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## DEGREES OF CURVES IN ABELIAN VARIETIES

BY

OLIVIER DEBARRE (\*)

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RÉSUMÉ. — Le degré d'une courbe  $C$  contenue dans une variété abélienne polarisée  $(X, \lambda)$  est l'entier  $d = C \cdot \lambda$ . Lorsque  $C$  est irréductible et engendre  $X$ , on obtient une minoration de  $d$  en fonction de  $n$  et du degré de la polarisation  $\lambda$ . Le plus petit degré possible est  $d = n$  et n'est atteint que pour une courbe lisse dans sa jacobienne avec sa polarisation principale canonique (Ran, Collino). On étudie les cas  $d = n + 1$  et  $d = n + 2$ . Lorsque  $X$  est simple, on montre de plus, en utilisant des résultats de Smyth sur la trace des entiers algébriques totalement positifs, que si  $d \leq 1,7719n$ , alors  $C$  est lisse et  $X$  est isomorphe à sa jacobienne. Nous obtenons aussi une borne supérieure pour le genre géométrique de  $C$  en fonction de son degré.

ABSTRACT. — The degree of a curve  $C$  in a polarized abelian variety  $(X, \lambda)$  is the integer  $d = C \cdot \lambda$ . When  $C$  is irreducible and generates  $X$ , we find a lower bound on  $d$  which depends on  $n$  and the degree of the polarization  $\lambda$ . The smallest possible degree is  $d = n$  and is obtained only for a smooth curve in its Jacobian with its principal polarization (Ran, Collino). The cases  $d = n + 1$  and  $d = n + 2$  are studied. Moreover, when  $X$  is simple, it is shown, using results of Smyth on the trace of totally positive algebraic integers, that if  $d \leq 1.7719n$ , then  $C$  is smooth and  $X$  is isomorphic to its Jacobian. We also get an upper bound on the geometric genus of  $C$  in terms of its degree.

### 1. Introduction

Although curves in projective spaces have attracted a lot of attention for a long time, very little is known in comparison about curves in abelian varieties. We try in this article to partially fill this gap.

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Let  $(X, \lambda)$  be a principally polarized abelian variety of dimension  $n$  defined over an algebraically closed field  $k$ . The degree of a curve  $C$  contained in  $X$  is  $d = C \cdot \lambda$ .

The first question we are interested in is to find what numbers can be degrees of irreducible curves  $C$ . When  $C$  generates  $X$ , we prove that  $d \geq n(\lambda^n/n!)^{1/n} \geq n$ . It is known (see [C], [R]) that  $d = n$  if and only if  $C$  is smooth and  $X$  is isomorphic to its Jacobian  $JC$  with its canonical principal polarization. What about the next cases? We get partial characterizations for  $d = n + 1$  and  $d = n + 2$ , and we show (example 6.11) that all degrees  $\geq n + 2$  actually occur when  $\text{char}(k) = 0$ . However, it seems necessary to assume  $X$  simple to go further. We prove, using results of SMYTH [S], that *if  $C$  is an irreducible curve of degree  $< 2n$  if  $n \leq 7$ , and  $\leq 1.7719n$  if  $n > 7$ , on a simple principally polarized abelian variety  $X$  of dimension  $n$ , then  $C$  is smooth, has degree  $(2n - m)$  for some divisor  $m$  of  $n$ , the abelian variety  $X$  is isomorphic to  $JC$  (with a non-canonical principal polarization) and  $C$  is canonically embedded in  $X$* . We conjecture this result to hold for any  $n$  under the assumption that  $C$  has degree  $< 2n$ . This would be a consequence of our CONJECTURE 6.2, which holds for  $n \leq 7$ : *the trace of a totally positive algebraic integer  $\sigma$  of degree  $n$  is at least  $(2n - 1)$  and equality can hold only if  $\sigma$  has norm 1*. Smooth curves of genus  $n$  and degree  $(2n - 1)$  in their Jacobians have been constructed by MESTRE for any  $n$  in [Me].

The second question is the Castelnuovo problem : bound the geometric genus  $p_g(C)$  of a curve  $C$  in a polarized abelian variety  $X$  of dimension  $n$  in terms of its degree  $d$ . We prove, using the original Castelnuovo bound for curves in projective spaces, the inequality  $p_g(C) < (2d - 1)^2/(2(n - 1))$ , which is far from being sharp (better bounds are obtained for small degrees). This in turn yields a lower bound in  $O(n^{3/2})$  on the degree of a curve in a *generic* principally polarized abelian variety of dimension  $n$ .

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## 2. Endomorphisms and polarizations of abelian varieties

Let  $X$  be an abelian variety of dimension  $n$  defined over an algebraically closed field  $k$  and let  $\text{End}(X)$  be its ring of endomorphisms. The degree  $\text{deg}(u)$  of an endomorphism  $u$  is defined to be 0 if  $u$  is not surjective, and the degree of  $u$  as a map otherwise. For any prime  $\ell$  different from the characteristic of  $k$ , the Tate module  $T_\ell(X)$  is a free  $\mathbb{Z}_\ell$ -module of rank  $2n$  [Mu, p. 171] and the  $\ell$ -adic representation  $\rho_\ell : \text{End}(X) \rightarrow \text{End}(T_\ell(X))$

is injective. For any endomorphism  $u$  of  $X$ , the characteristic polynomial of  $\rho_\ell(u)$  has coefficients in  $\mathbb{Z}$  and is independent of  $\ell$ . It is called the *characteristic polynomial* of  $u$  and is denoted by  $P_u$ . It satisfies

$$P_u(t) = \deg(t \operatorname{Id}_X - u)$$

for any integer  $t$  [Mu, thm 4, p. 180]. The opposite  $\operatorname{Tr}(u)$  of the coefficient of  $t^{2n-1}$  is called the *trace* of  $u$ .

The *Néron-Severi group* of  $X$  is the group of algebraic equivalence classes of invertible sheaves on  $X$ . Any element  $\mu$  of  $NS(X)$  defines a morphism  $\phi_\mu : X \rightarrow \operatorname{Pic}^0(X)$  [Mu, p. 60] whose scheme-theoretic kernel is denoted by  $K(\mu)$ . The Riemann-Roch theorem gives  $\chi(X, \mu) = \mu^n/n!$ , a number which will be called the *degree* of  $\mu$ . One has  $\deg \phi_\mu = (\deg \mu)^2$  [Mu, p. 150]. A *polarization*  $\lambda$  on  $X$  is the algebraic equivalence class of an ample invertible sheaf on  $X$ ; it is said to be *separable* if its degree is prime to  $\operatorname{char}(k)$ . In that case,  $\phi_\lambda$  is a separable isogeny and its kernel is isomorphic to a group  $(\mathbb{Z}/\delta_1\mathbb{Z})^2 \times \cdots \times (\mathbb{Z}/\delta_n\mathbb{Z})^2$ , where  $\delta_1 \mid \cdots \mid \delta_n$  and  $\delta_1 \cdots \delta_n = \deg(\lambda)$ . We will say that  $\lambda$  is of type  $(\delta_1 \mid \cdots \mid \delta_n)$ . We will need the following result.

