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Microlocal study of ind-sheaves I: micro-support and regularity

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MICROLOCAL STUDY OF IND-SHEAVES I: MICRO-SUPPORT AND REGULARITY

by

Masaki Kashiwara & Pierre Schapira

Abstract. — We introduce the notions of micro-support and regularity for ind-sheaves, and prove their invariance by quantized contact transformations. We apply these results to the ind-sheaves of temperate holomorphic solutions of $\mathcal{D}$-modules. We prove that the micro-support of such an ind-sheaf is the characteristic variety of the corresponding $\mathcal{D}$-module and that the ind-sheaf is regular if the $\mathcal{D}$-module is regular holonomic. We finally calculate an example of the ind-sheaf of temperate solutions of an irregular $\mathcal{D}$-module in dimension one.

Résumé (Étude microlocale des Ind-faisceaux I: micro-support et régularité)
Nous introduisons les notions de micro-support et régularité pour les ind-faisceaux et prouvons leur invariance par transformations de contact quantifiées. Nous appliquons ces résultats aux ind-faisceaux des solutions holomorphes tempérées des $\mathcal{D}$-modules. Nous prouvons que le micro-support d’un tel ind-faisceau est la variété caractéristique du $\mathcal{D}$-module correspondant et que le ind-faisceau est régulier si le $\mathcal{D}$-module est holonome régulier. Nous calculons enfin un exemple du ind-faisceau des solutions tempérées d’un $\mathcal{D}$-module irrégulier en dimension un.

1. Introduction

Recall that a system of linear partial differential equations on a complex manifold $X$ is the data of a coherent module $\mathcal{M}$ over the sheaf of rings $\mathcal{D}_X$ of holomorphic differential operators. Let $F$ be a complex of sheaves on $X$ with $\mathbb{R}$-constructible cohomologies (one says an $\mathbb{R}$-constructible sheaf, for short). The complex of “generalized functions” associated with $F$ is described by the complex $R\text{Hom}(F, \mathcal{O}_X)$, and the complex of solutions of $\mathcal{M}$ with values in this complex is described by the complex $R\text{Hom}_{\mathcal{D}_X}(\mathcal{M}, R\text{Hom}(F, \mathcal{O}_X))$.

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One may also microlocalize the problem by replacing $\mathcal{RHom}(F, \mathcal{O}_X)$ with $\mu hom(F, \mathcal{O}_X)$. In [4] one shows that most of the properties of this complex, especially those related to propagation or Cauchy problem, are encoded in two geometric objects, both living in the cotangent bundle $T^*X$, the characteristic variety of the system $\mathcal{M}$, denoted by $\text{char}(\mathcal{M})$, and the micro-support of $F$, denoted by $SS(F)$.

The complex $\mathcal{RHom}(F, \mathcal{O}_X)$ allows us to treat various situations. For example if $M$ is a real analytic manifold and $X$ is a complexification of $M$, by taking as $F$ the dual $D'(\mathbb{C}_M)$ of the constant sheaf on $M$, one obtains the sheaf $\mathcal{B}_M$ of Sato's hyperfunctions. If $Z$ is a complex analytic hypersurface of $X$ and $F = \mathcal{C}_Z[-1]$ is the (shifted) constant sheaf on $Z$, one obtains the sheaf of holomorphic functions with singularities on $Z$. However, the complex $\mathcal{RHom}(F, \mathcal{O}_X)$ does not allow us to treat sheaves associated with holomorphic functions with growth conditions. So far this difficulty was overcome in two cases, the temperate case including Schwartz's distributions and meromorphic functions with poles on $Z$ and the dual case including $\mathcal{C}^\infty$-functions and the formal completion of $\mathcal{O}_X$ along $Z$. The method was two construct specific functors, the functor $\mathcal{T}Hom$ of [2] and the functor $\mathcal{O}$ of [5].

There is a more radical method, which consists in replacing the too narrow framework of sheaves by that of ind-sheaves, as explained in [6]. For example, the presheaf of holomorphic temperate functions on a complex manifold $X$ (which, to a subanalytic open subset of $X$, associates the space of holomorphic functions with temperate growth at the boundary) is clearly not a sheaf. However it makes sense as an object (denoted by $\mathcal{O}^t_X$) of the derived category of ind-sheaves on $X$. Then it is natural to ask if the microlocal theory of sheaves, in particular the theory of micro-support, applies in this general setting.

In this paper we give the definition and the elementary properties of the micro-support of ind-sheaves as well as the notion of regularity.

We prove in particular that the micro-support $SS(\cdot)$ and the regular micro-support $SS_{\text{reg}}(\cdot)$ of ind-sheaves behave naturally with respect to distinguished triangles and that these micro-supports are invariant by “quantized contact transformations” (in the framework of sheaf theory, as explained in [4]).

When $X$ is a complex manifold and $\mathcal{M}$ is a coherent $\mathcal{D}_X$-module, we study the ind-sheaf $\mathcal{S}ol^t(\mathcal{M}) := \mathcal{RHom}_{\mathcal{D}_X}(\mathcal{M}, \mathcal{O}^t_X)$. We prove that

(i) $SS(\mathcal{S}ol^t(\mathcal{M})) = \text{char}(\mathcal{M})$,

(ii) if $\mathcal{M}$ is regular holonomic, then $\mathcal{S}ol^t(\mathcal{M})$ is regular.

Finally, we treat an example: we calculate the ind-sheaf of the temperate holomorphic solutions of an irregular differential equation.

This paper is the first one of a series. In Part II, we shall introduce the microlocalization functor for ind-sheaves, and in Part III we shall study the functorial behavior of micro-supports.
2. Notations and review

We will mainly follow the notations in [4] and [6].

**Geometry.** — In this paper, all manifolds will be real analytic (sometimes, complex analytic). Let $X$ be a manifold. One denotes by $\tau : TX \to X$ the tangent bundle to $X$ and by $\pi : T^*X \to X$ the cotangent bundle.

For a smooth submanifold $Y$ of $X$, $T_Y X$ denotes the normal bundle to $Y$ and $T^*_Y X$ the conormal bundle. In particular, $T^*_X X$ is identified with $X$, the zero-section. For a submanifold $Y$ of $X$ and a subset $S$ of $X$, we denote by $C_Y(S)$ the Whitney normal cone to $S$ along $Y$, a conic subset of $T_Y X$.

One denotes by $a : T^*X \to T^*X$ the antipodal map. If $S \subset T^*X$, one denotes by $\hat{S}$ the set $S \setminus T^*_X X$, and one denotes by $S^o$ the image of $S$ by the antipodal map. In particular, $\hat{T}^*X = T^*X \setminus X$, the set $T^*X$ with the zero-section removed. One denotes by $\hat{\pi} : T^*X \to X$ the projection.

If $S$ is a locally closed subset of $T^*X$, we say that $S$ is $\mathbb{R}^+$-conic (or simply “conic”, for short) if it is locally invariant under the action of $\mathbb{R}^+$. If $S$ is smooth, this is equivalent to saying that the Euler vector field on $T^*X$ is tangent to $S$.

Let $f : X \to Y$ be a morphism of real manifolds. One has two natural maps

$$(2.1) \quad T^*X \xleftarrow{f_d} X \times_Y T^*Y \xrightarrow{f_*} T^*Y$$

(In [4], $f_d$ is denoted by $f'$.) We denote by $q_1$ and $q_2$ the first and second projections defined on $X \times Y$.

**Sheaves.** — Let $k$ be a field. We denote by $\text{Mod}(k_X)$ the abelian category of sheaves of $k$-vector spaces and by $D^b(k_X)$ its bounded derived category.

We denote by $\text{R-C}(k_X)$ the abelian category of $\mathbb{R}$-constructible sheaves of $k$-vector spaces on $X$, and by $D^b_{\mathbb{R}-c}(k_X)$ (resp. $D^b_{\mathbb{R}-c}(k_X)$) the full triangulated subcategory of $D^b(k_X)$ consisting of objects with $\mathbb{R}$-constructible (resp. weakly $\mathbb{R}$-constructible) cohomology. On a complex manifold, one defines similarly the categories $D^b_{\mathbb{C}-c}(k_X)$ and $D^b_{\mathbb{W}-\mathbb{C}-c}(k_X)$ of $\mathbb{C}$-constructible and weakly $\mathbb{C}$-constructible sheaves.

If $Z$ is a locally closed subset of $X$ and if $F$ is a sheaf on $X$, recall that $F_Z$ is a sheaf on $X$ such that $F_Z|_Z \simeq F|_Z$ and $F_Z|_{X \setminus Z} \simeq 0$. One writes $k_{XZ}$ instead of $(k_X)_Z$ and one sometimes writes $k_Z$ instead of $k_{XZ}$.

If $f : X \to Y$ is a morphism of manifolds, one denotes by $\omega_{X/Y}$ the relative dualizing complex on $X$ and if $Y = \{\text{pt}\}$ one simply denotes it by $\omega_X$. Recall that

$$\omega_X \simeq \text{or}_X[\text{dim}_R X]$$

where $\text{or}_X$ is the orientation sheaf and $\text{dim}_R X$ is the dimension of $X$ as a real manifold. We denote by $D'_X$ and $D_X$ the duality functors on $D^b(k_X)$, defined by

$$D'_X(F) = R\text{Hom}(F,k_X), \quad D_X(F) = R\text{Hom}(F,\omega_X).$$
If $F$ is an object of $D^b(k_X)$, $SS(F)$ denotes its micro-support, a closed conic involutive subset of $T^*X$. For an open subset $U$ of $T^*X$, one denotes by $D^b(k_X; U)$ the localization of the category $D^b(k_X)$ with respect to the triangulated subcategory consisting of sheaves $F$ such that $SS(F) \cap U = \emptyset$.

