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Number Theory / *Théorie des nombres*

# Galois groups over rational function fields over skew fields

Gil Alon<sup>a</sup>, François Legrand<sup>b</sup> and Elad Paran<sup>\*, a</sup>

<sup>a</sup> Department of Mathematics and Computer Science, the Open University of Israel, Ra'anana 4353701, Israel

<sup>b</sup> Institut für Algebra, Fachrichtung Mathematik, TU Dresden, 01062 Dresden, Germany

*E-mails:* gilal@openu.ac.il, francois.legrand@tu-dresden.de, paran@openu.ac.il

**Abstract.** Let  $H$  be a skew field of finite dimension over its center  $k$ . We solve the Inverse Galois Problem over the field of fractions  $H(X)$  of the ring of polynomial functions over  $H$  in the variable  $X$ , if  $k$  contains an ample field.

**Résumé.** Soit  $H$  un corps gauche de dimension finie sur son centre  $k$ . Nous résolvons le Problème Inverse de Galois sur le corps des fractions  $H(X)$  de l'anneau des fonctions polynomiales en la variable  $X$  et à coefficients dans  $H$ , si  $k$  contient un corps ample.

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## 1. Introduction

The Inverse Galois Problem over a field  $k$  asks whether every finite group occurs as the Galois group of a Galois field extension of  $k$ . Hilbert showed in 1892, via his celebrated irreducibility theorem, that this problem over the field  $\mathbb{Q}$  of rational numbers is equivalent to the same problem over the field  $\mathbb{Q}(t)$  of rational functions over  $\mathbb{Q}$ . While the problem is wide open over  $\mathbb{Q}(t)$ , it is known to have an affirmative answer over many other function fields, e.g., over the field  $\mathbb{C}(t)$  of complex rational functions, as a consequence of Riemann's Existence Theorem.

The aim of this note is to contribute to inverse Galois theory over skew fields, following a first work on this topic by Deschamps and Legrand (see [4]). In this more general context, given skew fields (equivalently, division rings)  $H \subseteq M$ , the extension  $M/H$  is said to be *Galois* if every element of  $M$  which is fixed under any automorphism of  $M$  fixing  $H$  pointwise lies in  $H$ . See [3, Section 3.3] for more on Galois theory over skew fields.

Let  $H$  be a skew field, and let  $H[X]$  denote the ring of all polynomial functions over  $H$  in the variable  $X$ . That is,  $H[X]$  is the ring of all functions from  $H$  to  $H$  that can be expressed by sums

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\* Corresponding author.

and products of the variable  $X$  and elements of  $H$ . We observe that, if  $H$  is of finite dimension over its center  $k$  and if  $k$  is infinite, then  $H[X]$  has a classical (right) field of fractions, denoted by  $H(X)$ . See Section 2 for more details.

In the sequel, we solve the Inverse Galois Problem over the skew field  $H(X)$ , if the center of  $H$  contains an ample field:

**Theorem 1.** *Let  $H$  be a skew field of finite dimension over its center  $k$ . If  $k$  contains an ample field, then every finite group is the Galois group of a Galois extension of  $H(X)$ .*

Recall that a field  $k$  is *ample* (or *large*) if every smooth geometrically irreducible  $k$ -curve has either zero or infinitely many  $k$ -rational points. Ample fields, which were introduced by Pop in [9] (and which are necessarily infinite), include algebraically closed fields, some complete valued fields (e.g.,  $\mathbb{Q}_p$ ,  $\mathbb{R}$ ,  $\kappa((T))$ ), the field  $\mathbb{Q}^{\text{tr}}$  of all totally real algebraic numbers, etc. See [7], [2], and [10] for more details. Consequently, a special (but fundamental) case of Theorem 1 is that the Inverse Galois Problem has an affirmative answer over  $\mathbb{H}(X)$ , where  $\mathbb{H}$  denotes the skew field of Hamilton's quaternions.

