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## Asymptotics for $L^2$ minimal blow-up solutions of critical nonlinear Schrödinger equation

by

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**ABSTRACT.** – In this note, we describe the behavior of a sequence  $v_n : \mathbb{R}^N \rightarrow \mathbb{C}$  minimal in  $L^2$  such that  $\frac{1}{2} \int |\nabla v_n|^2 - \frac{1}{\frac{4}{N}+2} \int |v_n|^{\frac{4}{N}+2} \leq E_0$  and  $|v_n|_{H^1} \rightarrow +\infty$ .

**RÉSUMÉ.** – Dans cette note, on explicite de façon optimale le comportement d'une suite  $v_n : \mathbb{R}^N \rightarrow \mathbb{C}$  de norme  $L^2$  minimale telle que  $\frac{1}{2} \int |\nabla v_n|^2 - \frac{1}{\frac{4}{N}+2} \int |v_n|^{\frac{4}{N}+2} \leq E_0$  et  $|v_n|_{H^1} \rightarrow +\infty$ .

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In the present note, we are interested in the behavior of a sequence  $v_n : \mathbb{R}^N \rightarrow \mathbb{C}$  of  $H^1$  functions such that

$$(1) \quad \int |v_n|^2 = \int Q^2,$$

$$(2) \quad E(v_n) = \frac{1}{2} \int |\nabla v_n|^2 - \frac{1}{\frac{4}{N}+2} \int |v_n|^{\frac{4}{N}+2} \leq E_0,$$

$$(3) \quad \int |\nabla v_n|^2 \rightarrow +\infty,$$

where  $Q$  is the radial positive symmetric solution of the equation

$$(4) \quad \Delta v + |v|^{\frac{4}{N}} v = v.$$

(See references [1], [4], [7] for existence and uniqueness of  $Q$ .)

This problem is related to the asymptotics of minimal blow-up solutions in  $H^1$  of the equation

$$(5) \quad iu_t = -\Delta u - k(x)|u|^{\frac{4}{N}}u \quad \text{and} \quad u(0) = \varphi,$$

where

$$(6) \quad \text{Max}_{x \in \mathbb{R}^N} k(x) = 1.$$

Indeed, for all  $\varphi \in H^1$ , there is a unique solution in  $H^1$  on  $[0, T]$  ([2], [4]) and

$$T = +\infty \text{ or } \lim_{t \rightarrow T} \int |\nabla u(t, x)|^2 = +\infty.$$

In addition,  $\forall t$

$$(7) \quad \int |u(t, x)|^2 dx = \int |\varphi(x)|^2 dx$$

$$(8) \quad E_k(u(t)) = E_k(\varphi)$$

where

$$E_k(v) = \frac{1}{2} \int |\nabla v|^2 - \frac{1}{\frac{4}{N} + 2} \int k(x)|v|^{\frac{4}{N}+2}.$$

From [9]

$$(9) \quad \forall v \in H^1, \quad \frac{1}{\frac{4}{N} + 2} \int |v|^{\frac{4}{N}+2} \leq \frac{1}{2} \left( \frac{\int |v|^2}{\int |Q|^2} \right)^{\frac{2}{N}} \int |\nabla v|^2$$

and it follows from (6)-(9) ([6]) that

$$\text{if } |\varphi|_{L^2} < |Q|_{L^2}, \text{ then } T = +\infty.$$

Moreover under some conditions on  $k(x)$ , for any  $\varepsilon > 0$  there are blow-up solutions  $u_\varepsilon(t)$  such that

$$|u_\varepsilon(0)|_{L^2}^2 = |\varphi|_{L^2}^2 + \varepsilon \quad ([6]).$$

Thus the questions are about existence of minimal blow-up solution (that is such that  $u(t)$  blows up in finite time and  $\int |\varphi|^2 = \int Q^2$  and on the

form of these solutions. In the case where  $k(x) \equiv 1$ , the question has been completely solved (see Merle [5]). The general case is still open. We remark that from (6)-(9), if  $u(t)$  is a blow up solution, the sequences  $v_n = u(t_n)$  as  $t_n \rightarrow T$  satisfies (1)-(3) and we ask about the constrains it imply on  $v_n$ .

