

# *Astérisque*

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*Astérisque*, tome 147-148 (1987), p. 325-333

[http://www.numdam.org/item?id=AST\\_1987\\_\\_147-148\\_325\\_0](http://www.numdam.org/item?id=AST_1987__147-148_325_0)

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AN EFFECTIVE DISPROOF OF THE MERTENS CONJECTURE

by  
 J. PINTZ

1. Introduction

Mertens conjectured [5] 1897 that

$$(1) \quad |M(x)| = \left| \sum_{n \leq x} \mu(n) \right| < \sqrt{x} \quad \text{for } x > 1,$$

where  $\mu(n)$  is the Möbius function. It was known for a long time that (1) implies that all non-trivial zeros  $\rho_\nu = \beta_\nu + i\gamma_\nu$  of the Riemann zeta-function ( $0 < \gamma_1 < \gamma_2 < \dots$ )

(2) lie on the critical line  $\sigma = 1/2$ ,

(3) are simple,

(4) satisfy  $|a_{\rho_\nu}| := |\rho_\nu \zeta'(\rho_\nu)|^{-1} < 1$ .

Supposing (2)-(4) it is possible to show [2] that certain mean value of  $M(x)/\sqrt{x}$  equals

$$(5) \quad K_1(v, T) = 2 \sum_{0 < \gamma_\nu < T} \kappa_1 \left( \frac{\gamma_\nu}{T} \right) \frac{\cos(\gamma_\nu v - \pi \psi_\nu)}{|\rho_\nu \zeta'(\rho_\nu)|}, \quad \text{where}$$

$$(6) \quad \kappa_1(\tau) = (1-\tau) \cos(\pi\tau) + \pi^{-1} \sin(\pi\tau), \quad \pi \psi_\nu = \arg(\rho_\nu \zeta'(\rho_\nu)).$$

Ingham [1] showed this with the simpler choice  $\kappa_0(\tau) = (1-\tau)$ .

Using the lattice basis reduction algorithm of A.K.Lenstra, H.W. Lenstra and Lovász [3], A.M.Odlyzko and H.J.J.te Riele [6] succeeded 1983 in finding values  $T^* = \gamma_{2000}$  and  $v$  with  $v \approx 1.4 \cdot 10^{64}$  such that

$$(7) \quad K_1(v, T^*) \approx 1.0615$$

thereby disproving (1). (For other results and the history of the problem see also [6].) However, this method is completely ineffective, one obtains no contradiction for any concrete  $X$  if (1) is substituted by

$$(1') \quad \max_{1 < x < X} |M(x)| / \sqrt{x} < 1.$$

The main difficulty is that by classical methods it is not possible to derive from (1') any of the assertions (2)-(4), not even in a

finite form, that is, for zeros satisfying

$$(8) \quad |\gamma_\nu| < f(X) \text{ where } \lim_{X \rightarrow \infty} f(X) = \infty.$$

Before the disproof of the Mertens conjecture the present author could show [7] that (1') implies a weakened form of (2), namely that all zeros have

$$(2') \quad \left| \beta_\nu - \frac{1}{2} \right| < \frac{3 \log \gamma_\nu + c_1}{\log X},$$

where  $c_1$  is an explicit constant, for which some calculations yield  $c_1 = \log 6$ . In Section 3 we give a simplified version of this (see Theorem A).

The aim of this work is to show that if we consider the mean value

$$(5') \quad K_2(v, T, k) = 2 \sum_{0 < \gamma_\nu < T} e^{-k\gamma_\nu^2} \frac{\cos(\gamma_\nu v - \pi\psi_\nu)}{|\rho_\nu \zeta'(\rho_\nu)|}$$

in place of (5) then we can dispense with (3) and (4), and it is enough to know that

$$(3') \quad \rho_\nu = \frac{1}{2} + i\gamma_\nu \text{ are simple and } |\gamma_{\nu+1} - \gamma_\nu| > 9 \cdot 10^{-4} \text{ for } |\gamma_\nu| < 1.1 \cdot 10^6$$

which was verified by the computation of Rosser, Yohe and Schoenfeld [8]. Our result is

THEOREM 1. If there exists a  $v \in [e^7, e^{5 \cdot 10^4}]$  with

$$(9) \quad |K_2(v, 1.4 \cdot 10^4, 1.5 \cdot 10^{-6})| > 1 + e^{-40}$$

then (1') is false for  $X = e^{v + \sqrt{v}}$ .

