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**COMPLETE INDEX SETS OF  
 RECURSIVELY ENUMERABLE FAMILIES**

by

T. G. McLaughlin

**1. Introduction**

This paper is an adjunct to [2]. In [2, § 2], we remarked that the index set  $G(\mathcal{F})$  of a recursively enumerable family  $\mathcal{F}$  of classes of r.e. sets can be  $\sum_n^0$  complete for  $1 \leq n \leq 5$  or  $\prod_n^0$  complete for  $1 \leq n \leq 4$ . Here we shall give specific examples which verify that remark. All unexplained notation and background terminology in the present paper should be read according to the conventions laid down in [2, § 1]. We wish to take the opportunity to correct a minor technical error in [2]. The function  $\zeta_0$  referred to in the introductory section of [2] should not be alleged to be a *total* recursive function, but should rather be specified as a partial recursive function with  $\delta\zeta_0 = \{e \mid W_e^{\mathcal{F}} \text{ is a nonempty family consisting of nonempty classes}\}$ . The only point at which  $\zeta_0$  enters into a proof in [2] is at the beginning of the proof of Lemma A, where, under the alias of  $\zeta$ , it is mistakenly treated as being defined for all arguments  $e$ . However, it is easily seen that even with its domain limited as indicated above,  $\zeta (= \zeta_0)$  still permits the function  $\xi$  of [2, Lemma A] to be taken *total* recursive; none of the remaining discussion in [2] need then be modified or even reworded. (There are alternative ways of mending the error; but the way just indicated seems most direct.)

**2. Complete index sets**

Throughout this section, we let  $\eta$  denote a partial recursive function with the property that  $(\forall x)[W_x \neq \emptyset \Rightarrow \eta(x) \in W_x]$ ; and we let  $\mu$  denote a recursive function such that  $(\forall x)[W_{\mu(x)} = \{x\}]$ .

**PROPOSITION 1.** *If  $\mathcal{F}$  is an r.e. family of classes then its index set,  $G(\mathcal{F})$ , is  $\sum_5^0$ .*

**PROOF.** Let  $\mathcal{F} = \{W_e^c \mid e \in W_f\}$ . Then

$$\begin{aligned} n \in G(\mathcal{F}) &\Leftrightarrow (\exists e)[e \in W_f \text{ and } W_e^c = W_n^c] \\ &\Leftrightarrow (\exists e)[e \in W_f \text{ and } (\forall j)[W_j \in W_e^c \Leftrightarrow W_j \in W_n^c]]. \end{aligned}$$

But  $W_j \in W_k^c \Leftrightarrow (\exists r)[r \in W_k \text{ and } (\forall s)[s \in W_j \Leftrightarrow s \in W_r]]$ . Hence, by means of the usual prenex transformation procedures,  $W_j \in W_k^c$  is seen to be a  $\sum_3^0$  predicate of  $j$  and  $k$ . It follows, again by the standard prenex operations, that  $n \in G(\mathcal{F})$  is a  $\sum_5^0$  predicate of  $n$ . Q.E.D.

PROPOSITION 2. Let  $\mathcal{W} = \{W_e^c | e \in N\}$ . Then  $\mathcal{W}$  is an r.e. family and  $G(\mathcal{W})$  is recursive.

Since  $G(\mathcal{W}) = N$ , Proposition 2 is obvious.

PROPOSITION 3.  $\mathcal{W}$  and  $\emptyset$  are the only r.e. families  $\mathcal{F}$  for which  $G(\mathcal{F})$  is recursive.

