

COMPOSITIO MATHEMATICA

L. CARLITZ

Sets of primitive roots

Compositio Mathematica, tome 13 (1956-1958), p. 65-70

http://www.numdam.org/item?id=CM_1956-1958__13__65_0

© Foundation Compositio Mathematica, 1956-1958, tous droits réservés.

L'accès aux archives de la revue « Compositio Mathematica » (<http://http://www.compositio.nl/>) implique l'accord avec les conditions générales d'utilisation (<http://www.numdam.org/conditions>). Toute utilisation commerciale ou impression systématique est constitutive d'une infraction pénale. Toute copie ou impression de ce fichier doit contenir la présente mention de copyright.

NUMDAM

Article numérisé dans le cadre du programme
Numérisation de documents anciens mathématiques
<http://www.numdam.org/>

Sets of primitive roots

by

L. Carlitz

1. Introduction. As a special case of a more general result [1, Theorem 1] the writer has proved that if a is a fixed integer ≥ 1 , then the number of integers x , $1 \leq x \leq p-1$, such that x and $x+a$ are both primitive roots (mod p) is equal to

$$(1.1) \quad \frac{\varphi^2(p-1)}{p-1} + O(p^{\frac{1}{2}+\varepsilon}) \quad (\varepsilon > 0),$$

where $\varphi(p-1)$ is the Euler function. The more general result referred to is concerned with the number of solutions in primitive roots (mod p) of

$$(1.2) \quad a_1x_1 + \cdots + a_rx_r \equiv a \pmod{p}.$$

It is natural to raise the following question. Let $a_1, \cdots, a_{r-1}$ be fixed integers ≥ 1 . We seek the number of integers x (mod p) such that

$$(1.3) \quad x, x+a_1, \cdots, x+a_{r-1}$$

are all primitive roots. If N_r denote this number we show that

$$(1.4) \quad N_r \sim \frac{\varphi^r(p-1)}{p^{r-1}} \quad (p \rightarrow \infty).$$

The proof of (1.4) depends on some results of Davenport [2].

Indeed we can prove rather more. Let

$$(1.5) \quad f_1(x), f_2(x), \cdots, f_r(x)$$

denote polynomials with integral coefficients (mod p); there is no loss in generality in assuming that each $f_i(x)$ is of degree ≥ 1 . Moreover we assume that the $f_i(x)$ are relatively prime (mod p) in pairs and none is divisible by the square of a polynomial (mod p). If now N_r denotes the number of integers x (mod p) such that all the numbers (1.5) are primitive roots, then again (1.4) holds.

We also prove that if the polynomials $g_j(x)$ satisfy the previous hypotheses then M_r , the number of integers x (mod p) such that

$$(1.6) \quad \left(\frac{g_j(x)}{p} \right) = \varepsilon_j \quad (j = 1, \dots, r),$$

where (a/p) is the Legendre symbol and $\varepsilon_j = \pm 1$, satisfies

$$(1.7) \quad 2^r M_r \sim p \quad (p \rightarrow \infty).$$

More generally if $f_1(x), \dots, f_r(x), g_1(x), \dots, g_s(x)$ are polynomials satisfying the previous hypotheses and $N_{r,s}$ is the number of integers (mod p) such that simultaneously all $f_i(x)$ are primitive roots and (1.6) is satisfied, then

$$(1.8) \quad 2^s N_{r,s} \sim \frac{\varphi^r(p-1)}{p^{r-1}} \quad (p \rightarrow \infty).$$

It should be noted that in these results the numbers $r, s, \deg f_i, \deg g_j$ are kept fixed as $p \rightarrow \infty$.

Since it is no more difficult, we prove the above results for arbitrary finite fields $GF(q)$. Moreover in place of primitive roots we deal with numbers belonging to an exponent e , where $e|q-1$. For the precise statement of the more general results see the theorems in §§ 3, 4.

2. Let $GF(q)$, $q = p^n$, denote an arbitrary finite field and put $q-1 = ef$. Numbers of $GF(q)$ will be denoted by lower case Greek letters $\alpha, \beta, \gamma, \dots, \xi, \eta, \zeta$. Let $\chi(\alpha)$ denote a character of the multiplicative group of $GF(q)$, and let $\chi_0(\alpha)$ denote the principal character. We now define a function $\omega(\xi)$ by means of

$$(2.1) \quad \omega(\xi) = \frac{1}{f} \sum_{d|e} \frac{\mu(d)}{d} \sum_{\chi^{df} = \chi_0} \chi(\xi),$$

where $\mu(d)$ is the Möbius function and inner sum is over the df character χ such that $\chi^{df} = \chi_0$. Then we have the following easily proved result.