**THEOREM 2.1.** (KEMPF, MUMFORD, RAMANUJAN). — *Let  $X$  be an abelian variety of dimension  $n$ , and let  $\lambda$  and  $\mu$  be two elements of  $NS(X)$ . Assume that  $\lambda$  is a polarization. Then :*

- (i) *The roots of the polynomial  $P(t) = (t\lambda - \mu)^n$  are all real.*
- (ii) *If  $\mu$  is a polarization, the roots of  $P$  are all positive.*
- (iii) *If  $P$  has no negative roots and  $r$  positive roots, there exist a polarized abelian variety  $(X', \mu')$  of dimension  $r$  and a surjective morphism  $f : X \rightarrow X'$  with connected kernel such that  $\mu = f^*\mu'$ .*

*Proof.* — The first point is part of [MK, thm 2, p. 98]. The second point follows from the same theorem and the fact that if  $M$  is an ample line bundle on  $X$  with class  $\mu$ , one has  $H^i(X, M) = 0$  for  $i > 0$  [Mu, § 16]. For the last point, the same theorem from [MK] yields that the neutral component  $K$  of the group  $K(\mu)$  has dimension  $(n - r)$ . The restriction of  $M$  to  $K$  is algebraically equivalent to 0 [*loc.cit.*, lemma 1, p. 95] hence, since the restriction  $\operatorname{Pic}^0(X) \rightarrow \operatorname{Pic}^0(K)$  is surjective, there exists a line bundle  $N$  on  $X$  algebraically equivalent to 0 such that the restriction of  $M \otimes N$  to  $K$  is trivial. It follows from theorem 1, p. 95 of *loc.cit.* that  $M \otimes N$  is the pull-back of a line bundle on  $X' = X/K$ .  $\square$

**2.2.** — Suppose now that  $\theta$  is a principal polarization on  $X$ , i.e. a polarization of degree 1. It defines a morphism of  $\mathbb{Z}$ -modules

$$\beta_\theta : NS(X) \longrightarrow \operatorname{End}(X)$$

by the formula  $\beta_\theta(\mu) = \phi_\theta^{-1} \circ \phi_\mu$ . Its image consists of all endomorphisms invariant under the *Rosati involution*, which sends an endomorphism  $u$  to  $\phi_\theta^{-1} \circ \text{Pic}^0(u) \circ \phi_\theta$  [Mu, (3) p. 190]. Moreover, one has, for any integer  $t$  :

$$\left(\frac{(t\theta - \mu)^n}{n!}\right)^2 = \text{deg}(t\phi_\theta - \phi_\mu) = \text{deg}(t\text{Id}_X - \beta_\theta(\mu)) = P_{\beta_\theta(\mu)}(t).$$

**2.3.** — Let  $(X, \lambda)$  be a polarized abelian variety. For  $0 < r \leq n$ , we set

$$\lambda_{\min}^r = \frac{\lambda^r}{r! \delta_1 \cdots \delta_r}.$$

If  $k = \mathbb{C}$ , the class of  $\lambda_{\min}^r$  is minimal (i.e. non-divisible) in  $H^{2r}(X, \mathbb{Z})$ . If  $k$  is any algebraically closed field, and if  $\ell$  is a prime number different from the characteristic of  $k$ , the group  $H_{\text{ét}}^1(X, \mathbb{Z}_\ell)$  is a free  $\mathbb{Z}_\ell$ -module of rank  $n$  [Mi, thm 15.1] and the algebras  $H_{\text{ét}}^*(X, \mathbb{Z}_\ell)$  with its cup-product structure and  $\bigwedge^* H_{\text{ét}}^1(X, \mathbb{Z}_\ell)$  with its wedge-product structure, are isomorphic [Mi, rem. 15.4]. In particular, the class  $[\lambda]_\ell$  in  $H_{\text{ét}}^2(X, \mathbb{Z}_\ell)$  of the polarization  $\lambda$  can be viewed as an alternating form on a free  $\mathbb{Z}_\ell$ -module, and as such has elementary divisors. If  $\lambda$  is *separable*,  $(X, \lambda)$  lifts in characteristic 0 to a polarized abelian variety of the same type  $(\delta_1 | \cdots | \delta_n)$ . The elementary divisors of  $[\lambda]_\ell$  are then the maximal powers of  $\ell$  that divide  $\delta_1, \dots, \delta_n$ . Since intersection corresponds to cup-product in étale cohomology, the class of  $\lambda_{\min}^r$  is in  $H_{\text{ét}}^{2r}(X, \mathbb{Z}_\ell)$  and is not divisible by  $\ell$ .

Throughout this article, all schemes we consider will be defined over an algebraically closed field  $k$ . We will denote numerical equivalence by  $\sim$ . If  $C$  is a smooth curve,  $J_C$  will be its Jacobian and  $\theta_C$  its canonical principal polarization.

### 3. Curves and endomorphisms

We summarize here some results from [Ma] and [Mo]. Let  $C$  be a curve on a polarized abelian variety  $(X, \lambda)$  and let  $D$  be an effective divisor that represents  $\lambda$ . MORIKAWA proves that the following diagram, where  $d$  is the degree of  $C$  and  $S$  is the sum morphism, defines an endomorphism  $\alpha(C, \lambda)$  of  $X$  which is independent on the choice of  $D$  :

$$\begin{array}{ccccc} \alpha(C, \lambda) : X & \dashrightarrow & C^{(d)} & \xrightarrow{S} & X \xrightarrow{\text{translation}} X \\ & & x \longmapsto & (D + x) \cap C. & \end{array}$$

**3.1.** — Let  $N$  be the normalization of  $C$ . The morphism  $\iota : N \rightarrow X$  factorizes through a morphism  $p : JN \rightarrow X$ . Set  $q = \iota^* \circ \phi_\lambda : X \rightarrow JN$ ; MATSUSAKA proves that  $\alpha(C, \lambda) = p \circ q$  [Ma, lemma 3].

**3.2.** — He also proves [*loc.cit.*, thm 2] that  $\alpha(C, \lambda) = \alpha(C', \lambda)$  if and only if  $C \sim C'$ . Since  $\alpha(\lambda^{n-1}, \lambda) = (\lambda^n/n) \text{Id}_X$ , it follows that :

$$\alpha(C, \lambda) = m \text{Id}_X \iff C \sim \frac{m}{(n-1)! \deg \lambda} \lambda^{n-1}.$$

If moreover  $\lambda$  is separable of type  $(\delta_1 | \dots | \delta_n)$  and if  $\ell$  is a prime distinct from  $\text{char}(k)$ , the discussion of 2.3 yields that there exists a class  $\epsilon$  in  $H_{\text{ét}}^2(X, \mathbb{Z}_\ell)$  such that  $\lambda^{n-1} \cdot \epsilon$  is  $(n-1)! \delta_1 \dots \delta_{n-1}$  times a generator of  $H_{\text{ét}}^{2n}(X, \mathbb{Z}_\ell)$ . It follows that  $c = m/\delta_n$  must be in  $\mathbb{Z}_\ell$ . But  $\delta_n$  is prime to  $\text{char}(k)$ , hence  $c$  is an integer and  $C \sim c\lambda_{\min}^{n-1}$ .

