

# ANNALI DELLA SCUOLA NORMALE SUPERIORE DI PISA *Classe di Scienze*

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*Annali della Scuola Normale Superiore di Pisa, Classe di Scienze 4<sup>e</sup> série, tome 25, n° 3-4 (1997), p. 829-839*

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## Periodicity and Almost Periodicity in Markov Lattice Semigroups

EDOARDO VESENTINI

Let  $K$  be a compact metric space. A continuous semiflow  $\phi : \mathbb{R}_+ \times K \rightarrow K$  on  $K$  defines a strongly continuous Markov lattice semigroup  $T : \mathbb{R}_+ \rightarrow \mathcal{L}(C(K))$  acting on the Banach space  $C(K)$  of all complex-valued continuous functions on  $K$  (endowed with the uniform norm) and expressed by

$$(1) \quad T(t)f = f \circ \phi_t$$

for all  $f \in C(K)$  and all  $t \in \mathbb{R}_+$ .

If  $x \in K$  is a periodic point of  $\phi$ , the functions  $t \mapsto f(\phi_t(x))$  are continuous periodic functions on  $\mathbb{R}_+$  for all  $f \in C(K)$ : a fact which imposes constraints on the spectral structure of the infinitesimal generator  $X$  of  $T$ . Milder restrictions on the spectrum of  $X$  are implied by the existence of almost periodic orbits, of asymptotically stable points and of non-wandering points for  $\phi$ . Some of these constraints, together with their consequences on the behaviour of  $T$  and of  $\phi$ , are discussed in this article.

In its final section, the paper corrects an error in [5], that was kindly pointed out to the author by C. J. K. Batty.

1. The following results have been established in [5]. Let  $\mathcal{E}$  be a complex Banach space and let  $T : \mathbb{R}_+ \rightarrow \mathcal{L}(\mathcal{E})$  be a uniformly bounded, strongly continuous semigroup of continuous linear operators acting on  $\mathcal{E}$ . Let  $X : \mathcal{D}(X) \subset \mathcal{E} \rightarrow \mathcal{E}$  be the infinitesimal generator of  $T$ . Let  $M \geq 1$  be such that  $\|T(t)\| \leq M$  for all  $t \geq 0$ .

Consider now the dual semigroup  $T^+ : \mathbb{R}_+ \rightarrow \mathcal{L}(\mathcal{E}^+)$  of  $T$ , and let  $X^+ : \mathcal{D}(X^+) \subset \mathcal{E}^+ \rightarrow \mathcal{E}^+$  be its infinitesimal generator (see, e.g., [3] for the definition). For  $g \in \mathcal{E}$  and  $\lambda \in \mathcal{E}'$ , the topological dual of  $\mathcal{E}$ ,  $\langle g, \lambda \rangle$  will denote the value of  $\lambda$  on  $g$ . For  $\theta \in \mathbb{R}$ , the set  $\mathcal{H}'_{i\theta}$  of all  $\lambda$  in the topological dual  $\mathcal{E}'$  of  $\mathcal{E}$  for which the limit

$$(2) \quad \lim_{a \rightarrow +\infty} \frac{1}{a} \int_0^a e^{-i\theta t} \langle T(t)f, \lambda \rangle dt$$

exists for all  $f \in \mathcal{E}$ , is a linear subspace of  $\mathcal{E}'$  which contains  $\ker(X^+ - i\theta I) \oplus \overline{\mathcal{R}(X^+ - i\theta I)}$  (where  $\overline{\mathcal{R}(X^+ - i\theta I)}$  is the closure of the range  $\mathcal{R}(X^+ - i\theta I)$ ) of

$X^+ - i\theta I$ ). Since  $\mathcal{E}'$  is sequentially weak-star complete, the equation

$$(3) \quad \langle f, R_{i\theta}\lambda \rangle = \lim_{a \rightarrow +\infty} \frac{1}{a} \int_0^a e^{-i\theta t} \langle T(t)f, \lambda \rangle dt \quad \forall f \in \mathcal{E}$$

defines a continuous linear operator  $R_{i\theta} : \mathcal{H}'_{i\theta} \rightarrow \mathcal{E}'$  which is a projector with norm  $\leq M$ , whose range is  $\ker(X^+ - i\theta I)$  and whose restriction to  $\ker(X^+ - i\theta I) \oplus \overline{\mathcal{R}(X^+ - i\theta I)}$  coincides with the spectral projector defined on  $\ker(X^+ - i\theta I) \oplus \overline{\mathcal{R}(X^+ - i\theta I)}$  by the ergodic theorem applied to  $X^+ - i\theta I$ .