LEMMA 1. *If ξ belongs to the exponent e , then $\omega(\xi) = 1$; for all other ξ , $\omega(\xi) = 0$.*

It is convenient to transform (2.1) by means of

LEMMA 2. *The function $\omega(\xi)$ defined by (2.1) satisfies*

$$(2.2) \quad \omega(\xi) = \frac{\varphi(e)}{q-1} \sum_{z|q-1} \frac{\mu(z_1)}{\varphi(z_1)} \sum_{\chi^{(z)} } \chi(\xi) \quad \left(z_1 = \frac{z}{(z, f)} \right),$$

where the inner sum is over the $\varphi(z)$ characters belonging to the exponent z .

A character χ belongs to the exponent k if k is the least integer

≥ 1 such that $\chi^k = \chi_0$. We shall sketch the proof of the equivalence of (2.1) and (2.2). It is clear from (2.1) that

$$(2.3) \quad \omega(\xi) = \frac{1}{f} \sum_{d|e} \frac{\mu(d)}{d} \sum_{z|df} \sum_{\chi^{(z)}} \chi(\xi),$$

where $\chi^{(z)}$ has the same meaning as in (2.2). In the next place the right member of (2.3) is equal to

$$\frac{1}{f} \sum_{z|q-1} \sum_{\chi^{(z)}} \chi(\xi) \sum_{z|df|q-1} \frac{\mu(d)}{d},$$

where the innermost sum is over all d satisfying the indicated conditions. Now put $z_0 = (z, f)$, $z = z_0 z_1$, $f = z_0 f_1$; $z|df$ is equivalent to $z_1|d$. Put $d = z_1 u$; then

$$\begin{aligned} \sum_{z|df|q-1} \frac{\mu(d)}{d} &= \sum_{u|ez_1^{-1}} \frac{\mu(z_1 u)}{z_1 u} = \frac{\mu(z_1)}{z_1} \sum_{\substack{u|ez_1^{-1} \\ (u, z_1)=1}} \frac{\mu(u)}{u} \\ &= \frac{\mu(z_1)}{z_1} \frac{\varphi(e)}{e} \frac{z_1}{\varphi(z_1)} = \frac{\varphi(e)}{e} \frac{\mu(z_1)}{\varphi(z_1)}. \end{aligned}$$

This evidently proves (2.2).

LEMMA 3. *Let $\chi_1, \dots, \chi_r$ denote non-principal multiplicative characters and let $f_1(x), \dots, f_r(x)$ denote quadratfrei polynomials with coefficients in $GF(q)$ that are relatively prime in pairs and of degree ≥ 1 . Put*

$$(2.4) \quad S = S(f, \chi) = \sum_{\alpha \in GF(q)} \chi_1(f_1(\alpha)) \cdots \chi_r(f_r(\alpha)).$$

Then

$$(2.5) \quad |S(f, \chi)| \leq (k-1)q^{1-\theta_k},$$

where $k = \deg f_1 + \dots + \deg f_r$ and

$$(2.6) \quad \theta_3 = \frac{1}{4}, \quad \theta_k = \frac{3}{2(k+4)} \quad (k \geq 4).$$

For proof see Davenport [2].

As a matter of fact by a theorem of André Weil [3], we may take $\theta_k = \frac{1}{2}$; however we shall not make use of this deeper result.

3. Let $e_1, \dots, e_r$ be integers such that $e_i|q-1$ and let N_r denote the number of $\alpha \in GF(q)$ such that $f_i(\alpha)$ belongs to the exponent e_i for $i = 1, \dots, r$; here the $f_i(x)$ are polynomials with coefficients in $GF(q)$. Extending the definition (2.1) in an obvious way we define the set of functions $\omega_1(\xi), \dots, \omega_r(\xi)$ such that $\omega_i(\xi) = 1$ if ξ belongs to the exponent e_i , while $\omega_i(\xi) = 0$ otherwise. Then it

is clear that

$$(3.1) \quad N_r = \sum_{\alpha} \omega_1(f_1(\alpha)) \cdots \omega_r(f_r(\alpha)).$$