PROOF. The proposition is a precise analogue of a result of Rice's concerning *classes* ([3, Corollary B to Theorem 6]); and the proof follows the proof of Rice's result given in [1]. Thus, suppose  $\mathcal{F}$  is a family of r.e. classes such that  $G(\mathcal{F})$  is recursive. Suppose further that neither  $\mathcal{F}$  nor  $\mathcal{W} - \mathcal{F}$  is empty. Now, either  $\emptyset \in \mathcal{F}$  or  $\emptyset \in \mathcal{W} - \mathcal{F}$ ; let us first suppose that  $\emptyset \in \mathcal{W} - \mathcal{F}$ . Let  $Q$  be a fixed non-recursive r.e. subset of  $N$ ; and let  $W_e^c$  be some fixed element of  $\mathcal{F}$ . Let  $g$  be a recursive function such that  $n \in Q \Rightarrow W_{g(n)} = W_e$  and  $n \notin Q \Rightarrow W_{g(n)} = \emptyset$ . Then, since  $W_e \neq \emptyset$ , we have  $n \in Q \Leftrightarrow g(n) \in G(\mathcal{F})$ . But therefore  $Q$  is recursive: contradiction. A similar contradiction arises if we assume  $\emptyset \in \mathcal{F}$ , since if  $G(\mathcal{F})$  is recursive then so also is  $G(\mathcal{W} - \mathcal{F})$ . Hence either  $\mathcal{F} = \mathcal{W}$  or  $\mathcal{F} = \emptyset$ . Q.E.D.

REMARK. The proof of Proposition 3 in fact shows that if  $\mathcal{F} \neq \emptyset$  &  $\emptyset \notin \mathcal{F}$  then every  $\sum_1^0$  set is many-one reducible to  $G(\mathcal{F})$ . (Indeed, they are all one-one reducible to  $G(\mathcal{F})$ , since  $g$  can be taken one-one.)

PROPOSITION 4. Let  $\mathcal{F}_0 = \{W_e^c | (\exists y)[y \in W_e \text{ and } W_y \neq \emptyset]\}$ . Then  $\mathcal{F}_0$  is an r.e. family and  $G(\mathcal{F}_0)$  is  $\sum_1^0$  complete.

PROOF. Clearly, we have

$$(\forall n)[n \in G(\mathcal{F}_0) \Leftrightarrow (\exists y)(\exists z)[y \in W_n \text{ and } z \in W_y]];$$

therefore  $G(\mathcal{F}_0)$  is  $\sum_1^0$ . *A fortiori*,  $\mathcal{F}_0$  is an r.e. family. Next, it is easy to see that there exists a recursive function  $\psi_0(x, y)$  such that

$$(\forall w)(\forall z)(\forall x)(\forall y)[\varphi_{\psi_0(f, z)}^3(w, x, y)$$

is defined  $\Leftrightarrow T_1(f, z, w)$ ]. Let  $\omega(x)$  be a recursive function such that

$$(\forall x)[W_{\omega(x)} = \bigcup_{z \in x} W_z].$$

Then, since  $(\forall f)[W_f = \{z | (\exists w)T_1(f, z, w)\}]$ , we have that

$$\omega(\zeta_1(\psi_0(f, n))) \in G(\mathcal{F}_0) \Leftrightarrow n \in W_f;$$

here and subsequently,  $\zeta_1$  is as in § 1 of [2]. Thus  $G(\mathcal{F}_0)$  is  $\Sigma_1^0$  complete. (Alternatively, note that  $\emptyset \notin \mathcal{F} \neq \emptyset$  and use the remark following the proof of Proposition 3.) Q.E.D.

**PROPOSITION 5.** *Let  $\mathcal{F}_1 = \{\emptyset, \{\emptyset\}\}$ . Then  $\mathcal{F}_1$  is an r.e. family and  $G(\mathcal{F}_1)$  is  $\prod_1^0$  complete.*

**PROOF.** Since every finite family of r.e. classes is r.e.,  $\mathcal{F}_1$  is an r.e. family. We can easily construct a recursive function  $\psi_1(x, y)$  such that

$$(\forall w)(\forall z)(\forall x)(\forall y)[\varphi_{\psi_1(f, z)}^3(w, x, y) \text{ is defined} \Leftrightarrow T_1(f, z, x)].$$

Therefore  $\eta(\zeta_1(\psi_1(f, n))) \in G(\mathcal{F}_1) \Leftrightarrow (\forall x) \neg T_1(f, n, x)$ , so that if  $G(\mathcal{F}_1)$  is  $\prod_1^0$  then it is  $\prod_1^0$  complete. (Alternatively, note that  $\emptyset \notin \mathcal{W} - \mathcal{F} \neq \emptyset$  and use the remark following the proof of Proposition 3.) But, since  $n \in G(\mathcal{F}_1) \Leftrightarrow (\forall x)(\forall y)[x \in W_n \Rightarrow y \notin W_x]$ , we have that  $G(\mathcal{F}_1)$  is indeed  $\prod_1^0$  and therefore  $\prod_1^0$  complete. Q.E.D.

