_0(p)/(1 + w_p)J_0(p)$ . Denote by  $n(p)$  the number of the  $\mathbb{Q}$ -rational points on  $X_{\text{split}}(p)$  which are neither cusps nor C.M.points. Our main result is the following.

**THEOREM (0.1):** *Let  $p = 11$  or  $p \geq 17$  be a prime number such that the Mordell-Weil group of  $J_0^-(p)$  is of finite order. Then  $n(p) = 0$ , provided  $p \neq 37$ .*

For the primes  $p$ ,  $11 \leq p < 300$ , except for  $p = (13), 151(?), 199(?), 227(?)$  and  $277(?)$ , the assumption in (0.1) above is satisfied (see [10] p. 40, [21] Table 5 pp. 135–141). For  $p = 37$ , we know that  $J_0^-(37)(\mathbb{Q}) \simeq \mathbb{Z}/3\mathbb{Z}$  (see loc.cit.), but we see only that  $n(37) \leq 1$ , see (5.A). We may conjecture that  $n(p) = 0$  for  $p \geq 11$ ,  $p \neq 13(?), \neq 37(?)$ . The outline of the proof of (0.1) above is as follows. Let  $X_{\text{sp.Car}}(p)$  be the modular curve  $(/\mathbb{Q})$  corresponding to the modular group

$$\Gamma_{\text{sp.Car}}(p) = \left\{ \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in SL_2(\mathbb{Z}) \mid b \equiv c \equiv 0 \pmod{p} \right\}.$$

Let  $w$  be the fundamental involution of  $X_{\text{sp.Car}}(p) : (E, A, B) \mapsto (E, B, A)$ , which is represented by  $\begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix}$ . Then  $X_{\text{split}}(p) = X_{\text{sp.Car}}(p) / \langle w \rangle$ . Let  $y$  be a non cuspidal  $\mathbb{Q}$ -rational point on  $X_{\text{split}}(p)$  and  $x, w(x)$  the sections of the fibre  $(X_{\text{sp.Car}}(p))_y$ . Then  $x, w(x)$  are defined over a quadratic field  $k$ . Denote by  $\mathcal{X}_{\text{sp.Car}}(p)$  and  $\mathcal{X}_{\text{split}}(p)$  the normalizations of the projective  $j$ -line  $\mathcal{X}_0(1) \simeq \mathbb{P}_{\mathbb{Z}}^1$  in  $X_{\text{sp.Car}}(p)$  and  $X_{\text{split}}(p)$ , respectively. We denote also by  $y$  (resp.  $x$  and  $w(x)$ ) the  $\mathbb{Z}$ -section (resp. the  $\mathcal{O}_k$ -sections) of  $\mathcal{X}_{\text{split}}(p)$  (resp.  $\mathcal{X}_{\text{sp.Car}}(p)$ ) with the generic fibre  $y$  (resp.  $x$  and  $w(x)$ ) above. Firstly, we show that  $y \otimes \mathbb{F}_p$  is not a supersingular point and  $x, w(x)$  are the sections of the smooth part of  $\mathcal{X}_{\text{sp.Car}}(p)$  (see (1.4),  $p \geq 11$ ). Secondly, we show that  $y \otimes \mathbb{F}_p$  is not a cusp and that the rational prime  $p$  splits in  $k$ , see (3.1), (3.2). Then there exists an elliptic curve  $E$  defined over  $\mathbb{F}_p$  such that the pair  $(E, \{\ker(\text{Frob}), \ker(\text{Ver})\})$  represents  $y \otimes \mathbb{F}_p$ , where  $\text{Frob}$  is the Frobenius map:  $E \rightarrow E^{(\rho)} = E$  and  $\text{Ver}$  is the Verschiebung:  $E = E^{(\rho)} \rightarrow E$ . Define the morphism  $g$  of  $X_{\text{sp.Car}}(p)$  to  $J_0(p)$  by

$$g : (E, A, B) \mapsto cl((E, A) - (E/B, E_p/B)).$$

Then  $g$  induces the morphism  $g^-$  of  $X_{\text{split}}(p)$  to  $J_0^-(p)$ , i.e.,  $g(x) \pmod{(1 + w_p)} J_0^-(p) = g^-(y)$ . Denote also by  $g$  (resp.  $g^-$ ) the morphism of  $\mathcal{X}_{\text{sp.Car}}(p)^{\text{smooth}}$  to the Néron model  $J_0(p)_{/\mathbb{Z}}$  over the base  $\mathbb{Z}$  (resp. of  $\mathcal{X}_{\text{split}}(p)^{\text{smooth}}$  to  $J_0^-(p)_{/\mathbb{Z}}$ ). Then for the  $k$ -rational point  $x$  as above,  $g(x) \otimes \mathbb{F}_p = 0$ , see (3.3). Then the assumption  $\#J_0^-(p)(\mathbb{Q}) < \infty$  implies that  $g^-(y) = 0$ . Let  $(E, \{A, B\}) (/ \mathbb{Q})$  be a pair which represents  $y$ . Then by the condition  $g^-(y) = 0$ , using the result of Ogg [14] Satz 1, we get  $E \simeq E/B$ , provided  $p \neq 37$ .

Further, we get the following estimate of  $n(p)$ . Let  $\tilde{J}_0(p)$  be the ‘‘Eisenstein quotient’’ of  $J_0(p)$ , see [10].

**THEOREM (0.2):**  $n(p) \leq \dim J_0(p) - \dim \tilde{J}_0(p)$  for  $p \geq 17$ .

In §5, we discuss the cases for  $p = 13$  and  $37$ .

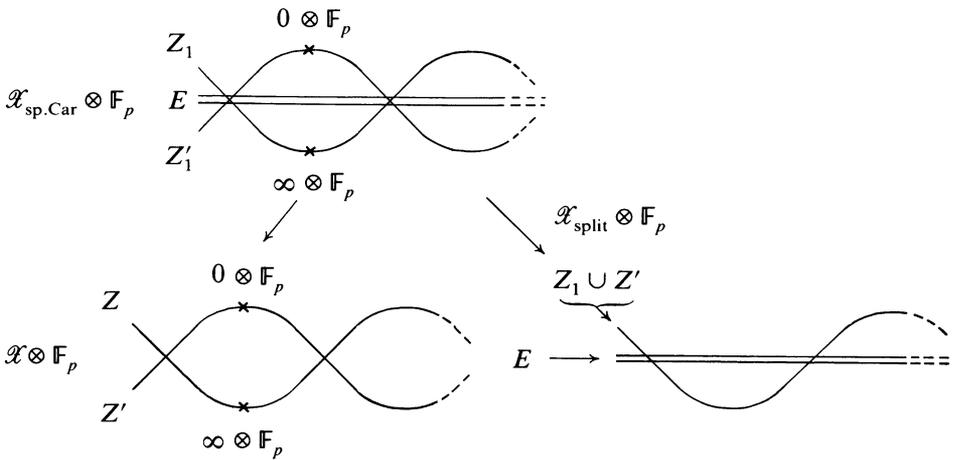
*Notation:* For a rational prime  $q$ ,  $\mathbb{Q}_q^{ur}$  denotes the maximal unramified extension of  $\mathbb{Q}_q$  and  $W(\overline{\mathbb{F}}_q)$  denotes the ring of integers of  $\mathbb{Q}_q^{ur}$ . For a finite extension  $K$  of  $\mathbb{Q}$ ,  $\mathbb{Q}_q$  or  $\mathbb{Q}_q^{ur}$ ,  $\mathcal{O}_K$  denotes the ring of integers of  $K$ . Let  $A$  be an abelian variety defined over  $K$  and  $G$  a finite subgroup of  $A$  defined over  $K$ . Then  $A_{/\mathcal{O}_K}$  denotes the Néron model of  $A$  over the base  $\mathcal{O}_K$  and  $G_{/\mathcal{O}_K}$  denotes the flat closure of  $G$  in  $A_{/\mathcal{O}_K}$  (which is a quasi finite flat subgroup scheme, see [17] §2). For a subscheme  $Y$  of a modular curve  $X(/\mathbb{Z})$ ,  $Y^h$  denotes the open subscheme  $Y \setminus \{\text{supersingular points on } Y \otimes \overline{\mathbb{F}}_p\}$  for the fixed rational prime  $p$ .

### §1. Preliminaries

Let  $p \geq 5$  be a prime number and  $X_{\text{sp.Car}} = X_{\text{sp.Car}}(p)$  the modular curve  $(/\mathbb{Q})$  corresponding to the modular group

$$\Gamma_{\text{sp.Car}}(p) = \left\{ \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in SL_2(\mathbb{Z}) \mid b \equiv c \equiv 0 \pmod{p} \right\}.$$

$X_{\text{sp.Car}}$  is the coarse moduli space  $(/\mathbb{Q})$  of the isomorphism classes of the generalized elliptic curves with an ordered pair of independent subgroups of rank  $p$  (see [3], [9]). Let  $w$  be the fundamental involution of  $X_{\text{sp.Car}} : (E, A, B) \mapsto (E, B, A)$ . Then  $X_{\text{split}} = X_{\text{split}}(p) = X_{\text{sp.Car}}/\langle w \rangle$ . Denote by  $\mathcal{X}_{\text{sp.Car}} = \mathcal{X}_{\text{sp.Car}}(p)$ ,  $\mathcal{X}_{\text{split}} = \mathcal{X}_{\text{split}}(p)$  and  $\mathcal{X} = \mathcal{X}_0(p)$  the normalizations of the projective  $j$ -line  $\mathcal{X}_0(p) \simeq \mathbb{P}_{\mathbb{Z}}^1$  in  $X_{\text{sp.Car}}$ ,  $X_{\text{split}}$  and  $X = X_0(p)$ , respectively. Let  $\pi$  be the canonical morphism of  $\mathcal{X}_{\text{sp.Car}}$  to  $\mathcal{X}$  which is generically defined by  $(E, A, B) \mapsto (E, A)$ . For a subscheme  $Y$  of a modular curve  $/\mathbb{Z}$ ,  $Y^h$  denotes the open subscheme  $Y \setminus \{\text{supersingular points on } Y \otimes \overline{\mathbb{F}}_p\}$  of  $Y$ . The special fibre  $\mathcal{X} \otimes \mathbb{F}_p$  is reduced and has two irreducible components, say  $Z$  and  $Z'$ , which intersect transversally at the supersingular points on  $\mathcal{X} \otimes \mathbb{F}_p$  (see [3] VI§6).  $Z^h$  (resp.  $Z'^h$ ) is the coarse moduli space  $(/\mathbb{F}_p)$  of the isomorphism classes of the generalized elliptic curves with a subgroup  $A$  of rank  $p$  such that  $A \simeq \mu_p$  (resp.  $\simeq \mathbb{Z}/p\mathbb{Z}$ ), isomorphic locally for the étale topology (see loc.cit.). The fibre  $\pi^{-1}(Z)$  has one irreducible component  $Z_1$ , and  $Z_1^h \rightarrow Z^h$  is radical of degree  $p$ . The fibre  $\pi^{-1}(Z')$  has two irreducible components  $Z'_1$  and  $E$ . The multiplicity of  $E$  is  $p - 1$  (see [15]) and  $Z_1^h \xrightarrow{\sim} Z^h$  is an isomorphism (see loc.cit.). The fundamental involution  $w$  exchanges  $Z_1$  by  $Z'_1$  and fixes  $E$ . These components  $Z_1$ ,  $Z'_1$  and  $E_{\text{red}}$  intersect transversally at the supersingular points on  $\mathcal{X}_{\text{sp.Car}} \otimes \mathbb{F}_p$ .



Here, 0 and  $\infty$  are the cuspidal sections which correspond to  $(\mathbf{G}_m \times \mathbb{Z}/p\mathbb{Z}, \mathbb{Z}/p\mathbb{Z}, \mu_p)$  and  $(\mathbf{G}_m \times \mathbb{Z}/p\mathbb{Z}, \mu_p, \mathbb{Z}/p\mathbb{Z})$  (resp.  $(\mathbf{G}_m \times \mathbb{Z}/p\mathbb{Z}, \mathbb{Z}/p\mathbb{Z})$  and  $(\mathbf{G}_m, \mu_p)$ ), see [3] II.