The first result in this direction was obtained by Weinstein in [9]. Using the concentration compactness method, he showed that there is a  $\theta_n \in \mathbb{R}$ ,  $x_n \in \mathbb{R}^N$  such that

$$(10) \quad v_n = \lambda_n^{\frac{N}{2}} e^{i\theta_n} Q\left(\lambda_n^{\frac{N}{2}}(x - x_n)\right) + \varepsilon_n,$$

where

$$(11) \quad \lambda_n = \frac{|\nabla v_n|_{L^2}}{|\nabla Q|_{L^2}},$$

$$(12) \quad |\varepsilon_n|_{L^2} \xrightarrow{n \rightarrow +\infty} 0 \quad \text{and} \quad \frac{|\nabla \varepsilon_n|_{L^2}}{\lambda_n} \xrightarrow{n \rightarrow +\infty} 0.$$

In [5], Merle then showed that for all  $R > 0$ , there is a  $c > 0$  such that

$$(13) \quad \int_{|x-x_n|>R} |\nabla v_n|^2 \leq c.$$

We now claim the following result

**THEOREM.** – Let  $(v_n)$  be a sequence of  $H^1$  functions satisfying (1)-(3) and  $\theta_n(x)$  be such that  $v_n = |v_n|e^{i\theta_n}$ .

i) *Phase estimates.* There is a  $c > 0$  such that

$$\forall n, \quad \int |v_n|^2 |\nabla \theta_n|^2 \leq c.$$

ii) *asymptotics on the modulus.*

There is a  $\varepsilon_n(x)$ ,  $x_n \in \mathbb{R}^N$ , and  $c > 0$  such that

$$\forall x, \quad |v_n(x)| = \lambda_n^{\frac{N}{2}} Q(\lambda_n(x - x_n)) + \varepsilon_n(x)$$

where

$$|\nabla \varepsilon_n|_{L^2} \leq c, \quad |\varepsilon_n|_{L^2} \leq \frac{c}{\lambda_n} \quad \text{and} \quad \lambda_n \left( \frac{|\nabla Q|_{L^2}}{|\nabla v_n|_{L^2}} \right) \xrightarrow{n \rightarrow +\infty} 1.$$

*Remark.* – This Theorem simplifies some proofs in [5], [6]. The case where  $v_n$  is real valued is also related to similar problems for the generalized Korteweg-de Vries equation with critical nonlinearity.

*Remark.* – The Theorem implies in particular for blow-up solution of equation (5)  $u(t, x) = |u(t, x)|e^{i\theta(t, x)}$  and  $\int |u(t)|^2 = \int Q^2$  the phase gradient is uniformly bounded at the blow-up: there is a  $c > 0$  such that

$$\forall 0 < t < T, \quad \int |u(t, x)|^2 |\nabla \theta(t, x)|^2 dx \leq c.$$

(Of course, we still have  $\int |\nabla |u||^2(t, x) \xrightarrow[t \rightarrow T]{} +\infty$ .)

*Remark.* – It is easy to check that the result is optimal. We remark that the residual term in the theorem  $\varepsilon_n = O(1)$  (compared to  $o(|\nabla v_n|_{L^2})$  in [9]).

*Proof of the Theorem.* – Let  $(v_n)$  a sequence of  $H^1$  function satisfying (1)-(3) and  $\theta_n(x)$  such that  $v_n = |v_n|e^{i\theta_n}$ . We have that

$$(14) \quad \frac{1}{2} \int |\nabla v_n|^2 = \frac{1}{2} \left\{ |\nabla |v_n||^2 + \int |v_n|^2 |\nabla \theta_n|^2 \right\}$$

and

$$(15) \quad E(v_n) = \frac{1}{2} \int |v_n|^2 |\nabla \theta_n|^2 + E(|v_n|).$$

The idea is to apply the variational identity (9) not with  $v_n$  but with  $|v_n|$ .