We shall also use the functor $\mu hom$ as well as the operation $\hat{+}$ and refer to loc. cit. for details.

**$\mathcal{O}$-modules and $\mathcal{D}$-modules.** — On a complex manifold $X$ we consider the structural sheaf $\mathcal{O}_X$ of holomorphic functions and the sheaf $\mathcal{D}_X$ of linear holomorphic differential operators of finite order.

We denote by $\text{Mod}_{\text{coh}}(\mathcal{D}_X)$ the abelian category of coherent $\mathcal{D}_X$-modules. We denote by $D^b(\mathcal{D}_X)$ the bounded derived category of left $\mathcal{D}_X$-modules and by $D^b_{\text{hol}}(\mathcal{D}_X)$ (resp. $D^b_{\text{rh}}(\mathcal{D}_X)$) its full triangulated category consisting of objects with coherent cohomologies (resp. holonomic cohomologies, regular holonomic cohomologies).

**Categories.** — In this paper, we shall work in a given universe $\mathcal{U}$, and a category means a $\mathcal{U}$-category. If $\mathcal{C}$ is a category, $\mathcal{C}^\wedge$ denotes the category of functors from $\mathcal{C}^{\text{op}}$ to $\text{Set}$. The category $\mathcal{C}^\wedge$ admits inductive limits, however, in case $\mathcal{C}$ also admits inductive limits, the Yoneda functor $h^\wedge : \mathcal{C} \to \mathcal{C}^\wedge$ does not commute with such limits. Hence, one denotes by $\underset{\varphi}{\text{lim}}$ the inductive limit in $\mathcal{C}$ and by "$\hat{\text{lim}}$" the inductive limit in $\mathcal{C}^\wedge$.

One denotes by $\text{Ind}(\mathcal{C})$ the category of ind-objects of $\mathcal{C}$, that is the full subcategory of $\mathcal{C}^\wedge$ consisting of objects $F$ such that there exist a small filtrant category $I$ and a functor $\alpha : I \to \mathcal{C}$, with

$$F \simeq \underset{\varphi}{\text{lim}}\alpha, \text{ i.e., } F \simeq \lim_{i \in I} F_i, \text{ with } F_i \in \mathcal{C}.$$  

The category $\mathcal{C}$ is considered as a full subcategory of $\text{Ind}(\mathcal{C})$.

If $\varphi : \mathcal{C} \to \mathcal{C}'$ is a functor, it defines a functor $I\varphi : \text{Ind}(\mathcal{C}) \to \text{Ind}(\mathcal{C}')$ which commutes with "$\lim$".

If $\mathcal{C}$ is an additive category, we denote by $\mathcal{C}(\mathcal{C})$ the category of complexes in $\mathcal{C}$ and by $K(\mathcal{C})$ the associated homotopy category. If $\mathcal{C}$ is abelian, one denotes by $D(\mathcal{C})$ its derived category. One defines as usual the full subcategories $\mathcal{C}^*(\mathcal{C}), K^*(\mathcal{C}), D^*(\mathcal{C})$, with $* = +, -, b$. One denotes by $Q$ the localization functor:

$$Q : K^*(\mathcal{C}) \to D^*(\mathcal{C}).$$

We keep the same notation $Q$ to denote the composition $\mathcal{C}^*(\mathcal{C}) \to K^*(\mathcal{C}) \to D^*(\mathcal{C})$.

Let $a, b \in \mathbb{Z}$ with $a \leq b$. One denotes by $\mathcal{C}^{[a, b]}(\mathcal{C})$ the full subcategory of $\mathcal{C}(\mathcal{C})$ consisting of objects $F^*$ satisfying $F^i = 0$ for $i \notin [a, b]$. There is a natural equivalence

$$\text{Ind}(\mathcal{C}^{[a, b]}(\mathcal{C})) \cong \mathcal{C}^{[a, b]}(\text{Ind}(\mathcal{C})).$$
Ind-sheaves. — Here, $X$ is a Hausdorff locally compact space with a countable base of open sets and $k$ is a field. One denotes by $I(k_X)$ the abelian category of ind-sheaves of $k$-vector spaces on $X$, that is, $I(k_X) = \text{Ind}(\text{Mod}^c(k_X))$, the category of ind-objects of the category $\text{Mod}^c(k_X)$ of sheaves with compact support on $X$. We denote by $D^b(I(k_X))$ the bounded derived category of $I(k_X)$.

There is a natural fully faithful exact functor

$$
\iota_X : \text{Mod}(k_X) \longrightarrow I(k_X),
$$

$$
F \longmapsto \lim_{U \subset X} \text{lim}_{U} F_U (U \text{ open}).
$$

Most of the time, we shall not write this functor and identify $\text{Mod}(k_X)$ with a full abelian subcategory of $I(k_X)$ and $D^b(k_X)$ with a full triangulated subcategory of $D^b(I(k_X))$.

The category $I(k_X)$ admits an internal hom denoted by $\text{Ihom}$ and this functor admits a left adjoint, denoted by $\otimes$. If $F \simeq \lim_{i} F_i$ and $G \simeq \lim_{j} G_j$, then

$$
\text{Ihom}(G, F) \simeq \lim_{j} \lim_{i} \text{Hom}(G_j, F_i)
$$

$$
G \otimes F \simeq \lim_{i} \lim_{j} (G_j \otimes F_i).
$$

The functor $\iota_X$ admits a left adjoint

$$
\alpha_X : I(k_X) \longrightarrow \text{Mod}(k_X),
$$

To $F = \lim_{i} F_i$, this functor associates $\alpha_X(F) = \lim_{i} F_i$. This functor also admits a left adjoint

$$
\beta_X : \text{Mod}(k_X) \longrightarrow I(k_X),
$$

and both functors $\alpha_X$ and $\beta_X$ are exact. The functor $\beta_X$ is not so easy to describe. For example, for an open subset $U$ and a closed subset $Z$, one has;

$$
\beta_X(k_X U) \simeq \lim_{V \subset U} k_X V (V \text{ open}),
$$

$$
\beta_X(k_X Z) \simeq \lim_{Z \subset V} k_X V (V \text{ open}).
$$

One sets

$$
\text{Hom}(G, F) = \alpha_X \text{Ihom}(G, F) \in \text{Mod}(k_X).
$$

One has

$$
\text{Hom}_{I(k_X)}(G, F) = \Gamma(X; \text{Hom}(G, F)).
$$

The functors $\text{Ihom}$ and $\text{Hom}$ are left exact and admit right derived functors $R\text{Ihom}$ and $R\text{Hom}$. 
Let \( f : X \to Y \) be a morphism of topological spaces (\( Y \) satisfies the same assumptions as \( X \)). There are natural functors
\[
\begin{align*}
f^{-1} & : I(k_Y) \to I(k_X), \\
f_* & : I(k_X) \to I(k_Y), \\
f_!! & : I(k_X) \to I(k_Y).
\end{align*}
\]
The proper direct image functor is denoted by \( f_!! \) instead of \( f_! \) because it does not commute with \( \iota \), that is \( \iota_Y f_! \neq f_!! \iota_X \) in general.

These functors induce derived functors, and moreover the functor \( Rf_!! \) admits a right adjoint denoted by \( f^! : \)
\[
\begin{align*}
f^{-1} & : D^b(I(k_Y)) \to D^b(I(k_X)), \\
Rf_* & : D^b(I(k_X)) \to D^b(I(k_Y)), \\
Rf_!! & : D^b(I(k_X)) \to D^b(I(k_Y)), \\
f^! & : D^b(I(k_Y)) \to D^b(I(k_X)).
\end{align*}
\]

Let \( a_X : X \to \{\text{pt}\} \) denote the canonical map. We also introduce a notation. We set
\[
\begin{align*}
\Pi(X; \cdot) &= a_{X_*}(\cdot), \\
RI\Pi(X; \cdot) &= R(a_{X_*}(\cdot)).
\end{align*}
\]

**Ind-sheaves on real manifolds.** — Let \( X \) be a real analytic manifold. Among all ind-sheaves, there are those which are ind-objects of the category of \( \mathbb{R} \)-constructible sheaves, and we shall encounter them in our applications.

We denote by \( \mathbb{R}-C^c(k_X) \) the full abelian subcategory of \( \mathbb{R}-C(k_X) \) consisting of \( \mathbb{R} \)-constructible sheaves with compact support. We set
\[
\mathbb{R}-c(k_X) = \text{Ind}(\mathbb{R}-C^c(k_X))
\]
and denote by \( D^b_{\mathbb{R}-c}(I(k_X)) \) the full subcategory of \( D^b(I(k_X)) \) consisting of objects with cohomology in \( \mathbb{R}-c(k_X) \). (Note that in [6], \( \mathbb{R}-c(k_X) \) was denoted by \( I_{\mathbb{R}-c}(k_X) \).)