Given a skew field  $H$  of finite dimension over its center  $k$ , with  $k$  infinite, the ring  $H[X]$  is one possible natural generalization of the usual polynomial ring in one variable over an infinite field. Another one is the polynomial ring  $H_c[t]$ , where  $t$  is a central indeterminate, commuting with the coefficients<sup>1</sup> <sup>2</sup>. While these rings are isomorphic in the special case  $H = k$ , it is not clear that such an isomorphism exists if  $H$  is non-commutative. This suggests that the Inverse Galois Problem over the field of fractions  $H_c(t)$  of  $H_c[t]$ , which is studied by Deschamps and Legrand, and the same problem over  $H(X)$  are a priori independent. In particular, although the Inverse Galois Problem over  $H_c(t)$  has a positive answer if  $k$  contains an ample field (see [4, Théorème B]), Theorem 1 has its own merits and, as [4, Théorème B], extends the deep result of Pop solving the Inverse Galois Problem over the field  $k(t)$ , if  $k$  contains an ample field.

We prove Theorem 1 in Section 3, by reducing it to the case settled by Deschamps and Legrand. The main observation needed is that the ring  $H[X]$  is isomorphic to the ring  $H_c[t_1, \dots, t_n]$  of polynomials over  $H$  in  $n$  central variables, where  $n$  denotes the dimension of  $H$  over its center (see Proposition 4). This follows from a theorem of Wilczynski [12, Theorem 4.1]. We also make use of the general observation that the Inverse Galois Problem over skew fields is “algebraic”; see Proposition 6.

## 2. Polynomial rings and fields of fractions

### 2.1. Polynomial rings

For this subsection, let  $H$  be a skew field.

The *polynomial ring*  $H_c[t]$  in the *central* variable  $t$  is the set of all sequences  $(a_n)_{n \in \mathbb{N}}$  of elements of  $H$  such that  $a_n = 0$  for all but finitely many  $n$ . As in the commutative setting, the addition is defined componentwise and the multiplication is defined by  $(a_n)_n \cdot (b_n)_n = (c_n)_n$ , where  $c_n = \sum_{l+m=n} a_l b_m$  for every  $n \in \mathbb{N}$ . Setting  $(a_n)_n = \sum_n a_n t^n$ , one has  $at = ta$  for every  $a \in H$ , thus justifying the terminology “central”. If  $H$  is a field, then  $H_c[t]$  is nothing but the usual polynomial ring in the variable  $t$  over  $H$ . In the sense of Ore [8],  $H_c[t]$  is the skew polynomial ring

<sup>1</sup>We adopt a different notation from that of [4], where this ring is denoted by  $H[t]$ , in order to distinguish between the cases of central variables and non-central ones. We note that there are alternative notations for this ring in the literature, such as  $H[x, \text{id}, 0]$  in [8], or  $H_L[t]$  in [6].

<sup>2</sup>Throughout this note, we use upper-case letters to denote non-central variables and lower case-letter to denote central ones, to add a visual distinction between the two.

$H[t, \alpha, \delta]$  in the variable  $t$ , where the automorphism  $\alpha$  is the identity of  $H$  and the derivation  $\delta$  is 0. One can iteratively construct rings of polynomials in several central variables over  $H$ , by putting  $H_c[t_1, t_2] = (H_c[t_1])_c[t_2]$ ,  $H_c[t_1, t_2, t_3] = (H_c[t_1, t_2])_c[t_3]$ , and so on. Since the variables are all central, the order in which they are added does not change the ring obtained, up to isomorphism.

On the other hand, let  $H\langle X \rangle$  be the free algebra in one symbol  $X$  over  $H$ . That is,  $H\langle X \rangle$  is the algebra spanned by all words whose letters are elements of  $H$  or  $X$ . For an element  $a \in H$  and  $f(X) \in H\langle X \rangle$ , the substitution  $f(a) \in H$  is defined in the obvious way, by replacing each occurrence of  $X$  in  $f(X)$  by  $a$ , and computing the resulting value in  $H$ . For a fixed  $a \in H$ , the map  $f(X) \mapsto f(a)$  is a homomorphism from  $H\langle X \rangle$  to  $H$ . We say that  $f$  *vanishes* at  $a$  if  $f(a) = 0$ . Let  $I$  be the (two-sided) ideal of  $H\langle X \rangle$  which consists of all  $f(X) \in H\langle X \rangle$  that vanish at all  $a \in H$ . Then the ring  $H[X]$  is defined as the quotient  $H\langle X \rangle/I$ , and it is isomorphic to the ring of polynomial functions over  $H$ . Note that, if  $H$  is an infinite field, then this definition coincides with the usual definition of the polynomial ring in the variable  $X$  over  $H$ .