The hypothesis on the existence of the limit (2) for all  $f \in \mathcal{E}$  and all  $\theta \in \mathbb{R}$ , is satisfied if  $\lambda \in \mathcal{E}'$  is such that the functions  $t \mapsto \langle T(t)f, \lambda \rangle$  are asymptotically almost periodic for all  $f \in \mathcal{E}$ .

2. Let  $K$  be a compact metric space. To avoid trivialities, suppose that  $K$  contains more than one point. Let  $\phi : \mathbb{R}_+ \times K \rightarrow K$  be a continuous semiflow on  $K$ , (see, e.g., [1]), and let  $T : \mathbb{R}_+ \rightarrow \mathcal{L}(C(K))$  be the strongly continuous Markov lattice semigroup, acting on the Banach space  $\mathcal{E} = C(K)$  of all complex-valued continuous functions on  $K$ , endowed with the uniform norm, defined by (1) for all  $f \in C(K)$  and all  $t \in \mathbb{R}_+$ . The infinitesimal generator  $X$  of  $T$  is a derivation.

For any  $t \in \mathbb{R}_+$ ,  $T(t)$  is a linear contraction; it is an isometry if, and only if,  $\phi_t$  is surjective, and is a surjective isometry if, and only if,  $\phi_t$  is a homeomorphism of  $K$  onto  $K$ .

If

$$(4) \quad \|T(t_0)f\| < \|f\| \text{ for some } t_0 > 0 \text{ and some } f \in \mathcal{E},$$

and if  $t \geq 0$ , then

$$\|T(t_0 + t)f\| = \|T(t)T(t_0)f\| \leq \|T(t_0)f\| < \|f\|.$$

Thus, if (4) holds, then  $\|T(s)f\| < \|f\|$  for all  $s \geq t_0$ . Equivalently, if there exists  $\epsilon > 0$  such that  $\|T(\epsilon)g\| = \|g\|$  for all  $g \in \mathcal{E}$ , then  $\|T(s)g\| = \|g\|$  for all  $g \in \mathcal{E}$  and all  $s \in [0, \epsilon]$ . Thus, if (4) holds, then  $t_0 > \epsilon$ . Suppose now that, furthermore,  $\|T(t_0 - s)g\| = \|g\|$  for all  $g \in \mathcal{E}$  and some  $s \in (0, \epsilon)$ . Then

$$\|T(t_0)g\| = \|T(s)T(t_0 - s)g\| = \|T(t_0 - s)g\| = \|g\|,$$

contradicting (4). The set

$$S = \{t \in \mathbb{R}_+^* : T(t) \text{ is not an isometry}\}$$

is either empty or an open half line.

**PROPOSITION 1.** *If  $T(t)$  is a contraction of  $\mathcal{E}$  for any  $t \geq 0$ , the set of all  $t$  for which  $T(t)$  is an isometry is either  $\mathbb{R}_+$  or the empty set.*

In other words, either  $S = \emptyset$  or  $S = \mathbb{R}_+^*$  <sup>(1)</sup>.

PROOF. If  $S \neq \mathbb{R}_+^*$ , there is  $\epsilon > 0$  such that  $(0, \epsilon] \cap S = \emptyset$ , and therefore  $T(t)$  is an isometry for all  $t \in (0, \epsilon]$ . Let ( $S \neq \emptyset$  and let)  $t_1 = \inf S$ . Hence  $t_1 > 0$ . Choose  $\sigma$  in such a way that

$$0 < 2\sigma < \epsilon, \quad \sigma < t_1.$$

Then  $t_1 + \sigma \in S$  and  $0 < t_1 - \sigma \notin S$ . Since

$$t_1 - \sigma = t_1 + \sigma - 2\sigma > t_1 + \sigma - \epsilon,$$

$T(t_1 - \sigma)$  is not an isometry, contradicting the definition of  $t_1$ . □

As a consequence of this result and of Theorems 1 and 2 of [4], the following theorem holds.

