



Differential Geometry

# Positively curved $\pi_2$ -finite manifolds

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## Abstract

Let  $M$  be a smooth manifold with finite second homotopy group, positive sectional curvature, dimension greater than 8, and assume that a compact connected Lie group  $G$  acts smoothly on  $M$ . We prove the vanishing of the characteristic number  $\hat{A}(M, TM)$  if  $G$  contains two commuting involutions. **To cite this article:** *H. Herrera, C. R. Acad. Sci. Paris, Ser. I 345 (2007)*.  
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## Résumé

**Variétés avec  $\pi_2$  fini et courbure positive.** Soit  $M$  une variété lisse avec un deuxième groupe d'homotopie fini, de courbure sectionnelle positive et de dimension plus grande que 8. Soit  $G$  un groupe de Lie compact et connexe qui agit de façon  $C^\infty$  sur  $M$ . On démontre que le nombre caractéristique  $\hat{A}(M, TM)$  s'annule si  $G$  contient deux involutions qui commutent entre elles. **Pour citer cet article :** *H. Herrera, C. R. Acad. Sci. Paris, Ser. I 345 (2007)*.  
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## 1. Introduction

The  $\hat{A}$ -genus provides a characteristic number which is the obstruction to the existence of certain geometrical structures. For instance, Lichnerowicz showed that, for a closed Riemannian Spin manifold  $M$  with positive scalar curvature,  $\hat{A}(M) = 0$ . Hence, the  $\hat{A}$ -genus is an obstruction to the existence of positive scalar curvature metrics on  $4n$ -dimensional Spin manifolds. Atiyah and Hirzebruch proved the vanishing of the  $\hat{A}$ -genus on Spin manifolds admitting a non-trivial smooth circle action. This vanishing was generalized in [4] to *non-Spin manifolds with finite second homotopy group*. Thus the  $\hat{A}$ -genus is an obstruction to the existence of Lie group actions on Spin manifolds and on  $\pi_2$ -finite manifolds.

In this Note we establish  $\hat{A}(M, T) = \langle \hat{A}(M) \cdot \text{ch}(T), [M] \rangle$  as an obstruction to positive sectional curvature on non-Spin  $\pi_2$ -finite manifolds under certain assumptions on the symmetries. Here  $\text{ch}(T)$  denotes the Chern class of the complexified tangent bundle  $T = TM \otimes \mathbb{C}$ . This work is an application of the rigidity theorem proved in [4], and follows [2] closely.

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In dimension 4, there is only one characteristic number  $\hat{A}(M)$ , since all others can be written in terms of it. In particular  $\hat{A}(M, T) = -20\hat{A}(M)$ . In dimension 8, there are two Pontrjagin numbers given by the classes  $p_1(M)^2$  and  $p_2(M)$ . In this case we have that  $\mathbb{H}\mathbb{P}^2$  admits a metric with positive sectional curvature,  $\hat{A}(\mathbb{H}\mathbb{P}^2) = 0$ , and  $\hat{A}(\mathbb{H}\mathbb{P}^2, T\mathbb{H}\mathbb{P}^2_c) = -1 \neq 0$ . In dimension greater than or equal to 12 no counterexample is known, preventing  $\hat{A}(M, T)$  from becoming the obstruction to positive sectional curvature.

Despite the fact that the characteristic number  $\hat{A}(M, T)$  may not be an integer and it is not the index of a Dirac operator on non-Spin manifolds, we can make use of it by means of the elliptic genus as in [4]. The main theorem of this Note is the following:

**Theorem 1.1.** *Let  $M$  be a closed connected  $\pi_2$ -finite manifold of dimension greater than 8. Suppose  $M$  admits a metric of positive sectional curvature and a smooth action by a compact connected Lie group  $G$ . Furthermore, assume that there is a subgroup  $\mathbb{Z}_2 \times \mathbb{Z}_2 \subset G$  acting effectively and isometrically on  $M$ . Then  $\hat{A}(M) = 0$  and  $\hat{A}(M, T) = 0$ .*

Recall that the signature of a 12-dimensional manifold  $M$  is given by  $\text{sign}(M) = 8\hat{A}(M, T) - 32\hat{A}(M)$ . Thus, we can immediately see that if  $M$  is 12-dimensional and satisfies the hypothesis of the theorem, then  $\text{sign}(M) = 0$ . Manifolds with finite second homotopy group have been considered in the context of bounded sectional curvature in [7], elliptic genera and quaternion-Kähler manifolds [4].