Indeed, since  $v_n \in H^1$  we have that  $|v_n| \in H^1$ . From (9) (applied with  $|v_n|$ )

$$(16) \quad \frac{1}{\frac{4}{n} + 2} \int |v_n|^{\frac{4}{n} + 2} \leq \frac{1}{2} \left( \frac{\int |v_n|^2}{\int Q^2} \right)^{\frac{2}{n}} \int |\nabla |v_n||^2 \leq \frac{1}{2} \int |\nabla |v_n||^2,$$

or equivalently

$$(17) \quad E(|v_n|) \geq 0.$$

Thus (2), (15), (17) imply that

$$(18) \quad \frac{1}{2} \int |v_n|^2 |\nabla \theta_n|^2 \leq E_0$$

$$(19) \quad E(|v_n|) \leq E_0.$$

*Part i).* – It is implied by (18).

*Part ii).* – We claim that it is as a consequence of (18)-(19). We prove it in three steps:

- from Weinstein's results, we first obtain rough estimates on  $|v_n|$ ,
- we then choose appropriate approximations parameters,
- we conclude the proof using a convexity property in certain directions of  $E$  near  $Q$  (and use in a crucial way that  $|v_n|$  is a real-valued function).

*Step 1:* First asymptotics. – Since

$$(20) \quad \int |\nabla v_n|^2 = \int |\nabla |v_n||^2 + \int |v_n|^2 |\nabla \theta_n|^2 \xrightarrow{n \rightarrow +\infty} +\infty,$$

and

$$\forall n, \int |v_n|^2 |\nabla \theta_n|^2 \leq c,$$

we have

$$(21) \quad \int |\nabla |v_n||^2 \xrightarrow{n \rightarrow +\infty} +\infty.$$

Moreover,

$$(22) \quad \int |v_n|^2 = \int Q^2 \text{ and } E(|v_n|) \leq E_0.$$

We conclude from Weinstein's result on the existence of  $\hat{x}_n, \hat{\varepsilon}_n$  such that

$$(23) \quad |v_n|(x) = \hat{\lambda}_n^{N/2} Q(\hat{\lambda}_n x - \hat{x}_n) + \hat{\varepsilon}_n(x)$$

where

$$(24) \quad \hat{\lambda}_n = \frac{|\nabla |v_n||_{L^2}}{|\nabla Q|_{L^2}},$$

$$(25) \quad |\nabla \hat{\varepsilon}_n|_{L^2} = o(\hat{\lambda}_n), \quad |\hat{\varepsilon}_n|_{L^2} = o(1).$$

In order to obtain better estimates on the rest (that is  $|\nabla \varepsilon_n|_{L^2} \leq c$ ), we have to choose appropriate parameters  $\lambda_n, x_n$  and use the structure of the functional  $E(\cdot)$  near  $Q$ .

*Step 2:* Choice of the parameters of approximation. – Let us first renormalize the problem. We consider

$$(26) \quad w_{n, \lambda_1, x_1}(x) = \left( \frac{\lambda_1}{\hat{\lambda}_n} \right)^{\frac{N}{2}} |v_n| \left( (\lambda_1 x + \hat{x}_n + x_1) \frac{1}{\hat{\lambda}_n} \right)$$

$$(27) \quad \tilde{\varepsilon}_{n,\lambda_1,x_1}(x) = \left(\frac{\lambda_1}{\hat{\lambda}_n}\right)^{\frac{N}{2}} \hat{\varepsilon}_n \left( (\lambda_1 x + \hat{x}_n + x_1) \frac{1}{\hat{\lambda}_n} \right).$$

We have from (23),

$$(28) \quad w_{n,\lambda_1,x_1}(x) = \lambda_1^{N/2} Q(\lambda_1 x + x_1) + \tilde{\varepsilon}_{n,\lambda_1,x_1}(x)$$

where

$$(29) \quad \frac{|\nabla \tilde{\varepsilon}_n|_{L^2}}{\lambda_1} + |\tilde{\varepsilon}_n|_{L^2} \xrightarrow{n \rightarrow +\infty} 0.$$