**THEOREM 1.** *If  $\phi_t$  is surjective for some  $t > 0$ , the derivation  $X$  is a conservative and  $m$ -dissipative operator whose spectrum is non-empty.*

Let  $x \in K$  be such that the functions

$$t \mapsto \langle T(t)f, \delta_x \rangle = f(\phi_t(x))$$

are asymptotically almost periodic on  $\mathbb{R}_+$  for all  $f \in C(K)$ . Then, for any  $\theta \in \mathbb{R}$ , the limit

$$\lim_{a \rightarrow +\infty} \frac{1}{a} \int_0^a e^{-i\theta t} \langle T(t)f, \delta_x \rangle dt = \lim_{a \rightarrow +\infty} \frac{1}{a} \int_0^a e^{-i\theta t} f(\phi_t(x)) dt$$

exists for all  $f \in C(K)$ , showing that  $\delta_x \in \mathcal{H}'_{i\theta}$ . As before, let  $R_{i\theta} \delta_x \in C(K)'$  be defined by

$$\langle f, R_{i\theta} \delta_x \rangle = \lim_{a \rightarrow +\infty} \frac{1}{a} \int_0^a e^{-i\theta t} f(\phi_t(x)) dt \quad \forall f \in C(K);$$

$R_{i\theta} \delta_x$  is (represented by) a Borel measure on  $K$ , i.e.,

$$\begin{aligned} \int f dR_{i\theta} \delta_x &= \langle f, R_{i\theta} \delta_x \rangle \\ &= \lim_{a \rightarrow +\infty} \frac{1}{a} \int_0^a e^{-i\theta t} f(\phi_t(x)) dt \quad \forall f \in C(K). \end{aligned}$$

<sup>(1)</sup>The proof holds for any normed vector space  $\mathcal{E}$  and for every map  $T : \mathbb{R}_+^* \rightarrow \mathcal{L}(\mathcal{E})$  such that  $T(t)$  is a contraction and  $T(t_1 + t_2) = T(t_1) \circ T(t_2)$  for all  $t, t_1, t_2 \in \mathbb{R}_+^*$ .

For all  $f \in C(K)$ ,  $\lambda \in \mathcal{H}'_{i\theta}$  and  $s \geq 0$ ,

$$\begin{aligned}
 \langle f \circ \phi_s, R_{i\theta} \lambda \rangle &= \lim_{a \rightarrow +\infty} \frac{1}{a} \int_0^a e^{-i\theta t} \langle f \circ \phi_s \circ \phi_t, \lambda \rangle dt \\
 &= \lim_{a \rightarrow +\infty} \frac{1}{a} \int_0^a e^{-i\theta t} \langle f \circ \phi_{s+t}, \lambda \rangle dt \\
 &= e^{i\theta s} \lim_{a \rightarrow +\infty} \frac{1}{a} \int_s^{s+a} e^{-i\theta t} \langle f \circ \phi_t, \lambda \rangle dt \\
 &= e^{i\theta s} \left\{ \lim_{a \rightarrow +\infty} \frac{a+s}{a} \frac{1}{a+s} \int_0^{a+s} e^{-i\theta t} \langle f \circ \phi_t, \lambda \rangle dt \right. \\
 &\quad \left. - \lim_{a \rightarrow +\infty} \frac{1}{a} \int_0^s e^{-i\theta t} \langle f \circ \phi_t, \lambda \rangle dt \right\} \\
 &= e^{i\theta s} \lim_{a \rightarrow +\infty} \frac{1}{a} \int_0^a e^{-i\theta t} \langle f \circ \phi_t, \lambda \rangle dt \\
 &= e^{i\theta s} \langle f, R_{i\theta} \lambda \rangle.
 \end{aligned}$$

In particular,

$$\langle f \circ \phi_s, R_{i\theta} \delta_x \rangle = e^{i\theta s} \langle f, R_{i\theta} \delta_x \rangle$$

for all  $f \in C(K)$  and  $s \geq 0$ .

As a consequence of the ergodic theorem for asymptotically almost periodic functions,  $R_{i\theta} \delta_x \neq 0$  if, and only if,  $\theta$  is a frequency of the asymptotically almost periodic function  $t \mapsto f(\phi_t(x))$  for some  $f \in C(K) \setminus \{0\}$ , i.e., if, and only if, [5],  $i\theta \in p\sigma(X) \cup p\sigma(X^+)$ , where  $p\sigma$  denotes the point spectrum. For  $\theta = 0$ ,  $R_0 \delta_x$  is a Borel probability measure which is  $\phi_s$ -invariant for all  $s \geq 0$  and whose support is  $\overline{O^+(x)}$ <sup>(2)</sup>.