(1.1) *N.B.* (see [3] V, VII). Let  $\mathcal{C}'$  be the algebraic stack which represents the following functor: for a scheme  $S$  ( $/\mathbb{Z}$ ),  $\mathcal{C}'(S)$  is the set of the isomorphism classes of the generalized elliptic curves  $C$  with an isomorphism  $\alpha: C_p \xrightarrow{\sim} \mathbb{Z}/p\mathbb{Z} \times \mu_p$ . Then  $\mathcal{C}'$  is an open subspace of  $\mathcal{M}_p^h (= M_p^h$ , which is a scheme for  $p \geq 3$ , see loc.cit. VII p. 300). Let  $\Gamma_0(p)$ ,  $\Gamma_{\text{sp.Car}}(p)$  be the finite adèlic modular groups

$$\Gamma_0(p) = \left\{ \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in GL_2(\hat{\mathbb{Z}}) \mid c \equiv 0 \pmod{p} \right\},$$

$$\Gamma_{\text{sp.Car}}(p) = \left\{ \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in GL_2(\hat{\mathbb{Z}}) \mid b \equiv c \equiv 0 \pmod{p} \right\}.$$

The natural morphisms of  $M_p^h$  to  $M_{\text{sp.Car}}(p)^h = M_p^h/\Gamma_{\text{sp.Car}}(p)$  and to  $M_0(p)^h = M_p^h/\Gamma_0(p)$  induce the surjective morphisms of  $\mathcal{C}' \otimes \mathbb{F}_p$  onto  $Z_1^h$  and onto  $Z^h$ . The subgroup of  $\Gamma_0(p)$  consisting of the elements which fix  $\mathcal{C}'$  is  $\Gamma_{\text{sp.Car}}(p)$ . For a geometric point  $x$  on  $Z^h$ , let  $(C, A)$  ( $/\mathbb{F}_p$ ) be the pair which represents  $x$ . Then  $\text{Aut}(C, A) \subset \Gamma_{\text{sp.Car}}(p)$  (mod  $p$ ). Therefore,  $\pi: Z_1^h \xrightarrow{\sim} Z^h$  is an isomorphism and  $Z_1^h$  is the coarse moduli space ( $/\mathbb{F}_p$ ) of the isomorphism classes of the generalized elliptic curves with an ordered pair  $(A, B)$  of subgroups of rank  $p$  such that  $(A, B) \xrightarrow{\sim} (\mathbb{Z}/p\mathbb{Z}, \mu_p)$ , isomorphic locally for the étale topology. The morphism  $\pi$  induces  $Z_1^h \rightarrow Z^h: (C, B, A) \mapsto (C, B)$ , so that  $Z_1^h \rightarrow Z^h$  is radical of degree  $p$ .

Let  $K$  be a finite extension of  $\mathbb{Q}_p^{ur}$  of degree  $e$  with the ring  $\mathcal{O} = \mathcal{O}_K$  of integers.

**THEOREM (1.2)** (*Raynaud [17] §3 Proposition (3.3.2), Oort-Tate [16]*): Let  $G_i$  ( $i = 1, 2$ ) be finite flat group schemes of rank  $p$  over  $\text{Spec } \mathcal{O}$  and  $f: G_1 \rightarrow G_2$  a homomorphism such that  $f \otimes K: G_1 \otimes K \xrightarrow{\sim} G_2 \otimes K$  is an isomorphism. Then,

- (1) If  $e < p - 1$ , then  $f$  is an isomorphism.
- (2) If  $e = p - 1$  and  $f$  is not an isomorphism, then  $G_1 \xrightarrow{\sim} (\mathbb{Z}/p\mathbb{Z})_{/\mathcal{O}}$  and  $G_2 \xrightarrow{\sim} \mu_{p/\mathcal{O}}$ .

**LEMMA (1.3)**: Let  $E$  be a semistable elliptic curve defined over  $K$  with independent subgroups  $A, B$  of rank  $p$  defined over  $K$ . If  $e < p - 1$ , then  $E_{/\mathcal{O}} \otimes \overline{\mathbb{F}}_p$  is not supersingular and  $(E_{/\mathcal{O}})_p = A_{/\mathcal{O}} \oplus B_{/\mathcal{O}}$ , which is finite, where  $A_{/\mathcal{O}}, B_{/\mathcal{O}}$  are the flat closures of  $A$  and  $B$  in the Néron model  $E_{/\mathcal{O}}$ .

**PROOF:** (1.3.1). The case when  $E_{/\mathcal{O}}$  is an elliptic curve (i.e., proper).

$A_{/\mathcal{O}}$  and  $B_{/\mathcal{O}}$  are finite, hence they are finite flat group schemes. Consider the following morphisms  $f$  and  $f_A$  induced by the natural morphism of  $E$  onto  $E/B$  by the universal property of the Néron models:

$$\begin{array}{ccc} B_{/\mathcal{O}} \subset E_{/\mathcal{O}} & \xrightarrow{f} & (E/B)_{/\mathcal{O}} \\ & \cup \nearrow & \\ & A_{/\mathcal{O}} & \xrightarrow{f_A} \end{array}$$

Then  $f_A \otimes K: A \xrightarrow{\sim} f(A) (\subset E/B)$  is an isomorphism. By the condition  $e < p - 1$ ,  $f_A$  is an isomorphism, see (1.2) above. Then  $(E_{/\mathcal{O}})_p = A_{/\mathcal{O}} \oplus B_{/\mathcal{O}}$ . If  $(E_{/\mathcal{O}})_p(\overline{\mathbb{F}}_p) = \{0\}$ , then  $(E_{/\mathcal{O}})_p \otimes \overline{\mathbb{F}}_p \xrightarrow{\sim} \text{Spec } \overline{\mathbb{F}}_p[X, Y]/(X^p, Y^p)$  as schemes. For a supersingular elliptic curve  $F(\overline{\mathbb{F}}_p)$ ,  $F_p \xrightarrow{\sim} \text{Spec } \overline{\mathbb{F}}_p[X]/(X^{p^2})$  as schemes. Therefore,  $E_{/\mathcal{O}} \otimes \overline{\mathbb{F}}_p$  is not supersingular.

(1.3.2). The case when  $E_{/\mathcal{O}}$  has multiplicative reduction.

We have the following exact sequence (see e.g., [8] Part 16):

$$0 \rightarrow \mu_p \rightarrow E_p \rightarrow \mathbb{Z}/p\mathbb{Z} \rightarrow 0.$$

Then  $A$  or  $B \xrightarrow{\sim} \mathbb{Z}/p\mathbb{Z}$ . By the condition  $e < p - 1$ , using the universal property of the Néron model  $E_{/\mathcal{O}}$ , we see that  $(\mathbb{Z}/p\mathbb{Z})_{/\mathcal{O}} \subset E_{/\mathcal{O}}$ . The connected component  $(E_{/\mathcal{O}})_p^0$  of  $(E_{/\mathcal{O}})_p$  of the unity is isomorphic to  $\mu_{p/\mathcal{O}}$ , see e.g., loc.cit., [3] VII. Then  $(E_{/\mathcal{O}})_p \xrightarrow{\sim} \mu_{p/\mathcal{O}} \oplus (\mathbb{Z}/p\mathbb{Z})_{/\mathcal{O}}$  are finite schemes. Then, by the same way as in (1.3.1) above, we get  $(E_{/\mathcal{O}})_p = A_{/\mathcal{O}} \oplus B_{/\mathcal{O}}$ .  $\square$

**COROLLARY (1.4)**: Let  $E$  be an elliptic curve defined over  $\mathbb{Q}_p^{ur}$  with independent subgroups  $A, B$  of rank  $p$  such that the set  $\{A, B\}$  is  $\mathbb{Q}_p^{ur}$ -rational. Let  $y$  be a  $W(\overline{\mathbb{F}}_p)$ -section of  $\mathcal{X}_{\text{split}}$  whose generic fibre is represented

by the pair  $(E, \{A, B\})$ . If  $p \geq 11$ , then  $y$  is a section of the smooth part of  $\mathcal{X}_{\text{split}}$ .

PROOF: Let  $x, w(x)$  be the sections of the fibre  $(\mathcal{X}_{\text{sp.Car}})_y$ , which are defined over an extension  $K'$  of  $\mathbb{Q}_p^{ur}$  of degree  $\leq 2$ . We may assume that the triple  $(E, A, B)$  represents  $x \otimes K'$ . There exists an extension  $K$  of  $\mathbb{Q}_p^{ur}$  of degree  $e$  with  $e|4$  or  $e|6$  over which  $E$  has semistable reduction (see e.g., [19] §5 (5.6)). We may take  $K$  with  $e = 4$  or  $e = 6$ . Then  $K' \subset K$ . Let  $\mathcal{O}$  denote  $\mathcal{O}_K$ . Then the triple  $(E/\mathcal{O}, A/\mathcal{O}, B/\mathcal{O})$  represents the section  $x \otimes \mathcal{O}$ :  $\text{Spec } \mathcal{O} \rightarrow \mathcal{X}_{\text{sp.Car}}$ . By the condition that  $e < 11 - 1 \leq p - 1$ ,  $x \otimes \bar{F}_p$  is a section of  $Z_1^h \cup Z_1'^h$ , see (1.1), (1.3) above.  $\square$

### §2. Modular curves and Jacobian variety of $X_0(p)$

Let  $J = J_0(p)$  be the jacobian variety of  $X = X_0(p)$ ,  $C$  the cuspidal subgroup of  $J$  which is generated by the class  $cl((0) - (\infty))$ . Put  $J^- = J_0^-(p) = J/(1 + w_p)J$ . Mazur [10] defined the ‘‘Eisenstein quotient’’ of  $J$ . Put  $\mathbb{T} = \text{End } J$ , which is generated by the Hecke operators  $T_l$  and  $w_p$ , for the rational primes  $l \neq p$ , see [10] II Proposition (9.5). Let  $\mathcal{I}$  be the ideal of  $\mathbb{T}$  generated by  $\eta_l = 1 + l - T_l$  and  $w_p + 1$ , for the rational primes  $l \neq p$ , which is called the ‘‘Eisenstein ideal’’. The Eisenstein quotient  $\tilde{J} = \tilde{J}_0(p)$  is the quotient of  $J$  by the  $(\mathbb{Q}$ -rational) abelian subvariety  $(\bigcap_{n \geq 1} \mathcal{I}^n)J$ .

THEOREM (2.1) (Mazur loc.cit.): *The natural morphism  $J \rightarrow \tilde{J}$  induces an isomorphism of  $C$  of order  $n = \text{num}((p - 1)/12)$  onto the Mordell-Weil group of  $\tilde{J}$  and  $\tilde{J}$  is an optimal quotient of  $J^-$ . Further, the natural morphisms  $J(\mathbb{Q})_{\text{tor}} \xrightarrow{\sim} J^-(\mathbb{Q})_{\text{tor}} \xrightarrow{\sim} \tilde{J}(\mathbb{Q})$  are isomorphisms.*

PROPOSITION (2.2) (Mazur loc.cit. II Lemma (12.5)): *If  $p \equiv 1 \pmod{8}$ ,  $C_{/\mathbb{Z}}$  (= the flat closure of  $C$  in the Néron model  $J_{/\mathbb{Z}}$ ) contains the multiplicative group  $\mu_{2/\mathbb{Z}}$ .*

Let  $C_1, C_p$  be the morphisms of  $X_{\text{sp.Car}}$  to  $J$  defined by  $(E, A, B) \mapsto cl((E, A) - (0))$  and  $\mapsto cl((E/B, E_p/B) - (0))$ , respectively. Put  $g = C_1 - C_p: (E, A, B) \mapsto cl((E, A) - (E/B, E_p/B))$ ,

$$g: X_{\text{sp.Car}} \xrightarrow{C_1 \times C_p} J \times J \rightarrow J. \\ (x, y) \mapsto x - y$$

Then  $g$  induces the following commutative diagram:

$$\begin{array}{ccccc} X_{\text{sp.Car}} & \xrightarrow{\text{can.}} & X_{\text{split}} & & \\ g \downarrow & & \downarrow g^- & \searrow \tilde{g} & \\ J & \xrightarrow{\text{can.}} & J^- & \xrightarrow{\text{can.}} & \tilde{J}. \end{array}$$

We denote also by  $g, g^-$  and  $\tilde{g}$  the morphisms  $\mathcal{X}_{\text{sp.Car}}^{\text{smooth}} \rightarrow J_{/\mathbb{Z}}, \mathcal{X}_{\text{split}}^{\text{smooth}} \rightarrow J_{/\mathbb{Z}}$  and  $\mathcal{X}_{\text{split}}^{\text{smooth}} \rightarrow \tilde{J}_{/\mathbb{Z}}$  which are induced by  $g, g^-$  and  $\tilde{g}$  (by the universal property of the Néron models), respectively. Let  $\tilde{\mathcal{X}} = \tilde{\mathcal{X}}_0(p) \rightarrow \text{Spec } \mathbb{Z}$  be the minimal model of  $X = X_0(p)$  (see [3] VI§6). Let  $\iota$  be the isomorphism induced by the duality of Grothendieck (see [11] §2):

$$\iota: \text{Cot } J_{/\mathbb{Z}} \xrightarrow{\sim} H^0(\tilde{\mathcal{X}}, \Omega),$$

where  $\text{Cot } J_{/\mathbb{Z}}$  is the cotangent space of  $J_{/\mathbb{Z}}$  at origin and  $\Omega$  is the sheaf of regular differentials (see loc.cit., [3] p. 161). For a rational prime  $q$ , let  $R = W(\overline{\mathbb{F}}_q)$  be the ring of integers of  $\mathbb{Q}_q^{\text{ur}}$  and  $x: \text{Spec } R \rightarrow \mathcal{X}^{\text{smooth}}$  a section. Denote by  $\text{Spec } R[[q]]$  the completion of  $\mathcal{X}$  along the section  $x$ .

PROPOSITION (2.3) (Mazur [11] §2 Lemma (2.1)): *The following diagram is commutative up to sign:*

$$\begin{array}{ccc} \text{Cot } J_{/R} & \xrightarrow{\sim \iota} & H^0(\tilde{\mathcal{X}} \otimes R, \Omega) \\ & \searrow & \swarrow \\ \text{Cot}_x & & \text{Cot}_x \mathcal{X} = R \ni a_1 \end{array}$$

$\omega = \sum a_m q^m \frac{dq}{q}$

Denote by  $u$  the natural morphism of  $J_{/\mathbb{Z}}$  onto  $\tilde{J}_{/\mathbb{Z}}$ . By [11] Corollary (1.1),  $\text{Cot } \tilde{J}_{/\mathbb{Z}} \otimes \mathbb{F}_q$  can be regarded as a subspace of  $\text{Cot } J_{/\mathbb{Z}} \otimes \mathbb{F}_q \xrightarrow{\sim} H^0(\tilde{\mathcal{X}} \otimes \mathbb{F}_q, \Omega) (= H^0(\mathcal{X} \otimes \mathbb{F}_q, \Omega)$ , see [3] p. 162 (2.3)), for  $q \neq 2$ .