Let  $\theta_N$  be the canonical principal polarization on  $JN$ . One has :

$$(3.3) \quad \phi_{q^*\theta_N} = \text{Pic}^0(q) \circ \phi_{\theta_N} \circ q = \phi_\lambda \circ p \circ \phi_{\theta_N}^{-1} \circ \iota^* \circ \phi_\lambda = \phi_\lambda \circ \alpha(C, \lambda).$$

Similarly :

$$\phi_{p^*\lambda} = \text{Pic}^0(p) \circ \phi_\lambda \circ p = \phi_{\theta_N} \circ q \circ \phi_\lambda^{-1} \circ \phi_\lambda \circ p = \phi_{\theta_N} \circ q \circ p.$$

Note that, if  $g$  is the genus of  $N$ , one has :

$$C \cdot \lambda = N \cdot p^*\lambda = \frac{\theta_N^{g-1}}{(g-1)!} \cdot p^*\lambda.$$

In particular,  $-2(C \cdot \lambda)$  is the coefficient of  $t^{2g-1}$  in the polynomial :

$$\deg(t\theta_N - p^*\lambda)^2 = \deg(t\phi_{\theta_N} - \phi_{p^*\lambda}) = \deg(t \text{Id}_{JN} - q \circ p).$$

Since  $\text{Tr}(q \circ p) = \text{Tr}(p \circ q) = \text{Tr}(\alpha(C, \lambda))$ , the following equality, originally proved by MATSUSAKA [Ma, cor., p. 8], holds :

$$(3.4) \quad \text{Tr}(\alpha(C, \lambda)) = 2(C \cdot \lambda).$$

**3.5.** — If the Néron-Severi group of  $X$  has rank 1 (this holds for a generic principally polarized  $X$  by [M, thm 6.5], hence for a generic  $X$  with any polarization by [Mu, cor. 1, p. 234]), and ample generator  $\ell'$ , we can write  $q^*\theta_N = r\ell'$  and  $\ell = s\ell'$  with  $r$  and  $s$  integers. We get  $r\phi_{\ell'} = s\phi_{\ell'} \circ \alpha(C, \ell)$  hence  $\alpha(C, \ell)(sx) = rx$  for all  $x$  in  $X$ . By taking degrees, one sees that  $s$  divides  $r$  and  $\alpha(C, \ell) = (r/s) \text{Id}_X$ . By (3.2), any curve  $C$  is numerically equivalent to a rational multiple of  $\lambda^{n-1}$  and its degree is a multiple of  $n$ . If  $\ell'$  is separable of type  $(\delta_1 | \dots | \delta_n)$ , any curve  $C$  is numerically equivalent to an integral multiple of  $\lambda_{\min}^{n-1}$  and its degree is a multiple of  $n\delta_n$ .

LEMMA 3.6. — *Let  $C$  be an irreducible curve that generates a polarized abelian variety  $(X, \lambda)$  of dimension  $n$ . Then, the polynomial  $P_{\alpha(C, \lambda)}$  is the square of a polynomial whose roots are all real and positive.*

*Proof.* — Let  $\alpha = \alpha(C, \lambda)$ . By (3.3), one has  $\phi_{q^* \theta_N} = \phi_\lambda \circ \alpha$ , hence, for any integer  $t$  :

$$\begin{aligned} P_\alpha(t) \deg \phi_\lambda &= \deg(t \text{Id}_X - \alpha) \deg \phi_\lambda \\ &= \deg(t \phi_\lambda - \phi_\lambda \circ \alpha) = \deg(t \phi_\lambda - \phi_{q^* \theta_N}) \\ &= \deg(\phi_{t\lambda - q^* \theta_N}) = \left[ \frac{1}{n!} (t\lambda - q^* \theta_N)^n \right]^2. \end{aligned}$$

The lemma then follows from THEOREM 2.1.  $\square$

We end this section with a proof of Matsusaka's celebrated criterion.

THEOREM 3.7. (MATSUSAKA). — *Let  $C$  be an irreducible curve in a polarized abelian variety  $(X, \lambda)$  of dimension  $n$ . Assume that  $\alpha(C, \lambda) = \text{Id}_X$ . Then  $C$  is smooth and  $(X, \lambda)$  is isomorphic to  $(JC, \theta_C)$ .*

*Proof.* — Let  $N$  be the normalization of  $C$ . The morphism  $\alpha(C, \lambda)$  is the identity and factors as :

$$X \dashrightarrow N^{(n)} \longrightarrow W_n(N) \longrightarrow JN \longrightarrow X.$$

It follows that  $\dim JN = g(N) \geq n$ . Moreover, the image of  $X$  in  $JN$  has dimension  $n$ , hence is the entire  $W_n(N)$ , which is therefore an abelian variety. This is possible only if  $g(N) \leq n$ . Hence  $N$  has genus  $n$ . It follows that the morphism  $q : X \rightarrow JN$  is an isogeny, which is in fact an isomorphism since  $p \circ q = \alpha(C, \lambda) = \text{Id}_X$ . By (3.3), the polarizations  $q^* \theta_N$  and  $\lambda$  are equal, hence  $q$  induces an isomorphism of the polarizations.  $\square$

#### 4. Degrees of curves

Let  $C$  be an irreducible curve that generates a polarized abelian variety  $(X, \lambda)$  of dimension  $n$ . We want to study its degree  $d = C \cdot \lambda$ . First, by description (3.1), the dimension of the image of  $\alpha(C, \lambda)$  is the dimension of the abelian subvariety  $\langle C \rangle$  generated by  $C$ . This and the definition of  $\alpha(C, \lambda)$  imply :

$$C \cdot \lambda \geq n.$$

It was proved by RAN [R] for  $k = \mathbb{C}$  and by COLLINO [C] in general, that if  $C \cdot \lambda = n$ , the minimal value, then  $C$  is smooth and  $(X, \lambda)$  is isomorphic to its Jacobian  $(JC, \theta_C)$ . This suggests that there should be a better lower bound on the degree that involves the type of the polarization  $\lambda$ . The following proposition provides such a bound.

PROPOSITION 4.1. — *Let  $C$  be an irreducible curve that generates a polarized abelian variety  $(X, \lambda)$  of dimension  $n$ . Then :*

$$C \cdot \lambda \geq n (\deg \lambda)^{\frac{1}{n}}.$$

*If  $\lambda$  is separable, there is equality if and only if  $C$  is smooth and  $(X, \lambda)$  is isomorphic to  $(JC, \delta\theta_C)$ , for some integer  $\delta$  prime to  $\text{char}(k)$ .*

Recall that by (3.5), the degree of any curve on a generic polarized abelian variety  $(X, \lambda)$  is a multiple of  $n$ . When  $\lambda$  is separable of type  $(\delta_1 | \cdots | \delta_n)$ , this degree is even a multiple of  $n\delta_n$ .

*Proof of the proposition.* — We know by LEMMA 3.6 that  $P_{\alpha(C, \lambda)}$  is the square of a polynomial  $Q$  whose roots  $\beta_1, \dots, \beta_n$  are real and positive. We have :

$$\begin{aligned} C \cdot \lambda &= \frac{1}{2} \text{Tr } \alpha(C, \lambda) = \frac{1}{2} (2\beta_1 + \cdots + 2\beta_n) \\ &\geq n (\beta_1 \cdots \beta_n)^{\frac{1}{n}} = n Q(0)^{\frac{1}{n}} = n P_{\alpha(C, \lambda)}(0)^{\frac{1}{2n}} \\ &= n (\deg \alpha(C, \lambda))^{\frac{1}{2n}} \geq n (\deg \phi_\lambda)^{\frac{1}{2n}} = n (\deg \lambda)^{\frac{1}{n}}. \end{aligned}$$

This proves the inequality in the proposition. If there is equality,  $\beta_1, \dots, \beta_n$  must be all equal to the same number  $m$ , which must be an integer since  $P_{\alpha(C, \lambda)}$  has integral coefficients. It follows from the proof of LEMMA 3.6 that :

$$\left[ \frac{1}{n!} (t\lambda - q^*\theta_N)^n \right]^2 = P_\alpha(t) \deg \phi_\lambda = (t - m)^{2n} \deg \phi_\lambda.$$

Thus THEOREM 2.1 (iii) yields  $m\lambda = q^*\theta_N$ . It follows from (3.3) that  $\alpha(C, \lambda) = m \text{Id}_X$ .