**REMARK.** The alternative proofs of completeness indicated for Propositions 4 and 5 show that we could have taken  $\mathcal{F}_0 = \{W_e^c \mid W_e \neq \emptyset\}$  for Proposition 4 and  $\mathcal{F}_1 = \{\emptyset\}$  for Proposition 5. We prefer, however, the more involved choices of  $\mathcal{F}_0$  and  $\mathcal{F}_1$  since then the proofs can be given the common format shared by all the later proofs (with the single exception of our proof of Proposition 8).

**PROPOSITION 6.** *Let  $\mathcal{F}_2 = \{W_e^c \mid \emptyset \in W_e^c\}$ . Then  $\mathcal{F}_2$  is an r.e. family and  $G(\mathcal{F}_2)$  is  $\Sigma_2^0$  complete.*

**PROOF.**  $\mathcal{F}_2$  is r.e., since  $\mathcal{F}_2 = \{W_e^c \mid (\exists f)[W_e^c = W_f^c \cup \{\emptyset\}]\}$ . Next, since  $n \in G(\mathcal{F}_2) \Leftrightarrow (\exists j)[j \in W_n \text{ and } (\forall z)(z \notin W_j)]$ , it is easily seen by routine prenex-form manipulation that  $G(\mathcal{F}_2)$  is  $\Sigma_2^0$ . To show that  $G(\mathcal{F}_2)$  is  $\Sigma_2^0$  complete, we need only construct a recursive function  $\psi_2(x, y)$  with the property that

$$(\forall f)(\forall n)[(\exists w)(\forall z) \neg T_2(f, n, w, z) \Leftrightarrow (\exists v)(\exists u)(\delta\varphi_{\psi_2(f, n)}^3(v, u, y) = \emptyset)];$$

for then we have that  $n \in$  the  $f$ -th  $\Sigma_2^0$  set  $\Leftrightarrow \omega(\zeta_1(\psi_2(f, n))) \in G(\mathcal{F}_2)$ . To obtain  $\psi_2$ , we first construct an auxiliary partial recursive function  $v$  by stages, thus:

$$v_{f, n}^s(v, u, y) \simeq \begin{cases} 0, & \text{if } (\forall w \leq v)(\exists z \leq s)T_2(f, n, w, z), \\ \text{undefined,} & \text{otherwise;} \end{cases}$$

and we set

$$v_{f, n}^s =_{df} \bigcup_{s=0}^{\infty} v_{f, n}^s.$$

Clearly,  $v_{f, n}$  is partial recursive uniformly in the parameters  $f$  and  $n$ ; so let  $\psi_2(x, y)$  be a recursive function such that

$$(\forall f)(\forall n)[\varphi_{\psi_2(f,n)}^3 \simeq v_{f,n}].$$

From the definition of  $v_{f,n}$ , it is easy to see that, for each pair  $\langle f, n \rangle$ ,

$$(\exists w)(\forall z) \neg T_2(f, n, w, z) \Leftrightarrow (\exists v)(\exists u)(\delta\varphi_{\psi_2(f,n)}^3(v, u, y) = \emptyset).$$

Hence  $G(\mathcal{F}_2)$  is  $\sum_2^0$  complete. Q.E.D.

**PROPOSITION 7.** *Let  $\mathcal{F}_3 = \{\{N\}\}$ . Then  $\mathcal{F}_3$  is an r.e. family and  $G(\mathcal{F}_3)$  is  $\prod_2^0$  complete.*

**PROOF.**  $\mathcal{F}_3$  is r.e. since it is a finite family of r.e. classes. Since

$$n \in G(\mathcal{F}_3) \Leftrightarrow [W_n \neq \emptyset \text{ and } (\forall z)[z \in W_n \Rightarrow (\forall k)(k \in W_z)]],$$

and since  $W_n \neq \emptyset$  is a  $\sum_1^0$  predicate of  $n$ , we see by the usual prenex transformation procedures that  $G(\mathcal{F}_3)$  is  $\prod_2^0$ . We shall construct a recursive function  $\psi_3(x, y)$  with the property:

$$(\forall w)(\forall z)(\forall x)(\forall y)[\varphi_{\psi_3(f,z)}^3(w, x, y) \text{ is defined} \Leftrightarrow (\exists u)T_2(f, z, y, u)].$$