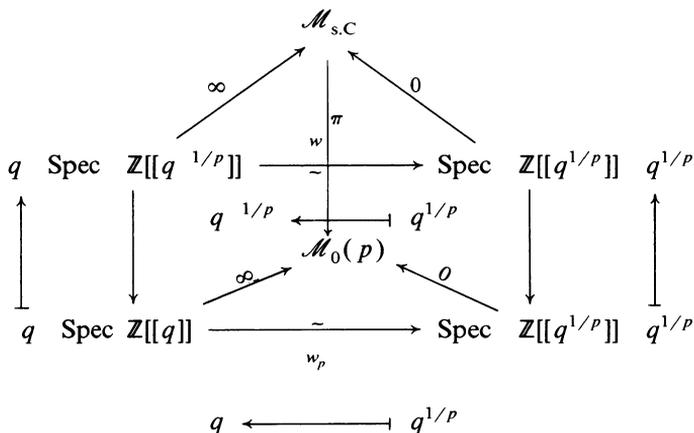
LEMMA (2.4) (Mazur [11] §3): *Under the notation as above, let  $x = 0$  or  $\infty$  (= the cuspidal sections). If  $p = 11$  or  $p \geq 17$ , for each rational prime  $q \neq 2$ , there exists a form  $\omega = \sum a_m q^m dq/q \in \text{Cot } \tilde{J}_{/\mathbb{Z}}$  such that  $a_1 \in \mathbb{Z}_q^\times$ .*

Let  $m: X \rightarrow Y$  be a morphism of schemes. The morphism  $m$  is a formal immersion along a section  $x$  of  $X$  if  $m^*(\widehat{\mathcal{O}}_{Y,f(x)}) = \widehat{\mathcal{O}}_{X,x}$ , where  $\widehat{\mathcal{O}}_{Y,f(x)}$  and  $\widehat{\mathcal{O}}_{X,x}$  are the completions of the local rings along the sections  $f(x)$  and  $x$ , respectively. If  $m^*(\mathcal{O}_{Y,f(x)}/m_{f(x)}) = \mathcal{O}_{X,x}/m_x$  and  $\text{Cot}_x(m): \text{Cot}_{f(x)} Y \rightarrow \text{Cot}_x X$  is surjective, then  $m$  is a formal immersion along  $x$  (see E.G.A.IV, 17.44). Here,  $m_{f(x)}$  and  $m_x$  are the maximal ideals of the local rings at  $f(x)$  and  $x$ .

PROPOSITION (2.5): *Let  $q \neq 2$  be a rational prime. If  $p = 11$  or  $p \geq 17$ ,  $ug \otimes \mathbb{Z}_q: \mathcal{X}_{\text{sp.Car}} \otimes \mathbb{Z}_q^{\text{smooth}} \rightarrow \tilde{J}_{/\mathbb{Z}_q}$  is a formal immersion along the cuspidal sections  $0$  and  $\infty$ . Further, if  $q \neq 2$  nor  $p$ ,  $ug \otimes \mathbb{Z}_q$  is a formal immersion along any cuspidal section of  $\mathcal{X}_{\text{sp.Car}} \otimes \mathbb{Z}_q$ .*

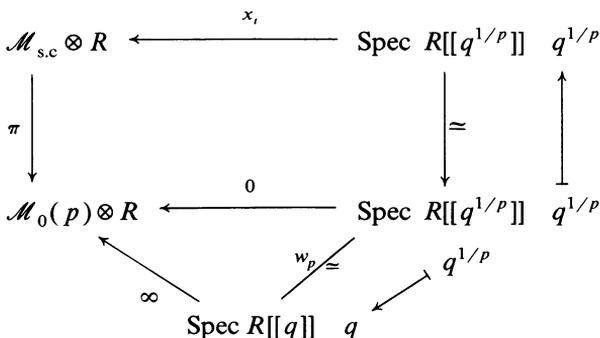
PROOF: There are  $p + 1$  cuspidal sections  $0, \infty$  and  $x_i$  of  $\mathcal{X}_{\text{sp.Car}}$  which correspond to  $0, \infty$  and  $1/i$  ( $1 \leq i \leq p - 1$ ) by the canonical identifica-

tion of  $X_{\text{sp.Car}} \otimes \mathbb{C}$  with  $\Gamma_{\text{sp.Car}}(p) \backslash H \cup \mathbb{P}^1(\mathbb{Q})$ , where  $H = \{z \in \mathbb{C} | \text{Im}(z) > 0\}$ . The cuspidal sections 0 and  $\infty$  are  $\mathbb{Q}$ -rational, and  $x_i$  are  $\mathbb{Q}(\zeta_p)$ -rational, where  $\zeta_p$  is a primitive  $p$ -th root of 1. Let  $\mathcal{M}_{\text{s.c}} = \mathcal{M}_{\text{sp.Car}}(p)$  and  $\mathcal{M}_0(p)$  be the fine moduli stacks corresponding to finite adelic modular groups  $\Gamma_{\text{sp.Car}}(p)$  and  $\Gamma_0(p)$ , respectively, see (1.1). The correspondence of the local coordinates along the cuspidal sections 0 and  $\infty$  is as follows:



For each rational prime  $q$ ,  $\text{Cot}(\pi)$  (resp.  $\text{Cot}(w_p \pi w)$ ):  $\text{Cot}_0 \mathcal{X} \otimes \mathbb{Z}_q \rightarrow \text{Cot}_0 \mathcal{X}_{\text{sp.Car}} \otimes \mathbb{Z}_q$  is an isomorphism (resp. a 0-map). Take a form  $\omega \in \text{Cot} \tilde{J}_{/\mathbb{Z}_q}$  as in Lemma (2.4) (for  $q \neq 2$ ), then by Proposition (2.3),  $\text{Cot}(ug) = \text{Cot}(uC_1) - \text{Cot}(uC_p)$ :  $\text{Cot} \tilde{J}_{/\mathbb{Z}_q} \rightarrow \text{Cot}_0 \mathcal{X}_{\text{sp.Car}} \otimes \mathbb{Z}_q$  sends  $\omega$  to  $\pm a_1 \in \mathbb{Z}_q^\times$ .

To investigate the cuspidal sections  $x_i$ , we consider all over  $R = \mathbb{Z}[1/2p, \zeta_p]$ . The group  $\left\{ \begin{pmatrix} a & 0 \\ 0 & a^{-1} \end{pmatrix} \middle| a \in (\mathbb{Z}/p\mathbb{Z})^\times \right\}$  acts trivially on  $\mathcal{M}_{\text{s.c}} \otimes R$ . The correspondence of the local coordinates along the cuspidal sections  $x_i$  is as follows:



The Tate curves along these cuspidal sections are as follows (see [3] VII):

$$\begin{array}{ccc}
 & (\bar{\mathcal{G}}_m^{q^{1/p}}/q^{\mathbf{Z}}, \mathbf{Z}/p\mathbf{Z}(q^{1/p}), \mathbf{Z}/p\mathbf{Z}(\zeta_p q^{1/p})) & \\
 & \downarrow & \\
 (\bar{\mathcal{G}}_m^{q^{1/p}}/(q^{1/p})^{\mathbf{Z}}, \mu_p) & (\bar{\mathcal{G}}_m^{q^{1/p}}/q^{\mathbf{Z}}, \mathbf{Z}/p\mathbf{Z}(q^{1/p})) & \\
 \updownarrow & \swarrow w_p & \\
 (\bar{\mathcal{G}}_m^q/q^{\mathbf{Z}}, \mu_p) & & 
 \end{array}$$

Here,  $\mathbf{Z}/p\mathbf{Z}(q^{1/p})$  and  $\mathbf{Z}/p\mathbf{Z}(\zeta_p q^{1/p})$  are the subgroup schemes of the Tate curve  $\bar{\mathcal{G}}_m^{q^{1/p}}/q^{\mathbf{Z}}$  generated by the sections  $q^{1/p}$  and  $\zeta_p q^{1/p}$ , respectively. Consider the morphism  $w_p \pi w: (E, A, B) \mapsto (E/B, E_p/B)(x_i \mapsto x_{p-i} \xrightarrow{\pi} 0 \xrightarrow{w_p} \infty)$ :

along  $x_i$ ,

$$\begin{array}{ccc}
 (\bar{\mathcal{G}}_m^{q^{1/p}}/q^{\mathbf{Z}}, \mathbf{Z}/p\mathbf{Z}(q^{1/p}), \mathbf{Z}/p\mathbf{Z}(\zeta_p q^{1/p})) & & \\
 \searrow w & \text{along } x_{p-i} & \\
 \zeta_p^{a(i)} q^{1/p} & \swarrow & (\bar{\mathcal{G}}_m^{q^{1/p}}/q^{\mathbf{Z}}, \mathbf{Z}/p\mathbf{Z}(\zeta_p q^{1/p}), \mathbf{Z}/p\mathbf{Z}(q^{1/p})) \\
 \zeta_p^{a(i)} q^{1/p} & & \downarrow \text{by } \begin{pmatrix} a(i) & 0 \\ 0 & i \end{pmatrix} \in SL_2(\mathbf{Z}/p\mathbf{Z}) \\
 \parallel & & (\bar{\mathcal{G}}_m^{q^{1/p}}/q^{\mathbf{Z}}, \mathbf{Z}/p\mathbf{Z}(\zeta_p^{a(i)} q^{1/p}), \mathbf{Z}/p\mathbf{Z}(q^{1/p})) \\
 \zeta_p^{a(i)} q^{1/p} & & \downarrow \\
 \updownarrow q^{1/p} & & (\bar{\mathcal{G}}_m^{q^{1/p}}/q^{\mathbf{Z}}, \mathbf{Z}/p\mathbf{Z}(q^{1/p}), \mathbf{Z}/p\mathbf{Z}(\zeta_p^{-1} q^{1/p})) \\
 \parallel & & \downarrow \\
 q^{1/p} & & (\bar{\mathcal{G}}_m^{q^{1/p}}/q^{\mathbf{Z}}, \mathbf{Z}/p\mathbf{Z}(q^{1/p})) \\
 \swarrow q & \text{along } 0 & \\
 (\bar{\mathcal{G}}_m^q/q^{\mathbf{Z}}, \mu_p) & \swarrow w_p & \\
 \text{along } \infty & & 
 \end{array}$$

Here,  $a(i)$  is an integer congruent to  $i^{-1} \pmod p$ . Take the local coordinates along  $x_i, \infty$  and  $0$  such that

$$\text{Cot}(\pi): \text{Cot}_0 \mathcal{X} \otimes R \xrightarrow{\sim} \text{Cot}_{x_i} \mathcal{X}_{\text{sp.Car}} \otimes R$$

$$\text{Cot}(w_p): \text{Cot}_\infty \mathcal{X} \otimes R \xrightarrow{\sim} \text{Cot}_0 \mathcal{X} \otimes R$$

are the identity maps of  $R$ -modules  $R$ . Then

$$\text{Cot}(w_p \pi w): \text{Cot}_\infty \mathcal{X} \otimes R \longrightarrow \text{Cot}_{x_i} \mathcal{X}_{\text{sp.Car}} \otimes R: 1 \longmapsto \zeta,$$

for a primitive  $p$ -th root  $\zeta$  of 1. Take a form  $\omega \in \text{Cot } \tilde{J}/\mathbf{Z}_q$  as in Lemma

(2.4), then by Proposition (2.3),  $\text{Cot}(ug)(\omega) = \pm a_1(1 - \zeta) \in (R \otimes \mathbb{Z}_q)^\times$ .

□

### §3. Rational points on $X_{\text{split}}(p)$

Let  $p \geq 11$  be a prime number. Let  $y$  be a non cuspidal  $\mathbb{Q}$ -rational point on  $X_{\text{split}} = X_{\text{split}}(p)$  and  $x, w(x)$  the sections of the fibre  $(X_{\text{sp.Car}})_y$ . Then there exists a number field  $k$  of degree  $\leq 2$  over which  $x$  and  $w(x)$  are defined. We denote also by  $y$  (resp.  $x$  and  $w(x)$ ) the  $\mathbb{Z}$ -section (resp.  $\mathcal{O}_k$ -sections) of  $\mathcal{X}_{\text{split}}$  (resp.  $\mathcal{X}_{\text{sp.Car}}$ ) with the generic fibre  $y$  (resp.  $x$  and  $w(x)$ ) above. There exists an elliptic curve  $E$  defined over  $\mathbb{Q}$  with independent subgroups  $A, B$  of rank  $p$  such that the set  $\{A, B\}$  is  $\mathbb{Q}$ -rational and the pair  $(E, \{A, B\})$  represents  $y = y \otimes \mathbb{Q}$  (see [3] VI Proposition (3.2)). Then  $A$  and  $B$  are defined over  $k$ . By Corollary (1.4),  $x$  and  $w(x)$  are the sections of  $\mathcal{X}_{\text{sp.Car}}^{\text{smooth}}$ . We call that  $y$  (or  $x$ ) has potentially good reduction at a prime  $q$  if  $E$  has potentially good reduction at  $q$ .