**Theorem 2.1.** — The natural functor \( D^b(I\mathbb{R}-c(k_X)) \to D^b_{\mathbb{I}\mathbb{R}-c}(I(k_X)) \) is an equivalence.

There is an alternative construction of \( \mathbb{R}-c(k_X) \), using Grothendieck topologies. Denote by \( \text{Op}_X \) the category of open subsets of \( X \) (the morphisms \( U \to V \) are the inclusions), and by \( \text{Op}_{X_{\text{sa}}} \) its full subcategory consisting of open subanalytic subsets of \( X \). One endows this category with a Grothendieck topology by deciding that a family \( \{U_i\}_i \) in \( \text{Op}_{X_{\text{sa}}} \) is a covering of \( U \in \text{Op}_{X_{\text{sa}}} \) if for any compact subset \( K \) of \( X \), there exists a finite subfamily which covers \( U \cap K \). In other words, we consider families which are locally finite in \( X \). One denotes by \( X_{\text{sa}} \) the site defined by this topology.
Sheaves on $X_{sa}$ are easy to construct. Indeed, consider a presheaf $F$ of $k$-vector spaces defined on the subcategory $\text{Op}^c_{X_{sa}}$ of relatively compact open subanalytic subsets of $X$ and assume that the sequence

$$0 \longrightarrow F(U \cup V) \longrightarrow F(U) \oplus F(V) \longrightarrow F(U \cap V)$$

is exact for any $U$ and $V$ in $\text{Op}^c_{X_{sa}}$. Then there exists a unique sheaf $\tilde{F}$ on $X_{sa}$ such that $\tilde{F}(U) \simeq F(U)$ for all $U \in \text{Op}^c_{X_{sa}}$. Sheaves on $X_{sa}$ define naturally ind-sheaves on $X$. Indeed:

**Theorem 2.2.** — There is a natural equivalence of abelian categories

$$\mathbb{IR}-c(k_X) \sim \text{Mod}(k_{X_{sa}}),$$

given by

$$\mathbb{IR}-c(k_X) \ni F \mapsto (\text{Op}^c_{X_{sa}} \ni U \mapsto \text{Hom}_{\mathbb{IR}-c(k_X)}(k_{XU}, F)).$$

As usual, we denote by $C^\infty_X$ the sheaf of complex-valued functions of class $C^\infty$, by $Db_X$ (resp. $B_X$) the sheaf of Schwartz's distributions (resp. Sato's hyperfunctions), and by $D_X$ the sheaf of analytic finite-order differential operators.

Let $U$ be an open subset of $X$. One sets $C^\infty_X(U) = \Gamma(U; C^\infty_X)$.

**Definition 2.3.** — Let $f \in C^\infty_X(U)$. One says that $f$ has *polynomial growth* at $p \in X$ if it satisfies the following condition. For a local coordinate system $(x_1, \ldots, x_n)$ around $p$, there exist a sufficiently small compact neighborhood $K$ of $p$ and a positive integer $N$ such that

$$\sup_{x \in K \cap U} \left(\text{dist}(x, K \setminus U)\right)^N |f(x)| < \infty.$$  

It is obvious that $f$ has polynomial growth at any point of $U$. We say that $f$ is *tempered* at $p$ if all its derivatives have polynomial growth at $p$. We say that $f$ is tempered if it is tempered at any point.

For an open subanalytic set $U$ in $X$, denote by $C^\infty_{X_{sa}}(U)$ the subspace of $C^\infty_X(U)$ consisting of tempered functions. Denote by $Db^t_X(U)$ the space of tempered distributions on $U$, defined by the exact sequence

$$0 \longrightarrow \Gamma_{X \setminus U}(X; Db_X) \longrightarrow \Gamma(X; Db_X) \longrightarrow Db^t_X(U) \longrightarrow 0.$$ 

It follows from the results of Lojasiewicz [8] that $U \mapsto C^\infty_{X_{sa}}(U)$ and $U \mapsto Db^t_X(U)$ are sheaves on the subanalytic site $X_{sa}$, hence define ind-sheaves.

**Definition 2.4.** — We call $C^\infty_{X_{sa}}$ (resp. $Db^t_X$) the ind-sheaf of tempered $C^\infty$-functions (resp. tempered distributions).

One can also define the ind-sheaf of Whitney $C^\infty$-functions, but we shall not recall here its construction. These ind-sheaves are well-defined in the category $\text{Mod}(\beta_X D_X)$. Roughly speaking, it means that if $P$ is a differential operator defined on the closure $\overline{U}$ of an open subset $U$, then it acts on $C^\infty_{X_{sa}}(U)$ and $Db^t_X(U)$.
Let now $X$ be a complex manifold. We denote by $\overline{X}$ the complex conjugate manifold and by $X^\mathbb{R}$ the underlying real analytic manifold, identified with the diagonal of $X \times \overline{X}$. We denote by $\mathcal{D}_X$ the sheaf of rings of finite-order holomorphic differential operators, not to be confused with $D_{X^\mathbb{R}}$. We set
\[ \mathcal{O}^t_X := R\text{Hom}_{\beta\mathcal{D}_X}(\beta \mathcal{O}_{\overline{X}}, D^b_{X^\mathbb{R}}). \]
One can prove that the natural morphism
\[ R\text{Hom}_{\beta\mathcal{D}_X}(\beta \mathcal{O}_{\overline{X}}, \mathcal{O}^t_X) \rightarrow R\text{Hom}_{\beta\mathcal{D}_X}(\beta \mathcal{O}_{\overline{X}}, D^b_{X^\mathbb{R}}) \]
is an isomorphism. One calls $\mathcal{O}^t_X$ the ind-sheaf of tempered holomorphic functions. One shall be aware that in fact, $\mathcal{O}^t_X$ is not an ind-sheaf but an object of the derived category $D^b(\mathcal{I}((\mathbb{C}_X)))$, or better, of $D^b(\mathcal{X}_X)$. It is not concentrated in degree 0 as soon as $\dim X > 1$.

Let $G \in D^b_{\mathbb{R}^-c}(\mathbb{C}_X)$. It follows from the construction of $\mathcal{O}^t_X$ that:
\[ R\text{Hom}(G, \mathcal{O}^t_X) \simeq \text{THom}(G, \mathcal{O}_X), \]

### 3. Complements of homological algebra

The results of this section are extracted from [7]. Let $\mathcal{C}$ denote an abelian category. We shall study some links between the derived category $D^b(\text{Ind}(\mathcal{C}))$ and the category $\text{Ind}(D^b(\mathcal{C}))$.

**Definition 3.1.** — Let $\mathcal{C}$ be an abelian category. A system of strict $\mathcal{U}$-generators in $\mathcal{C}$ is a $\mathcal{U}$-small family $\{G_i; i \in I\}$ of objects of $\mathcal{C}$ such that for all $X \in \mathcal{C}$ and all $i \in I$, the object $G_i \mathbb{P} \text{Hom}_c(G_i, X)$ exists and for all $X \in \mathcal{C}$, there exists $i \in I$ such that the morphism $G_i \mathbb{P} \text{Hom}_c(G_i, X) \rightarrow X$ is an epimorphism.

In this section, we shall always make the hypothesis
\[ (3.1) \quad \mathcal{C} \text{ has enough injectives and a system of strict generators.} \]
This implies in particular that $D^b(\mathcal{C})$ is a $\mathcal{U}$-category.

We define the functor $J : D^b(\text{Ind}(\mathcal{C})) \rightarrow (D^b(\mathcal{C}))^\wedge$ by setting for $F \in D^b(\text{Ind}(\mathcal{C}))$ and $G \in D^b(\mathcal{C})$
\[ J(F)(G) = \text{Hom}_{D^b(\text{Ind}(\mathcal{C}))}(G, F). \]

**Theorem 3.2**

(i) The functor $J$ takes its values in $\text{Ind}(D^b(\mathcal{C}))$.

(ii) Consider a small and filtrant category $I$, integers $a \leq b$ and a functor $I \rightarrow C^{[a,b]}(\mathbb{C}), i \mapsto F_i$. If $F \in D^b(\text{Ind}(\mathcal{C}))$, $F \simeq Q(\text{lim}_{i} F_i)$ and $G \in D^b(\mathcal{C})$, then:
Lemma 3.3. — Assume that $C$ has finite homological dimension. Let $\varphi: X \to Y$ be a morphism in $\text{Ind}(D^b(C))$ and assume that $\varphi$ induces an isomorphism $IH^k(\varphi): IH^k(X) \to IH^k(Y)$ for every $k \in \mathbb{Z}$. Then $\varphi$ is an isomorphism.