Since

$$\begin{aligned} z \in \text{the } f\text{-th } \prod_2^0 \text{ set} &\Leftrightarrow (\forall y)(\exists u)T_2(f, z, y, u) \\ &\Leftrightarrow (\forall w)(\forall x)[\delta\varphi_{\psi_3(f,z)}^3(w, x, y) = N], \end{aligned}$$

the function  $\eta\zeta_1\psi_3$  will then witness the  $\prod_2^0$  completeness of  $G(\mathcal{F}_3)$ . The required function  $\psi_3$  is very simply obtainable via a stage-by-stage construction of an auxiliary function  $\tau$ : at stage  $s$  we set

$$\tau_{f,z}^s = \{\langle w, x, y, 0 \rangle \mid (\exists u \leq s)T_2(f, z, y, u)\},$$

for all pairs  $\langle f, z \rangle$ ; then we take  $\tau_{f,z} = \bigcup_{s=0}^{\infty} \tau_{f,z}^s$ . Obviously, the construction of  $\tau_{f,z}$  is effective uniformly in the parameters  $f$  and  $z$ ; i.e., there is a recursive function  $\psi_3$  such that  $(\forall f)(\forall z)[\tau_{f,z} \simeq \varphi_{\psi_3(f,z)}^3]$ .  $\psi_3$  as so specified is plainly an indexing function of the kind that we require, and hence  $G(\mathcal{F}_3)$  is  $\prod_2^0$  complete. Q.E.D.

**PROPOSITION 8.** *Let  $\mathcal{F}_4 = \{\{A\} \mid A \text{ is a cofinite subset of } N\}$ . Then  $\mathcal{F}_4$  is an r.e. family and  $G(\mathcal{F}_4)$  is  $\sum_3^0$  complete.*

**PROOF.** The class *COF* of cofinite subsets of  $N$  is r.e.; hence  $\mathcal{F}_4$  is r.e. since  $\mathcal{F}_4 = \{W_{\mu(e)}^c \mid W_e \in \text{COF}\}$ . Now, it is shown in [4] that the set  $C = \{e \mid W_e \in \text{COF}\}$  is  $\sum_3^0$  complete. But hence  $G(\mathcal{F}_4)$  is also  $\sum_3^0$  complete, provided that it is  $\sum_3^0$  at all; for if  $A$  is a  $\sum_3^0$  subset of  $N$  and  $\beta$  is a recursive function such that  $n \in A \Rightarrow \beta(n) \in C$  and  $n \notin A \Rightarrow \beta(n) \notin C$ , then  $n \in A \Rightarrow \mu(\beta(n)) \in G(\mathcal{F}_4)$  and  $n \notin A \Rightarrow \mu(\beta(n)) \notin G(\mathcal{F}_4)$ . To see that  $G(\mathcal{F}_4)$  is  $\sum_3^0$ , we first note that the predicate  $(\forall z)[z \in W_n \Rightarrow W_z = W_e]$  is a  $\prod_2^0$  predicate of  $n$  and  $e$ , and we then apply the standard prenex

operations to the right-hand side of the equivalence  $n \in G(\mathcal{F}_4) \Leftrightarrow [W_n \neq \emptyset \text{ and } (\exists e)[e \in C \text{ and } (\forall z)[z \in W_n \Rightarrow W_z = W_x]]]$ . Thus,  $G(\mathcal{F}_4)$  is  $\prod_3^0$  complete. Q.E.D.