A good candidate for  $v$  is naturally any positive value of  $v$  in the given range for which  $|K_1(v, T^*)| > 1$ . Such a value  $v_0 \approx 3.2097 \cdot 10^{64}$  was found during the computations of Odlyzko and te Riele. The author is deeply indebted to Prof. te Riele who showed

$$(7') \quad K_2(v_0, 1.4 \cdot 10^4, 1.5 \cdot 10^{-6}) = -1.00223 \dots$$

Theorem 1 and (7') imply

THEOREM 2. (Odlyzko-Pintz-te Riele) We have

$$(10) \quad \max_{x \leq X} |M(x)|/\sqrt{x} > 1 \text{ for } X = \exp(3.21 \cdot 10^{64}).$$

Finally we remark that using other methods the author could show that (1') implies (2)-(4) for zeros with

$$(8') \quad |\gamma_v| < c_2 \log^{1/10} X \quad \text{with explicit } c_2$$

and this makes possible to show for any  $v, T$  and  $\epsilon > 0$

$$(11) \quad \max_{x \leq X} |M(x)/\sqrt{x}| > |K_1(v, T)| - \epsilon \quad \text{for } X > c(v, T, \epsilon)$$

with an explicit  $c(v, T, \epsilon)$  thereby furnishing another effective disproof of Mertens conjecture which does not need any special numerical facts beyond the crucial relation (7).

## 2. Preliminary Lemmas

In the following we prove some lemmas (or sketch the proof of them) about the  $\zeta$ -function. They are contained in standard books but we need here all error terms with explicit constants. We use always the notations  $s = \sigma + it$ ,  $\rho = \beta + i\gamma$  for non-trivial zeros of  $\zeta(s)$  and we denote by  $\theta$  a number with  $|\theta| \leq 1$ , not necessarily the same at each appearance, further we denote  $\text{tg } 1 = 1.55\dots$  by  $c_0$ .

LEMMA 1. For  $\sigma = -1$  we have  $|\zeta(s)| \ll \frac{2}{3} |s|^{3/2}$ .

Since the value  $2/3$  is not very important we only sketch the proof. From the functional equation we have for  $s = -1 + it$

$$|\zeta(-1+it)| = \frac{|\Gamma(1+\frac{it}{2})|}{|\Gamma(-\frac{1}{2}+i\frac{t}{2})|} |\zeta(2-it)| \pi^{-\frac{3}{2}}$$

and from Stirling's formula one can derive for  $|t| > 2$

$$\text{Re}\{\log \Gamma(1+\frac{it}{2}) - \log \Gamma(-\frac{1}{2}+i\frac{t}{2})\} \ll \frac{3}{2} \log |s| + \frac{3}{2} - \log 2$$

further for  $|t| \leq 2$  one can show  $|\Gamma(1+\frac{it}{2})| \ll |\Gamma(-\frac{1}{2}+i\frac{t}{2})| |s|$ , and so we obtain Lemma 1.

LEMMA 2. (Von Mangoldt [4]). For  $c_0 \ll h \ll T-4$  we have

$$\sum_{T-h < \gamma < T+h} 1 \ll h \log T.$$

LEMMA 3. For  $0 < \sigma < 2$ ,  $t \gg 4$  we have

$$\frac{\zeta'}{\zeta}(s) = \sum_{|\gamma-t| < c_0} \frac{1}{s-\rho} + O(4 \log t + 6).$$