Theorem 3.4. — Let $\psi: D^b(\text{Ind}(C)) \to D^b(\text{Ind}(C'))$ be a triangulated functor which satisfies: if $F \in D^b(\text{Ind}(C))$, $F \simeq \underset{i}{\text{lim}} F_i$ with $F_i \in C^{[a,b]}(C)$, then $H^k\psi(F) \simeq \underset{i}{\text{lim}} H^k(\psi(F_i))$. Assume moreover that the homological dimension of $C'$ is finite. Then there exists a unique functor $J\psi: \text{Ind}(D^b(C)) \to \text{Ind}(D^b(C'))$ which commutes with $\underset{i}{\text{lim}}$ and such that the diagram below commutes:

\[
\begin{array}{ccc}
D^b(\text{Ind}(C)) & \xrightarrow{\psi} & D^b(\text{Ind}(C')) \\
\downarrow & & \downarrow \\
\text{Ind}(D^b(C)) & \xrightarrow{J\psi} & \text{Ind}(D^b(C'))
\end{array}
\]

Remark 3.5. — The functor $J: D^b(\text{Ind}(C)) \to \text{Ind}(D^b(C))$ is neither full nor faithful. Indeed, let $C = \text{Mod}^c(k_X)$ and let $F \in \text{Mod}(k_X)$ considered as a full subcategory of $I(k_X)$. Then

\[
\text{Hom}_{D^b(\text{Ind}(C))}(k_X, F[n]) \simeq H^n(X; F).
\]

On the other hand,

\[
\text{Hom}_{\text{Ind}(D^b(\text{Mod}^c(k_X)))}(J(k_X), J(F[n])) \simeq \underset{U \subset C_X}{\text{lim}} H^n(U; F).
\]

Let $T$ be a full triangulated subcategory $D^b(C)$. One identifies $\text{Ind}(T)$ with a full subcategory of $\text{Ind}(D^b(C))$.

Let $F \in D^b(\text{Ind}(C))$. Let us denote by $T_F$ the category of arrows $G \to F$ in $D^b(\text{Ind}(C))$ with $G \in T$. The category $T_F$ is filtrant.

Lemma 3.6. — For $F \in D^b(\text{Ind}(C))$, the conditions below are equivalent.

(i) $J(F) \in \text{Ind}(T)$,

(ii) for each $k \in \mathbb{Z}$, one has $H^k(F) \simeq \underset{G \to F \in T_F}{\text{lim}} H^k(G)$. 

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Définition 3.7. — Let $T$ be a full triangulated subcategory of $D^b(C)$. One denotes by $J^{-1}\text{Ind}(T)$ the full subcategory of $D^b(\text{Ind}(C))$ consisting of objects $F \in D^b(\text{Ind}(C))$ such that $J(F) \in \text{Ind}(T)$.

Proposition 3.8. — The category $J^{-1}\text{Ind}(T)$ is a triangulated subcategory of $D^b(\text{Ind}(C))$.

We will apply these results to the category $I(k_X) = \text{Ind}(\text{Mod}^c(k_X))$. Hence $J$ is the functor:

$$J : D^b(I(k_X)) \to \text{Ind}(D^b(\text{Mod}^c(k_X))).$$

By the definition one has

$$J(F) \simeq \lim_{U \subset X} J(F_U) \quad \text{for any } F \in D^b(I(k_X)).$$

As a corollary of Theorem 3.4, one gets:

Proposition 3.9. — For $G \in D^b(k_X)$ and $F \in D^b(I(k_X))$, assume that $J(F) \simeq \lim_{i} J(F_i)$ with $F_i \in D^b(k_X)$. Then there are natural isomorphisms:

(3.3) $$J(G \otimes F) \simeq \lim_{i} J(G \otimes F_i),$$

(3.4) $$J(R\text{Ihom}(G, F)) \simeq \lim_{i} J(R\text{Ihom}(G, F_i)).$$

4. Micro-support and regularity

Let $\gamma$ be a closed convex proper cone in an affine space $X$. One denotes by $\gamma^0$ its polar cone,

$$\gamma^0 = \{ \xi \in X^* ; \langle x, \xi \rangle \geq 0 \text{ for all } x \in \gamma \}.$$ 

Let $W \subseteq X$ be an open subset. We introduce the functor $\Phi_{\gamma,W} : D^b(I(k_X)) \to D^b(I(k_X))$ as follows. Denote by $q_1, q_2 : X \times X \to X$ the first and second projections and denote by $s : X \times X \to X$ the map $(x, y) \mapsto x - y$. One sets

$$\Phi_{\gamma,W}(F) = Rq_{1!!}(k_{s^{-1}} \cap q_1^{-1} W \cap q_2^{-1} W \otimes q_2^{-1} F).$$

One writes $\Phi_{\gamma}$ instead of $\Phi_{\gamma,X}$. Define the functor $\Phi_{\gamma,W}^-$ by replacing the kernel $k_{s^{-1}} \cap q_1^{-1} W \cap q_2^{-1} W$ with the complex $k_{s^{-1}} \cap q_1^{-1} W \cap q_2^{-1} W \to k_{s^{-1}}(0)$ in which $k_{s^{-1}}(0)$ is situated in degree 0. We have a distinguished triangle in $D^b(I(k_X))$

$$\Phi_{\gamma,W}(F) \to F \to \Phi_{\gamma,W}^-(F) \oplus 1.$$ 

Note that if $F \in D^b(k_X)$, then

$$\begin{cases}
\text{supp}(\Phi_{\gamma,W}(F)) \subset \overline{W}, \\
\Phi_{\gamma}(F) \to F \text{ is an isomorphism on } X \times \text{Int} \gamma^0, \\
SS(\Phi_{\gamma}(F)) \subset X \times \gamma^0, \\
SS(\Phi_{\gamma,W}^-(F)) \cap W \times \text{Int} \gamma^0 = \emptyset.
\end{cases}$$

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Lemma 4.1. — Let $F \in D^b(I(k_X))$ and let $p \in T^*X$. The conditions (1a)-(4b) below are all equivalent. Moreover, if $F \in D^b_{I_{R-c}}(I(k_X))$, these conditions are equivalent to (5a).

(1a) Assume that for a small and filtrant category $I$, integers $a \leq b$ and a functor $I \to C^{[a,b]}(|\text{Mod}(k_X)|)$, $i \mapsto F_i$ one has $F \simeq \varprojlim_{i \in I} F_i$. Then there exists a conic open neighborhood $U$ of $p$ in $T^*X$ such that for any $i \in I$ there exists a morphism $i \to j$ in $I$ which induces the zero-morphism $0 : F_i \to F_j$ in $D^b(k_X;U)$.

(1b) There exist a conic open neighborhood $U$ of $p$ in $T^*X$, a small and filtrant category $I$, integers $a \leq b$, a functor $I \to D^{[a,b]}(k_X)$, $i \mapsto F_i$, such that $SS(F_i) \cap U = \emptyset$ and $F \simeq \varprojlim_{i \in I} F_i$ in a neighborhood of $\pi(p)$.

(2a) Assume that for a small and filtrant category $I$, integers $a \leq b$ and a functor $I \to D^{[a,b]}(k_X)$, $i \mapsto F_i$ one has $J(F) \simeq \varprojlim_{i \in I} J(F_i)$. Then there exists a conic open neighborhood $U$ of $p$ in $T^*X$ such that for any $i \in I$ there exists a morphism $i \to j$ in $I$ which induces the zero-morphism $0 : F_i \to F_j$ in $D^b(k_X;U)$.

(2b) There exist a conic open neighborhood $U$ of $p$ in $T^*X$, a small and filtrant category $I$, integers $a \leq b$, a functor $I \to D^{[a,b]}(k_X)$, $i \mapsto F_i$ and $F'$ isomorphic to $F$ in neighborhood of $\pi(p)$ such that $SS(F_i) \cap U = \emptyset$ and $J(F') \simeq \varprojlim_{i \in I} J(F_i)$.

(3a) There exists a conic open neighborhood $U$ of $p$ in $T^*X$ such that for any $G \in D^b(k_X)$ with $\text{supp}(G) \subset \pi(U)$, $SS(G) \subset U \cup T^*_X$, one has $\text{Hom}_{D^b(I(k_X))}(G,F) = 0$.

(3b) There exists a conic open neighborhood $U$ of $p$ in $T^*X$ such that for any $G \in D^b(k_X)$ with $\text{supp}(G) \subset \pi(U)$, $SS(G) \subset U^a \cup T^*_X$, one has $R\Gamma(X;G \otimes F) = 0$.

Assume now that $X$ is an affine space and let $p = (x_0;\xi_0)$.

(4a) There exist a relatively compact open neighborhood $W$ of $x_0$ and a closed convex proper cone $\gamma$ with $\xi_0 \in \text{Int} \gamma^0$ such that $\Phi_{\gamma,W}(F) \simeq 0$.

(4b) There exist $F' \in D^b(I(k_X))$ with $F' \simeq F$ in a neighborhood of $x_0$ and $F'$ has compact support, and a closed convex proper cone $\gamma$ as in (4a) such that $\Phi_{\gamma}(F') \simeq 0$ in a neighborhood of $x_0$.

(5a) Same condition as (3a) with $G \in D^b_{I_{R-c}}(k_X)$.

Proof. — The plan of the proof is as follows:

(2a) $\iff$ (3a) $\iff$ (2b) $\iff$ (1a) $\iff$ (5a) $\iff$ (1b) $\iff$ (3b) $\iff$ (4a) $\iff$ (4b)
(2a) $\Rightarrow$ (1a) follows from $F \simeq Q\left(\lim_{i} F_{i}\right) \Rightarrow J(F) \simeq \lim_{i} J(Q(F_{i}))$.