**PROPOSITION 9.** *Let  $\mathcal{F}_5 = \{W_e^c \mid \{W_j \mid W_j \text{ is finite}\} \subseteq W_e^c\}$ . Then  $\mathcal{F}_5$  is an r.e. family and  $G(\mathcal{F}_5)$  is  $\prod_3^0$  complete.*

**PROOF.** The class  $\{W_j \mid W_j \text{ is finite}\}$  is r.e.; hence, since

$$\mathcal{F}_5 = \{W_e^c \mid (\exists k)[W_e^c = W_k^c \cup \{W_j \mid W_j \text{ is finite}\}]\},$$

it is easily deduced that  $\mathcal{F}_5$  is an r.e. family. To show that  $G(\mathcal{F}_5)$  is  $\prod_3^0$ , we make use of a 'canonical enumeration' of the class  $\{W_j \mid W_j \text{ is finite}\}$ . The particular enumeration that we shall apply is defined (as in [5, p. 70]) as follows:

$$D_0 \stackrel{df}{=} \emptyset; D_{n+1} \stackrel{df}{=} \{k_1, \dots, k_l\}, \text{ where } n+1 = \sum_{m=1}^l 2^{km}$$

with  $k_1 < k_2 < \dots < k_m$ . It is easily verified that the predicate  $D_j \subseteq W_k$  is a  $\sum_1^0$  predicate of  $j$  and  $k$ , and that the predicate  $x \in D_j$  is a recursive predicate of  $x$  and  $j$ . Now, we have

$$\begin{aligned} n \in G(\mathcal{F}_5) &\Leftrightarrow (\forall j)(\exists m)[m \in W_n \text{ and } D_j = W_m] \\ &\Leftrightarrow (\forall j)(\exists m)[m \in W_n \text{ and } D_j \subseteq W_m \text{ and } W_m \subseteq D_j]; \end{aligned}$$

hence, by routine prenex manipulations we obtain a  $\prod_3^0$  predicate form for  $G(\mathcal{F}_5)$ . We shall construct a recursive function  $\psi_4(x, y)$  such that, for every pair of numbers  $\langle f, n \rangle$ , we have

$$(\forall z)(\exists w)(\forall y) \neg T_3(f, n, z, w, y) \Leftrightarrow (\forall j)(\exists l)(\exists k)[\delta\varphi_{\psi_4(f, n)}^3(l, k, u) = D_j].$$

It is then obviously the case that

$$n \in \text{the } f\text{-th } \prod_3^0 \text{ set} \Leftrightarrow \omega(\zeta_1(\psi_4(f, n))) \in G(\mathcal{F}_5),$$

where  $\omega$  is as in the proof of Proposition 4. Thus, the existence of such a function  $\psi_4$  implies  $\prod_3^0$  completeness of  $G(\mathcal{F}_5)$ . In order to specify  $\psi_4$ , we shall define an auxiliary partial recursive function  $\bar{\tau}$  by stages, as follows:

$$\bar{\tau}_{f, n}^s(j, k, u) \simeq \begin{cases} 0, & \text{if } u \in D_j \text{ or if } (\exists y \leq s)T_3(f, n, j, k, y), \\ \text{undefined,} & \text{otherwise;} \end{cases}$$

$$\bar{\tau}_{f, n} = \bigcup_{s=0}^{\infty} \bar{\tau}_{f, n}^s.$$

It is obvious that the definition of  $\bar{\tau}_{f, n}$  is effective uniformly in the parameters  $f$  and  $n$ ; hence, there is a recursive function  $\psi_4(x, y)$  such that

$$(\forall f)(\forall n)[\varphi_{\psi_4(f,n)}^3 \simeq \bar{\tau}_{f,n}].$$

But then  $\delta\varphi_{\psi_4(f,n)}^3(j, k, u)$ , for a given pair  $\langle j, k \rangle$ , is either  $N$  or  $D_j$ , according as  $(\exists y)T_3(f, n, j, k, y)$  or  $(\forall y)\neg T_3(f, n, j, k, y)$ . Thus,  $\psi_4$  has the required property, and so  $G(\mathcal{F}_5)$  is  $\prod_3^0$  complete. Q.E.D.

Before stating Proposition 10 we remind the reader that  $p_n$  denotes the  $n$ -th prime number in order of magnitude, starting with  $p_0 = 2$ . We shall denote by  $P_n$  the set  $\{p_n^m | m \in N - \{0\}\}$  of positive powers of  $p_n$ .