Proof. From (2.12.7) of Titchmarsh [9] we obtain

$$\begin{aligned} \frac{\zeta'}{\zeta}(\sigma+it) - \frac{\zeta'}{\zeta}(2+it) &= \frac{\sigma-2}{(\sigma+it-1)(1+it)} - \frac{1}{2} \left\{ \frac{\Gamma'}{\Gamma} \left( 1 + \frac{\sigma}{2} + i \frac{t}{2} \right) - \frac{\Gamma'}{\Gamma} \left( 2 + i \frac{t}{2} \right) \right\} + \\ &+ \sum_{\rho} \left( \frac{1}{\sigma+it-\rho} - \frac{1}{2+it-\rho} \right). \end{aligned}$$

The first term on the right hand side is clearly  $O(t^{-2})$  whilst using Stirling's formula for  $\Gamma'/\Gamma(s)$  we obtain

$$\begin{aligned} \frac{\Gamma'}{\Gamma} \left( \frac{s}{2} + 1 \right) &= \log \left( 1 + \frac{s}{2} \right) - \frac{1}{s+2} - \int_0^{\infty} \frac{1/2 - \{x\}}{(x+1+s/2)^2} dx \\ &= \log \left( 1 + \frac{s}{2} \right) + O\left(\frac{1}{t}\right) \end{aligned}$$

and by  $\log(1+z) = z + O(|z|^2)$  for  $|z| < 1/2$  we have

$$\log \left( 1 + \frac{\sigma}{2} + \frac{it}{2} \right) - \log \left( 2 + \frac{it}{2} \right) = \frac{\sigma-2}{4+it} + O\left(\frac{1}{t^2}\right) = O\left(\frac{1}{t}\right) \text{ for } |t| \gg 4.$$

Further, by Lemma 2 and the symmetric situation of the zeros we have with some calculations

$$\begin{aligned} \sum_{k=1}^{\infty} \sum_{(2k-1)c_0 < |\gamma-t| < (2k+1)c_0} \frac{2-\sigma}{(t-\gamma)^2} &\ll \sum_{k=1}^{\infty} 2c_0 \log(t+2kc_0) \frac{3/2}{(2k-1)^2 c_0^2} \ll \\ &\ll 3c_0^{-1} \log t \cdot \frac{4}{5} \zeta(2) + 3c_0^{-1} \sum_{k=1}^{\infty} \frac{\log 2kc_0}{(2k-1)^2}, \\ \left| \sum_{|\gamma-t| < c_0} \frac{1}{2+it-\rho} \right| &\ll \frac{3}{4} c_0 \log t, \end{aligned}$$

and these, together with  $|\zeta'(2)/\zeta(2)| < 3/5$ , imply Lemma 3.

LEMMA 4. For  $0 < \sigma < 2$ ,  $t \gg 4$  we have  $\log \zeta(s) = \sum_{|\gamma-t| < c_0} \log(s-\rho) + O(11 \log t + 14).$

Proof. From Lemmas 2 and 3 we have

$$\begin{aligned} \log \frac{\zeta(\sigma+it)}{\zeta(2+it)} &= \int_2^\sigma \frac{\zeta'}{\zeta}(\xi+it) d\xi = \int_2^\sigma \sum_{|\gamma-t|<c_0} \frac{1}{\xi+it-\rho} d\xi + O(8 \log t+12) \\ &= \sum_{|\gamma-t|<c_0} \log(s-\rho) - \sum_{|\gamma-t|<c_0} \log(2+it-\rho) + O(8 \log t+12) \\ &= \sum_{|\gamma-t|<c_0} \log(s-\rho) + O(c_0 \log t) \cdot \frac{3}{2} + O(8 \log t+12). \end{aligned}$$

This yields the following two lemmas valid for  $0 < \sigma < 2, t > 4$ .

LEMMA 5. If  $|s-\rho| > u^{-1}$  for all zeros then

$$\log |1/\zeta(s)| < c_0 \log t \log u + 11 \log t + 14.$$

LEMMA 6. Let us assume that all zeros  $\rho_\nu$  with  $|\gamma_\nu - t| < c_0$  are simple and satisfy  $|s-\rho_\nu| > u^{-1}, \min_{\nu \neq \mu} |\rho_\nu - \rho_\mu| = H^{-1}$ . Then

$$\log |1/\zeta(s)| < \log u + c_0 \log t \log (2H) + 11 \log t + 14.$$

Finally, we state without proof the following

LEMMA 7.  $|\zeta(1/4+it)| > e^{-150}$  for  $|t| < 4$ .

