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## The explicit reciprocity law of Bloch-Kato

Ehud de Shalit

**Introduction.** In §2 of their paper [B-K] Bloch and Kato proved a remarkable theorem relating the Coates-Wiles homomorphisms, which play an important role in the theory of cyclotomic fields, to the structure of Fontaine's ring  $B_{\text{cris}}$  ([F2], [F-M]). This theorem is one of the two ingredients in the proof of the "Tamagawa number conjecture" for the motive  $\mathbb{Q}(r)$ ,  $r$  even and positive. (Cf. [B-K], §6. The other ingredient is the Main Conjecture of Iwasawa theory, proved by Mazur and Wiles.)

Starting from  $B_{\text{cris}}$ , and using the "fundamental exact sequence" (see below), one constructs, for each  $r \geq 1$ , a canonical class in  $H^1(\mathbb{Q}_p, \mathbb{Q}_p(r))$ . (We write  $H^i(K, M)$  for  $H^i(\text{Gal}(\bar{K}/K), M)$ .) The theorem of Bloch and Kato identifies this class essentially as the  $r^{\text{th}}$  Coates-Wiles homomorphism. In §2 of [B-K] the authors reduce their theorem to the case  $r=1$ . This case, in turn, follows from more general "explicit reciprocity laws", proven in [K].

The proofs in [B-K] and [K] are difficult, and use the relation between  $B_{\text{cris}}$  and crystalline cohomology, Fontaine's syntomic cohomology, and the main results of Fontaine-Messing. In our attempt to understand them, we found a simpler proof of the case  $r=1$ , where we deduce the theorem directly from the explicit reciprocity laws of Artin-Hasse and Iwasawa. We have somewhat simplified the presentation of the general case too, although mainly in style, and not in substance. Perrin-Riou ([P], prop. 3.4(i)) found another way to reduce the general case to the case  $r=1$ .

The proof given below might cover  $p=2$  too, which was excluded from the discussion in [B-K]. Strictly speaking, §9 relies on part III of [F-M], where  $p=2$  causes some difficulties. (Elsewhere, e.g. in the case  $r=1$  of the main theorem,  $p=2$  is not a problem.) However, the results needed here should extend to  $p=2$ . In particular, lemma 8.2, which is of "qualitative" rather than "quantitative" nature (and which is the only troublesome point) should remain valid. We hope that when the details of [F-M] finally appear, they will allow us to include  $p=2$ . This should help to eliminate the unknown powers of 2 in theorem 6.1(i) of [B-K].

Chapter I (§1-§4) is devoted to a preliminary study of the ring  $A_{\text{cris}}$ . In §4 we show how to derive the "fundamental exact sequence". Despite its importance for the constructions of [B-K], the proof of the right-exactness of this sequence was unavailable in print until now. (In [F-M] the authors only say that it is done by "explicit laborious computations", but their notes on the proof were never made public<sup>1</sup>.)

Chapter II (§5-§9) contains the proof of the theorem of Bloch and Kato along the lines discussed above.

Chapter III contains the seeds to generalizations to other Lubin-Tate formal groups (in the spirit of [W]). The author hopes to expand on this in a future paper. Recently, K. Kato kindly informed the author that he had generalized his work to any Lubin-Tate group, but in a direction that seems different than the path taken in chapter III.

**Acknowledgements.** Chapters I and II of this paper are based entirely on the work of others, mainly J.-M. Fontaine and K. Kato, and except for the presentation, we claim no originality on our part. This work was written while the author was visiting Princeton University. He would like to thank the department of mathematics for its support, and A. Wiles for many pleasant discussions.

## I. The ring $B_{\text{cris}}$ and the fundamental exact sequence

1. **The ring R.** The construction of the ring R (resp.  $A_{\text{cris}}$  and  $B_{\text{cris}}$ ) reviewed below is due to Fontaine and Wintenberger (resp. Fontaine, see [F-M] ch.I, §1 and the references therein). One should think of  $B_{\text{cris}}$  as the ring of all  $p$ -adic periods of motives with good reduction over the maximal unramified extension of  $\mathbb{Q}_p$ .

Let  $p$  be a prime number, and  $\overline{\mathbb{Q}}_p$  an algebraic closure of the  $p$ -adic numbers. Let  $R$  be the "perfection" of the ring  $\mathcal{O}(\overline{\mathbb{Q}}_p)/p\mathcal{O}(\overline{\mathbb{Q}}_p)$ ,

$$(1) \quad R = \lim_{\leftarrow} \mathcal{O}(\overline{\mathbb{Q}}_p)/p\mathcal{O}(\overline{\mathbb{Q}}_p)$$

the inverse limit taken with respect to the Frobenius map of raising to power  $p$ . Clearly  $R$  is an integral domain in characteristic  $p$ , on which

<sup>1</sup> The referee has pointed out that a proof of the fundamental exact sequence will appear in [F4], and some of the ideas involved may be found also in [F3].

Frobenius  $\phi$  is bijective. If  $x=(x_0,x_1,\dots)\in R$ , where  $x_i\in\mathcal{O}(\overline{\mathbb{Q}}_p)/p\mathcal{O}(\overline{\mathbb{Q}}_p)$  and  $x_i^p=x_{i-1}$ , let  $\hat{x}_i$  be any representative of  $x_i$  in  $\mathcal{O}(\overline{\mathbb{Q}}_p)$ , and define  $x^{(i)}=\lim_n \hat{x}_{i+n} p^n \in \mathcal{O}(\mathbb{C}_p)$ . Here  $\mathbb{C}_p$  is the completion of  $\overline{\mathbb{Q}}_p$ . It is easy to see that the limit exists, is independent of the choice of representatives, and that the association  $x \mapsto (x^{(0)}, x^{(1)}, \dots)$  identifies  $R$  as a set (and as a multiplicative monoid) with the set of all infinite series in  $\mathcal{O}(\mathbb{C}_p)$  such that  $(x^{(i)})^p = x^{(i-1)}$ .

For  $x \in R$ , define  $v_R(x) = v_p(x^{(0)})$ , where  $v_p$  is the  $p$ -adic valuation, normalized by  $v_p(p) = 1$ . Then  $R$  becomes a complete valuation ring, whose residue field is  $\overline{\mathbb{F}}_p$ . Let  $\zeta$  be an element of  $R$  such that  $\zeta^{(0)} = 1$ ,  $\zeta^{(1)} \neq 1$ . Then  $\mathbb{F}_p[[\zeta-1]] \subseteq R$ , the field of fractions of  $R$  contains a separable closure  $\mathbb{F}_p((\zeta-1))^{\text{sep}}$  of  $\mathbb{F}_p((\zeta-1))$ , and is identified with its completion. In particular,  $R$  is integrally closed.

**2. Witt vectors over  $R$ .** Let  $W(R)$  be the ring of Witt vectors over  $R$ . For  $a \in R$  let  $[a] = (a, 0, 0, \dots) \in W(R)$  be its Teichmüller representative. Since the absolute Frobenius homomorphism  $\phi$  is bijective on  $R$ , every element of  $W(R)$  has a unique expression in the form

$$(2) \quad \alpha = (a_0, a_1 p, a_2 p^2, \dots) = \sum_{0 \leq n < \infty} p^n [a_n].$$

Define the map  $\theta : W(R) \rightarrow \mathcal{O}(\mathbb{C}_p)$  as

$$(3) \quad \theta(\alpha) = \sum_{0 \leq n < \infty} p^n a_n^{(0)}.$$

Then  $\theta$  is a surjective ring homomorphism. Indeed,  $\theta$  is already surjective when restricted to the set of Teichmüller representatives, because  $\theta([a]) = a^{(0)}$  is arbitrary, a fact that will be used below. That  $\theta$  is a homomorphism follows directly from the way addition and multiplication are defined in  $W(R)$  ([S], ch. 2 §6).

Let  $J = \text{Ker}(\theta)$ . Then  $J$  is a *principal* ideal, generated by any  $\alpha$  as in (2), for which  $\theta(\alpha) = 0$  and  $a_1^{(0)} \in \mathcal{O}(\mathbb{C}_p)^\times$ . The proof is not difficult. See [F1], proposition 2.4.