**PROPOSITION 10.** *Let  $\mathcal{F}_6 = \{W_e^c | (\exists n)[\{W_j | W_j \text{ is a finite subset of } P_n\} \subseteq W_e^c]\}$ . Then  $\mathcal{F}_6$  is an r.e. family and  $G(\mathcal{F}_6)$  is  $\sum_4^0$  complete.*

**PROOF.** It is easily demonstrated that there is a recursive function  $\chi(x, y)$ , with  $\chi(n, y)$  one-to-one for each  $n$ , such that  $(\forall n)[\{D_{\chi(n,y)} | y \in N\} = \{W_j | W_j \text{ is a finite subset of } P_k\}]$ . Hence  $\mathcal{F}_6$  is r.e., since

$$\mathcal{F}_6 = \{W_e^c | (\exists f)(\exists n)[W_e^c = W_f^c \cup \{D_{\chi(n,y)} | y \in N\}]\}.$$

Next, we observe that

$$n \in G(\mathcal{F}_6) \Leftrightarrow (\exists k)(\forall j)(\exists m)[m \in W_n \text{ and } D_{\chi(k,j)} = W_m].$$

Therefore  $G(\mathcal{F}_6)$  is  $\sum_4^0$ . To show that  $G(\mathcal{F}_6)$  is  $\sum_4^0$  complete, we need only make a slight modification of our above proof that  $G(\mathcal{F}_5)$  is  $\prod_3^0$  complete. Thus, we begin by defining

$$\zeta_{f,n}^s(k, j, y, z) \simeq \begin{cases} 0, & \text{if } z \in D_{\chi(k,j)} \text{ or if } (\exists w \leq s)T_4(f, n, k, j, y, w), \\ \text{undefined,} & \text{otherwise;} \end{cases}$$

and we then set

$$\zeta_{f,n} = a_f \bigcup_{s=0}^{\infty} \zeta_{f,n}^s,$$

for all pairs  $\langle f, n \rangle$ . Clearly,  $\zeta_{f,n}$  is partial recursive uniformly in  $f$  and  $n$ ; so there is a recursive function  $\xi(x, y)$  such that

$$(\forall f)(\forall n)[\varphi_{\xi(f,n)}^4 \simeq \zeta_{f,n}].$$

Let  $\zeta_2(f, n)$  be a recursive function such that

$$(\forall f)(\forall n)[\varphi_{\zeta_2(f,n)}^3(\pi_2(k, j), y, z) \simeq \varphi_{\xi(f,n)}^4(k, j, y, z)];$$

$\pi_2$  is here a recursive pairing function as in [2, § 1]. Then, for all 5-tuples  $\langle f, n, k, j, y \rangle$ , we have  $\delta\varphi_{\zeta_2(f,n)}^3(\pi_2(k, j), y, z) =$  either  $N$  or  $D_{\chi(k,j)}$  according as  $(\exists w)T_4(f, n, k, j, y, w)$  or  $(\forall w)\neg T_4(f, n, k, j, y, w)$ . It follows that, for every pair of numbers  $\langle f, n \rangle$ , we have

$$\begin{aligned}
& (\exists k)(\forall j)(\exists y)(\forall w) \neg T_4(f, n, k, j, y, w) \\
& \Leftrightarrow [(\forall k)(\forall j)(\forall p)(\forall q)(\forall y)(p \neq k \\
& \Rightarrow \delta\varphi_{\zeta_2(f, n)}^3(\pi_2(p, q), y, z) \neq D_{\chi(k, j)}) \text{ and} \\
& (\exists k)(\forall j)(\exists y)[\delta\varphi_{\zeta_2(f, n)}^3(\pi_2(k, j), y, z) = D_{\chi(k, j)}]].
\end{aligned}$$

Therefore if we define  $\psi_5(x, y)$  by  $\psi_5 = \omega_{\zeta_1} \zeta_2$ , we obtain the equivalence:  $n \in$  the  $f$ -th  $\sum_4^0$  set  $\Leftrightarrow \psi_5(f, n) \in G(\mathcal{F}_6)$ . Thus  $G(\mathcal{F}_6)$  is  $\sum_4^0$  complete. Q.E.D.