### 3. The case when RH is false

The case when (RH) is false is treated by a simplified version of the Theorem of [7].

THEOREM A. Let  $\zeta(\rho_0) = \zeta(\beta_0 + i\gamma_0) = 0$  with  $\beta_0 > 1/2, \gamma_0 > 0$ . Then

$$D(Y) = \frac{1}{Y} \int_0^Y |M(x)| dx > \frac{Y^{\beta_0}}{5 \gamma_0} \quad \text{for } Y > \gamma_0^5.$$

Proof. Let  $g(s) = \frac{s(s-1)\zeta(s)}{(s-\rho_0)(s+2)^6}$ ,  $w(A) = \frac{1}{2\pi i} \int_{(2)} g(s) A^{s+1} ds \quad (A > 0)$ .

Integrating along the lines  $\sigma = -1$  and  $\sigma = B \rightarrow \infty$  we obtain

$$|W(A)| \ll \frac{1}{2\pi} \int_{(-1)} |g(s)| ds \ll \frac{1}{2\pi} \int_{(-1)} \frac{2|s|^{\frac{2}{3}} |s|^{\frac{3}{2}}}{|s|^6} |ds| \ll \frac{2}{3\pi} \int_{(-1)} \frac{|ds|}{|s|^2} = \frac{2}{3},$$

$$|W(A)| \ll A^{B+1} \cdot \frac{1}{2\pi} \int_{(B)} |g(s)| |ds| \ll A^{B+1} \rightarrow 0 \text{ if } A < 1 \text{ and } B \rightarrow \infty.$$

Therefore we have

$$|U(Y)| := \frac{1}{Y} \left| \int_1^\infty M(x) W\left(\frac{Y}{x}\right) dx \right| \ll \frac{2}{3} \cdot \frac{1}{Y} \int_1^Y |M(x)| dx \ll \frac{2}{3} D(Y).$$

On the other hand interchanging the order of integrations

$$\begin{aligned} U(Y) &= \frac{1}{2\pi i} \int_{(2)} Y^s g(s) \int_1^\infty \frac{M(x)}{x^{s+1}} dx ds = \frac{1}{2\pi i} \int_{(2)} \frac{s(s-1)\zeta(s)}{(s-\rho_0)(s+2)^6} \cdot \frac{Y^s ds}{s\zeta(s)} \\ &= \frac{(\rho_0-1)Y^{\rho_0}}{(\rho_0+2)^6} + \frac{1}{2\pi i} \int_{(-1)} \frac{(s-1)Y^s ds}{(s-\rho_0)(s+2)^6} = \frac{(\rho_0-1)}{(\rho_0+2)^6} Y^{\rho_0+\theta} Y^{-1}, \end{aligned}$$

and so  $|U(Y)| > \frac{9}{10} \frac{Y^{\beta_0}}{Y_0} - \frac{1}{5} > \frac{2}{3} Y^{\beta_0}$  which proves Theorem A.

COROLLARY A. If for a given Y there exists a zero  $\rho_0$  with  $\beta_0 > \frac{1}{2} + \frac{5 \log |\gamma_0|}{\log Y}$  then  $D(Y) > \sqrt{Y}$ .

#### 4. Two mean values of M(x)

We shall investigate the following mean values of M(x) ( $u, k > 0$ ):

$$M_1(u) = \frac{e^{-k/4-u/2}}{2\sqrt{\pi k}} \int_{e^{-2\sqrt{ku}}}^{e^{u+2\sqrt{ku}}} \frac{M(x)}{x} \exp\left(-\frac{(u-\log x)^2}{4k}\right) dx,$$

$$M_2(u) = \frac{e^{-k/4-u/2}}{2\sqrt{\pi k}} \int_1^\infty \frac{M(x)}{x} \exp\left(-\frac{(u-\log x)^2}{4k}\right) dx.$$

LEMMA A. If  $|M(x)| \ll A\sqrt{x}$  for  $|\log x - u| \ll 2\sqrt{ku}$  then  $|M_1(u)| \ll A$ .

