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CIPRIAN FOIAȘ

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# On Hille's spectral theory and operational calculus for semi-groups of operators in Hilbert space.

by

Ciprian Foiaş

1. Let  $H$  be a Hilbert space,  $L(H)$  the algebra of all bounded linear operators on  $H$ , and  $A$  a linear operator, bounded or not, with domain  $D_A$ , satisfying the condition: (\*) *There is a real number  $r$ , such that  $\xi \in \rho(A)$  and  $\|(A - \xi I)^{-1}\| \leq (\xi - r)^{-1}$  if  $\xi > r$ .*<sup>1)</sup>

Let  $\mathcal{O}_r$  be the algebra of all functions  $\varphi(\lambda)$  bounded and continuous on  $Re\lambda \leq r$  and holomorphic on  $Re\lambda < r$ .

In this paper we shall define  $\varphi(A)$  for every  $\varphi(\lambda) \in \mathcal{O}_r$  and prove some properties concerning the mapping  $\varphi(\lambda) \rightarrow \varphi(A)$ .<sup>2)</sup> The operational calculus given here is a consequence of the calculus established by the author in [2]. For completeness we shall state some of the results proved in [2], which are needed here. Let  $T \in L(H)$  and  $S$  a spectral set of  $T$  (see [3]), bounded by a closed Jordan curve. Denote by  $\mathcal{O}_e[S; T]$  the algebra of functions  $\varphi(\lambda)$  defined on  $S \cap \mathcal{C}E_{\varphi(\lambda)}$  where  $E_{\varphi(\lambda)} \subset FrS \cap \mathcal{C}P\gamma(T)$  is a finite set, bounded, continuous and holomorphic in  $IntS$ . Then there is an isomorphic mapping of  $\mathcal{O}_e[S; T]$  into  $L(H)$  such that: (i)  $1 \rightarrow I$ ,  $\lambda \rightarrow T$ ; (ii)  $\|\varphi(T)\| \leq \text{l.u.b.}_{\lambda \in S} |\varphi(\lambda)|$ ; (iii) if  $\varphi_n(\lambda) \in \mathcal{O}_e[S; T]$  is a bounded sequence which converges uniformly to  $\varphi(\lambda) \in \mathcal{O}_e[S; T]$ , excepting a finite number of points which belong to  $FrS \cap \mathcal{C}P\sigma(T)$ , then  $\varphi_n(T)$  converges strongly to  $\varphi(T)$ .

2. For every  $y \in D_A$  put  $x = [A - (r+1)I]y$  and  $Tx = [A - (r-1)I]y$ .

**THEOREM 1.**  $1 \notin P\sigma(T)$ ,  $T \in L(H)$  and  $\|T\| \leq 1$ .

**PROOF.**  $T$  is uniquely defined on  $H$ . If  $Tx = x$ , then  $y = 0$ ; hence  $x = 0$ . It follows that  $1 \notin P\sigma(T)$ . To show that  $\|T\| \leq 1$  is enough to show that  $S = \{\lambda : |\lambda| \leq 1\}$  is a spectral set of  $T$ . From (\*) it follows that  $S_\xi = \{\lambda : |\lambda| < (\xi - r)^{-1}\}$  is a spectral set of  $R_\xi = (A - \xi I)^{-1}$ , and that

$$T = [(\xi - r + 1)R_\xi + I][(\xi - r - 1)R_\xi + I]^{-1} = \omega_\xi(R_\xi)$$

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<sup>1)</sup> Then  $A$  is a semi-group generator. It is not supposed a priori that  $D_A$  is dense in  $H$  (see also [2], § 5).

<sup>2)</sup> The condition (\*) is more restrictive than Hille's condition (see [1], p. 303) on which is based his operational calculus, but the class  $\mathcal{O}_r$  is larger than his corresponding class.

where

$$\omega_{\xi}(\lambda) = [(\xi-r+1)\lambda+1][(\xi-r+1)\lambda+1]^{-1}.$$

Hence ([4], p. 436)  $\omega_{\xi}(S_{\xi})$  is a spectral set of  $T$ . But for  $\xi < \xi'$  we have  $\omega_{\xi'}(S_{\xi'}) \subset \omega_{\xi}(S_{\xi})$ , and by a theorem due to J. v. Neumann ([3], p. 262),  $S = \{\lambda : |\lambda| \leq 1\} = \bigcap_{\xi > r+1} \omega_{\xi}(S_{\xi})$  is a spectral set of  $T$ .

Now put  $\mu = v(\lambda) = (\lambda - (r-1))/(\lambda - (r+1))$ . According to theorem 1,  $\varphi \circ v^{-1}(\mu) \in \tilde{O}_e[S; T]$  for every  $\varphi(\lambda) \in \tilde{O}_r$ .

DEFINITION. For every  $\varphi \in \tilde{O}_r$ , we define  $\varphi(A) = \varphi \circ v^{-1}(T)$ , where  $\varphi \circ v^{-1}(T)$  is defined as in [2].

THEOREM 2. The mapping  $\varphi(\lambda) \rightarrow \varphi(A)$  of  $\tilde{O}_r$  into  $L(H)$  is an isomorphism, and has the properties: (j)  $\|\varphi(A)\| \leq \text{l.u.b.}_{\text{Re}\lambda \leq r} |\varphi(\lambda)|$ , (jj) if  $(\varphi_n(\lambda))$  is a bounded (on  $\text{Re}\lambda \leq r$ ) sequence in  $\tilde{O}_r$  and  $\varphi_n(\lambda) \rightarrow \varphi(\lambda)$  uniformly on every compact contained in  $\text{Re}\lambda \leq r$ , then  $\varphi_n(A) \rightarrow \varphi(A)$  strongly.