Put $e_i f_i = q-1$, $i = 1, \dots, r$. Substituting from (2.2) in (3.1) we get

$$(3.2) \quad N_r = \frac{\varphi(e_1) \cdots \varphi(e_r)}{(q-1)^r} \sum_{\substack{z_1, \dots, z_r \\ z_i | q-1}} \frac{\mu(z'_1) \cdots \mu(z'_r)}{\varphi(z'_1) \cdots \varphi(z'_r)} \cdot \sum_{\chi_1^{(z_1)}, \dots, \chi_r^{(z_r)}} \sum_{\alpha} \chi_1(f_1(\alpha)) \cdots \chi_r(f_r(\alpha)),$$

where χ_i runs through the $\varphi(z_i)$ characters belonging to the exponent z_i , and

$$z'_i = \frac{z_i}{(z_i, f_i)} \quad (i = 1, \dots, r).$$

Consider first the terms in the right member of (3.2) corresponding to principal characters χ_i . Since χ_i belongs to z_i it follows that all $z_i = 1$ and therefore we get

$$(3.3) \quad \begin{aligned} & \frac{\varphi(e_1) \cdots \varphi(e_r)}{(q-1)^r} \sum_{\alpha} \chi_0(f_1(\alpha)) \cdots \chi_0(f_r(\alpha)) \\ &= \frac{\varphi(e_1) \cdots \varphi(e_r)}{(q-1)^r} q + O\left(k \frac{\varphi(e_1) \cdots \varphi(e_r)}{(q-1)^r}\right). \end{aligned}$$

We now assume that the polynomials f_i satisfy the hypotheses of Lemma 3. Then the remaining terms in (3.2) contribute

$$\begin{aligned} & \frac{\varphi(e_1) \cdots \varphi(e_r)}{(q-1)^r} \sum_{\substack{z_i | q-1}} \frac{\mu(z'_1) \cdots \mu(z'_r)}{\varphi(z'_1) \cdots \varphi(z'_r)} \sum_{\chi_i^{(z_i)}} S(f, \chi) \\ &= O\left\{ \frac{\varphi(e_1) \cdots \varphi(e_r)}{(q-1)^r} \sum_{\substack{z_i | q-1}} \frac{1}{\varphi(z'_1) \cdots \varphi(z_r)} \sum_{\chi_i^{(z_i)}} |S(f, \chi)| \right\} \\ &= O\left\{ \frac{\varphi(e_1) \cdots \varphi(e_r)}{(q-1)^r} \sum_{\substack{z_i | q-1}} \frac{\varphi(z_1) \cdots \varphi(z_r)}{\varphi(z'_1) \cdots \varphi(z'_r)} kq^{1-\theta_k} \right\}, \end{aligned}$$

by (2.5). In the next place we have

$$\sum_{\substack{z_i | q-1}} \frac{\varphi(z_1) \cdots \varphi(z_r)}{\varphi(z'_1) \cdots \varphi(z'_r)} = O\{f_1 \cdots f_r \sum_{\substack{z_i | q-1}} 1\} = O(f_1 \cdots f_r q^{r\varepsilon}),$$

where $\varepsilon > 0$, and therefore the above estimate becomes

$$(3.4) \quad \begin{aligned} & O\left\{ \frac{\varphi(e_1) \cdots \varphi(e_r)}{(q-1)^r} f_1 \cdots f_r kq^{1-\theta_k+r\varepsilon} \right\} \\ &= O(kq^{1-\theta_k+r\varepsilon}). \end{aligned}$$

Combining (3.2) with (3.3) and (3.4) we get

$$(3.5) \quad N_r = \frac{\varphi(e_1) \cdots \varphi(e_r)}{(q-1)^r} q + O(kq^{1-\theta_k+r\varepsilon}) \quad (q \rightarrow \infty).$$

This proves

THEOREM 1. *Let $f_1(x), \dots, f_r(x)$ denote quadratfrei polynomials with coefficients $\varepsilon GF(q)$ that are relatively prime in pairs and of degree ≥ 1 ; let $e_1, \dots, e_r$ denote positive integers such that $e_i | q-1$, $i = 1, \dots, r$. Let N_r denote the number of $\alpha \in GF(q)$ such that $f_i(\alpha)$ belongs to the exponent e_i . Then N_r satisfies (3.5), where θ_k is defined by (2.6) and $k = \deg f_1 + \dots + \deg f_r$.*

In particular if all $e_i = q-1$ and k is fixed we get

THEOREM 2. *Let $f_1(x), \dots, f_r(x)$ satisfy the hypotheses of Theorem 1 and let N'_r denote the number of $\alpha \in GF(q)$ such that $f_i(\alpha)$ is a primitive root of $GF(q)$ for $i = 1, \dots, r$. Then for fixed k*

$$(3.6) \quad N'_r \sim \frac{\varphi^r(q-1)}{q^{r-1}} \quad (q \rightarrow \infty).$$

If we take $f_i(x) = x + \alpha_i$, $i = 1, \dots, r$, where the α_i are distinct, then for $q = p$, (3.6) reduces to (1.4).