The Frobenius of  $W(R)$ , still denoted  $\phi$ , is bijective. It preserves

$J+pW(R)$ , but not  $J$ . The Galois group  $\text{Gal}(\overline{\mathbb{Q}_p}/\mathbb{Q}_p)$  acts by functoriality on  $R$  and on  $W(R)$ , and commutes with  $\phi$  and  $\theta$ .

**Lemma 2.1** (i) The elements of  $W(R)$  satisfying  $\phi(\alpha)=\alpha^p$  are precisely the Teichmuller representatives  $[a]$ ,  $a \in R$ .

(ii) For  $a \in R$ ,  $\theta\phi^{-n}([a])=a^{(n)}$ .

*Proof.* The first assertion is a general and well known property of Witt vectors. The second follows from the fact that for  $x \in R$ ,  $\phi^{-n}(x)^{(m)}=x^{(m+n)}$ .  $\square$

For each  $n \geq 0$ , let  $\zeta_n$  be a primitive  $p^n$  root of 1 in  $\overline{\mathbb{Q}_p}$ , such that  $\zeta_n^p = \zeta_{n-1}$ . The element  $\zeta \in R$  for which  $\zeta^{(n)} = \zeta_n$  gives a generator  $\varepsilon = [\zeta] \in W(R)$  of a "multiplicative Tate module"  $\varepsilon^{\mathbb{Z}_p} \subseteq W(R)^\times$ . Put  $K_n = \mathbb{Q}_p(\zeta_n)$ , and  $K_\infty = \cup K_n$ .

**Lemma 2.2** The following sequence of multiplicative groups is exact:

$$(4) \quad 0 \longrightarrow \varepsilon^{\mathbb{Z}_p} \xrightarrow{p-\phi} 1+J \longrightarrow 1+pW(R) \longrightarrow 0.$$

*Proof.* If  $\beta \in W(R)^\times$ ,  $\alpha = \beta^{p-\phi} \in 1+pW(R)$ , and by successive approximations one checks that every element  $\alpha$  of  $1+pW(R)$  is of this form. Choose  $r \in R$  such that  $r^{(0)} = \theta(\beta)$ . Then  $\beta/[r] \in 1+J$ , but still  $\alpha = (\beta/[r])^{p-\phi}$ . This proves the surjectivity of  $p-\phi$ . If  $\beta^{p-\phi} = 1$ , by lemma 1(i)  $\beta = [r]$ , and since  $r^{(0)} = \theta(\beta) = 1$ ,  $r$  is a  $p$ -adic power of  $\varepsilon$ .  $\square$

*Remark.* When  $p=2$ ,  $-1 \in 1+pW(R)$ , so lemma 2 shows that  $\varepsilon$  has a square root  $\sqrt{\varepsilon} \in 1+J$ . Since  $-1$  is not in  $1+J$ , this square root is unique.

**3. Divided powers.** Let  $A'_{\text{cris}}$  be the divided power envelope of  $W(R)$  with respect to  $J$ . If  $\gamma$  is a generator of  $J$ ,  $A'_{\text{cris}} = W(R)[\gamma^2/2!, \gamma^3/3!, \dots] \subseteq W(R) \otimes \mathbb{Q}$ . Let  $A_{\text{cris}}$  be the completion of  $A'_{\text{cris}}$  in the  $p$ -adic topology (it is easy to see that  $A'_{\text{cris}}$  is separated, so it embeds in  $A_{\text{cris}}$ ):

$$(5) \quad A_{\text{cris}} = \lim_{\leftarrow} A'_{\text{cris}}/p^n A'_{\text{cris}}$$

Since  $\phi(J) \subseteq J+pW(R)$ , and since  $(p)$  already admits divided powers in  $W(R)$ ,  $\phi$  extends to  $A'_{\text{cris}}$ . It then extends by continuity to  $A_{\text{cris}}$ . Clearly the Galois action carries over too. The map  $\theta$  extends to  $A_{\text{cris}}$  easily, since  $\theta(\gamma)=0$ .

We denote the kernel of  $\theta$  in  $A_{\text{cris}}$  by  $J_{\text{cris}}$  (it is *not* principal, nor even finitely generated). Its divided powers are the ideals  $J_{\text{cris}}^{[r]}$  ( $r \geq 1$ ) given by

$$(6) \quad J_{\text{cris}}^{[r]} = (\gamma^r/r!, \gamma^{r+1}/(r+1)!, \dots)A_{\text{cris}}$$

Obviously  $J_{\text{cris}}^{[1]} = J_{\text{cris}}$ . One further defines

$$J_{\text{cris}}^{\langle r \rangle} = \{ \alpha \in J_{\text{cris}}^{[r]}; \phi(\alpha) \in p^r A_{\text{cris}} \}.$$

Observe that for any  $\beta \in 1+J$ ,  $\log(\beta) \in A_{\text{cris}}$  is defined by the usual power series in  $\beta-1$ , which converges nicely. Moreover  $\log(\beta) \in J_{\text{cris}}^{\langle 1 \rangle}$ . In particular

$$(7) \quad t = \log(\varepsilon) \quad (\text{recall } \varepsilon = [\zeta])$$

is a generator of an "additive Tate module"  $\mathbb{Z}_p(1) \subseteq J_{\text{cris}}^{\langle 1 \rangle}$ . We denote by  $\mathbb{Z}_p(r)$  the subgroup generated by  $t^r$ . Let

$$S^r = \{ x \in A_{\text{cris}}; p^n x \in \mathbb{Z}_p(r) \text{ for some } n \} \subseteq J_{\text{cris}}^{\langle r \rangle}.$$

Since  $A_{\text{cris}}$  is  $p$ -torsion free, for some non-negative  $c(r)$ ,

$$(8) \quad S^r = p^{-c(r)} \mathbb{Z}_p(r).$$

In fact,  $c(r) = \sum_{i \geq 0} [r(p-1)^{-1} p^{-i}]$ , where  $[x]$  denotes the largest integer  $\leq x$ , but we shall make no use of this exact value.

$B_{\text{cris}}$  is defined as  $A_{\text{cris}}[t^{-1}]$ . Our primary concern is nevertheless with

$A_{\text{cris}}$

4. Proposition (The fundamental exact sequence). For every  $r \geq 0$  the sequence

$$(9) \quad 0 \rightarrow S^r \rightarrow J_{\text{cris}}^{\langle r \rangle} \xrightarrow{1-p^{-r}\phi} A_{\text{cris}} \rightarrow 0$$

is exact.

Remark. When  $r=1$  the exact sequences (4) and (9) are related by the following diagram

$$\begin{array}{ccccccc} & & & & p-\phi & & \\ & & & & \downarrow & & \\ 0 & \rightarrow & \varepsilon^{\mathbb{Z}_p} & \rightarrow & 1+J & \rightarrow & 1+pW(R) \rightarrow 0 \\ & & \log \downarrow & & \log \downarrow & & \downarrow p^{-1}\log \\ & & & & & & 1-p^{-1}\phi \\ 0 & \rightarrow & S^1 & \rightarrow & J_{\text{cris}}^{\langle 1 \rangle} & \rightarrow & A_{\text{cris}} \rightarrow 0. \end{array}$$

If  $p > 2$ , the vertical arrows are injective, the last one is onto  $W(R)$ , and the first one is an isomorphism, since  $S^1 = \mathbb{Z}_p(1)$ . If  $p=2$ , the last vertical arrow has  $\{\pm 1\}$  for its kernel, which is also the cokernel of the first one, since now  $S^1 = 2^{-1}\mathbb{Z}_2(1)$  (see the remark following lemma 2.2).

*Proof.* That the kernel of  $1-p^{-r}\phi$  on  $J_{\text{cris}}^{\langle r \rangle}$  is  $S^r$ , is essentially proven in [F1], théorème 4.12. (The ring  $B$  of [F1] is *different* from  $B_{\text{cris}}$ , but the proof can be adjusted to  $B_{\text{cris}}$ .) We show the surjectivity of  $1-p^{-r}\phi$  in several steps. We shall prove a little more, i.e., that for any unit  $v \in A_{\text{cris}}^{\times}$

$$(10) \quad (\phi - vp^r)J_{\text{cris}}^{\langle r \rangle} \supseteq p^r A_{\text{cris}}.$$

It will be convenient to fix as a generator of  $J = \gamma W(R)$  the element

$$(11) \quad \gamma = [\pi] + p,$$

where  $\pi \in R$  is some fixed element with  $\pi^{(0)} = -p$ .