(1a) $\Rightarrow$ (3b). Let $F \simeq Q\left(\lim_{i} F_{i}\right)$ and let $i \in I$. There exists $i \rightarrow j$ such that the morphism $F_{i} \rightarrow F_{j}$ in $D^{b}(k_{X})$ is zero in $D^{b}(k_{X};U)$. Hence, there exists a morphism $F_{j} \rightarrow F_{ij}'$ in $D^{b}(k_{X})$ which is an isomorphism on $U$ and such that the composition $F_{i} \rightarrow F_{j} \rightarrow F_{ij}'$ is the zero-morphism in $D^{b}(k_{X})$. Consider the commutative diagram in which the row on the bottom is a distinguished triangle in $D^{b}(k_{X})$ and $SS(F_{ij}) \cap U = \emptyset$:

$$
\begin{array}{c}
F_{i} \\
\downarrow \\
F_{ij} \rightarrow F_{j} \rightarrow F_{ij}' + 1 \\
\end{array}
$$

Since the arrow $F_{i} \rightarrow F_{ij}'$ is zero, the dotted arrow may be completed, making the diagram commutative. Hence, we may assume from the beginning that for any $i \in I$ there exists $i \rightarrow j$ such that the morphism $F_{i} \rightarrow F_{j}$ factorizes as $F_{i} \rightarrow F_{ij} \rightarrow F_{j}$ with $SS(F_{ij}) \cap U = \emptyset$.

We may assume $X$ is affine and $U = W \times \lambda$ where $W$ is open and relatively compact and $\lambda$ is an open convex cone. Then $SS(G \otimes F_{ij}) \cap U = \emptyset$, and the sheaf $G \otimes F_{ij}$ has compact support. Hence, $R\Gamma(X;G \otimes F_{ij}) \simeq 0$ which implies $\lim_{i} H^{j}R\Gamma(X;G \otimes F) \simeq 0$ for all $j$. We conclude therefore $R\Gamma(X;G \otimes F) \simeq 0$.

(3b) $\Rightarrow$ (4a). Let $F = Q\left(\lim_{i} F_{i}\right)$, with $F_{i} \in C^{a,b}(\mathrm{Mod}(k_{X}))$. Set

$$
H_{\epsilon} = \{x; (x - x_{0}; \xi_{0}) > -\epsilon\}
$$

and let $K \subset \subset \pi(U)$ be a compact neighborhood of $x_{0}$. Then there exist an open convex cone $\gamma$ and an open neighborhood $W$ of $x_{0}$ satisfying the following conditions:

$$
\begin{cases}
W \subset H_{\epsilon} \cap K, \\
(x + \gamma) \cap H_{\epsilon} \subset W \text{ for all } x \in W, \\
\overline{W} \times \gamma^{o} \subset U \cup T_{X}X.
\end{cases}
$$

Set

$$
G_{x} = k(\langle x + \gamma^{a}\rangle \cap H_{\epsilon}), \quad G = \bigoplus_{x \in W} G_{x}.
$$

Since $\mathrm{supp}(G) \subset \subset \pi(U)$ and $SS(G) \subset \overline{W} \times \gamma^{o}$, we get by the hypothesis:

$$
\lim_{i} H^{k}R\Gamma(X;G \otimes F_{i}) \simeq 0.
$$

Hence,

$$
\lim_{i} \left( \bigoplus_{x \in W} H^{k}R\Gamma(X;G_{x} \otimes F_{i}) \right) \simeq 0.
$$
Hence one obtains:
\[
\begin{aligned}
\text{for any } i \in I, \text{ there exists } i \to j \text{ such that } H^k R\Gamma(X; G_x \otimes F_i) \\
\text{is zero for any } x \in W \text{ and any } k \in \mathbb{Z}.
\end{aligned}
\]

On the other-hand,
\[
H^k(\Phi_{\gamma,W}(F_i))_x \simeq H^k R\Gamma(X; G_x \otimes F_i).
\]

Therefore, for any \( i \in I \) there exists \( i \to j \) such that for any \( k \in \mathbb{Z} \), the morphism
\[
H^k(\Phi_{\gamma,W}(F_i)) \to H^k(\Phi_{\gamma,W}(F_j))
\]
is the zero morphism, and this implies
\[
H^k(\Phi_{\gamma,W}(F)) \simeq \lim_{i \to} H^k\Phi_{\gamma,W}(F_i) \simeq 0.
\]

This gives the desired result: \( \Phi_{\gamma,W}(F) = 0 \).

(4a) \implies (4b) is obvious by taking \( F_W \) as \( F' \).

(4b) \implies (1b). Let \( W \) be an open relatively compact neighborhood of \( x_0 \) such that
\[
F|_W \simeq F'|_W \quad \text{and} \quad \Phi_\gamma(F')|_W \simeq 0.
\]

Then one has a distinguished triangle:
\[
Rq_{1\lim}(k_{s^{-1}(\gamma \setminus \{0\}) \cap q^{-1}_1W} \otimes q_2^{-1}F') \longrightarrow \Phi_\gamma(F')_W \longrightarrow F'_W \xrightarrow{+1},
\]
and hence one obtains \( Rq_{1\lim}(k_{s^{-1}(\gamma \setminus \{0\}) \cap q^{-1}_1W} \otimes q_2^{-1}F') \simeq F'_W \). Let \( F' = Q(\lim_{\rightarrow i} F_i) \) with \( F_i \in C^{[a,b]}(\text{Mod}(k_x)) \), and take a finite injective resolution
\[
I \quad \text{of} \quad k_{s^{-1}(\gamma \setminus \{0\}) \cap q^{-1}_1W} \otimes q_2^{-1}F'.
\]
Since \( I \otimes F_i \) is a finite complex of soft sheaves, \( Rq_{1\lim}(k_{s^{-1}(\gamma \setminus \{0\}) \cap q^{-1}_1W} \otimes q_2^{-1}F_i) \) is represented by \( F'_i := q_1(I \otimes q_2^{-1}F_i) \). Hence one has
\[
Rq_{1\lim}(k_{s^{-1}(\gamma \setminus \{0\}) \cap q^{-1}_1W} \otimes q_2^{-1}F') \simeq Q(\lim_{\rightarrow i} F'_i).
\]
Since \( SS(F'_i) \cap W \times \text{Int} \gamma^0 = \emptyset \), we obtain the desired result.

(1b) \implies (2b) is obvious.

(2b) \implies (3a). Let \( J(F) \simeq \lim_{\rightarrow i} J(F_i) \). If \( G \in D^b(k_x) \), we get the isomorphism:
\[
\text{Hom}_{D^b(k_x)}(G, F) \simeq \lim_{\rightarrow i} \text{Hom}_{D^b(k_x)}(G, F_i).
\]

We may assume that \( X \) is affine and \( U = W \times \lambda \) where \( W \) is open and \( \lambda \) is an open convex cone. Then the micro-support of \( R\text{Hom}(G, F_i) \) is contained in \( SS(F_i) + \lambda^a \) and this set does not intersect \( X \times \lambda \). Since \( R\text{Hom}(G, F_i) \) has compact support, \( \text{Hom}(G, F_i) \) is zero.

(3a) \implies (2a). We may assume that \( X \) is affine, \( p = (x_0; \xi_0) \) and \( U = X' \times \text{Int} \gamma^0 \), with \( \xi_0 \in \text{Int} \gamma^0 \) for a neighborhood \( X' \) of \( x_0 \). Let \( V \) be an open neighborhood of \( x_0 \) and let \( W = \{ x; (x - x_0; \xi_0) > -\varepsilon \} \). Then by taking \( V \) and \( \varepsilon \) small enough, the sheaf \( \Phi_\gamma(H_W)_V \) satisfies the condition in (3a) for any \( H \in D^b(k_X) \). Let \( J(F) = \lim_{\rightarrow i} J(F_i) \).
Then \( \lim_i \text{Hom}_D(G, F_i) \simeq 0 \) for any \( G = \Phi_\gamma(H_W)_V \). Let \( i \in I \) and choose \( H = F_i \).

There exists \( i \to j \) such that the composition \( (\Phi_\gamma(F_{iw}))_V \to F_i \to F_j \) is zero. The morphism \( (\Phi_\gamma(F_{iw}))_V \to F_i \) is an isomorphism on \( U' := (V \cap W) \times \text{Int}_\gamma^0 \). Therefore, \( F_i \to F_j \) is zero in \( D^b(k_X; U') \).

(3a) \( \Rightarrow \) (5a) is obvious.

(5a) \( \Rightarrow \) (3b). (Assuming \( F \in D^b_{I_{\mathbb{R}-c}}(\text{I}(k_X))) \). Let \((2a-rc)\) denote the condition \((2a)\) in which one asks moreover that \( F_i \in D^b_{[a,b]}(k_X) \). Define similarly \((1a-rc)\). Then the same proof of \((3a) \Rightarrow (2a) \Rightarrow (1a) \Rightarrow (3b)\) can be applied to show \((5a) \Rightarrow (2a-rc) \Rightarrow (1a-rc) \Rightarrow (3b)\). q.e.d.

**Definition 4.2.** — Let \( F \in D^b(\text{I}(k_X)) \). The micro-support of \( F \), denoted by \( SS(F) \), is the closed conic subset of \( T^*_X \) whose complementary is the set of points \( p \in T^*_X \) such that one of the equivalent conditions in Lemma 4.1 is satisfied.