If  $\lambda$  is separable of type  $(\delta_1 | \cdots | \delta_n)$ , by (3.2), the number  $c = m/\delta_n$  is an integer and  $C$  is numerically equivalent to  $c\lambda_{\min}^{n-1}$ . We get :

$$cn\delta_n = C \cdot \lambda = n (\deg \lambda)^{\frac{1}{n}} = n (\delta_1 \cdots \delta_n)^{\frac{1}{n}} \leq n\delta_n.$$

This implies  $c = 1$  and  $\delta_1 = \cdots = \delta_n = \delta$ . But then  $\lambda$  is  $\delta$  times a principal polarization  $\theta$  [Mu, thm 3, p. 231] and  $C \sim \theta_{\min}^{n-1}$ . The conclusion now follows from Matsusaka's criterion 3.7.  $\square$



COROLLARY 4.2 (RAN, COLLINO). — *Let  $C$  be an irreducible curve that generates a polarized abelian variety  $(X, \lambda)$  of dimension  $n$ . Assume that  $C \cdot \lambda = n$ . Then  $C$  is smooth and  $(X, \lambda)$  is isomorphic to its Jacobian  $(JC, \theta_C)$ .*

*Proof.* — Although the converse of the proposition was proved only for  $\lambda$  separable, we still get from its proof that  $\alpha(C, \lambda)$  is the identity of  $X$  and we may then apply Matsusaka’s criterion 2.7. This is the same proof as Collino’s.  $\square$

More generally, if  $C \cdot \lambda = \dim(C)$ , the same reasoning can be applied on  $\langle C \rangle$  with the induced polarization to prove that  $C$  is smooth and that  $(X, \lambda)$  is isomorphic to the product of  $(JC, \theta_C)$  with a polarized abelian variety.

COROLLARY 4.3. — *Let  $X$  be an abelian variety with a separable polarization  $\lambda$  of type  $(\delta_1 | \dots | \delta_n)$ . Let  $C$  be an irreducible curve in  $X$  and let  $m$  be the dimension of the abelian subvariety that it generates. Then :*

$$C \cdot \lambda \geq m(\delta_1 \cdots \delta_m)^{\frac{1}{m}}.$$

*Proof.* — Apply the proposition on the abelian subvariety  $Y$  generated by  $C$ . All there is to show is that the degree  $Y \cdot \lambda^m / m!$  of the restriction  $\lambda'$  of  $\lambda$  to  $Y$  is at least  $\delta_1 \cdots \delta_m$ . We will prove that it is actually *divisible* by  $\delta_1 \cdots \delta_m$ . When  $k = \mathbb{C}$ , this follows from the fact that the class  $\lambda_{\min}^m$  is integral. The following argument for the general case was kindly communicated to the author by KEMPF. Let  $\iota$  be the inclusion of  $Y$  in  $X$ . Then  $\phi_{\lambda'} = \text{Pic}^0(\iota) \circ \phi_{\lambda} \circ \iota$ , hence  $\deg(\lambda')^2$ , which is the order of the kernel of  $\phi_{\lambda'}$ , is a multiple of the order of its subgroup  $K(\lambda) \cap Y$ , hence a fortiori a multiple of the order of its  $(r, r)$  part  $K'$ . In other words, since  $K' \simeq (\mathbb{Z}/\delta'_1\mathbb{Z})^2 \times \cdots \times (\mathbb{Z}/\delta'_m\mathbb{Z})^2$  for some integers  $\delta'_1 | \delta'_2 | \cdots | \delta'_m$  prime to  $\text{char}(k)$ , it is enough to show that  $\delta'_1 \delta'_2 \cdots \delta'_m$  is a multiple of  $\delta_1 \delta_2 \cdots \delta_m$ .

Let  $\ell$  be a prime number distinct from  $\text{char}(k)$  and let  $\mathbb{F}_{\ell}$  be the field with  $\ell$  elements. For any integer  $s$ , let  $X_s$  be the kernel of the multiplication by  $\ell^s$  on  $X$ . Then  $X_s/X_{s-1}$  is a  $\mathbb{F}_{\ell}$ -vector space of dimension  $2n$  of which  $Y_s/Y_{s-1}$  is a subspace of dimension  $2m$ . Since  $K(\lambda)$  is isomorphic to  $(\mathbb{Z}/\delta_1\mathbb{Z})^2 \times \cdots \times (\mathbb{Z}/\delta_n\mathbb{Z})^2$ , the rank over  $\mathbb{F}_{\ell}$  of  $(K(\lambda) \cap X_s)/(K(\lambda) \cap X_{s-1})$  is twice the cardinality of the set  $\{i \in \{1, \dots, n\} ; \ell^s \mid \delta_i\}$ . The dimension formula yields :

$$\text{rank}(K(\lambda) \cap Y_s)/(K(\lambda) \cap Y_{s-1}) \geq 2 \text{Card}\{i ; \ell^s \mid \delta_i\} - 2n + 2m.$$

But the rank of  $(K(\lambda) \cap Y_s)/(K(\lambda) \cap Y_{s-1}) = (K' \cap X_s)/(K' \cap X_{s-1})$  is

also twice the cardinality of  $\{i \in \{1, \dots, m\}; \ell^s \mid \delta'_i\}$ . It follows that :

$$\text{Card}\{i \in \{1, \dots, n\}; \ell^s \nmid \delta_i\} \geq \text{Card}\{i \in \{1, \dots, m\}; \ell^s \nmid \delta'_i\}.$$

This implies what we need.  $\square$

**COROLLARY 4.4.** — *Let  $C$  be an irreducible curve that generates a principally polarized abelian variety  $(X, \theta)$  of dimension  $n$ . Assume that  $C$  is invariant by translation by an element  $\epsilon$  of  $X$  of order  $m$ . Then  $C \cdot \theta \geq nm^{1-1/n}$ .*

*Proof.* — Let  $H$  be the subgroup scheme generated by  $\epsilon$ . The abelian variety  $X' = X/H$  has a polarization  $\lambda$  of degree  $m^{n-1}$  whose pull-back on  $X$  is  $m\theta$  [Mu, cor., p. 231]. If  $C'$  is the image of  $C$  in  $X'$ , the proposition yields  $C \cdot \theta = C' \cdot \lambda \geq nm^{1-1/n}$ .  $\square$

Note that in the situation of COROLLARY 4.4, if  $(X, \theta)$  is a *generic* principally polarized abelian variety of dimension  $n$ , and  $m$  is prime to  $\text{char}(k)$ , then  $mn$  divides  $C \cdot \theta$ . With the notation of the proof above, this follows from the fact that any curve on  $X'$  is numerically equivalent to an integral multiple of  $\lambda_{\min}^{n-1}$  (see (3.5)).