**4.1 The element**  $u = (\varepsilon - 1)^{p-1}/p$ . ([F-M] suggests the use of  $t^{p-1}/p$ , but the two elements are associates in  $A_{\text{cris}}$ .) From (11) we get

$$\gamma^p \equiv [\pi]^p \pmod{pW(R)},$$

and clearly

$$(\varepsilon - 1)^{p-1} \equiv [\zeta - 1]^{p-1} \pmod{pW(R)},$$

so since  $(\zeta - 1)^{p-1}/\pi^p \in R^\times$ , there exist  $\lambda \in W(R)^\times$  and  $v \in W(R)$  such that

$$u = \lambda(\gamma^p/p) + v.$$

This shows that  $u \in A'_{\text{cris}}$ . Furthermore,  $pv$  is divisible by  $\gamma^{p-1}$  in  $W(R)$ , and since  $p$  is a prime in  $W(R)$ , and  $p$  does not divide  $\gamma$ ,  $v$  is divisible by  $\gamma^{p-1}$ . We conclude that

$$(12) \quad u = \lambda(\gamma^p/p) + \mu\gamma^{p-1} \quad \lambda \in W(R)^\times, \mu \in W(R).$$

**4.2 Corollary.** Inside  $W(R)[1/p]$  we have

$$(13) \quad A'_{\text{cris}} = W(R)[\gamma^m/m!] = W(R)[\gamma^{np}/(np)!] = W(R)[u^i/i!].$$

*Proof.* The first equality is the definition of  $A'_{\text{cris}}$ . The second follows from the observation that if  $m = np + j$ ,  $0 \leq j < p$ ,  $m!$  and  $(np)!$  are divisible by the same power of  $p$ . Since  $u \in J'_{\text{cris}} = \sum_{m \geq 1} W(R)(\gamma^m/m!)$ , its divided powers  $u^i/i! \in J'_{\text{cris}}$  as well. On the other hand, we prove by induction on  $n$  that  $\gamma^{np}/(np)! \in W(R)[u^i/i!]$ . If  $n=1$  this is clear from (12). In general, we may replace  $\gamma^{np}/(np)!$  by  $(\gamma^p/p!)^n/n!$ , since  $(np)!$  and  $(p!)^n n!$  are divisible by the same power of  $p$ . So

$$(\gamma^p/p!)^n/n! = (\lambda_1 u + \mu_1 \gamma^{p-1})^n/n! \in W(R)[u^i/i!]$$

since, by the induction hypothesis,  $\gamma^m/m! \in W(R)[u^i/i!]$  for all  $m < np$ .

**4.3 Claim:**  $(\varepsilon^p - 1)/p(\varepsilon - 1) \in A_{\text{cris}}^\times$ .

*Proof.*  $(\varepsilon^p - 1)/p(\varepsilon - 1) \equiv (\varepsilon - 1)^{p-1}/p \pmod{A_{\text{cris}}}$ , so by 4.1 it lies itself in  $A_{\text{cris}}$ .

Furthermore  $\theta(\varepsilon) = 1$ , so  $\theta((\varepsilon^p-1)/p(\varepsilon-1)) = 1$ , and  $(\varepsilon^p-1)/p(\varepsilon-1) \in 1+J_{\text{cris}}$ . But if  $x \in J_{\text{cris}}$ ,  $\sum_{0 \leq i < \infty} x^i$  converges in  $A_{\text{cris}}$ , since  $x^i/i! \in A_{\text{cris}}$  and  $A_{\text{cris}}$  is  $p$ -adically complete. It follows that  $1+J_{\text{cris}} \subseteq A_{\text{cris}}^\times$ .

**4.4 Lemma.** Let  $v \in A_{\text{cris}}^\times$ ,  $r \geq 0$ ,  $e \geq r+1$ , and consider the series

$$(14) \quad f(x(\varepsilon-1)^e) = \sum_{0 \leq i < \infty} (v^{-1}p^{-r}\phi)^i(x(\varepsilon-1)^e), \quad x \in A_{\text{cris}}.$$

Then (14) converges to an element of  $J_{\text{cris}}^{\langle r \rangle}$  and

$$(1-v^{-1}p^{-r}\phi)(f(x(\varepsilon-1)^e)) = x(\varepsilon-1)^e.$$

*Proof.* By 4.3,  $(v^{-1}p^{-r}\phi)(x(\varepsilon-1)^e) = p^{e-r}v^{-1}\phi(x) \cdot ((\varepsilon^p-1)/p(\varepsilon-1))^e \cdot (\varepsilon-1)^e = p^{e-r}x_1(\varepsilon-1)^e$ , with  $x_1 \in A_{\text{cris}}$ . Iterating, the  $i$ th summand in (14) will be divisible by  $p^{i(e-r)}(\varepsilon-1)^e$ , which guarantees convergence to an element of  $J_{\text{cris}}^{\langle e \rangle} \subseteq J_{\text{cris}}^{\langle r \rangle}$ , again by 4.3. The last statement follows formally.

**4.5 Corollary.**  $(\phi - v p^r) J_{\text{cris}}^{[r]} \supseteq p^r A_{\text{cris}} \cdot u^i / i!$  if  $i(p-1) > r$ .

*Proof.* In addition to what was already said, one only has to note that if  $(\varepsilon-1)^e$  is divisible by  $p^m$  in  $A_{\text{cris}}$ , so is (14).

**4.6 Lemma.** If  $0 \leq r$  and  $v \in A_{\text{cris}}^\times$ , for every  $i > 0$

$$(\phi - v p^r) J_{\text{cris}}^{[r]} \supseteq p^r A_{\text{cris}} \cdot u^i / i!$$

*Proof.* By induction on  $r$ , we may assume that (10) holds for all  $r$ 's smaller than our  $r$ . When  $r=0$ , (10) follows from corollary 4.5, and lemma 4.7 below. So suppose  $i$  is such that  $0 < i(p-1) \leq r$  (bigger  $i$ 's are taken care of by 4.5). Write  $\phi(u) = p^{p-1}u\xi$ , where  $\xi$  is the unit  $((\varepsilon^p-1)/p(\varepsilon-1))^{p-1}$  (see 4.3). Let  $x$  be a variable. Then

$$(\phi - v p^r)(x u^i / i!) = p^{i(p-1)} \xi^i \cdot (\phi - v \xi^{-i} p^{r-i(p-1)})(x) \cdot u^i / i!,$$

and by the induction hypothesis  $(\phi - v\xi^{-i}p^{r-i(p-1)})(x)$  gives everything in  $p^{r-i(p-1)}A_{\text{cris}}$  as  $x$  runs over  $J_{\text{cris}}^{[r-i(p-1)]}$ . The claim follows, since  $u^i/i! \cdot J_{\text{cris}}^{[r-i(p-1)]} \subseteq J_{\text{cris}}^{[r]}$ .

4.7 To finish the proof of (10), it remains, by 4.2, and the density of  $A'_{\text{cris}}$  in  $A_{\text{cris}}$ , to prove that  $(\phi - vp^r)_{J_{\text{cris}}^{[r]}} \supseteq p^r W(R)$ . We first do the case  $r=0$ . Write  $v = \sum_{0 \leq i < \infty} v_i u^i / i!$ , where  $v_i \in W(R)$  tend  $p$ -adically (in  $W(R)$ ) to 0. This is possible by 4.2 and the density of  $A'_{\text{cris}}$  in  $A_{\text{cris}}$ . Applying  $\theta$ ,  $\theta(v_0) = \theta(v) \in \theta(\mathbb{C}_p)^\times$ , so  $v_0$  must be a unit in  $W(R)$ . By corollary 4.5, it is enough to show that  $(\phi - v_0)_{A_{\text{cris}}} \supseteq W(R)$  (see the argument in the next paragraph), so we may assume that  $v \in W(R)^\times$ . In this case  $(\phi - v)W(R) = W(R)$ . Indeed, it is enough to prove the "mod  $p$ " version of this, i.e., that for  $a \in R^\times$ ,  $x^p - ax = b$  is solvable in  $x \in R$  for every  $b \in R$ . This is true since  $R$  is integrally closed.