### 2.2. Classical fields of fractions

For this subsection, let  $R$  be a non-zero ring, not necessarily commutative. Recall that  $R$  is an *integral domain* if, for all  $r \in R \setminus \{0\}$  and  $s \in R \setminus \{0\}$ , one has  $sr \neq 0$  and  $rs \neq 0$ . From now on, suppose  $R$  is an integral domain.

A *classical right quotient ring* for  $R$  is an overring  $S \supseteq R$  such that every non-zero element of  $R$  is invertible in  $S$ , and such that every element of  $S$  can be written as  $ab^{-1}$  for some  $a \in R$  and some  $b \in R \setminus \{0\}$ . We say that  $R$  is a *right Ore domain* if, for all non-zero elements  $x$  and  $y$  of  $R$ , there exist  $r$  and  $s$  in  $R$  such that  $xr = ys \neq 0$ . By [5, Theorem 6.8], if  $R$  is a right Ore domain, then  $R$  has a classical right quotient ring  $H$  which is a skew field and, by [3, Proposition 1.3.4],  $H$  is unique up to isomorphism. We then say that  $H$  is the *classical right field of fractions* of  $R$ .

If  $H$  denotes an arbitrary skew field, then the polynomial ring  $H_c[t]$  in the central variable  $t$  over  $H$  is an integral domain, since the degree is additive on products. Moreover,  $H_c[t]$  is a right Ore domain, by [5, Theorem 2.6 and Corollary 6.7]. By an easy induction, given a positive integer  $n$ , the polynomial ring  $H_c[t_1, \dots, t_n]$  in  $n$  central variables over  $H$  has a classical right field of fractions, which we denote by  $H_c(t_1, \dots, t_n)$ .

**Proposition 2.** *Let  $H$  be a skew field and  $n \geq 2$ . Then the equality  $H_c(t_1, \dots, t_n) = (H_c(t_1, \dots, t_{n-1}))_c(t_n)$  holds.*

**Proof.** First, it is clear that the inclusion  $H_c[t_1, \dots, t_n] \subseteq (H_c(t_1, \dots, t_{n-1}))_c(t_n)$  holds. As every element of  $H_c(t_1, \dots, t_n)$  can be written as  $fg^{-1}$  with  $f$  and  $g$  in  $H_c[t_1, \dots, t_n]$ , we actually have  $H_c(t_1, \dots, t_n) \subseteq (H_c(t_1, \dots, t_{n-1}))_c(t_n)$ .

For the converse, take a polynomial  $f = \sum_{l=0}^m a_l t_n^l$  with  $a_l \in H_c(t_1, \dots, t_{n-1})$  for every  $l \in \{0, \dots, m\}$ . As before, we can write  $a_l = b_l c_l^{-1}$  with  $b_l \in H_c[t_1, \dots, t_{n-1}]$  and  $c_l \in H_c[t_1, \dots, t_{n-1}] \setminus \{0\}$ , for every  $l \in \{0, \dots, m\}$ . Since  $H_c[t_1, \dots, t_{n-1}] \subseteq H_c[t_1, \dots, t_n] \subseteq H_c(t_1, \dots, t_n)$  and  $t_n \in H_c(t_1, \dots, t_n)$ , we get that  $f = \sum_{l=0}^m b_l c_l^{-1} t_n^l$  is in  $H_c(t_1, \dots, t_n)$ . This shows the desired inclusion  $(H_c(t_1, \dots, t_{n-1}))_c(t_n) \subseteq H_c(t_1, \dots, t_n)$ , since every element of  $(H_c(t_1, \dots, t_{n-1}))_c(t_n)$  can be written as  $fg^{-1}$  with  $f$  and  $g$  in  $(H_c(t_1, \dots, t_{n-1}))_c[t_n]$ .  $\square$

**Proposition 3.** *Let  $H$  be a skew field of center  $k$  and let  $n$  be a positive integer. The center of  $H_c(t_1, \dots, t_n)$  equals  $k(t_1, \dots, t_n)$ . Moreover, if the dimension of  $H$  over  $k$  is finite, then the equality  $\dim_{k(t_1, \dots, t_n)} H_c(t_1, \dots, t_n) = \dim_k H$  holds.*