**Proposition 4.3**

(i) For \( F \in D^b(\text{I}(k_X)) \), one has \( SS(F) \cap T^*_X = \text{supp}(F) \).

(ii) Let \( F \in D^b(k_X) \). Then \( SS(\iota_X F) = SS(F) \).

(iii) Let \( F \in D^b(\text{I}(k_X)) \). Then \( SS(\alpha_X F) \subset SS(F) \).

(iv) Let \( F_1 \to F_2 \to F_3 \overset{+1}{\to} \) be a distinguished triangle in \( D^b(\text{I}(k_X)) \). Then \( SS(F_i) \subset SS(F_j) \cup SS(F_k) \) if \( \{i, j, k\} = \{1, 2, 3\} \).

**Proof**

(i) \( \text{supp}(F) \subset SS(F) \) follows for example from \((1b)\) of Lemma 4.1. The other inclusion is obvious.

(ii) The inclusion \( SS(F) \subset SS(\iota_X F) \) follows from \((2a)\) since \( J(F) \) is \( \text{"lim"} \ F \). The converse inclusion follows from \((1b)\).

(iii) is obvious, using condition \((3b)\).

(iv) is obvious by \((3b)\). q.e.d.

**Remark 4.4.** — Let \( F \in D^b(\text{I}(k_X)) \). It is possible to define another micro-support of \( F \), denoted by \( SS_0(F) \), as follows. Let \( p \in T^*_X \). Then \( p \notin SS_0(F) \) if there exist a small and filtrant category \( I \), integers \( a \leq b \) and a functor \( I \to C^{[a,b]}(\text{Mod}(k_X)) \), \( i \mapsto F_i \), such that \( p \notin SS(F_i) \) and \( F \simeq Q(\text{"lim"} F_i) \) in a neighborhood of \( \pi(p) \). Clearly, \( SS_0(F) \subset SS(F) \). This inclusion is strict in general. (As an example, consider the ind-sheaf given in Proposition 7.3 below.) One checks easily that Proposition 4.3 \((iv)\) still holds when \( SS(F) \) is replaced with \( SS_0(F) \).

**Definition 4.5.** — Let \( \Lambda_i, i \in I \) be a family of closed conic subsets of \( T^*_X \), indexed by the objects of a small and filtrant category \( I \). One sets

\[
\lim_i \Lambda_i = \bigcap_{J \subset I} \bigcup_{j \in J} \Lambda_j,
\]

where \( J \) ranges over the family of cofinal subcategories of \( I \).
In other words, \( p \in T^*X \) does not belong to \( \lim \Lambda_i \) if there exists an open neighborhood \( U \) of \( p \) and a cofinal subset \( J \) of \( I \) such that \( \Lambda_j \cap U = \emptyset \) for every \( j \in J \).

It follows immediately from the definition that if \( J(F) \simeq \lim_{i} J(F_i) \), then

\[
\text{(4.1)} \quad SS(F) \subset \lim_{i} SS(F_i).
\]

It follows from Proposition 3.9 that if \( G \in D^b(k_X) \), one has the inclusions

\[
\text{(4.2)} \quad \begin{cases}
SS(G \otimes F) \subset \lim_{i} (SS(G) \hat{+} SS(F_i)), \\
SS(R\text{Hom}(G, F)) \subset \lim_{i} (SS(G) \hat{+} SS(F_i)).
\end{cases}
\]

**Example 4.6.** — Let \( X = \mathbb{R}^2 \) endowed with coordinates \((x, y)\) and denote by \((x, y; \xi, \eta)\) the associated coordinates on \( T^*X \). Let

\[
Y = \{(x, y); y = 0\},
\]

\[
U = \{(x, y); x^2 < y\},
\]

\[
Z_\varepsilon = \{(x, y); x^2 < y \leq \varepsilon^2\}.
\]

Set \( F_\varepsilon = k_{Z_\varepsilon} \) and \( F = k_U \otimes \beta_X(k_{\{0\}}) \simeq \lim_{\varepsilon} F_\varepsilon \). Then

\[
SS(k_Y) = T^*_Y X = \{(x, y; \xi, \eta); y = \xi = 0\},
\]

\[
SS(F_\varepsilon) = \{(x, y; 0, 0); x^2 \leq y \leq \varepsilon^2\}
\]

\[
\bigcup \{(x, y; \xi, \eta); y = x^2, |x| \leq \varepsilon, \xi = -2x\eta, \eta \leq 0\}
\]

\[
\bigcup \{(x, y; \xi, \eta); y = -\varepsilon^2, |x| \leq \varepsilon, \xi = 0, \eta \leq 0\}
\]

\[
\bigcup \{(x, y; \xi, \eta); 0 \leq \pm \xi \leq -2\varepsilon\eta, \eta \leq 0\},
\]

\[
SS(F) = \{(x, y; \xi, \eta); x = y = \xi = 0, \eta \leq 0\}.
\]

On the other-hand, one has

\[
SS(F) = \lim_{\varepsilon} SS(F_\varepsilon),
\]

\[
R\text{Hom}(k_Y, F) \simeq k_{\{0\}} [-2],
\]

\[
\lim_{\varepsilon} (T^*_Y X \hat{+} SS(F_\varepsilon)) = T^*_\{0\} X,
\]

\[
T^*_Y X \hat{+} SS(F) = \{(x, y; \xi, \eta); x = y = \xi = 0\}
\]

\[
\subset SS(R\text{Hom}(k_Y, F)).
\]

Note that \( SS(F) \) is not involutive.

Recall that subanalytic isotropic subsets of \( T^*X \) are defined in [4]. Let us say for short that a conic locally closed subset \( \Lambda \) of \( T^*X \) is isotropic if \( \Lambda \) is contained in a conic locally closed subanalytic isotropic subset.
Definition 4.7

(i) We denote by $D^b_{w-R-c}(I(k_X))$ the full triangulated subcategory of $D^b_{R-c}(I(k_X))$ consisting of objects $F$ such that $SS(F)$ is isotropic. We call an object of this category a weakly $\mathbb{R}$-constructible ind-sheaf.

(ii) We denote by $D^b_{R-c}(I(k_X))$ the full triangulated subcategory of $D^b_{w-R-c}(I(k_X))$ consisting of objects $F$ such that $R\text{Hom}(G, F) \in D^b_{R-c}(k_X)$ for any $G \in D^b_{R-c}(k_X)$. We call an object of this category an $\mathbb{R}$-constructible ind-sheaf.

Note that the functor $\alpha_X$ induces functors

$$
\alpha_X : D^b_{w-R-c}(I(k_X)) \longrightarrow D^b_{w-R-c}(k_X),
\alpha_X : D^b_{R-c}(I(k_X)) \longrightarrow D^b_{R-c}(k_X).
$$

The last property follows from $\alpha_X(F) = R\text{Hom}(C_X, F)$.

Conjecture 4.8. — Let $F \in D^b_{w-R-c}(I(k_X))$ and let $G \in D^b_{w-R-c}(k_X)$. Then $R\text{Hom}(G, F)$ and $G \otimes F$ belong to $D^b_{w-R-c}(I(k_X))$.

Example 4.6 shows that the knowledge of $SS(F)$ and $SS(G)$ does not allow us to estimate the micro-support of $R\text{Hom}(G, F)$ by the one for sheaves, and that is one reason for the definition below.

Definition 4.9. — Let $F \in D^b(I(k_X))$.

(i) Let $S \subset T^*X$ be a locally closed conic subset and let $p \in T^*X$. We say that $F$ is regular along $S$ at $p$ if there exist $F'$ isomorphic to $F$ in a neighborhood of $\pi(p)$, an open neighborhood $U$ of $p$ with $S \cap U$ closed in $U$, a small and filtrant category $\mathcal{J}$ and a functor $\mathcal{J}(F') \longrightarrow D^b_{w-R-c}(k_X)$ such that $J(F') \sim \lim_{i} J(F_i)$ and $SS(F_i) \cap U \subset S$.

(ii) If $U$ is an open subset of $T^*X$ and $F$ is regular along $S$ at each $p \in U$, we say that $F$ is regular along $S$ on $U$.

(iii) Let $p \in T^*X$. We say that $F$ is regular at $p$ if $F$ is regular along $SS(F)$ at $p$.

(iv) We denote by $SS_{\text{reg}}(F)$ the conic open subset of $SS(F)$ consisting of points $p$ such that $F$ is regular at $p$, and we set $SS_{\text{irr}}(F) = SS(F) \setminus SS_{\text{reg}}(F)$.

Note that $SS_{\text{irr}}(F) = SS(F)$ for $F$ in Example 4.6.

Proposition 4.10

(i) Let $F \in D^b(I(k_X))$. Then $F$ is regular along any locally closed set $S$ at each $p \notin SS(F)$.

(ii) Let $F_1 \to F_2 \to F_3 \to F_1$ be a distinguished triangle in $D^b(I(k_X))$. If $F_j$ and $F_k$ are regular along $S$, so is $F_i$ for $\{i, j, k\} = \{1, 2, 3\}$.

(iii) Let $F \in D^b(k_X)$. Then $i_X F$ is regular.
Proof. — (i) and (iii) are obvious and the proof of (ii) is similar to that of Proposition 4.3 (iv).

q.e.d.