The case  $r=0$  concludes the proof of (10) when  $r=0$ , hence we can start the induction on  $r$ , and we may assume that lemma 4.6 holds. By that lemma, the proof of (10) is reduced again to the case  $v \in W(R)^\times$ . Indeed, write  $v = \sum_{0 \leq i < \infty} v_i u^i / i!$  as above, let  $b \in p^r A_{\text{cris}}$ , and instead of solving  $(\phi - vp^r)(x) = b$ , solve  $(\phi - v_0 p^r)(x) = b$ . Then  $(\phi - vp^r)(x) = b - p^r x \sum_{1 \leq i} v_i u^i / i! = b - b'$  (say). Lemma 4.6 supplies a solution of  $(\phi - vp^r)(x') = b'$ , and  $x + x'$  is the desired element of  $J_{\text{cris}}^{[r]}$ .

Let therefore  $v \in W(R)^\times$ . We wish to show that  $(\phi - vp^r)_{J_{\text{cris}}^{[r]}} \supseteq p^r W(R)$ . An easy computation shows that

$$\phi(\gamma^r) = p^r(d_0 + d_1 u + \dots + d_r u^r)$$

where  $d_i \in W(R)$ , and  $d_0 \in W(R)^\times$ . To see this simply write  $\phi(\gamma) = \gamma^p + pb$ , and check that  $b \in W(R)^\times$ . Then use (12) to eliminate  $\gamma^p$ , and raise to power  $r$ . Now let  $x$  be a variable. Then

$$(\phi - vp^r)(x\gamma^r) = p^r((d_0 + \dots + d_r u^r)\phi(x) - vx\gamma^r).$$

By lemma 4.6 again, and by the fact that  $d_0$  is in  $W(R)^\times$ , it is enough to show that every element of  $W(R)$  is of the form  $\phi(x) - vxy^r$ , for some  $x \in W(R)$ . Once again, it is enough to prove the "mod  $p$ " version of this, so we have to solve  $x^p - ax = b$  in  $R$ , which can be done thanks to the fact that  $R$  is integrally closed.  $\square$

**4.8 Corollary** (of the proof). The fundamental exact sequence splits over  $(\varepsilon - 1)^{r+1} A_{\text{cris}}$ .

*Proof.* This follows from step 4.4 in the proof.  $\square$

## II. The explicit reciprocity law

**5. The classical explicit reciprocity law.** Let  $K_n = \mathbb{Q}_p(\zeta_n)$ , and let  $U_n$  be the group of principal units of  $K_n$ . If  $\alpha, \beta \in K_n^\times$ , we denote by  $\sigma_\beta$  the Artin symbol of  $\beta$  (on any abelian extension of  $K_n$ ), and define  $[\alpha, \beta]_n \in \mathbb{Z}/p^n\mathbb{Z}$  by

$$(15) \quad \sigma_\beta(\alpha') / \alpha' = \zeta_n^{[\alpha, \beta]_n}$$

where  $\alpha'$  is any  $p^n$  root of  $\alpha$ . If  $\beta = (\beta_n)$  is a norm-compatible sequence ( $\beta_n \in K_n^\times$ ,  $N_{n+1, n}(\beta_{n+1}) = \beta_n$ ), and  $\alpha \in K_n^\times$  for some  $n$ , then there exists a well-defined  $[\alpha, \beta] \in \mathbb{Z}_p$  such that for all  $n$  large enough  $[\alpha, \beta] \bmod p^n = [\alpha, \beta]_n$ . Let

$$(16) \quad B = \varprojlim K_n^\times, \quad U = \varprojlim U_n$$

(inverse limits with respect to the norm).

Recall ([C], [dS]) that for any  $u \in U$  Coleman associated a unique power series  $g_u \in \mathbb{Z}_p[[T]]^\times$  with the property

$$(17) \quad g_u(\zeta_n - 1) = u_n \quad \forall n \geq 1.$$

Introduce a formal variable  $t$  via  $T=e^{t-1}$ , and identify  $\mathbb{Q}_p[[t]]$  with  $\mathbb{Q}_p[[T]]$ . Let

$$(18) \quad \delta g = (1+T)g^{-1}dg/dT = g^{-1}dg/dt \in \mathbb{Z}_p[[T]].$$

Let  $\chi : G = \text{Gal}(K_\infty/\mathbb{Q}_p) \rightarrow \mathbb{Z}_p^\times$  be the cyclotomic character. For later reference we let  $\text{Gal}(\overline{\mathbb{Q}_p}/\mathbb{Q}_p)$  act on power series in  $T$  (or  $t$ ) in a way compatible with the specialization maps sending  $T$  to  $\zeta_n-1$ , i.e.

$$(19) \quad \sigma(T) = (1+T)^{\chi(\sigma)-1}, \quad \sigma(t) = \chi(\sigma)t.$$

The  $r^{\text{th}}$  *Coates-Wiles homomorphism* ( $r \geq 1$ ) is the  $G$ -homomorphism  $U \rightarrow \mathbb{Z}_p(r)$  given by

$$(20) \quad \Phi^r_{CW}(u) = (d/dt)^r \log(g_u)(0) \cdot t^r.$$

Thus  $\Phi^1_{CW}(u) = \delta g_u(0)t$ . It is easily checked that these homomorphisms are independent of the choice of  $\zeta$ .

**Theorem (explicit reciprocity law).** Let  $u \in U$ , and  $\alpha \in U_n$ , where  $n \geq 1$ . Write  $\text{Tr}_n = \text{Tr}_{K_n/\mathbb{Q}_p}$ . Then

$$(21) \quad [\alpha, u] = p^{-n} \text{Tr}_n(\log(\alpha) \cdot \delta g_u(\zeta_n-1)).$$

*Proof.* See [Iw]. Our notation follows [dS], ch.I, §4, where we give a short proof, as well as generalizations to other formal groups (due to Wiles [W]).□

**6. The explicit reciprocity law of Bloch and Kato.** Let  $r \geq 1$ , and consider

$$(22) \quad \partial^r : \mathbb{Q}_p = H^0(\mathbb{Q}_p, A_{\text{cris}} \otimes \mathbb{Q}) \rightarrow H^1(\mathbb{Q}_p, \mathbb{Q}_p(r)),$$

the connecting homomorphism derived from (9). (Galois cohomology is

always based on *continuous* cochains, and the modules are given their  $p$ -adic - or  $\text{ind-}p$ -adic, after we invert  $p$  - topologies, in which they are always complete.) Since restriction to the Galois group over  $K_\infty$  induces an isomorphism

$$(23) \quad H^1(\mathbb{Q}_p, \mathbb{Q}_p(r)) \approx H^0(G, H^1(K_\infty, \mathbb{Q}_p(r))) = \text{Hom}_G(U, \mathbb{Q}_p(r))$$

(an easy exercise), we may ask what is  $\partial^r(1)$  as a  $G$ -homomorphism from  $U$  to  $\mathbb{Q}_p(r)$ . The answer is given in terms of the Coates-Wiles homomorphisms.

**Theorem.** ([B-K], theorem 2.1) For each  $r \geq 1$

$$(24) \quad \partial^r(1) = -\Phi_{CW}^r / (r-1)!$$

*Proof.* It seems better to consider, right from the beginning, cohomology over  $K_\infty$ . Let  $T = \varepsilon - 1$  (so that  $T = e^t - 1$ ), and observe that  $\mathbb{Z}_p[[T]] \subseteq H^0(K_\infty, A_{\text{cris}})$ , while  $H^1(K_\infty, S^r) = \text{Hom}(B, S^r)$  projects onto  $\text{Hom}(U, S^r)$ . Restricting the map obtained from the connecting homomorphism to these subgroups, we obtain a continuous pairing of  $G$ -modules

$$(25) \quad \partial^r : \mathbb{Z}_p[[T]] \times U \rightarrow S^r \quad \partial^r(f, u) = \partial^r(f)(u)$$

which we wish to study. The theorem will follow from the following statement:

$$(26) \quad \partial^r(f, u) = -\text{Res}(t^{-r} f(T) \cdot d\log(g_U)) \cdot t^r.$$

Here  $f(T)$  is the power series obtained by substituting  $T$  (a formal variable) for  $t$ , and, as before, we have identified  $\mathbb{Q}_p((t))$  with  $\mathbb{Q}_p((T))$ . Indeed, if  $f=1$ ,  $\text{Res}(t^{-r} \cdot d\log(g)) = (d/dt)^r \log(g)(0) / (r-1)!$ , so (26) and (24) coincide. The proof of (26) will be done in two steps. In §7 we do the case  $r=1$ . In §8 and §9 we reduce the general case to that of  $r=1$ .