If  $x$  is a periodic point of the continuous flow  $\phi$ , the functions  $t \mapsto f(\phi_t(x))$ , are periodic for all  $f \in C(K)$ , and the support of  $R_0 \delta_x$  is the forward orbit  $O^+(x)$ . Let  $\phi_s$  be uniquely ergodic for some  $s > 0$ , i.e., [6], suppose that there is only one  $\phi_s$ -invariant Borel probability measure  $\mu$  on  $K$ . If  $x_0$  and  $x_1$  are two periodic points of  $\phi$ , then  $\mu = R_0 \delta_{x_0} = R_0 \delta_{x_1}$ . That proves

**THEOREM 2.** *If  $\phi$  is a continuous flow on the compact metric space  $K$ , and if  $\phi_s$  is uniquely ergodic for some  $s > 0$ , then  $\phi$  has a periodic orbit at most.*

3. Let  $\phi$  be topologically transitive, i.e.,  $\overline{O^+(x_0)} = K$  for some  $x_0 \in K$ . If  $\kappa \in \mathbf{C}$  is an eigenvalue of  $X$ , and  $g_1, g_2$  are two eigenfunctions of  $X$  corresponding to  $\kappa$ , then  $g_1(x_0)g_2(x_0) \neq 0$ , and therefore

$$\frac{g_2(\phi_t(x_0))}{g_1(\phi_t(x_0))} = \frac{e^{\kappa t} g_2(x_0)}{e^{\kappa t} g_1(x_0)} = \frac{g_2(x_0)}{g_1(x_0)}$$

<sup>(2)</sup>This fact can be viewed as a generalization of Theorem 6.16 of [6] to continuous semiflows.

for all  $t \in \mathbb{R}_+$ , showing that  $\dim_{\mathbb{C}} \ker(X - \kappa I) = 1$ . Furthermore, the eigenfunctions corresponding to  $\kappa = 0$ , i.e. the  $\phi$ -invariant continuous functions, are constant on  $K$ .

If  $f \in \ker(X - \kappa I) \setminus \{0\}$  and  $x$  is a periodic point of  $\phi$ , with period  $\tau$ , then

$$f(x) = f(\phi_{\tau}(x)) = e^{\kappa\tau} f(x).$$

Therefore, either  $\kappa\tau = 2n\pi i$  for some  $n \in \mathbb{Z}$ , or  $f(x) = 0$ . By the same argument, if  $\omega$  is another eigenvalue of  $X$  and  $h \in \ker(X - \omega I) \setminus \{0\}$ , then either  $\omega\tau = 2m\pi i$  for some  $m \in \mathbb{Z}$ , or  $h(x) = 0$ . Hence, if  $f(x)h(x) \neq 0$ ,  $\kappa$  and  $\omega$  are linearly dependent over  $\mathbb{Z}$ .

Suppose again that  $\phi$  is topologically transitive. Then the sets  $\{y \in K : f(y) \neq 0\}$  and  $\{y \in K : h(y) \neq 0\}$  are dense open sets of  $K$ , and the following theorem holds.

**THEOREM 3.** *If the continuous semiflow  $\phi$  is topologically transitive and the set of its periodic points is dense, either the point spectrum of  $X$  is empty, or all the eigenvalues of  $X$  are rational multiples of some point of  $i\mathbb{R}$ .*

Let  $\zeta \in p\sigma(X)$  and  $g \in \ker(X - \zeta I) \setminus \{0\}$ , so that

$$g \circ \phi_t = e^{\zeta t} g \quad \forall t \in \mathbb{R}_+.$$

If there is  $x \in K$  such that the functions  $t \mapsto f(\phi_t(x))$  are asymptotically almost periodic for all  $f \in C(K)$ , then

$$e^{\zeta t} \langle g, R_{i\theta} \delta_x \rangle = \langle g \circ \phi_t, R_{i\theta} \delta_x \rangle = e^{i\theta t} \langle g, R_{i\theta} \delta_x \rangle,$$

i.e.

$$(e^{(i\theta - \zeta)t} - 1) \langle g, R_{i\theta} \delta_x \rangle = 0$$

for all  $t \geq 0$  and all  $\theta \in \mathbb{R}$ . Hence, either  $\theta = -i\zeta$  or

$$(5) \quad \langle g, R_{i\theta} \delta_x \rangle = 0.$$

If  $\theta = -i\zeta$ , then

$$\begin{aligned} \langle g, R_{i\theta} \delta_x \rangle &= \lim_{a \rightarrow +\infty} \frac{1}{a} \int_0^a e^{-i\theta t} e^{\zeta t} dt \langle g, \delta_x \rangle \\ &= \langle g, \delta_x \rangle = g(x). \end{aligned}$$