**PROPOSITION 11.** *Let  $\mathcal{F}_7 = \{W_e^c \mid \{W_j \mid W_j \text{ is cofinite}\} \subseteq W_e^c\}$ . Then  $\mathcal{F}_7$  is an r.e. family and  $G(\mathcal{F}_7)$  is  $\prod_4^0$  complete.*

**PROOF.** The class  $\{W_j \mid W_j \text{ is cofinite}\}$  is, of course, r.e.; say,  $\{W_j \mid W_j \text{ is cofinite}\} = W_{e_0}^c$ . Hence  $\mathcal{F}_7$  is an r.e. family, since

$$\bar{\mathcal{F}}_7 = \{W_e^c \mid (\exists f)[W_e^c = W_f^c \cup W_{e_0}^c]\}.$$

Next, observe that

$$n \in G(\mathcal{F}_7) \Leftrightarrow (\forall h)[W_h \text{ is cofinite} \Rightarrow (\exists l)[l \in W_n \text{ and } (W_l = W_h)]].$$

But  $W_l = W_h$  is a  $\prod_2^0$  predicate of  $l$  and  $h$ ; and the assertion that  $W_h$  is cofinite is ([4]) a  $\sum_3^0$  predicate of  $h$ . Hence, by the usual prenex operations (carried out with several alternations of priority between the antecedent and the consequent inside the main quantifier), we see that  $n \in G(\mathcal{F}_7)$  is a  $\prod_4^0$  predicate of  $n$ . To show  $\prod_4^0$  completeness of  $G(\mathcal{F}_7)$ , we construct a recursive function  $\psi_6(x, y)$  with the property that

$$\begin{aligned}
& (\forall f)(\forall n)[(\forall u)(\exists v)(\forall w)(\exists z)T_4(f, n, u, v, w, z) \\
& \Leftrightarrow (\forall j)(\exists l)(\exists k)[\delta\varphi_{\psi_6(f, n)}^3(l, k, y) = N - D_l]].
\end{aligned}$$

To this end we define a partial recursive function  $\bar{\zeta}$  as follows:

$$\bar{\zeta}_{f, n}^s(l, k, y) \simeq \begin{cases} 0, & \text{if } (\forall w \leq y)(\exists z \leq s)T_4(f, n, l, k, w, z) \text{ and} \\ y \in N - D_l, & \\ \text{undefined,} & \text{otherwise;} \end{cases}$$

$$\bar{\zeta}_{f, n} =_{df} \bigcup_{s=0}^{\infty} \bar{\zeta}_{f, n}^s.$$

$\bar{\zeta}_{f, n}$  is partial recursive uniformly in  $f$  and  $n$ ; so let  $\psi_6(x, y)$  be a recursive function such that

$$(\forall f)(\forall n)[\varphi_{\psi_6(f, n)}^3 \simeq \bar{\zeta}_{f, n}].$$

Now, it is easily seen from the definition of  $\bar{\zeta}$  that for each pair  $\langle l, k \rangle$  we have either



$$\delta\varphi_{\psi_6(f,n)}^3(l, k, y) = N - D_l \text{ or } \delta\varphi_{\psi_6(f,n)}^3(l, k, y) = a$$

finite set, according as  $(\forall w)(\exists z)T_4(f, n, l, k, w, z)$  or  $\neg(\forall w)(\exists z)T_4(f, n, l, k, w, z)$ . It readily follows that for every pair  $\langle f, n \rangle$  we have

$$\begin{aligned} & (\forall u)(\exists v)(\forall w)(\exists z)T_4(f, n, u, v, w, z) \\ & \Leftrightarrow (\forall j)(\exists l)(\exists k)[\delta\varphi_{\psi_6(f,n)}^3(l, k, y) = N - D_j]. \end{aligned}$$

Hence  $n \in$  the  $f$ -th  $\prod_4^0$  set  $\Leftrightarrow \omega(\zeta_1(\psi_6(f, n))) \in G(\mathcal{F}_7)$ , and  $G(\mathcal{F}_7)$  is  $\prod_4^0$  complete. Q.E.D.