We write (28) as follows

$$w_{n,\lambda_1,x_1}(x) = Q(x) + \varepsilon_{n,\lambda_1,x_1}(x)$$

where

$$(30) \quad \varepsilon_{n,\lambda_1,x_1}(x) = \left[ \lambda_1^{N/2} Q(\lambda_1 x + x_1) - Q(x) \right] + \tilde{\varepsilon}_{n,\lambda_1,x_1}(x).$$

From the implicit function Theorem, we derive easily for  $|\tilde{\varepsilon}_n|_{H^1}$  small enough the existence of  $\lambda_{1,n}, x_{1,n}$  such that

$$(31) \quad \forall i = 1, \dots, N, \quad \int \varepsilon_{n,\lambda_{1,n},x_{1,n}} x_i Q = 0$$

$$(32) \quad \int \varepsilon_{n,\lambda_{1,n},x_{1,n}} |x|^2 Q = 0.$$

Moreover, from (29)

$$(33) \quad (\lambda_{1,n}, x_{1,n}) \xrightarrow{n \rightarrow +\infty} (1, 0).$$

Indeed, let us note

$$\begin{aligned} \text{for } i = 1, \dots, N, \quad \rho_i(\lambda_1, x_1) &= \int \varepsilon_{n,\lambda_1,x_1} x_i Q, \\ \rho_{N+1}(\lambda_1, x_1) &= \int \varepsilon_{n,\lambda_1,x_1} |x|^2 Q. \end{aligned}$$

From (30), we have

$$\begin{aligned} \frac{\partial \varepsilon_{n,1,0}}{\partial x_{1,i}} &= \partial_i Q + \partial_i \tilde{\varepsilon}_{n,1,0} \\ \frac{\partial \varepsilon_{n,1,0}}{\partial \lambda_1} &= \frac{N}{2} Q + x \cdot \nabla Q + \left( \frac{N}{2} \tilde{\varepsilon}_{n,1,0} + x \cdot \nabla \tilde{\varepsilon}_{n,1,0} \right), \end{aligned}$$

where  $x_1 = (x_{1,1}, \dots, x_{1,N})$ .

Therefore, from (29) and integration by parts,

– for  $i = 1, \dots, N$ , and  $j = 1, \dots, N$ ,

$$\begin{aligned} \frac{\partial \rho_i}{\partial x_{1,j}}(1, 0) &= \int \partial_j Q x_i Q + o(1) = -2\delta_{i,j} \int Q^2 + o(1), \\ \frac{\partial \rho_i}{\partial \lambda_1}(1, 0) &= \int \left( \frac{N}{2} Q + x \cdot \nabla Q \right) x_i Q + o(1) = o(1), \\ \frac{\partial \rho_{N+1}}{\partial x_{1,j}}(1, 0) &= \int \partial_j Q |x|^2 Q + o(1) = o(1), \\ \frac{\partial \rho_{N+1}}{\partial \lambda_1}(1, 0) &= \int \left( \frac{N}{2} Q + x \cdot \nabla Q \right) |x|^2 Q + o(1) \\ &= \frac{N}{2} \int |x|^2 Q^2 - \frac{N}{2} \int |x|^2 Q^2 - \frac{1}{2} \int x \cdot x Q^2 + o(1) \\ &= -\frac{1}{2} \int |x|^2 Q^2 + o(1). \end{aligned}$$

Therefore, the implicit function theorem implies the existence of  $(\lambda_{1,n}, x_{1,n})$  such that (31)-(33) hold.

In conclusion, we have proved the following. There exist  $(\lambda_{1,n}, x_{1,n}) \xrightarrow[n \rightarrow +\infty]{} (1, 0)$  such that

$$(34) \quad w_{n,\lambda_{1,n},x_{1,n}}(x) = Q(x) + \varepsilon_{n,\lambda_{1,n},x_{1,n}}(x)$$

where

$$(35) \quad \forall i = 1, \dots, n, \quad \int \varepsilon_{n,\lambda_{1,n},x_{1,n}} x_i Q = 0,$$

$$(36) \quad \int \varepsilon_{n,\lambda_{1,n},x_{1,n}} |x|^2 Q = 0,$$

$$(37) \quad \|\varepsilon_{n,\lambda_{1,n},x_{1,n}}\|_{H^1} \xrightarrow[n \rightarrow +\infty]{} 0.$$