### 5. Bounds on the genus

We keep the same setting :  $C$  is an irreducible curve that generates a polarized abelian variety  $(X, \lambda)$ , its normalization is  $N$ , and its degree is  $d = C \cdot \lambda$ . The composition :

$$X \dashrightarrow N^{(d)} \longrightarrow W_d(N) \longrightarrow JN$$

is a morphism with finite kernel (since  $\alpha(C, \lambda)$  is an isogeny), hence  $W_d(N)$  contains an abelian variety of dimension  $n$ . We can apply the ideas of [AH] to get a bound of Castelnuovo type on the genus of  $N$ . Note that if  $C$  does not generate  $X$ , the same bound holds with  $n$  replaced by the dimension of  $\langle C \rangle$ .

**THEOREM 5.1.** — *Let  $C$  be an irreducible curve that generates a separably polarized abelian variety  $(X, \lambda)$  of dimension  $n > 1$ . Let  $N$  be the normalization of  $C$  and let  $d = C \cdot \lambda$ . Then :*

$$g(N) < \frac{(2d - 1)^2}{2(n - 1)}.$$

The inequality in the second part of lemma 8 in [AH] would improve this bound when  $\text{char}(k) = 0$ , but its proof is incorrect.

*Proof.* — Let  $A$  be the image of  $X$  in  $W_d(N)$  and let  $A_2$  be the image of  $A \times A$  in  $W_{2d}(N)$  under the addition map. We want to show that the morphism associated with a generic point of  $A_2$  is generically injective on  $N$ . The linear systems corresponding to points of  $A_2$  are of the form  $|\mathcal{O}_N(2D_x)|$ , where  $x$  varies in  $X$ , where  $D$  is an effective divisor that represents  $\lambda$  and  $D_x = D + x$ . It is therefore enough to show that the restriction to  $C-x$  of the morphism  $\phi_{2D}$  associated with  $|2D|$  is generically injective for  $x$  generic. If not, for  $x$  generic in  $X$  and  $a$  generic in  $C-x$ , there exists  $b$  in  $C-x$  with  $a \neq b$  and  $\phi_{2D}(a) = \phi_{2D}(b)$ . The same holds for  $a$  generic in  $X$  and  $x$  generic in  $C-a$ . Since  $\phi_{2D}$  is finite [Mu, p. 60],  $b$  does not depend on  $x$ , hence  $C-a = C-b$ . Since  $C$  generates  $X$ , this implies that  $\epsilon = a-b$  is torsion, hence does not depend on  $a$ . Letting  $a$  vary, we see that any divisor in  $|2D|$  is invariant by translation by  $\epsilon$ . The argument in [Mu, p. 164], yields a contradiction.

It follows that the image of the morphism  $N \rightarrow \mathbf{P}^r$  that corresponds to a generic point in  $A_2$  is a curve of degree a divisor  $d'$  of  $2d$ , with normalization  $N$ . Moreover, one has  $r \geq n$  [AH, lemma 1]. Castelnuovo's bound ([AH, lemma 1] and [B] when  $\text{char}(k) > 0$ ) then gives :

$$g(N) \leq m(d' - 1) - \frac{1}{2}m(m + 1)(r - 1),$$

where  $m = \lceil (d' - 1)/(r - 1) \rceil$ . Hence :

$$\begin{aligned} g(N) &\leq m(d' - 1 - \frac{1}{2}(m + 1)(r - 1)) \\ &< \frac{d' - 1}{r - 1} (d' - 1 - \frac{1}{2}(d' - 1)) \\ &\leq \frac{(d' - 1)^2}{2(n - 1)} \leq \frac{(2d - 1)^2}{2(n - 1)}. \end{aligned}$$

This finishes the proof of the theorem.  $\square$

In particular, in a principally polarized abelian variety  $(X, \lambda)$  of dimension  $n$ , any smooth curve numerically equivalent to  $c\theta_{\min}^{n-1}$  has genus  $< (2cn - 1)^2/(2(n - 1))$ . For curves in generic principally polarized abelian varieties of dimension  $n$ , I conjecture the stronger inequality

$$g(C) \leq cn + (c - 1)^2.$$

The theorem also gives a lower bound on the degree of any curve in a generic complex polarized abelian variety of dimension  $n$ , whose only merit is to go to infinity faster than  $n$ .

COROLLARY 5.2. — *Let  $C$  be a curve in a generic complex polarized abelian variety  $(X, \lambda)$  of dimension  $n$  and let  $c$  be the integer such that  $C$  is numerically equivalent to  $c\lambda_{\min}^{n-1}$ . Then :*

$$c > \sqrt{\frac{n}{8}} - \frac{1}{4}.$$

*Proof.* — We may assume that  $\lambda$  is a principal polarization and that  $n > 12$ . Let  $N$  be the normalization of  $C$ . Corollary 5.5 in [AP] yields  $g(N) > 1 + \frac{1}{4}n(n+1)$ , which, combined with the proposition, gives what we want.  $\square$

We can get better bounds on the genus when  $d/n$  is small.

PROPOSITION 5.3. — *Let  $C$  be an irreducible curve that generates a complex polarized abelian variety  $(X, \lambda)$  of dimension  $n$ . Let  $N$  be the normalization of  $C$  and let  $d = C \cdot \lambda$ . Then :*

- (i) *If  $d < 2n$ , then  $g(N) \leq d$ .*
- (ii) *If  $d = 2n$ , then  $g(N) < \frac{3}{2}d = 3n$ .*
- (iii) *If  $d \leq 3n$ , then  $g(N) \leq 4d$ .*
- (iv) *If  $d \leq 4n$ , then  $g(N) \leq 6d$ .*

*Proof.* — We keep the notation of the proof of THEOREM 5.1. In particular,  $W_d(N)$  contains an abelian variety  $A$  of dimension  $n$ . If  $2n > d$ , it follows from proposition 3.3 of [DF] that  $g(N) \leq d$ . Recall that we proved earlier that the morphisms that correspond to generic points in  $A_2$  are *birational* onto their image. It follows from corollary 3.6 of *loc.cit.* that  $g(N) < \frac{3}{2}d$  when  $d = 2n$ . This proves (ii). We will do (iv) only, (iii) being analogous. First, we may assume that the embedding of  $A$  in  $W_d(N)$  satisfies the minimality assumptions made in [A1]. Let  $A_k$  be the image of  $A \times \cdots \times A$  in  $W_{kd}(N)$  under the addition map and let  $r_k$  be the maximum integer such that  $A_k$  is contained in  $W_{kd}^{r_k}(N)$ . If  $g(N) > 6d$ , we get, as in the proof of proposition 3.8 of [DF], the inequalities  $r_6 \geq 8n + 2$  and  $n \leq 6d - 3r_6$ . It follows that  $d \geq \frac{1}{6}(n + 3r_6) \geq \frac{1}{6}(25n + 6) > 4n$ . This proves (iv).  $\square$

The inequality (ii) should be compared with the inequality

$$g(C) \leq 2n + 1$$

proved by WELTERS in [W] when  $\text{char}(k) = 0$  for any irreducible curve  $C$  numerically equivalent to  $2\theta_{\min}^{n-1}$  on a principally polarized abelian variety  $(X, \theta)$  of dimension  $n$  (so that  $C \cdot \theta = 2n$ ). Equality is obtained only with the Prym construction.