**PROPOSITION (3.1):** *Under the notation as above. If  $p \neq 13$  ( $\geq 11$ ),  $y$  has potentially good reduction at the rational prime  $q \neq 2$ .*

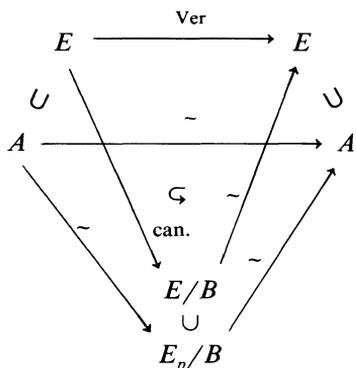
**PROOF:** Denote by  $0, y_i$  ( $1 \leq i \leq (p-1)/2$ ) the cuspidal sections of  $\mathcal{X}_{\text{split}}$  which are the images of  $\{0, \infty\}$  and  $\{x_i, x_{p-1}\}$ , respectively. If  $y$  does not have potentially good reduction at a rational prime  $q$ , then  $y \otimes \mathbb{F}_q = 0 \otimes \mathbb{F}_q$  or  $= y_i \otimes \mathbb{F}_q$  for an integer  $i$ . The latter case occurs only when  $q \equiv \pm 1 \pmod{p}$ . Denote also by  $C$  the cyclic subgroup of the image of the cuspidal subgroup  $C = \langle \text{cl}((0) - (\infty)) \rangle$  by the natural morphism of  $J$  onto  $\bar{J}$ , see (2.1). Then  $\tilde{g}(y) \otimes \mathbb{Z}[1/2] \in C_{/\mathbb{Z}[1/2]} \simeq (C/\mathbb{Z})_{/\mathbb{Z}[1/2]}$ , see loc. cit.. If  $y \otimes \mathbb{F}_q = 0 \otimes \mathbb{F}_q$ , Then  $\tilde{g}(y) = 0$ . If  $y \otimes \mathbb{F}_q = y_i \otimes \mathbb{F}_q$ , then  $\tilde{g}(y) =$  the image of  $\text{cl}((0) - (\infty))$ . Then by Proposition (2.5),  $y = 0$  or  $= y_i$ , which is a contradiction (see [11] Corollary (4.3)). □

**LEMMA (3.2):** *Under the notation as above. The sections  $x$  and  $w(x)$  are not  $\mathbb{Q}$ -rational and the prime  $p$  splits in  $k$ .*

**PROOF:** The modular curve  $X_0(p^2)$  is isomorphic over  $\mathbb{Q}$  to  $X_{\text{sp.Car}} = X_{\text{sp.Car}}(p): (E, A) \mapsto (E/A_p, A/A_p, E_p/A_p)$ , where  $A_p = \ker(p: A \rightarrow A)$ . For the primes  $p$  ( $\geq 7$ ),  $X_0(p^2)(\mathbb{Q}) = \{0, \infty\}$ , see [11], [6,7], [13]. Therefore,  $x$  and  $w(x)$  are not  $\mathbb{Q}$ -rational and  $w(x) = x^\sigma$  for  $1 \neq \sigma \in \text{Gal}(k/\mathbb{Q})$ . If  $p$  ramifies in  $k$ , then  $w(x) \otimes \mathbb{F}_p = x^\sigma \otimes \mathbb{F}_p = x \otimes \mathbb{F}_p$ . If  $p$  remains prime in  $k$ , then  $w(x) \otimes \mathbb{F}_{p^2} = x^\sigma \otimes \mathbb{F}_{p^2} = (x \otimes \mathbb{F}_{p^2})^{(p)}$ , where  $(x \otimes \mathbb{F}_{p^2})^{(p)}$  is the image of  $x \otimes \mathbb{F}_{p^2}$  by the Frobenius map:  $\mathcal{X}_{\text{sp.Car}} \otimes \mathbb{F}_p \rightarrow \mathcal{X}_{\text{sp.Car}} \otimes \mathbb{F}_p$ . The irreducible components  $Z_1, Z'_1$  and  $E_{\text{red}}$  are  $\mathbb{F}_p$ -rational, see §1 (1.1). In both cases above,  $x \otimes \mathbb{F}_{p^2}$  is a section of  $E$ , see loc.cit. But,  $x \otimes \mathbb{F}_{p^2}$  is a section of  $Z_1^h \cup Z'^h_1$ , see (1.4). □

**PROPOSITION (3.3):** *Let  $x$  and  $w(x)$  be the sections as above for a rational prime  $p \neq 13$  ( $\geq 11$ ) and  $g$  the morphism of  $\mathcal{X}_{\text{sp,Car}}^{\text{smooth}}$  to  $J_{/\mathbb{Z}}$  defined in §2:  $(E, A, B) \mapsto cl((E, A) - (E/B, E_p/B))$ . Then  $g(x) \otimes \mathbb{F}_p = g(w(x)) \otimes \mathbb{F}_p = 0$ .*

**PROOF:** By Corollary (1.4),  $x \otimes \mathbb{F}_p$  and  $w(x) \otimes \mathbb{F}_p$  are the sections of  $Z_1^h \cup Z_1'^h$ , see (3.2) above. We may assume that  $x \otimes \mathbb{F}_p$  is a section of  $Z_1^h$ , changing  $x$  by  $w(X)$  if necessary. Then there exists an elliptic curve  $E$  defined over  $\mathbb{F}_p$  such that the triple  $(E, \ker(\text{Frob}), \ker(\text{Ver}))$  represents  $x \otimes \mathbb{F}_p$  and  $(E, \ker(\text{Ver}), \ker(\text{Frob}))$  represents  $w(x) \otimes \mathbb{F}_p$ , where  $\text{Frob}$  is the Frobenius map:  $E \rightarrow E = E^{(p)}$  and  $\text{Ver}$  is the Verschiebung:  $E = E^{(p)} \rightarrow E$ . Put  $A = \ker(\text{Frob})$  and  $B = \ker(\text{Ver})$ . Then  $(E, A)$  represents  $\pi(x) \otimes \mathbb{F}_p$  and  $(E/B, E_p/B)$  represents  $w_p \pi w(x) \otimes \mathbb{F}_p$ . The following diagram is commutative:



i.e.,  $(E, A) \xrightarrow{\sim} (E/B, E_p/B)$ . Therefore  $\pi(x) \otimes \mathbb{F}_p = w_p \pi w(x) \otimes \mathbb{F}_p$ . Then  $g(x) \otimes \mathbb{F}_p = g(w(x)) \otimes \mathbb{F}_p = 0$ .  $\square$

**COROLLARY (3.4):** *Under the notation and the assumption on  $p$  as above. Let  $g, \tilde{g}$  be the morphisms defined in §2. Then  $\tilde{g}(y) = 0$ . If the Mordell-Weil group of  $J^-$  is finite, then  $g^-(y) = 0$ .*

**PROOF:** By Theorem (2.1),  $\tilde{g}(y) \otimes \mathbb{Z}_p$  is a section of the finite étale subgroup which is the image of  $C_{/\mathbb{Z}_p} \xrightarrow{\sim} (\mathbb{Z}/n\mathbb{Z})_{/\mathbb{Z}_p}$ , see (2.1). Then  $\tilde{g}(y) = 0$ , see (3.3) above. If the Mordell-Weil group of  $J^-$  is finite, then  $g^-(y) \otimes \mathbb{Z}_p$  is a section of the image of  $C_{/\mathbb{Z}_p}$  see (2.1).  $\square$

**REMARK (3.5):** By this corollary (3.4), we see that  $y \otimes \mathbb{F}_p \neq y_i \otimes \mathbb{F}_p$  for all rational primes  $q$ . Because,  $g(y_i)$  = the image of the generator  $cl((0) - (\infty))$  of  $C$ , which is of order  $n = \text{num}((p-1)/12)$ , see (2.1).

**COROLLARY (3.6):** *If  $p \equiv 1 \pmod{8}$ , then  $y$  has potentially good reduction at  $q = 2$ .*

PROOF: If  $y$  does not have potentially good reduction at  $q=2$ , then  $y \otimes \mathbb{F}_2 = 0 \otimes \mathbb{F}_2$ . The morphism  $\text{Cot}(\pi); \text{Cot}_0 \mathcal{X} \otimes \mathbb{Z}_2 \xrightarrow{\sim} \text{Cot}_0 \mathcal{X}_{\text{sp.Car}} \otimes \mathbb{Z}_2$  is an isomorphism and  $\text{Cot}(w_p \pi w); \text{Cot}_0 \mathcal{X} \otimes \mathbb{Z}_2 \longrightarrow \text{Cot}_0 \mathcal{X}_{\text{sp.Car}} \otimes \mathbb{Z}_2$  is a 0-map, see (2.5). It is enough to show that there exists a form  $\omega \in u^*(\text{Cot } \tilde{J}_{/\mathbb{Z}_2})$  such that  $\omega(0 \otimes \mathbb{F}_2) \neq 0$ , where  $u: J_{/\mathbb{Z}_2} \longrightarrow \tilde{J}_{/\mathbb{Z}_2}$  is the natural morphism. The cyclic subgroup  $C_{/\mathbb{Z}_2}$  contains the multiplicative group  $\mu_{p/\mathbb{Z}_2}$ , see (2.2). Consider the morphism  $u \otimes \mathbb{Z}_2$ :

$$\begin{array}{ccc} J_{/\mathbb{Z}_2} & \longrightarrow & \tilde{J}_{/\mathbb{Z}_2} \\ \cup & & \cup \\ \mu_{2/\mathbb{Z}_2} & \xrightarrow{\sim} & \mu_{2/\mathbb{Z}_2}. \end{array}$$

By Theorem (1.2) and (2.1),  $u|_{\mu_{2/\mathbb{Z}_2}}$  is an isomorphism. Then  $u^*(\text{Cot } \tilde{J}_{/\mathbb{Z}_2}) \otimes \mathbb{F}_2 \neq \{0\}$ , which is a  $\mathbb{T} = \mathbb{Z}[T, w_p]_{l \neq p}$ -module. Using the  $q$ -expansion principle (see [11] §3), we get a desired form.  $\square$

To prove the main theorem, we need the following result of Ogg [14] Satz 1.

**THEOREM (3.7)** (Ogg, loc. cit.): *Let  $p$  be a prime number such that the genus  $g_0(p)$  of  $X = X_0(p) \geq 2$ . Then the group  $\text{Aut } X_0(p)$  of automorphisms of  $X \otimes \mathbb{C} = \langle w_p \rangle$ , provided  $p \neq 37$ .*

**REMARK (3.8):**  $\text{Aut } X_0(37) \xrightarrow{\sim} \mathbb{Z}/2\mathbb{Z} \times \mathbb{Z}/2\mathbb{Z}$ , see loc.cit., [12] §5.

**THEOREM (3.9):** *Let  $p = 11$  or  $p \geq 17$  be a prime number such that the Mordell-Weil group of  $J^- = J_0^-(p)$  is of finite order. Then  $X_{\text{split}}(p)(\mathbb{Q})$  consists of the cusps and the C.M. points.*

PROOF: Let  $y$  be a non cuspidal  $\mathbb{Z}$ -section of  $\mathcal{X}_{\text{split}} = \mathcal{X}_{\text{split}}(p)$  and  $x$  a section of the fibre  $(\mathcal{X}_{\text{sp.Car}})_y$ . Let  $(E, \{A, B\}) (\sphericalangle \mathbb{Q})$  be a pair which represents  $y$  (see [3] VI Proposition (3.2)). Denote by  $g_+ = g_+(p)$  the genus of  $X_0^+(p) = X_0(p) / \langle w_p \rangle$ . If  $g_+ = 0$ , then  $J = J^-$ , which has the Mordell-Weil group of finite order (see [10] p. 40, [21] Table 5 pp. 135–141). By Corollary (3.4),  $0 = g(x) = cl((\pi(x)) - (w_p \pi w(x)))$ . Then  $\pi(x) = w_p \pi w(x)$ , because  $g_0(p) \geq 1$  for  $p = 11$  and  $p \geq 17$ . Then  $E \xrightarrow{\sim} E/B (\sphericalangle \mathbb{Q})$ , hence  $E$  is an elliptic curve with complex multiplication. If  $g_+ > 0$ , by Corollary (3.4),  $0 = (1 - w_p)g(x) = cl((\pi(x)) + (\pi w(x)) - (w_p(\pi(x)) - (w_p \pi w(x))))$ . Then there exists a rational function  $f$  on  $X_0(p)$  whose divisor  $(f) = (\pi(x)) + (\pi w(x)) - (w_p \pi(x)) - (w_p \pi w(x))$ . If the degree of  $f \leq 1$ , by the same way as above, we see that  $y$  is a C.M. point. If the degree of  $f = 2$ , then  $X_0(p)$  has the hyperelliptic involution  $\gamma$  such that  $\gamma \pi(x) = \pi w(x)$ . By Theorem (3.7) above, such a  $\gamma$  exists only when  $p = 37$  ( $g_0(p) \geq 2$ ).  $\square$

**§4. Effective bound of rational points**

In this section, we estimate the number of the  $\mathbb{Q}$ -rational points on  $X_{\text{split}} = X_{\text{split}}(p)$  for  $p \geq 17$ . Let  $y$  be a non cuspidal  $\mathbb{Q}$ -rational point on  $X_{\text{split}}(p \geq 17)$  and  $x, w(x)$  the sections of the fibre  $(X_{\text{sp.Car}})_y$ , which are defined over a quadratic field  $k$ . The rational prime  $p$  splits in  $k$  and  $x, w(x)$  become  $\mathbb{Z}_p$ -sections of the smooth part of  $\mathcal{X}_{\text{sp.Car}}$ , see (1.4), (3.2). Let  $\tilde{g}$  (resp.  $g$ ) be the morphism of  $\mathcal{X}_{\text{split}}^{\text{smooth}}$  (resp.  $\mathcal{X}_{\text{sp.Car}}^{\text{smooth}}$ ) to the Néron  $\tilde{J}_{/\mathbb{Z}}$  (resp.  $J_{/\mathbb{Z}}$ ) and  $u: J_{/\mathbb{Z}} \rightarrow \tilde{J}_{/\mathbb{Z}}$  the natural morphism as in §2. Then  $\tilde{g}(y) = ug(x) = ug(w(x)) = 0$ , see (3.4). Denote by  $l(p)$  the number of the  $\mathbb{Z}_p$ -sections  $x$  of  $\mathcal{X}_{\text{sp.Car}}$  which satisfy the following conditions  $(C_1), (C_2)$ :

$(C_1)$   $x \otimes \mathbb{Q}_p$  are neither cusps nor C.M. points.