Proof. 
$$\frac{e^{-k/4-u/2}}{2\sqrt{\pi k}} \int_{e^{u-2\sqrt{ku}}}^{e^{u+2\sqrt{ku}}} \sqrt{x} \exp\left(-\frac{(u-\log x)^2}{4k}\right) \frac{dx}{x} = \frac{1}{2\sqrt{\pi k}} \int_{-2\sqrt{ku}}^{2\sqrt{ku}} e^{-\frac{y^2}{4k} + \frac{y-k}{2}} dy$$

$$= \frac{1}{\sqrt{\pi}} \frac{\int_{-\sqrt{u}-\sqrt{k}/2}^{\sqrt{u}-\sqrt{k}/2} e^{-v^2} dv}{\int_{-\sqrt{u}-\sqrt{k}/2}^{\sqrt{u}-\sqrt{k}/2} e^{-v^2} dv} < \frac{1}{\sqrt{\pi}} \int_{-\infty}^{\infty} e^{-v^2} dv = 1.$$

LEMMA B.  $|M_2(u) - M_1(u)| < 2e^{-u/4}$  if  $k < 1/4, u > 16$ .

Proof. 
$$\frac{e^{-k/4-u/2}}{2\sqrt{\pi k}} \int_{e^{u+2\sqrt{ku}}}^{\infty} \exp\left(-\frac{(u-\log x)^2}{4k}\right) dx = \frac{e^{3k/4+u/2}}{2\sqrt{\pi k}} \int_{\sqrt{ku}}^{\infty} e^{-\frac{y^2}{4k} + y - k} dy$$

$$= \frac{e^{3k/4+u/2}}{\sqrt{\pi}} \int_{\sqrt{u}-\sqrt{k}}^{\infty} e^{-v^2} dv < e^{3k/4+u/2-u-k+2\sqrt{ku}} < e^{-u/4},$$

and the same holds for the integral on  $[1, e^{u-2\sqrt{ku}}]$ .

LEMMA C.  $M_2(u) = \frac{e^{-k/4-u/2}}{2\pi i} \int_{(2)} \frac{e^{ks^2+us}}{s\zeta(s)} ds.$

Proof. This follows from the identity  $\frac{e^{-y^2/4k}}{2\sqrt{\pi k}} = \frac{1}{2\pi i} \int_{(2)} e^{ks^2+ys} ds.$

5. The case when RH is (approximately) true

In the following let  $k=1.5 \cdot 10^{-6}$  and let us suppose

(i)  $M(x) < \sqrt{x}$  for  $x < e^u$  where  $e^{7-k} < u < e^{5 \cdot 10^4}$ .

Then Corollary A implies for every zero

(ii)  $\beta_0 < \frac{1}{2} + \frac{5 \log |\gamma_0|}{u}$ .

Let us transform the way of integration in Lemma C onto the line which consists of  $L_1, L_1', L_2, L_2', L_3$  and their reflection on the real axis, where

$$L_1 = \{s; \sigma = \frac{1}{2} + \frac{5 \log t + 2}{u}\}, L_1' = \{s = \sigma + iT_1, \sigma - \frac{1}{2} \in [\frac{1}{u}, \frac{5 \log T_1 + 2}{u}]\},$$

$$L_2 = \{s = \frac{1}{2} + \frac{1}{u} + it; t \in [T_0, T_1]\}, L_2' = \{s = \sigma + iT_0, \sigma \in [\frac{1}{4}, \frac{1}{2} + \frac{1}{u}]\},$$

$$L_3 = \{s = \frac{1}{4} + it; t \in [0, T_0]\}, T_0 = 1.4 \cdot 10^4, T_1 = 10^6;$$



here  $T_0$  satisfies  $|\gamma - T_0| \geq u^{-1}$  for every zero. Then by (3') and (ii) we have for every  $\rho$

(iii)  $|s - \rho| \geq u^{-1}$  if  $s \in L$

(iv) the conditions of Lemma 6 hold for  $s \in L_2 \cup L_2'$  with  $H = \frac{10^4}{9}$ .