## 2. $\pi_2$ -finite manifolds with $S^1$ actions

Let  $M$  be an oriented, connected, compact  $2n$ -dimensional manifold. We say that a manifold is  $\pi_2$ -finite if  $|\pi_2(M)| < \infty$ .

Assume  $M$  is endowed with a (non-trivial) smooth  $S^1$ -action. Let  $M^{S^1}$  denote the fixed point set of the circle action. At each point  $p \in M^{S^1}$ , the tangent space of  $M$  splits as a sum of  $S^1$  representations,  $T_p M = T_p M^{S^1} \oplus L^{m_1} \oplus \dots \oplus L^{m_k}$ , where  $L^a$  denotes the  $S^1$  representation on which  $\lambda \in S^1$  acts by multiplication by  $\lambda^a$ . The space  $T_p M^{S^1}$  is a trivial representation of  $S^1$ . The numbers  $m_1, \dots, m_k$  are called the *exponents (or weights)* of the  $S^1$ -action at the point  $p$ . The exponents of an action are not canonical and their sign can be changed in pairs. Consider the sum of the exponents  $S(p) = \sum_{i=1}^k m_i$ . The number  $S(p)$  is constant on each connected component of  $M^{S^1}$ , but may vary for different connected components.

**Definition 2.1.** A circle action on an oriented  $2n$ -dimensional manifold  $M$  will be called *even* if the sum  $S(p) \equiv 0 \pmod{2}$  for all  $p \in M^{S^1}$ , and *odd* if  $S(p) \equiv 1 \pmod{2}$  for all  $p \in M^{S^1}$ .

**Lemma 2.1.** (See [5].) *Let  $M$  be an oriented, connected, compact  $2n$ -dimensional manifold. Assume  $M$  is  $\pi_2$ -finite and admits a smooth  $S^1$  action. Then  $S(p_1) = S(p_2)$  for all  $p_1, p_2 \in M^{S^1}$ . In particular, the  $S^1$ -action is either even or odd.*

**Proposition 2.1.** (See [5].) *Let  $M$  be an oriented, connected, compact,  $\pi_2$ -finite  $2n$ -dimensional manifold, admitting a smooth  $S^1$ -action. Let  $\mathbb{Z}_2 = \{\pm 1\}$  be the subgroup of  $S^1$  generated by the involution. Let  $X$  be a connected component of the  $\mathbb{Z}_2$ -fixed point set  $M^{\mathbb{Z}_2}$  such that  $X \cap M^{S^1} \neq \emptyset$ . Then*

$$\text{codim}(X) \equiv 0 \pmod{4} \quad \text{if the action is even,}$$

$$\text{codim}(X) \equiv 2 \pmod{4} \quad \text{if the action is odd.}$$

## 3. Elliptic genera on $\pi_2$ -finite manifolds

The elliptic genus can be defined as

$$\Phi(M) = \text{sign}(M, \bigotimes_{i=1}^{\infty} \bigwedge_{q^i} T \otimes \bigotimes_{j=1}^{\infty} S_{q^j} T) = \sum_{j \geq 0} \text{sign}(M, R_j),$$

where  $T = TM \otimes \mathbb{C}$  and  $\text{sign}(M, E)$  denotes the index of the signature operator twisted by the bundle  $E$ ,  $S_a T = \sum_{j=0}^{\infty} a^j S^j T$ ,  $\bigwedge_a T = \sum_{j=0}^{\infty} a^j \bigwedge^j T$ , and  $S^j T, \bigwedge^j T$  denote the  $j$ -th symmetric and exterior tensor powers of  $T$ , respectively [6]. The first few  $R_j$ 's are,  $R_0 = 1, R_1 = 2T$ , etc. Witten conjectured the rigidity of this genus for Spin manifolds [9], which was proved by Bott and Taubes [1], and others. We proved that such a rigidity also holds on non-Spin  $\pi_2$ -finite manifolds [4]. Moreover, the elliptic genus  $\Phi(M)$  has modular properties and by changing coordinate in  $q$  (changing cusp) one obtains a different expression. Namely,

$$\tilde{\Phi}(M) = \hat{A}\left(M, \bigotimes_{i=2j+1>0} \bigwedge_{-q^i} T \otimes \bigotimes_{i=2j>0} S_{q^i} T\right) = \frac{1}{q^{\dim(M)/8}} \cdot \left(\sum_{j \geq 0} \hat{A}(M, R'_j)\right),$$

where  $R'_0 = 1, R'_1 = -T$ , etc.