We now note

$$\begin{aligned} w_n &= w_{n,\lambda_{1,n},x_{1,n}}, \\ \varepsilon_n &= \varepsilon_{n,\lambda_{1,n},x_{1,n}}. \end{aligned}$$

**Step 3 : Conclusion of the proof. – Geometry of energy functions at  $Q$ .**

We now use convexity properties of a functional (related to  $E$ ) and the fact  $\int w_n^2 = \int Q^2$  to conclude the proof. Let

$$H(v) = \frac{1}{2} \int |\nabla v|^2 - \frac{1}{\frac{4}{N} + 2} \int |v|^{\frac{4}{N} + 2} + \frac{1}{2} \int v^2 = E(v) + \frac{1}{2} \int v^2,$$

and

$$H_2(v) = \frac{1}{2} \int |\nabla v|^2 - \frac{4}{N} \int Q^{\frac{4}{N}} v^2 + \frac{1}{2} \int v^2.$$

We know that  $Q$  is a critical point of  $H$ , and  $H_2$  is the quadratic part of  $H$  near  $Q$  (where  $v$  is real-valued). Moreover, it is classical that for  $|\varepsilon|_{H^1} \leq 1$ ,

$$(38) \quad H(Q + \varepsilon) - H(Q) = H_2(\varepsilon) + \tilde{H}_2(\varepsilon)$$

where  $|\tilde{H}_2(\varepsilon)| = o(|\varepsilon|_{H^1}^2)$ .

From a result of Weinstein [8] (see also Kwong [4]), we have the following convexity property of  $H_2$  at  $Q$ .

PROPOSITION 1. See [8]. – (Directions of convexity of  $H$  at  $Q$  in the set of real-valued functions.)

There is a constant  $c_1 > 0$  such that  $\forall \varepsilon \in H^1$

If

$$(i) \quad \forall i = 1, \dots, N, \quad \int \varepsilon x_i Q = 0$$

$$(ii) \quad \int \varepsilon |x|^2 Q = 0,$$

$$(iii) \quad \int \varepsilon Q = 0,$$

then

$$H_2(\varepsilon) \geq c_1 \left( \int |\nabla \varepsilon|^2 + \varepsilon^2 \right) = c_1 |\varepsilon|_{H^1}^2.$$

*Remark.* – We have here a strict convexity property (up to the invariance of the equation) except in the direction  $Q$  which is not true for the quadratic part of  $H$  for complex valued functions (see [8]).

*Remark.* – This proposition is optimal. Other functions can be chosen also.

Using now crucially estimates on the  $L^2$  norm, we obtain the following

PROPOSITION 2 (Control of the  $Q$  direction by the  $L^2$  norm).

Assume

$$(i) \quad \forall i = 1, \dots, N, \quad \int \varepsilon x_i Q = 0,$$

$$(ii) \quad \int \varepsilon |x|^2 Q = 0,$$

$$(iii) \quad \int (Q + \varepsilon)^2 = \int Q^2,$$

then there are  $c_1 > 0$  and  $c_2 > 0$  such that

$$|\nabla \varepsilon|_{L^2} + |\varepsilon|_{L^2} \leq c_2 \text{ implies } H_2(\varepsilon) \geq c_1 (|\nabla \varepsilon|_{L^2}^2 + |\varepsilon|_{L^2}^2).$$

*Remark.* – We need control on 3 directions to obtain estimates on  $|\varepsilon|_{H^1}$  with  $H_2(Q + \varepsilon)$ . Two directions can be controlled using the invariance of the equation. The last one is controlled by the condition of minimality on the  $L^2$  norm (among sequence satisfying (2)).