$(C_2)$   $x \otimes \mathbb{F}_p$  are sections of  $Z_1^h$  (see §1(1.1)) and  $ug(x) = 0$ .

One of the sections  $x$  and  $w(x)$  of the fibre  $(\mathcal{X}_{\text{sp.Car}})_y$  satisfies the condition  $(C_2)$ . If a  $\mathbb{Z}_p$ -section  $x$  of  $\mathcal{X}_{\text{sp.Car}}$  satisfies the condition  $(C_2)$  and  $x \otimes \mathbb{F}_p = 0 \otimes \mathbb{F}_p$ , then  $x$  is the cusp 0, see (2.5). Denote by  $n(p)$  the number of the  $\mathbb{Z}$ -sections of  $\mathcal{X}_{\text{split}}$  whose generic fibres are neither cusps nor C.M. points. Then  $n(p) \leq l(p)$ . Estimating  $l(p)$ , we get the following.

**THEOREM (4.1):**  $n(p) \leq \dim J - \dim \tilde{J}$  for  $p \geq 17$ .

*Example:*  $l(37) = 1$ , see (5.A).

For a point  $z \in Z^h(\mathbb{F}_p), z \neq 0 \otimes \mathbb{F}_p$ ,

$$m(z) = \text{Minimum}_{\omega \in \text{Cot } \tilde{J}_{/\mathbb{Z}_p} \otimes \mathbb{F}_p} \{ \text{the order of zero of } \omega \text{ at } z \}.$$

Let  $l(z) = l(p, z)$  be the number of the  $\mathbb{Z}_p$ -sections of  $\mathcal{X}_{\text{sp.Car}}$  which satisfy the conditions  $(C_1), (C_2)$  above and

$$(C_z) \pi(x) \otimes \mathbb{F}_p = z,$$

where  $\pi: \mathcal{X}_{\text{sp.Car}} \rightarrow \mathcal{X} = \mathcal{X}_0(p)$  is the canonical morphism (see §1). We estimate  $l(p)$  by the following way. Firstly, we show that there exist at most  $m(z) + 1$   $\mathbb{Z}_p$ -sections of  $\mathcal{X}_{\text{sp.Car}}$  which satisfy the conditions  $(C_2), (C_z)$  above. Secondly, we show that the Deuring lifting (see e.g., [8] Part 13§5) satisfies the conditions  $(C_2), (C_z)$  above. Then  $l(z) \leq m(z)$  for  $z \in Z^h(\mathbb{F}_p), z \neq 0 \otimes \mathbb{F}_p$ . Finally, using the Riemann-Roch theorem, we estimate  $\sum_z m(z)$ .

**LEMMA (4.2):**  $l(z) \leq m(z)$ .

**PROOF:** Let  $x$  be a  $\mathbb{Z}_p$ -section of  $\mathcal{X}_{\text{sp.Car}}$  which satisfies the conditions  $(C_2), (C_z)$  for  $z \in Z^h(\mathbb{F}_p), z \neq 0 \otimes \mathbb{F}_p$ . The morphism  $ug = uC_1 - uC_p$  (see

§2) is defined by

$$\begin{aligned} \mathcal{X}_{\text{sp.Car}} \otimes \mathbb{Z}_p^{\text{smooth}} &\xrightarrow{\pi \times w, \pi w} \mathcal{X} \otimes \mathbb{Z}_p^{\text{smooth}} \times \mathcal{X} \otimes \mathbb{Z}_p^{\text{smooth}} \\ &\rightarrow \tilde{J}_{/\mathbb{Z}_p} \times \tilde{J}_{/\mathbb{Z}_p} \rightarrow \tilde{J}_{/\mathbb{Z}_p} \\ (\text{the cusp } 0) &\mapsto 0, (x_1, x_2) \mapsto x_1 - x_2 \end{aligned}$$

Consider the morphism  $uC_1$  of  $\mathcal{X}_{\text{sp.Car}} \otimes \mathbb{Z}_p^{\text{smooth}}$  to  $\tilde{J}_{/\mathbb{Z}_p}$ :

$$\begin{array}{ccc} (uC_1)^*: \widehat{\mathcal{O}_{\tilde{J}_{/\mathbb{Z}_p}, uC_1(x)}} &\rightarrow & \widehat{\mathcal{O}_{\mathcal{X}_{\text{sp.Car}} \otimes \mathbb{Z}_p, x}} \\ \parallel & & \parallel \\ \mathbb{Z}_p[[t_1, \dots, t_{\tilde{g}}]] & & \mathbb{Z}_p[[q]] \end{array}$$

where  $\tilde{g} = \dim \tilde{J}$ . By Proposition (2.3) and by the fact that  $\pi$  is isomorphic formally along the section  $x$  (see §1), we see that for an integer  $i$ ,  $1 \leq i \leq \tilde{g}$ ,

$$(uC_1)^*(t_i) \equiv a_m q^m + a_{m+1} q^{m+1} + \dots \pmod{p}$$

with  $m = m(z) + 1$  and  $a_m \in \mathbb{Z}_p^\times$ . Similarly, we see that  $(uC_p)^*(t_i) \equiv a'_{pm} q^{pm} + a'_{pm+1} q^{pm+1} + \dots \pmod{p}$  with  $a'_{pm} \in \mathbb{Z}_p^\times$ , see (1.1), (2.5). By the condition  $(C_2)$ ,  $uC_1(x) = uC_p(x)$ .  $\tilde{J}_{/\mathbb{Z}_p} \otimes \mathbb{F}_p$  is a split torus  $\mathbb{G}_m \times \dots \times \mathbb{G}_m = \text{Spec } \mathbb{F}_p[u_1, u_1^{-1}, \dots, u_{\tilde{g}}, u_{\tilde{g}}^{-1}]$  (see [15], [10] Appendix). The section  $uC_1(x) \otimes \mathbb{F}_p = uC_p(x) \otimes \mathbb{F}_p$  is defined by  $(u_1, \dots, u_{\tilde{g}}) = (c_1, \dots, c_{\tilde{g}})$  for  $c_i \in \mathbb{F}_p^\times$ . Let  $v$  be the morphism:  $\tilde{J}_{/\mathbb{Z}_p} \times \tilde{J}_{/\mathbb{Z}_p} \rightarrow \tilde{J}_{/\mathbb{Z}_p}$ ,  $(x_1, x_2) \mapsto x_1 - x_2$ . Then  $v^*(u_j - 1) = c_j^{-1}(u_j \otimes 1 - c_j) + c_j(1 \otimes u_j^{-1} - c_j^{-1}) + (u_j \otimes 1 - c_j)(1 \otimes u_j^{-1} - c_j^{-1})$ . For an integer  $k$ ,  $(ug)^*(u_k - 1) = c_k^{-1} b_m q^m + \dots$  with  $b_m \in \mathbb{F}_p^\times$ . Then  $(ug)^*(t_i) \equiv b'_m q^m + \dots \pmod{p}$  with  $b'_m \in \mathbb{Z}_p^\times$ . In the following, we show that there exists a C.M. point satisfying the conditions  $(C_2)$ ,  $(C_z)$ . Let  $E(/F_p)$  be an elliptic curve with the modular invariant  $j(E) = j(z)$ . Then the triple  $(E, \ker(\text{Ver}), \ker(\text{Frob}))$  represents  $x \otimes \mathbb{F}_p$ , see §1(1.1). Let  $F$  be the Deuring lifting of  $E$  (see e.g., [8] Part 13 §5), which is defined over a subfield  $K$  of  $\mathbb{Q}_p^{ur}$  (see loc. cit., Theorem 13). Let  $\alpha, \bar{\alpha}$  be the endomorphisms of  $F$  such that  $\alpha \otimes \bar{\mathbb{F}}_p = \text{Ver}$  and  $\bar{\alpha} \otimes \bar{\mathbb{F}}_p = \text{Frob}$  (see loc.cit., Theorem 12). Put  $A = \ker(\alpha : F \rightarrow F)$  and  $B = \ker(\bar{\alpha} : F \rightarrow F)$ . Then the triple  $(F, A, B)$  represents a  $\mathcal{O}_K$ -section  $\tilde{x}$  of  $\mathcal{X}_{\text{sp.Car}}$  such that  $\tilde{x} \otimes \bar{\mathbb{F}}_p = x \otimes \bar{\mathbb{F}}_p$ . By the same way as in Proposition (3.3), we can see that  $(F/B, F_p/B) \sim (F, A)$ . Then,  $g(\tilde{x}) \equiv 0$ . The rest of this lemma owes to the following sublemma.

**SUBLEMMA (4.3):** *Let  $f(t) = \sum_{n \geq 1} a_n t^n$  be a formal power series with  $a_n \in W(\bar{\mathbb{F}}_p)$ . Suppose that  $f(t) \equiv a_r t^r + \dots \pmod{p}$  with  $a_r \not\equiv 0 \pmod{p}$ . Then there are at most  $r$  solutions of  $f(t) = 0$  in  $pW(\bar{\mathbb{F}}_p)$ . If  $r = 2$  and  $a_1 \neq 0$ , there exist two solutions of  $f(t) = 0$  in  $pW(\bar{\mathbb{F}}_p)$ .  $\square$*

PROOF OF THEOREM (4.1): By Lemma (4.2),  $l(p) \leq m(p) = \Sigma m(z)$ . Put  $g = g_0(p) = \dim J$ ,  $\tilde{g} = \tilde{g}_0(p) = \dim \tilde{J}$  and let  $g_+ = g_+(p)$  be the genus of  $X_0^+(p) = X_0(p)/\langle w_p \rangle$ . Let  $\alpha_i$  ( $1 \leq i \leq r = g - 2g_+ + 1$ ) be the  $\mathbb{F}_p$ -rational supersingular points and  $\beta_i, \beta_i^{(p)}$  ( $1 \leq i \leq g_+$ ) the non  $\mathbb{F}_p$ -rational supersingular points on  $\mathcal{X} \otimes \mathbb{F}_p$ . Put  $D_1 = \Sigma_i(\alpha_i)$ ,  $D_2 = \Sigma_i(\beta_i) + \Sigma_i(\beta_i^{(p)})$  and  $D_0 = \Sigma_z m(z)(z)$ . Then  $\text{Cot } \tilde{J}_{/\mathbb{Z}_p} \otimes \bar{\mathbb{F}}_p$  can be regarded as a  $\tilde{g}$ -dimensional subspace of  $H^0(Z' \otimes \bar{\mathbb{F}}_p, \Omega^1(-D_0 + D_1 + D_2))$  (see [11] Corollary (1.1), [3] p. 162 (2.3)). For an effective divisor  $D < D_1 + D_2$ , put  $V(D) = \text{Cot } \tilde{J}_{/\mathbb{Z}_p} \otimes \bar{\mathbb{F}}_p \cap H^0(Z' \otimes \bar{\mathbb{F}}_p, \Omega^1(-D_0 + D))$  and let  $S$  be the set of the divisors  $\{D < D_1 + D_2 \mid D > 0, V(D) \neq \{0\}\}$ . Take a divisor  $D_{(1)} \in S$  such that  $\deg D_{(1)} \leq \deg D$  for all  $D \in S$ . Then  $\deg D_{(1)} \geq m(p) + 2$ . The fundamental involution  $w_p$  acts by  $(-1)$  on  $\text{Cot } \tilde{J}_{/\mathbb{Z}_p} \otimes \bar{\mathbb{F}}_p$  and  $w_p(\beta_i) = \beta_i^{(p)}$  (see [15], [10] Appendix), so that if  $\omega \in \text{Cot } \tilde{J}_{/\mathbb{Z}_p} \otimes \bar{\mathbb{F}}_p$  has a pole at  $\beta_i$  (resp.  $\beta_i^{(p)}$ ), then  $\omega$  has also a pole at  $\beta_i^{(p)}$  (resp.  $\beta_i$ ). Therefore,  $\dim V(D + (\beta_i) + (\beta_i^{(p)})) \leq \dim V(D) + 1$  for  $D < D_1 + D_2$ . We can choose the divisors  $D_{(1)} < D_{(2)} < \dots < D_{(\tilde{g})}$  such that  $D_{(i)} \in S$  and  $\dim V(D_{(i)}) = i$  for the integers  $i, 1 \leq i \leq \tilde{g}$ . Put  $D_{(1)} = E + F$  with  $E < D_1$  and  $F < D_2$ , and let  $s, 2t$  be the degrees of  $E$  and  $F$ , respectively. Then  $\tilde{g} = \dim \tilde{J}_{/\mathbb{Z}_p} \otimes \bar{\mathbb{F}}_p \leq (g - 2g_+ + 1) - s + (g_+ - t) + 1$ . Therefore, we get the following:

$$s + t \leq g - g_+ - \tilde{g} + 2$$

$$0 \leq s \leq g - 2g_+ + 1$$

$$0 \leq t \leq g_+$$

$$m(p) + 2 \leq s + 2t$$

$$l(p) \leq m(p).$$

In particular,  $l(p) \leq g - \tilde{g}$ .  $\square$

### §5. Further results

We here discuss the cases for  $p = 13$  and  $37$ .