If  $\omega \in \mathbb{C}$  is an eigenvalue of  $T(s)$  for some  $s > 0$ , there is some  $n \in \mathbb{Z}$  such that

$$\zeta_n := \log \omega + \frac{2n\pi i}{s} \in p\sigma(X),$$

and the eigenspace  $\ker(T(s) - \omega I)$  is the closure of the linear subspace of  $C(K)$  spanned by all  $\ker(X - \zeta_n I)$  for which  $\zeta_n \in p\sigma(X)$ , [2].

If there is a frequency  $\theta$  of the asymptotically almost periodic function  $t \mapsto f(\phi_t(x))$ , for some  $f \in C(K)$ , such that  $e^{i\theta s}$  is *not* an eigenvalue of  $T(s)$ , then (5) holds for all eigenfunctions  $g$  of  $X$ . Suppose now that, for some  $s > 0$ ,  $\phi_s$  has a topological discrete spectrum, i.e., all eigenfunctions of  $T(s)$  span a dense linear subspace of  $C(K)$ . Thus, (5) - holding on a dense subspace of  $C(K)$  - implies that  $R_{i\theta} \delta_x = 0$ : which is absurd. Hence

$$e^{i\theta s} \in p\sigma(T(s)),$$

and therefore

$$i\theta + \frac{2n\pi i}{s} \in p\sigma(X)$$

for some  $n \in \mathbb{Z}$ . Since  $p\sigma(X) \in p\sigma(X')$ , where  $X'$  is the dual operator of  $X$ , the following proposition holds.

**PROPOSITION 2.** *If  $x \in K$  is such that the functions  $t \mapsto f(\phi_t(x))$  are asymptotically almost periodic for all  $f \in C(K)$  and if  $\phi_s$  has a topological discrete spectrum for some  $s > 0$ , then  $p\sigma(X') \cap i\mathbb{R} \neq \emptyset$ .*

4. Let  $d$  be a distance defining the metric topology of  $K$ . A point  $x \in K$  will be said to be an *asymptotically almost periodic point* of  $\phi$  if, for all  $\delta > 0$ , there exist  $\alpha \geq 0$  and  $l > 0$  such that every interval  $[s, s + l]$ , with  $s \geq 0$ , contains some  $\tau$  such that

$$(6) \quad d(\phi_{t+\tau}(x), \phi_t(x)) < \delta$$

for all  $t \geq \alpha$ . Since

$$|d(\phi_{t+\tau}(x), x) - d(\phi_t(x), x)| \leq d(\phi_{t+\tau}(x), \phi_t(x)),$$

if  $x \in K$  is an asymptotically almost periodic point of  $\phi$ , the function  $\mathbb{R}_+ \ni t \mapsto d(\phi_t(x), x)$  is asymptotically almost periodic.

If (6) is only required to hold when  $t = 0$ , the point  $x$  is said to be *almost periodic*.

Since  $K$  is compact, for any  $f \in C(K)$  and any  $\epsilon > 0$ , there exists  $\delta > 0$  such that, if  $d(x_1, x_2) < \delta$ , then  $|f(x_1) - f(x_2)| < \epsilon$ . If  $x$  is asymptotically almost periodic for  $\phi$ , choosing  $\alpha$  and  $l$  as above, then

$$|f(\phi_{t+\tau}(x)) - f(\phi_t(x))| < \epsilon \quad \forall t \geq \alpha.$$

That proves the following lemma.

**LEMMA 1.** *If  $x \in K$  is an asymptotically almost periodic point of the continuous semiflow  $\phi$ , for every  $f \in C(K)$  the function  $\mathbb{R}_+ \ni t \mapsto f(\phi_t(x))$  is asymptotically almost periodic.*

The point will be said to be *asymptotically stable* for the semiflow  $\phi$  if, for every  $\epsilon > 0$  and every  $\alpha > 0$ , there is some  $t \geq \alpha$  such that

$$(7) \quad d(\phi_t(x), x) \leq \epsilon.$$

All almost periodic points are asymptotically stable.