**7. Proof of (26) when  $r=1$ .** Start with lemma 2.2. Let  $\alpha \in H^0(K_\infty, 1+pW(R))$ ,

and pick  $\beta \in 1+J$  such that  $\beta^{p-\phi} = \alpha$ . Then

$$\beta^{p^n - \phi^n} = \alpha^{p^{n-1} + p^{n-2}\phi + \dots + p\phi^{n-2} + \phi^{n-1}}.$$

Now  $\theta(\beta)=1$  implies

$$(27) \quad \theta\phi^{-n}(\beta)^{p^n} = \theta\phi^{-n}(\alpha)^{p^{n-1}} \cdot \theta\phi^{1-n}(\alpha)^{p^{n-2}} \cdot \dots \cdot \theta\phi^{-1}(\alpha).$$

In particular, take  $\alpha = \alpha(T) \in 1+p\mathbb{Z}_p[[T]]$ , and define

$$(28) \quad \alpha^{(n)} = \alpha(T)^{p^{n-1}} \cdot \alpha((1+T)^{p-1})^{p^{n-2}} \cdot \dots \cdot \alpha((1+T)^{p^{n-1}-1}),$$

so that  $\theta\phi^{-n}(\beta) = \alpha^{(n)}(\zeta_n-1)^{1/p^n}$ , because  $\theta\phi^{-i}(\alpha) = \alpha(\zeta_i-1)$ . Thus, for every  $\sigma \in \text{Gal}(\overline{\mathbb{Q}_p}/K_\infty)$

$$(29) \quad \theta\phi^{-n}(\beta^{\sigma^{-1}}) = \theta\phi^{-n}(\beta)^{\sigma^{-1}} = \{\alpha^{(n)}(\zeta_n-1)^{1/p^n}\}^{\sigma^{-1}}.$$

By theorem 5 and lemma 2.1(ii), if  $u \in U$  and

$$(30) \quad \beta^{\sigma u^{-1}} = \varepsilon(\alpha, u)$$

then  $(\alpha, u) \equiv [\alpha^{(n)}(\zeta_n-1), u] \equiv p^{-n} \text{Tr}_n\{\log(\alpha^{(n)})(\zeta_n-1) \cdot \delta g_u(\zeta_n-1)\} \pmod{p^n}$ . However, comparing (4) and (9) (cf. remark following proposition 4), one gets  $(\alpha, u)t = \partial^1(p^{-1}\log(\alpha), u)$ . We must therefore show that for  $n \geq 1$

$$(31) \quad p^{-n} \text{Tr}_n\{\log(\alpha^{(n)})(\zeta_n-1) \cdot \delta g_u(\zeta_n-1)\} \equiv -\text{Res}\{t^{-1}p^{-1}\log(\alpha) \cdot d\log(g_u)\} \pmod{p^n}.$$

**7.1 Lemma.** For  $n \geq 1$ , the following equality holds:

$$\text{Tr}_n\{\log(\alpha^{(n)})(\zeta_n-1) \cdot \delta g_u(\zeta_n-1)\} = p^{n-1} \sum_{0 < i < p} (\log(\alpha) \cdot \delta g_u)(\zeta_n^{i-1}).$$

*Proof.* The proof is a straightforward computation, based on the fact that

for a  $p^n$  root of unity  $\xi$ ,

$$\sum_{\eta^{p^n}=\xi} \delta g_{\eta}(\eta-1) = p \cdot \delta g_{\xi}(\xi-1).$$

Observe that  $\log(\alpha) \in p\mathbb{Z}_p[[T]]$ , and if  $p=2$ ,  $\log(\alpha) \in 4\mathbb{Z}_2[[T]]$ . The proof of (31) will now be complete, provided we show

**7.2 Lemma.** For any  $f \in \mathbb{Z}_p[[T]]$ ,  $n \geq 1$ ,

$$(32) \quad \sum_{0 < i < p^n} f(\zeta_n^{i-1}) \equiv -\text{Res}(t^{-1}f(T)dt) \pmod{p^n}$$

(if  $p=2$ , mod  $2^{n-1}$ ).

*Proof.* It is enough to check (32) with  $f=(1+T)^m$ ,  $m \geq 0$ , because then it will hold for all  $f \in \mathbb{Z}_p[[T]]$ . Both sides of (32) are *continuous* homomorphisms from  $\mathbb{Z}_p[[T]]$  to  $\mathbb{Z}_p$ , so if they agree on polynomials, they are equal. So let  $f=(1+T)^m$ . The left hand side is equal then to  $p^{n-1}$  if  $p^n | m$ , and to  $-1$  otherwise. The right hand side is computed as

$$-\text{Res}(T^{-1}(1+T/2 - \dots)(1+T)^m \cdot dT/(1+T)) = -1.$$

This concludes the proof.

*Remark.* Coleman's power series are defined for any  $\beta \in B$ , and not only for  $u \in U$ , and if  $v(\beta)=d$  (i.e. at each level  $n$  the valuation of  $\beta_n$  in  $K_n$  is  $d$ ), the corresponding  $g_{\beta} \in T^d \mathbb{Z}_p[[T]]^{\times}$ . Thus  $d \log(g_{\beta}) \in T^{-1} \mathbb{Z}_p[[T]] dT$  in general. Formula (26) generalizes :

$$\partial^r(f, \beta) = -\text{Res}(t^{-r}f(T) \cdot d \log(g_{\beta})) \cdot t^r$$

for all  $f \in \mathbb{Z}_p[[T]]$  and  $\beta \in B$ . When  $r=1$ , the proof given above needs only minor modifications. Lemma 7.2, for example, has to be checked for  $f$  in  $T^{-1} \mathbb{Z}_p[[T]]$ . It is here, when one checks (32) for  $f=T^{-1}$ , that  $p=2$  gives some trouble. The sum on the left comes out to be  $(1-p^n)/2$ , while the residue on the right is  $1/2$ . Fortunately, we only need the congruence modulo  $2^{n-1}$  if  $p=2$ .

8. Reduction of the general case to the case  $r=1$ . Formula (26) is proven by reduction to the case  $r=1$ . We need two lemmas.

8.1 Lemma. If  $f \in H^0(K_\infty, A_{\text{cris}})$  and  $r > 1$  then  $\partial^r(tf) = t\partial^{r-1}(f)$ .

*Proof.* The lemma follows immediately from the commutative diagram

$$(33) \quad \begin{array}{ccccccc} & & & & 1-p^{-r}\phi & & \\ & & & & \searrow & & \\ 0 & \longrightarrow & S^r & \longrightarrow & J_{\text{cris}}^{\langle r \rangle} & \longrightarrow & A_{\text{cris}} \longrightarrow 0 \\ & & \downarrow t & & \downarrow t & & \downarrow t \\ & & & & 1-p^{-r-1}\phi & & \\ 0 & \longrightarrow & S^{r+1} & \longrightarrow & J_{\text{cris}}^{\langle r+1 \rangle} & \longrightarrow & A_{\text{cris}} \longrightarrow 0. \end{array}$$

8.2 Lemma. The pairing  $\mathbb{Z}_p[[T]] \times U \rightarrow S^r \quad (f, u) \mapsto \partial^r(f, u)$  factors as  $\psi_r \circ \omega(f, u)$ , where  $\omega : \mathbb{Z}_p[[T]] \times U \rightarrow \Omega = \mathbb{Z}_p[[T]]dT$  is  $\omega(f, u) = f(T) \cdot d\log(g_u)$ , and  $\psi_r : \Omega \rightarrow S^r$  is some  $G$ -homomorphism ( $G = \text{Gal}(K_\infty/\mathbb{Q}_p)$ ).

The *proof* of this lemma, explained in full detail in §9, seems to require rather difficult concepts from syntomic cohomology, as developed by Fontaine and Messing.