It is possible to localize the category $D^b(I(k_X))$ with respect to the micro-support, exactly as for usual sheaves.

Let $V$ be a subset of $T^*X$ and let $\Omega = T^*X \setminus V$. We shall denote by $D^b_V(k_X)$ the full triangulated subcategory of $D^b(k_X)$ consisting of objects $F$ such that $SS(F) \subset V$, and by $D^b(k_X; \Omega)$ the localization of $D^b(k_X)$ by $D^b_V(k_X)$.

Similarly, we denote by $D^b_V(I(k_X))$ the full triangulated subcategory of $D^b(I(k_X))$ consisting of objects $F$ such that $SS(F) \subset V$.

**Definition 4.11.** — One sets

$$D^b(I(k_X; \Omega)) = D^b(I(k_X))/D^b_V(I(k_X)),$$

the localization of $D^b(I(k_X))$ by $D^b_V(I(k_X))$.

Let $F_1$ and $F_2$ are two objects of $D^b(I(k_X))$ whose images in $D^b(I(k_X; \Omega))$ are isomorphic. There exist a third object $F_3 \in D^b(I(k_X; \Omega))$ and distinguished triangles in $D^b(I(k_X))$: $F_i \to F_3 \to G_i \to +1$ (i = 1, 2) such that $SS(G_i) \cap \Omega = \emptyset$. It follows that $SS(F_1) \cap \Omega = SS(F_3) \cap \Omega = SS(F_2) \cap \Omega$.

Therefore if $F \in D^b(I(k_X; \Omega))$, the subsets $SS(F)$ and $SS_{\text{irr}}(F)$ of $\Omega$ are well-defined.

5. Invariance by contact transformations

It is possible to define contact transformations on ind-sheaves. We shall follow the notations in [4] Chapter VII.

We denote by $p_1$ and $p_2$ the first and second projections defined on $T^*(X \times Y) \simeq T^*X \times T^*Y$, and we denote by $p_2^*$ the composition of $p_2$ with the antipodal map on $T^*Y$.

We denote by $r: X \times Y \to Y \times X$ the canonical map and we keep the same notation to denote its inverse.

By a kernel $K$ on $X \times Y$ we mean an object of $D^b(k_{X \times Y})$. To a kernel $K$ one associates the kernel on $Y \times X$

$$K^* := r_*R\text{Hom}(K, \omega_{X \times Y/Y}).$$

One defines the functor

$$(5.1) \quad \Phi_K: D^b(k_Y) \to D^b(k_X) \quad G \mapsto Rq_{1!}(K \otimes q_2^{-1}G).$$

Consider another manifold $Z$ and a kernel $L$ on $Y \times Z$. One defines the projection $q_{12}$ from $X \times Y \times Z$ to $X \times Y$, and similarly with $q_{23}, q_{13}$.
One sets

\[ K \circ L = Rq_{13!}(q_{12}^{-1}K \otimes q_{23}^{-1}L). \]

Choosing \( Z = \{ \text{pt} \} \), one has \( \Phi_K(G) = K \circ G \) for \( G \in D^b(k_Y) \).

Let \( \Omega_X \) and \( \Omega_Y \) be two conic open subsets of \( T^*X \) and \( T^*Y \), respectively. One denotes by \( N(\Omega_X, \Omega_Y) \) the full subcategory of \( D^b(k_{X,Y}; \Omega_X \times T^*Y) \) of objects \( K \) satisfying;

\[
\left\{ \begin{align*}
SS(K) \cap (\Omega_X \times T^*Y) &\subset \Omega_X \times \Omega^*_Y, \\
p_1: SS(K) \cap (\Omega_X \times T^*Y) &\rightarrow \Omega_X \text{ is proper.}
\end{align*} \right.
\]

Let us recall some results of loc. cit.

(i) Let \( K \in N(\Omega_X, \Omega_Y) \). Then the functor \( \Phi_K \) induces a well-defined functor:

\[
\Phi^{\mu}_{K} : D^b(k_Y; \Omega_Y) \rightarrow D^b(k_X; \Omega_X).
\]

(ii) Let \( L \in N(\Omega_Y, \Omega_Z) \). Then \( K \circ L \in N(\Omega_X, \Omega_Z) \). Moreover, the two functors \( \Phi^{\mu}_{K \circ L} \) and \( \Phi^{\mu}_{L \circ K} \) from \( D^b(k_Z; \Omega_Z) \) to \( D^b(k_X; \Omega_X) \) are isomorphic.

We construct the functor analogous to the functor \( \Phi_K \) for ind-sheaves by defining

\[
\Phi_K : D^b(I(k_Y)) \rightarrow D^b(I(k_X)) \quad \text{for} \quad G \mapsto Rq_{11!}(K \otimes q_2^{-1}G).
\]

Applying Theorem 3.4, we get:

**Lemma 5.1.** — Let \( G \in D^b(I(k_Y)) \) and assume that \( J(G) \simeq \lim\limits_{i} J(G_i) \), with \( I \) small and filtrant and \( G_i \in D^b(k_Y) \). Then \( J(\Phi_K(G)) \simeq \lim\limits_{i} J(\Phi_K(G_i)) \).

Now assume that \( \dim X = \dim Y \) and that there exists a smooth conic Lagrangian submanifold \( \Lambda \subset \Omega_X \times \Omega^*_Y \) such that \( p_1 : \Lambda \rightarrow \Omega_X \) and \( p_2 : \Lambda \rightarrow \Omega_Y \) are isomorphisms.

Let \( K \) be a kernel satisfying the assumptions of Theorem 7.2.1 of loc. cit., that is:

\[
\left\{ \begin{align*}
K &\text{ is cohomologically constructible,} \\
(p_1^{-1}(\Omega_X) \cup p_2^{-1}(\Omega_Y)) \cap SS(K) &\subset \Lambda, \\
k_\Lambda &\sim \mu_{\text{hom}}(K, K) \quad \text{on} \quad \Omega_X \times \Omega^*_Y.
\end{align*} \right.
\]

**Theorem 5.2.** — Assume (5.5).

(i) The functor \( \Phi_K \) induces a well-defined functor:

\[
\tilde{\Phi}^{\mu}_{K} : D^b(I(k_Y; \Omega_Y)) \rightarrow D^b(I(k_X; \Omega_X)).
\]

Similarly, the functor \( \Phi_K \) induces a well-defined functor:

\[
\tilde{\Phi}^{\mu}_{K} : D^b(I(k_X; \Omega_X)) \rightarrow D^b(I(k_Y; \Omega_Y)).
\]
(ii) The functor
\[ \Phi^\mu_k : D^b(I(k_Y; \Omega_Y)) \to D^b(I(k_X; \Omega_X)) \]
and the functor
\[ \Phi^{\mu*}_k : D^b(I(k_X; \Omega_X)) \to D^b(I(k_Y; \Omega_Y)) \]
are equivalences of categories inverse one to each other.

(iii) If \( G \in D^b(I(k_Y)) \), then \( SS(\Phi_K(G)) \cap \Omega_X = \chi(\Omega_Y \cap \Omega_Y) \).

(iv) If \( G \) is regular at \( p \in \Omega_Y \), then \( \Phi_K(G) \) is regular at \( \chi(p) \in \Omega_X \). In other words, \( SS_{\text{irr}}(\Phi_K(G)) \cap \Omega_X = \chi(\Omega_Y \cap \Omega_Y) \).

Proof
(i) Let \( G \in D^b(I(k_Y)) \) and assume that \( SS(G) \cap \Omega_Y = \emptyset \). Let us prove that \( SS(\Phi_K(G)) \cap \Omega_X = \emptyset \). Let \( p_X \in \Omega_X \) and let \( p_Y = \chi^{-1}(p_X) \). There exist an open neighborhood \( U_Y \) of \( p_Y \) in \( \Omega_Y \) and an inductive system such that \( J(G) \simeq \lim_{i \in I} J(G_i) \), and for any \( i \in I \) there exists \( i \to j \) such that the morphism \( G_i \to G_j \) is zero in \( D^b(k_X; U_X) \). Applying Lemma 5.1 we find that \( J(\Phi_K(G)) \simeq \lim_{i \in I} J(\Phi_K(G_i)) \). Since the morphism \( \Phi_K(G_i) \to \Phi_K(G_j) \) is zero in \( D^b(k_X; U_X) \), the result follows.

(ii) One has the isomorphism \( K \circ K^* \simeq k_{\Delta} \) in \( N(\Omega_Y, \Omega_Y) \) and the isomorphism \( K^* \circ K \simeq k_{\Delta} \) in \( N(\Omega_Y, \Omega_Y) \). Hence, it is enough to remark that
\[
(5.6) \quad \tilde{\Phi}^\mu_k \circ \tilde{\Phi}^{\mu*}_k \simeq \tilde{\Phi}^\mu_{K \circ K^*},
\]
which follows from the fact that the two functors \( \tilde{\Phi}_K \circ \tilde{\Phi}_{K^*} \) and \( \tilde{\Phi}_{K \circ K^*} \), from \( D^b(I(k_X)) \) to \( D^b(I(k_X)) \) are isomorphic.