#### (5.A) A result for $p = 37$

Let  $f_+ = q - 2q^2 - 3q^3 + \dots$  (resp.  $f_- = q + q^3 + \dots$ ) be the new form on  $\Gamma_0(37)$  of weight 2 with the eigen value  $+1$  (resp.  $-1$ ) of  $w_{37}$ , see [1]. Put  $\omega_+ = f_+ dq/q$  and  $\omega_- = f_- dq/q$ , which are basis of  $H^0(\mathcal{X}, \Omega)$  ( $\mathcal{X} \rightarrow \text{Spec } \mathbb{Z}$  is regular ( $p = 37$ ), see [3] VI §6). On  $Z \simeq \mathcal{X}_0(1) \otimes \mathbb{F}_{37} = \mathbb{P}^1(j) \otimes \mathbb{F}_{37}$ ,

$$\omega_+ = \frac{-dj}{j^2 - 6j - 6} \quad \omega_- = \frac{-(j-6)dj}{(j^2 - 6j - 6)(j-8)}$$

(see [3] p. 162 (2.3), [21] Table 6, pp. 142–144). There are at most two  $\mathbb{Z}_{37}$ -section of  $\mathcal{X}_{\text{sp.Car}} = \mathcal{X}_{\text{sp.Car}}(37)$  which satisfy the condition  $(C_2)$  and  $(C_z)$  for the point  $z \in \mathbb{Z}^h$  with the modular invariant  $j(z) = 6$ . One of them is the Deuring lifting of  $z$  whose ring of endomorphisms  $\mathcal{O} = \mathbb{Z}[(-1 + 7\sqrt{-3})/2]$ . The class number of the order  $\mathcal{O}$  is two (e.g., [8] Part 8 Theorem 7). The modular curve  $X_0(37)$  is defined by the equation:

$$Z^2 = -f^6 - 9f^4 - 11f^2 + 37,$$

where  $f = f_+/f_-$  and  $Z = 1 + q + \dots$  ( $q = \exp(2\pi\sqrt{-1}z)$ , see [12] §5). The fundamental involution  $w_{37}$  acts by  $w_{37}^*(Z, f) = (Z, -f)$  and the hyperelliptic involution  $S$  acts by  $S^*(Z, f) = (-Z, f)$ , see loc.cit.. Let  $\tilde{z}$  be the Deuring lifting of  $z \in \mathbb{Z}^h$  with the modular invariant  $j(\tilde{z}) \equiv 6 \pmod{37}$ . Let  $K$  be the Hilbert class field associated with  $\mathcal{O}$ . The rational prime 37 splits in  $K$ . Fix an embedding of  $K$  into  $\mathbb{Q}_{37}$ . For  $\tau \in \text{Gal}(K/\mathbb{Q})$ ,  $ug(\tilde{z}^\tau) = (ug(\tilde{z}))^\tau = 0$  and  $\tilde{z}^\tau \otimes \mathbb{F}_{37}$  is a section of  $Z_1^h \cup Z_1^h$ , see (1.1), (1.4). Choose  $\tau_i \in \text{Gal}(K/\mathbb{Q})$  ( $\tau_i = \text{id.}$ ,  $i = 1, 2$ ) such that  $\tilde{z}^{\tau_i} \otimes \mathbb{F}_{37}$  are the sections of  $Z_1^i$ . Then  $\tilde{z}_i = \tilde{z}^{\tau_i}$  satisfy the condition  $(C_2)$  in §4. By the uniqueness of the Deuring lifting (see [8] Part 13 Theorem (13)), the modular invariant  $j(\tilde{z}_2) \equiv 6 \pmod{37}$ . Put  $\omega = (ug)^*(\omega_-)$ . Then  $\omega(\tilde{z}_1 \otimes \mathbb{F}_{37}) = 0$  and  $\omega(\tilde{z}_2 \otimes \mathbb{F}_{37}) \neq 0$ . Therefore,  $\omega(z_1) \neq 0$  (because if  $\omega(\tilde{z}_1) = 0$ , then  $\omega(\tilde{z}_2) = \omega(\tilde{z}_1)^{\tau_2} = 0$ ). There exists a  $\mathbb{Z}_{37}$ -section of  $\mathcal{X}_{\text{sp.Car}}(37)$  which satisfies the conditions  $(C_1)$ ,  $(C_2)$ , see (4.2), (4.3). We here discuss it. Put  $\tau = \exp(2\pi\sqrt{-1}/3)$ ,  $\tau_1 = 1 - 10\tau$ ,  $\tau_2 = 1 + 11\tau$ ,  $L = \mathbb{Z} + \mathbb{Z}\tau$  and  $E = \mathbb{C}/L$ . Denote by  $\delta_0$ ,  $\delta_\infty$  and  $\delta_i$  ( $1 \leq i \leq 36$ ) the points on  $X_0(37)$  which are represented by the pairs  $(E, (\frac{1}{37}\mathbb{Z}\tau_1 + L)/L)$ ,  $(E, (\frac{1}{37}\mathbb{Z}\tau_2 + L)/L)$  and  $(E, (\frac{1}{37}\mathbb{Z}(\tau_1 + i\tau_2) + L)/L)$ , respectively. Let  $H$  be the subgroup of  $(\mathbb{Z}/37\mathbb{Z})^\times$  generated by 11 mod 37. Then  $\delta_i = \delta_j$  if and only if  $i \equiv j \pmod{H}$ . Let  $\epsilon_\pm$  be the points defined by  $(f^{-1}, f^{-3}Z) = (0, \pm\sqrt{-1})$ . The field of rational functions on  $X_0(37)$  is  $\mathbb{Q}(j(z), j(37z))$ . The divisors of the rational functions  $j(z)$ ,  $f-1$  and  $f+1$  are  $(j(z)) = (\delta_0) + (\delta_\infty) + 3\sum_{i \pmod{H}} (\delta_i) - (\infty) - 37(0)$ ,  $(f-1) = (\infty) + (\gamma_\infty) - (\epsilon_+) - (\epsilon_-)$  and  $(f+1) = (0) + (\gamma_0) - (\epsilon_+) - (\epsilon_-)$ , where  $\gamma_\infty = S(\infty)$  and  $\gamma_0 = S(0)$ . We can easily see that  $\mathbb{Z}[1/2 \cdot 37, X, Y]/(X^2 + Y^6 + 9Y^4 + 11Y^2 - 37)$  is smooth. Then the modular function  $j(z)$  is of the form

$$j(z) = \frac{p(f) + q(f)Z}{(f-1)(f+1)^{37}}$$

with some polynomials  $p(Y)$ ,  $q(Y) \in \mathbb{Q}[Y]$ . The points defined by  $(Z, f) = (\pm\sqrt{37}, 0)$  correspond to the elliptic curves  $(/\mathbb{Q}(\sqrt{37}))$  with complex multiplication, so that  $q(0) \neq 0$ . The cusps  $\infty, 0$  are defined respectively by  $(Z, f) = (4, 1)$  and  $(4, -1)$ , so that  $p(1) + 4q(1) \neq 0$  and  $p(-1) + 4q(-1) \neq 0$ . The non cuspidal points  $\gamma_\infty, \gamma_0$  are defined respectively by  $(Z, f) = (-4, 1)$  and  $(-4, -1)$ , so that  $p(1) - 4q(1) =$

$p(-1) - 4q(-1) = 0$ . Therefore,  $q(\pm 1) \neq 0$ . The special fibre  $\epsilon_{\pm} \otimes \mathbb{F}_{37}$  of the fixed points  $\epsilon_{\pm}$  of  $Sw_{37}$  is the supersingular point ( $/\mathbb{F}_{37}$ ).  $X_0(37)(\mathbb{Q}) = \{0, \infty, \gamma_0, \gamma_{\infty}\}$ , see [12] §5. For the rational points on  $X_{\text{split}}(37)$ , we get the following.

**PROPOSITION (5.1):** *If  $n(37) = 1$ , then there exists a  $\mathbb{Q}$ -rational solution of the equation  $q(Y) = 0$ . Conversely, if  $q(Y) = 0$  has a  $\mathbb{Q}$ -rational solution, then  $n(37) = 1$ .*

**PROOF:** Firstly, suppose that there exists a  $\mathbb{Q}$ -rational point  $y$  on  $X_{\text{split}}(37)$  which is neither a cusp nor a C.M. point. Let  $x, w(x)$  be the sections of the fibre  $(X_{\text{sp.Car}})_y$ , which are defined over a quadratic field  $k$  and  $w(x) = x^{\sigma}$  for  $1 \neq \sigma \in \text{Gal}(k/\mathbb{Q})$ , see (3.2). As was seen in the proof of Theorem (3.9), there exists a rational function  $g(/\mathbb{Q})$  on  $X_0(37)$  of degree 2 whose divisor  $(g) = (\pi(x)) + (\pi w(x)) - (w_{37}\pi(x)) - (w_{37}\pi w(x))$ . Then  $S\pi(x) = \pi w(x) (= \pi(x)^{\sigma})$ , so that  $a = f(\pi(x)) \in \mathbb{Q}$  (and  $a \neq \pm 1$ ). Let  $b (\in k)$  be the square root of  $-a^6 - 9a^4 - 11a^2 + 37$ . We may assume that the points  $\pi(x), \pi w(x)$  are defined by  $(Z, f) = (b, a)$  and  $(-b, a)$ , respectively. The modular invariant  $j(\pi(x)) = j(\pi w(x))$  of  $\pi(x)$  and  $\pi w(x) = S\pi(x)$  is written by  $\{p(a) + q(a)b\}/(a-1)(a+1)^{37} = \{p(a) - q(a)b\}/(a-1)(a+1)^{37}$ . Hence,  $q(a) = 0$ . Conversely, suppose that the equation  $q(Y) = 0$  has a solution  $Y = a \in \mathbb{Q}$ . Let  $z, S(z)$  be the points on  $X_0(37)$  which are defined by  $(Z, f) = (b, a)$  and  $(-b, a)$  for a square root  $b$  of  $-a^6 - 9a^4 - 11a^2 + 37$ . As  $a \neq \pm 1$ , so that  $\mathbb{Q}(b)$  is a quadratic field and  $z \neq S(z)$ ,  $S(z) = z^{\sigma}$  for  $1 \neq \sigma \in \text{Gal}(\mathbb{Q}(b)/\mathbb{Q})$ . The modular invariant  $j(z) = j(z^{\sigma}) \in \mathbb{Q}$ . If  $z$  is a C.M. point, then  $z$  is represented by an elliptic curve  $E (/ \mathbb{Q})$  with  $\mathbb{Q}(b)$ -rational subgroup  $A$  of rank 37. Then  $z^{\sigma}$  is represented by the pair  $(E, A^{\sigma})$ , and  $(E, A^{\sigma}) \sim (E/A, E_{37}/A)$ , i.e.,  $z^{\sigma} = w_{37}(z)$ . As noted before,  $a \neq 0$ , so that  $z$  is not a C.M. point. Let  $F$  be an elliptic curve defined over  $\mathbb{Q}$  with the modular invariant  $j(F) = j(z)$ , and  $\rho$  the representation of the Galois action of  $\text{Gal}(\overline{\mathbb{Q}}/\mathbb{Q})$  on the 37-torsion points  $F_{37}(\overline{\mathbb{Q}})$ . There is a quadratic extension  $K$  of  $\mathbb{Q}(b)$  such that  $\rho(\text{Gal}(\overline{\mathbb{Q}}/K))$  is contained in a Borel subgroup ( $\subset GL_2(\mathbb{F}_{37})$ ). Then  $\rho(\text{Gal}(\overline{\mathbb{Q}}/\mathbb{Q}))$  is contained in a Borel subgroup or in the normalizer of a split Cartan subgroup, see [19] §2, [9] §2 p. 120. The first case does not occur, because  $z$  is not  $\mathbb{Q}$ -rational.  $\square$