**PROPOSITION 12.** *Let  $\mathcal{F}_8 = \{W_e^c \mid (\exists n)[\{W_j \mid W_j \text{ is a relatively cofinite subset of } P_n\} \subseteq W_e^c]\}$ . Then  $\mathcal{F}_8$  is an r.e. family and  $G(\mathcal{F}_8)$  is  $\sum_5^0$  complete.*

**PROOF.** Let  $\bar{\chi}(x, y)$  be a recursive function with  $\bar{\chi}(n, y)$  one-to-one for each number  $n$ , such that  $(\forall n)[\{P_n - D_{\bar{\chi}(n, y)} \mid y \in N\} = \{W_j \mid W_j \text{ is a relatively cofinite subset of } P_n\}]$ . It follows that  $\mathcal{F}_8$  is r.e., since

$$\mathcal{F}_8 = \{W_e^c \mid (\exists f)(\exists n)[W_e^c = W_f^c \cup \{P_n - D_{\bar{\chi}(n, y)} \mid y \in N\}]\}.$$

Next, since  $n \in G(\mathcal{F}_8) \Leftrightarrow (\exists k)(\forall j)(\exists m)[m \in W_n \text{ and } P_k - D_{\bar{\chi}(k, j)} = W_m]$ , and since the predicate  $P_k - D_{\bar{\chi}(k, j)} = W_m$  is a  $\prod_2^0$  predicate of  $k, j$  and  $m$ , we have that  $G(\mathcal{F}_8)$  is  $\sum_5^0$ . In the same way in which we showed  $G(\mathcal{F}_6)$  to be  $\sum_4^0$  complete by modifying our proof of the  $\prod_3^0$  completeness of  $G(\mathcal{F}_5)$ , we shall now show  $G(\mathcal{F}_8)$  to be  $\sum_5^0$  complete by making appropriate alterations in our proof of the  $\prod_4^0$  completeness of  $G(\mathcal{F}_7)$ . First, we define a partial recursive function  $\gamma$  by stipulating that

$$\begin{aligned} \gamma_{f,n}^s(l, k, j, y) & \simeq \begin{cases} 0, & \text{if } y \in P_l - D_{\bar{\chi}(l, k)} \text{ and} \\ & (\forall w \leq y)(\exists z \leq s)T_5(f, n, l, k, j, w, z), \\ \text{undefined,} & \text{otherwise;} \end{cases} \\ \gamma_{f,n} & =_{df} \bigcup_{s=0}^{\infty} \gamma_{f,n}^s. \end{aligned}$$

$\gamma_{f,n}$ , as so defined, is partial recursive uniformly in  $f$  and  $n$ ; hence there is a recursive function  $\beta(x, y)$  such that  $(\forall f)(\forall n)[\gamma_{f,n} \simeq \varphi_{\beta(f,n)}^4]$ . Let  $\zeta_3$  be a recursive function such that  $(\forall f)(\forall n)[\varphi_{\zeta_3(f,n)}^3(\pi_2(l, k), j, y) \simeq \varphi_{\beta(f,n)}^4(l, k, j, y)]$ . Then, for all 5-tuples  $\langle f, n, l, k, j \rangle$ , we have that  $\delta\varphi_{\zeta_3(f,n)}^3(\pi_2(l, k), j, y) =$  either  $P_l - D_{\bar{\chi}(l, k)}$  or a finite set, according as  $(\forall w)(\exists z)T_5(f, n, l, k, j, w, z)$  or not. It follows that, for every pair  $\langle f, n \rangle$  of numbers, we have

$$\begin{aligned} & (\exists l)(\forall k)(\exists j)(\forall w)(\exists z)T_5(f, n, l, k, j, w, z) \\ & \Leftrightarrow (\exists l)(\forall k)(\exists j)[\delta\varphi_{\zeta_3(f,n)}^3(\pi_2(l, k), j, y) = P_l - D_{\bar{\chi}(l, k)}]. \end{aligned}$$

So, if we set  $\psi_7 = \omega_{df}^{\zeta_1} \zeta_3$  then

$$n \in \text{the } f\text{-th } \sum_5^0 \text{ set} \Leftrightarrow \psi_7(f, n) \in G(\mathcal{F}_8).$$

Thus  $G(\mathcal{F}_8)$  is  $\sum_5^0$  complete. Q.E.D.

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