Let  $\phi : \mathbb{R} \times K \rightarrow K$  be a continuous flow, and let  $T : \mathbb{R} \rightarrow \mathcal{L}(C(K))$  be the strongly continuous group defined by (1) for all  $t \in \mathbb{R}$  and all  $f \in C(K)$ .

**THEOREM 4.** *Let  $x \in K$ . If the functions  $\mathbb{R} \ni t \mapsto f(\phi_t(x))$  are almost periodic for all  $f \in C(K)$ , the point  $x \in K$  is asymptotically stable for the restriction of  $\phi$  to  $\mathbb{R}_+$ .*

**PROOF.** If  $x \in K$  is not asymptotically stable, there are some  $\epsilon > 0$  and some  $\alpha > 0$  such that

$$(8) \quad t > \alpha \implies d(\phi_t(x), x) > \epsilon$$

Let  $B(x, \epsilon)$  be the open ball, with center  $x$  and radius  $\epsilon$  for the distance  $d$ . Let  $f \in C(K)$  be such that

$$(9) \quad \text{Supp } f \subset B(x, \epsilon) \text{ and } f(x) \neq 0.$$

Then

$$\lim_{a \rightarrow +\infty} \frac{1}{a} \int_0^a e^{-i\theta t} f(\phi_t(x)) dt = 0$$

for all  $\theta \in \mathbb{R}$ . Hence, all the frequencies of the almost periodic function  $t \mapsto f(\phi_t(x))$  vanish. Thus the function is constant, contradicting (9).

**COROLLARY 1.** *If the group  $T$  is weakly almost periodic, every point of  $K$  is asymptotically stable.*

Suppose there is some  $c > 0$  such that

$$(10) \quad d(\phi_t(u), \phi_t(v)) \leq c d(u, v) \quad \forall u, v \in K, \forall t \geq 0;$$

$\phi$  will then be called a  $c$ -contractive semiflow (a contractive semiflow when  $c = 1$ ).

If (10) is satisfied and if  $x \in K$  is an almost periodic point of  $\phi$ , (6) holds for all  $t \geq 0$ . As a consequence, the function  $t \mapsto d(\phi_t(x), x)$  is asymptotically almost periodic.

**PROPOSITION 3.** *All asymptotically stable points of the continuous semiflow  $\phi$  are non-wandering.*

*If  $\phi$  is  $c$ -contractive for some  $c > 0$ , all non-wandering points are asymptotically stable.*



PROOF. If  $x$  is asymptotically stable, for all  $\epsilon > 0$  and all  $\alpha > 0$  there is some  $t \geq \alpha$  satisfying (7). Since  $\phi_t(x) \in B(x, 2\epsilon)$ , then

$$x \in B(x, 2\epsilon) \cap \phi_t^{-1}(B(x, 2\epsilon)),$$

showing that  $x$  is a non-wandering point.

Conversely, let  $x$  be a non-wandering point, and suppose there are  $\epsilon_o > 0$  and  $\alpha_o > 0$  such that

$$(11) \quad d(\phi_\tau(x), x) \geq \epsilon_o \quad \forall \tau \geq \alpha_o.$$

Choose  $\tau_o > \alpha_o$ , and let  $\sigma \in (0, \frac{\epsilon_o}{2c})$ . There exists  $\delta > 0$  - which can be assumed  $< \frac{\epsilon_o}{2}$  - such that, if  $d(x, y) < \delta$ , then  $d(\phi_{\tau_o}(x), \phi_{\tau_o}(y)) < \sigma$ , i.e.,

$$\phi_{\tau_o}(B(x, \delta)) \subset B(\phi_{\tau_o}(x), \sigma).$$

Since  $x$  is non-wandering, there is some  $\tau \geq \tau_o$  such that

$$\phi_\tau^{-1}(B(x, \delta)) \cap B(x, \delta) \neq \emptyset,$$

and therefore, being

$$\begin{aligned} \phi_\tau^{-1}(B(x, \delta) \cap \phi_\tau(B(x, \delta))) &= \phi_\tau^{-1}(B(x, \delta)) \cap \phi_\tau^{-1} \circ \phi_\tau(B(x, \delta)) \\ &\supset \phi_\tau^{-1}(B(x, \delta)) \cap B(x, \delta), \end{aligned}$$

also

$$B(x, \delta) \cap \phi_\tau(B(x, \delta)) \neq \emptyset.$$

Since, by (10),

$$\begin{aligned} d(\phi_\tau(x), \phi_\tau(y)) &= d(\phi_{\tau-\tau_o} \circ \phi_{\tau_o}(x), \phi_{\tau-\tau_o} \circ \phi_{\tau_o}(y)) \\ &\leq c d(\phi_{\tau_o}(x), \phi_{\tau_o}(y)) < c\sigma < \frac{\epsilon_o}{2} \end{aligned}$$

whenever  $d(x, y) < \delta$ , then

$$\phi_\tau(B(x, \delta)) \subset B\left(\phi_\tau(x), \frac{\epsilon_o}{2}\right).$$