PROOF. If  $\varphi_1, \varphi_2 \in \tilde{O}_r$ , then

$$\begin{aligned} (\lambda_1\varphi_1 + \lambda_2\varphi_2) \circ v^{-1}(\mu) &= \lambda_1\varphi_1 \circ v^{-1}(\mu) + \lambda_2\varphi_2 \circ v^{-1}(\mu), \\ (\varphi_1\varphi_2) \circ v^{-1}(\mu) &= \varphi_1 \circ v^{-1}(\mu) \cdot \varphi_2 \circ v^{-1}(\mu), \end{aligned}$$

and by using the operational calculus with functions of  $\tilde{O}_e[S; T]$  it follows that

$$(\lambda_1\varphi_1 + \lambda_2\varphi_2)(A) = \lambda_1\varphi_1(A) + \lambda_2\varphi_2(A), \quad (\varphi_1\varphi_2)(A) = \varphi_1(A)\varphi_2(A).$$

The property (j) can be proved in the same manner. To prove (jj) we remark that  $\varphi(\lambda) \in \tilde{O}_r$ , and that  $\varphi_n \circ v^{-1}(\mu) \rightarrow \varphi \circ v^{-1}(\mu)$  in the sense precised in (ii); hence  $\varphi_n \circ v^{-1}(T) \rightarrow \varphi \circ v^{-1}(T)$ . It follows that  $\varphi_n(A) \rightarrow \varphi(A)$  strongly.

THEOREM 3.  $e^{tA}$  is a strongly continuous semi-group and  $A$  is its generator.

PROOF. According to theorem 1, from  $e^{t\lambda} \in \tilde{O}_r$  (for  $t \geq 0$ ),  $|e^{t\lambda}| \leq e^{tr}$  for  $\text{Re}\lambda \leq r$ , and  $e^{(t_1+t_2)\lambda} = e^{t_1\lambda} e^{t_2\lambda}$  it follows that  $e^{tA}$  is a semi-group of operators on  $H$ . The fact that  $e^{tA} \rightarrow I$  strongly for  $t \rightarrow +0$  follows from (jj). Let  $A'$  be the generator of  $e^{tA}$ . We have  $Ay = [(r+1)T - r + 1]x$  for  $y = (T - I)x$ ,  $x \in H$ . Hence

$$\begin{aligned} \frac{1}{\varepsilon} (e^{\varepsilon A} - I)y &= \frac{1}{\varepsilon} [e^{\varepsilon v^{-1}(\mu)} - 1](\mu - 1) \Big|_{\mu=T} x \\ &= \frac{1}{\varepsilon} [(r+1)\mu - (r-1)] \int_0^{\varepsilon} e^{tv^{-1}(\mu)} dt \Big|_{\mu=T} x \\ &= \{[(r+1)T - (r-1)I] \frac{1}{\varepsilon} \int_0^{\varepsilon} e^{tA} dt\} x \rightarrow [(r+1)T - (r-1)I]x = Ay. \end{aligned}$$

Thus  $A \subseteq A'$ . But  $\|e^{tA}\| \leq e^{tr}$ , so that using a method due to B. Sz.-Nagy ([4], p. 400), we get that  $(A' - \xi I)^{-1}$  exists for  $\xi > r$ . By (\*), it follows  $(A' - \xi I)^{-1} = (A - \xi I)^{-1}$  and hence  $A' = A$ .

**THEOREM 4.** *The mapping  $\varphi(\lambda) \rightarrow \varphi(A)$  is uniquely determined by the properties stated in theorems 2 and 3.*

**PROOF.** Let  $\varphi(\lambda) \rightarrow \tilde{\varphi}(A)$  be a mapping satisfying the properties formulated in theorems 2 and 3. If  $T_\varepsilon = \exp(\varepsilon tA)$ , then by Hille's exponential representation ([1], p. 189)  $T_\varepsilon$  and  $e^{tA}$ , having the same generator, are identical. Thus if

$$v_\varepsilon(\lambda) = [e^{\varepsilon\lambda} - (\varepsilon r + 1 - \varepsilon)][e^{\varepsilon\lambda} - (\varepsilon r + 1 + \varepsilon)]^{-1},$$

then

$$\begin{aligned} v_\varepsilon(A) &= [e^{\varepsilon A} - (\varepsilon r + 1 - \varepsilon)I][e^{\varepsilon A} - (\varepsilon r + 1 + \varepsilon)I]^{-1} \\ &= [T_\varepsilon - (\varepsilon r + 1 - \varepsilon)I][T_\varepsilon - (\varepsilon r + 1 + \varepsilon)I]^{-1} = \tilde{v}_\varepsilon(A). \end{aligned}$$

But  $v_\varepsilon(\lambda) \rightarrow v(\lambda)$  in the sense given in theorem 2. Consequently,  $v_\varepsilon(A) \rightarrow v(A)$ , and  $\tilde{v}_\varepsilon(A) \rightarrow \tilde{v}(A)$  strongly for  $\varepsilon \rightarrow +0$ ; hence  $v(A) = T = \tilde{v}(A)$ . Thus  $v^n(A) = \tilde{v}^n(A)$  for every  $n = 0, 1, 2, \dots$ . It follows that the mapping  $\varphi(\lambda) \rightarrow \tilde{\varphi}(A)$  and that given in the definition are equal for  $\varphi = v^n$ ,  $n = 0, 1, \dots$ . But the algebra generated by these functions is dense (in the sense precised in theorem 2) in  $\tilde{O}_r$ ; hence  $\tilde{\varphi}(A) = \varphi(A)$  for any  $\varphi \in \tilde{O}_r$ .

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Institutul de Matematica,  
București.