(iii) For an open subset \( U_Y \subseteq \Omega_Y \), set \( U_X = \chi(U_Y) \). Then \( K \in N(U_X, U_Y) \) and \( K \) satisfies (5.5) with \( \Omega \) replaced with \( U \). Let \( G \in D^b(I(k_Y)) \) with \( SS(G) = \emptyset \) in a neighborhood of \( p_Y \in \Omega_Y \). By the proof of (i), \( SS(\Phi_K(G)) = \emptyset \) in a neighborhood of \( \chi(p_Y) \).

(iv) The proof is similar to that of (iii).

6. Ind-sheaves and \( \mathcal{D} \)-modules

Let now \( X \) be a complex manifold and let \( \mathcal{M} \) be a coherent \( \mathcal{D}_X \)-module. We set for short
\[
\text{Sol}(\mathcal{M}) = R\text{Hom}_{\mathcal{D}_X}(\mathcal{M}, \mathcal{O}_X),
\]
\[
\text{Sol}^L(\mathcal{M}) = R\text{Hom}_{\mathcal{D}_X}^L(\mathcal{M}, \mathcal{O}_X).
\]

Theorem 6.1. — One has
\[
SS(\text{Sol}^L(\mathcal{M})) = \text{char}(\mathcal{M}).
\]
Proof

(i) The inclusion $\text{char}(\mathcal{M}) \subset SS(Sol^t(\mathcal{M}))$ follows from

$$SS(Sol(\mathcal{M})) = \text{char}(\mathcal{M}), \quad \alpha_X(Sol^t(\mathcal{M})) \simeq Sol(\mathcal{M}).$$

and Proposition 4.3 (ii).

(ii) Let us prove the converse inclusion using condition (5a) of Lemma 4.1. Assume that $G \in D^b_{\mathbb{R}-c}(\mathbb{C}_X)$ satisfy $SS(G) \cap \text{char}(\mathcal{M}) \subset T^*_X X$. One has the morphisms

$$R\text{Hom}(G, R\text{Hom}_{\beta_X \mathcal{D}_X}(\beta_X \mathcal{M}, \mathcal{O}^t_X)) \simeq R\text{Hom}_{\mathcal{D}_X}(\mathcal{M}, T\text{Hom}(G, \mathcal{O}_X))$$

$$\rightarrow R\text{Hom}_{\mathcal{D}_X}(\mathcal{M}, R\text{Hom}(G, \mathcal{O}_X)).$$

It follows from [1, Corollary 4.2.5] that the second morphism is an isomorphism. Hence the result follows from $SS(Sol(\mathcal{M})) = \text{char}(\mathcal{M})$ and Lemma 4.1 (5a). q.e.d.

The following conjecture is a consequence of Conjecture 4.8.

**Conjecture 6.2.** — If $\mathcal{M}$ is a holonomic $\mathcal{D}_X$-module, then $Sol^t(\mathcal{M})$ belongs to $D^b_{\mathbb{R}-c}(\mathbb{C}_X)$.

**Theorem 6.3.** — If $\mathcal{M}$ is a regular holonomic $\mathcal{D}_X$-module, then $Sol^t(\mathcal{M}) \rightarrow Sol(\mathcal{M})$ is an isomorphism.

**Proof.** — This is a reformulation of a result of [2] which asserts that for any $G \in D^b_{\mathbb{R}-c}(\mathbb{C}_X)$, the natural morphism

$$R\text{Hom}_{\mathcal{D}_X}(\mathcal{M}, T\text{Hom}(G, \mathcal{O}_X)) \rightarrow R\text{Hom}_{\mathcal{D}_X}(\mathcal{M}, R\text{Hom}(G, \mathcal{O}_X))$$

is an isomorphism. q.e.d.

We conjecture the following statement in which “only if” part is a consequence of the theorem above.

**Conjecture 6.4.** — Let $\mathcal{M}$ be a holonomic $\mathcal{D}_X$-module. Then $\mathcal{M}$ is regular holonomic if and only if $Sol^t(\mathcal{M})$ is regular.

### 7. An example

In this section $X = \mathbb{C}$ endowed with the holomorphic coordinate $z$, and we shall study the ind-sheaf of temperate holomorphic solutions of the $\mathcal{D}_X$-module $\mathcal{M} := \mathcal{D}_X \exp(1/z) = \mathcal{D}_X(z^2 \partial_z + 1)$. We set for short

$$S^t := H^0(Sol^t(\mathcal{M})) \simeq \text{Hom}_{\beta_X \mathcal{D}_X}(\beta_X \mathcal{M}, \mathcal{O}^t_X),$$

$$S := H^0(Sol(\mathcal{M})) \simeq \text{Hom}_{\mathcal{D}_X}(\mathcal{M}, \mathcal{O}_X).$$

Notice first that $\mathcal{O}^t_X$ is concentrated in degree 0 (since dim $X = 1$), and it is a sub-ind-sheaf of $\mathcal{O}_X$. It follows that the morphism $S^t \rightarrow S$ is a monomorphism.
Moreover,

\[ S \simeq C_{X,X \setminus \{0\}} \cdot \exp(1/z). \]

**Lemma 7.1.** — Let \( V \subset X \) be a connected open subset. Then \( \Gamma(V; S^t) \neq 0 \) if and only if \( V \subset X \setminus \{0\} \) and \( \exp(1/z)|_V \) is tempered.

**Proof.** — The space \( \Gamma(V; S) \) has dimension one and is generated by the function \( \exp(1/z) \). Hence, the subspace \( \Gamma(V; S^t) \simeq \Gamma(V; S) \cap \Gamma(V; O^t) \) is not zero if and only if \( \exp(1/z) \in \Gamma(V; O^t_X) \), that is, if and only if \( \exp(1/z)|_V \) is tempered. q.e.d.

Let us set \( z = x + iy \).

**Lemma 7.2.** — Let \( W \) be an open subanalytic subset of \( \mathbb{P}^1(\mathbb{C}) \) with \( \infty \notin W \). Assume that there exist positive constants \( C \) and \( A \) such that

\[ \exp(x) < C(1 + x^2 + y^2)^N \]

on \( W \). Then there exists a constant \( B \) such that \( x < B \) on \( W \).

**Proof.** — We shall compactify \( \mathbb{C} \subset \mathbb{P}^1(\mathbb{C}) \) by \( (\mathbb{R} \cup \{\infty\})^2 \). If \( x \) is not bounded on \( W \), then there exists a real analytic curve \( \gamma: [0, \varepsilon] \rightarrow (\mathbb{R} \cup \{\infty\})^2 \) such that \( \text{Re} \gamma(0) = \infty \) and \( \gamma(t) \in W \) for \( t > 0 \). Writing \( \gamma(t) = (x(t), y(t)) \), one has

\[ y(t) = cx(t)^q + O(x(t)^{q-\varepsilon}) \]

for some \( q \in \mathbb{Q} \), \( c \in \mathbb{R} \) and \( \varepsilon > 0 \). Then (7.1) implies that \( \exp(x) \) has a polynomial growth when \( x \rightarrow \infty \), which is a contradiction. q.e.d.

Let \( \overline{B}_\varepsilon \) denote the closed ball with center \((\varepsilon, 0)\) and radius \( \varepsilon \) and set \( U_\varepsilon = X \setminus \overline{B}_\varepsilon \).

**Proposition 7.3.** — One has the isomorphism

\[ \lim_{\varepsilon \to 0} C_{X U_\varepsilon} \sim \Theta \text{hom}_{\beta_X D_X}(\beta_X M, O^t_X). \]

**Proof.** — It follows from Lemma 7.2 that \( \exp(1/z) \) is temperate (in a neighborhood of 0) on an open subanalytic subset \( V \subset X \setminus \{0\} \) if and only if \( \text{Re}(1/z) \) is bounded on \( V \), that is, if and only if \( V \subset U_\varepsilon \) for some \( \varepsilon > 0 \).

Let \( V \) be a connected relatively compact subanalytic open subset of \( X \setminus \{0\} \). Then a morphism \( \mathbb{C}_V \to C_{X \setminus \{0\}} \cdot \exp(1/z) \) factorizes through a morphism \( \mathbb{C}_V \to S^t \) if and only if it factorizes through \( C_{U_\varepsilon} \). Hence we get the isomorphism (7.2) by Theorem 2.2. q.e.d.

**Remark 7.4.** — In fact one can show

\[ H^1(Sol^t(M)) \sim H^1(Sol(M)) \simeq \mathbb{C}_0. \]

The isomorphism \( H^1(Sol(M)) = \mathcal{O}_X/(z^2 \partial_z + 1) \mathcal{O}_X \sim \mathbb{C}_0 \) is given by

\[ (\mathcal{O}_X)_0 \ni v(z) \mapsto \int v(z)z^{-2}\exp(-1/z)dz. \]

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Note that $\varphi(z):=z^{-2}\exp(-1/z)$ is a solution to the adjoint equation

$$(-\partial_z z^2 + 1)\varphi(z) = 0.$$ 

The distinguished triangle

$$S^t \rightarrow \text{Sol}^t(\mathcal{M}) \rightarrow H^1(\text{Sol}^t(\mathcal{M}))[−1] \xrightarrow{+1}$$

gives a non-zero element of $\text{Ext}^2(C_0,S^t) \sim \text{Ext}^2(C_0,C_X) \simeq \mathbb{C}$.

References