Choose any

$$z \in B(x, \delta) \cap \phi_\tau(B(x, \delta)).$$

Thus,  $z \in B(\phi_\tau(x), \frac{\epsilon_o}{2})$ , i.e.,  $d(\phi_\tau(x), z) < \frac{\epsilon_o}{2}$ . Since  $d(x, z) < \delta < \frac{\epsilon_o}{2}$ , then

$$d(\phi_\tau(x), x) \leq d(\phi_\tau(x), z) + d(x, z) < \frac{\epsilon_o}{2} + \frac{\epsilon_o}{2} = \epsilon_o,$$

contradicting (11). □

5. If the forward orbit of  $x \in K$  is not dense, there are  $u \in K$  and  $r > 0$  such that

$$B(u, r) \cap O^+(x) = \emptyset.$$

If (10) holds, and if  $y \in K$  is such that  $d(x, y) < \frac{r}{2c}$ , then

$$d(\phi_t(x), \phi_t(y)) \leq c d(x, y) < \frac{r}{2},$$

and therefore

$$\begin{aligned} d(u, \phi_t(y)) &\geq |d(u, \phi_t(x)) - d(\phi_t(x), \phi_t(y))| \\ &> r - \frac{r}{2} = \frac{r}{2} \quad \forall t \in \mathbb{R}_+. \end{aligned}$$

Thus,

$$y \in B\left(x, \frac{r}{2c}\right) \Rightarrow B\left(u, \frac{r}{2}\right) \cap O^+(y) = \emptyset.$$

That proves

LEMMA 2. *If (10) holds, the set of points of  $K$  whose forward orbits are dense, is closed.*

Let  $\phi_s(K) = K$  for some  $s > 0$ . Then, the set of points of  $K$  whose forward orbits are dense, is either empty or a dense  $G_\delta$ , [6]. Hence, the following proposition holds.

PROPOSITION 4. *If (10) holds, and if  $\phi_s$  is surjective and topologically transitive for some  $s > 0$ , then every point of  $K$  has a dense orbit.*

As a consequence,  $\phi$  has no fixed point and a periodic orbit at most. If  $x$  is a periodic point with period  $\tau > 0$ , then

$$K = O^+(x) = \{\phi_t(x) : 0 \leq t \leq \tau\}.$$

Thus,  $K$  is homeomorphic to the circle  $\mathbb{R} \setminus \tau\mathbb{Z}$  and the map  $t \mapsto \phi_t(x)$  is topologically conjugate to the restriction to  $\mathbb{R}_+$  of the covering map  $\mathbb{R} \rightarrow \mathbb{R} \setminus \tau\mathbb{Z}$ .

If  $y \neq x$ , then  $y = \phi_r(x)$  for some  $r \in (0, \tau)$ , and therefore

$$\begin{aligned} \phi_\tau(y) &= \phi_\tau(\phi_r(x)) = \phi_{\tau+r}(x) \\ &= \phi_r(\phi_\tau(x)) = \phi_r(x) = y. \end{aligned}$$

Hence, the period  $\sigma$  of  $y$  is  $\sigma \leq \tau$ , and  $x = \phi_t(y)$  for some  $t \in (0, \sigma)$ . Being

$$\begin{aligned} \phi_\sigma(x) &= \phi_\sigma(\phi_t(y)) = \phi_{\sigma+t}(y) \\ &= \phi_t(\phi_\sigma(x)) = \phi_t(y) = x, \end{aligned}$$

then  $\tau \leq \sigma$ , and, in conclusion,  $\sigma = \tau$ , proving thereby the following theorem.