8.3 Conclusion of the proof of (26). Granted lemmas 8.1 and 8.2, we proceed as follows. First, note that  $\omega$  is surjective, because, for example,  $1+T$  occurs as a possible  $g_u$ . Define

$$(34) \quad \tilde{\psi}_r(\omega) = \text{Res}(t^{-r}\omega)t^r.$$

We have to check that  $\tilde{\psi}_r = \psi_r$ , a statement that is *equivalent* to (26) by the surjectivity of  $\omega$ . For  $r=1$  this was done in §7. By induction we may assume it to hold for  $r-1$ . Now  $\tilde{\psi}_r$  annihilates  $T^{r+1}\Omega$  (even  $T^r\Omega$ ), hence extends to a homomorphism  $\mathbb{Q}_p[[T]]dT/(T^{r+1}) \rightarrow \mathbb{Q}_p(r)$ . The same is true for  $\psi_r$ , by

corollary 4.8. Indeed, that corollary shows that  $\partial^r(f,u)=0$  if  $f \in T^{r+1}\mathbb{Z}_p[[T]]$ , so lemma 8.2 implies  $\psi_r(\omega)=0$  if  $\omega \in T^{r+1}\Omega$ . Having replaced  $\mathbb{Z}_p$  by  $\mathbb{Q}_p$ , we may replace  $T$  by  $t$ , and we view  $\psi_r$  and  $\tilde{\psi}_r$  as homomorphisms from  $\mathbb{Q}_p[[t]]dt/(t^{r+1})$  to  $\mathbb{Q}_p(r)$ . By 8.1 and (34)

$$\psi_r(t\omega) = t\psi_{r-1}(\omega), \quad \tilde{\psi}_r(t\omega) = t\tilde{\psi}_{r-1}(\omega),$$

so the induction hypothesis implies  $\psi_r = \tilde{\psi}_r$  on  $t\mathbb{Q}_p[[t]]dt/(t^{r+1})$ . The difference  $\psi_r - \tilde{\psi}_r$  therefore induces a  $G$ -homomorphism from  $\mathbb{Q}_p$  to  $\mathbb{Q}_p(r)$ , which must be 0, so we conclude that  $\psi_r = \tilde{\psi}_r$ .

**9. Proof of lemma 8.2.** The proof is based on the commutative diagram of [B-K], p. 348. Here we present a slight variation of that diagram, and hopefully fill in some of the missing details. Let  $\mathfrak{A} = \mathbb{Z}_p[[T]] \subseteq A_{\text{cris}}$ , let  $A_n = A_{\text{cris}}/p^n A_{\text{cris}}$ ,  $\mathfrak{A}_n = \mathfrak{A}/p^n \mathfrak{A}$ ,  $\bar{\mathfrak{A}}_n$  = the image of  $\mathfrak{A}_n$  in  $A_n$ ,  $J^{\langle r \rangle}_n = J^{\langle r \rangle}_{\text{cris}}/p^n J^{\langle r \rangle}_{\text{cris}}$ , and  $S^n_r = S^r/p^n S^r$ . Let also  $U_m$  = the principal units of  $K_m$ . Taking coinvariants of multiplication by  $p^n$  in the fundamental exact sequence (9) we get the "mod  $p^n$ " exact sequence

$$(35) \quad 0 \rightarrow S^n_r \rightarrow J^{\langle r \rangle}_n \rightarrow A_n \rightarrow 0,$$

which is exact also on the left because  $A_{\text{cris}}$  is  $p$ -torsion free. Take cohomology over  $K_m$ ,  $m \geq n$ , and observe that  $\bar{\mathfrak{A}}_n \subseteq H^0(K_m, A_n)$  (an easy exercise; note that  $\bar{\mathfrak{A}}_n$  is the image of  $\mathfrak{A}$  in  $A_n$  and *not*  $\mathfrak{A}/p^n \mathfrak{A}$ ). The connecting homomorphism will therefore give us a pairing

$$(36) \quad \partial^n_r : \bar{\mathfrak{A}}_n \times U_m/U_m p^n \rightarrow S^n_r$$

whose composition with the natural projection  $\mathfrak{A} \times U \rightarrow \mathfrak{A}_n \times U_m/U_m p^n$  is simply  $\partial^r \bmod p^n$ . It is clearly enough to show that for every  $n \geq 1$ ,  $\partial^r \bmod p^n$  factors through the homomorphism  $f \otimes u \rightarrow f \cdot d \log(g_u)$ . In proving this we will work at the finite level  $m$ , but which  $m$  we choose is unimportant, as long as  $m \geq n$ .

9.1 We shall have to assume familiarity with the contents of [F2], §3.2-§3.7. It is shown there that  $A_n$  may be canonically identified with  $H^0_{\text{cris}}(\mathcal{O}_{\bar{K},n}) = \varinjlim H^0_{\text{cris}}(\mathcal{O}_{L,n})$ ,  $L$  ranging over all the finite extensions of  $K$  in  $\bar{K}$ . Here we used the short-hand notation  $H^i_{\text{cris}}(\mathcal{O}_{L,n}) = H^i(\text{Spec}(\mathcal{O}_L/p^n\mathcal{O}_L)_{\text{cris}}, \mathcal{O}_{L/W_n})$  ( $\mathcal{O}_{L/W_n}$  is the crystalline structure sheaf on the crystalline site).

Let  $L = K_m$ , and define  $\Sigma_{L,n}$  and  $D_{L,n}$  as in [F2], §3.2, where we choose  $y = \zeta_m^{-1}$ . Note that  $\Sigma_{L,n} = \mathfrak{A}_n = W_n[[T]]$ , where  $W_n = \mathbb{Z}/p^n\mathbb{Z}$ . Let  $f \in \mathfrak{A} \subseteq W(R) \subseteq A_{\text{cris}}$ , and assume  $m \geq n$ . Let  $\alpha_{L,n}$  and  $\beta_n$  be the maps defined in [F2], §3.3 and §3.7 respectively. Then  $\beta_n(f) \in W_n(\tilde{\mathcal{O}}_L) \subseteq W_n(\tilde{\mathcal{O}}_{\bar{K}})$  (it is enough to check this with  $f = \varepsilon$ ). Furthermore, when we identify  $f$  as an element of  $H^0_{\text{cris}}(\mathcal{O}_{L,n})$  via  $\alpha_{L,n} \circ \beta_n$ , we get that  $\alpha_{L,n} \circ \beta_n(f)$  is the class of  $\phi^n(f)$  in

$$(37) \quad H^0_{\text{cris}}(\mathcal{O}_{L,n}) = \text{Ker} (d : D_{L,n} \rightarrow D_{L,n} \otimes_{\Sigma_{L,n}} \Omega^1_{\Sigma_{L,n}})$$

(cf. [F2] §3.2). In (37) we mapped  $\phi^n(f)$  to  $\Sigma_{L,n}$  first, then to  $D_{L,n}$ , where it lands in the kernel of  $d$ .

We can also map, in the obvious way,  $\Omega_n := \Omega^1_{\Sigma_{L,n}} = W_n[[T]]dT$  to

$$(38) \quad H^1_{\text{cris}}(\mathcal{O}_{L,n}) = \text{Coker} (d : D_{L,n} \rightarrow D_{L,n} \otimes_{\Sigma_{L,n}} \Omega^1_{\Sigma_{L,n}}).$$

Let  $T_p$  be Coleman's "trace operator" on  $\mathfrak{A}[C]$ . It is characterized by

$$(39) \quad T_p \circ \phi(f) = pf,$$

its image is  $p\mathfrak{A}$ , and the "projection formula"  $T_p(\phi(f)g) = \phi(f)T_p(g)$  holds. Extend the definition of  $T_p$  to differentials in  $\mathbb{Z}_p[[T]]dT$  as in [B-K], so that  $T_p(f(T)dT/(1+T)) = p^{-1}T_p f(T)dT/(1+T)$ . Then  $T_p$  fixes  $d \log(g_u)$  for  $u \in U$ . Now define a map

$$(40) \quad \Omega_n \longrightarrow H^1_{\text{cris}}(\mathcal{O}_{L,n})$$

to be the composition of  $T_p^n$  with the "obvious" map coming from (38). Then the discussion above may be summarized in the following lemma.