**Theorem 3.1.** (See [5].) *Let  $M$  be an oriented, connected, compact  $4n$ -dimensional manifold with finite second homotopy group. Assume  $M$  admits a (non-trivial) smooth  $S^1$ -action, and let  $\mathbb{Z}_2 = \{\pm 1\}$  the subgroup generated by the involution  $-1 \in S^1$ .*

- If the action is odd, then  $\Phi(M) = 0$  and  $\tilde{\Phi}(M) = 0$ .
- If the action is even and  $\text{codim}(Y) \geq 4r$  for all the connected components  $Y$  of  $M^{\mathbb{Z}_2}$  that contain  $S^1$ -fixed points, then the characteristic numbers  $\hat{A}(M, R'_j)$  vanish for  $1 \leq j \leq r - 1$ . If  $r \geq n/2$  then  $\Phi(M)$  does not depend on the variable  $q$  and  $\Phi(M) = \text{sign}(M)$ . If  $r > n/2$ , then  $\Phi(M) = 0, \tilde{\Phi}(M) = 0$ .

**Corollary 3.1.** *Let  $M$  be a connected  $\pi_2$ -finite manifold with smooth  $S^1$ -action. Let  $\mathbb{Z}_2 = \{\pm 1\}$  be the subgroup generated by the involution  $-1 \in S^1$ . Assume that the induced  $\mathbb{Z}_2$  action is effective. If  $\hat{A}(M, T) \neq 0$  then the  $S^1$  action is even and the fixed point manifold  $M^{\mathbb{Z}_2}$  has at least one connected component of codimension 4 with non-empty intersection with the  $S^1$ -fixed point set  $M^{S^1}$ .*

#### 4. Totally geodesic submanifolds

The assumption of positive sectional curvature imposes strong restrictions on the totally geodesic submanifolds as the classic theorem of Frankel shows [3].

**Theorem 4.1.** (See [3].) *Let  $M$  be a connected Riemannian manifold of positive sectional curvature. Suppose  $N_1$  and  $N_2$  are totally geodesic submanifolds. If  $\dim(N_1) + \dim(N_2) \geq \dim(M)$  then  $N_1 \cap N_2 \neq \emptyset$ .*

**Theorem 4.2.** (See [8].) *Let  $M$  be a connected Riemannian manifold of positive sectional curvature. Suppose  $N$  is a connected totally geodesic submanifold of codimension  $k$ . Then the inclusion  $j: N \hookrightarrow M$  is  $(\dim(M) - 2k + 1)$ -connected.*

Let  $j_! : H^*(N, \mathbb{Z}) \rightarrow H^{*+k}(M, \mathbb{Z})$  be the push-forward in cohomology, and define  $u := j_!(1) \in H^k(M, \mathbb{Z})$ . By Theorem 4.2, the map  $\cup u : H^i(M, \mathbb{Z}) \rightarrow H^{i+k}(M, \mathbb{Z})$  is injective for  $k - 1 < i \leq \dim(M) - 2k + 1$  and surjective for  $k - 1 \leq i < \dim(M) - 2k + 1$ . It is not hard to check that one can replace the coefficients  $\mathbb{Z}$  by  $\mathbb{Z}_2$ .

#### 5. Proof of the theorem

Let  $M$  be a  $\pi_2$ -finite manifolds. Thanks to theorem 1.1 of [2] we can assume  $M$  is non-Spin. In order to get a contradiction, assume  $\hat{A}(M, T) \neq 0$ . Let  $\dim(M) = 4m \geq 12$ . Since  $M$  is even-dimensional and oriented with positive sectional curvature, it is simply connected by the classical Synge theorem.