(5.B) Some results for  $p = 13$

Because of the fact that  $X_0(13) \sim \mathbb{P}^1$ , we can not apply the same method as for the other primes  $p \geq 11$ . We here discuss the case  $p = 13$  under additional conditions. Let  $y$  be a non cuspidal  $\mathbb{Q}$ -rational point on  $X_{\text{split}}(13)$ , which is represented by a pair  $(E, \{A, B\})$  for an elliptic curve defined over  $\mathbb{Q}$ . Then the triple  $(E, A, B)$  represents a point on  $X_{\text{sp.Car}}(13)$ , which is defined over a quadratic field  $k$ , see (3.2). Consider the represen-

tation  $\rho_2$  of the Galois action of  $G = \text{Gal}(\overline{\mathbb{Q}}/\mathbb{Q})$  on the 2-torsion points  $E_2(\overline{\mathbb{Q}})$ . If  $y$  is a C.M. point, then  $\rho_2(G_k) \not\subseteq GL_2(\mathbb{F}_2)$ , where  $G_k = \text{Gal}(\overline{\mathbb{Q}}/k)$ . We set the following condition (C):

$$(C) \quad \rho_2(G_k) \not\subseteq GL_2(\mathbb{F}_2).$$

Under the condition (C) above, there occur the following three cases:

$$(C-1) \quad \rho_2(G) \simeq \mathbb{Z}/2\mathbb{Z}.$$

$$(C-2) \quad \rho_2(G) \subsetneq \mathbb{Z}/3\mathbb{Z}.$$

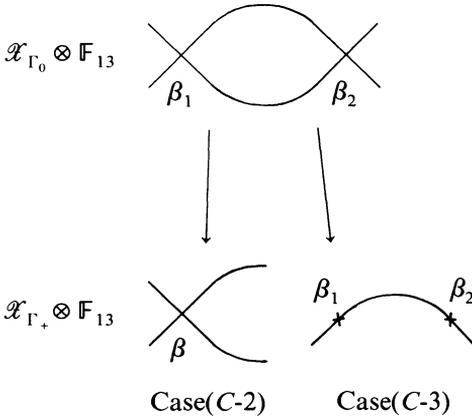
$$(C-3) \quad \rho_2(G) \simeq GL_2(\mathbb{F}_2) \quad \text{and} \quad \rho_2(G_k) \simeq \mathbb{Z}/3\mathbb{Z}.$$

Denote by  $\mathcal{X}_\Gamma$  the modular curve ( $/\mathbb{Z}$ ) corresponding to the finite adèlic modular group  $\Gamma \subset GL_2(\hat{\mathbb{Z}})$  (see §1(1.1)), and put  $X_\Gamma = \mathcal{X}_\Gamma \otimes \mathbb{Q}$ . In the case (C-1), let  $\Gamma_0, \Gamma_1$  and  $\Gamma$  respectively the modular groups  $\Gamma_0 = \Gamma_0(26)$ ,  $\Gamma_1 = \Gamma_0(2) \cap \Gamma_{\text{sp.Car}}(13)$  and  $\Gamma = \Gamma_0(2) \cap \Gamma_{\text{split}}(13)$ . In the case (C-2) (resp. (C-3)), let  $\Gamma_0, \Gamma_1$  and  $\Gamma$  respectively the modular groups  $\Gamma_0 = \Gamma_{\text{non.sp.Car}}(2) \cap \Gamma_0(13)$ ,  $\Gamma_1 = \Gamma_{\text{non.sp.Car}}(2) \cap \Gamma_{\text{sp.Car}}(13)$  and  $\Gamma = \Gamma_{\text{non.sp.Car}}(2) \cap \Gamma_{\text{split}}(13)$  (resp.  $\Gamma = \langle \Gamma_1, \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix} \rangle$ ), where  $\Gamma_{\text{non.sp.Car}}(2) = \{g \in GL_2(\hat{\mathbb{Z}}) \mid g^3 \equiv 1 \pmod{2}\}$ . Under the condition (C- $i$ ),  $(y, E)$  represents a non cuspidal  $\mathbb{Q}$ -rational point on  $X_\Gamma$ . In the rest of this section, we prove the following.

**THEOREM (5.2):** *Let  $X_\Gamma$  be as above. Then  $X_\Gamma(\mathbb{Q})$  consists of the cusps and the C.M. points.*

Define the involutions  $w$  of  $X_{\Gamma_1}$  by: Case (C-1):  $(E, A, B, C) \mapsto (E, B, A, C)$ , Case (C-2):  $(E, A, B, \alpha \pmod{\mathbb{F}_4^\times}) \mapsto (E, B, A, \alpha \pmod{\mathbb{F}_4^\times})$ , Case (C-3):  $(E, A, B, \alpha \pmod{\mathbb{F}_4^\times}) \mapsto (E, B, A, \alpha' \pmod{\mathbb{F}_4^\times})$ , where  $A, B$  are subgroups of rank 13,  $C \simeq \mathbb{Z}/2\mathbb{Z}$  and  $\alpha, \alpha'$  are the 2-level structures such that  $\alpha \not\equiv \alpha' \pmod{\mathbb{F}_4^\times}$ ,  $\mathbb{F}_4^\times \subsetneq GL_2(\mathbb{F}_2)$ . Then  $X_\Gamma = X_{\Gamma_1}/\langle w \rangle$ . Define the involution  $w_0$  of  $X_{\Gamma_0}$  by: Case (C-1):  $(E, A, C) \mapsto (E/A, E_{13}/A, (C+A)/A)$ , Case (C-2):  $(E, A, \alpha \pmod{\mathbb{F}_4^\times}) \mapsto (E, A, \alpha' \pmod{\mathbb{F}_4^\times})$ , Case (C-3):  $(E, A, \alpha \pmod{\mathbb{F}_4^\times}) \mapsto (E/A, E_{13}/A, \alpha' \pmod{\mathbb{F}_4^\times})$ , where  $\alpha, \alpha'$  are the 2-level structures such that  $\alpha \not\equiv \alpha' \pmod{\mathbb{F}_4^\times}$ . Let  $J$  be the jacobian variety of  $X_{\Gamma_0}$ ,  $\pi$  the canonical morphism of  $\mathcal{X}_{\Gamma_1}$  to  $\mathcal{X}_{\Gamma_0}$  and put  $J^- = J/(1 + w_0)J$ . In the case (C-1),  $X_{\Gamma_0}$  is of genus 2 and  $J^-(\mathbb{Q}) \simeq \mathbb{Z}/3\mathbb{Z}$  (see [21] Table 1, pp. 81–113). In the cases (C-2) and (C-3),  $X_{\Gamma_0}$  is of genus 1. The modular curve  $X_{\Gamma(2) \cap \Gamma_0(13)}$  is isomorphic over  $\mathbb{Q}$  to  $X_0(4 \cdot 13)$  (see [3] IV Proposition (3.16):  $\Gamma_0(4 \cdot 13) = g\{\Gamma(2) \cap \Gamma_0(13)\}g^{-1}$  for  $g = \begin{pmatrix} 0 & -1 \\ 2 & 0 \end{pmatrix}h$

with  $h \in GL_2(\hat{\mathbb{Z}})$  such that  $h \equiv 1 \pmod{4}$  and  $h \equiv \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix} \pmod{13}$ . In the cases (C-2) and (C-3), the double covering  $X_{\Gamma_0} \rightarrow X_0(13)$  ramifies at the cusps 0 and  $\infty$ . The class  $cl((0) - (\infty))$  is of order 2 and  $J(\mathbb{Q}) \simeq \mathbb{Z}/2\mathbb{Z}$  (see [21] Table 1). Let  $\omega$  be the base of  $H^0(X_{\Gamma_0}, \Omega^1)$  (in the cases (C-2), (C-3)), then  $w_0^* \omega = -\omega$  (see [21] Table 3 pp. 116–122), so that  $J^- = J$ .



where  $X_{\Gamma_+} = X_{\Gamma_0} / \langle w_0 \rangle$ . Define the morphism  $g$  of  $X_{\Gamma_1}$  to  $J$  by  $\mapsto cl((\pi(x)) - (w_0 \pi w(x)))$ . Then  $g$  induces the morphism  $g^-$  of  $X_{\Gamma}$  to  $J^-$ :

$$\begin{array}{ccc} X_{\Gamma_1} & \xrightarrow{\text{can.}} & X_{\Gamma} \\ g \downarrow & \subseteq & \downarrow g^- \\ J & \xrightarrow{\text{can.}} & J^- \end{array}$$

Denote also by  $g$  (resp.  $g^-$ ) the morphism of  $\mathcal{X}_{\Gamma_1}^{\text{smooth}}$  (resp.  $\mathcal{X}_{\Gamma}^{\text{smooth}}$ ) to the Néron model  $J_{/\mathbb{Z}}$  (resp.  $J_{/\mathbb{Z}}^-$ ). The modular curve  $X_0(13) \xrightarrow{j} X_0(1)$  is defined by the following equation (Fricke, see [13]):

$$j(X) = (X^2 + 5X + 13)(X^4 + 7X^3 + 20X^2 + 19X + 1)^3 / X. \quad (5.3)$$

The modular curve  $X_{\text{sp.Car}}(13)$  is the normalization of the curve defined by the equation:

$$0 = \frac{j(X) - j(Y)}{X - Y}. \quad (5.4)$$

Let  $y$  be a non cuspidal  $\mathbb{Q}$ -rational point on  $X_{\text{split}}(13)$  and  $x, w(x)$  the sections of the fibre  $(X_{\text{sp.Car}}(13))_y$ , which are defined over a quadratic field  $k$ . Then  $w(x) = x^\sigma$  for  $1 \neq \sigma \in \text{Gal}(k/\mathbb{Q})$  (see (3.2)) and  $x, w(x)$

correspond to the points defined by  $(X, Y) = (a, a^\sigma)$  and  $(a^\sigma, a)$  for  $a \in k$ , respectively.

LEMMA (5.5): *Under the notation as above. Suppose that  $y$  has potentially good reduction at a prime  $q$  of  $k$ . Then  $(\text{ord}_q a, \text{ord}_q a^\sigma) = (0, 0)$  if  $q \nmid 13$ ,  $= (0, 0)$ ,  $(1, 0)$  or  $(0, 1)$  if  $q \mid 13$ .*

PROOF: By the assumption,  $\text{ord}_q j(y) \geq 0$ . If  $q \nmid 13$ , by the equation (5.3) above, we can easily see that  $\text{ord}_q a = \text{ord}_q a^\sigma = 0$ . The rational prime 13 splits in  $k$ , see (3.2). If  $q \mid 13$ ,  $\text{ord}_q a, \text{ord}_q a^\sigma = 0$  or 1. By the equation (5.4) above,  $(\text{ord}_q a, \text{ord}_q a^\sigma) \neq (1, 1)$ .  $\square$

For a rational prime  $q$ , let  $I_q$  be the inertia subgroup  $\text{Gal}(\overline{\mathbb{Q}}_q/\mathbb{Q}_q^{ur})$ . There exists an elliptic curve  $E$  defined over  $\mathbb{Q}$  with independent subgroups  $A, B$  of rank 13 such that the set  $\{A, B\}$  is  $\mathbb{Q}$ -rational and the pair  $(E, \{A, B\})$  represents  $y$ . Let  $\rho_4$  be the representation of the Galois action of  $\text{Gal}(\overline{\mathbb{Q}}/\mathbb{Q})$  on the 4-torsion points  $E_4(\overline{\mathbb{Q}})$ .

LEMMA (5.6): *Under the notation as above. If a rational prime  $q$  ramifies in  $k$ , then the modular invariant  $j(y) \equiv 1728 \pmod{q}$ . If moreover  $q \neq 2$ ,  $\rho_4(I_q)$  contains a subgroup isomorphic to  $\mathbb{Z}/4\mathbb{Z}$ .*

PROOF: If  $q$  ramifies in  $k$ , then  $q \neq 13$  (see (3.2)) and  $y \otimes \mathbb{F}_q$  is a ramification point of the double covering  $\mathcal{X}_{\text{sp.Car}}(13) \otimes \mathbb{F}_q \rightarrow \mathcal{X}_{\text{split}}(13) \otimes \mathbb{F}_q$ . Then  $j(y) \equiv 1728 \pmod{q}$ . Let  $\rho$  be the representation of the Galois action on the 13-torsion points  $E_{13}(\overline{\mathbb{Q}})$ . Then for a rational prime  $q \neq 2, 13$ ,  $\rho_4(I_q) \simeq \rho(I_q)(\hookrightarrow \text{SL}_2(\mathbb{F}_{13}))$  (see [19] §5). Let  $q \neq 2$  be a rational prime which ramifies in  $k$  and  $q$  the prime of  $k$  lying over  $q$  with the inertial subgroup  $I_q = \text{Gal}(\overline{k}_q/k_q^{ur})$ . For  $\tau \in I_q \setminus I_q$ ,  $\rho(\tau)$  is not contained in the split Cartan subgroup  $\text{Aut } A(\overline{\mathbb{Q}}) \times \text{Aut } B(\overline{\mathbb{Q}})$  and  $\det \rho(\tau) = 1$ . Then the order of  $\rho_4(\tau)$  (= the order of  $\rho(\tau)$ ) = 4.  $\square$

PROOF OF THEOREM (5.2): Let  $y$  be a non cuspidal  $\mathbb{Q}$ -rational point on  $X_\Gamma$  and  $x, w(x)$  the sections of the fibre  $(X_\Gamma)_y$ , which are defined over a quadratic field  $k$ . By the same way as in Proposition (2.5), (3.1), we see that  $y$  has potentially good reduction at the rational prime  $q = 13$ .