THEOREM 5. *If the  $c$ -contractive continuous semiflow  $\phi : \mathbb{R}_+ \times K \rightarrow K$  has a periodic orbit and is such that  $\phi_s$  is surjective and topologically transitive for some  $s > 0$ , then  $K$  is homeomorphic to a circle, and  $\phi$  is topologically conjugate to the restriction to  $\mathbb{R}_+$  of the group of rotations of  $\mathbb{R}^2$ .*

THEOREM 6. *If (10) holds and if the set of all periodic points of the  $c$ -contractive semiflow  $\phi$  is dense in  $K$ , then  $\phi$  is asymptotically almost periodic at all points of  $K$ .*

PROOF. Let  $x \in K$  and let  $\{x_\nu\}$  be a sequence of periodic points  $x_\nu \in K$  converging to  $x$ . If  $t > 0$ ,

$$d(\phi_t(x), x) \leq d(\phi_t(x), \phi_t(x_\nu)) + d(\phi_t(x_\nu), x_\nu) + d(x_\nu, x).$$

For any  $\epsilon > 0$  there is an index  $\nu_o$  such that, whenever  $\nu \geq \nu_o$ ,  $d(x_\nu, x) < \epsilon$ . Let  $\tau > 0$  be the period of  $x_{\nu_o}$ . Then, for any integer  $p \geq 1$ ,

$$\begin{aligned} d(\phi_{p\tau}(x), x) &\leq d(\phi_{p\tau}(x), \phi_{p\tau}(x_{\nu_o})) + d(\phi_{p\tau}(x_{\nu_o}), x_{\nu_o}) + d(x_{\nu_o}, x) \\ &= d(\phi_{p\tau}(x), \phi_{p\tau}(x_{\nu_o})) + d(x_{\nu_o}, x) \\ &< (c + 1)\epsilon. \end{aligned}$$

Since every interval  $[s, s + 2\tau]$  contains some  $p\tau$ , the point  $x$  is almost periodic and therefore asymptotically almost periodic.  $\square$

6. C. J. K. Batty has kindly pointed out to me that Theorem 6 of [5] is not correct. In fact, the inclusion length  $l > 0$  appearing in the inequality (16) of [5] depends on  $x$  and  $\lambda$ , and - as  $x$  and  $\lambda$  vary - may increase to  $\infty$ . To make (16) a uniform estimate - i.e., an estimate holding for all  $x$  and  $\lambda$  chosen as in i) and ii) of [5] - assume that  $T$  fulfills, besides i) and ii), the following condition:

iii) there exists  $\epsilon_o \in (0, \sqrt{2})$  such that, for every choice of  $x$  and  $\lambda$  satisfying i) and such that  $\langle x, \lambda \rangle = 1$ , the set of lengths  $l > 0$  for which (12) holds is bounded.

A correct version of Theorem 6 of [5] can be phrased as follows.

THEOREM 7. *If the function  $\langle T(\bullet)x, \lambda \rangle$  is asymptotically almost periodic for all  $x \in \mathcal{D}(X)$  and all  $\lambda \in \mathcal{D}(X^+)$  and if i) and iii) hold, then the set  $(p\sigma(X) \cup p\sigma(X^+) \cap i\mathbb{R})$  is discrete.*

EXAMPLE. Let  $T$  be the unitary group in the Hilbert space  $l^2$  generated by the self-adjoint linear operator  $X$  defined on the standard basis  $\{e_n : n \in \mathbb{Z}\}$  of  $l^2$  by

$$X e_n = \text{sign}(n) i \left( \sum_0^{|n|} \frac{1}{p} \right) e_n$$

if  $n \neq 0$ , and by  $X e_0 = 0$ . The group  $T$  is almost periodic and satisfies iii), but is not uniformly almost periodic.

Condition iii) shall be added to the hypotheses of Theorems 9 of [5]. Theorem 10 can be correctly stated, with the same notations as in [5], as follows.

THEOREM 8. *Let the semigroup defined in B of [5] be strongly asymptotically almost periodic. If  $p\sigma(X) = \emptyset$ , the function  $T(\bullet)x$  vanishes at  $+\infty$  for all  $x \in C(K)$ . If  $p\sigma(X) \neq \emptyset$ , and if iii) holds, there is  $\omega > 0$  such that*

$$p\sigma(X) \cap i\mathbb{R} = \{in\omega : n \in \mathbb{Z}\}$$

*and, for every  $x \in \mathcal{E}$ ,  $T(\bullet)x$  is the sum of a continuous function vanishing at  $+\infty$  and of a periodic function with period  $\omega$ .*

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