Let  $H = \mathbb{Z}_2 \times \mathbb{Z}_2 \subset G$  a subgroup that acts effectively and isometrically on  $M$ . Since  $G$  is connected, every element of  $H$  is contained in some  $S^1$ -subgroup of  $G$ . Let  $g_1, g_2, g_3 \in H$  denote the non-trivial elements. Each  $g_i$  is contained in a circle subgroup  $S_i^1 \subset G$  acting on  $M$ . The action of  $S_i^1$  is even and, by Corollary 3.1, there is a connected component  $F_i$  of  $M^{g_i}$  of codimension 4 containing  $S_i^1$ -fixed points. Since  $M$  has positive sectional curvature, the other components of  $M^{g_i}$  containing  $S_i^1$ -fixed points can only be isolated points, if any, by Theorem 4.1. The inclusion

$F_i \hookrightarrow M$  is  $(4m - 7)$ -connected by Theorem 4.2. Thus  $\pi_1(F_i) = \pi_1(M) = 1$ ,  $\pi_2(F_i) = \pi_2(M)$  so that  $F_i$  is also  $\pi_2$ -finite.

Consider  $N = \bigcap_i F_i \subseteq M^H$ . Notice that  $N$  is a compact subset of  $M^H$  disjoint from other components of  $M^H$ , therefore it must be a submanifold. Furthermore, since  $M^H$  is totally geodesic, then  $N$  is also totally geodesic. Let  $p \in N$ , the action of  $H$  on  $T_p M$  splits into one-dimensional real  $H$ -representations. It is not hard to check that the subspace invariant by the infinitesimal action of  $H$  in  $T_p M$  has codimension 6, i.e. the connected component of  $N$  containing  $p$  has codimension 6 in  $M$ , and codimension 2 in  $F_i$ ,  $i = 1, 2, 3$ . Since  $\dim M \geq 12$ , Theorem 4.1 implies that  $N$  is connected.

Since  $N$  is totally geodesic, consider the map  $j : N \hookrightarrow F_1$ , so that cup product with  $u := j_!(1) \in H^2(F_1, \mathbb{Z}_2)$  gives an isomorphism  $\cup u : H^i(F_1, \mathbb{Z}_2) \xrightarrow{\cong} H^{i+2}(F_1, \mathbb{Z}_2)$ , for  $1 < i \leq 4m - 8$ . Together with the fact that  $\cup u : H^1(F_1, \mathbb{Z}_2) \rightarrow H^3(F_1, \mathbb{Z}_2)$  is onto, we get that  $H^{2j+1}(F_1, \mathbb{Z}_2) = 0$ , for  $j \geq 0$ . Therefore we have two cases: 1.  $u = 0$  and  $F_1$  is a  $\mathbb{Z}_2$ -cohomology sphere, or 2.  $u \neq 0$  and  $H^*(F_1, \mathbb{Z}_2)$  is generated by  $H^2(F_1, \mathbb{Z}_2)$ . Case number 1 cannot occur since we are assuming that  $M$  is non-Spin and  $H^2(F_1, \mathbb{Z}_2) = H^2(M, \mathbb{Z}_2) \neq 0$ .

Case number 2 does not occur either. Here, the argument in [2] is applied by substituting  $M^H$  by  $N$ . As in the Spin case, if one assumes that  $u \neq 0$  then

$$H^i(F_1, \mathbb{Z}_2) \cong H^i(N, \mathbb{Z}_2), \quad \text{for every } i. \quad (1)$$

On the other hand, since  $4m \geq 12$  and  $N \hookrightarrow F_1$  is  $(4m - 7)$ -connected, we get the following,

$$H^{2j+1}(N, \mathbb{Z}_2) = 0, \quad H^2(F_1, \mathbb{Z}_2) \cong H^2(N, \mathbb{Z}_2),$$

and multiplication with  $u|_N \in H^2(N, \mathbb{Z}_2)$  gives an isomorphism  $H^i(N, \mathbb{Z}_2) \xrightarrow{\cong} H^{i+2}(N, \mathbb{Z}_2)$ , for  $1 < i \leq 4m - 10$ . Thus,

$$\dim H^*(F_1, \mathbb{Z}_2) = \dim H^*(N, \mathbb{Z}_2) + \dim H^2(F_1, \mathbb{Z}_2). \quad (2)$$

Now, (2) and (1) together imply that  $H^2(F_1, \mathbb{Z}_2) = 0$ , which is isomorphic to  $H^2(M, \mathbb{Z}_2) \neq 0$ .

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