**Proof.** By, e.g., [3, Proposition 2.1.5], if  $K$  is an arbitrary skew field of center  $C$ , then  $C(t)$  is the center of  $K_c(t)$ . Hence, by iterating Proposition 2, the center of  $H_c(t_1, \dots, t_n)$  equals  $k(t_1, \dots, t_n)$ . Now, suppose  $\dim_k H$  is finite. Then, by [4, Proposition 9], we have  $H_c(t_1) \cong H \otimes_k k(t_1)$ . Consequently,  $\dim_{k(t_1)} H_c(t_1)$  is finite and equals  $\dim_k H$ . As before, it remains to iterate Proposition 2 to conclude the proof.  $\square$

**Proposition 4.** *Let  $H$  be a skew field of finite dimension  $n$  over its center  $k$ . Assume  $k$  is infinite. Then the ring  $H[X]$  is isomorphic to  $H_c[t_1, \dots, t_n]$ .*

**Proof.** The existence of such an isomorphism follows from [12, Theorem 4.1]. See also [1, Theorem 5] for a different, more explicit proof. For the convenience of the reader, we include an elementary proof in the special case  $H = \mathbb{H}$ , where  $\mathbb{H}$  is the skew field of Hamilton's quaternions.

One has the following classical identity for each  $a \in \mathbb{H}$ :

$$\operatorname{Re}(a) = \frac{1}{4}(a - iai - jaj - kak),$$

where  $\operatorname{Re}(a)$  is the real component of  $a$ . More generally, putting

$$\begin{aligned} y_1 &= \frac{1}{4}(X - iXi - jXj - kXk), \\ y_2 &= \frac{1}{4}(jXk - Xi - iX - kXj), \\ y_3 &= \frac{1}{4}(kXi - Xj - jX - iXk), \\ y_4 &= \frac{1}{4}(iXj - Xk - kX - jXi), \end{aligned}$$

the functions  $y_1, y_2, y_3, y_4 \in \mathbb{H}[X]$  obtain real values only, and one has  $X = y_1 + iy_2 + jy_3 + ky_4$ . In particular,  $y_1, y_2, y_3, y_4$  belong to the center of  $\mathbb{H}[X]$ , and we may then define a homomorphism  $\phi: \mathbb{H}_c[t_1, t_2, t_3, t_4] \rightarrow \mathbb{H}[X]$  by  $\phi(t_l) = y_l$ ,  $1 \leq l \leq 4$ , and  $\phi(a) = a$  for all  $a \in \mathbb{H}$ . The equality  $X = y_1 + iy_2 + jy_3 + ky_4$  implies that  $\phi$  is surjective.

Let  $p = p(t_1, t_2, t_3, t_4) \in \mathbb{H}_c[t_1, t_2, t_3, t_4]$ . By decomposing the coefficients of  $p$  into their real,  $i$ ,  $j$ , and  $k$  components, we may present  $p$  in the form  $p = p_1 + p_2i + p_3j + p_4k$  with  $p_1, p_2, p_3, p_4 \in \mathbb{R}[t_1, t_2, t_3, t_4]$ . If  $p \neq 0$ , then  $p_l \neq 0$  for some  $1 \leq l \leq 4$ . Then there exists a non-zero tuple  $a = (a_1, a_2, a_3, a_4) \in \mathbb{R}^4$  such that  $p_l(a) \neq 0$ . Hence,  $\phi(p)$  does not vanish at  $X = a_1 + a_2i + a_3j + a_4k$ , thus showing that  $\phi$  is also injective.  $\square$

**Corollary 5.** *Let  $H$  be a skew field of finite dimension  $n$  over its center  $k$ . Assume  $k$  is infinite. Then the ring  $H[X]$  has a classical right field of fractions, denoted by  $H(X)$ , which is isomorphic to  $H_c(t_1, \dots, t_n)$ .*

**Proof.** As recalled, the ring  $H_c[t_1, \dots, t_n]$  is a right Ore domain. Since  $H[X]$  is isomorphic to  $H_c[t_1, \dots, t_n]$  by Proposition 4,  $H[X]$  is also a right Ore domain and so has a classical right field of fractions. Finally, [3, Section 1.3] shows that the isomorphism  $H[X] \cong H_c[t_1, \dots, t_n]$  from Proposition 4 extends to an isomorphism  $H(X) \cong H_c(t_1, \dots, t_n)$ .  $\square$

### 3. Proof of Theorem 1

We first make the general observation that the Inverse Galois Problem over skew fields is an “algebraic problem”. More precisely:

**Proposition 6.** *Let  $H_1$  and  $H_2$  be isomorphic skew fields and let  $G$  be a finite group. Then there exists a Galois extension of  $H_1$  of group  $G$  if and only if there exists a Galois extension of  $H_2$  of group  $G$ .*

**Proof.** Let  $\varphi : H_1 \rightarrow H_2$  be an isomorphism. Suppose there exists a Galois extension  $K_1/H_1$  of group  $G$ .