## 6. Curves of low degrees

Let  $C$  be an irreducible curve that generates a principally polarized abelian variety  $(X, \theta)$  of dimension  $n$ . We keep the same notation :  $N$  is the normalization of  $C$  and  $q : X \rightarrow JN$  is the induced morphism. From (2.2), we get that the square of the monic polynomial  $Q_C(T) = (T\theta - q^*\theta_N)^n/n!$  has integral coefficients (and is the characteristic polynomial of  $\alpha(C, \theta)$ ). It follows that  $Q_C$  itself has integral coefficients, and we get from THEOREM 2.1 and (3.4) :

- (i) The roots of  $Q_C$  are all real and positive.
- (ii) The sum of the roots of  $Q_C$  is the degree  $d = C \cdot \theta$ .
- (iii) The product of the roots of  $Q_C$  is the degree of the polarization  $q^*\theta_N$ .

SMYTH obtained in [S] a lower bound on the trace of a totally real algebraic integer in terms of its degree. His results can be partially summarized as follows.

**THEOREM 6.1.** (SMYTH). — *Let  $\sigma$  be a totally positive algebraic integer of degree  $m$ . Then  $\text{Tr}(\sigma) > 1.7719m$ , unless  $\sigma$  belongs to an explicit finite set, in which case  $\text{Tr}(\sigma) = 2m - 1$  and  $\text{Nm}(\sigma) = 1$ .*

It is tempting to conjecture :

**CONJECTURE 6.2** (conjecture  $C_m$ ). — *Let  $\sigma$  be a totally positive algebraic integer of degree  $m$ . Then we have  $\text{Tr}(\sigma) \geq 2m - 1$ . If there is equality, then  $\text{Nm}(\sigma) = 1$ .*

6.3. — The inequality in the conjecture follows from Smyth's theorem for  $m \leq 8$  (and holds also for  $m = 9$  according to further calculations). Smyth also worked out a list of all totally positive algebraic integers  $\sigma$  for which  $\text{Tr}(\sigma) - \text{deg}(\sigma) \leq 6$ . It follows from this list that *the full conjecture holds for  $m \leq 7$ .*

There are infinitely many examples for which the conjectural bound is obtained : if  $M$  is an odd prime, the algebraic integer  $4 \cos^2(\pi/2M)$  is totally positive, has degree  $\frac{1}{2}(M - 1)$ , trace  $(M - 2)$  and norm 1.

**PROPOSITION 6.4.** — *Let  $C$  be an irreducible curve that generates a principally polarized abelian variety  $(X, \theta)$  of dimension  $n$  and let  $Q_C$  be the polynomial defined above. Then, if  $|Q_C(0)| = 1$ , the curve  $C$  is smooth,  $X$  is isomorphic to its Jacobian and  $C$  is canonically embedded.*

*Proof.* — By fact (iii) above, the polarization  $q^*\theta_N$  is principal. The proposition then follows from the next lemma.  $\square$

LEMMA 6.5. — *Let  $(JN, \theta_N)$  be the Jacobian of a smooth curve, let  $X$  be a non-zero abelian variety and let  $q : X \rightarrow JN$  be a morphism. Assume that  $q^*\theta_N$  is a principal polarization. Then  $q$  is an isomorphism.*

*Proof.* — Since  $q^*\theta_N$  is a principal polarization,  $q$  is a closed immersion. By Mumford's proof of Poincaré's complete reducibility theorem [Mu, p. 173], there exist another abelian subvariety  $Y$  of  $JN$  and an isogeny  $f : X \times Y \rightarrow JN$  such that  $f^*\theta_N$  is the product of the induced polarizations on each factor. As in *loc.cit.*, for any  $k$ -scheme  $S$ , the set  $(X \cap Y)(S)$  is contained in  $K(q^*\theta_N)(S)$ , which is trivial. Hence  $f$  is an isomorphism of polarized varieties. But a Jacobian with its canonical principal polarization cannot be a product, hence  $Y$  is 0 and  $q$  is an isomorphism.  $\square$

We now give a result on curves on *simple* abelian varieties. The part that depends on the validity of CONJECTURE 6.2 holds in particular for  $n \leq 7$ .

THEOREM 6.6. — *Let  $C$  be an irreducible curve in a simple principally polarized abelian variety  $(X, \theta)$  of dimension  $n$ . Assume that either  $C \cdot \theta \leq 1.7719n$ , or that conjecture  $C_m$  holds for all divisors  $m$  of  $n$  and  $C \cdot \theta < 2n$ . Then, the curve  $C$  is smooth,  $X$  is isomorphic to its Jacobian and  $C$  is canonically embedded.*

*Proof.* — Since  $X$  is simple, the polynomial  $P_{\alpha(C, \theta)}$ , hence also its «square root»  $Q_C$ , are powers of an irreducible polynomial  $R$  of degree some divisor  $m$  of  $n$ . If the degree of  $C$ , which is equal to the sum of the roots of  $Q_C$ , is  $\leq 1.7719n$ , the sum of the roots of  $R$  is also  $\leq 1.7719m$ . It follows from THEOREM 6.1 that  $|R(0)| = 1$ . On the other hand, if  $C \cdot \theta < 2n$ , the sum of the roots of  $R$  is also  $< 2m$ , hence, since  $C_m$  is supposed to hold, we also have  $|R(0)| = 1$ . The theorem then follows in both cases from PROPOSITION 6.4.  $\square$

It follows from the proof of the theorem that  $C$  has degree  $2n - m$  for some divisor  $m$  of  $n$ . In particular, for  $n$  prime, either  $C$  has degree  $n$  and  $\theta$  is the canonical principal polarization, or it has degree  $2n - 1$ .

If one wants curves of degree between  $n$  and  $2n$  in a simple abelian variety  $X$ , and if one believes in CONJECTURE 6.2,  $X$  needs to be a *Jacobian with real multiplications* (in the sense that the ring  $\text{End}(X) \otimes \mathbb{Q}$  contains a totally real number field different from  $\mathbb{Q}$ ). Examples have been constructed in [Me] (see also [TTV]). More precisely, for any integer  $M \geq 4$ , MESTRE constructs an explicit 2-dimensional family of complex hyperelliptic Jacobians  $JC$  of dimension  $[\frac{1}{2}M]$  whose endomorphism rings

contain a subring isomorphic to  $\mathbb{Z}[T]/G_M(T)$ , where :

$$G_M(T) = \prod_{0 < k \leq [M/2]} \left( T - 4 \cos^2 \frac{k\pi}{M} \right),$$

whose elements are invariant under the Rosati involution. By (2.2), they correspond to polarizations on  $JC$ . Take  $M$  odd and set  $n = \dim(JC) = \frac{1}{2}(M - 1)$ . Then, the endomorphism of  $X$  that corresponds to  $T$  gives rise to a *principal* polarization on  $JC$ , with respect to which the degree of  $C$ , canonically embedded, is  $2n - 1$ . Therefore, for any  $n \geq 2$ , we have examples of *complex principally polarized abelian varieties of dimension  $n$  that contain curves of degree  $2n - 1$* . They are simple if  $2n + 1$  is prime. For  $n = 2$ , these examples are Humbert surfaces, which contain curves of degree 3 (see [vG, p. 221]).