4. Let the polynomials $f_1(x), \dots, f_r(x)$ have the same meaning as in Theorem 1. For q odd we define the character $\psi(\alpha)$, $\alpha \in GF(q)$, as equal to $+1, -1, 0$ according as α is equal to a square, a non-square, or zero in $GF(q)$. Let $\varepsilon_j = \pm 1$, $j = 1, \dots, r$ be assigned. We consider the number of α such that

$$(4.1) \quad \psi(f_i(\alpha)) = \varepsilon_i \quad (i = 1, \dots, r).$$

If M_r denotes this number then clearly the sum

$$(4.2) \quad \sum_{\alpha} \prod_{i=1}^r \{1 + \varepsilon_i \psi(f_i(\alpha))\}$$

differs from $2^r M_r$ by at most k . Expanding the product in (4.2) and applying Lemma 3 we obtain

THEOREM 3. (q odd). *If the polynomials $f_1(x), \dots, f_r(x)$ satisfy the hypothesis of Theorem 2 and $\varepsilon_i = \pm 1$, $i = 1, \dots, r$ are assigned, then for fixed k the number of $\alpha \in GF(q)$ for which (4.1) holds satisfies*

$$(4.3) \quad M_r \sim 2^{-r} q \quad (q \rightarrow \infty).$$

It is clear how the theorem can be extended to d -th powers. It should be remarked that some hypothesis on the size of k is necessary. For example when $r = 1$ one can construct a non-constant polynomial $f(x)$ such that $\psi(f(\alpha)) = 1$ for $q-1$ values of α and therefore (4.3) does not hold.

In the next place it is not difficult to prove a theorem that includes both Theorem 1 and 3. Let $f_1(x), \dots, f_r(x), g_1(x), \dots, g_s(x)$ denote polynomials that satisfy the previous hypothesis. Let $e_1, \dots, e_r$ be divisors of $q-1$ and $\varepsilon_j = \pm 1, j = 1, \dots, s$. We consider the number of $\alpha \in GF(q)$ such that $f_i(\alpha)$ belongs to the exponent e_i for $i = 1, \dots, r$ and $\psi(g_j(\alpha)) = \varepsilon_j$ for $j = 1, \dots, s$. If we call this number $N_{r,s}$, then it is clear that the sum

$$(4.4) \quad \sum_{\alpha} \prod_{i=1}^r \omega_i(f_i(\alpha)) \prod_{j=1}^s \{1 + \varepsilon_j \psi(g_j(\alpha))\}$$

differs from $2^s N_{r,s}$ by at most h , where $1 = \deg g_0 + \dots + \deg g_s$. Hence expanding the second product in the right member of (4.4) proceeding exactly as in the proof of Theorem 1 we get

$$(4.5) \quad 2^s N_{r,s} = \frac{\varphi(e_1) \cdots \varphi(e_r)}{(q-1)^r} q + O(kq^\theta),$$

where $\theta < 1$. We may state

THEOREM 4. *Let $f_1(x), \dots, f_r(x), g_1(x), \dots, g_s(x)$ denote quadratfrei polynomials that are relatively prime in pairs. Let $e_i | q-1$ for $i = 1, \dots, r$; $\varepsilon_j = \pm 1$ for $j = 1, \dots, s$. Then $N_{r,s}$, the number of α such that $f_i(\alpha)$ belongs to the exponent e_i and $\psi(g_j(\alpha)) = \varepsilon_j$, satisfies (4.5), where $\theta < 1, k = \deg f_1 + \dots + \deg f_r, h = \deg g_1 + \dots + \deg g_s$.*

In particular if all $e_i = q-1$ and k and h are fixed we get

THEOREM 5. *Let $f_1(x), \dots, f_r(x), g_1(x), \dots, g_s(x)$ satisfy the hypotheses of Theorem 4 and let $N'_{r,s}$ denote the number of α such that $f_i(\alpha)$ is a primitive root of $GF(q)$ for $i = 1, \dots, r$ and $\psi(g_j(\alpha)) = \varepsilon_j$ for $j = 1, \dots, s$. Then for fixed k, h we have*

$$(4.6) \quad 2^s N_{r,s} \sim \frac{\varphi^r(q-1)}{q^{r-1}} \quad (q \rightarrow \infty).$$

REFERENCES

L. CARLITZ

- [1] Sums of primitive roots in a finite field, *Duke Mathematical Journal*, vol. 19 (1952), pp. 459—469.

H. DAVENPORT

- [2] On character sums in a finite field, *Acta Mathematica*, vol. 71 (1939), pp. 99—121.

ANDRÉ WEIL

- [3] On the Riemann hypothesis in function-fields, *Proceedings of the National Academy of Sciences*, vol. 27 (1941), pp. 345—347.
Duke University.

(Oblatum 6-10-53).