*Proof of Proposition 2.* – Let us note

$$\tilde{H}_2(v_1, v_2) = \frac{1}{2} \int \nabla v_1 \nabla v_2 - \frac{4}{N} \int Q^{\frac{4}{N}} v_1 v_2 + \frac{1}{2} \int v_1 v_2.$$

We can write

$$\varepsilon = z + aQ + b|x|^2Q$$

with

$$\int zQ = \int zx_i Q = \int z|x|^2Q = 0 \quad \text{for } i = 1, \dots, N.$$

Indeed  $a$  and  $b$  have to satisfy

$$\begin{aligned} \int \varepsilon Q &= a \int Q^2 + b \int |x|^2 Q^2 \\ 0 &= a \int |x|^2 Q^2 + b \int |x|^4 Q^2 \end{aligned}$$

or equivalently

$$b = -a \left( \frac{\int |x|^2 Q^2}{\int |x|^4 Q^2} \right)$$

$$a \left( \frac{\int Q^2 \int |x|^4 Q^2 - (\int |x|^2 Q^2)^2}{\int |x|^4 Q^2} \right) = \int \varepsilon Q$$

(which has always a solution since from the Schwarz inequality and the fact  $|x|^2 Q \neq Q$ ,  $\int |x|^2 Q^2 < (\int Q^2 \int |x|^4 Q^2)^{1/2}$ ).

On the other hand, we have from  $\int (Q + \varepsilon)^2 = \int Q^2$

$$2 \int Q \varepsilon = - \int \varepsilon^2$$

$$2 \left( a \int Q^2 + b \int |x|^2 Q^2 \right) = - \int \varepsilon^2$$

$$2a \left( \frac{\int Q^2 \int |x|^4 Q^2 - (\int |x|^2 Q^2)^2}{\int |x|^4 Q^2} \right) = - \int z^2 + O(a^2 + b^2)$$

or equivalently,

$$ac_0 = - \int z^2 + O(a^2) \quad \text{where } c_0 \neq 0$$

which implies that

$$a = O\left(\int z^2\right) \quad \text{and} \quad b = O\left(\int z^2\right)$$

and for  $|\varepsilon|_{H^1}$  small enough

$$|\varepsilon|_{H^1}^2 \geq |z|_{H^1}^2 \geq \frac{1}{2} |\varepsilon|_{H^1}^2.$$

On the other hand, by bilinearity and Proposition 1, we have for  $|\varepsilon|_{H^1}$  small enough

$$\begin{aligned} H_2(\varepsilon) &= H_2(z) + 2a\tilde{H}_2(z, Q) + 2b\tilde{H}_2(z, |x|^2 Q) + 2ab\tilde{H}_2(Q, |x|^2 Q) \\ &\quad + a^2 H_2(Q) + b^2 H_2(|x|^2 Q) \\ &\geq H_2(z) - c(|z|_{H^1}(|a| + |b|) + a^2 + b^2) \\ &\geq H_2(z) - c(|z|_{H^1}^3 + |z|_{H^1}^4) \\ &\geq c_1(|z|_{H^1}^2) - c(|z|_{H^1} + |z|_{H^1}^4) \\ &\geq \frac{c_1}{2} |z|_{H^1}^2 \\ &\geq \frac{c_1}{4} |\varepsilon|_{H^1}^2. \end{aligned}$$

This concludes the proof of Proposition 2.

As a corollary of Proposition 2 and (38), we have

**COROLLARY.** – *There are  $c_1 > 0$  and  $c_2 > 0$  such that if*

$$(i) \quad \forall i = 1, \dots, N, \quad \int \varepsilon x_i Q = 0$$

$$(ii) \quad \int \varepsilon |x|^2 Q = 0$$

$$(iii) \quad \int (Q + \varepsilon)^2 = \int Q^2$$

$$(iv) \quad |\nabla \varepsilon|_{L^2} + |\varepsilon|_{L^2} \leq c_2$$

then

$$H(Q + \varepsilon) - H(Q) \geq c_1 \left( \int \nabla \varepsilon^2 + \int \varepsilon^2 \right).$$

We now apply the corollary. If  $w_n = Q + \varepsilon_n$ , we have  
 -  $|\varepsilon_n|_{H^1} \xrightarrow[n \rightarrow +\infty]{} 0$ , and in particular there is  $n_0$  such that

$$\forall n \geq n_0, \quad |\varepsilon_n|_{H^1} \leq c_2,$$

-  $\forall i = 1, \dots, N, \int \varepsilon_n x_i Q = 0$ .