If the assumption  $X$  simple is dropped, much less can be said. If  $Q$  is a monic polynomial with integral coefficients whose roots are all real, we will say that a curve  $C$  has *real multiplications by  $Q$*  if there is an endomorphism of  $JC$  whose characteristic polynomial (see §2) is  $Q^2$ . If  $k = \mathbb{C}$ , this is the same as asking that the characteristic polynomial of the endomorphism acting on the space of first-order differentials of  $C$  be  $Q$ .

PROPOSITION 6.7. — *Let  $C$  be an irreducible curve that generates a principally polarized abelian variety  $(X, \theta)$  of dimension  $n$ . Then, if  $C \cdot \theta = n + 1$ , the curve  $C$  is smooth,  $X$  is isomorphic to its Jacobian and  $C$  is canonically embedded. Moreover, the curve  $C$  has real multiplications by  $(T - 1)^{n-2}(T^2 - 3T + 1)$ .*

*Proof.* — By THEOREM 6.1 and Smyth's list in [S], the polynomial  $Q_C$  can only be  $(T - 1)^{n-1}(T - 2)$  or  $(T - 1)^{n-2}(T^2 - 3T + 1)$ . By PROPOSITION 6.4, we need only exclude the first case. By THEOREM 2.1, there exist a polarized elliptic curve  $(X', \lambda')$  and a morphism  $f' : X \rightarrow X'$  such that  $f'^*\lambda' = q^*\theta_N - \theta$ . Similarly, there exist an  $(n - 1)$ -dimensional polarized abelian variety  $(X'', \lambda'')$  and a morphism  $f'' : X \rightarrow X''$  such that  $f''^*\lambda'' = 2\theta - q^*\theta_N$ . The isogeny  $(f', f'') : (X, \theta) \rightarrow (X', \lambda') \times (X'', \lambda'')$  is a morphism of polarized abelian varieties. Since  $\theta$  is principal, it is an isomorphism and  $\lambda'$  and  $\lambda''$  are both principal polarizations. Then,  $(X, q^*\theta_N)$  is isomorphic to  $(X', 2\lambda') \times (X'', \lambda'')$ . In particular, the pull-back of  $\theta_N$  by  $X'' \rightarrow JN$  is a principal polarization. By LEMMA 6.5, this cannot occur.  $\square$

In the next case where  $\deg(C) = n + 2$ , the same techniques give partial results.

PROPOSITION 6.8. — *Let  $C$  be an irreducible curve that generates a principally polarized abelian variety  $(X, \theta)$  of dimension  $n > 2$ . Assume that  $\text{char}(k) \neq 2, 3$ . Then, if  $C \cdot \theta = n + 2$ , one of the following possibilities occurs :*

(i) *The curve  $C$  is smooth of genus  $n$ ,  $X$  is isomorphic to its Jacobian and  $C$  is canonically embedded. Moreover, the curve  $C$  has real multiplications by  $(T - 1)^{n-2}(T^2 - 4T + 1)^2$ ,  $(T - 1)^{n-3}(T^3 - 5T^2 + 6T - 1)$  or  $(T - 1)^{n-4}(T^2 - 3T + 1)^2$ .*

(ii) *The curve  $C$  is smooth of genus  $n$  and bielliptic, i.e. there exists a morphism of degree 2 from  $C$  onto an elliptic curve  $E$ . The abelian variety  $X$  is the quotient of  $JC$  by an element of order 3 that comes from  $E$ .*

(iii) *The normalization  $N$  of  $C$  has genus  $n$  and real multiplications by  $(T - 1)^{n-2}(T^2 - 4T + 2)$  or  $(T - 1)^{n-3}(T - 2)(T^2 - 3T + 1)$ . There is an isogeny  $JN \rightarrow X$  of degree 2, and either  $C$  is smooth, or it has one node and  $N$  is hyperelliptic.*

(iv) *The curve  $C$  is smooth and bielliptic of genus  $n + 1$ , and has real multiplications by*

$$T(T - 1)^{n-2}(T^2 - 4T + 2) \quad \text{or} \quad T(T - 1)^{n-3}(T - 2)(T^2 - 3T + 1).$$

*The abelian variety  $X$  is the « Prym variety » associated with the bi-elliptic structure.*

#### REMARKS 6.9.1

1) MESTRE's construction for  $M = 7$  gives examples of curves of degree 5 in principally polarized abelian varieties of dimension 3, which fit into case (i) of the PROPOSITION. Example 6.11 below shows that case (ii) does occur. These are the only examples I know of.

2) In general, if a curve  $C$  has real multiplications by a polynomial  $(T - a)^m Q(T)$ , where  $a$  is an integer and  $Q(a) \neq 0$ , then there is a morphism from  $JC$  onto an abelian variety of dimension  $m$  (this follows for example from [MK, thm 2, p. 98]).

*Proof.* — By THEOREM 6.1 and Smyth's list in [S], the polynomial  $Q_C$  can only be one of the following :

$$\begin{aligned} (T - 1)^{n-2}(T - 2)^2, & & (T - 1)^{n-2}(T^2 - 4T + 1)^2, \\ (T - 1)^{n-3}(T^3 - 5T^2 + 6T - 1), & & (T - 1)^{n-4}(T^2 - 3T + 1)^2, \\ (T - 1)^{n-1}(T - 3), & & (T - 1)^{n-2}(T^2 - 4T + 2), \\ (T - 1)^{n-3}(T - 2)(T^2 - 3T + 1). & & \end{aligned}$$



The first polynomial is excluded as in PROPOSITION 6.7 (use  $n > 2$ ). If the constant term is  $\pm 1$ , the same proof as above yields that we are in case (i).

If  $Q_C(T) = (T-1)^{n-1}(T-3)$ , as in the proof of PROPOSITION 6.7, there exist a polarized elliptic curve  $(X', \lambda')$  and a morphism  $f' : X \rightarrow X'$  with connected kernel  $X''$  such that  $f'^*\lambda' = q^*\theta_N - \theta$  or equivalently  $q^*\theta_N = \theta + (\deg \lambda')[X'']$ . The identity

$$\frac{1}{n!} (T\theta - q^*\theta_N)^n = (T-1)^{n-1}(T-3)$$

yields  $(\deg \lambda')(\deg \theta|_{X''}) = 2$ . If  $\deg \lambda' = 2$ , one gets a contradiction as in the proof of PROPOSITION 6.7. If  $\lambda'$  is principal, one has  $\deg((q^*\theta_N)|_{X''}) = 2$ . We use the following result.