By the exchange principle<sup>3</sup>, there exists a set  $C$  such that  $C \cap H_2 = \emptyset$  and  $|C| = |K_1 \setminus H_1|$ . Let  $f : K_1 \setminus H_1 \rightarrow C$  be a bijection. Then set  $K_2 = C \cup H_2$  and consider the well-defined map  $\psi : K_1 \rightarrow K_2$  given by  $\psi(x) = \varphi(x)$  if  $x \in H_1$  and  $\psi(x) = f(x)$  if  $x \in K_1 \setminus H_1$ . The map  $\psi$  is surjective and, as  $C \cap H_2 = \emptyset$ , it is also injective. Now, define the ring operations on  $K_2$  as inherited from  $K_1$  via  $\psi$ :

$$\forall x, y \in K_2, x \cdot y = \psi(\psi^{-1}(x) \cdot \psi^{-1}(y)), x + y = \psi(\psi^{-1}(x) + \psi^{-1}(y)).$$

Then  $K_2$  is isomorphic to  $K_1$  via  $\psi$  and, in particular,  $K_2$  is a skew field containing  $H_2$ .

It remains to show that  $K_2/H_2$  is Galois of group  $G$ . To that end, note that the isomorphism  $\psi : K_1 \rightarrow K_2$ , whose restriction to  $H_1$  equals  $\varphi$ , induces an isomorphism  $\phi : \text{Aut}(K_1/H_1) \rightarrow \text{Aut}(K_2/H_2)$  (namely,  $\phi(\sigma) = \psi \circ \sigma \circ \psi^{-1}$  for every  $\sigma \in \text{Aut}(K_1/H_1)$ ). Finally, if  $x$  is any element of  $K_2$  such that  $\sigma(x) = x$  for every  $\sigma \in \text{Aut}(K_2/H_2)$ , then we have  $\tau(\psi^{-1}(x)) = \psi^{-1}(x)$  for every  $\tau \in \text{Aut}(K_1/H_1)$ . As  $K_1/H_1$  is Galois, we then have  $\psi^{-1}(x) \in H_1$ , and so  $x \in H_2$ , thus showing that  $K_2/H_2$  is Galois. This concludes the proof.  $\square$

**Proof of Theorem 1.** By Corollary 5, we have  $H(X) \cong H_c(t_1, \dots, t_n)$ , where  $n$  denotes the dimension of  $H$  over  $k$ . Moreover, by Proposition 3, the center of  $H_c(t_1, \dots, t_{n-1})$  equals  $k(t_1, \dots, t_{n-1})$  and the dimension of  $H_c(t_1, \dots, t_{n-1})$  over  $k(t_1, \dots, t_{n-1})$  is finite. Finally,  $k(t_1, \dots, t_{n-1})$  contains an ample field. Hence, by [4, Théorème B], the Inverse Galois Problem has an affirmative answer over the skew field  $(H_c(t_1, \dots, t_{n-1}))_c(t_n)$ , that is, over  $H_c(t_1, \dots, t_n)$  by Proposition 2. It then remains to apply Proposition 6 to get that the Inverse Galois Problem also has an affirmative answer over  $H(X)$ , thus concluding the proof.  $\square$

**Remark 7.** Similarly, we have this result, which follows from [4, Proposition 12] as Theorem 1 follows from [4, Théorème B]:

Let  $G$  be a finite group and  $H$  a skew field of finite dimension  $n$  over its center  $k$ . In each of the following cases,  $G$  occurs as the Galois group of a Galois extension of  $H(X)$ :

- (1)  $G$  is abelian and  $k$  is infinite,
- (2)  $G = S_m$  ( $m \geq 3$ ) and  $k$  is infinite,
- (3)  $G = A_m$  ( $m \geq 4$ ) and  $k$  has characteristic zero,
- (4)  $G$  is solvable,  $n \geq 2$ , and  $k$  has positive characteristic.

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<sup>3</sup>which asserts that, given two sets  $A$  and  $B$ , there exists a set  $C$  such that  $A \cap C = \emptyset$  and  $|C| = |B|$  (see, e.g., [11, p. 31]).

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