In addition,

$$\begin{aligned} H(Q) &= \frac{1}{2} \int |\nabla Q|^2 - \frac{1}{\frac{4}{N} + 2} \int |Q|^{\frac{4}{N} + 2} + \frac{1}{2} \int Q^2 \\ &= E(Q) + \frac{1}{2} \int Q^2 \\ &= \frac{1}{2} \int Q^2 \end{aligned}$$

(since the Pohozaev identity for equation (4) yields  $E(Q) = 0$ ), and

$$\begin{aligned} H(Q + \varepsilon_n) &= H(w_n) = H \left( \left( \frac{\lambda_1}{\hat{\lambda}_n} \right)^{\frac{N}{2}} |v_n| \left( \frac{x \lambda_1}{\hat{\lambda}_n} + \hat{x}_n + x_1 \right) \right) \\ &= E \left( \left( \frac{\lambda_1}{\hat{\lambda}_n} \right)^{\frac{N}{2}} |v_n| \left( \frac{x \lambda_1}{\hat{\lambda}_n} \right) \right) + \frac{1}{2} \int |v_n|^2 \\ &= \left( \frac{\lambda_1}{\hat{\lambda}_n} \right)^2 E(|v_n|) + \frac{1}{2} \int Q^2. \end{aligned}$$

Therefore  $\forall n \geq n_0$

$$(40) \quad \left(\frac{\lambda_1}{\hat{\lambda}_n}\right)^2 E(|v_n|) > c_3 \left(|\varepsilon_n|_{H^1}^2\right)$$

or equivalently from (19), (24) and the fact that  $\lambda_1 \rightarrow 1$ ,

$$(41) \quad |\varepsilon_n|_{H^1}^2 \leq \frac{c}{2} \frac{1}{\int |\nabla |v_n||^2} \leq c \frac{1}{\int |\nabla v_n|^2},$$

where  $c$  is independent of  $n$ . Thus,

$$w_n = Q + \varepsilon_n,$$

with

$$(42) \quad |\varepsilon_n|_{H^1}^2 \leq \frac{c}{\int |\nabla v_n|^2}.$$

Therefore from (26), there is  $x_n$  such that

$$(43) \quad |v_n|(x) = \left(\frac{\hat{\lambda}_n}{\lambda_1}\right)^{\frac{N}{2}} Q\left(x\left(\frac{\hat{\lambda}_n}{\lambda_1}\right) + x_n\right) + \left(\frac{\hat{\lambda}_n}{\lambda_1}\right)^{\frac{N}{2}} \varepsilon_n\left(\frac{\hat{\lambda}_n}{\lambda_1}x + x_n\right).$$

We remark that from (19), (42), the fact that  $\lambda_1 \rightarrow 1$ ,

$$\begin{aligned} \frac{\hat{\lambda}_n}{\lambda_1} \frac{1}{\left(\int \nabla v_n^2\right)^{\frac{1}{2}}} &= \frac{1}{\lambda_1} \left(\frac{\int |\nabla |v_n||^2}{\int |\nabla v_n|^2 \int |\nabla Q|^2}\right)^{\frac{1}{2}} \xrightarrow{n \rightarrow +\infty} 1, \\ \left|\left(\frac{\hat{\lambda}_n}{\lambda_1}\right)^{\frac{N}{2}} \varepsilon_n\left(\frac{\hat{\lambda}_n}{\lambda_1}x + x_n\right)\right|_{L^2}^2 &= |\varepsilon_n|_{L^2}^2 \leq \frac{c}{\int |\nabla v_n|^2}, \\ \left|\nabla\left(\frac{\hat{\lambda}_n}{\lambda_1}\right)^{\frac{N}{2}} \varepsilon_n\left(\frac{\hat{\lambda}_n}{\lambda_1}x + x_n\right)\right|_{L^2}^2 &\leq c \left(\frac{\hat{\lambda}_n^2}{\int |\nabla v_n|^2}\right) \leq c \end{aligned}$$

conclude the proof of the Theorem.

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