LEMMA 6.10. — *Let  $(JN, \theta_N)$  be the Jacobian of a smooth curve, let  $X$  be a non-zero abelian variety and let  $r : X \rightarrow JN$  be a morphism with finite kernel. Assume that  $\deg(r^*\theta_N)$  is  $\leq \dim(X)$  and prime to  $\text{char}(k)$ . Then  $g(N) < \dim(X) + \deg(r^*\theta_N)$ .*

*Proof.* — Let  $K$  be the kernel of  $r$  and let  $\iota : X/K \rightarrow JN$  be the induced embedding. By Poincaré’s complete reducibility theorem [Mu, p. 173], there exist an abelian subvariety  $X'$  of  $JN$  and an isogeny  $f : X/K \times X' \rightarrow JN$  such that the pull-back  $f^*\theta_N$  is the product of the induced polarizations. Note that  $\deg(\iota^*\theta_N)$  divides  $\deg(r^*\theta_N)$ . In particular, under our assumptions, the polarization  $\iota^*\theta_N$  is separable and has a non-empty base locus  $F$ , of dimension  $\geq \dim(X) - \deg(r^*\theta_N)$ . If  $\Theta$  is a theta divisor for  $JN$ , it follows from the equation of  $f^*\Theta$  given in [D, prop. 9.1], that  $f(F \times X')$  is contained in  $\Theta$ . Lemma 5.1 from [DF] (which is valid in any characteristic) then yields

$$\dim(F \times X') + \dim(X') \leq g(N) - 1,$$

from which the lemma follows.  $\square$

Since  $\text{char}(k) \neq 2$ , it follows from the lemma applied to the inclusion  $X'' \rightarrow JN$  that  $g(N) = n$  hence that the morphism  $q : X \rightarrow JN$  is an isogeny of degree 3. It is not difficult to see (using for example [D, § 9]) that since  $\text{char}(k) \neq 2, 3$ , there is a commutative diagram of separable isogenies :

$$\begin{array}{ccccc} X'' \times X' & \xrightarrow{3:1} & X'' \times E & \xrightarrow{3:1} & X'' \times X' \\ \downarrow 4:1 & & \downarrow 4:1 & & \downarrow 4:1 \\ X & \xrightarrow{q} & JN & \xrightarrow{p} & X \end{array}$$

where  $E$  is the quotient of  $X'$  by a subgroup of order 3. The middle vertical arrow induces an injection of  $E$  into  $JN$  whose image has degree 2 with respect to  $\theta_N$ . By duality, one gets a morphism  $f : N \rightarrow E$  of degree 2. In this situation, one checks that since  $n > 2$ , for any two points  $x$  and  $y$  of  $N$ , one cannot have  $x - y \equiv f^*e$ , for  $e \neq 0$  in  $E$ . Thus  $C$ , image of  $N$  in  $X$  by  $p$ , is smooth.

If  $Q_C(T) = (T - 1)^{n-2}(T^2 - 4T + 2)$  or  $(T - 1)^{n-3}(T - 2)(T^2 - 3T + 1)$ , the polarization  $q^*\theta_N$  has degree 2. It follows from LEMMA 6.10 that :

- Either  $g(N) = n$  and  $C$  is the image of  $N$  by an isogeny  $p : JN \rightarrow X$  of degree 2. In particular, either  $C$  is smooth or  $N$  is hyperelliptic and  $C$  is obtained by identifying two Weierstrass points of  $N$  (so that, in a sense,  $C$  is bi-elliptic).

- Or  $g(N) = n + 1$  and  $q$  is a closed immersion. The proof of LEMMA 6.10 yields an elliptic curve  $X'$  in  $JN$  and an isogeny  $f : X \times X' \rightarrow JN$  of degree 4. Moreover,  $\deg(\theta_N)|_{X'} = 2$ , hence the morphism  $N \rightarrow X'$  obtained by duality has degree 2. One checks as above that  $C$  is smooth. The abelian variety  $X$  is the Prym variety associated with the bielliptic structure, i.e. is isomorphic to the quotient  $JN/X'$ . It remains to prove the statement about real multiplications. With the notation of (2.2), we calculate the characteristic polynomial of the endomorphism  $\beta_{\theta_N}(p^*\theta)$  of  $JN$ . If  $t$  is any integer, one has :

$$\begin{aligned} \deg(t \text{ Id}_{JN} - \beta_{\theta_N}(p^*\theta)) &= \deg(t \theta_N - p^*\theta)^2 \\ &= \left(\frac{1}{4} \deg(t f^*\theta_N - f^*p^*\theta)\right)^2 \\ &= \left(\frac{1}{4} \deg(t (\theta_N)|_{X'}) \deg(t q^*\theta_N - q^*p^*\theta)\right)^2 \\ &= \frac{1}{4} t^2 \deg(t \phi_{q^*\theta_N} - \phi_{q^*p^*\theta}) . \end{aligned}$$

Set  $\alpha = \alpha(C, \theta)$ . Using (3.1) and (3.3), we get :

$$\begin{aligned} \deg(t \text{ Id}_{JN} - \beta_{\theta_N}(p^*\theta)) &= \frac{1}{4} t^2 \deg(t \phi_\theta \circ \alpha - \phi_{\alpha^*\theta}) \\ &= \frac{1}{4} t^2 \deg(t \text{ Id}_{\text{Pic}^0(X)} - \text{Pic}^0(\alpha)) \deg(\phi_\theta \circ \alpha) \\ &= P_{\text{Pic}^0(\alpha)}(t) t^2 = Q_C(t)^2 t^2 . \end{aligned}$$

It follows that  $N$  has real multiplications by  $TQ_C(T)$ . This finishes the proof of the proposition.  $\square$

EXAMPLE 6.11. — Case (ii) of the PROPOSITION does occur as a particular case of the following construction. Let  $C$  be a smooth curve of genus  $n$  with a morphism of degree  $r$  onto an elliptic curve  $E$ . Assume that  $r$  is prime to  $\text{char}(k)$  and that the induced morphism  $E \rightarrow JC$  is a

closed immersion. Let  $s$  be an integer prime to  $\text{char}(k)$  and congruent to 1 modulo  $r$ , and let  $q : JC \rightarrow X$  be the quotient by a cyclic subgroup of order  $s$  of  $E$ . There exist an abelian variety  $Y$  of dimension  $(n-1)$  with a polarization  $\lambda_Y$  of type  $(1|\cdots|1|r)$  and an isogeny  $f : E \times Y \rightarrow JC$  with kernel isomorphic to  $(\mathbb{Z}/r\mathbb{Z})^2$ , such that  $f^*\theta_C = \text{pr}_1^*(r\lambda_E) \otimes \text{pr}_2^*\lambda_Y$ , where  $\lambda_E$  is the polarization on  $E$  defined by a point. Because  $s \equiv 1 \pmod{r}$ , one checks that there exists a principal polarization  $\theta$  on  $X$  such that  $f^*q^*\theta = \text{pr}_1^*(rs\lambda_E) \otimes \text{pr}_2^*\lambda_Y$ . I claim that *the degree of the curve  $q(C)$  on  $X$  with respect to the principal polarization  $\theta$  is  $n+s-1$* . In fact, one has :

$$\frac{f^*\theta_C^{n-1}}{(n-1)!} \sim \frac{1}{(n-2)!} r\lambda_E (\text{pr}_2^*\lambda_Y)^{n-2} + \frac{1}{(n-1)!} (\text{pr}_2^*\lambda_Y)^{n-1}$$

hence

$$\begin{aligned} \frac{f^*\theta_C^{n-1}}{(n-1)!} f^*q^*\theta &= rs \deg \lambda_Y + r(n-1) \deg \lambda_Y \\ &= r^2(s+n-1). \end{aligned}$$

It follows that  $C \cdot q^*\theta = n+s-1$ , which proves the claim.

When  $\text{char}(k) = 0$ , this construction yields examples of curves of degree  $n+t$  in principally polarized abelian varieties of dimension  $n$ , for any  $n \geq 2$  and  $t \geq 2$ .

P.S. — C. SMYTH recently found a totally positive algebraic integer of degree 15, trace 28 and norm 1. This disproves conjecture  $C_{15}$ . His construction may give counterexamples to conjecture  $C_m$  for infinitely many values of  $m$ . He also has a counterexample to  $C_{64}$  with norm 2.

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