**Lemma.** Map  $\mathfrak{X}_n$  to  $H^0_{\text{cris}}(\mathcal{O}_{L,n})$  by  $\alpha_{L,n} \circ \beta_n$ , and  $\Omega_n$  into  $H^1_{\text{cris}}(\mathcal{O}_{L,n})$  by (40). Then these maps are compatible with the natural action of  $H^0$  on  $H^1$  (and of  $\mathfrak{X}_n$  on  $\Omega_n$ ).

**9.2** Recall the definition of the (small) syntomic site  $(\text{Spec } \mathcal{O}_L)_{\text{syn}}$  [F-M], and that of the sheaves  $\mathcal{O}_n^{\text{cris}}$  and  $\underline{S}_n^r$  on the syntomic site. In our notation, proposition II.1.3 of [F-M] states that

$$(41) \quad H^i_{\text{cris}}(\mathcal{O}_{L,n}) = H^i((\text{Spec}(\mathcal{O}_L)_{\text{syn}}, \mathcal{O}_n^{\text{cris}})) \quad i=0,1.$$

Now consider the diagram

$$\begin{array}{ccc}
 & (f,u) \rightarrow f \cdot \text{dlog}(g_u) & \\
 \mathfrak{X}_n \times U/UP^n & \xrightarrow{\quad\quad\quad} & \Omega_n \\
 \downarrow & A & \downarrow \\
 H^0(\text{Spec}(\mathcal{O}_L)_{\text{syn}}, \mathcal{O}_n^{\text{cris}}) & \xrightarrow{u} & H^1(\text{Spec}(\mathcal{O}_L)_{\text{syn}}, \mathcal{O}_n^{\text{cris}}) \\
 \times H^1(\text{Spec}(\mathcal{O}_L)_{\text{syn}}, \underline{S}_n^1) & \longrightarrow & \\
 (\partial_n^r)_{\text{syn}} \times 1 \downarrow & B & (\partial_n^{r+1})_{\text{syn}} \downarrow \\
 H^1(\text{Spec}(\mathcal{O}_L)_{\text{syn}}, \underline{S}_n^r) & \xrightarrow{u} & H^2(\text{Spec}(\mathcal{O}_L)_{\text{syn}}, \underline{S}_n^{r+1}) \\
 \times H^1(\text{Spec}(\mathcal{O}_L)_{\text{syn}}, \underline{S}_n^1) & \longrightarrow & \\
 \downarrow & C & \downarrow \\
 H^1(L, S_n^r) \times H^1(L, S_n^1) & \xrightarrow{\quad\quad\quad} & H^2(L, S_n^{r+1})
 \end{array}$$

*Explanations:* The exact sequence (35) may be sheafified to produce an exact sequence of similar sheaves in the syntomic site. The vertical arrows in B are the connecting homomorphisms for that sequence. The horizontal arrows of B are cup product pairings. The commutativity of B is deduced from an analogue of (33) (lemma 8.1). The vertical arrows in C are the comparison maps between the syntomic cohomology and Galois cohomology. Just in order to define them, one needs the construction of the syntomic-étale site (cf. [F-M] §5). Square B and square C are the same as the bottom squares in [B-K], except that there the authors multiply the sheaves  $S^r_n$  by some  $p^m$  to map them into  $\mathbb{Z}/p^n\mathbb{Z}(r)$ .

The vertical arrows in square A are constructed using (i) the maps defined in lemma 9.1 and the compatibility between them, (ii) the first Chern class map  $U_m \rightarrow H^1(\text{Spec}(\mathcal{O}_L)_{\text{syn}}, \Sigma^1_n)$  (derived from the Kummer exact sequence in syntomic cohomology) and its relation to logarithmic differentials, and (iii) the comparison between syntomic cohomology of the sheaf  $\mathcal{O}_n^{\text{cris}}$  and crystalline cohomology (41). In contrast with [B-K], we start with  $\text{Spec}(\mathcal{O}_L)$  and not with  $\text{Spec}(\mathfrak{K})$ , which allows us to map  $\mathfrak{K}_n$  and not just  $\mathbb{Z}/p^n\mathbb{Z}$  into it. The ring  $\mathfrak{K}$  is (topologically) smooth, so its crystalline cohomology is dull, while that of  $\mathcal{O}_L$  is rich!

The composition of the three vertical arrows on the left with the bottom horizontal arrow thus factors the way we want it to factor, since the top horizontal row is induced from  $\omega$ . To conclude the proof of lemma 8.2 observe that the bottom horizontal arrow factors through  $H^2(L, S^r_n \otimes S^1_n) = S^r_n$  (canonically!), and that the map we have constructed by following the vertical arrows on the left and then the bottom horizontal arrow (call it  $\delta^r_n$ ) is the composition of  $\partial^r_n$  with  $S^r_n \rightarrow H^2(L, S^{r+1}_n)$ . The latter has bounded kernel (as  $n$  increases), so from the validity of the lemma for  $\delta^r_n$  for all  $n$ , follows its validity for  $\partial^r_n$  as well. □

### III. Other formal groups

10. **Notation.** From now on let  $K$  be an unramified extension of  $\mathbb{Q}_p$  of degree

$d, \pi$  a uniformizer,  $q=p^d$ , and  $\phi_K=\phi^d$ . Fix a power series  $f \in \mathcal{O}_K[[X]]$  such that

$$(42) \quad f = \pi X + \dots \equiv X^q \pmod{\pi}.$$

Let  $F_f(X, Y)$  be the corresponding Lubin-Tate formal group law, and  $\lambda_f(X) = X + \dots \in K[[X]]$  its logarithm (cf. [dS], chapter 1.1 for the notation used here). For  $a \in \mathcal{O}_K$  let  $[a]_f$  be the endomorphism of  $F_f$  whose power series expansion starts with  $aX + \dots$ . Thus  $f = [\pi]_f$ . Let  $\omega_n$  be a primitive  $\pi^n$  division point of  $F_f$ , such that

$$(43) \quad f(\omega_n) = \omega_{n-1}, \quad n \geq 1,$$

and denote by  $\omega = (\omega_n)$  the corresponding generator of the *Tate module* of  $F_f$ ,

$$(44) \quad \text{Ta}(F_f) = \varprojlim_n \text{Ker} [\pi^n] = [\mathcal{O}_K]\omega.$$

Write also  $V_f = \text{Ta}(F_f) \otimes \mathbb{Q}$ . Let  $K_n = K(\omega_n)$  be the *Lubin-Tate tower*, analogous to the cyclotomic tower. Let  $\kappa : \text{Gal}(K_\infty/K) \approx \mathcal{O}_K^\times$  be the character giving the action of the Galois group on the  $\pi^n$ -torsion points (for all  $n$ ), i.e.  $\sigma(\omega_n) = [\kappa(\sigma)]_f(\omega_n)$ . Then  $V_f$  is a one-dimensional vector space over  $K$ , on which the Galois group acts via  $\kappa$ .

**10.1 Proposition.** (i) There exists a unique  $T = T_\omega$  in  $W(R)$  such that

$$(45) \quad \theta \phi_K^{-n}(T) = \omega_n \quad n \geq 0.$$

(ii) For  $\sigma \in G_K = \text{Gal}(\bar{K}/K)$ ,  $\sigma(T_\omega) = T_{\sigma(\omega)} = [\kappa(\sigma)]_f(T_\omega)$ ;  $\phi_K(T_\omega) = [\pi]_f(T_\omega)$ .

(iii) Let  $t = t_\omega$  be defined as  $\lambda_f(T_\omega)$ . Then  $t \in A_{\text{cris}}$  and

$$(46) \quad \sigma(t) = \kappa(\sigma)t \quad \forall \sigma \in G_K, \quad \phi_K t = \pi t.$$

*Remarks* (i) When  $K = \mathbb{Q}_p$  and  $\pi = p$ , so that  $F_f$  is (up to a change of variable) the multiplicative formal group,  $T = e-1$  (cf. (7)).