LEMMA D.  $M_2(u) = \frac{1}{2\pi i} \int_{(L)} \frac{e^{k(s^2-1/4)+u(s-1/2)}}{s\zeta(s)} ds + K_2(u+k, T_0, k).$

Proof. Transforming the way of integration in Lemma C onto  $L$  we obtain the following sum of residues:

$$\sum_{|\gamma| < T_0} \frac{e^{k(\rho^2-1/4)+u(\rho-1/2)}}{\rho\zeta'(\rho)} = 2 \sum_{0 < \gamma_v < T} |a_{\rho_v}| e^{-k\gamma_v^2} \operatorname{Re} e^{-i\pi\psi_v + ik\gamma_v + iu\gamma_v}.$$

LEMMA E.  $|\int_{(L)} \frac{e^{k(s^2-1/4)+u(s-1/2)}}{s\zeta(s)} ds| \leq 5e^{-40}.$

Proof. By (iii) and Lemma 5 we have

$$\begin{aligned} \left| \int_{(L_1)} \frac{1}{L_1 t} \exp\{k(\sigma^2 - t^2) + 6 \log t + 2c_0 \cdot 5 \cdot 10^4 \log t + 11 \log t + 14\} dt \right| \\ < \max_{t > 10^6} \exp\{k(\log^2 t - t^2) - 10^5 \log t\} < \exp(-10^5) \end{aligned}$$

and the same holds for the integral on  $L_1'$  too. Let

$$I_n = \{s \in L_2; \frac{n}{u} < \min_{\rho} |\gamma - t| < \frac{n+1}{u}\} \text{ for } 1 < n < 10^3 u.$$

Then  $|I_n| < 2u^{-1} \sum_{\gamma < T_1 + 10^4} 1 < 4 \cdot 10^6 u^{-1}$  and by (iii), (iv) and Lemma 6 we

have

$$\begin{aligned} \left| \int_{I_n} \right| < |I_n| \max_{T_0 < t < T_1} \exp\{k(1-t^2) + 1 + 14 + \log t(10 + c_0 \log \frac{10^4}{4})\} \frac{u}{n} \\ < 4 \cdot 10^6 n^{-1} \exp\{-k T_0^2 + 16 + 23 \log T_0\} < e^{-43} n^{-1}. \end{aligned}$$

So we have

$$\left| \int_{L_2} \right| < e^{-43} \sum_{n < 10^3 u} n^{-1} < e^{-43} (\log 5 \cdot 10^7 + 1) < e^{-40}$$

and the same holds for the integral on  $L_2'$ . Finally we have for  $4 < t < T_0$  from Lemma 5

$|\zeta^{-1}(s)| < e^{10(c_0 \log 4 + 11) + 14} < e^{150}$  (if  $s \in L_3$ ),

and so, using also Lemma 7 we get

$|\int_{L_3} T_0 e^{-u/4} 4e^{150} < e^{-100}$ .

Q.E.D.

6. Proof of Theorem 1

Lemmas A-E imply that if  $k = 1.5 \cdot 10^{-6}$ ,  $e^{7-k} < u < 5 \cdot 10^4$  and  $|M(x)| < \sqrt{x}$  for  $x < e^{u+2\sqrt{ku}}$  then  $|M_1(u)| < 1$ ,  $|M_2(u)| < 1 + e^{-270}$ , and finally  $|K_2(u+k, T_0, k)| < 1 + e^{-270} + (5/2\pi)e^{-40} < 1 + e^{-40}$ . This proves the theorem, since  $e^{u+2\sqrt{ku}} < e^{u+k+\sqrt{u+k}}$ .

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Acknowledgement. This work was written when the author was visiting Freiburg University with support of the Alexander von Humboldt Foundation.

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