Case (C-1): Changing  $x$  by  $w(x)$ , if necessary, we may assume that  $x \otimes \mathbb{F}_{13}$  is represented by  $(F, \ker(\text{Frob}), \ker(\text{Ver}), C)$ , where  $F$  is an elliptic curve defined over  $\mathbb{F}_{13}$  and  $C$  is a subgroup of order 2 such that  $\text{Frob}(C) = C$ , see (1.1), (1.4), (3.2). Let  $(\tilde{F}, \tilde{A}, \tilde{B})$  be the Deuring lifting of  $(F, \ker(\text{Frob}), \text{Ker}(\text{Ver}))$  and  $\alpha$  the endomorphism of  $\tilde{F}$  corresponding to  $\text{Frob}$  by the reduction map, see (4.2). Let  $\tilde{C}$  be the subgroup of  $\tilde{F}$  of rank 2 whose reduction  $(\text{mod } 13) = C$ . Then the reductions of  $\tilde{C}$  and  $\alpha(\tilde{C}) \pmod{13}$  are  $C = \text{Frob}(C)$ . Then  $\alpha(\tilde{C}) = \tilde{C}$ . Let  $\tilde{x}$  be the point on

$X_{\Gamma_1}$  which is represented by  $(\tilde{F}, \tilde{A}, \tilde{B}, \tilde{C})$ . By the same way as in Lemma (4.2), we see that  $\pi(\tilde{x}) = w_0\pi w(\tilde{x})$ , hence  $g(\tilde{x}) = 0$ . Because  $J^-(\mathbb{Q}) \simeq \mathbb{Z}/3\mathbb{Z}$ ,  $g^-(y) = 0$ , see (3.4). The form  $0 \neq \omega \in \text{Cot } J_{\mathbb{Z}}^- \otimes \mathbb{F}_{13}$  has one simple zero on each irreducible component of  $x_0(26) \otimes \mathbb{F}_{13}$  (see [11] Corollary (1.1), [3] p. 162 (2.3)). Therefore, there exists at most one  $\mathbb{Q}$ -rational point on  $X_{\Gamma_1}$  which is neither a cusp nor a C.M. point, see the proof of Theorem (4.1). Let  $w_2$  be the involution of  $X_{\Gamma_1}$  defined by  $(E, \{A, B\}, C) \mapsto (E/C, \{(A+C)/C, (B+C)/C\}, E_2/C)$ . If  $y$  is not a C.M. point, then  $w_2(y) \neq y$ . Therefore,  $y$  is a C.M. point.

*Case (C-3):* There exists an elliptic curve  $F$  defined over  $\mathbb{F}_{13}$  such that  $(F, \ker(\text{Frob}), \ker(\text{Ver}), \alpha \bmod \mathbb{F}_4^\times)$  represents  $x \otimes \mathbb{F}_{13}$ , where  $\alpha$  is a 2-level structure and  $\mathbb{F}_4^\times \subset GL_2(\mathbb{F}_2)$ . The rational prime 13 splits in  $k$  (see (3.2)) and  $\rho_2(G_k) \subset \mathbb{F}_4^\times$ . Then  $(F, A, \alpha \bmod \mathbb{F}_4^\times) \simeq (F/B, F_{13}/B, \alpha \bmod \mathbb{F}_4^\times)$ , i.e.,  $\pi(x) \otimes \mathbb{F}_{13} = w_0\pi w(x) \otimes \mathbb{F}_{13}$ , see (3.3). Because  $J = J^-$  has the Mordell-Weil group  $\simeq \mathbb{Z}/2\mathbb{Z}$ ,  $g^-(y) = 0$ . Then  $y$  is a C.M. point, see the proof of Theorem (3.9).

*Case (C-2):* There corresponds to  $y$  an elliptic curve  $E$  defined over  $\mathbb{Q}$  which satisfies the condition (C-2). The double covering  $X_{\Gamma_0} \rightarrow X_0(13)$  ramifies at the cusps and  $J = J^-$  has the Mordell-Weil group  $\simeq \mathbb{Z}/2\mathbb{Z}$ . Let  $0, \infty$  and  $z_i$  be the cusps on  $X_{\Gamma_1}$  lying over respectively  $0, \infty$  and  $x_i$  on  $X_{\text{sp.Car}}(13)$ , see (2.5). Let  $J_s^0$  be the connected component of  $J_{\mathbb{Z}/13} \otimes \mathbb{F}_{13}$  of the unity. We see that  $\pi(x) \otimes \mathbb{F}_{13} \neq w_0\pi w(x) \otimes \mathbb{F}_{13}$  and  $g(x) \bmod J_s^0 = cl((0) - (\infty)) \bmod J_s^0 (\neq J_s^0)$ . For a rational prime  $q \nmid 26$ , if  $x \otimes \overline{\mathbb{F}}_q = z_i \otimes \overline{\mathbb{F}}_q$ , then  $g(x) = g(z_i) = 0$ . Let  $\omega \in H^0(\tilde{\mathcal{X}}_{\Gamma_0} \otimes \mathbb{Z}[1/2], \Omega) \simeq \text{Cot } J_{\mathbb{Z}[1/2]}$  (see [11] Corollary (1.1), (2.3)), where  $\tilde{\mathcal{X}}_{\Gamma_0} \rightarrow \text{Spec } \mathbb{Z}$  is the minimal model. Then  $\omega(0) = -\omega(\infty)$  is a unit of  $\mathbb{Z}[1/2]$ . For a rational prime  $q \neq 2$ ,  $g^*\omega(0) \neq 0 \bmod q$ ,  $g^*\omega(\infty) \neq 0 \bmod q$  (cf. the proof of (2.5)). Therefore,  $y$  has potentially good reduction at the primes  $q \neq 2$ , see (2.5), (3.1). By Lemma (5.6), only the prime  $q = 2$  ramifies in  $k$  and  $E$  has potentially good reduction at  $q = 2$ . Hence,  $E$  has everywhere potentially good reduction. Then  $k = \mathbb{Q}(\sqrt{-1})$ , because the prime 13 splits in  $k$ . Then  $y$  corresponds to a point defined by  $(X, Y) = (a, a^o)$  for  $a \in \mathbb{Z}[\sqrt{-1}]$ , see (5.5). As  $y$  is a  $\mathbb{Q}$ -rational point, so the modular invariant  $j(y) = j(a) \in \mathbb{Q}$ . Using Lemma (5.5), (5.3), we see that  $y$  is a C.M. point corresponding to one of the points defined by  $a = -3 \pm 2\sqrt{-1}$  and  $-2 \pm 3\sqrt{-1}$ .  $\square$

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- 13
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## Appendix

Here, we give an another proof of the theorems of Kenku in [4,5].

**THEOREM** (Kenku, loc. cit.): *The  $\mathbf{Q}$ -rational points on  $X_0(p, 13)$  are the cusps, for  $p = 3, 5$  and  $7$ .*

**PROOF:** We use the following results.

(A.1) (Berkovic [2]). There exists a factor  $(/\mathbf{Q})$  of the jacobian variety of  $X_0(N)$  whose Mordell-Weil group is of finite order, for  $N = 39, 65$  and  $91$ .

(A.2) (see [11] §4). If  $x$  is a non cuspidal  $\mathbf{Q}$ -rational point on  $X_0(N)$  for the integer as above, then  $x$  has potentially good reduction at the primes  $q \neq 2$ .

Let  $x$  be a non cuspidal  $\mathbf{Q}$ -rational point on  $X_0(p \cdot 13)$  for  $p = 3, 5$  or  $7$ . Then  $x$  is represented by an elliptic curve  $E$  defined over  $\mathbf{Q}$  with subgroup  $A$  of rank 13 and  $C$  of rank  $p$  which are defined over  $\mathbf{Q}$  (see [3] VI Proposition (3.2)). Let  $\lambda$  (resp.  $\rho_p$ ) be the representation of the Galois action of  $G = \text{Gal}(\overline{\mathbf{Q}}/\mathbf{Q})$  on  $A(\overline{\mathbf{Q}})$  (resp. on the  $p$ -torsion points  $E_p(\overline{\mathbf{Q}})$ ). For a rational prime  $q$ , let  $I_q$  be the inertia subgroup  $\text{Gal}(\overline{\mathbf{Q}}_q/\mathbf{Q}_q^{ur})$  and  $\lambda_q$  the restriction of  $\lambda$  to  $I_q$ . If  $q \nmid 6 \cdot p \cdot 13$ ,  $\rho_p(I_q) \simeq \lambda(I_q)$  is isomorphic to a subgroup of  $\mathbf{Z}/4\mathbf{Z}$  or  $\mathbf{Z}/6\mathbf{Z}$  (see [19] §5). If  $q = 3$  and  $p \neq 3$ ,  $\rho_p(I_3) \simeq \lambda(I_3)$  is isomorphic to a subgroup of  $SL_2(\mathbf{Z}/4\mathbf{Z})$  (see loc.cit.), so that  $\lambda(I_3)$  is isomorphic to a subgroup of  $\mathbf{Z}/4\mathbf{Z}$  or  $\mathbf{Z}/6\mathbf{Z}$ . If  $x$  has potentially multiplicative reduction at  $q = 2$ , then  $\lambda_2^2 = 1$ . If  $x$  has potentially good reduction at  $q = 2$ , then  $\rho_p(I_2) \simeq \lambda(I_2)$  is isomorphic to a subgroup of  $SL_2(\mathbf{F}_3)$  (see loc.cit.), so that  $\lambda(I_2)$  is isomorphic to a subgroup of  $\mathbf{Z}/4\mathbf{Z}$  or  $\mathbf{Z}/6\mathbf{Z}$ . By our assumption,  $\rho_p(G)$  is contained in a Borel subgroup of  $GL_2(\mathbf{F}_p)$ , so that for any rational prime  $q \neq p$ ,  $\rho_p(I_q)$  is isomorphic to a subgroup of  $\mathbf{Z}/6\mathbf{Z}$  if  $p = 3$  or  $7$ , and to one of  $\mathbf{Z}/4\mathbf{Z}$  if  $p = 5$ . Further, as  $\lambda$  is a character of  $G$ , so  $\lambda_p^6 = 1$  if  $p = 3$  or  $7$ , and  $\lambda_p^4 = 1$  if  $p = 5$ . Therefore,  $\lambda_q^6 = 1$  if  $p = 3$  or  $7$ , and  $\lambda_q^4 = 1$  if  $p = 5$  for the rational primes  $q \neq 13$ . Put  $e = 6$  if  $p = 3$  or  $7$ , and  $e = 4$  if  $p = 5$ . Then the order of  $\lambda_p(I_{13})$  divides  $e$ , so that  $E$  has good reduction over the extension of  $\mathbf{Q}_{13}^e$  of degree  $e$ , (A.2), loc.cit. Let  $\theta_{13}$  be the cyclotomic character induced by the Galois action of  $G$  on  $\mu_{13}(\overline{\mathbf{Q}})$ . Put  $\chi_{13} = \theta_{13}^r$  for an integer  $r$ . Then by the fundamental property of the finite flat group schemes (see (1.2)),  $\chi_{13}^e = \theta_{13}^a$  for an integer  $a$ ,  $0 \leq a \leq e$ . Therefore,  $re \equiv a \pmod{12}$ , so  $a = 0$  or  $e$  (see [11] §5). Changing  $E$  by  $E/A$ , if necessary, we may assume that  $\lambda_{13}^e = 1$ . Then  $\lambda^6 = 1$  if  $p = 3$  or  $7$ , and  $\lambda^4 = 1$  if  $p = 5$ . Denote also by  $\lambda$  the corresponding character of the idèle group  $\mathbf{Q}_A^\times$  of  $\mathbf{Q}$ . For a rational prime  $q \nmid 26$ , put  $\nu_q = \lambda \text{proj}(\mathbf{Q}_A^\times \xrightarrow{\sim} \mathbf{Z}_q^\times \times \mathbf{Z} \rightarrow \mathbf{Z}_q^\times)$ . Let  $k_q$  be the subfield of  $\overline{\mathbf{Q}}_q$  corresponding to the character  $\nu_q$ . Then  $k_q$  is a totally ramified extension of  $\mathbf{Q}_q$ . Let  $\mathcal{O}_q$  be the ring of integers of  $k_q$ . Then  $E_{/\mathcal{O}_q}$  is an elliptic curve (see (A.2)). Therefore, for each rational prime  $q \nmid 26$ , we have the relation:  $\lambda(\sigma_q) + q\lambda(\sigma_q)^{-1} \equiv \text{Tr}(\sigma_q) \pmod{13}$ , where  $\sigma_q$  is the Frobenius element of the prime of  $k_q$  and  $\text{Tr}(\sigma_q)$  is the trace of  $\sigma_q$  on the Tate module  $T_{13}(E_{/\mathcal{O}_q})(\overline{\mathbf{F}}_q)$  (see [11] §6). Then we should have the following congruences

$$1 + q^6 \equiv \text{Tr}(\sigma_q^6) \pmod{13} \text{ if } p = 3 \text{ or } 7,$$

$$1 + q^4 \equiv \text{Tr}(\sigma_q^4) \pmod{13} \text{ if } p = 5,$$

for any rational prime  $q \nmid 26$ . But, the congruences above are not satisfied for  $q = 3$  if  $p = 3$  or  $7$ , and for  $q = 5$  if  $p = 5$ .  $\square$