(ii)  $V_f$  is a crystalline representation of  $G_K$ . More generally this holds, by a theorem of Fontaine, with the Tate module of any  $p$ -divisible group over  $\mathcal{O}_K$ . The existence of  $t$  as in (46) is therefore not a new result (our proposition re-proves the fact that the Tate module is crystalline). What we want to emphasize is that a choice of a generator for the Tate module gives us, in a canonical way, an element of  $B_{\text{cris}}$ . In other words,  $\text{Hom}_{\mathbb{Q}_p[G_K]}(V_f, B_{\text{cris}})$  is not only  $d$ -dimensional over  $K$ , but has a *distinguished basis*, consisting of the homomorphisms that send  $\omega$  to  $t_\omega, \phi t_\omega, \dots, \phi^{d-1}t_\omega$ . Note that the *line*  $K \cdot \phi^i t$  in  $\text{Hom}_{\mathbb{Q}_p[G_K]}(V_f, B_{\text{cris}})$  may be characterized as those homomorphisms that intertwine the  $K$ -action on  $V_f$  with the  $\phi^i$ -twisted action of  $K$  on  $B_{\text{cris}}$ . In particular

$$\text{Hom}_{K[G_K]}(V_f, B_{\text{cris}}) \approx \{ x \in B_{\text{cris}} \mid \sigma(x) = \kappa(\sigma)x \quad \forall \sigma \in G_K \} = Kt$$

is one dimensional over  $K$ .

*Proof.* Everything, except the construction of  $T$ , is easy. For example, the unicity, as well as the action of Galois and Frobenius, are deduced from the fact that  $\bigcap \phi_K^n(J) = 0$  (recall  $J = \text{Ker}(\theta)$ ). That  $t$  is in  $A_{\text{cris}}$  follows from the well known fact that  $\lambda_f(X) \in \mathcal{O}_K[[X]]$ , while  $T \in J$ .

Let  $\omega_{0,n} = \omega_n$ . We shall define, by induction on  $i$ ,  $\omega_{i,n} \in \mathcal{O}(\mathbb{C}_p) \quad \forall n \geq 0$ , and prove

$$(47) \quad \omega_{i,n} \equiv \omega_{i,n+1}^q \pmod{\pi}.$$

Then if we let  $x_{i,n} = \lim_{m \rightarrow \infty} \omega_{i,n+m}^{q^m}$

we shall clearly have  $x_{i,n} = x_{i,n+1}^q$ .

We will also know that  $x_{i,n} \equiv \omega_{i,n} \pmod{\pi}$ ,

so we will be justified in setting, as the next step of the inductive definition,

$$\omega_{i+1,n} = (\omega_{i,n} - x_{i,n}) / \pi.$$

Observe that  $x_i = (\omega_{i,n} \pmod{\pi})_{n \geq 0} = (x_{i,n} \pmod{\pi})_{n \geq 0} \in R$ , and with the notation of §1,  $x_{i,n} = x_i^{(dn)}$ . Therefore the element

$$(48) \quad T = \sum_{i \geq 0} \pi^i [x_i] \in W(R),$$

and  $\theta \phi_K^{-n}(T) = \sum_{i \geq 0} \pi^i x_{i,n} = \omega_n$ . Everything now hinges on the proof of (47), which at first sight seems rather surprising. At least for  $i=0$  it is obvious, since  $\omega_{0,n} = f(\omega_{0,n+1}) \equiv \omega_{0,n+1}^q \pmod{\pi}$ . We need a lemma.

**Lemma.** If  $h \in K[[X]]$  has bounded denominators, and  $h(\omega_n) \in \mathcal{O}_K$  for infinitely many  $n$ , then  $h \in \mathcal{O}_K[[X]]$ .

The *proof* of the lemma is clear, since  $|\omega_n| \rightarrow 1$  as  $n \rightarrow \infty$ .

We assume now that  $\omega_{j,n}$  have been defined for  $0 \leq j \leq i$ , and that they satisfy (47). We define  $x_{i,n}$  and  $\omega_{i+1,n}$  as above, and we wish to prove (47) with  $i+1$ .

**Claim.** For each  $0 \leq j \leq i$  and each  $v \geq 1$  there exists a natural number  $\mu(j,v)$  and a power series  $h_{j,v} \in \mathcal{O}_K[[X]]$ , such that

$$(49) \quad \omega_{j,n} \equiv h_{j,v}(\omega_{\mu(j,v)+n}) \pmod{\pi^v} \quad \forall n \geq 0.$$

The claim (with  $v=1$  and  $j=i$ ) will clearly imply (47). When  $j=0$  it holds trivially, with  $h_{0,v} = X$  and  $\mu_{0,v} = 0$ , so we prove the claim by induction on  $j$ . By the lemma, it is enough to find  $h_{j,v}$  as above in  $K[[X]]$  (the proof will guarantee bounded denominators). Now

$$x_{j-1,n} = x_{j-1,n+v}^{q^v} \equiv \omega_{j-1,n+v}^{q^v} \equiv h_{j-1,1}(\omega_{\mu(j-1,1)+n+v})^{q^v} \pmod{\pi^{v+1}},$$

$$\text{so } \omega_{j,n} \equiv \{h_{j-1,v+1}(\omega_{\mu(j-1,v+1)+n}) - h_{j-1,1}(\omega_{\mu(j-1,1)+n+v})^{q^v}\} / \pi \pmod{\pi^v}.$$

Suppose that  $a = \mu(j-1,v+1) - \mu(j-1,1) - v \geq 0$  (the case  $a \leq 0$  being treated similarly). Define  $\mu(j,v) = \mu(j-1,v+1)$ , and

$$h_{j,v} = \{h_{j-1,v+1} - (h_{j-1,1} \circ f \circ f \circ \dots \circ f)^{q^v}\} / \pi,$$

where  $f$  is composed with itself  $a$  times. Then (49) holds. □

**10.2 Proposition.** There exist exact sequences

$$(50) \quad 0 \rightarrow [\mathcal{O}_K](T) \rightarrow F_f(J) \xrightarrow{f[-]_f \phi_K} F_f(\pi W(R)) \rightarrow 0$$

and

$$(51) \quad 0 \rightarrow \mathcal{O}_K \mathfrak{t} \rightarrow \lambda_f(J) \xrightarrow{1-\pi^{-1}\phi_K} W(R) \rightarrow 0.$$

The *proof* is left out. It is similar in principle to lemma 2.2. □

Now define

$$(52) \quad \text{Fil}_f^r A_{\text{cris}} = \{ \alpha \in J_{\text{cris}}^{[r]} \mid \phi_K(\alpha) \in \pi^r A_{\text{cris}} \}.$$

This is a filtration similar to  $J_{\text{cris}}^{\langle r \rangle}$ . It depends on the formal group in question. Note that  $\lambda_f(J) \subseteq \text{Fil}_f^1(A_{\text{cris}})$ .

**11. Speculations.** Propositions 10.1 and 10.2 may be viewed as the beginning of an attempt to generalize the results of this paper to other Lubin-Tate formal groups. For example, the analogue of the "fundamental exact sequence", with  $K\mathfrak{t}^r \cap A_{\text{cris}}$  replacing  $S^r$  as the left term, (52) replacing the middle term, and  $1-\pi^{-r}\phi_K$  replacing  $1-p^{-r}\phi$ , seems to be incorrect (i.e., not exact). The reason is that  $A_{\text{cris}}$  is somehow "too big". There might be a smaller " $A_{\text{cris}}$ " that will be the ring of  $p$ -adic periods, not for all motives with good reduction, but only for those whose  $p$ -adic realizations have *coefficients in  $K$* , and with which the analogue of the fundamental exact sequence *will* hold. One would expect this smaller ring to be stable only under  $\phi_K = \phi^d$ , but not necessarily under  $\phi$ . In particular, it should contain  $\mathfrak{t}$ , but not  $\phi^i \mathfrak{t}$  for  $1 \leq i < d$ . If so, is there a formula for the connecting homomorphism of that sequence in terms of the Coates-Wiles homomorphisms? The case  $r=1$  requires only the existence of the sequence (51), and the proof given in §7 most probably generalizes, *mutatis mutandis*,

to general Lubin Tate groups. The general case needs to await analogous generalizations of the sheaves  $\underline{S}^r$  and the main theorems of [F-M].

Work on p-adic periods of formal groups of abelian varieties has been done by Colmez [Cz] and by Winterberger [Win]. The first interesting non-ordinary case is the formal group of an elliptic curve with supersingular reduction. The easiest formal groups beyond the ordinary (i.e. essentially multiplicative) ones are Lubin-Tate groups of height  $> 1$ . We believe that relations between the structure of rings similar to  $A_{\text{cris}}$  and the arithmetic of Lubin-Tate groups should exist in general. In retrospect, this might be the motivation for the path taken in this paper.

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